

Workshop "Mathematical Foundations of Traffic"

Macroscopic traffic flow models with non-local mean velocity

Paola Goatin

Inria Sophia Antipolis - Méditerranée
paola.goatin@inria.fr

joint works with

Sebastien Blandin (*IBM Research Collaboratory, Singapore*)

Francesco Rossi (*Université Aix-Marseille*)

Sheila Scialanga (*Università di Roma I*)

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European
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Outline of the talk

- 1 Non-local conservation laws
- 2 A traffic flow model with non-local velocity
- 3 Well-posedness
- 4 Numerical tests
- 5 Micro-macro limit
- 6 Perspectives

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Non-local conservation laws

(Systems of) equations of the form

$$\partial_t U + \operatorname{div}_{\mathbf{x}} F(t, \mathbf{x}, U, w * U) = 0$$

with $t \in \mathbb{R}^+$, $\mathbf{x} \in \mathbb{R}^d$, $U(t, \mathbf{x}) \in \mathbb{R}^N$, $w(t, \mathbf{x}) \in \mathbb{R}^{m \times N}$

Applications:

- sedimentation [Betancourt&al, Nonlinearity 2011]
- granular flows [Amadori-Shen, JHDE 2012]
- crowd dynamics [Colombo&al, ESAIM COCV 2011; AMS 2011; M3AS 2012]
- supply chains [ColomboHertyMercier, ESAIM COCV 2011]
- conveyor belts [Göttlich&al, Appl. Math. Modell., 2014]
- gradient constraint [Amorim, Bull. Braz. Math. Soc., 2012]

Non-local conservation laws

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General well posedness results:

- 1D scalar equations

[AmorimColomboTeixeira, ESAIM M2AN 2015]

- multiD scalar equations

[ColomboHertyMercier, ESAIM COCV 2011]

- multiD systems

[CrippaMercier, NoDEA 2012; AggarwalColomboGoatin, SINUM 2015]

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A model with non-local velocity¹

LWR model with downstream non-local velocity

$$\partial_t \rho(t, x) + \partial_x (\rho(t, x) V(t, x)) = 0$$

where

$$V(t, x) = v \left(\int_x^{x+\eta} \rho(t, y) w_\eta(y - x) dy \right), \quad \eta > 0$$

with $w_\eta \in \mathbf{C}^1([0, \eta]; \mathbb{R}^+)$ **non-increasing** and $\int_0^\eta w_\eta(x) dx = 1$

$v : [0, \rho_{\max}] \rightarrow \mathbb{R}^+$ s.t. $-A \leq v' \leq 0$, $v(0) = v_{\max}$, $v(\rho_{\max}) = v_{\min}$

¹[BlandinGoatin, 2015; GoatinScialanga, submitted]

A model with non-local velocity¹

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Related works:

- sedimentation model: $F(\rho, \rho * w) = \rho(1 - \rho)^\alpha V(\rho * w)$, $\alpha = 0$ or $\alpha \geq 1$
[Betancourt&al, Nonlinearity 2011]
- Arrhenius look-ahead dynamics: $F(\rho, \rho * w) = \rho(1 - \rho)e^{-(\rho * w)}$
[SopasakisKatsoulakis, SIAM 2006]
[KurganovPolizzi, NNM 2009]
[LiLi, NNM 2011]

¹[BlandinGoatin, 2015; GoatinScialanga, submitted]

Finite acceleration

The model avoids the infinite acceleration drawback of classical macroscopic models:

$$\begin{aligned} \dot{x}(t) &= V(t, x(t)), & t > 0 \\ \implies \ddot{x}(t) &= V_t(t, x(t)) + V(t, x(t))V_x(t, x(t)), & t > 0 \end{aligned}$$

If $\rho(t, \cdot) \in \mathbf{L}^1 \cap \mathbf{L}^\infty$, we have

$$\begin{aligned} \|V_t\|_\infty &= 2w_\eta(0)\|v\|_\infty\|v'\|_\infty\|\rho\|_\infty \\ \|V_x\|_\infty &= 2w_\eta(0)\|v'\|_\infty\|\rho\|_\infty \end{aligned}$$

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Well-posedness

Theorem [BlandinGoatin, 2015; GoatinScialanga, submitted]

Let $\rho_0 \in \text{BV}(\mathbb{R}; [0, \rho_{\max}])$. Then the Cauchy problem

$$\begin{cases} \partial_t \rho + \partial_x (\rho V(t, x)) = 0 & x \in \mathbb{R}, t > 0 \\ \rho(0, x) = \rho_0(x) & x \in \mathbb{R} \end{cases}$$

admits a unique weak entropy solution ($\rho \in \mathbf{L}^1 \cap \mathbf{L}^\infty \cap \text{BV}$), such that

$$\min_{\mathbb{R}} \{\rho_0\} \leq \rho(t, x) \leq \max_{\mathbb{R}} \{\rho_0\} \quad \text{for a.e. } x \in \mathbb{R}, t > 0$$

Kružkov entropy condition²

Definition

A function $\rho \in (\mathbf{L}^1 \cap \mathbf{L}^\infty \cap \text{BV})(\mathbb{R}^+ \times \mathbb{R}; \mathbb{R})$ is an entropy weak solution if

$$\int_0^{+\infty} \int_{-\infty}^{+\infty} (|\rho - \kappa| \varphi_t + |\rho - \kappa| V \varphi_x - \text{sgn}(\rho - \kappa) \kappa V_x \varphi) (t, x) dx dt \\ + \int_{-\infty}^{+\infty} |\rho_0(x) - \kappa| \varphi(0, x) dx \geq 0$$

for all $\varphi \in \mathbf{C}_c^1(\mathbb{R}^2; \mathbb{R}^+)$ and $\kappa \in \mathbb{R}$.

²[ColomboHertyMercier, ESAIM COCV 2011; Betancourt&al, Nonlinearity 2011]

Uniqueness³

Theorem

Let ρ, σ be two entropy weak solutions of CP with initial data ρ_0, σ_0 respectively. Then, for any $T > 0$ there holds

$$\|\rho(t, \cdot) - \sigma(t, \cdot)\|_{\mathbf{L}^1} \leq e^{\mathcal{K}T} \|\rho_0 - \sigma_0\|_{\mathbf{L}^1} \quad \forall t \in (0, T].$$

where

$$\begin{aligned} \mathcal{K} = & w_\eta(0) \|v'\|_\infty \left(\sup_{t \in [0, T]} \|\rho(t, \cdot)\|_{\text{BV}(\mathbb{R})} + 2\|\rho_0\|_\infty \right) \\ & + \|\rho_0\|_1 \left(2(w_\eta(0))^2 \|v''\|_\infty \|\rho_0\|_\infty + \|v'\|_\infty \|w'_\eta\|_{\mathbf{L}^\infty([0, \eta])} \right) \end{aligned}$$

Proof. Doubling of variables.

³[Betancourt&al, Nonlinearity 2011]

Existence: a Lax-Friedrichs numerical scheme

Take Δx s.t. $\eta = N\Delta x \exists N \in \mathbb{N}$:

$$\rho_j^{n+1} = H(\rho_{j-1}^n, \dots, \rho_{j+N}^n) = \rho_j^n - \frac{\Delta t}{\Delta x} (F_{j+1/2}^n - F_{j-1/2}^n)$$

with numerical flux

$$F_{j+1/2}^n = \frac{1}{2} \rho_j^n V_j^n + \frac{1}{2} \rho_{j+1}^n V_{j+1}^n + \frac{\alpha}{2} (\rho_j^n - \rho_{j+1}^n)$$

where $V_j := v \left(\Delta x \sum_{k=0}^{N-1} w_\eta^k \rho_{j+k} \right)$,

and we assume

$$\Delta t \leq \frac{2}{2\alpha + A\Delta x w_\eta(0)} \Delta x \quad (CFL)$$

$$\alpha \geq v_{\max} + A\Delta x w_\eta(0)$$

Lax-Friedrichs numerical scheme

Given

$$H(\rho_{j-1}^n, \dots, \rho_{j+N}^n) = \rho_j^n + \frac{\lambda\alpha}{2} (\rho_{j-1}^n - 2\rho_j^n + \rho_{j+1}^n) + \frac{\lambda}{2} (\rho_{j-1}^n V_{j-1}^n - \rho_{j+1}^n V_{j+1}^n)$$

For $k = 2, \dots, N-2$

$$\frac{\partial H}{\partial \rho_{j+k}} = \frac{\lambda}{2} \Delta x \left(\rho_{j-1} w_\eta^{k+1} v' \left(\Delta x \sum_{k=0}^{N-1} w_\eta^k \rho_{j-1+k} \right) - w_\eta^{k-1} \rho_{j+1} v' \left(\Delta x \sum_{k=0}^{N-1} w_\eta^k \rho_{j+1+k} \right) \right)$$

Lax-Friedrichs numerical scheme

Given

$$H(\rho_{j-1}^n, \dots, \rho_{j+N}^n) = \rho_j^n + \frac{\lambda\alpha}{2} (\rho_{j-1}^n - 2\rho_j^n + \rho_{j+1}^n) + \frac{\lambda}{2} (\rho_{j-1}^n V_{j-1}^n - \rho_{j+1}^n V_{j+1}^n)$$

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\implies The scheme is not monotone!

Estimates

L^∞ estimates

Let $\rho_m = \min_{j \in \mathbb{Z}} \{\rho_j^0\} \in [0, \rho_{\max}]$ and $\rho_M = \max_{j \in \mathbb{Z}} \{\rho_j^0\} \in [0, \rho_{\max}]$. Then

$$\rho_m \leq \rho_j^n \leq \rho_M \quad \forall j, n$$

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$$\rho_m \leq \rho_j^n \leq \rho_M \quad \forall j, n$$

BV estimates

Let $\rho_0 \in BV(\mathbb{R}; [0, \rho_{\max}])$. Then

$$\sum_j |\rho_{j+1}^n - \rho_j^n| \leq e^{w_\eta(0) (5A + 7\|v''\|_\infty) \frac{n}{2}\Delta t} \sum_j |\rho_{j+1}^0 - \rho_j^0|$$

Estimates

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BV estimates

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$$\sum_j |\rho_{j+1}^n - \rho_j^n| \leq e^{w_\eta(0) \left(5A + 7 \|v''\|_\infty \right) \frac{n}{2} \Delta t} \sum_j |\rho_{j+1}^0 - \rho_j^0|$$

L^1 stability estimates

Let $\rho_0, \bar{\rho}_0 \in BV(\mathbb{R}; [0, \rho_{\max}])$. Then

$$\sum_j \Delta x |\rho_j^n - \bar{\rho}_j^n| \leq K(w_\eta, \rho_0, \bar{\rho}_0, n \Delta t) \sum_j \Delta x |\rho_j^0 - \bar{\rho}_j^0|$$

L[∞] estimates

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Let $\rho_m = \min_{j \in \mathbb{Z}} \{\rho_j^0\} \in [0, \rho_{\max}]$ and $\rho_M = \max_{j \in \mathbb{Z}} \{\rho_j^0\} \in [0, \rho_{\max}]$. Then

$$\rho_m \leq \rho_j^n \leq \rho_M \quad \forall j, n$$

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$$\rho_m \leq \rho_j^n \leq \rho_M \quad \forall j, n$$

↑

Lemma

Let $0 \leq \rho_m \leq \rho_j^n \leq \rho_M \leq \rho_{\max}$ for all $j \in \mathbb{Z}$. Then

$$H(\rho_m, \rho_m, \rho_m, \rho_{j+2}, \dots, \rho_{j+N-2}, \rho_m, \rho_m) \geq \rho_m$$

$$H(\rho_M, \rho_M, \rho_M, \rho_{j+2}, \dots, \rho_{j+N-2}, \rho_M, \rho_M) \leq \rho_M$$

BV estimates

$$\begin{aligned}
 \Delta_{j+\frac{1}{2}}^{n+1} = & \frac{\lambda}{2} \left[\alpha + V_j + \rho_{j-1} \Delta x \ v'(\xi) w_\eta^0 - \Delta x \ v'(\xi') \sum_{k=2}^{N-2} w_\eta^{k-1} \Delta_{j+k+\frac{1}{2}} \right] \Delta_{j-\frac{1}{2}} \\
 & + \left[1 - \lambda \alpha + \frac{\lambda}{2} \rho_{j-1} \Delta x \ v'(\xi) w_\eta^1 - \frac{\lambda}{2} \Delta x \ v'(\xi') \sum_{k=2}^{N-2} w_\eta^{k-1} \Delta_{j+k+\frac{1}{2}} \right] \Delta_{j+\frac{1}{2}} \\
 & + \frac{\lambda}{2} [\alpha - V_{j+2} + \rho_{j-1} \Delta x \ v'(\xi) w_\eta^2 - \rho_{j+1} \Delta x \ v'(\xi') w_\eta^0] \Delta_{j+\frac{3}{2}} \\
 & + \frac{\lambda}{2} \Delta x \sum_{k=2}^{N-2} \Delta_{j+k+\frac{1}{2}} \left[\rho_{j-1} \ v'(\xi) (w_\eta^{k+1} - w_\eta^{k-1}) + w_\eta^{k-1} \rho_{j-1} (v'(\xi) - v'(\xi')) \right] \\
 & - \frac{\lambda}{2} \rho_{j+1} \Delta x \ v'(\xi') w_\eta^{N-2} \Delta_{j+N-\frac{1}{2}} \\
 & - \frac{\lambda}{2} \rho_{j+1} \Delta x \ v'(\xi') w_\eta^{N-1} \Delta_{j+N+\frac{1}{2}}
 \end{aligned}$$

where $\Delta_{j+k-1/2}^n = \rho_{j+k}^n - \rho_{j+k-1}^n$ for $k = 0, \dots, N+1$

$v'' = 0 \implies$ monotonicity preserving

Discrete entropy inequalities

Proposition

If $\alpha \geq 1$ and the CFL condition holds, for all $j \in \mathbb{Z}$, $n \in \mathbb{N}$, $\kappa \in \mathbb{R}$ we have

$$\begin{aligned} |\rho_j^{n+1} - \kappa| - |\rho_j^n - \kappa| + \lambda \left(F_{j+1/2}^\kappa(\rho_j^n, \rho_{j+1}^n) - F_{j-1/2}^\kappa(\rho_{j-1}^n, \rho_j^n) \right) \\ + \frac{\lambda}{2} \operatorname{sgn}(\rho_j^{n+1} - \kappa) \kappa (V_{j+1}^n - V_{j-1}^n) \leq 0 \end{aligned}$$

where

$$F_{j+1/2}^\kappa(u, v) = G_{j+1/2}(u \wedge \kappa, v \wedge \kappa) - G_{j+1/2}(u \vee \kappa, v \vee \kappa)$$

$$G_{j+1/2}(u, v) = \frac{1}{2} u V_j^n + \frac{1}{2} v V_{j+1}^n + \frac{\alpha}{2} (u - v)$$

Lax-Wendroff type argument \implies **convergence**

Regularity of solutions⁴

Proposition

If the initial datum $\rho_0 \in W^{1,\infty}(\mathbb{R})$, then the solution $\rho \in W^{1,\infty}(\mathbb{R}^+ \times \mathbb{R})$

Indeed,

$$\left| \frac{\rho_{j+1}^n - \rho_j^n}{\Delta x} \right| \leq e^{7w_\eta(0)(A + \|v''\|_\infty) \frac{n}{2} \Delta t} \sup_j \left| \frac{\rho_{j+1}^0 - \rho_j^0}{\Delta x} \right|$$

$$\left| \frac{\rho_j^{n+1} - \rho_j^n}{\Delta t} \right| \leq [\alpha + v_{\max} + A(1 + w_\eta(0)\Delta x)] \sup_j \left| \frac{\rho_{j+1}^n - \rho_j^n}{\Delta x} \right|$$

⁴[Betancourt&al, Nonlinearity 2011]

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Monotonicity preservation

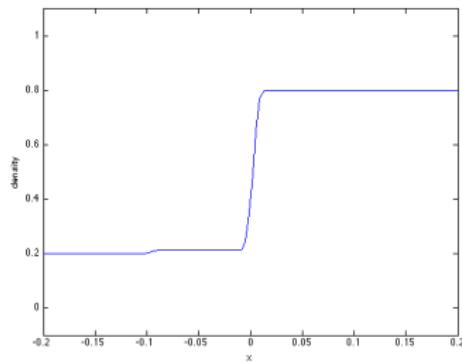
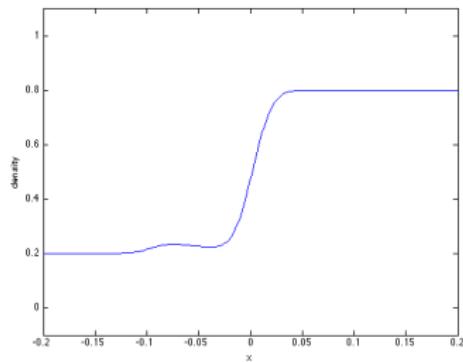
(a) $v(\rho) = 1 - \rho$ (b) $v(\rho) = \ln\left(\frac{1}{\rho}\right)$

Figure: Density profiles at time $t = 0.01$ corresponding to $\rho_L = 0.2$, $\rho_R = 0.8$ and kernel $w_\eta(x) = 1/\eta$, $\eta = 0.1$.

Dependence on the location of the kernel support

We set $v(\rho) = 1 - \rho$ and

downstream: $V_d(t, x) = 1 - \int_x^{x+\eta} \rho(t, y) w_\eta(y - x) dy$

center: $V_c(t, x) = 1 - \int_{x-\eta/2}^{x+\eta/2} \rho(t, y) w_\eta(y - x) dy$

upstream : $V_u(t, x) = 1 - \int_{x-\eta}^x \rho(t, y) w_\eta(y - x) dy$

Dependence on the location of the kernel support

Rarefaction

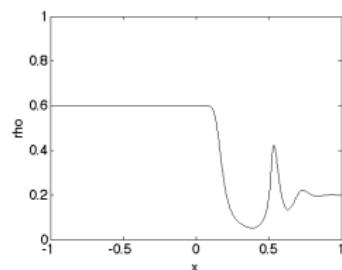
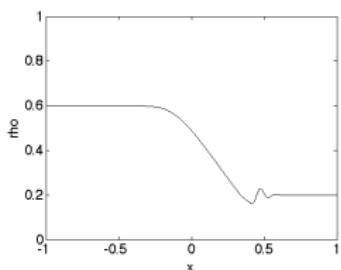
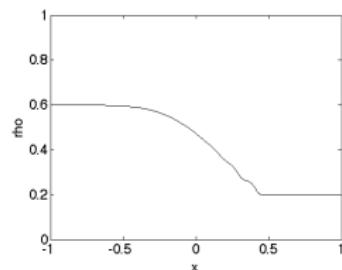
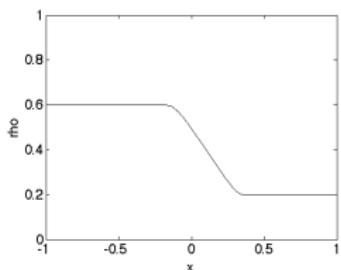


Figure: $w_\eta(x) = 1/\eta$ with downstream, central and upstream supports respectively and initial data $\rho_L = 0.6$, $\rho_R = 0.2$

Dependence on the kernel support

Shock

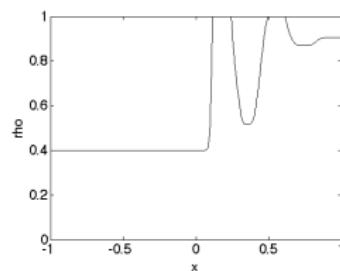
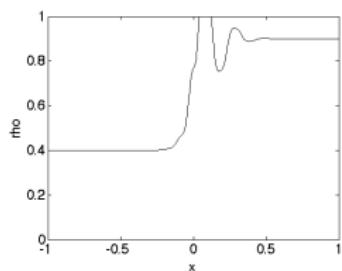
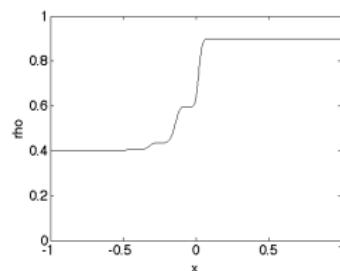
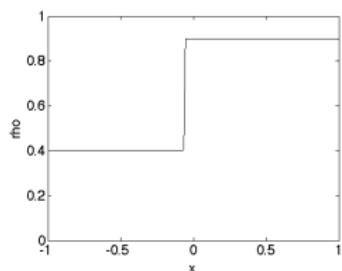


Figure: $w_\eta(x) = 1/\eta$ with downstream, central and upstream supports respectively and initial data $\rho_L = 0.4$, $\rho_R = 0.9$

Dependence on the kernel support

Oscillating initial datum

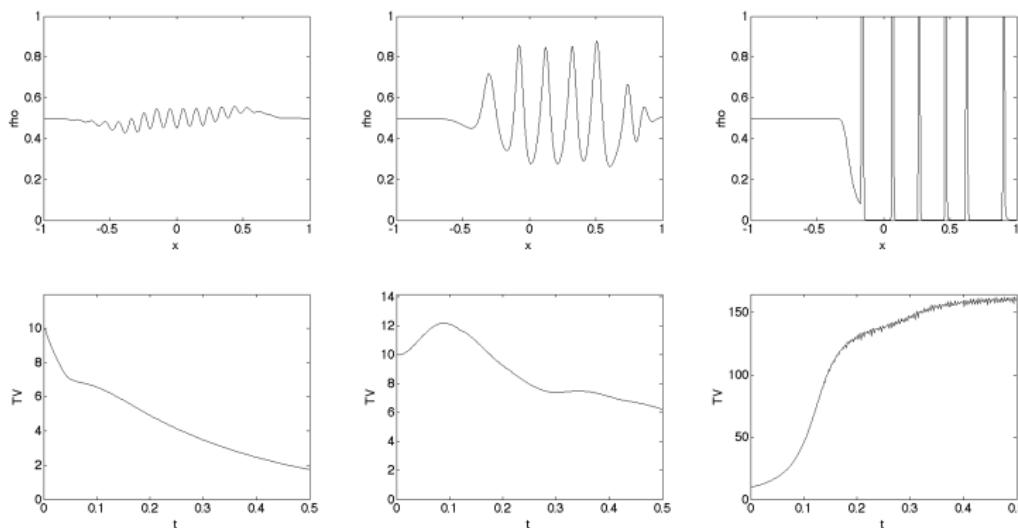


Figure: $w_\eta(x) = 1/\eta$ with downstream, central and upstream supports respectively

Kernel monotonicity

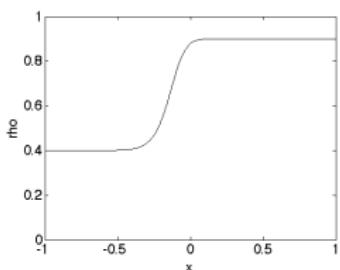
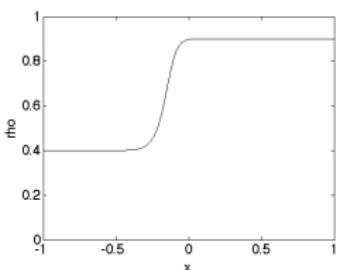
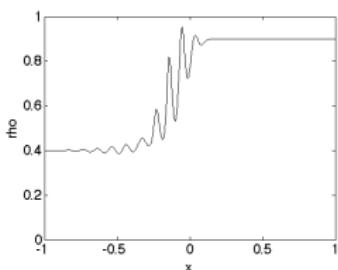
(a) $w_\eta(x) = 1/\eta$ (b) $w_\eta(x) = 2(\eta - x)/\eta^2$ (c) $w_\eta(x) = 2x/\eta^2$

Figure: $\rho(t = 0.5, \cdot)$ corresponding to $\rho_L = 0.4$, $\rho_R = 0.9$

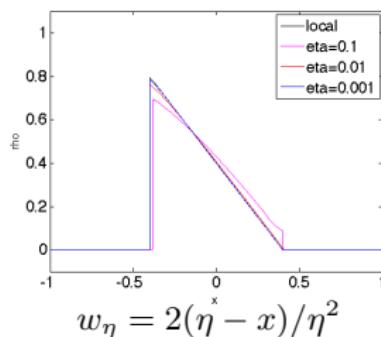
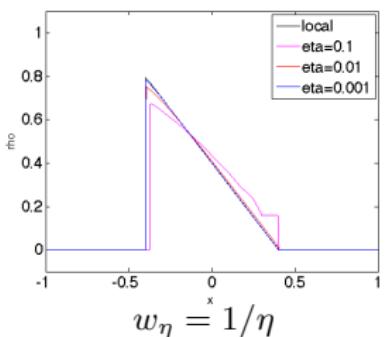
	$w_\eta(x) = 1/\eta$		$w_\eta(x) = 2(\eta - x)/\eta^2$		$w_\eta(x) = 2x/\eta^2$	
Δx	$\gamma(\Delta x)$	\mathbf{L}^1 -error	$\gamma(\Delta x)$	\mathbf{L}^1 -error	$\gamma(\Delta x)$	\mathbf{L}^1 -error
0.01	0.98021	3.013e-03	1.06427	3.315e-02	-0.42189	1.241e-01
0.005	0.93000	1.709e-03	1.06119	1.590e-02	-0.88509	1.287e-01
0.0025	0.61590	1.044e-03	0.87964	7.650e-03	-0.13054	1.303e-01
0.00125	0.44360	6.344e-04	1.05856	3.696e-03	0.15360	1.069e-01
0.000625	0.57113	3.632e-04	0.99995	1.547e-03	0.27699	7.093e-02

Table: Convergence orders and \mathbf{L}^1 -errors to the reference solutions corresponding to $\Delta x = 0.00015625$

Limit $\eta \searrow 0$

$$\partial_t \rho + \partial_x (\rho v(\rho * w_\eta)) = 0 \quad \rightarrow \quad \partial_t \rho + \partial_x (\rho v(\rho)) = 0 \quad ??$$

We consider $v(\rho) = 1 - \rho$ and $\rho_0(x) = \begin{cases} 0.8 & \text{if } -0.5 < x < -0.1 \\ 0 & \text{otherwise} \end{cases}$



η	$w_\eta(x) = 1/\eta$		$w_\eta(x) = 2(\eta - x)/\eta^2$	
	$\gamma(\eta)$	\mathbf{L}^1 -error	$\gamma(\eta)$	\mathbf{L}^1 -error
0.1	0.747605	6.417287 e-02	0.764526	4.814767 e-02
0.01	0.877130	1.147483 e-02	0.920991	8.280359 e-03
0.001	-	1.522703 e-03	-	9.932484 e-04

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Microscopic model⁵

N -dimensional dynamical system with metric interaction

$$\dot{x}_N = v(0)$$

$$\begin{aligned} \dot{x}_i &= v \left(\frac{M}{N} \sum_{j=1}^N w_\eta^N(x_{i+j} - x_i) \right) && \text{for } i = N-1, \dots, 1 \\ x_i(0) &= x_i^0 \end{aligned}$$

where w_η^N is a regularized (continuous) kernel

$$M = \int \rho_0(x) dx$$

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⁵[GoatinRossi, in preparation]

Microscopic model⁵

N -dimensional dynamical system with metric interaction

$$\dot{x}_N = v(0)$$

$$\begin{aligned} \dot{x}_i &= v \left(\frac{M}{N} \sum_{j=1}^N w_\eta^N(x_{i+j} - x_i) \right) && \text{for } i = N-1, \dots, 1 \\ x_i(0) &= x_i^0 \end{aligned}$$

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Related works:

- FTL \rightarrow LWR:

[ColomboRossi, Rend. Sem. Mat. Univ. Padova 2014]

[DiFrancescoRosini, ARMA 2015]

⁵[GoatinRossi, in preparation]

Discrete maximum principle

Due to the non-increasing monotonicity of w_η , there holds

- $x_i^0 - x_{i-1}^0 \geq \ell \quad \forall i \quad \implies \quad x_i(t) - x_{i-1}(t) \geq \ell \quad \forall i \quad \forall t > 0$
- $x_i^0 - x_{i-1}^0 \leq L \quad \forall i \quad \implies \quad x_i(t) - x_{i-1}(t) \leq L \quad \forall i \quad \forall t > 0$

Convergence

Define the empirical measure

$$\rho^N(t, \cdot) := \frac{M}{N} \sum_{i=1}^N \delta_{x_i(t)}$$

weak solution of

$$\partial_t \rho^N + \partial_x \left(\rho^N v \left(\int w_\eta^N(y - x) d\rho^N(t, y) \right) \right) = 0$$

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Theorem [GoatinRossi, in preparation]

Let $\rho_0 \in \text{BV}(\mathbb{R}; [0, \rho_{\max}])$ with compact support. Then for any $T > 0$ we have

$$\rho^N \rightharpoonup \rho$$

weakly in the sense of measures.

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Proof. Relies on ∞ -Wasserstein distance

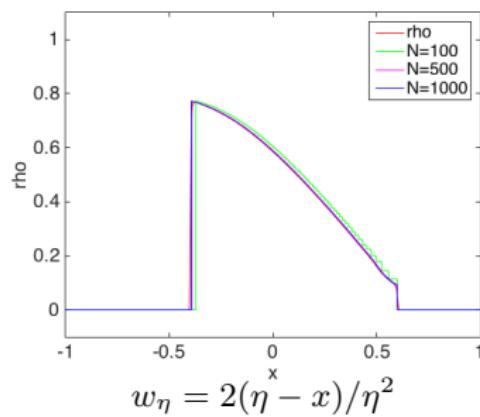
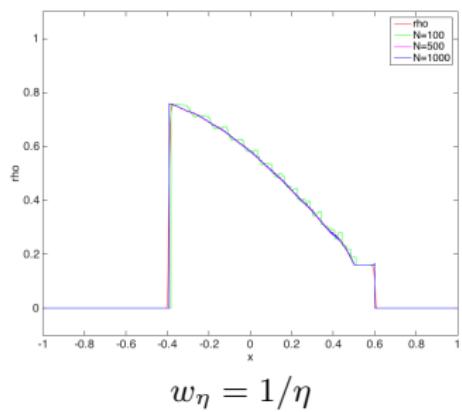
$$W_\infty(\mu, \nu) := \inf \{ \lambda - \text{ess sup } |y - x| : \lambda \in \Pi(\mu, \nu) \}$$

[ChampionDePascaleJuutinen, SIAM J. Math. Anal. 2008]

Micro-macro limit

Numerically, we consider

$$\tilde{\rho}_N(t, \cdot) := \sum_{i=1}^{N-1} \frac{M/N}{x_{i+1}(t) - x_i(t)} \chi_{[x_i(t), x_{i+1}(t)[}$$



Outline of the talk

- 1 Non-local conservation laws
- 2 A traffic flow model with non-local velocity
- 3 Well-posedness
- 4 Numerical tests
- 5 Micro-macro limit
- 6 Perspectives

Perspectives

Some open problems:

- boundary conditions
- topological VS metric interactions
- finite acceleration models for pollution estimation

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- boundary conditions
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Thank you!