

Branched Covers

and

Seiberg-Witten  
Invariants

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Joint with Ron Stern

Fix 2-dim'l homology class in s.c. alg. surface. Up to smooth isotopy – at most one emb. holo curve in this class

Symplectic case –

Thm (F-S)  $T: \text{emb sympl torus square } \square$   
in  $X: \text{s.c. sympl. 4-mfd (+ minor technical condition)}$   $\Rightarrow \forall m \geq 2 \exists \text{ inf. many emb. sympl. tori } \Sigma_{m,i} \sim 2mT \text{ and pairwise nonsmoothly isotopic.}$

Impetus - Belief that for  $c_i^2 > 0$  any emb sympl. surface is smoothly isotopic to emb. holo. curve.

Siebert-Tian

Vidussi

Etnyre-Park

I. Smith

Auroux-Donaldson-Katzarkov

Technique for proving thm

$$\text{Nbd } T \cong S^1 \times (S \times D^2)$$

$$\Sigma_{m,i} = S^1 \times \text{Braid of } 2m \text{ strands}$$

Distinguish via double covers br over  $\Sigma_{m,i}$

SW(double covers) depend on

Alex poly of 2 comp ink obtained  
if lifting axis of braid to cover

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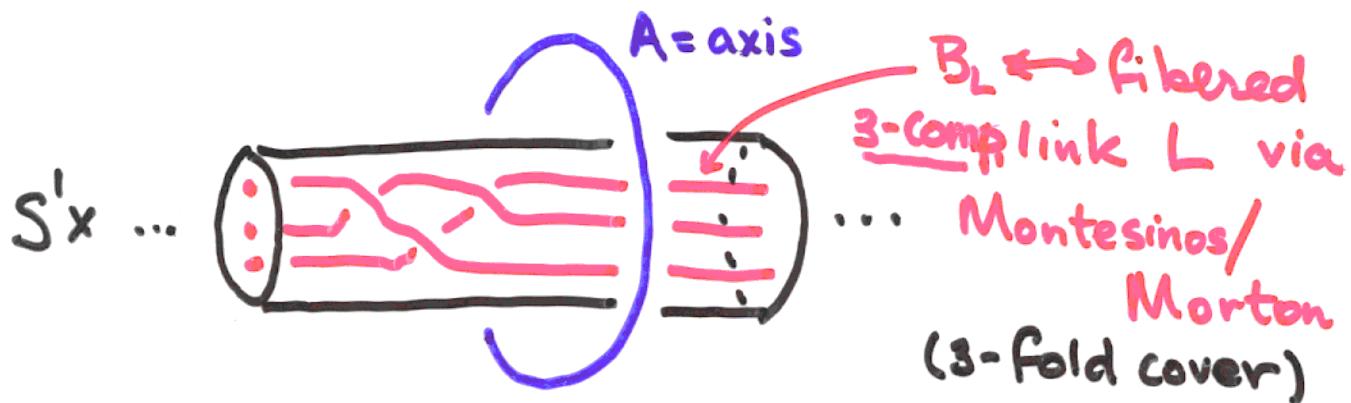
Thm (Montesinos Morton) Every fibered  
ink in  $S^3$  with  $k$  components can  
obtained as the preimage of the braid  
axis for a  $d$  sheeted simple br cover  
of  $S^3$  branched along a suitable  
closed braid where  $d = \max\{k, 3\}$

Thim (Kanenobu) Let  $f(t)$  = symm. poly. of even degree with integral coeff's,  $f(0) = \pm 1$ , then for any  $k \geq 2$   $\exists$  fibered link  $L$   $k$  components with  $f(t)$  as its Hosokawa poly  $\nabla_L(t) = \Delta_L(t, \dots, t) / (t-1)^{k-2}$

Use these thms :

$X$ : s.c. sympl. 4-mfd  $\leftrightarrow T$  emb sympl torus, square 0

$$\text{Nbd } N = T \times D^2 = S^1 \times (S^1 \times D^2)$$



$$T_L = S^1 \times B_L \subset N \text{ sympl} \& \text{ if } B_L \text{ has } m \text{ strands}$$

$$T_L \sim mT$$

3-fold Simple

Cover:  $(S^3, L)$

$$\downarrow \pi$$

$$(S^3, A)$$

Branch set  $B_L$

$\pi|_A = \text{trivial 3-fold cover}$

$\Rightarrow$  Cover induced over  $N = S^1 \times (S^1 \times D^2)$   
extends trivially over  $X$

$$\tilde{X} = \bigcup_{\text{3 copies}} (X \setminus N) \cup (\tilde{N} = S^1 \times (S^3 \setminus L))$$

'link surgery mfld'

Seiberg-Witten inv't of cover:

(Taubes, Park, F-S)

$$SW_{\tilde{X}} = \prod_{i=1}^3 SW_{X_i} \cdot (t_i - t_i^{-1}) \cdot \Delta_L^{\text{sym}}(t_1^2, t_2^2, t_3^2) \in \mathbb{Z} H_2(\tilde{X})$$

$\nwarrow$

$\uparrow i^{\text{th}} \text{ copy}$

$$t_i \leftrightarrow S^1 \times (\text{merid. } i^{\text{th}} \text{ comp. of } L)$$

$$t \leftrightarrow S^1 \times (\text{merid. of } A) = T$$

$$\pi_* SW_{\tilde{X}} = SW_X^3 \cdot (t - t^{-1})^3 \Delta_L^{\text{sym}}(t^2, t^2, t^2)$$

Any isotopy of  $\lambda$  taking  $T_L$  to  $T_{L'}$  for another  $L', B_{L'}$  and which also carries the covering data for  $\pi_L$  to  $\pi_{L'}$

$$\begin{array}{ccc}
 \tilde{X}_L & \xrightarrow{\hat{f} \cong} & \tilde{X}_{L'} \\
 \pi_L \downarrow & & \downarrow \pi_{L'} \\
 X & \xrightarrow{f \cong} & X \\
 T_L & \longrightarrow & T_{L'}
 \end{array}
 \quad \& \quad f_x \text{ id on}$$

$$\hat{f}_*(SW_{\tilde{X}_L}) = SW_{X_L} \Rightarrow$$

$$\Delta_L^{\text{sym}}(t^2 t^2 t^2) = \Delta_{L'}^{\text{sym}}(t^2 t^2 t^2)$$

"

$$\nabla_L^{\text{sym}}(t^2)(t-t')$$



$$\nabla_{L'}^{\text{sym}}(t^2)(t-t')$$

Using Kanenobu's Thm build these at will with arb. even degree

6

$$\frac{1}{2} \deg \nabla_L(t) = \text{genus of fiber } g$$

determines # strands of  $B_L$   $m = 2g+4$

$\Rightarrow$  For fixed  $m \geq 6$  we get sympl tori  $\sim mT$  with  $\infty$  many 3-fold simple br covers

Each braided torus  $T_L$  admits at most fin many simple, <sup>3-fold</sup> br covers of  $X$  with  $T_L$  as br set

$\Rightarrow$  Thm (F S)  $X$  sympl 4-mfd  $T$  emb sympl torus of square 0 Each  $m \geq 6$   $\exists$  infinitely many pairwise nonsmoothly isotopic emb sympl tori homologous to  $mT$ .

## Technique 2 - Fiber sums

Etgu-Park

Same hypotheses - Emb sympl torus square 0

$T \subset X$ : sympl.

Same construction - Braid  $B$

Braided torus  $T_B = S^1 \times B \subset \underbrace{S^1 \times S^1}_{T} \times D^2$

$T_B$  isotopic to  $T_{B'}$   $\Rightarrow \exists$  diffeo  $f: X \rightarrow X$

$f(T_B) = T_{B'}$ ,  $f(m_B) = m_{B'}$ ,  $f_* = \text{id}$  on  $H_1 X$

Use relative SW-inv't of  $(X, T_B)$ .

SW <sub>$X \# E(1)$</sub>  to distinguish the  $T_B$

$T_B = F$   
orig.  $S^1 \times S^1 \times D^2$

$$X \setminus N(T_B) = X \setminus N(T) \cup S^1 \times (S^1 \times D^2 \setminus N(B))$$

$$\begin{aligned} \text{SW}_{\substack{X \# E(1) \\ T_B = F}} &= \text{SW}_X(x-x') \Delta_{L_B}^{\text{sym}}(x^2, t^2) \end{aligned}$$

$$L_B = B \cup (A = \text{axis}) \quad x \leftrightarrow T - S \times m_A$$

$$t \leftrightarrow S \times m_B$$

duals to  $R_B$

$$H_2(X \# \overset{T_B=F}{E(1)}) = H_2(X) \oplus (R_B \oplus D_B) \oplus \langle S \rangle \oplus E_8$$

/

Rim group  
of  $T_B \cong \mathbb{Z} \oplus \mathbb{Z}$

dual to  
 $T_B=F$

$\hat{H}_2(E(1))$

If  $\exists$  isotopy  $T_B$  to  $T_{B'}$   $\exists$  diffeo

$$f: X \# \overset{T_B=F}{E(1)} \rightarrow X \# \overset{T_{B'}=F}{E(1)}$$

$$\bar{f}_*: H_2(X) \xrightarrow{\text{id}} \bar{f}_*(R_B) = R_{B'} \Rightarrow$$

$$\bar{f}_*(SW_X) = SW_{X'} \quad f_*(x) = x \Rightarrow$$

$$\Delta_{L_B}^{\text{sym}}(x^2, f_*(t)^2) = \Delta_{L_{B'}}^{\text{sym}}(x^2, t^2)$$

Easy to use this to show

$$\Delta_{L_B}(x, t) = \Delta_{L_{B'}}(x, t)$$

Thm  $\forall m \geq 2 \exists$   $\infty$ 'ly many (distinct up to smooth isotopy) emb sympl tori  $\sim mT$ .

For proof need -

Given  $m \geq 2 \exists$   $\infty$ 'ly many braids  $B(m,i)$  of  $m$ -strands  $\exists$  Alex polys of links  $L(m,i) = B(m,i) \cup \text{Axis}$  are distinct polys of 2 vbles.

Ex. Etgu-Park give such examples.

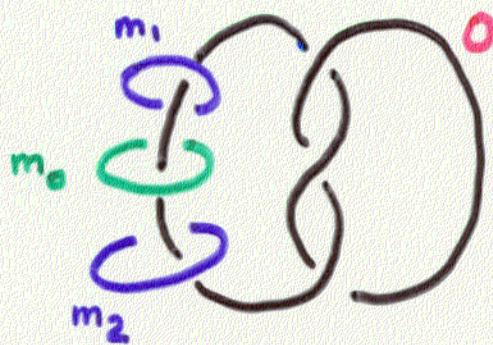
# Lagrangian Tori

Stefano - detect via knot surgery - or even  $\pi_1$ .

Our point of view - log transforms and branched covers

Example :

$K = \text{trefoil}$



$$M_K = \Sigma_1 \times_{\varphi} S^1$$

$$E(I)_K =$$

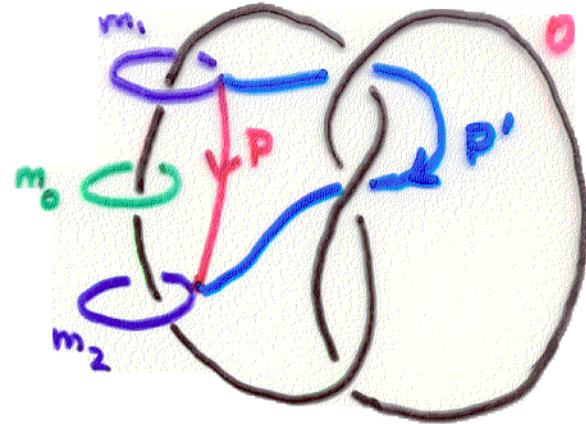
$$E(I) \# S^1 \times M_K \\ F = S^1 \times m_0$$

$X = \text{double br cover of } E(I)_K$   
br over  $S^1 \times (m_1 \cup m_2)$

$$X = E(I)' \# \begin{array}{c} S^1 \times \tilde{M}_K \\ \uparrow \\ S^1 \times m_0' \end{array} \quad E(I)'' \# \begin{array}{c} S^1 \times \tilde{M}_K \\ \uparrow \\ S^1 \times m_0'' = F'' \end{array}$$

$$\tilde{M}_K = M_{K \# K}$$

Pair of Lagr tor  
in  $X$



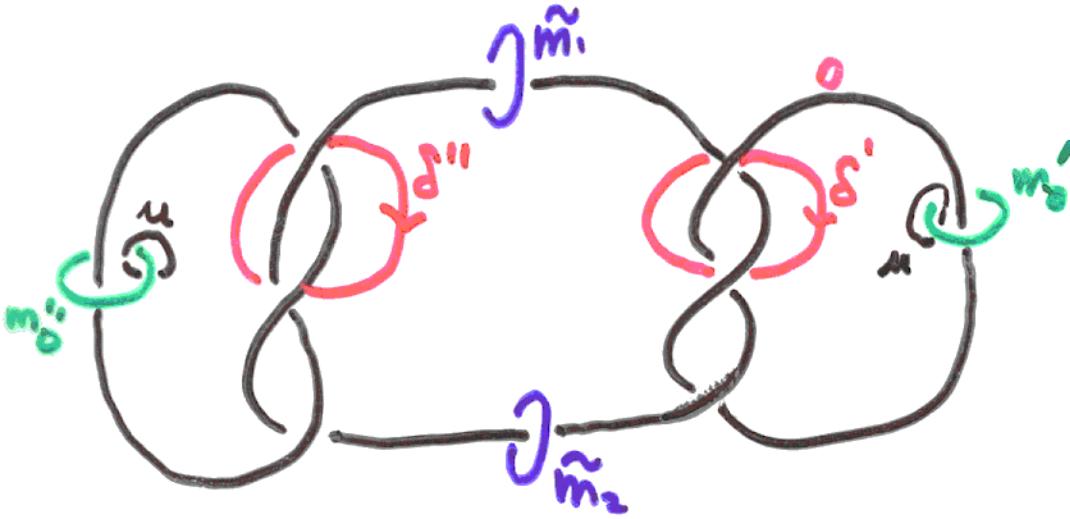
$P P'$  lift to circles  $\gamma \gamma$  on fibers of

$$\Sigma_2 \rightarrow M_{K \# K} \downarrow S'$$

Get Lagr tor  $T T_\gamma = S' \times \gamma \quad T = T_\gamma \quad S' \times \gamma'$

$$\text{in } S' \times (M_{K \# K} \setminus (m'_0 \cup m''_0)) \subset X$$

$H_2(M_{K \# K} \setminus (m'_0 \cup m''_0)) \cong \mathbb{Z} \oplus \mathbb{Z}$  gen by  $m_0 \sim m''_0$   
&  $\mu = \text{merid to } m'_0 \cup m''_0$

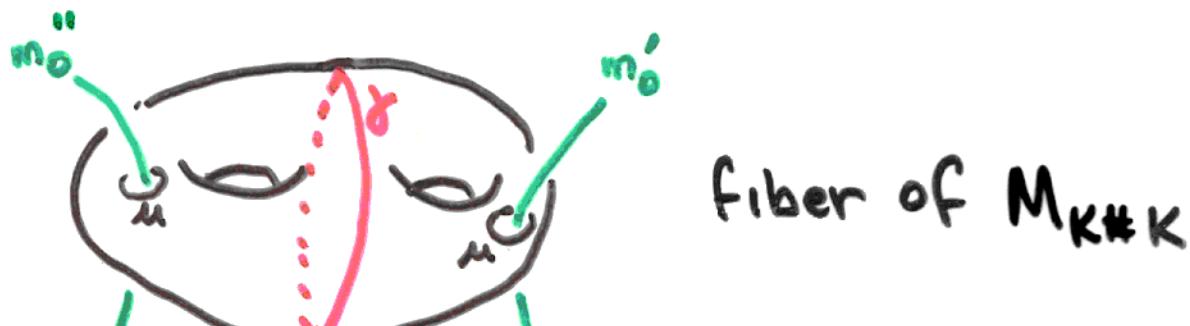


$$\begin{aligned} \gamma \gamma &\sim \delta' + \delta'' \\ \delta' &\sim 0, \delta'' \sim 0 \\ \text{in } H_2(M_{K \# K} \setminus (m'_0 \cup m''_0)) \end{aligned}$$

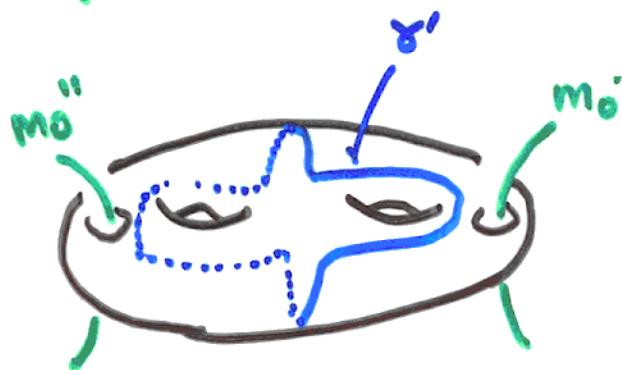
$$\Rightarrow \text{tori } \Sigma' = S^1 \times \delta \quad \Sigma'' = S^1 \times \delta''$$

nullhomologous (& Lagr) in  $S^1 \times (M_{K \# K} \setminus (m_0 \cup m''))$

$$T' T \sim \Sigma' + \Sigma'' \Rightarrow T T' \text{ homologous in } X$$



fiber of  $M_{K \# K}$



$T = S^1 \times \gamma$  homologous to  $S^1 \times \mu$  rim torus  
of fibers of  $E(1) - E(1)''$  where gluing  
takes place

$\Rightarrow \underline{T \text{ essential in } X}$

Claim  $T T'$  not isotopic in  $X$

If  $T'$  isotopic to  $T$  ( $m_{T'} \rightarrow m_T$ )

Any surgery on  $T'$   $\longleftrightarrow$  Some surgery on  $T$

Surgery killing  $m_{T'}$   $\longleftrightarrow$  Surgery killing  $m_T$   
 " " X X

Basis for  $H_2(T^3 = \partial(T \times D^2))$

$$[S^1 \times pt \times pt] \quad [pt \times \gamma_L \times pt] \quad m_T$$

$\nearrow$   
pushoff in  
fiber of  $M_{K \# K}$

$X(p, q, r)$  : result of surgery killing

$$p[S^1 \times pt \times pt] + q[pt \times \gamma_L \times pt] + r m_T$$

$X'(p, q, r)$  : same for  $T'$  (using  $\gamma_L'$ )

$\{ SW_{X(p, q, r)} \}$  is isotopy inv't of  $T$ .

$$SW_{X(0, 0, 1)} = \Delta_{K \# K}^{\text{Sym}}(t_F^2, t_F^2) = (t_F^2 - 1 + t_F^2)^2$$

$$t_F \leftrightarrow F(\text{fiber of } E(1)) = S^1 \times m'_0 = S^1 \times m''_0$$

Morgan - Mrowka - Szabo formula :

$$\sum_{X(p,q,r)} SW(k''+2iT) = p \sum_{X(1,0,0)} SW(k'+2iT) + q \sum_{X(0,1,0)} SW(k''+2iT) + r \sum_{X(0,0,1)=X} SW(k+2iT)$$

where  $T = \text{core of surgery in approp. mfd}$

$k'''$  = homology class of  $X(p,q,r)$  which

$$\begin{array}{ccc}
 H_2(X(p,q,r)) & \longrightarrow & H_2(X(p,q,r), N(T)) \\
 k''' \downarrow & & \downarrow \\
 & & H_2(X \cdot N(T), \partial) \\
 & & \downarrow \\
 H_2(X) & \longrightarrow & H_2(X, N(T))
 \end{array}$$

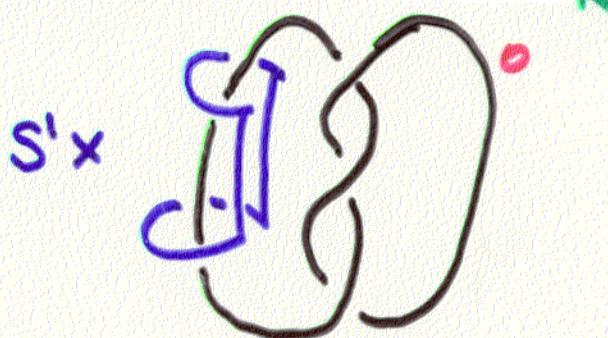
Same for  $k'$ ,  $k''$

$$\begin{array}{ccc}
 SW_{X(p,q,r)} \in \mathbb{Z} H_2(X(p,q,r)) & & t_T \\
 \downarrow & & \downarrow \\
 \bar{SW}_{X(p,q,r)} \in \mathbb{Z} H_2(X(p,q,r), N(T)) & & 1
 \end{array}$$

This gets rid of the sums in the formula:

$$\bar{SW}_{X(p,q,r)} = p \bar{SW}_{X(1,0,0)} + q \bar{SW}_{X(0,1,0)} + r \bar{SW}_X$$

$X(0,1,0)$  double br cover of  $E(I)_K$  with  
branch set:



Idea: Double br cover of  $S'x$

$= +1$  surgery on  $S'x \tilde{\lambda}$  in  $(S'x \tilde{\lambda})^\sim$

0-surgery on  $S'x \tilde{\lambda}$  = double br. cover  
of  $S'x$

$$\Rightarrow X(0,1,0) = E(I) \underset{F}{\#} [S'x (M_K \# M_K)] \underset{F}{\#} E(I)$$

$$\bar{SW}_{X(0,1,0)} = 0$$

$X(1,0,0)$  Also a br. cover -

Base  $Y$  = result of (honest) surgery on

$$S^1 \times pt \subset S^1 \times (M_K - m_0) \subset E(1)_K$$

$$S^1 \times (D^3 = \left( \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right)) \subseteq \text{Br. set}$$

$I = P$

replaced by  $S^2 \times D^2$ .

In each torus in br set  $S^1 \times I$  removed  
replaced by  $D^2 \amalg D^2$

$$\text{New br set} = S^2 \amalg S^2 = S^2 \times \textcircled{0}$$

$$\Rightarrow X(1,0,0) = [Y, (S^2 \times D^2)] \cup S^2 \times S^1 \times I \cup [Y, (S^2 \times D^2)]$$

$$SW_{X(1,0,0)} = 0$$

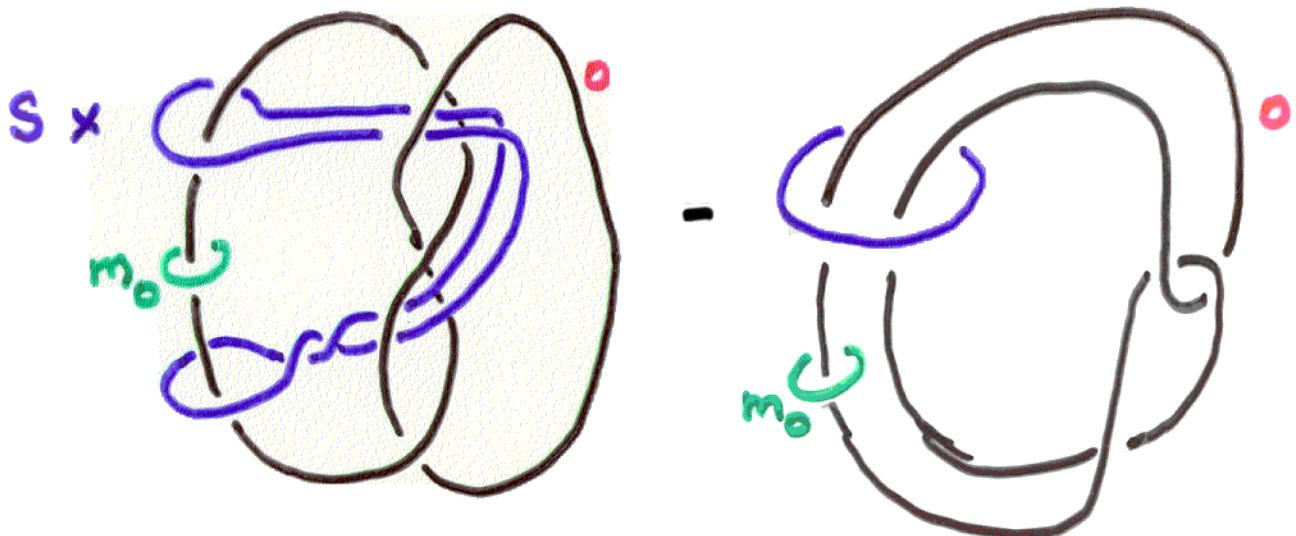
Same for  $T'$

$$SW_{X'(1,0,0)} = 0$$

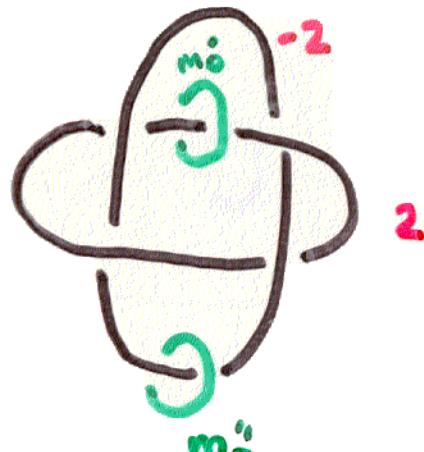
$$\Rightarrow \text{For } T \text{ get inv'ts } \{ r(t_F^2 - 1 + t_F^{-2}) \}$$

For  $T'$  still need to calculate  $SW_{X'(0,1,0)}$

$X'(0,1,0) = \text{br cover of } E(1)_K \text{ br over}$



Double br cover is



Torsion of link

$m_0 \cup m_0''$  in this mfd

1

$SW_{X'(0,1,0)} = 1$

Invts for  $T \{ q + r(t_F^2 + t_F^{-2})^2 \}$

$\Rightarrow T'$  not isotopic to  $T$

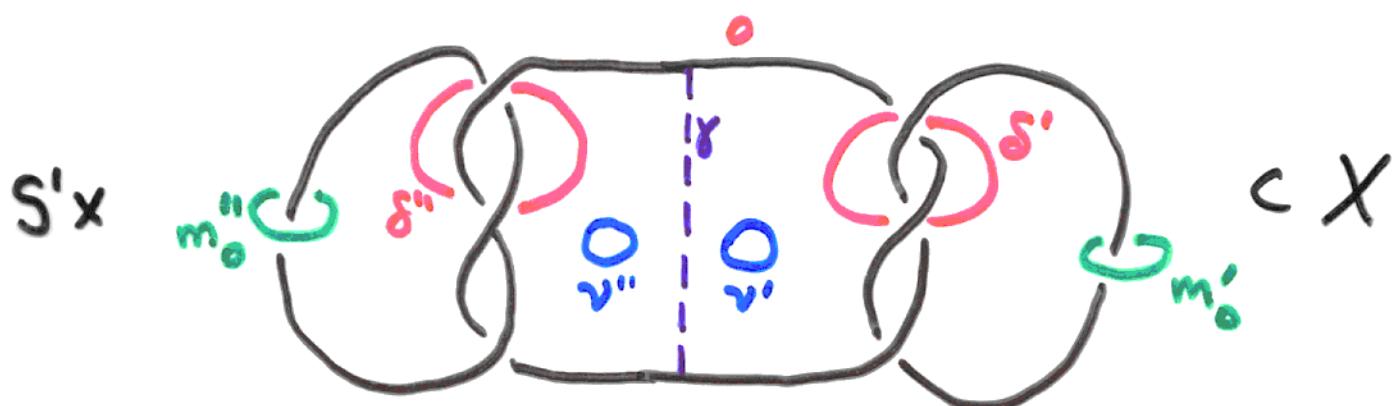
Rk Auroux Donaldson Katzarkov show surgery

mfds  $X'(0, k)$  are sympl.  $\forall k$

Corr SW is  $k + SW_X$  ( $\&$  leading coeff = 1)

Key to construction  
nullhomologous tori  
pair of 'trivial' tori

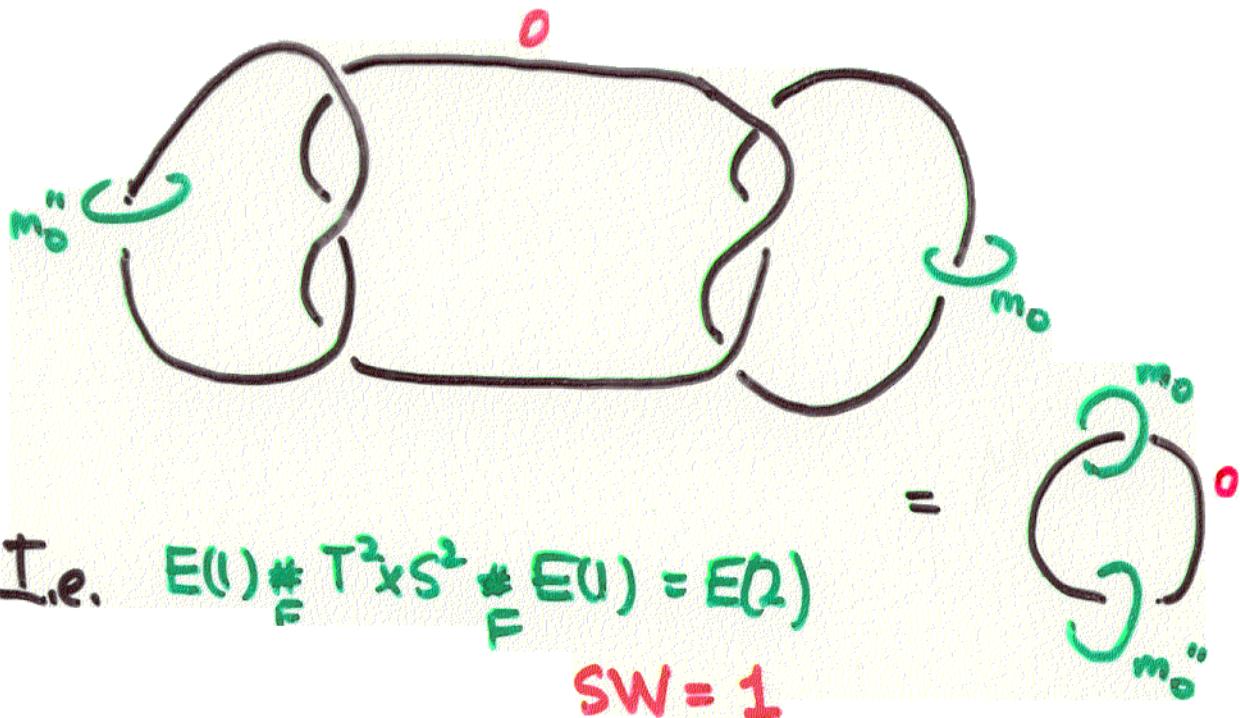
Equivariant pair of  
not isotopic to equivit



(Equivariant) Lagrangian Circle Sum

The 0 (Lagrangian) framing on  $\delta'$  or  $\delta''$   
 = usual (-1) framing

Do on both  $\delta'$  &  $\delta''$  get



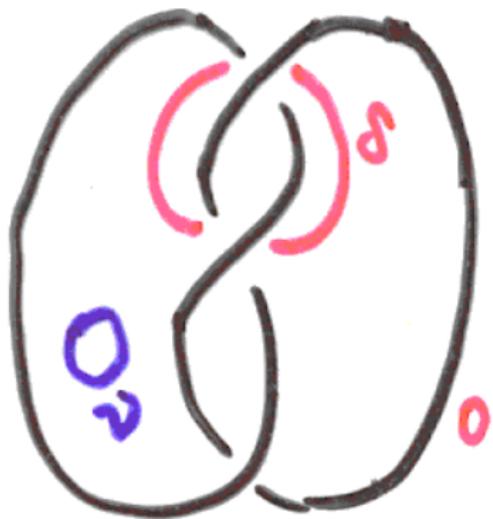
Same surgery on  $S^1 \times (\nu \cup \nu'')$  yields

$$X \# S^1 \times T^2 \# S^1 \times T^2 \quad SW=0$$

Goal Prove that  $T$  not isotopic to  $T'$  directly  
 from this

Final comment

$$\in E(L)_K$$



$$S^1 \times$$

$S^1 \times \delta$  nullhomologous Lagrangian torus not isotopic to  $S^1 \times \gamma$

Same analysis as above

(now need SW $\pm$ )