

Braid Group, Gauge Invariance and Topological Order

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Outline

- Motivation: Topological Matter (Phases)
- IQHE: Topological Number
- IQHE: Bulk-Edge Connection (omitted)
- FQHE: Ground State Degeneracy
- FQHE: Fractional Quantum Number (Charge)
- FQHE: Fractional Statistics
- Classification of Abelian Topological Orders
- Epilogue or Prologue:
Non-abelian Topological Order

Topological Order: Beyond the Landau's Theory

- Novel phases **at $T=0$** : due to **quantum** effects
- **No** symmetry breaking
- **No** order parameter(s)
- Partly manifested by a **topological** number, that is **robust** against weak disorders and interactions

Examples: fractional **quantum Hall effect**, **frustrated spin models**, **Mott insulators**, etc.

Properties of FQHE

- Manifested by an **exotic topological** number, **robust** against weak disorders & interactions
- **Close relation** between **bulk and edge**
- **Topology** dependent **GSD**
(**Ground State Degeneracy**)
- **Fractionalization** of quantum numbers
- **Fractional statistics** of quasiparticles

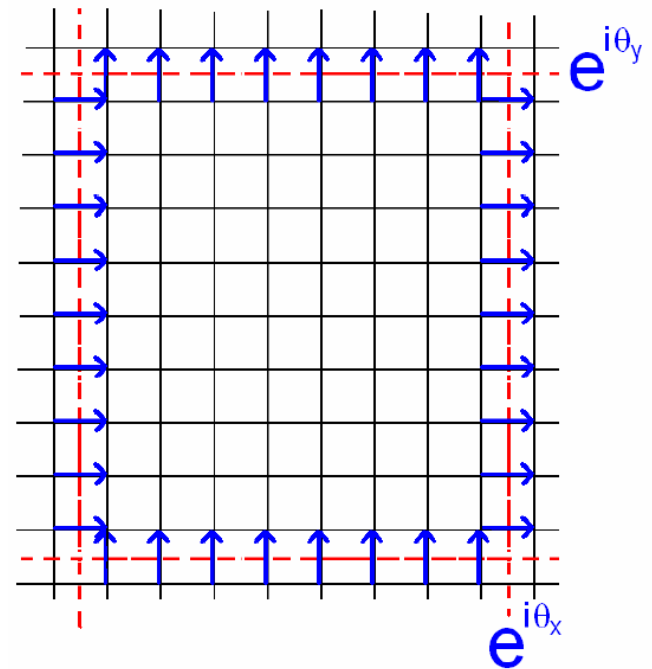
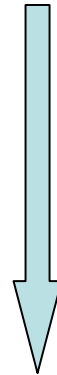
Many-body Definition of Chern Number (I)

Niu, Thouless, Y.S. Wu (1985)

- k -space topology may *not be appropriate* for general many-body systems.
- Many-body definition of Chern number: Twist boundary condition
- General Many-body Hamiltonian:

$$H_0 = - \sum_{\langle ij \rangle} t_{ij, \alpha\beta} c_{i\alpha}^\dagger c_{j\beta} + H_{\text{int}}$$

$$t_{ij} \rightarrow \begin{cases} t_{ij} e^{i\theta_x}, & \langle ij \rangle \text{ cross } L_x \\ t_{ij} e^{i\theta_y}, & \langle ij \rangle \text{ cross } L_y \end{cases}$$



- Parameterized Hamiltonian

$$H = H(\theta_x, \theta_y)$$

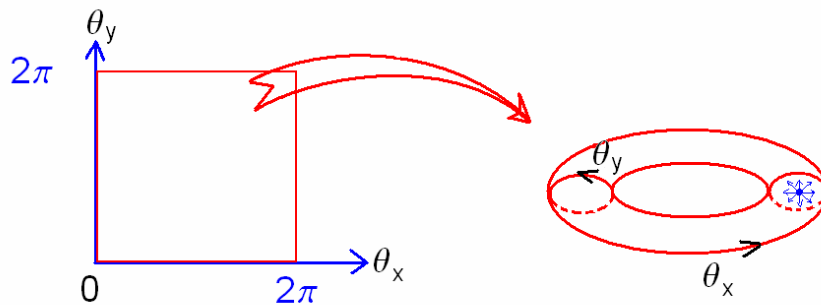
Many-body Definition of Chern Number (II)

- **Berry Phase gauge field in Parameter Space** (θ_x, θ_y)

$$A_\mu = -i \langle G(\theta_x, \theta_y) | \frac{\partial}{\partial \theta_\mu} | G(\theta_x, \theta_y) \rangle, \mu = 1, 2$$

- **First Chern number: Total flux in Parameter Space.**
- **Well-defined for insulator without ground state degeneracy.**

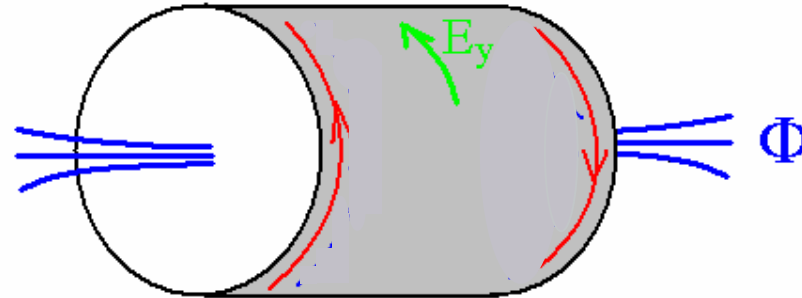
$$\begin{aligned} N &= \frac{1}{2\pi} \int_0^{2\pi} \int_0^{2\pi} d\theta_x d\theta_y F_{xy}(\theta_x, \theta_y) \\ &\equiv \frac{1}{2\pi} \int_0^{2\pi} \int_0^{2\pi} d\theta_x d\theta_y \left(\frac{\partial A_y}{\partial \theta_x} - \frac{\partial A_x}{\partial \theta_y} \right) \end{aligned}$$



Parameter Space

For any 2-d insulator, the many-body Chern number defines a *topological order*, which is stable against any small local perturbation

Laughlin's Gauge Argument (1981)



Adiabatically threading a unit flux $0 \rightarrow \Phi_0$



Gauge invariance (AB period)



Return to the original ground state



Transport an integral number of electrons



Integrally quantized Hall conductance

Ground State Degeneracy in FQHE

(Tao & Wu, 1984; Niu, Thouless, Wu, 1985)

Question: How is **fractional** topological number possible?

Answer: In Laughlin's argument on a **cylinder or torus**,
after adiabatically threading a **unit** magnetic flux,

Gauge invariance \longrightarrow energy spectrum unchanged

But a ground state may go to **another ground state**,
not necessarily back to **the original state**

Then **need** to thread **an integer multiple** of flux quanta,
to return to the original ground state and
to transport an integral number of electrons



Fractionally quantized Hall conductance

Topology Dependent GSD

For Fractional Quantum Hall Effect

- Sphere: **unique** ground state (Haldane, 1983)
- Torus: **degenerate** GS (Yoshioka et al., 1984)
- Proof for any number of handles: (Wen & Niu, 1989)
 - Use Chern-Simons effective theory
 - Use Magnetic translation operators
- K-matrix Formulation of Multiple Abelian CS theory:
(Read, 1990; Wen, Zee, Fröhlich, 1990-1991)

Fractional Charged Quasiparticles

- Laughlin wave function (1983)

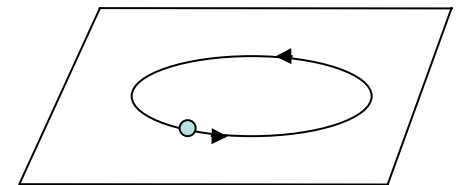
$$\Psi_0(z_1, \dots, z_N) = \prod_{i < j} (z_i - z_j)^3 \exp \left\{ -\frac{1}{2} \sum_i z_i z_i^* \right\}$$

$$\Psi_1(z_1, \dots, z_N; Z) = \left(\prod_i (z_i - Z) \right) \Psi_0(z_1, \dots, z_N)$$

- Berry's Phase Argument:

(Avorav, Schrieffer, Wilczek, 1984)

- Move a quasiparticle along a loop
- Quasiparticle sees electrons as unit flux
- Electron filling factor is fractional

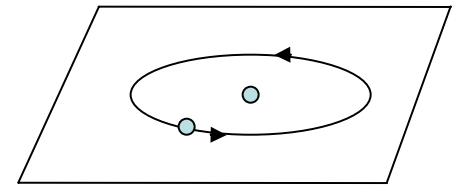


Quasiparticle charge is fractional

Fractional Statistics of Quasiparticles

- Hierarchy and Stability: (Halperin, 1983)
- Berry's Phase Argument:
(Avorav, Schrieffer, Wilczek, 1984)

- Move a quasihole around the other one along a loop
↔ Exchange them twice



- Quasihole sees the other as a defect
- Fractional Berry phase

→ Fractional exchange phase

Classification of Abelian Topological Orders

- Use **Many-Body Wave-Functions**:
 - Laughlin 83; Haldane-Rezayi 85
- Early attempts for abelian FQH states:
 - ✓ **Effective Chern-Simons Theory**:
 - Zhang, Hanson, Kivelson, 87; Niu and Wen, 88
 - ✓ **Multiple Abelian Chern-Simons Theory**
(K-matrix formulation)
 - Read, 90; Wen, Zee and Frohlich: 90-91
- Attempts based on **Braid Group and Gauge Invariance**
 - Start with anyonic quasiparticles**
 - Hatsugai, Kohmoto, Wu: 90-91
 - Sato, Kohmoto, Wu, 06

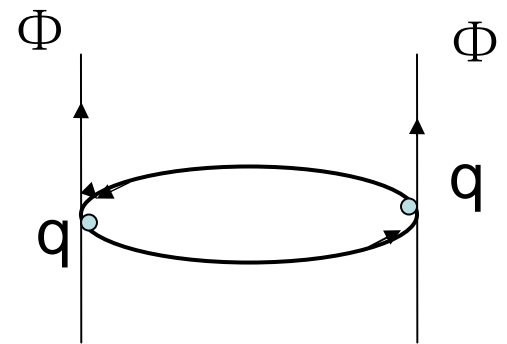
Anyons and Braid Group

- Anyons: Wilczek (Wave function, 1982)

Charge-Flux Composite

Exchange phase

$$\exp\{i\theta\} \quad (\theta / \pi = q\Phi)$$



- Braid Group on a plane: Wu (Path Intergral, 1984)

Generators: σ_i

(exchange **counterclockwise**)

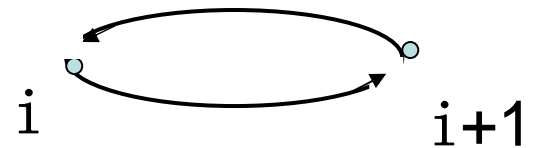
Relations:

$$\sigma_i \sigma_j = \sigma_j \sigma_i \quad (|i - j| > 1)$$

$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$$

1d Repres:

$$\sigma_i = e^{i\theta}$$



(Note: If add $\sigma_i^2 = 1$, then it becomes the permutation group.)

Braiding of Three Anyons

- **Path dependence** of exchange phases:
Enclosing the third particle or not

$$\longrightarrow e^{i\theta} \text{ or } e^{i3\theta}$$

- Permuting wave function: **not work**
- Generalizing usual commutation relation of creation and annihilation operators: **not work**
- Key point is **to keep track of path dependence:**

Path Integral or Monodromy

- **Necessary** to study braid group **on various topology**

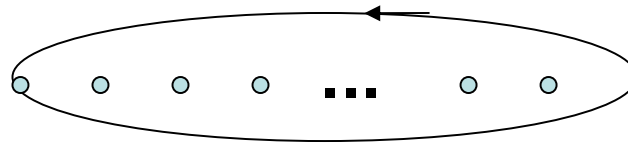
Braid Group on Sphere

(Thouless & Wu, 85)

- The same set of generators
- One more relations

$$\sigma_1 \sigma_2 \cdots \sigma_{N-1}^2 \sigma_{N-2} \cdots \sigma_1 = 1$$

- Consequence of spherical topology



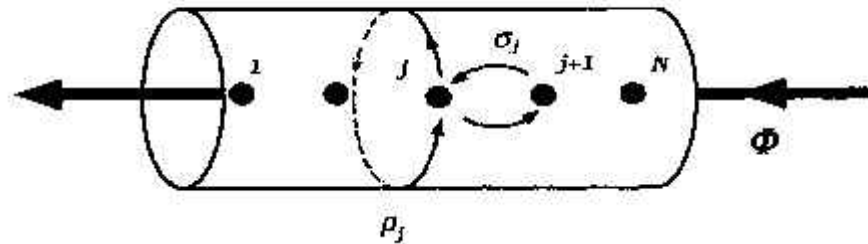
- Constraint for anyons on the sphere (Shift):

$$e^{i2\theta(N-1)} = 1$$

Relations on Cylinder (Annulus)

(Hatsugai, Kohmoto, Wu: 1990)

- More Generators:



More Relations:

$$\sigma_i \sigma_j = \sigma_j \sigma_i \quad (i \neq j \pm 1), \quad \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1},$$

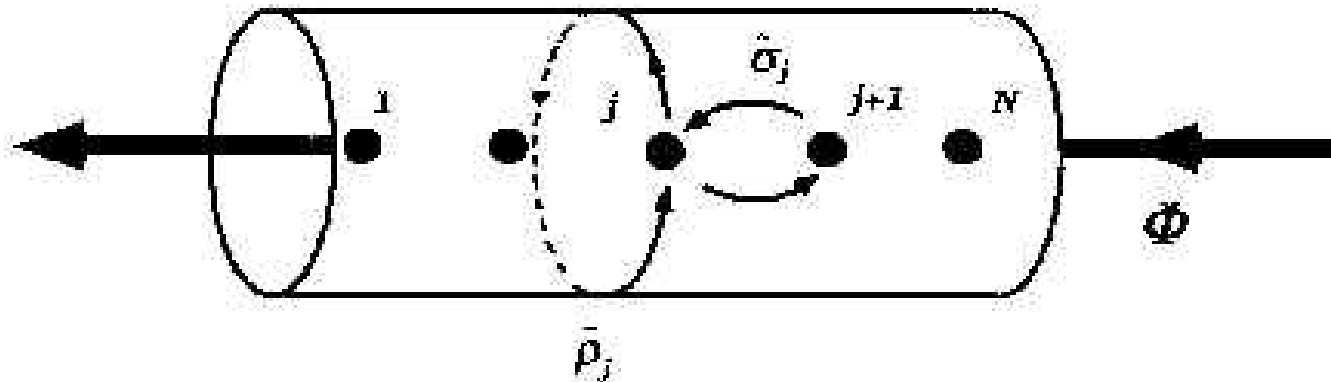
$$\rho_i \rho_j = \rho_j \rho_i, \quad \sigma_i \rho_j = \rho_j \sigma_i \quad (i \neq j, j-1),$$

$$\rho_{j+1} = \sigma_j \rho_j \sigma_j.$$

1D Repre. on a Cylinder

$$\rho_j = \exp(i 2\pi\Phi)$$

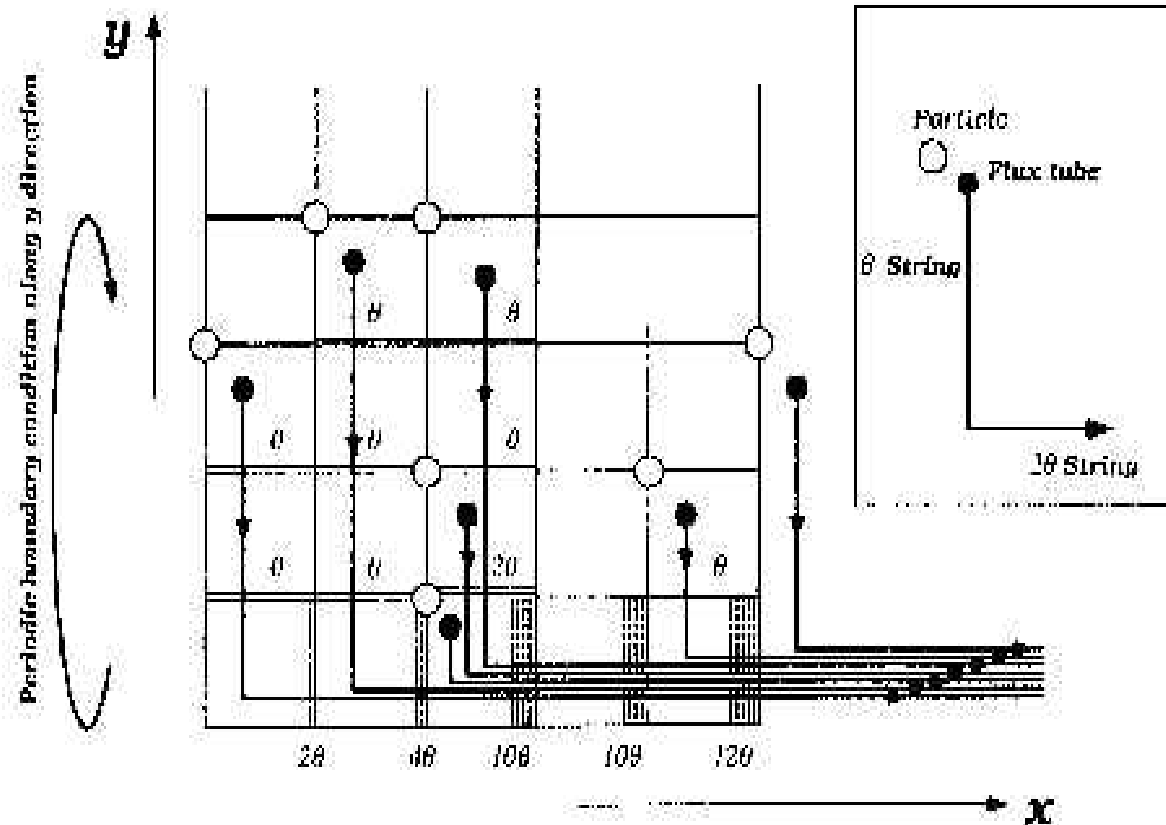
$$\check{\rho}_{j+1} = \check{\sigma}_j \bar{\rho}_j \bar{\sigma}_j$$



$$\rho_j = \exp[i(2\pi\Phi + 2\theta(j-1))]$$

AB period is determined by anyon charge.

Anyon Hopping Rule on a Cylinder



Puzzle for the AB-period of Quasiparticles (due to Gauge Invariance)

Known: The AB period of quasiparticle is hc/e^* .
The AB period of constituent is hc/e .

Question: How come quasiparticles can have
a **smaller** AB period if $e^* = e/n$?

Answer: (Hatsugai, Kohmoto, Wu, PRL; 1991)

Degenerate states of quasiparticles is n .

Analytic Proof: Use braid group on a cylinder

Numerical Proof: Use anyon hopping rules

Gauge Invariance (A-B Period) of Fractional Charged Anyons

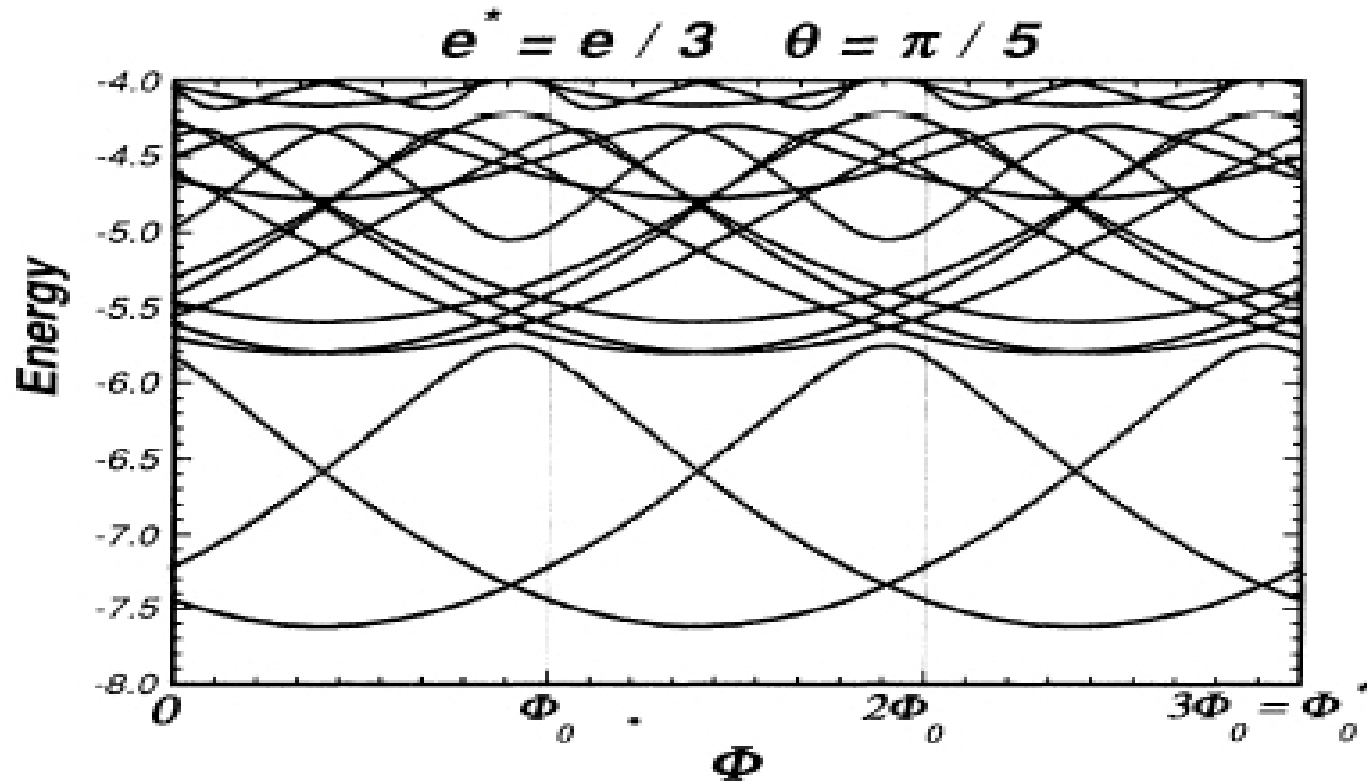
A higher dimensional representation of BG:

$$\rho_j(\Phi) = \exp\{i2\theta(j-1) + i2\pi e^* \Phi / hc\} W$$

$$W = \begin{bmatrix} 0 & 1 & \dots & 0 \\ \vdots & 0 & \ddots & \vdots \\ 0 & & \ddots & 1 \\ 1 & 0 & \dots & 0 \end{bmatrix}$$

On a **cylinder** emerges a **topological Z_n symmetry** in topological phases with **fractionalization**, requiring **degenerate ground states** (related to **gapless edge states?**)

Numerical Evidence



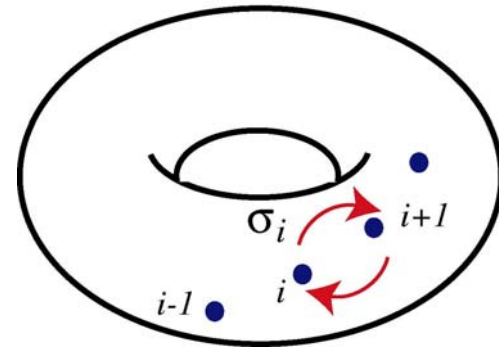
GSD is determined by **fractional charge** !

Braid Group on a Torus

(Enarsson, 1989)

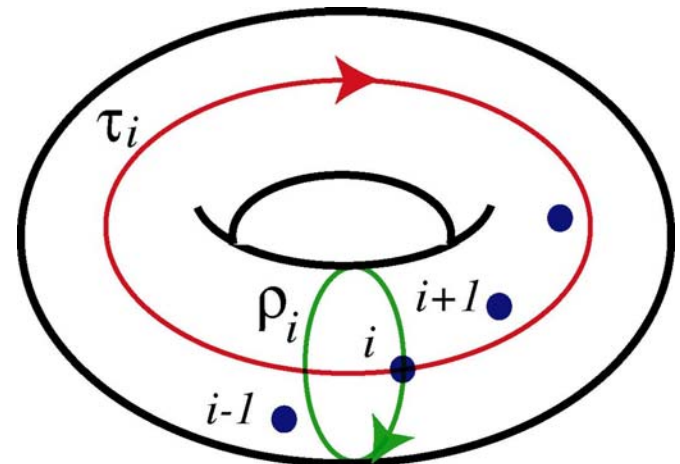
- Generators:

σ_i : Exchange operation



ρ_i : Translation operation

τ_i : along a nontrivial loop



Braid Group on a Torus (II)

- Relations: (J. Birman, 1965)

$$\sigma_k \sigma_l = \sigma_l \sigma_k, \quad 1 \leq k \leq N-3, \quad |l-k| \geq 2,$$

$$\sigma_k \sigma_{k+1} \sigma_k = \sigma_{k+1} \sigma_k \sigma_{k+1}, \quad 1 \leq k \leq N-2,$$

$$\tau_{i+1} = \sigma_i^{-1} \tau_i \sigma_i^{-1}, \quad \rho_{i+1} = \sigma_i \rho_i \sigma_i,$$

$$\tau_1 \sigma_j = \sigma_j \tau_1, \quad \rho_1 \sigma_j = \sigma_j \rho_1, \quad \sigma_i^2 = A_{i+1,i},$$

$$A_{j,i} = \tau_j^{-1} \rho_i \tau_j \rho_i^{-1}, \quad C_{j,i} = \rho_j^{-1} \tau_i \rho_j \tau_i^{-1},$$

$$A_{m,l} \tau_k = \tau_k A_{m,l}, \quad A_{m,l} \rho_k = \rho_k A_{m,l}$$

$$\tau_i \tau_j = \tau_j \tau_i, \quad \rho_i \rho_j = \rho_j \rho_i,$$

$$C_{j,i} = (\tau_i \tau_j) A_{j,i}^{-1} (\tau_j^{-1} \tau_i^{-1}), \quad A_{j,i} = (\rho_i \rho_j) C_{j,i}^{-1} (\rho_j^{-1} \rho_i^{-1}),$$

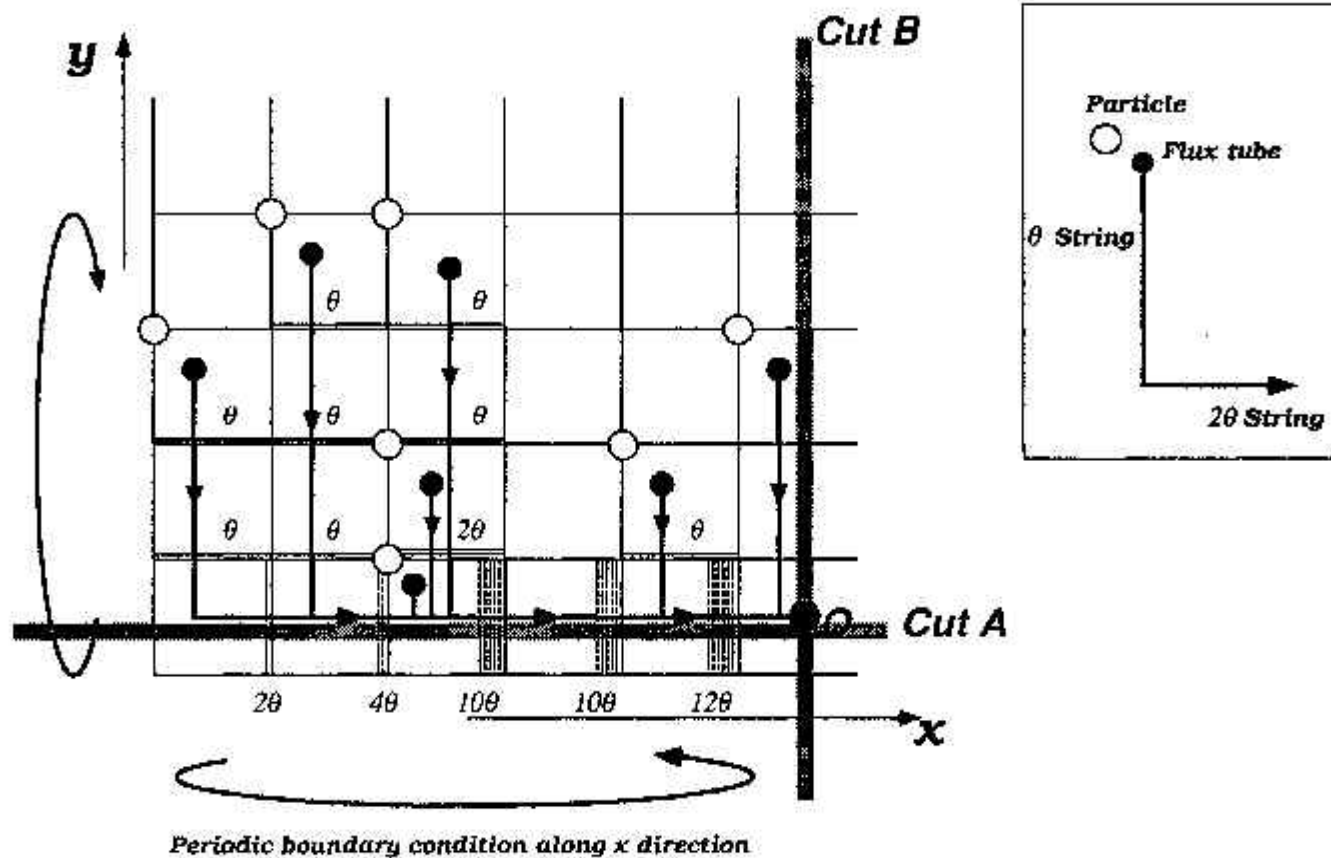
$$C_{j,i} = (A_{j,j-1}^{-1} \cdots A_{j,i+1}^{-1}) A_{j,i}^{-1} (A_{j,i+1} \cdots A_{j,j-1}),$$

$$\tau_1 \rho_1 \tau_1^{-1} \rho_1^{-1} = A_{2,1} A_{3,1} \cdots A_{N-1,1} A_{N,1},$$

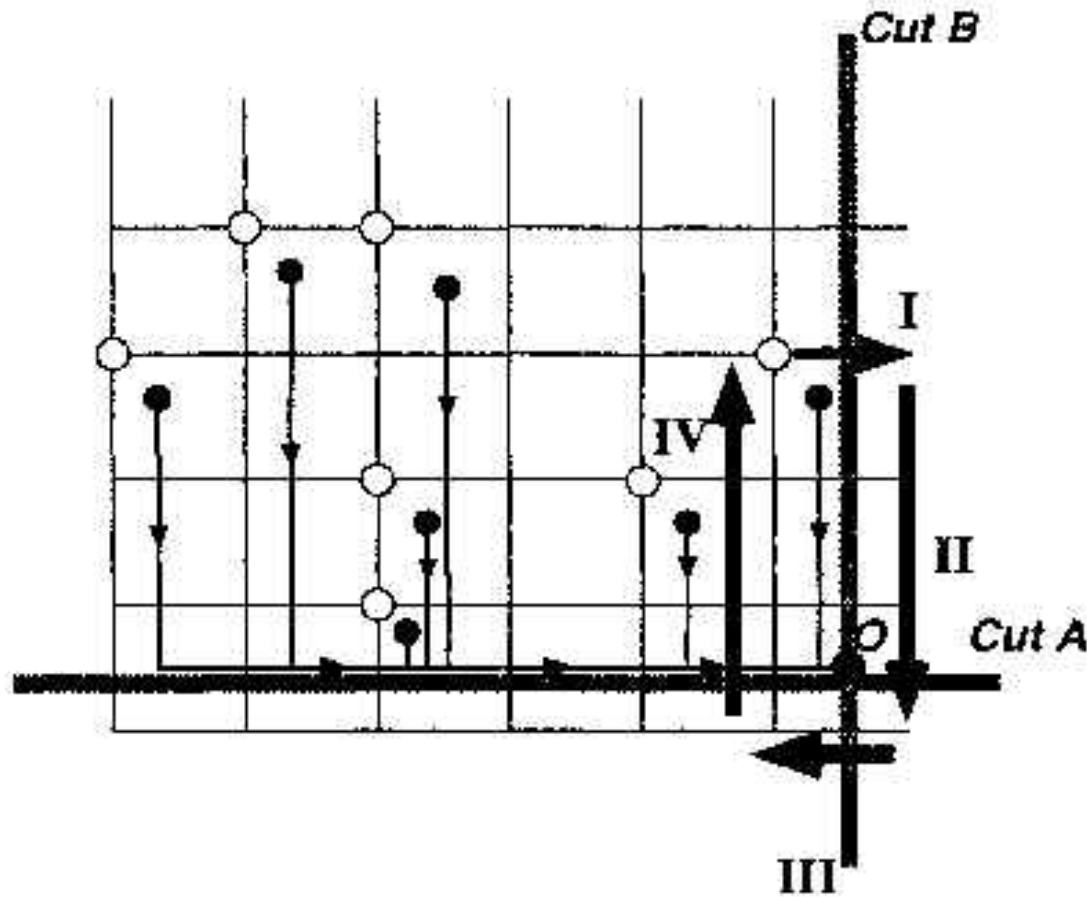
where $1 \leq k < l < m \leq N$ and $1 \leq i < j \leq N$.

Anyon Hopping on a Torus

(Wen, Dagotto, Fradkin, 90; Hatsugai, Kohmoto, Wu, 90)



End of Flux (on Torus)



Total flux ended at O is invisible: $e^{i2N\theta} = 1$

Pattern of GSD on Torus

Assume fractional particle number: $e^*/e = p/q$

- In abelian FQH states (anyons)

$$GSD = q^g \quad (g = 1)$$

- In fractionalized liquids (bosons or fermions)

$$GSD = (q^2)^g \quad (g = 1)$$

Read, Sachdev 91; Senthil, Fisher, 01;
Balents, Fisher, Girvin, 02;

Question: q or q^2 on torus ?

Flux Insertion and Large Gauge Transformations

U_x : change A_x from 0
to $2\pi / eL$

Relations:

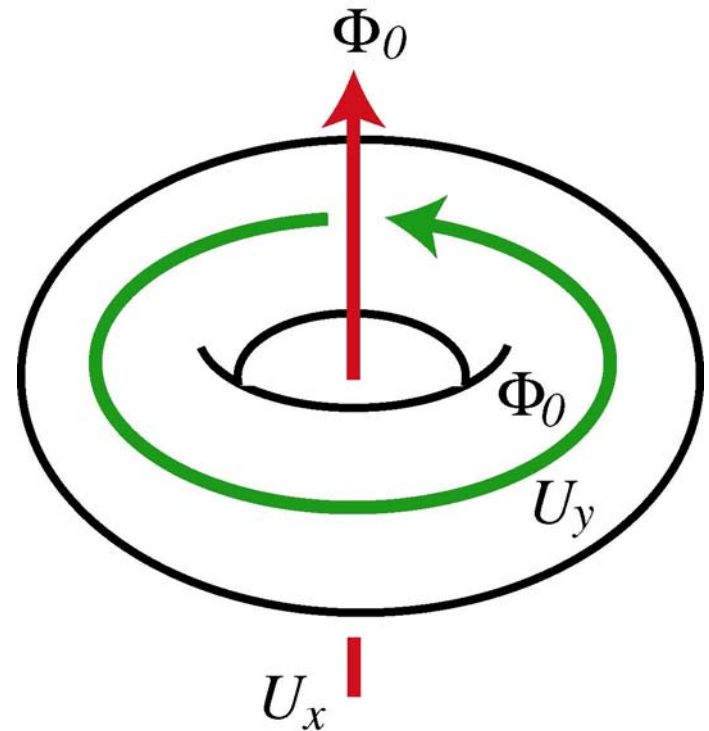
$$U_x \rho_i = \rho_i U_x, \quad U_x \sigma_i = \sigma_i U_x.$$

$$U_x \tau_i = e^{i2\pi e^* / e} \tau_i U_x$$

Automorphism of Braid Group:

$$\sigma'_i = U_a \sigma_i U_a^{-1}, \quad \tau'_i = U_a \tau_i U_a^{-1}, \quad \rho'_i = U_a \rho_i U_a^{-1}.$$

→ σ'_i, ρ'_i and τ'_i satisfy same Braid Group relations



Abelian Topological Orders

Abelian quasiparticle statistics:

$$\sigma_j = e^{i\theta} \mathbf{1},$$

Braid Group Relations \longrightarrow

$$\tau_j = e^{-2i\theta(j-1)} T_x, \quad \rho_j = e^{2i\theta(j-1)} T_y,$$

$$T_x T_y = e^{-2i\theta} T_y T_x.$$

T_x, T_y, U_x and U_y act on many-body Hilbert space

Fundamental Discrete Algebra for Abelian Topological Order

(Sato, Kohmoto, Wu, PRL 06; cond-mat/0604506)

Fractional statistics ($\theta = 2\pi m/n$) :

$$T_x T_y = e^{-2\pi i m/n} T_y T_x.$$

Fractional charge ($e^*/e = p/q$) :

$$\begin{aligned} U_x T_x U_x^{-1} &= e^{-2\pi i p/q} T_x, & U_y T_x U_y^{-1} &= T_x, \\ U_x T_y U_x^{-1} &= T_y, & U_y T_y U_y^{-1} &= e^{-2\pi i p/q} T_y. \end{aligned}$$

Non-commutativity λ : (motivated by topol. invariant)

$$U_x U_y = e^{2\pi i \lambda} U_y U_x.$$

Minimal GS Degeneracy

- Theorem: Given rational θ and e^*/e , the GSD is an integral multiple of $n\mathbf{Q}^2$.

Here $\theta = 2\pi \frac{m}{n}$, $\frac{e^*}{e} = \frac{p}{q}$, and

$$\frac{n}{q} = \frac{\mathbf{N}}{\mathbf{Q}} \quad (\mathbf{N} \text{ and } \mathbf{Q} \text{ coprime})$$

Example 1

If $\theta = \pi/n$ and $e^* = e/n$ (Laughlin states with $\nu = 1/n$)

Then, minimal GSD is just n .

Realization of Minimal GSD: (with $U_x U_y = \underline{e^{-2\pi i/n}} U_y U_x$)

$$\begin{aligned} T_x &= S_{n \times n}, & T_y &= R_{n \times n}, \\ U_x &= R_{n \times n}^{-1}, & U_y &= S_{n \times n}. \end{aligned}$$



Here $S_{n \times n} = \text{diag}\{1, e^{i2\pi/n}, \dots, e^{i2\pi(n-1)/n}\}$ and

$$R_{n \times n} = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ \vdots & 0 & \ddots & \ddots & \vdots \\ \vdots & \vdots & \ddots & \ddots & 0 \\ 0 & \dots & \dots & 0 & 1 \\ 1 & 0 & \dots & \dots & 0 \end{pmatrix}.$$

FQH conductance is

$$\sigma_{xy} = \frac{1}{n} \frac{e^2}{h}$$

Example 2

If $\theta = 0$ or π (quasiparticles are bosons or fermions)

Then minimal GSD is q^2 . (Oshikawa, Senthil, 2006)

Realization of Minimal GSD:

$$\begin{aligned} T_x &= R_{q \times q} \otimes 1_{q \times q}, & T_y &= 1_{q \times q} \otimes R_{q \times q}, \\ U_x &= S_{q \times q}^P \otimes 1_{q \times q}, & U_y &= 1_{q \times q} \otimes S_{q \times q}^P. \end{aligned}$$

In this realization,

U_x and U_y commutes with each other.

More Examples

- For q and n coprime,

$$\text{GSD} = nq^2$$

$$T_x = 1_{q \times q} \otimes R_{q \times q} \otimes S_{n \times n}^m,$$

$$T_y = R_{q \times q} \otimes 1_{q \times q} \otimes R_{n \times n},$$

$$U_x = 1_{q \times q} \otimes S_{q \times q}^p \otimes 1_{n \times n},$$

$$U_y = S_{q \times q}^p \otimes 1_{q \times q} \otimes 1_{n \times n}.$$

with U_x and U_y commute.

(4) $n = \mathcal{N}q$ and $m = 1$. - Because of $Q = 1$, the minimum degeneracy is n . A representation is given by

$$\begin{aligned} T_x &= S_{n \times n}, & T_y &= R_{n \times n}, \\ U_x &= R_{n \times n}^{-\mathcal{N}p}, & U_y &= S_{n \times n}^{\mathcal{N}p}. \end{aligned} \quad (25)$$

U_x and U_y satisfy $U_x U_y = \underline{e^{-2\pi i(\mathcal{N}p^2/q)}}$ $U_y U_x$.

(5) $q = Qn$. - In this case, $\mathcal{N} = 1$, thus the least degeneracy is nQ^2 . When Q and n are mutually prime and $p = m = 1$, we can construct the following representation:

$$\begin{aligned} T_x &= S_{Q \times Q} \otimes S_{n \times n} \otimes 1_{Q \times Q}, \\ T_y &= 1_{Q \times Q} \otimes R_{n \times n} \otimes S_{Q \times Q}, \\ U_x &= R_{Q \times Q}^{-l} \otimes R_{n \times n}^{-k} \otimes 1_{Q \times Q}, \\ U_y &= 1_{Q \times Q} \otimes S_{n \times n}^k \otimes R_{Q \times Q}^{-l}, \end{aligned} \quad (26)$$

where $k/n + l/Q = 1/Qn \pmod{1}$. In this case, $U_x U_y = \underline{e^{-2\pi i k^2/n}}$ $U_y U_x$.

Physical Meaning of Parameter λ

Assume $\lambda = k/l$:

$$U_x U_y = e^{i2\pi k/l} U_y U_x \quad (U_x^l U_y = U_y U_x^l)$$

Theorem (Generalizing Niu-Thouless-Wu)

Hall conductance is

$$\sigma_{xy} = \frac{e^2}{hd} \sum_{r=0}^{d/l-1} I_r = \frac{e^2}{h} \frac{I}{l}.$$

Here d is GSD. All I_r have the same value I .

Summary for This work

- Characterization of the abelian topological orders by means of a discrete symmetry algebra which has a topological origin
- Reveal the interplay between anyon statistics, fractionalization and topological number
- Determine minimal ground state degeneracy without assuming relations between θ , e^* and λ
- Can be generalized to higher genus surfaces
- Generalization to gapless systems?
- Generalization to non-Abelian topological order?
- Mechanism underlying relations between θ , e^* and λ ?

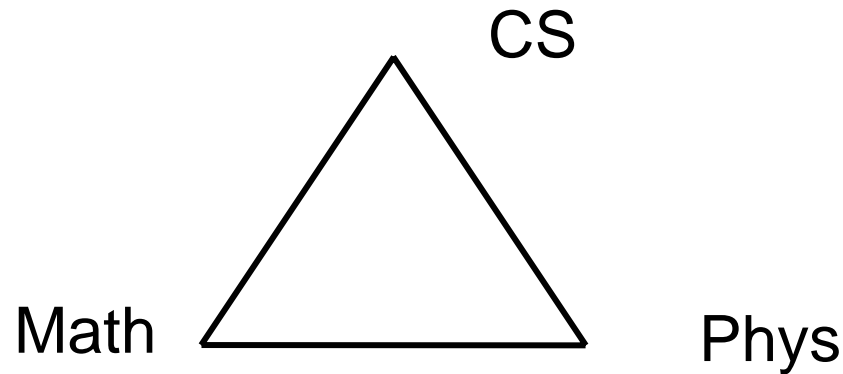
Non-Abelian Topological Order?

- Pfaffin and generalized wave functions:
 - Moore and Read (1990)
 - Rezayi and Read (parafermion states) (1994)
- Candidate state: $\nu = 5/2, 12/5$?
- Non-abelian anyons:
 - Higher dimensional representations
 - of braid groups (also Nayak and Wilczek, 1994)
 - Good for Topological quantum computing
 - Kitaev, 1997; Project-Q, 2003; This workshop
- Generalizations:
 - Wen and Wu (1994); S. Simon (this workshop)

Generalization to Non-abelian Topological Order ?

- Non-abelian anyons are described by Modular tensor category (TQFT)
- Still need to introduce more (and specific) structures (like symmetries) to accommodate rich physics
- Relationship between translations ρ_j and τ_j and modular S-matrix ?
- Relationship between flux insertions U_x and U_y and the symmetry in Wang's yesterday talk ?

Perspective in Topological Quantum Computing A New Chapter in Science!



More Excitements to Come!

Thank you!!