

# Sparse and symmetry-preserving compression of matrix product operators

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## The many-body problem

# Setting and occupation number representation

Want to solve the eigenvalue problem  $\mathcal{H}^N \Psi = E \Psi$  with  $\Psi \in \bigwedge_{i=1}^N L^2(\mathbb{R}^3)$  and

$$\mathcal{H}^N = \sum_{i=1}^N -\frac{1}{2} \Delta_i + v(r_i) + \sum_{1 \leq i < j \leq N} \frac{1}{|r_i - r_j|}$$

**Galerkin approximation**  $(\phi_i)_{1 \leq i \leq L}$  orthonormal family of  $L^2(\mathbb{R}^3)$

- basis functions of  $\bigwedge_{i=1}^N L^2(\mathbb{R}^3)$ : Slater determinants  $\phi_{i_1} \wedge \cdots \wedge \phi_{i_N} = \det(\phi_{i_k}(r_j))$

$$\Psi(r_1, \dots, r_N) = \sum_{1 \leq i_1 < \cdots < i_N \leq L} C_{i_1 \dots i_N} \phi_{i_1} \wedge \cdots \wedge \phi_{i_N}(r_1, \dots, r_N).$$

- possibly  $\binom{L}{N}$  nonzero coefficients  $C_{i_1 \dots i_N}$ , hard to parametrize

**Occupation number representation:** reparametrize the Slater determinant wrt occupied states

$$\Psi = \sum_{(\mu_1, \dots, \mu_L) \in \{0,1\}^L} \Psi_{\mu_1 \dots \mu_L} \Phi_{(\mu_1, \dots, \mu_L)},$$

with  $\Phi_{(\mu_1, \dots, \mu_L)} = \phi_{i_1} \wedge \cdots \wedge \phi_{i_N}$  is the Slater determinant of occupied states (i.e.  $\mu_j = 1$  iff  $j = i_k$ ).

- example:  $L = 5$ ,  $\phi_1 \wedge \phi_3 \wedge \phi_4 = \Phi_{(10110)}$  and  $\Psi_{10110} = C_{134}$
- remark: since  $\Psi_0$  is a function of  $N$  variables,  $\Psi_{\mu_1 \dots \mu_L} = 0$  if  $\sum \mu_k \neq N$

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## Advantage

Easier to handle  $\Psi \in \mathbb{R}^{2^L}$  by tensor methods (here: matrix product states)

The many-body Hamiltonian in the occupation number representation:  $\mathbf{H} \in \mathbb{R}^{2^L \times 2^L}$

- $\mathbf{H}$  is symmetric

$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = \mathbf{H}_{\nu_1 \dots \nu_L}^{\mu_1 \dots \mu_L}$$

- $\mathbf{H}$  is particle-number preserving

$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \neq 0 \Rightarrow \sum_{k=1}^L \mu_k = \sum_{k=1}^L \nu_k$$

- $\mathbf{H}$  comes from a two-body Hamiltonian

$$(\mu_k)_{1 \leq k \leq L} \text{ and } (\nu_k)_{1 \leq k \leq L} \text{ differ by more than 2 pairs} \Rightarrow \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = 0.$$

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## Second quantization

Matrix representation  $\mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \in \mathbb{R}^{2^L \times 2^L}$  of the second quantized Hamiltonian

$$\mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = \begin{array}{c} \text{0 electron sector} \\ \text{1 electron sector} \\ \vdots \\ \text{L electron sector} \end{array} \begin{array}{cccc} \text{0 electron sector} & \text{1 electron sector} & \cdots & \text{L electron sector} \\ \left( \begin{array}{cccc} H_0 & 0 & \cdots & 0 \\ 0 & H_1 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & \dots & \cdots & H_L \end{array} \right) \end{array}$$

**Example:**  $L = 2$

$$\mathbf{H}_{\mu_1 \mu_2}^{\nu_1 \nu_2} = \begin{array}{c} \mu_1 \mu_2 \setminus \nu_1 \nu_2 \\ \begin{array}{cc} 00 & 01 \\ 01 & 10 \\ 10 & 11 \\ 11 & \end{array} \end{array} \begin{array}{cccc} \left( \begin{array}{cccc} H_0 & 0 & 0 & 0 \\ 0 & (H_1)_{01}^{01} & (H_1)_{01}^{10} & 0 \\ 0 & (H_1)_{10}^{01} & (H_1)_{10}^{10} & 0 \\ 0 & 0 & 0 & H_2 \end{array} \right) \end{array}$$

## Second quantization

$$\mathbf{H} = \sum_{i,j=1}^L h_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i,j,k,l=1}^L V_{ijkl} a_i^\dagger a_j^\dagger a_l a_k,$$

with  $a_i^\dagger$  and  $a_j$  are creation and annihilation operators,  $(h_{ij}) \in \mathbb{R}^{L \times L}$  are one-electron integrals,  $V_{ijkl} \in \mathbb{R}^{L^4}$  two-electron integrals.

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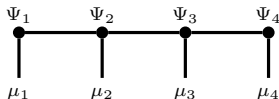
## Matrix product operators

## Matrix product state

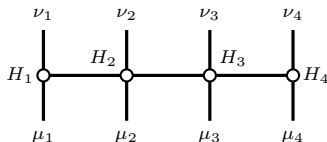
For  $\Psi \in \mathbb{R}^{2^L}$ ,  $(\Psi_1, \dots, \Psi_L)$  is an MPS representation of  $\Psi$  if

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$(r_k)_{k=0, \dots, L}$  are called *MPS ranks* ( $(r_0 = r_L = 1)$ ).



(a) Graphical representation of an MPS



(b) Graphical representation of an MPO

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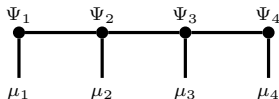
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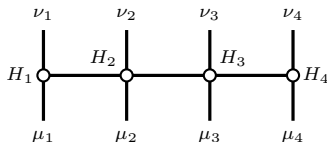
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# Why the MPO?

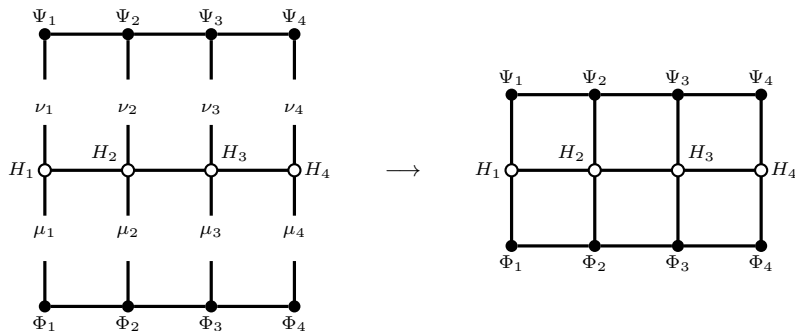
## Computation of $\langle \Phi, H\Psi \rangle$

- MPO  $\mathbf{H}$  of MPO rank  $R$ :  $\mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = H_1[\mu_1, \nu_1] H_2[\mu_2, \nu_2] \dots H_L[\mu_L, \nu_L]$
- MPS  $\Phi, \Psi$  of MPS rank  $r$

$$\Psi_{\nu_1 \dots \nu_L} = \Psi_1[\nu_1] \Psi_2[\nu_2] \dots \Psi_L[\nu_L], \quad \Phi_{\mu_1 \dots \mu_L} = \Phi_1[\mu_1] \Phi_2[\mu_2] \dots \Phi_L[\mu_L]$$

## Expectation value

$$\langle \Phi, H\Psi \rangle = \sum_{\mu_1 \dots \mu_L \in \{0,1\}^L} \sum_{\nu_1 \dots \nu_L \in \{0,1\}^L} \Phi_{\mu_1 \dots \mu_L} \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \Psi_{\nu_1 \dots \nu_L}$$



Computational cost:  $\mathcal{O}(Rr^2)$

# Algebraic properties of MPOs

**Algebraic properties of MPO:** consider  $\mathbf{H}, \tilde{\mathbf{H}} \in \mathbb{R}^{2^L \times 2^L}$  with respective MPO

$$\mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = H_1[\mu_1, \nu_1] H_2[\mu_2, \nu_2] \cdots H_L[\mu_L, \nu_L], \quad \text{and} \quad \tilde{\mathbf{H}}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = \tilde{H}_1[\mu_1, \nu_1] \tilde{H}_2[\mu_2, \nu_2] \cdots \tilde{H}_L[\mu_L, \nu_L].$$

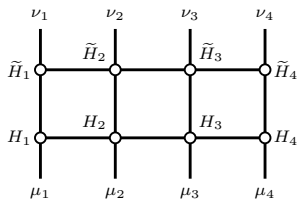
- multiplication by a scalar: free
- addition of two MPOs:  $\mathbf{F} = \mathbf{H} + \tilde{\mathbf{H}}$

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▶ MPO rank of  $\mathbf{F} = \text{MPO rank of } \mathbf{H} + \text{MPO rank of } \tilde{\mathbf{H}}$ .

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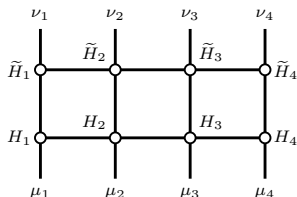
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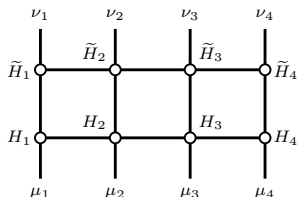
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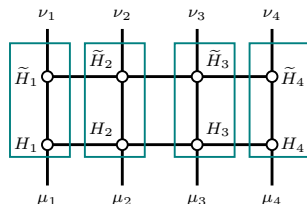
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$$\mathbf{F}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = \begin{pmatrix} H_1[\mu_1, \nu_1] & \tilde{H}_1[\mu_1, \nu_1] \\ 0 & \tilde{H}_2[\mu_2, \nu_2] \end{pmatrix} \cdots \begin{pmatrix} H_{L-1}[\mu_{L-1}, \nu_{L-1}] & 0 \\ 0 & \tilde{H}_{L-1}[\mu_{L-1}, \nu_{L-1}] \end{pmatrix} \begin{pmatrix} H_L[\mu_L, \nu_L] \\ \tilde{H}_L[\mu_L, \nu_L] \end{pmatrix}$$

► MPO rank of  $\mathbf{F} = \text{MPO rank of } \mathbf{H} + \text{MPO rank of } \tilde{\mathbf{H}}$ .

- multiplication of two MPOs:  $\mathbf{G} = \mathbf{H} * \tilde{\mathbf{H}}$

► MPO rank of  $\mathbf{G} = \text{MPO rank of } \mathbf{H} \times \text{MPO rank of } \tilde{\mathbf{H}}$



# Construction of the MPO of the Hamiltonian

## Second quantized Hamiltonian

$$\mathbf{H} = \sum_{i,j=1}^L h_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i,j,k,l=1}^L V_{ijkl} a_i^\dagger a_j^\dagger a_l a_k,$$

### MPO of creation and annihilation operators

- $a_i, a_j^\dagger$  have MPO rank 1
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- by summation  $\mathbf{H}$  has MPO rank  $\mathcal{O}(L^4)$

### Theorem (BACHMAYR, GÖTTE, PFEFFER (2021)<sup>1</sup>)

*There is an explicit MPO representation of  $\mathbf{H}$  with MPO ranks bounded by  $\mathcal{O}(L^2)$ .*

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Want to reduce the naive  $\mathcal{O}(L^4)$  to  $\mathcal{O}(L^2)$  (or even better)

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Apply **successive** SVD to  $\mathbf{H} \in \mathbb{R}^{2^L \times 2^L}$ :

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And so on and so forth

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# Compression of an MPO

## Basic properties to preserve

- 1 symmetry:

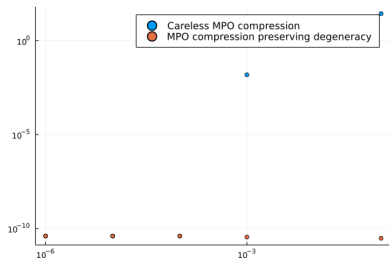
$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = \mathbf{H}_{\nu_1 \dots \nu_L}^{\mu_1 \dots \mu_L}$$

- 2 particle number conservation:

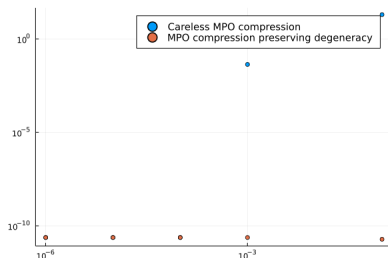
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- 3 two-body interactions:

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(a) Norm of  $\mathbf{H} - \mathbf{H}^T$



(b) Norm of  $\mathbf{H}\hat{N} - \hat{N}\mathbf{H}$

Keep degenerate singular values when truncating

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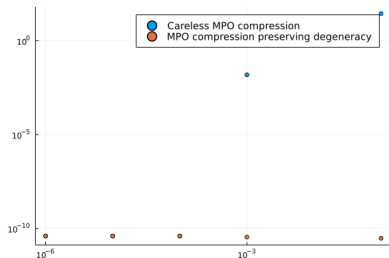
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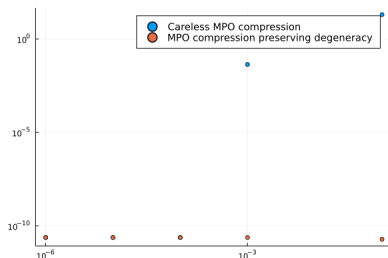
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(a) Norm of  $\mathbf{H} - \mathbf{H}^T$



(b) Norm of  $\mathbf{H}\hat{N} - \hat{N}\mathbf{H}$

Keep degenerate singular values when truncating



# Compression of an MPO

## Basic properties to preserve

- 1 symmetry:

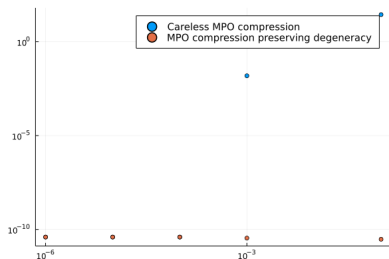
$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = \mathbf{H}_{\nu_1 \dots \nu_L}^{\mu_1 \dots \mu_L}$$

- 2 particle number conservation:

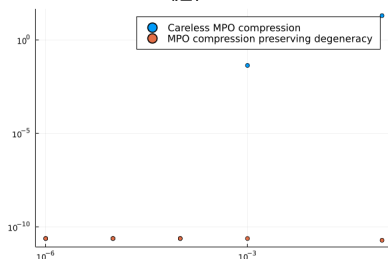
$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \neq 0 \Rightarrow \sum_{k=1}^L \mu_k = \sum_{k=1}^L \nu_k$$

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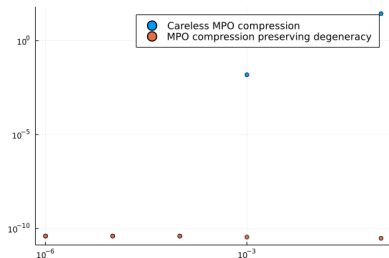
$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} = \mathbf{H}_{\nu_1 \dots \nu_L}^{\mu_1 \dots \mu_L}$$

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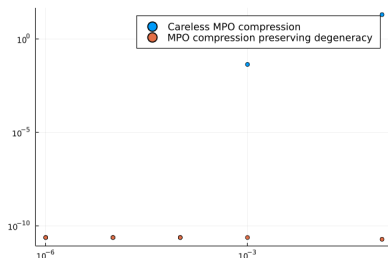
$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \neq 0 \Rightarrow \sum_{k=1}^L \mu_k = \sum_{k=1}^L \nu_k$$

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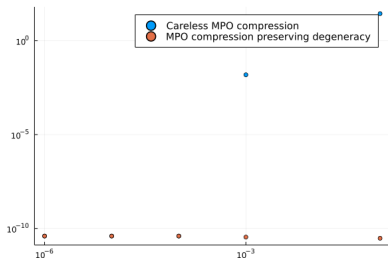
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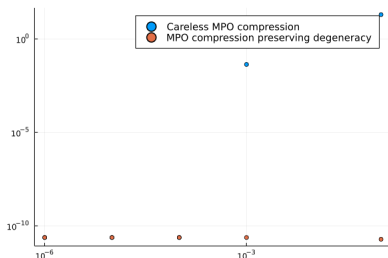
$$\forall (\mu_1, \nu_1, \dots, \mu_L, \nu_L) \in \{0, 1\}^{2L}, \mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \neq 0 \Rightarrow \sum_{k=1}^L \mu_k = \sum_{k=1}^L \nu_k$$

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(a) Norm of  $\mathbf{H} - \mathbf{H}^T$



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Keep degenerate singular values when truncating

# Conservation of Hermitian symmetry

Singular values  $\Sigma_k$  related to the reshape  $\mathbf{H}_{\mu_1\nu_1\dots\mu_k\nu_k}^{\mu_{k+1}\nu_{k+1}\dots\mu_L\nu_L} \in \mathbb{R}^{4^k \times 4^{L-k}}$ :

- symmetry

$$\mathbf{H}_{\mu_1\dots\mu_L}^{\nu_1\dots\nu_L} = \mathbf{H}_{\nu_1\dots\nu_L}^{\mu_1\dots\mu_L} \Rightarrow \mathbf{H}_{\mu_1\nu_1\dots\mu_k\nu_k}^{\mu_{k+1}\nu_{k+1}\dots\mu_L\nu_L} = \mathbf{H}_{\nu_1\mu_1\dots\nu_k\mu_k}^{\nu_{k+1}\mu_{k+1}\dots\nu_L\mu_L}$$

stability by permuting all the pairs of indices

- SVD of  $\mathbf{H}_{\mu_1\nu_1\dots\mu_k\nu_k}^{\mu_{k+1}\nu_{k+1}\dots\mu_L\nu_L}$

$$\mathbf{H}_{\mu_1\nu_1\dots\mu_k\nu_k}^{\mu_{k+1}\nu_{k+1}\dots\mu_L\nu_L} = \sum_j \sigma_j \left( U_j V_j^T \right)_{\mu_1\nu_1\dots\mu_k\nu_k}^{\mu_{k+1}\nu_{k+1}\dots\mu_L\nu_L} \quad \sigma_j \text{ distinct, } U_j \in \mathbb{R}^{4^k \times r_j}, V_j^T \in \mathbb{R}^{4^{L-k} \times r_j}$$

$$\mathbf{H}_{\nu_1\mu_1\dots\nu_k\mu_k}^{\nu_{k+1}\mu_{k+1}\dots\nu_L\mu_L} = \sum_j \sigma_j \left( U_j V_j^T \right)_{\nu_1\mu_1\dots\nu_k\mu_k}^{\nu_{k+1}\mu_{k+1}\dots\nu_L\mu_L}$$

by uniqueness of the SVD: for all  $j$

$$\left( U_j V_j^T \right)_{\mu_1\nu_1\dots\mu_k\nu_k}^{\mu_{k+1}\nu_{k+1}\dots\mu_L\nu_L} = \left( U_j V_j^T \right)_{\nu_1\mu_1\dots\nu_k\mu_k}^{\nu_{k+1}\mu_{k+1}\dots\nu_L\mu_L}.$$

## Theorem

Not breaking the degeneracy of the singular values  $\Rightarrow$  preserve the symmetry

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## Theorem

Not breaking the degeneracy of the singular values  $\Rightarrow$  preserve the symmetry

# Preservation of the particle number: a basic example

## Example

$$\mathbf{H}^{\nu_1 \nu_2}_{\mu_1 \mu_2} = \begin{array}{c|cccc} \mu_1 \mu_2 \setminus \nu_1 \nu_2 & 00 & 01 & 10 & 11 \\ \hline 00 & H_0 & 0 & 0 & 0 \\ 01 & 0 & (H_1)_{01}^{01} & (H_1)_{01}^{10} & 0 \\ 10 & 0 & (H_1)_{10}^{01} & (H_1)_{10}^{10} & 0 \\ 11 & 0 & 0 & 0 & H_2 \end{array}$$

- reshape

$$\mathbf{H}^{\mu_2 \nu_2}_{\mu_1 \nu_1} = \begin{array}{c|cccc} \mu_1 \nu_1 \setminus \mu_2 \nu_2 & 00 & 01 & 10 & 11 \\ \hline 00 & H_0 & 0 & 0 & (H_1)_{01}^{01} \\ 01 & 0 & 0 & (H_1)_{10}^{01} & 0 \\ 10 & 0 & (H_1)_{01}^{10} & 0 & 0 \\ 11 & (H_1)_{10}^{10} & 0 & 0 & H_2 \end{array}$$

- 

$$\text{SVD of } \mathbf{H}^{\mu_2 \nu_2}_{\mu_1 \nu_1} = \text{SVD of } \begin{pmatrix} H_0 & (H_1)_{01}^{01} \\ (H_1)_{10}^{10} & H_2 \end{pmatrix} \otimes \begin{pmatrix} 0 & (H_1)_{01}^{10} \\ (H_1)_{10}^{01} & 0 \end{pmatrix}$$

- by symmetry of  $H_1$ :  $(H_1)_{01}^{10} = (H_1)_{10}^{01}$ 
  - $\Rightarrow$  degenerate singular values
  - $\Rightarrow$  preserve the 0 by keeping degeneracy

## Theorem

Not breaking the degeneracy of the singular values  $\Rightarrow$  preserve the particle number conservation

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## Sparse representation of the MPO

# MPS of an N-particle state<sup>1</sup>

Consider  $\Psi_{\mu_1 \dots \mu_L} \in \mathbb{R}^{2^L}$  an  $N$ -particle state:  $\Psi_{\mu_1 \dots \mu_L} \neq 0 \Rightarrow \sum_{k=1}^L \mu_k = N$   
Construct an MPS representation by successive SVDs

- first SVD

$$\Psi_{\mu_1}^{\mu_2 \dots \mu_L} = \begin{pmatrix} U_{1,0} & 0 \\ 0 & U_{1,1} \end{pmatrix} \begin{pmatrix} \Sigma_{1,0} & 0 \\ 0 & \Sigma_{1,1} \end{pmatrix} \begin{pmatrix} V_{1,0}^T \\ V_{1,1}^T \end{pmatrix}.$$

- ▶ first MPS core:  $\Psi_1[0] = (U_{1,0} \ 0)$  and  $\Psi_1[1] = (0 \ U_{1,1})$ .

- second SVD: reshape  $(V_1)_{\alpha}^{\mu_2 \dots \mu_L} = \begin{pmatrix} V_{1,0}^T \\ V_{1,1}^T \end{pmatrix} \in \mathbb{R}^{r_1 \times 2^{L-1}}$  to  $(V_1)_{\alpha\mu_2}^{\mu_3 \dots \mu_L} \in \mathbb{R}^{2r_1 \times 2^{L-2}}$

$$(V_1)_{\alpha\mu_2}^{\mu_3 \dots \mu_L} = \begin{matrix} & \alpha\mu_2 \\ & 00 \\ & 01 \\ & 10 \\ & 11 \end{matrix} \begin{pmatrix} U_{2,0} & 0 & 0 \\ 0 & U_{2,01} & 0 \\ 0 & U_{2,10} & 0 \\ 0 & 0 & U_{2,2} \end{pmatrix} \begin{pmatrix} \Sigma_{2,0} & & \\ & \Sigma_{2,1} & \\ & & \Sigma_{2,2} \end{pmatrix} \begin{pmatrix} V_{2,0}^T \\ V_{2,1}^T \\ V_{2,2}^T \end{pmatrix}.$$

- ▶ second MPS core

$$\Psi_2[0] = \begin{pmatrix} U_{2,0} & 0 & 0 \\ 0 & U_{2,10} & 0 \end{pmatrix} \quad \Psi_2[1] = \begin{pmatrix} 0 & U_{2,01} & 0 \\ 0 & 0 & U_{2,2} \end{pmatrix}$$

<sup>1</sup>Bachmayr, Markus, Michael Götze, and Max Pfeffer. "Particle Number Conservation and Block Structures in Matrix Product States." arXiv (2021).

# MPS of an N-particle state<sup>1</sup>

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# Block structure of MPO

**Block structure MPS:**  $\Psi_{\mu_1 \dots \mu_L}$  an  $N$  particle state,  $(\Psi_1, \dots, \Psi_L)$  MPS cores

$$\Psi_k[0] = \begin{pmatrix} \Psi_k[0]_0 & & & & \\ & \Psi_k[0]_1 & & & \\ & & \ddots & & \\ & & & \ddots & \\ & & & & \Psi_k[0]_N \end{pmatrix} \quad \Psi_k[1] = \begin{pmatrix} 0 & \Psi_k[1]_0 & & & \\ & 0 & \Psi_k[1]_1 & & \\ & & \ddots & \ddots & \\ & & & \ddots & 0 \\ & & & & 0 & \Psi_k[1]_{N-1} \\ & & & & & 0 \end{pmatrix}$$

**Block structure MPO:**  $\mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \in \mathbb{R}^{2^L \times 2^L}$  particle-number preserving and two-body operator

- MPO of  $\mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} =$  MPS of  $\mathbf{H}_{\mu_1 \nu_1; \mu_2 \nu_2; \dots; \mu_L \nu_L}$
- $\mathbf{H}$  particle-number preserving:

$$\mathbf{H}_{\mu_1 \nu_1; \mu_2 \nu_2; \dots; \mu_L \nu_L} \neq 0 \Rightarrow \sum_k \mu_k - \nu_k = 0.$$

the difference  $\mu_k - \nu_k$  only takes values -1,0 and 1.

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- $\mathbf{H}$  particle-number preserving:

$$\mathbf{H}_{\mu_1 \nu_1; \mu_2 \nu_2; \dots; \mu_L \nu_L} \neq 0 \Rightarrow \sum_k^L \mu_k - \nu_k = 0.$$

the difference  $\mu_k - \nu_k$  only takes values -1,0 and 1.

**MPO of particle-number preserving operator:**  $\mathbf{H}_{\mu_1 \dots \mu_L}^{\nu_1 \dots \nu_L} \in \mathbb{R}^{2^L \times 2^L}$

$$H_k[00] = \text{Diagonal}(H_k[00]_{-L}, H_k[00]_{-L+1}, \dots, H_k[00]_L)$$

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**MPO of two-body Hamiltonian:**  $\mathbf{H}_{\mu_1 \nu_1; \mu_2 \nu_2; \dots; \mu_L \nu_L} \neq 0 \Rightarrow \sum_{k=1}^L |\mu_k - \nu_k| \leq 4$

$$H_k[00] = \text{Diagonal}(H_k[00]_{-2}, H_k[00]_{-1}, \dots, H_k[00]_2)$$

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## Theorem

$H_k[i_k, j_k]$  is a block matrix with at most 5 nonzero blocks.



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## Conclusion

- Hermitian preserving and particle number preserving MPO compression by not breaking the degeneracy in the truncation
- sparse MPO representation of two-body Hamiltonians

## Perspectives

- implementation to exploit the sparse structure of the MPO and of the MPS

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