The h-principle for the Euler equations

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$$\partial_t v + v \cdot \nabla v + \nabla p = 0 \qquad \qquad x \in \mathbb{T}^3$$

div $v = 0 \qquad \qquad t \in [0, T]$

Some facts:

- To any given suff. smooth initial data there exists, at least for a short time, a unique suff. smooth solution (Lichtenstein 1930s, Kato 1980s).
- For any suff. smooth solution, the energy is constant in time (classical).
- There exist non-trivial weak solutions with compact support in time (Scheffer 1993).

| Classical | Continuous | Weak | "Very weak" |
|----------------------|---|---------------------------------------|---------------------------------|
| $v \in C^{1,\alpha}$ | $v \in C^0$ | $v \in L^2_{loc}$ | $v \in L^{\infty}(\mathcal{M})$ |
| or | $v \in C^{\alpha}$ | $v \in L^{\infty}$ | measure-valued |
| | | | DiPerna-Majda |
| $v \in H^{s > 5/2}$ | De Lellis-Sz Buckmaster | Scheffer, Shnirelman, De Lellis-Sz | dissipative |
| Lichtenstein, Kato | lsett | Sz-Wiedemann | uissipative |
| | | | P.L.Lions |
| LWP | "wild behaviour", h-principle | | |
| energy conserved | | | existence |
| blow-up? | non-uniqueness, energy not conserved | | (via compactness) |

Weak solutions and non-uniqueness

Theorem (Scheffer 93, Shnirelman 97, De Lellis - Sz. 2009) There exist nontrivial weak solutions of the Euler equations with compact support in space-time.

- [Scheffer] in \mathbb{R}^2 [ShnireIman] in \mathbf{T}^2
- [De Lellis-Sz.] works for general domains in any dimension

Theorem (Scheffer 93, Shnirelman 97, De Lellis - Sz. 2009) There exist nontrivial weak solutions of the Euler equations with compact support in space-time.

Theorem (De Lellis - Sz. 2010) Given e = e(x, t) > 0, there exist infinitely many weak solutions of the Euler equations with $1 |et(x, t)|^2 = e(x, t)$

$$\frac{1}{2}|v(x,t)|^2 = e(x,t)$$

Non-uniqueness in L^2

Theorem (Wiedemann 2011) For any L^2 initial data there exist infinitely many global weak solutions with bounded energy.

- \bullet domain is a torus $n\geq 2$
- first global existence result for weak solutions in dimension $n\geq 3$

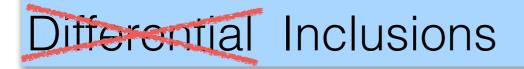
Theorem (Wiedemann 2011) For any L^2 initial data there exist infinitely many global weak solutions with bounded energy.

Theorem

Given v_0 and v_1 with $\int_{\mathbb{T}^d} v_0 dx = \int_{\mathbb{T}^d} v_1 dx$, there exist infinitely many weak solutions of the Euler equations with

$$v(t=0) = v_0, \quad v(t=1) = v_1$$

Differential Inclusions



Toy problem: construct

 $v: [0,1] \to \mathbb{R}$ such that |v| = 1

Baire-category approach

(Cellina, Bressan-Flores, Dacorogna-Marcellini, Kirchheim,....)

$$\{v: |v| = 1 \text{ a.e.}\}$$
 residual in $\{v: |v| \le 1 \text{ a.e.}\}$ $L^{\infty} w^*$

Theorem (folklore)

Any 1-Lipschitz map $u:\Omega\subset\mathbb{R}^n\to\mathbb{R}^n$ can be uniformly approximated by (weak) Lipschitz isometries, i.e. solutions of

 $Du(x) \in O(n)$ a.e. x

$$\begin{cases} \operatorname{curl} A = 0\\ A^T A \le Id \end{cases}$$

short
$$\begin{cases} \operatorname{curl} A = 0\\ A^T A \leq Id \end{cases}$$

$$Du^{T}Du = Id$$

$$\downarrow v + \operatorname{div} (v \otimes v) + \nabla p = 0$$

$$\operatorname{div} v = 0$$

$$\downarrow$$
isometric
$$\begin{cases} \operatorname{curl} A = 0 \\ A^{T}A = Id \end{cases}$$
solution
$$\begin{cases} \partial_{t}v + \operatorname{div} \sigma + \nabla p = 0 \\ \operatorname{div} v = 0 \\ v \otimes v = \sigma \end{cases}$$

$$v \otimes v = \sigma$$

$$v \otimes v = \sigma$$

$$\downarrow$$

$$v \otimes v = \sigma$$

$$div v = 0$$

Theorem: The typical short map is (weakly) isometric.

Theorem: The typical Euler subsolution is a (weak) solution.

ľ

Euler subsolution
$$\begin{cases} \partial_t v + \operatorname{div} (v \otimes v) + \nabla p = -\operatorname{div} R \\ \operatorname{div} v = 0 \\ R \ge 0 \end{cases}$$



Toy problem: construct

 $v: [0,1] \to \mathbb{R}$ such that |v| = 1

Constructive approach: define inductively

$$v_{N+1}(x) = v_N(x) + \frac{1}{2}(1 - |v_N(x)|^2)s(\lambda_N x)$$

amplitude

high-frequency oscillation

 $s(t) = \operatorname{sign} \sin t$

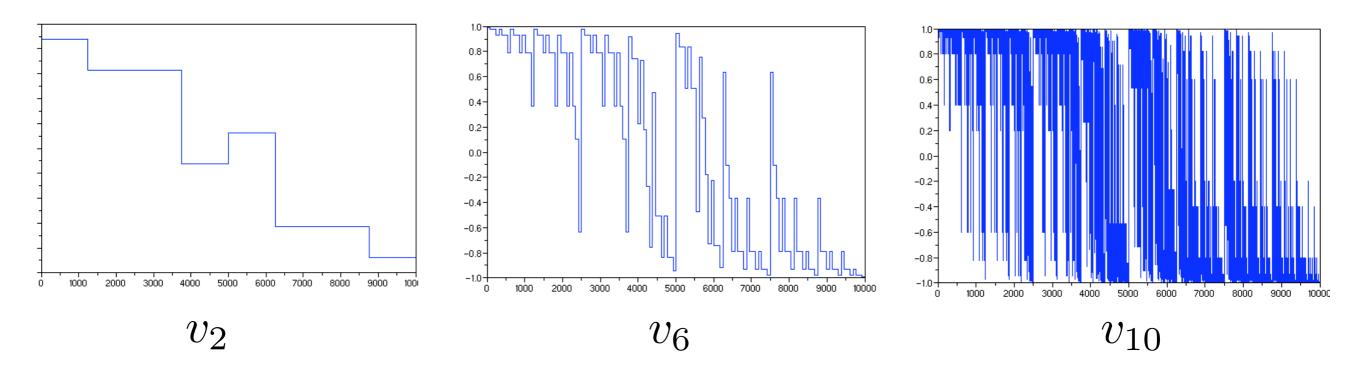
 $|v_N| \le 1 \implies |v_{N+1}| \le 1$



Toy problem: construct

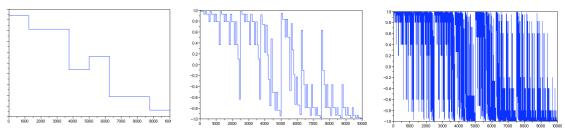
$$v:[0,1] \to \mathbb{R}$$
 such that $|v| = 1$

$$v_{N+1}(x) = v_N(x) + \frac{1}{2}(1 - |v_N(x)|^2)s(\lambda_N x)$$



Differential Inclusions

Toy problem: construct



 $v: [0,1] \to \mathbb{R}$ such that |v| = 1

$$v_{N+1}(x) = v_N(x) + \frac{1}{2}(1 - |v_N(x)|^2)s(\lambda_N x)$$

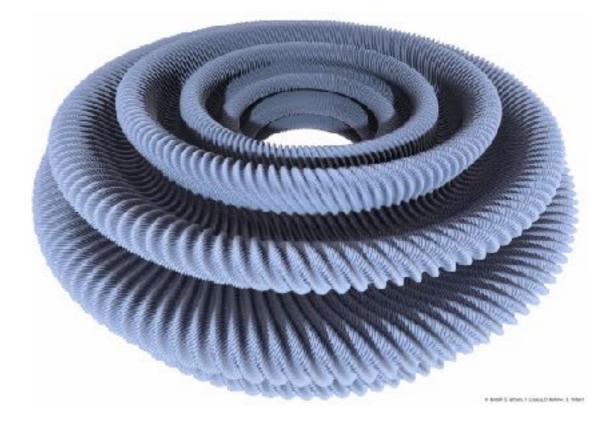
Lemma

If $\lambda_N = 2^N$, there exists $\alpha > 0$ so that $\int_0^1 (1 - |v_N|^2) \, dx \lesssim 2^{-\alpha N}$

Theorem (Nash-Kuiper 1954/55)

Any short embedding $M^n \hookrightarrow \mathbb{R}^{n+1}$ can be uniformly approximated by C^1 isometric embeddings.

- an example of Gromov's h-principle
- method of proof: **convex integration**
- C^2 embeddings are **rigid**
- Lipschitz "version" of theorem is **trivial**



Theorem (Nash-Kuiper 1954/55)

Any short embedding $M^n \hookrightarrow \mathbb{R}^{n+1}$ can be uniformly approximated by C^1 isometric embeddings.

Theorem (Borisov 1967-2004, Conti-De Lellis-Sz. '09) The Nash-Kuiper theorem remains valid for C^1 isometric embeddings with

$$|Du(x) - Du(y)| \le C|x - y|^{\theta} \qquad \theta < \frac{1}{1 + 2n}$$

Note: the embedding $\,S^2 \hookrightarrow \mathbb{R}^3$ is rigid for $\,\theta > 2/3\,$

Selection criteria and instabilities

Admissibility:

$$\int |v(x,t)|^2 \, dx \le \int |v_0(x)|^2 \, dx$$

Theorem (P.L.Lions 1996)

Given an initial data v_0 , if there exists a solution to the IVP with

$$\nabla v + \nabla v^T \in L^{\infty}$$

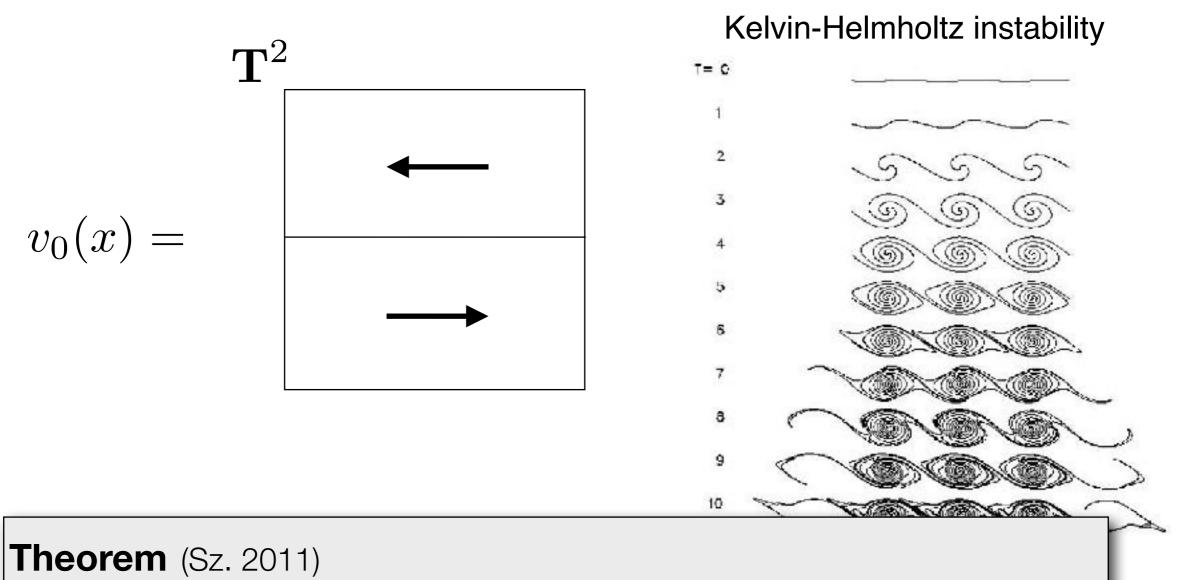
then this solution is unique in the class of *admissible* weak solutions.

- The Scheffer-Shnirelman solution clearly not admissible
- For the solutions of Wiedemann E(t) has an **instantaneous jump** up at t=0

Theorem (De Lellis-Sz. 2010 / Wiedemann-Sz. 2012) There exists a dense set of initial data $v_0 \in L^2$ for which there exist infinitely many admissible weak solutions.

- solutions also satisfy the strong and local energy inequality
- a posteriori such initial data needs to be irregular

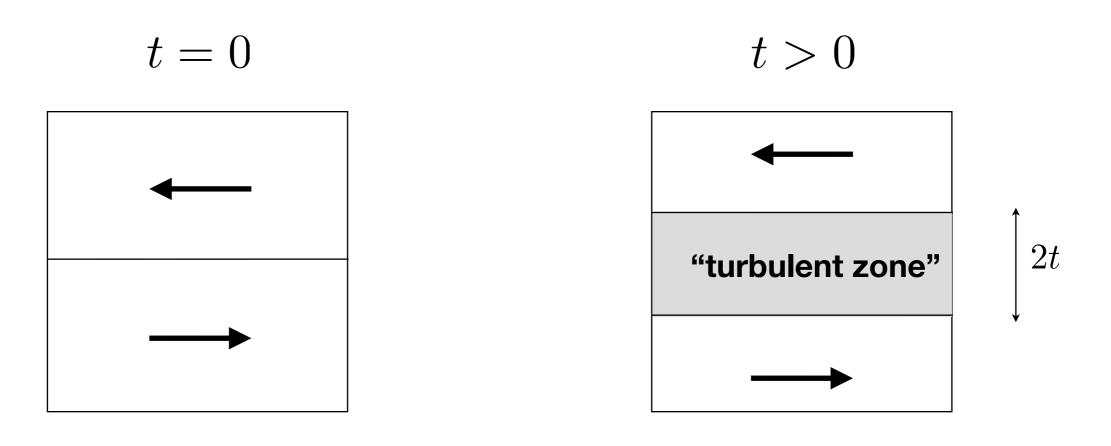
Strong instabilities I: Kelvin-Helmholtz



There exist infinitely many admissible weak solutions on \mathbf{T}^2 with initial data given by v_0 above.

c.f. Delort (1991): there exists a weak solution with $\operatorname{curl} v \in \mathcal{M}_+(\mathbf{T}^2)$

Strong instabilities I: Kelvin-Helmholtz



|v| = 1 a.e.

selection principle?

- The solution above is conservative. Strictly **dissipative solutions** also possible.
- There exists a maximal dissipation rate
- Maximally dissipative solution is different from vanishing viscosity limit $(NS_{\nu}) \rightarrow (E)$
- More realistic limit should include perturbations of the initial condition, i.e. $(NS_{\nu,\varepsilon}) \to (E)$

Strong instabilities II: Rayleigh-Taylor

Incompressible porous medium equation:

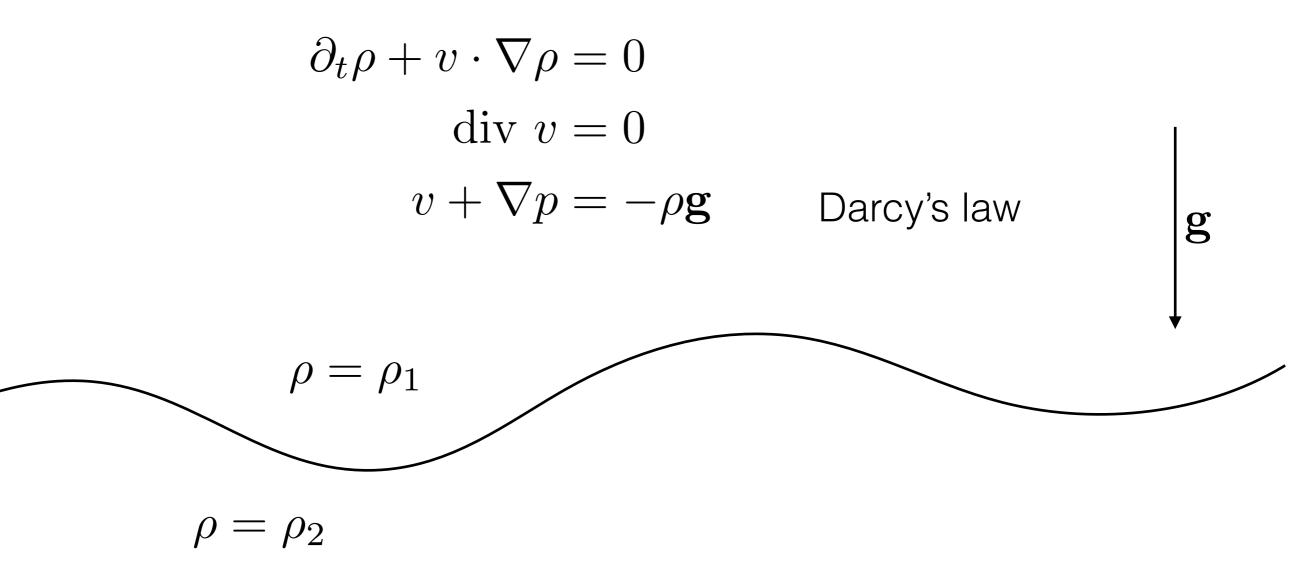
$$\begin{array}{ll} \partial_t \rho + v \cdot \nabla \rho = 0 \\ & \operatorname{div} v = 0 \\ & v + \nabla p = -\rho \mathbf{g} \end{array} \quad \text{Darcy's law} \end{array}$$

Theorem (D. Cordoba - D. Faraco - F. Gancedo 2011) There exist nontrivial weak solutions with compact support in time.

• R. Shvydkoy 2011: Same result holds for general active scalar equations with even (& non-degenerate) kernel

Strong instabilities II: Rayleigh-Taylor

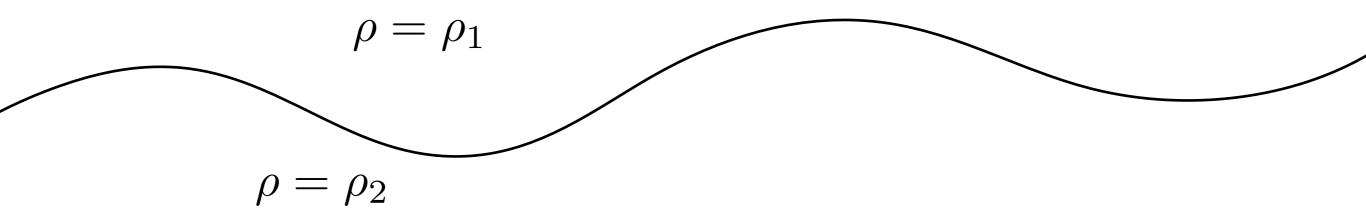
Incompressible porous medium equation:



Strong instabilities II: Muskat problem

Muskat curve evolution:

$$\partial_t z(s,t) = \frac{\rho_1 - \rho_2}{2\pi} P.V. \int_{-\infty}^{\infty} \frac{z_1(s,t) - z_1(s',t)}{|z(s,t) - z(s',t)|^2} (\partial_s z(s,t) - \partial_s z(s',t)) \, ds'$$



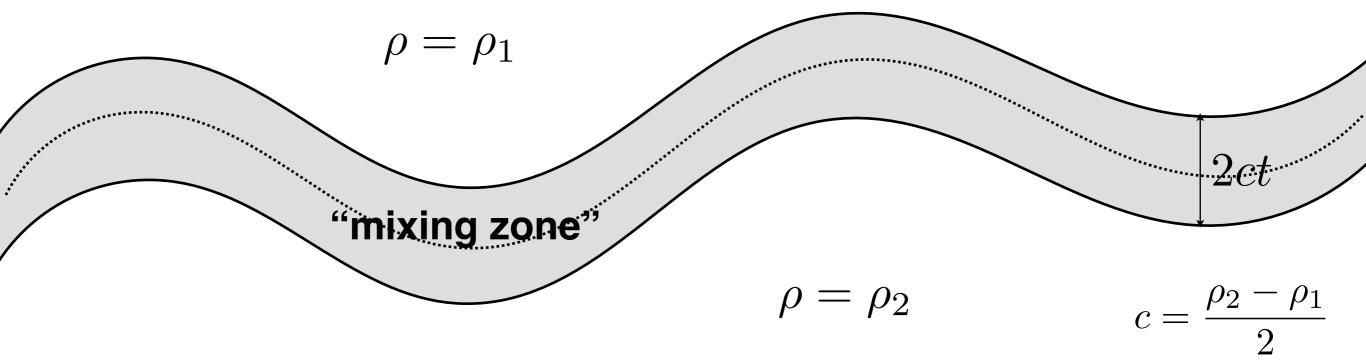
- Stable case $ho_1 <
 ho_2$ P.Constantin-F.Gancedo-V.Vicol-R.Shvydkoy 2016
- Unstable case $\rho_2 < \rho_1$ A.Castro-D.Cordoba-C.Fefferman-F.Gancedo-M.Lopez-Fernandez 2012

Strong instabilities II: Muskat problem

regularized Muskat curve evolution:

A. Castro - D. Cordoba - D. Faraco 2016

$$\partial_t z(s,t) = \frac{\rho_1 - \rho_2}{2\pi} \frac{1}{2ct} \int_{-ct}^{ct} \int_{-\infty}^{\infty} (\partial_s z(s,t) - \partial_s z(s',t)) \frac{1}{2ct} \int_{-ct}^{ct} \frac{z_1(s,t) - z_1(s',t)}{|z(s,t) - z(s',t) + (\lambda - \lambda')\mathbf{g}|^2} \, d\lambda' ds' d\lambda$$



Flat initial interface

- Lagrangian relaxation (F.Otto 1999): gradient flow formulation
- Eulerian relaxation (Sz. 2012): calculation of "lamination hull"
- Maximal mixing (Sz. 2012): $c \leq (\rho_2 \rho_1)$

$$\label{eq:rho} \begin{split} \rho &= \rho_1 \\ \hline & \\ \hline & \\ \rho &= \rho_2 \end{split}$$

| $Du^T Du = Id$ | short | $\begin{cases} \operatorname{curl} A = 0\\ A^T A \le Id \end{cases}$ |
|--|--|---|
| $\partial_t v + \operatorname{div} (v \otimes v) + \nabla p = 0$ $\operatorname{div} v = 0$ | subsolution $\begin{cases} \partial f \\ f \\ f \end{cases}$ | $t^{t}v + \operatorname{div} \sigma + \nabla p = 0$ $\operatorname{div} v = 0$ $v \otimes v \leq \sigma$ |
| $\begin{array}{l} \partial_t \rho + v \cdot \nabla \rho = 0 \\ & \operatorname{div} v = 0 \\ & v + \nabla p = -\rho \mathbf{g} \end{array} \mathbf{st} \end{array}$ | ubsolution $\left\{ \left \sigma - \rho v + \frac{1}{2} \right \right\}$ | $\partial_t \rho + \operatorname{div} \sigma = 0$ $\operatorname{div} v = 0$ $\operatorname{curl} (v + \rho \mathbf{g}) = 0$ $(1 - \rho^2) \mathbf{g} \Big \le \frac{1}{2} (1 - \rho^2)$ |

Onsager's conjecture

For (weak) solutions of Euler with

$$|v(x,t) - v(y,t)| \le C|x - y|^{\theta}$$

a) If $\theta > 1/3$ energy is conserved.

b) If $\theta < 1/3$ dissipation possible.

Onsager 1949, Eyink 1994, Constantin-E-Titi 1993, Robert-Duchon 2000, Cheskidov-Constantin-Friedlander--Shvydkoy 2007, ...

Scheffer 1993, Shnirelman 1999 De Lellis - Sz. 2012 Buckmaster-De Lellis-Isett-Sz 2013 Buckmaster 2013 Isett 2016

Two types of statements for b)

Let X be a function space.

Theorem A

There exists a nontrivial weak solution $v \in X$ of the Euler equations with compact support in time.

Theorem B

For any smooth positive function E(t) there exists a weak solution of the Euler equations $v \in X$ such that

$$\frac{1}{2} \int_{\mathbb{T}^3} |v(x,t)|^2 \, dx = E(t) \quad \text{for all } t$$

Theorem A

Theorem A

There exists a nontrivial weak solution $v \in X$ of the Euler equations with compact support in time.

- P. Isett 2013 $X = L^{\infty}(0, T; C^{1/5-}(\mathbb{T}^3))$
- T. Buckmaster 2013 $v(\cdot,t) \in C^{1/3-}$ a.e. t
- T. Buckmaster-De Lellis-Sz. 2015 $X = L^1(0,T;C^{1/3-}(\mathbb{T}^3))$
- P. Isett 2016 $X = L^{\infty}(0, T; C^{1/3-}(\mathbb{T}^3))$

Theorem B

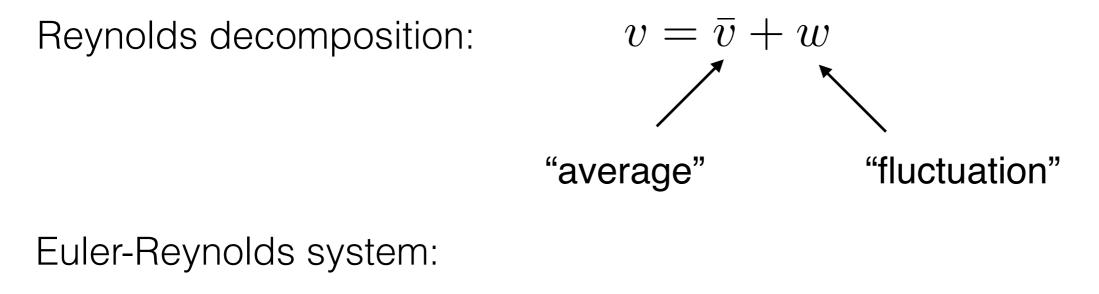
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- De Lellis-Sz. 2012 $X = L^{\infty}(0,T;C^{1/10-}(\mathbb{T}^3))$
- A. Choffrut 2013 $X = L^{\infty}(0,T;C^{1/10-}(\mathbb{T}^2))$
- T. Buckmaster-De Lellis-Isett-Sz. 2015 $X = L^{\infty}(0,T;C^{1/5-}(\mathbb{T}^3))$
- T. Buckmaster-De Lellis-Sz.-Vicol work in progress:

$$X = L^{\infty}(0, T; C^{1/3-}(\mathbb{T}^3))$$

H-principle and Closure: Subsolutions



$$\partial_t \bar{v} + \operatorname{div}(\bar{v} \otimes \bar{v}) + \nabla \bar{p} = -\operatorname{div} \bar{R}$$

 $\operatorname{div} \bar{v} = 0$

where

$$\bar{R} = \overline{v \otimes v} - \bar{v} \otimes \bar{v} = \overline{w \otimes w}$$

Closure problem: equation for R ?

We know: ${ar R} \ge 0$.

H-principle and Closure: Subsolutions

Deterministic turbulence via weak convergence (following P.D.Lax)

Assume

$$v_k \stackrel{*}{\rightharpoonup} \bar{v}$$
 in L^{∞}

with

$$\partial_t v_k + \operatorname{div}(v_k \otimes v_k) + \nabla p_k = \nu_k \Delta v_k \qquad \nu_k \to 0$$

div $v_k = 0$

Then:

$$\partial_t \bar{v} + \operatorname{div}(\bar{v} \otimes \bar{v}) + \nabla \bar{p} = -\operatorname{div} \bar{R}$$
$$\operatorname{div} \bar{v} = 0$$

with $\bar{R} = w - \lim_{k \to \infty} (v_k - \bar{v}) \otimes (v_k - \bar{v}) \ge 0$

Energy:

$$E(t) = \frac{1}{2} \int |\bar{v}|^2 + \mathrm{tr} \,\bar{R} \, dx$$

Theorem B (S. Daneri - Sz '16)

Let $(\bar{v}, \bar{p}, \bar{R})$ be a smooth **strict** subsolution. For any $\theta < 1/5$ there exist a sequence (v_k, p_k) of weak solutions of Euler such that $|v_k(x, t) - v_k(y, t)| \le C|x - y|^{\theta}$

moreover

$$v_k \stackrel{*}{\rightharpoonup} \bar{v}$$
 and $v_k \otimes v_k \stackrel{*}{\rightharpoonup} \bar{v} \otimes \bar{v} + \bar{R}$ in L^{∞}

and

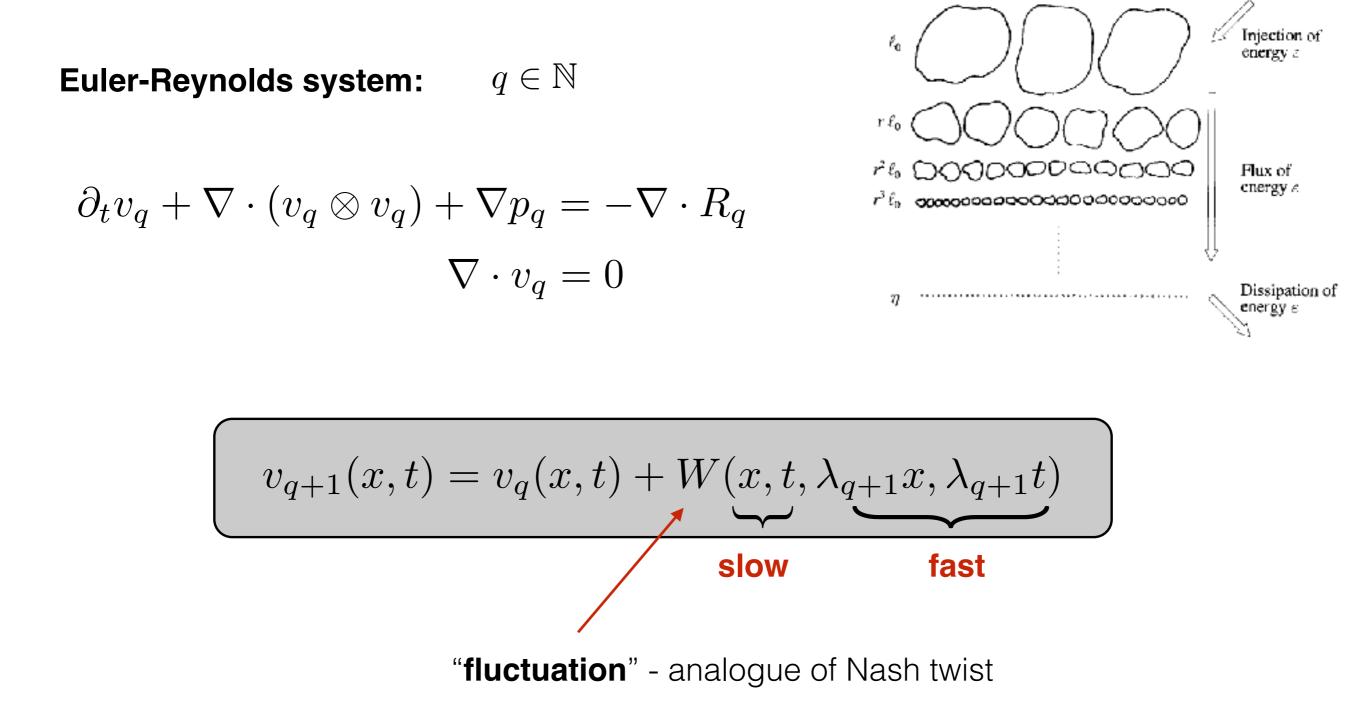
$$\frac{1}{2} \int_{\mathbb{T}^3} |v_k|^2 \, dx = \frac{1}{2} \int_{\mathbb{T}^3} |\bar{v}|^2 + \operatorname{tr} \, \bar{R} \, dx \qquad \forall \, t$$

Expect same result for all $\theta < 1/3$

Some ideas of the construction

(based on De Lellis-Sz. 2012)

The Euler-Reynolds equations



The Euler-Reynolds equations

more precisely:

$$v_{q+1}(x,t) = v_q(x,t) + W(v_q(x,t), R_q(x,t), \lambda_{q+1}x, \lambda_{q+1}t)$$

explicit dependence of previous "state"

$$\begin{array}{ll} \textbf{(H1)} & \xi\mapsto W(v,R,\xi,\tau) & \text{ periodic with average zero:} \\ & \langle W\rangle = \int_{\mathbf{T}^3} W(v,R,\xi,\tau)\,d\xi = 0 \end{array} \end{array}$$

(H2) $\langle W \otimes W \rangle = R$ prescribed average stress

(H3) $\partial_{\tau}W + v \cdot \nabla_{\xi}W + W \cdot \nabla_{\xi}W + \nabla_{\xi}P = 0$ in $\mathbb{T}^3 \times \mathbb{R}$ $\operatorname{div}_{\xi}W = 0$

(H4) $|W| \lesssim |R|^{1/2}$ $|\partial_v W| \lesssim |R|^{1/2}$ $|\partial_R W| \lesssim |R|^{-1/2}$

Estimating new Reynolds stress R_{q+1}

$$R_{q+1} = -\text{div}^{-1} \Big[\partial_t v_{q+1} + v_{q+1} \cdot \nabla v_{q+1} + \nabla p_{q+1} \Big]$$

(I) =
$$-\operatorname{div}^{-1} \left[\partial_t w_{q+1} + v_q \cdot \nabla w_{q+1} \right]$$

$$(||) \quad -\operatorname{div}^{-1} \left[\nabla \cdot \left(w_{q+1} \otimes w_{q+1} - R_q \right) + \nabla (p_{q+1} - p_q) \right]$$

$$(|||) \quad -\mathrm{div}^{-1} \Big[w_{q+1} \cdot \nabla v_q \Big]$$

Estimating new Reynolds stress

$$(III) = -\operatorname{div}^{-1} \left[w_{q+1} \cdot \nabla v_q \right] = \operatorname{div}^{-1} \left[\sum_{k \neq 0} a_k(x, t) e^{i\lambda_{q+1}k \cdot x} \right]$$

"stationary phase" + (H4)
$$\|(III)\|_0 \lesssim \frac{\sum_k \|a_k\|_0}{\lambda_{q+1}}$$
(H1)

$$\lesssim \frac{\|R_q\|_0^{1/2} \|\nabla v_q\|_0}{\lambda_{q+1}}$$

Estimating new Reynolds stress

$$(II) = -\operatorname{div}^{-1} \left[\nabla \cdot (W \otimes W - R_q) + \nabla P \right] = \operatorname{div}^{-1} \left[\sum_{k \neq 0} b_k(x, t) e^{i\lambda_{q+1}k \cdot x} \right]$$

$$(H3) \qquad (H2) \quad \langle W \otimes W \rangle = R$$

"stationary phase" + (H4)

...and similarly
$$\|(I)\|_0 = O(rac{1}{\lambda_{q+1}})$$

$$||(II)||_0 = O(\frac{1}{\lambda_{q+1}})$$

Estimating new Reynolds stress

Summarizing:

$$v_{q+1} = v_q + W(v_q, R_q, \lambda_{q+1}x, \lambda_{q+1}t) + corrector$$

1)
$$||v_{q+1} - v_q||_0 \lesssim ||R_q||_0^{1/2}$$

...leads to convergence in C^0

2)
$$||R_{q+1}||_0 \lesssim O(\frac{1}{\lambda_{q+1}})$$

... more careful estimates lead in the **ideal case**:

2')
$$\|R_{q+1}\|_0 \lesssim \frac{\|R_q\|_0^{1/2} \|\nabla v_q\|_0}{\lambda_{q+1}}$$

(H1)
$$\xi \mapsto W(v, R, \xi, \tau)$$
 periodic with average zero:
 $\langle W \rangle = \int_{\mathbb{T}^3} W(v, R, \xi, \tau) d\xi = 0$
(H2) $\langle W \otimes W \rangle = R$ convection of microstructure
(H3) $\partial_{\tau}W + v \cdot \nabla_{\xi}W + W \cdot \nabla_{\xi}W + \nabla_{\xi}P = 0$ in $\mathbb{T}^3 \times \mathbb{R}$
 $\operatorname{div}_{\xi}W = 0$ in $\mathbb{T}^3 \times \mathbb{R}$
(family of) stationary solutions: Beltrami flows
(H4) $|W| \lesssim |R|^{1/2}$ $|\partial_v W| \lesssim |R|^{1/2}$ $|\partial_R W| \lesssim |R|^{-1/2}$

Better *Ansatz*:

$$v_{q+1}(x,t) = v_q(x,t) + W \Big(R_q(x,t), \lambda_{q+1} \Phi_q(x,t) \Big)$$
 inverse flow of v_q

P. Isett 2013, PhD Thesis (1/5-Hölder, Theorem A)

c.f. also D.W.McLaughlin-G.C.Papanicolaou-O.R.Pironneau 1985

(H1)
$$\langle W \rangle = 0$$

(H3') $W \cdot \nabla_{\xi} W + \nabla_{\xi} P = 0$
 $\operatorname{div}_{\xi} W = 0$
(H2) $\langle W \otimes W \rangle = R$
(H4) $|W| \lesssim |R|^{1/2}$
 $|\partial_{v} W| \lesssim |R|^{1/2}$ $|\partial_{R} W| \lesssim |R|^{-1/2}$

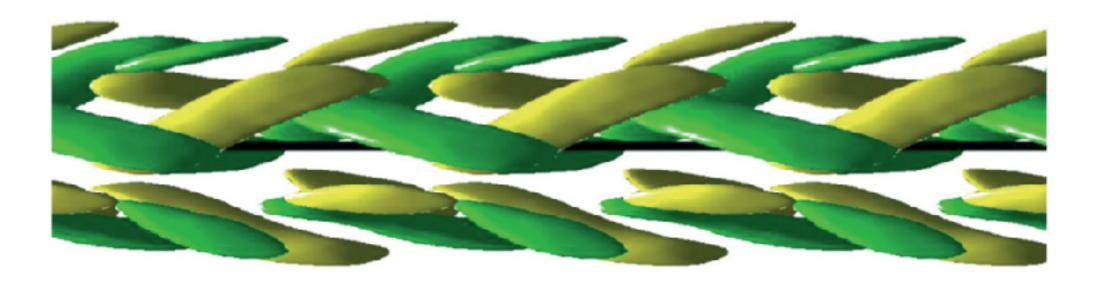
Better *Ansatz*:

$$v_{q+1}(x,t) = v_q(x,t) + W \big(R_q(x,t), \lambda_{q+1} \Phi_q(x,t) \big)$$
 inverse flow of v_q

Problem: Flow only controlled for very short times

$$\begin{split} v_{q+1}(x,t) &= v_q(x,t) + \sum_i \chi_i(t) W_i \big(R_q(x,t), \lambda_{q+1} \Phi_{q,i}(x,t) \big) \\ & \quad \text{time cut-off} \\ \text{partition of unity} & \quad \text{inverse flow restarted at time } t_i \end{split}$$

B. Eckhardt - B. Hof - H.Faisst 2006



"turbulent puffs"

Problem: Flow only controlled for very short times

$$v_{q+1}(x,t) = v_q(x,t) + \sum_i \chi_i(t) W_i \left(R_q(x,t), \lambda_{q+1} \Phi_{q,i}(x,t) \right)$$

P. Isett 2013, PhD Thesis (1/5-Hölder, Theorem B)
Buckmaster - Isett - De Lellis - Sz. 2014, (1/5-Hölder, Theorem A)
Buckmaster - De Lellis - Sz. 2015, (1/3-Hölder L1 in time, Theorem B)
P. Isett 2016,(1/3-Hölder, Theorem B)

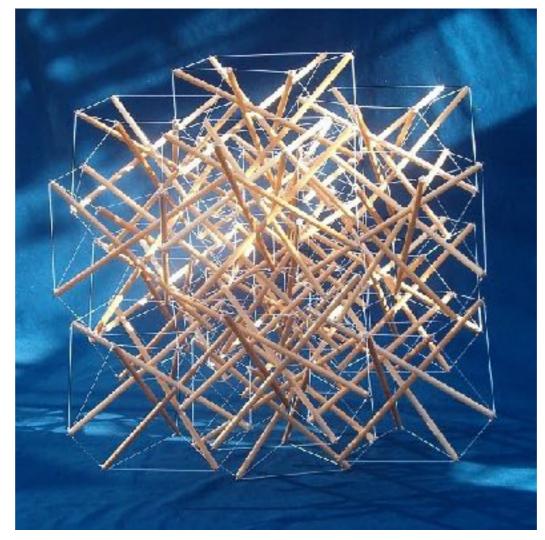
Main problems

- **cell-problem:** Beltrami modes not exact any more
- gluing: orthogonality of Beltrami modes

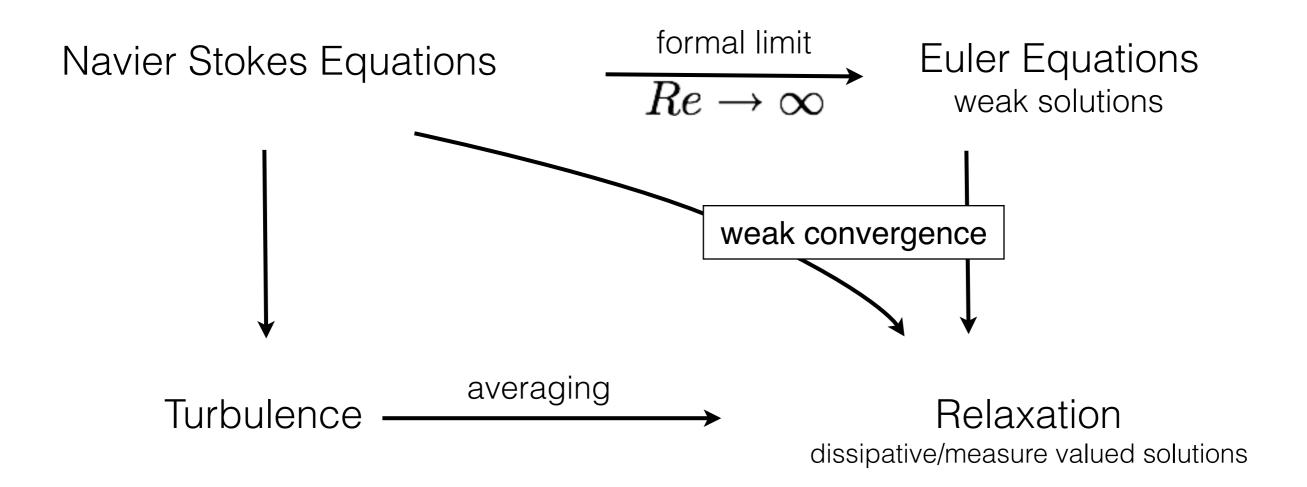
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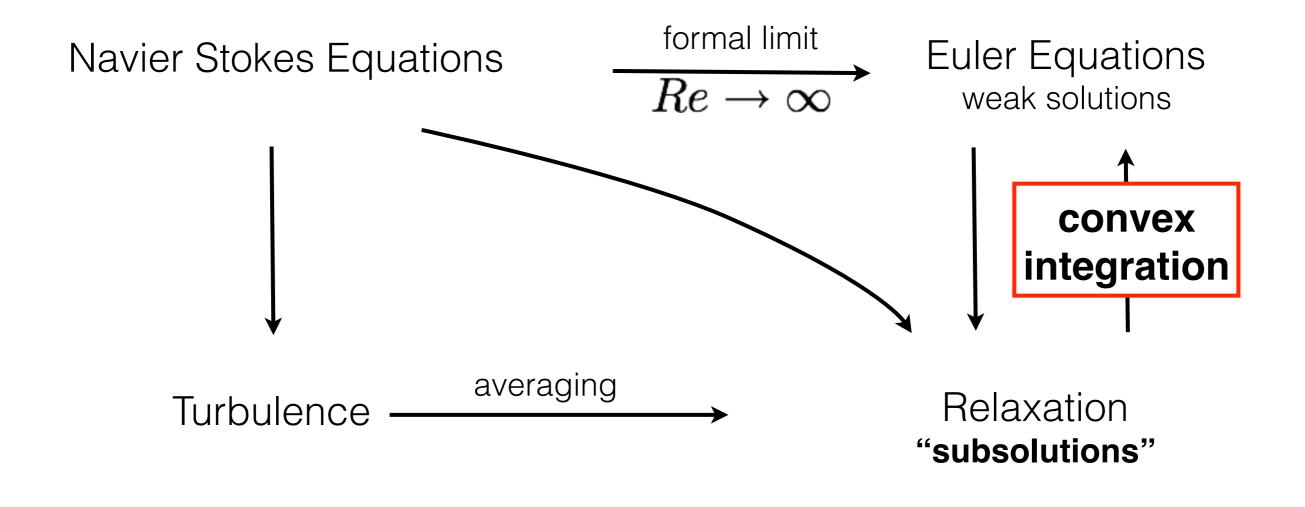
Alternative to Beltrami-flows: "Mikado flows"



"tensegrity"



Deterministic approach



Meta-Theorem

Any Euler subsolution can be approximated by weak solutions.

Thank you for your attention