Natural Frames and the Design of Interaction Laws in Three Dimensions

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Outline

Message: role of curves and moving frames for vehicle coordination problems in three dimensions and *design* of interaction laws.

- Motivation for unit-speed models
- Curves and moving frames
- Interaction laws and formations

• Boundary following (obstacle avoidance)

Joint work with Fumin Zhang (Princeton Univ.)

- UAV hardware-in-the-loop testbed (collaboration with NRL)
- Motion camouflage See P.S. Krishnaprasad's talk on Friday

Modeling with 3D Trajectories



- Speed is constrained (no stopping) and trajectories are "smooth"
- Want to understand (or design) the trajectories, which involve sensor feedback
- An "autopilot" is responsible for trajectory tracking

Framing Trajectories

• Natural Frenet Frame equations for arc-length parameterized curve (*s* = arc-length parameter):

 $\mathbf{r}' = \mathbf{x} \qquad \text{(prime denotes } d/ds)$ $\mathbf{x}' = \mathbf{y}u + \mathbf{z}v$ $\mathbf{y}' = -\mathbf{x}u$ $\mathbf{z}' = -\mathbf{x}v$

• **r** is the position vector and {**x**,**y**,**z**} is an orthonormal frame (with **x** the unit tangent vector to the trajectory).

- The **natural curvatures** are *u* and *v*.
- Speed = v = ds/dt $\dot{\mathbf{r}} = v \mathbf{x}$ (dot denotes d/dt) $\dot{\mathbf{x}} = v (\mathbf{y}u + \mathbf{z}v)$ $\dot{\mathbf{y}} = -v \mathbf{x}u$ $\dot{\mathbf{z}} = -v \mathbf{x}v$





Planar Unit-Speed Simulations

We take speed $\equiv 1$ for all particles to understand the role of curvature control.







Justh and Krishnaprasad, 2002

Red Arrows







Sky-Flash.com C EJ.van Koningsveld

Sky-Flash.com C EJ.van



Photos from http://www.sky-flash.com/

Regular Curves and Moving Frames



$$N'(s) = -\kappa(s)T(s) +\tau(s)B(s)$$

$$B'(s) = -\tau(s)N(s)$$



Equations for relatively parallel adapted frame:

R. L. Bishop, Amer. Math. Month. (1975), 82(3):246-251

Control System on SE(3)



Inversion

Frenet-Serret:

$$\kappa(s) = \|\gamma''(s)\|$$

$$\tau(s) = \frac{\gamma'(s) \cdot (\gamma''(s) \times \gamma'''(s))}{(\kappa(s))^2}$$

Relatively Parallel Adapted Frame:

$$k_i(s) = \gamma''(s) \cdot M_i(0) - \int_0^s k_i(\sigma) \gamma''(s) \cdot \gamma'(\sigma) \, d\sigma, \quad i = 1, 2$$

Relationship between (κ, τ) and (k_1, k_2) :

$$\kappa(s) = \sqrt{k_1^2(s) + k_2^2(s)} \qquad \qquad \theta'(s) = \tau$$
(where $\theta = \arg(\mathbf{k})$)

Normal Development of γ



If traced out at constant speed, trajectory is a



Trajectory lies on a sphere

Two Vehicles Interacting



Two-Vehicle Formations



Rectilinear formation Colline (motion perpendicular to the baseline)

Collinear formation

Circling formation (vehicle separation equals the diameter of the orbit)

Two-Vehicle Lyapunov Functions



Gyroscopically Interacting Particles

• Note: *V* is **not** to be thought of as a synthetic potential (commonly used in robotics for directing motion toward a target or away from obstacles).

- *V* is a Lyapunov function for the **shape dynamics**.
- The kinetic energy of **each particle** is conserved (because they interact via **gyroscopic forces**), and initial conditions are such that they all move at unit speed.



- There is an analogy with the Lorentz force law for charged particles in a magnetic field.
- In mechanics, gyroscopic forces are associated with **vector** potentials.
- References:
 - L.-S. Wang and P.S. Krishnaprasad, J. Nonlin. Sci., 1992.
 - J.E. Marsden and T.S. Ratiu, *Introduction to Mechanics and Symmetry*, Springer, 1999, (2nd edition)

Lyapunov Function Derivative

- Lyapunov function: $V_{rect} = -\ln(1 + \mathbf{x}_2 \cdot \mathbf{x}_1) + h(|\mathbf{r}|)$
- Derivative of V_{rect} along trajectories:

$$\dot{V}_{rect} = -\frac{\dot{\mathbf{x}}_{2} \cdot \mathbf{x}_{1} + \mathbf{x}_{2} \cdot \dot{\mathbf{x}}_{1}}{1 + \mathbf{x}_{2} \cdot \mathbf{x}_{1}} + f(|\mathbf{r}|) \frac{d}{dt} |\mathbf{r}|$$

$$= -\frac{(\mathbf{x}_{1} \cdot \mathbf{y}_{2})u_{2} + (\mathbf{x}_{2} \cdot \mathbf{y}_{1})u_{1} + (\mathbf{x}_{1} \cdot \mathbf{z}_{2})v_{2} + (\mathbf{x}_{2} \cdot \mathbf{z}_{1})v_{1}}{1 + \mathbf{x}_{2} \cdot \mathbf{x}_{1}} + f(|\mathbf{r}|) \left[\frac{\mathbf{r}}{|\mathbf{r}|} \cdot (\mathbf{x}_{2} - \mathbf{x}_{1})\right]$$

$$= -\frac{1}{1 + \mathbf{x}_{2} \cdot \mathbf{x}_{1}} [(\mathbf{x}_{1} \cdot \mathbf{y}_{2})F(\mathbf{r}, \mathbf{x}_{2}, \mathbf{y}_{2}, \mathbf{x}_{1}) + (\mathbf{x}_{2} \cdot \mathbf{y}_{1})F(-\mathbf{r}, \mathbf{x}_{1}, \mathbf{y}_{1}, \mathbf{x}_{2})$$

$$+ (\mathbf{x}_{1} \cdot \mathbf{z}_{2})F(\mathbf{r}, \mathbf{x}_{2}, \mathbf{z}_{2}, \mathbf{x}_{1}) + (\mathbf{x}_{2} \cdot \mathbf{z}_{1})F(-\mathbf{r}, \mathbf{x}_{1}, \mathbf{z}_{1}, \mathbf{x}_{2})]$$

$$\leq 0$$
Find a control law which cancels the term $f(|\mathbf{r}|) \left[\frac{\mathbf{r}}{|\mathbf{r}|} \cdot (\mathbf{x}_{2} - \mathbf{x}_{1})\right]$
and ensures $\dot{V}_{rect} \leq 0$

Outline of Convergence Proof

• LaSalle's Invariance Principle used to prove convergence of the $(\mathbf{r}, \mathbf{x}_1, \mathbf{x}_2)$ -dynamics.



• Linear analysis used to assess stability of particular equilibria.

Systematically Deriving a 3D Law

• Restrict to the planar setting.

$$V_{rect} = -\ln(1 + \mathbf{x}_{2} \cdot \mathbf{x}_{1}) + h(|\mathbf{r}|)$$

$$(V_{rect} \text{ has the same form in 2D as in 3D)}$$

$$\dot{\mathbf{y}}_{1} = -\mathbf{x}_{1}u_{1}$$

$$\dot{\mathbf{y}}_{2} = \mathbf{x}_{2}$$

$$\dot{\mathbf{y}}_{2} = \mathbf{x}_{2}u_{2}$$

$$\dot{\mathbf{y}}_{2} = -\mathbf{x}_{2}u_{2}$$

$$\dot{\mathbf{y}}_{1} = -\mathbf{x}_{1}u_{1}$$

$$\dot{\mathbf{y}}_{1} = -\mathbf{x}_{1}u_{1}$$

$$\dot{\mathbf{y}}_{1} = -\mathbf{x}_{1}u_{1}$$

$$\dot{\mathbf{y}}_{1} = -\mathbf{x}_{1}u_{1}$$

$$\dot{\mathbf{y}}_{2} = -\mathbf{x}_{2}u_{2}$$

$$\dot{\mathbf{y}}_{3}$$

$$\dot{\mathbf{y}}_{4} = -\mathbf{x}_{4}u_{1}$$

$$\dot{\mathbf{y}}_{1} = -\mathbf{x}_{4}u_{1}$$

$$\dot{\mathbf{y}}_{1} = -\mathbf{x}_{4}u_{1}$$

$$\dot{\mathbf{y}}_{2} = -\mathbf{x}_{2}u_{2}$$

$$\dot{\mathbf{y}}_{2} = -\mathbf{x}_{2}u_{2}$$

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$$\dot{\mathbf{y}}_{2} = -\mathbf{x}_{2}u_{2}$$

$$\dot{\mathbf{y}}_{3}$$

$$\dot{\mathbf{y}}_{4} = -\mathbf{x}_{4}u_{1}$$

• Return to the 3D setting, and determine how to generalize the planar law so that the calculation of \dot{V}_{rect} works out analogously to the planar setting.

• Interpret the resulting 3D control law (to understand why it takes the form derived).

Planar Law and Interpretation



- Switch from attraction to repulsion based on separation distance or density.
- Mechanism for alignment of headings.

D. Grünbaum, "Schooling as a strategy for taxis in a noisy environment," in *Animal Groups in Three Dimensions*, J.K. Parrish and W.M. Hamner, eds., Cambridge University Press, 1997.

Control Laws for 3D



Rectilinear Control Law for 3D

- Natural curvatures for first particle: $u_{1} = F(-\mathbf{r}, \mathbf{x}_{1}, \mathbf{y}_{1}, \mathbf{x}_{2}) - f(|\mathbf{r}|) \left(-\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{y}_{1}\right)$ $v_{1} = F(-\mathbf{r}, \mathbf{x}_{1}, \mathbf{z}_{1}, \mathbf{x}_{2}) - f(|\mathbf{r}|) \left(-\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{z}_{1}\right)$ • Natural curvatures for second particle: $u_{2} = F(\mathbf{r}, \mathbf{x}_{2}, \mathbf{y}_{2}, \mathbf{x}_{1}) - f(|\mathbf{r}|) \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{y}_{2}\right)$ $v_{2} = F(\mathbf{r}, \mathbf{x}_{2}, \mathbf{z}_{2}, \mathbf{x}_{1}) - f(|\mathbf{r}|) \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{z}_{2}\right)$
 - Alignment term:

$$F(\mathbf{r}, \mathbf{x}_{2}, \mathbf{y}_{2}, \mathbf{x}_{1}) = -\eta \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{x}_{2}\right) \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{y}_{2}\right) + \mu \left(\mathbf{x}_{1} \cdot \mathbf{y}_{2}\right) \qquad \mu > \frac{1}{2}\eta > 0$$

• Frame evolution: $\dot{\mathbf{r}}_1 = \mathbf{x}_1$ $\dot{\mathbf{x}}_1 = \mathbf{y}_1 u_1 + \mathbf{z}_1 v_1$ $\dot{\mathbf{x}}_2 = \mathbf{y}_2 u_2 + \mathbf{z}_2 v_2$ $\dot{\mathbf{x}}_1 = -\mathbf{x}_1 u_1$ $\dot{\mathbf{y}}_2 = -\mathbf{x}_2 u_2$ $\dot{\mathbf{z}}_1 = -\mathbf{x}_1 v_1$ $\dot{\mathbf{z}}_2 = -\mathbf{x}_2 v_2$

Interpretation of Rectilinear Law

• For particle #2, can express the control law as:

$$\mathbf{a}(\mathbf{r}, \mathbf{x}_2, \mathbf{x}_1) = -\eta \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{x}_2 \right) \frac{\mathbf{r}}{|\mathbf{r}|} + \mu \mathbf{x}_1 - f(|\mathbf{r}|) \frac{\mathbf{r}}{|\mathbf{r}|}$$
$$u_2 = \mathbf{a} \cdot \mathbf{y}_2$$
$$v_2 = \mathbf{a} \cdot \mathbf{z}_2$$

• Force = projection of **a** onto the normal plane (to \mathbf{x}_2).



• Similarly for particle #1.

Generalization to *n* vehicles



• One copy of the natural Frenet frame equations for each vehicle:

$$\mathbf{r}_{j} = \mathbf{x}_{j}$$

$$\dot{\mathbf{x}}_{j} = \mathbf{y}_{j}u_{j} + \mathbf{z}_{j}v_{j} \qquad j = 1, 2, ..., n$$

$$\dot{\mathbf{y}}_{j} = -\mathbf{x}_{j}u_{j}$$

$$\dot{\mathbf{z}}_{j} = -\mathbf{x}_{j}v_{j}$$

• Average of pair-wise interactions used in the two-vehicle law:

$$u_{j} = \frac{1}{n} \sum_{k \neq j} \left[F(\mathbf{r}_{j} - \mathbf{r}_{k}, \mathbf{x}_{j}, \mathbf{y}_{j}, \mathbf{x}_{k}) - f(|\mathbf{r}_{j} - \mathbf{r}_{k}|) \left(\frac{\mathbf{r}_{j} - \mathbf{r}_{k}}{|\mathbf{r}_{j} - \mathbf{r}_{k}|} \cdot \mathbf{y}_{j} \right) \right]$$

• Convergence **conjectured**: we are led to consider a new class of *n*-body problems.

3-D Equilibrium Shapes (Formations)

- Control laws are assumed to be invariant under rigid motions.
- Shape variables capture relative distances and angles between vehicles.
- Shape equilibria correspond to steady-state formations.
- Three possibilities for particles moving at unit speed:



Lie Group Setting

• Represent each vehicle trajectory as a function on the Lie group SE(3) of rigid motions:

$$g_{1} = \begin{bmatrix} \mathbf{x}_{1} & \mathbf{y}_{1} & \mathbf{z}_{1} & \mathbf{r}_{1} \\ 0 & 0 & 0 & 1 \end{bmatrix}, \quad g_{2} = \begin{bmatrix} \mathbf{x}_{2} & \mathbf{y}_{2} & \mathbf{z}_{2} & \mathbf{r}_{2} \\ 0 & 0 & 0 & 1 \end{bmatrix} \in SE(3)$$

• Define the shape variable:

$$g = g_1^{-1}g_2 = \begin{bmatrix} \mathbf{x}_1 \cdot \mathbf{x}_2 & \mathbf{x}_1 \cdot \mathbf{y}_2 & \mathbf{x}_1 \cdot \mathbf{z}_2 & (\mathbf{r}_2 - \mathbf{r}_1) \cdot \mathbf{x}_1 \\ \mathbf{y}_1 \cdot \mathbf{x}_2 & \mathbf{y}_1 \cdot \mathbf{y}_2 & \mathbf{y}_1 \cdot \mathbf{z}_2 & (\mathbf{r}_2 - \mathbf{r}_1) \cdot \mathbf{y}_1 \\ \mathbf{z}_1 \cdot \mathbf{x}_2 & \mathbf{z}_1 \cdot \mathbf{y}_2 & \mathbf{z}_1 \cdot \mathbf{z}_2 & (\mathbf{r}_2 - \mathbf{r}_1) \cdot \mathbf{z}_1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

• Left-invariant dynamics on SE(3):

$$\dot{g}_{1} = g_{1} \begin{bmatrix} 0 & -u_{1} & -v_{1} & 1 \\ u_{1} & 0 & 0 & 0 \\ v_{1} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \qquad u_{1} = u_{1}(g)$$

$$v_{1} = v_{1}(g)$$

$$\dot{g}_{2} = g_{2} \begin{bmatrix} 0 & -u_{2} & -v_{2} & 1 \\ u_{2} & 0 & 0 & 0 \\ v_{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \qquad u_{2} = u_{2} \left(g^{-1}\right)$$

Lie Group Setting (cont.)

• Lyapunov function:

$$V_{rect} = -\ln(1 + g_{11}) + h(r)$$

• Control law:

$$u_{1} = -\eta \left(\frac{g_{14}g_{24}}{r^{2}} \right) + \mu g_{21} + f(r) \left(\frac{g_{24}}{r} \right)$$
$$v_{1} = -\eta \left(\frac{g_{14}g_{34}}{r^{2}} \right) + \mu g_{31} + f(r) \left(\frac{g_{34}}{r} \right)$$

$$u_{2} = -\eta \left(\frac{g^{14}g^{24}}{r^{2}}\right) + \mu g^{21} + f(r) \left(\frac{g^{24}}{r}\right)$$
$$v_{2} = -\eta \left(\frac{g^{14}g^{34}}{r^{2}}\right) + \mu g^{31} + f(r) \left(\frac{g^{34}}{r}\right)$$

$$g = [g_{ij}], g^{-1} = [g^{ij}], r = \sqrt{g_{14}^2 + g_{24}^2 + g_{34}^2}$$

Obstacle Avoidance

• Idea: control inputs for the moving vehicle are determined by the trajectory of the closest point on the obstacle surface.

• Applications: obstacle avoidance and boundary following with non-collision.



Control Law for Boundary Following

Lyapunov function:
$$V_{obst} = -\ln(\mathbf{x}_2 \cdot \mathbf{x}_1) + h(|\mathbf{r}|)$$

Favor motion parallel
to the boundary curve Penalize distances from the
boundary curve which are too
large or small
2-D law: $u_2 = \mu(\mathbf{x}_1 \cdot \mathbf{y}_2) - f(|\mathbf{r}|) \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{y}_2\right) + \left(\frac{\mathbf{x}_1 \cdot \mathbf{x}_2}{1 \pm |\kappa_1||\mathbf{r}|}\right) \kappa_1$
Align parallel to the
boundary curve to maintain
appropriate separation. Respond to the
nonzero curvature of
the boundary curve.
3-D law: $u_2 = \mu(\mathbf{x}_1 \cdot \mathbf{y}_2) - f(|\mathbf{r}|) \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{y}_2\right) + \text{term involving } (u_1, v_1)$
 $v_2 = \mu(\mathbf{x}_1 \cdot \mathbf{z}_2) - f(|\mathbf{r}|) \left(\frac{\mathbf{r}}{|\mathbf{r}|} \cdot \mathbf{z}_2\right) + \text{term involving } (u_1, v_1)$

F. Zhang, E.W. Justh, and P.S. Krishnaprasad, CDC 2004.

Boundary-Following Simulation



Obstacle-Avoidance Simulation



UAV Hardware-in-the-Loop Testbed



Performance Criteria



Time Discretization (Planar System)

• Compute $u_1(t_m)$, $u_2(t_m)$, ..., $u_n(t_m)$, where $t_m = mT$ for m=1, 2, ..., and let $u_j(t) = u_j(t_m)$, $\forall t \in [t_m, t_{m+1})$.

• Piecewise constant controls allow the vehicle positions to be computed using simple formulas: [(((()) T)))]

$$\begin{bmatrix} \mathbf{x}_{j}(t_{m+1}) & \mathbf{y}_{j}(t_{m+1}) \end{bmatrix} = \begin{bmatrix} \mathbf{x}_{j}(t_{m}) & \mathbf{y}_{j}(t_{m}) \end{bmatrix} \begin{bmatrix} \cos(u_{j}(t_{m})T) & -\sin(u_{j}(t_{m})T) \\ \sin(u_{j}(t_{m})T) & \cos(u_{j}(t_{m})T) \end{bmatrix}$$
$$\begin{bmatrix} \sin(u_{j}(t_{m})T) & \cos(u_{j}(t_{m})T) \\ 1 - \cos(u_{j}(t_{m})T) \end{bmatrix} + \mathbf{r}_{j}(t_{m})$$

$$\theta_{j}(t_{m+1}) = \theta_{j}(t_{m}) + u_{j}(t_{m})T$$

$$\begin{bmatrix} \mathbf{x}_{j}(t_{m+1}) & \mathbf{y}_{j}(t_{m+1}) \end{bmatrix} = \begin{bmatrix} \cos \theta_{j}(t_{m+1}) & -\sin \theta_{j}(t_{m+1}) \\ \sin \theta_{j}(t_{m+1}) & \cos \theta_{j}(t_{m+1}) \end{bmatrix}$$

$$\mathbf{r}_{j}(t_{m+1}) = T \begin{bmatrix} \mathbf{x}_{j}(t_{m}) & \mathbf{y}_{j}(t_{m}) \end{bmatrix} \begin{bmatrix} \operatorname{sinc} \left(u_{j}(t_{m})T \right) \\ q \left(u_{j}(t_{m})T \right) \end{bmatrix} + \mathbf{r}_{j}(t_{m})$$

 $q(\tau) = \frac{1 - \cos(\tau)}{\tau} \approx \frac{\tau}{2!} - \frac{\tau}{4!} + \cdots$ (use, e.g., a sinusoidal approximation)

$$\dot{\mathbf{r}}_{j} = \mathbf{x}_{j}$$
$$\dot{\mathbf{x}}_{j} = \mathbf{y}_{j} u_{j}$$
$$\dot{\mathbf{y}}_{j} = -\mathbf{x}_{j} u_{j}$$

Time Discretization (3D System)

• Piecewise constant controls: $t_m = mT$ for m = 1, 2, ..., and

$$u_{j}(t) = u_{j}(t_{m}), \quad v_{j}(t) = v_{j}(t_{m}), \quad \forall t \in [t_{m}, t_{m+1}).$$

• Corresponding to $u_j(t_m)$ and $v_j(t_m)$, $\exists (\tilde{\mathbf{y}}, \tilde{\mathbf{z}})$ such that $(\mathbf{x}, \tilde{\mathbf{y}}, \tilde{\mathbf{z}})$ is orthonormal, and the trajectory for $t \in [t_m, t_{m+1})$ lies strictly in the \mathbf{x} - $\tilde{\mathbf{y}}$ plane.



• Use the planar constant-steering-control formulas (in the $x-\tilde{y}$ plane).

Motion Camouflage: Bat Data



http://www.bsos.umd.edu/psyc/batlab/index.html

Motion Camouflage: Dragonfly Data

3-D reconstruction of territorial interaction of two male dragonflies *Hemianax papuensis*. Shadower – blue; Shadowee – red





Thanks to Mandyam V. Srinivasan (Australian National University) for inspiration and discussions

From A.K. Mizutani, J.S.Chahl, and M.V. Srinivasan, "Motion camouflage in dragonflies,"*Nature*, vol. 423, p. 604, 2003. (with permission)

Steering Law for Motion Camouflage



E.W. Justh and P.S. Krishnaprasad, "Steering laws for motion camouflage," arXiv:math.OC/0508023, 2005.

Formations vs. Motion Camouflage

Formation Control	Motion Camouflage
Cooperation	Conflict
Mechanism for collision avoidance	Objective is intercept (i.e., collision)
Study asymptotic convergence to a relative equilibrium (a.k.a, shape equilibrium, formation)	Finite time problem: Study accessibility of the state of motion camouflage: drive cost function Γ to $\Gamma \leq -1 + \varepsilon$, for $\varepsilon > 0$ arbitrarily small.

3-D modeling with curves and moving frames – specifically, natural Frenet frames

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See also http://www.isr.umd.edu/~justh