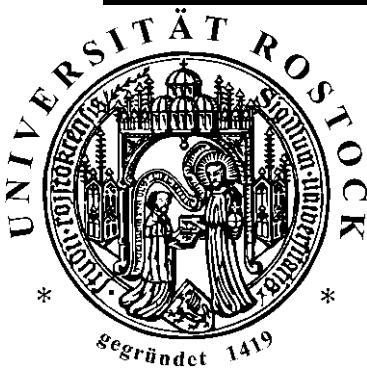
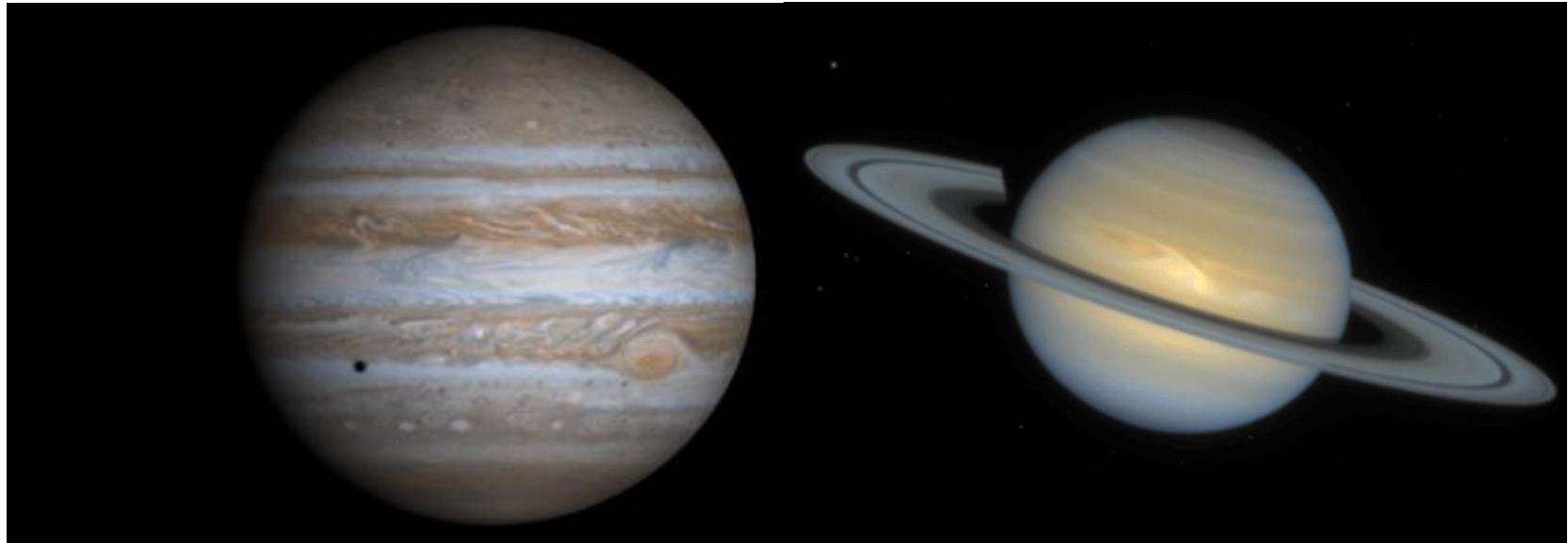


# **Warm Dense Matter and the Interior of (Solar and Extrasolar) Giant Planets**



**Ronald Redmer**

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D-18051 Rostock, Germany

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**INSTITUT FÜR PHYSIK**

# THEORY GROUP AT U ROSTOCK

## **Ab initio simulations:**

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Daniel Cebulla, Bastian Holst (CEA Paris)

## **Planetary physics:**

Nadine Nettelmann, [Andreas Becker](#), Ulrike Kramm,  
Robert Püstow

## **X-ray Thomson scattering:**

Philipp Sperling, Kai-Uwe Plagemann, Richard Bredow,  
Thomas Bornath, Robert Thiele (CFEL Hamburg)

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Supercomputing Center North (HLRN)

BMBF FSP-301 FLASH

# Contents

## 1. Introduction:

**Matter under extreme conditions**

M. Desjarlais

**Problems in planetary physics**

## 2. Fundamental properties:

**Ab initio molecular dynamics simulations**

**H, H-He mixtures, H<sub>2</sub>O - EOS and MIT**

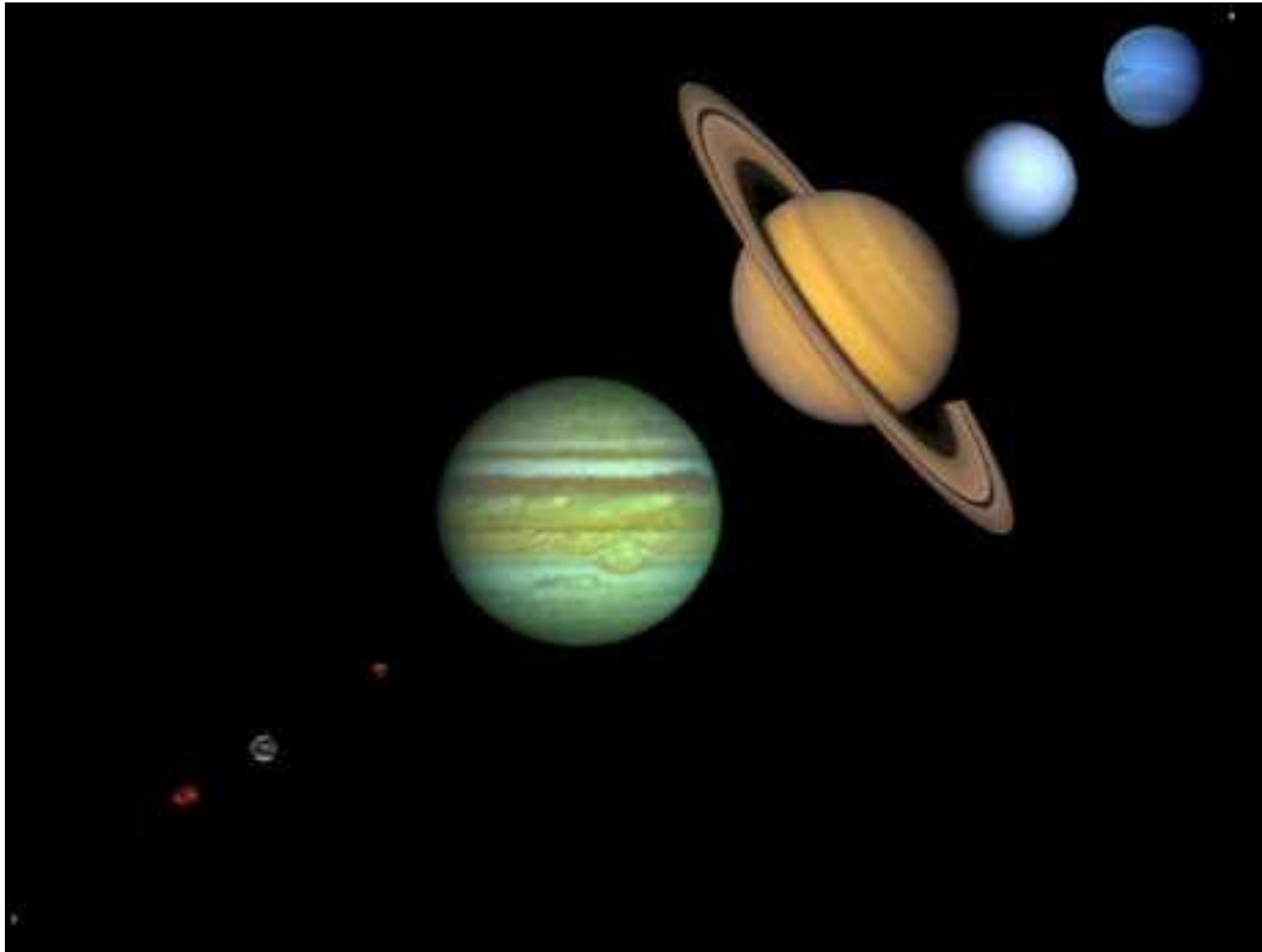
## 3. Application in astrophysics:

**Modeling solar and extrasolar giant planets**

**Material properties for the deep interior**

## 4. Summary

# Solar system: Eight planets\*



\*IAU Meeting Prague 24.08.2006: Pluto is considered as „Dwarf Planet“

# Solar system: Eight planets\*

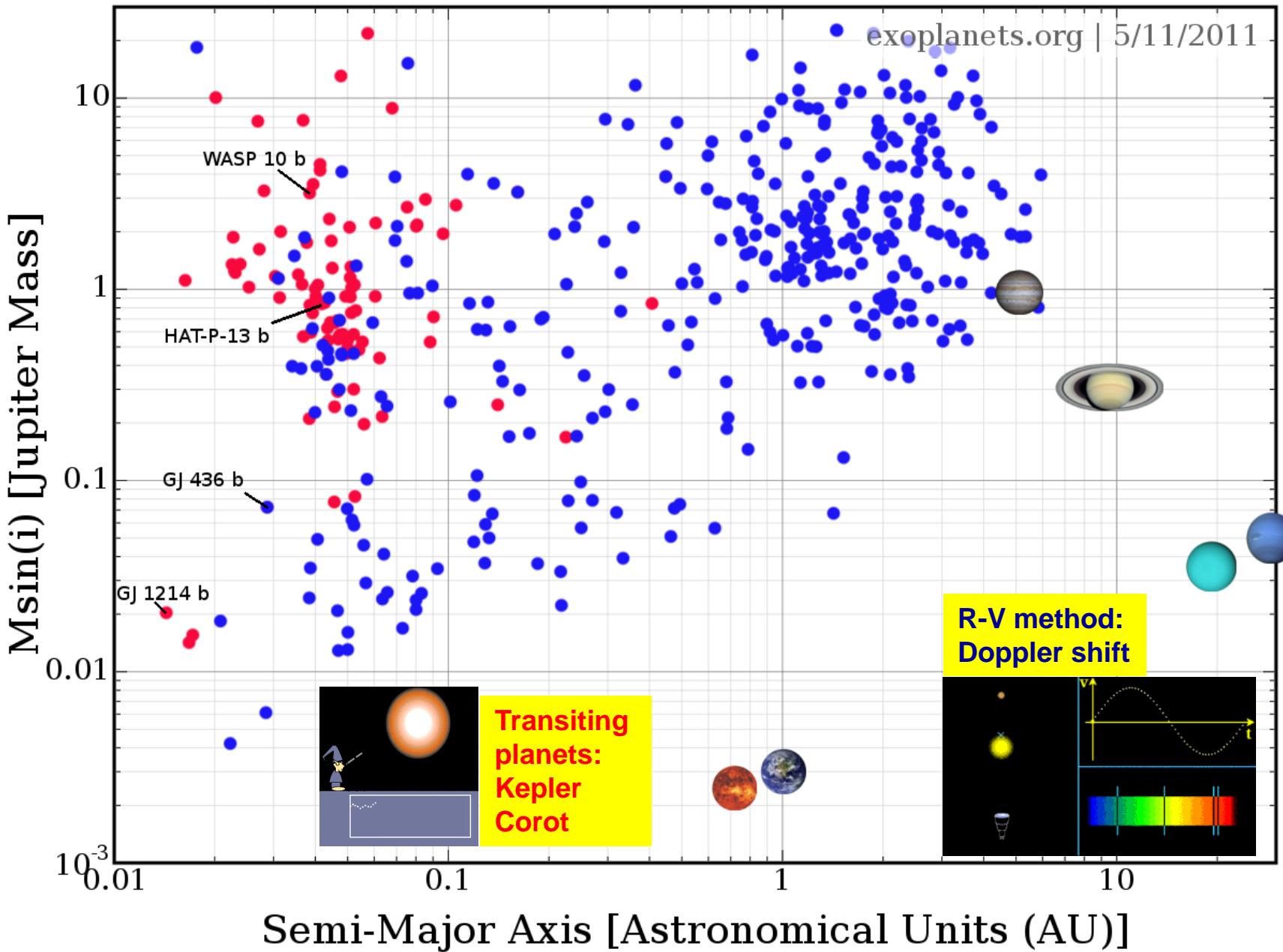


Brown Dwarfs with  $13M_J < M_{BD} < 75M_J$   
White Dwarfs (final state of >95% of all stars)

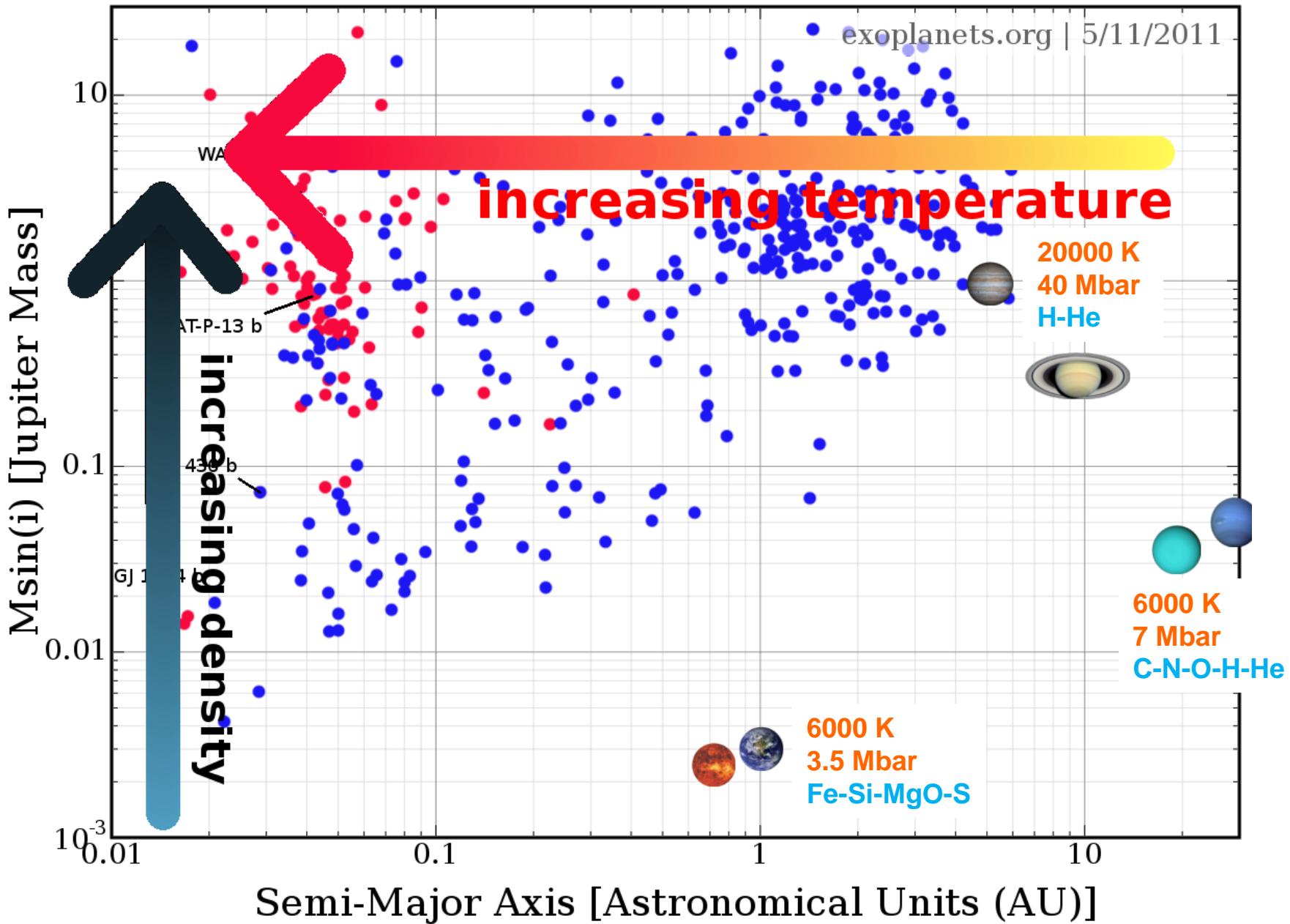


\*IAU Meeting Prague 24.08.2006: Pluto is considered as „Dwarf Planet“

# Exoplanets – strange new worlds



# Exoplanets – probe the n-T plane

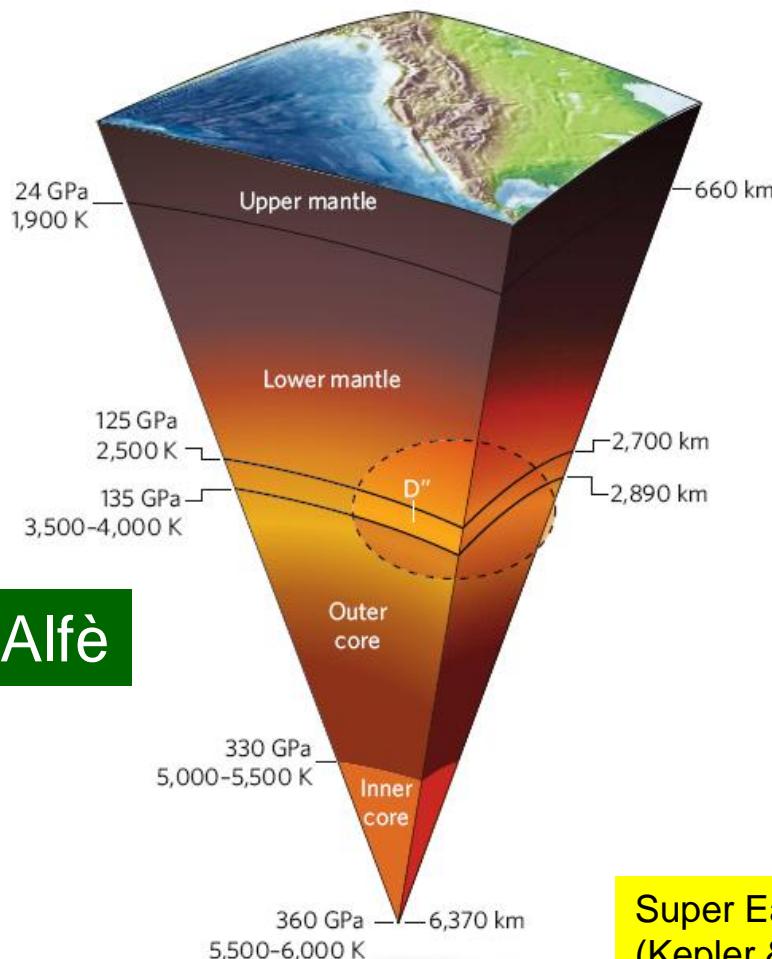


# (Super) Earth(s) - mineralogy at the extreme

Upper mantle: olivine  $(\text{Mg},\text{Mn},\text{Fe})_2[\text{SiO}_4]$

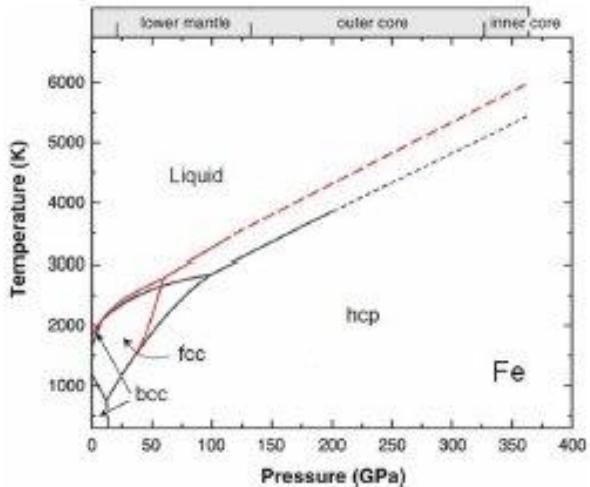
Lower mantle: perovskite  $\text{MgSiO}_3$ , ppv and p-ppv

Core:  $(\text{Fe},\text{Ni})[\text{Si},\text{O},\text{S},\text{C},\dots]$  – melting line, dynamo

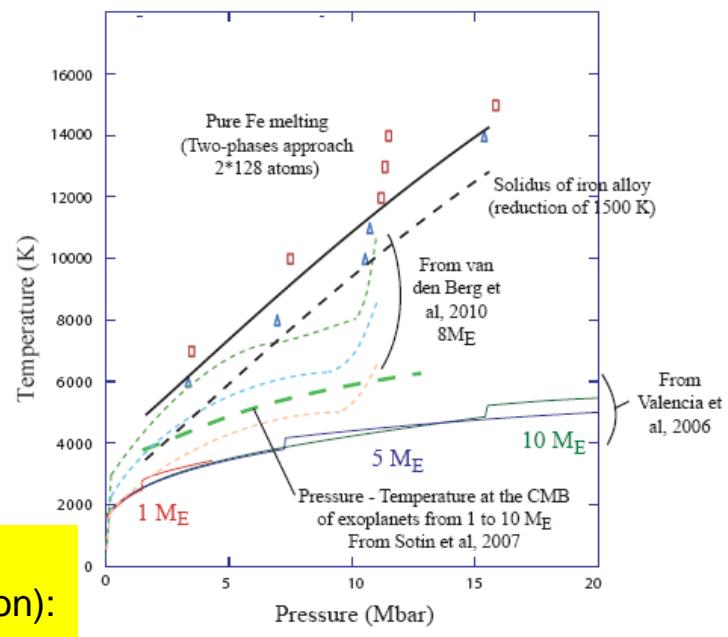


T.S. Duffy, Nature 451, 269 (2008)

Super Earths  $1-10 M_E$   
(Kepler & Corot mission):  
Completely different?



Fe phase diagram (exp.): Boehler et al. 1993,  
Hemley & Mao 2001, Ma et al. 2004.



G. Morard, HEDP 7, 141 (2011)

# Planetary physics – fundamental problems

## What is the composition of planets?

- planetary materials and element abundancies
- H, He (**gases**) – C-N-O (**ices**) – Fe-Si-Mg-O-S (**rocks**)
- gas giants (J, S) – ice giants (U, N) – rocky planets (Me, V, E, Ma)

## What is the structure of planets?

- various layers – but how many?
- Composition of the layers? Origin and stability of the layer boundaries?
- Do they have a (solid) core? Of what size is it (has it to be)?

## How do planets form and develop?

- Via core accretion or gravitational instability?
- Cooling behavior and age? → accurate **M-R[P(r),T(r)];t** relations needed!
- Radiation transport and sources of internal (excess) luminosity?

## Can we understand the diversity of their magnetic fields?

- Dynamo simulations: solve magnetohydrodynamic equations
- Magnetization processes and magnetic materials
- material properties (thermal coefficients, conductivities, viscosity)

J.J. Fortney, N. Nettelmann, Space Sci. Rev. **152**, 423 (2010)

I. Baraffe, G. Chabrier, T. Barman, Rep. Prog. Phys. **73**, 016901 (2010)

J. Wicht, A. Tilgner, Space Sci. Rev. **152**, 501 (2010)

# Planetary physics – fundamental problems

## What is the composition of planets?

- planetary materials and element abundancies
- H, He (gases) – C, N, O (ices)
- Fe, Si, Mg, O, S (rocks)
- gas g

**Focus here is on the**

## What is

- various
- Comp
- Do th

**→ EOS and phase diagram**

**→ demixing phenomena**

**→ nonmetal-to-metal transitions**

## How do

- Via co
- Cooling
- Radiat

**in the warm dense matter region**

**$T \sim (1-10) \text{ eV}$  and  $P \sim (1-100) \text{ Mbar}$**

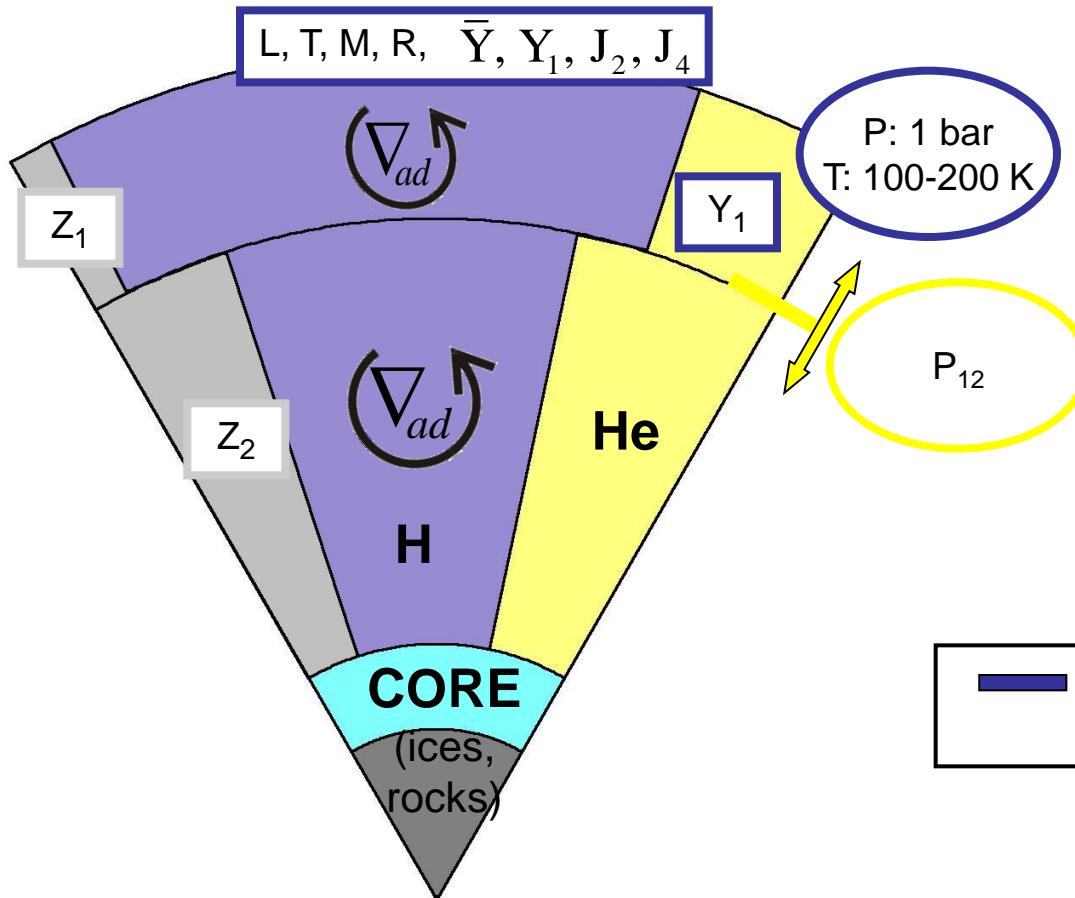
**as relevant for planetary interiors**

J.J. Fortney, N. Nettelmann, Space Sci. Rev. **152**, 423 (2010)

I. Baraffe, G. Chabrier, T. Barman, Rep. Prog. Phys. **73**, 016901 (2010)

J. Wicht, A. Tilgner, Space Sci. Rev. **152**, 501 (2010)

# Assume an interior structure for solar GPs: Standard three-layer model

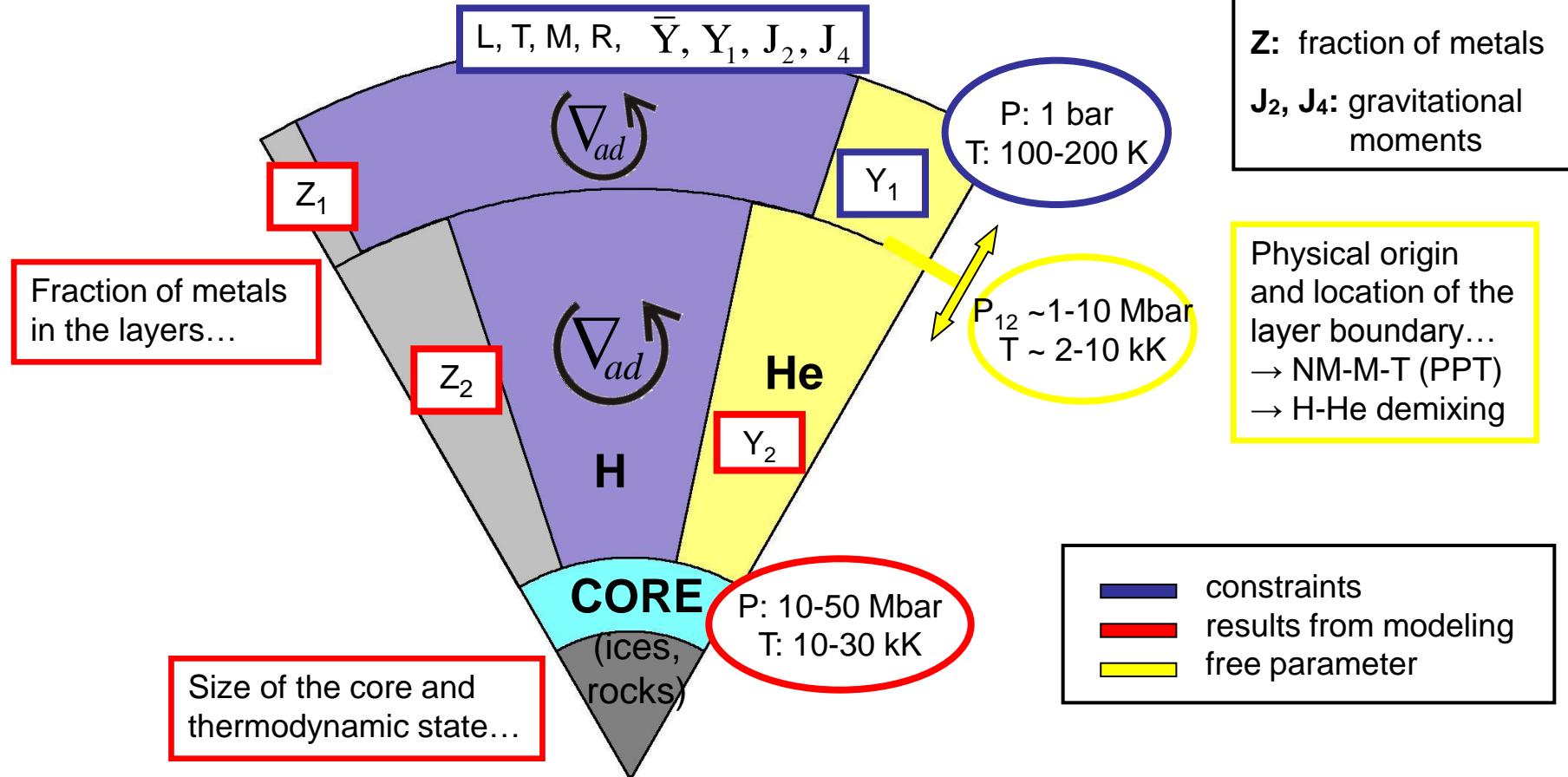


**Y:** fraction of He  
**Z:** fraction of metals  
**J<sub>2</sub>, J<sub>4</sub>:** gravitational moments

Physical origin  
and location of the  
layer boundary...  
→ NM-M-T (PPT)  
→ H-He demixing

Interior structure models of this type are uniquely defined by the observables, except  $P_{12}$ .

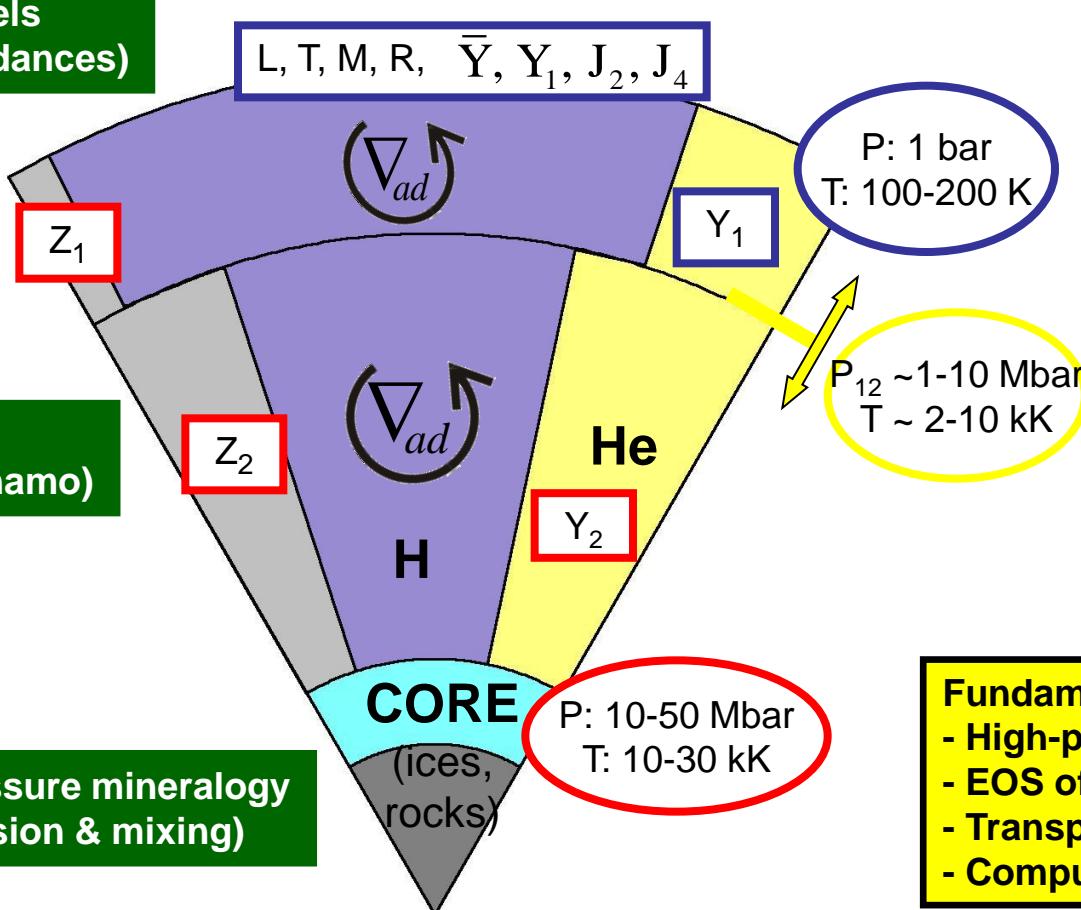
# Determine the interior structure for solar GPs: Standard three-layer model



Interior structure models of this type are uniquely defined by the observables, except  $P_{12}$ . Accurate EOS data for warm dense H, He and the representative of metals ( $\text{H}_2\text{O}$ ) is the most important input.

See e.g. D.J. Stevenson (1982), T. Guillot (1999), N. Nettelmann et al. (2008, 2012)

# Progress in interior modeling: Further input and constraints



Legend:

- constraints (blue line)
- results from modeling (red line)
- free parameter (yellow line)

Future missions to Jupiter (Juno) and S, U, N and observations

Physical origin and location of the layer boundary...  
→ NM-M-T (PPT)  
→ H-He demixing

Fundamental physical problems

- High-pressure phase diagram
- EOS of complex mixtures
- Transport coefficients
- Computational methods

GOAL OF THE IPAM PROGRAM

# Basic equations for planetary modeling

mass conservation:

$$dm = 4\pi r^2 \rho(r) dr$$

hydrostatic equation of motion:

$$\frac{1}{\rho} \frac{dP}{dr} = \frac{dU}{dr}, \quad U = V + Q$$

gravitational potential:

$$V(\vec{r}) = -G \int_{V_0} d^3 r' \frac{\rho(r')}{|\vec{r} - \vec{r}'|}$$

expansion into Legendre polynomials:

$$V(r, \theta) = -\frac{GM}{r(\theta)} \left( 1 - \sum_{i=1}^{\infty} \left( \frac{R_{eq}}{r(\theta)} \right)^{2i} J_{2i} P_{2i}(\cos \theta) \right)$$

gravitational moments:

$$J_{2i} = -\frac{1}{MR_{eq}^{2i}} \int d^3 r' \rho(r'(\theta')) r'^{2i} P_{2i}(\cos \theta')$$

Calculations via theory of figures (Zharkov & Trubitsyn)  
with boundary conditions  $M_p(R_p)$ ,  $Y_1$ ,  $\bar{Y}$ ,  $P$  and  $T$  at 1 bar.

**Mass distribution along (piecewise) isentropes according  
to ab initio EOS data for a H-He-H<sub>2</sub>O mixture (LM-REOS).**

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# Ab initio MD (AIMD) simulations

Born-Oppenheimer approximation: combination of (quantum) DFT and (classical) MD

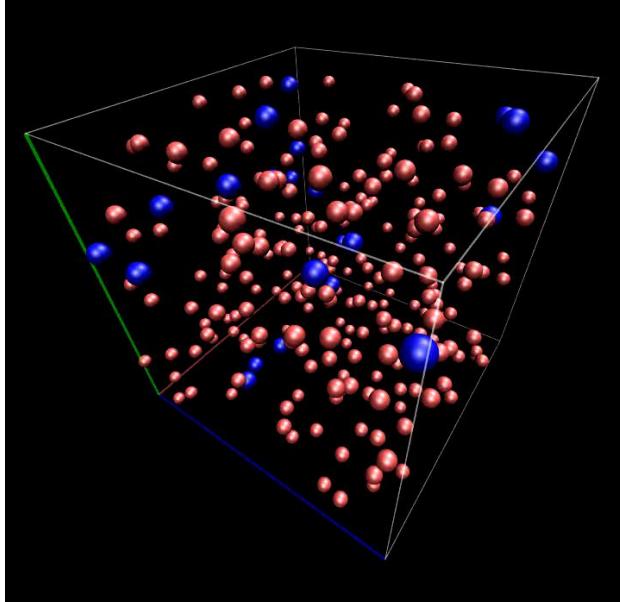
Warm Dense Matter: finite-temperature DFT-MD simulations based on

N.D. Mermin, Phys. Rev. **137**, A1441 (1965)

Implemented e.g. in the Vienna Ab-initio Simulation Package (VASP)

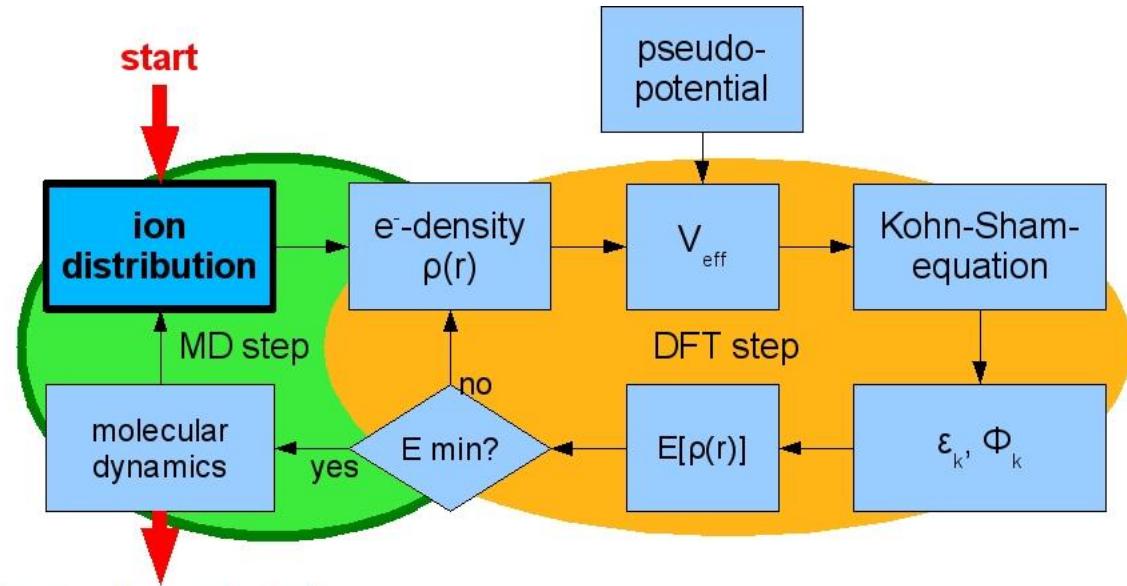
G. Kresse and J. Hafner, PRB **47**, 558 (1993), ibid. **49**, 14251 (1994)

G. Kresse and J. Furthmüller, Comput. Mat. Sci. **6**, 15 (1996), PRB **54**, 11169 (1996)



H-He (8.6%) @ 1 Mbar, 4000 K

↔  
box length  $\sim 10^{-9}$  m



thermodynamic data  
high-pressure phase diagram  
pair correlation functions  
electrical & thermal conductivity  
diffusion coefficient  
viscosity, opacity



↔  
GP size  $\sim 10^8$  m

# Some details of the AIMD simulations

VASP: G. Kresse et al., Phys. Rev. B **47**, 558 (1993)

- VASP uses plane wave basis sets, energy cut-off at about 1 keV
- Exchange-correlation functional: usually GGA [1], some HSE [2]
- PAW pseudo-potentials [3]: 1 e/H, 2 e/He, 6(8) e/O
- Ion temperature control by Nosé thermostat [4]
- Evaluation of electronic states in BZ at (few) special points (EOS)
- Higher k-point sets are needed for  $\sigma$ , 10-20 snapshots
- $N \leq 2000$  electrons in a box of volume  $V$  at temperature  $T$
- Simulation time: up to 20 ps with several  $10^3$ - $10^4$  time steps
- Check convergence with respect to  $E_{\text{cut}}$ , k-points,  $N$ ,  $\Delta t$  ...

Rostock Group: Martin French, Winfried Lorenzen, Andreas Becker,  
Kai-Uwe Plagemann, Mandy Bethkenhagen, Daniel Cebulla

[1] J.P. Perdew, K. Burke, M. Ernzerhof, PRL **77**, 3865 (1996)

[2] J. Heyd et al., JCP **118**, 8207 (2003); **124**, 219906 (2006)

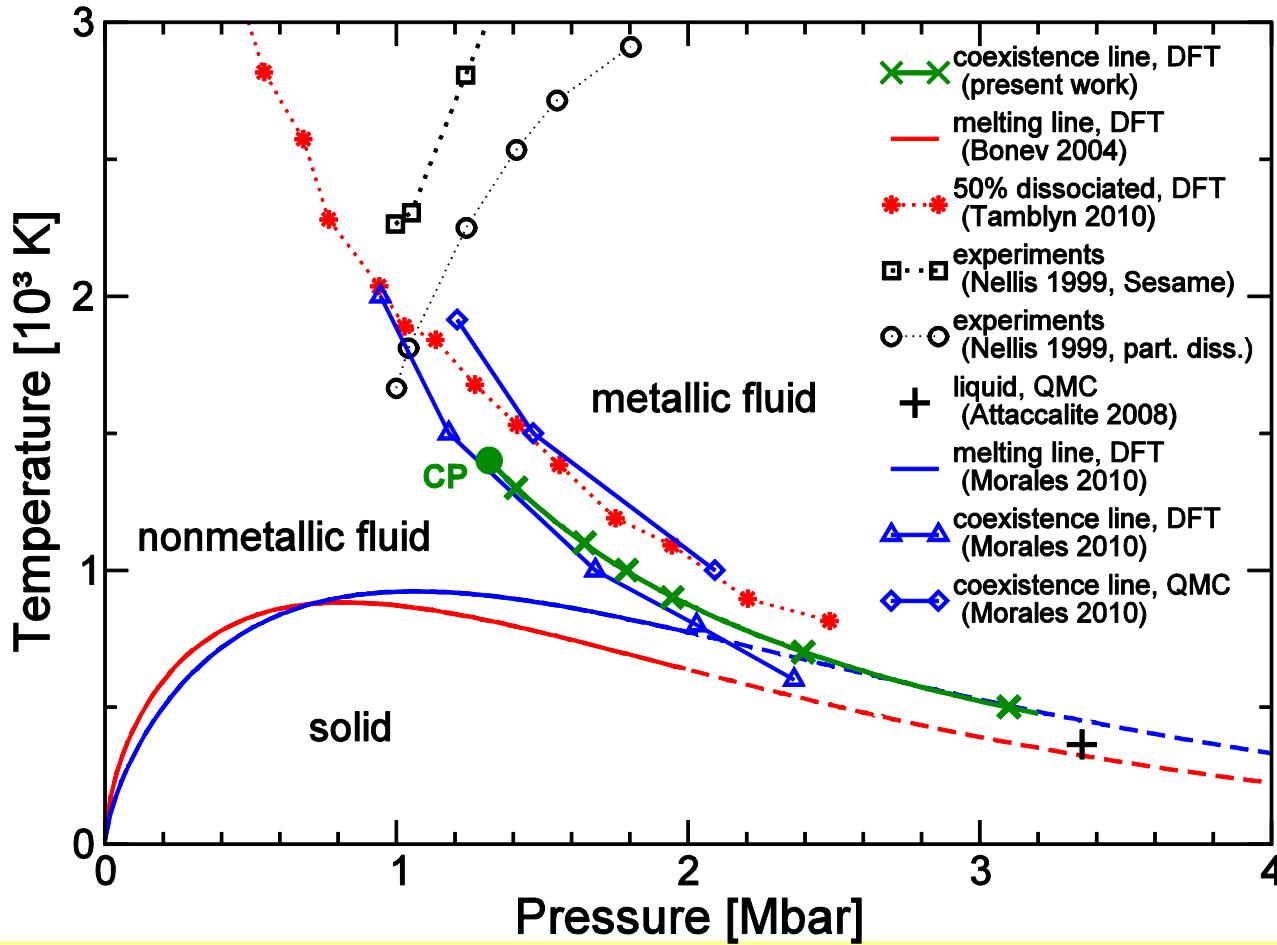
[3] P.E. Blöchl, PRB **50**, 17953 (1994), G. Kresse, J. Joubert, PRB **59**, 1758 (1999)

[4] S. Nosé, J. Chem. Phys. **81**, 511 (1984)

# H phase diagram at high pressure

M.A. Morales et al., PNAS 107, 12799 (2010)

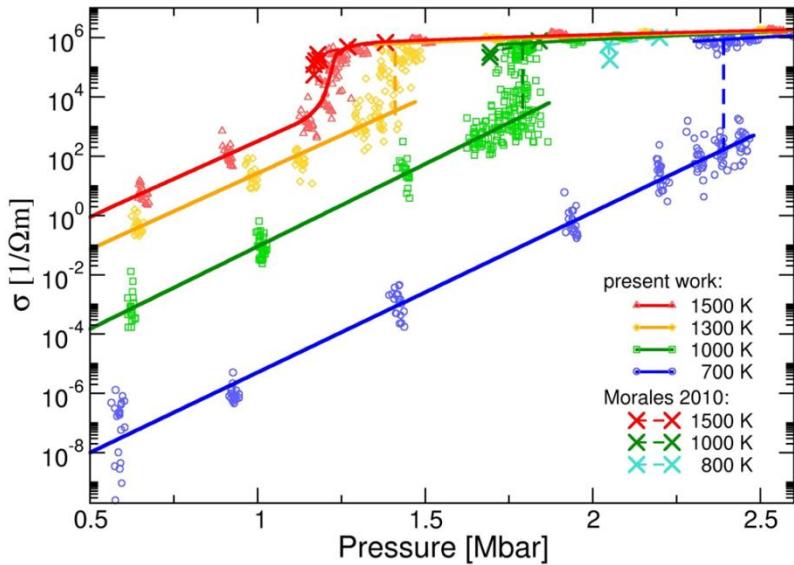
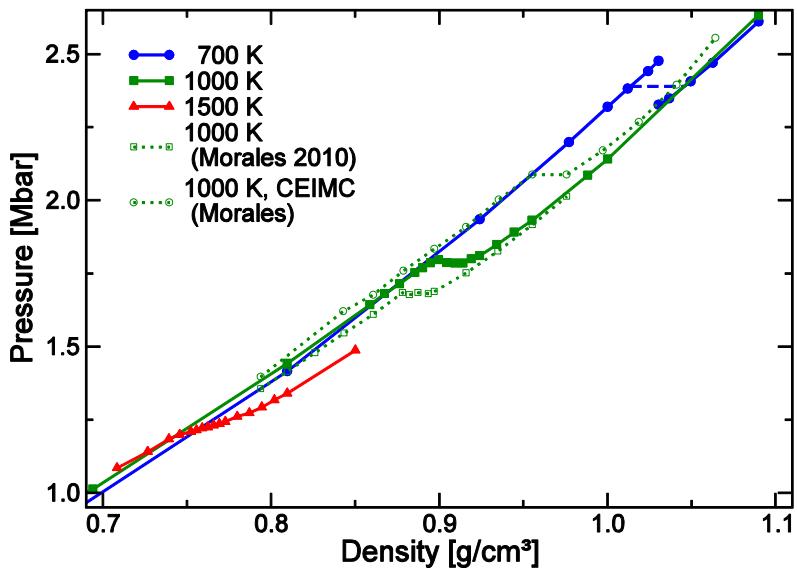
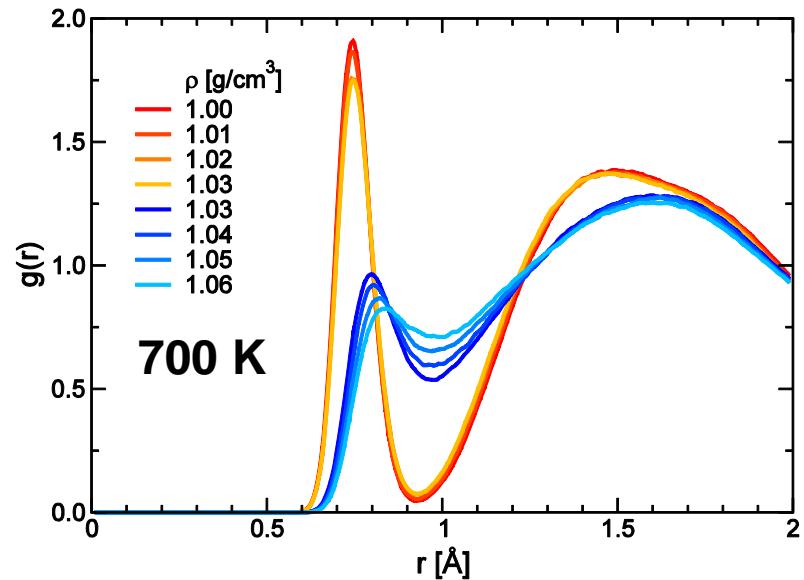
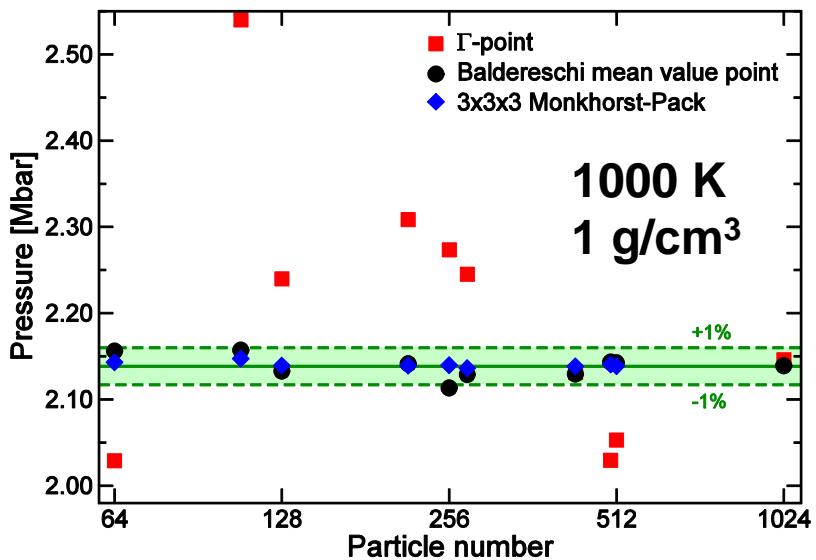
W. Lorenzen, B. Holst, R. Redmer, PRB 82, 195107 (2010)



see also I. Tamblyn, S.A. Bonev, PRL 104, 065702 (2010),  
critical point located at about 1500 K, 0.82 g/ccm, 1.4 Mbar

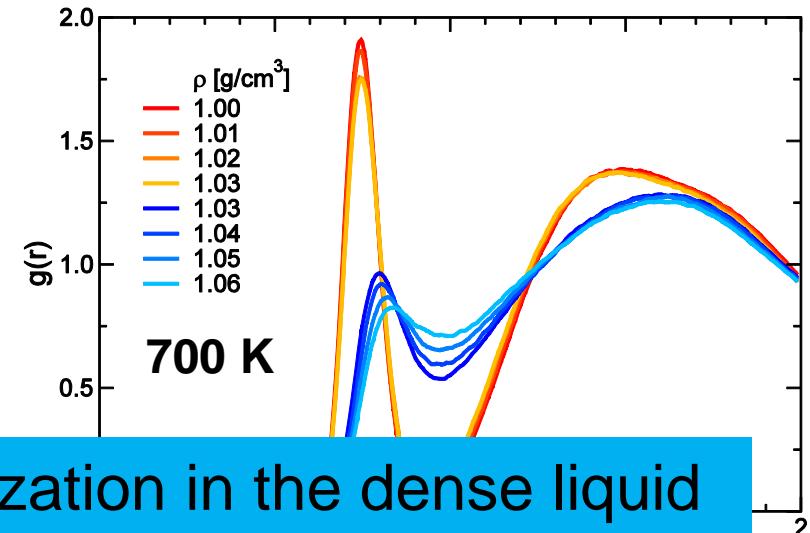
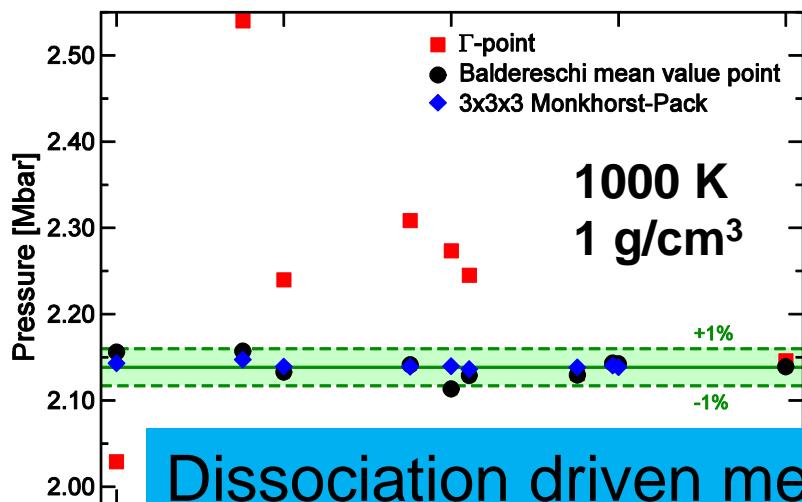
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W. Lorenzen, B. Holst, R. Redmer, PRB **82**, 195107 (2010)



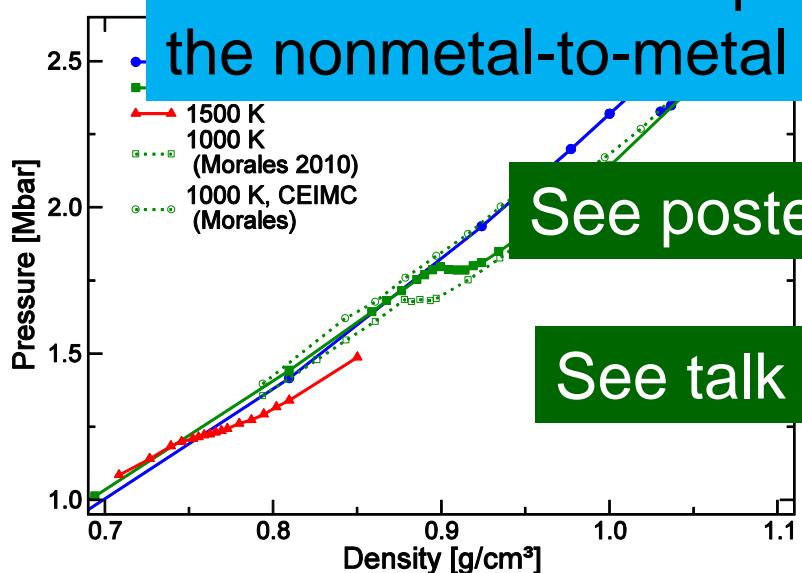
# H phase diagram at high pressure

W. Lorenzen, B. Holst, R. Redmer, PRB **82**, 195107 (2010)

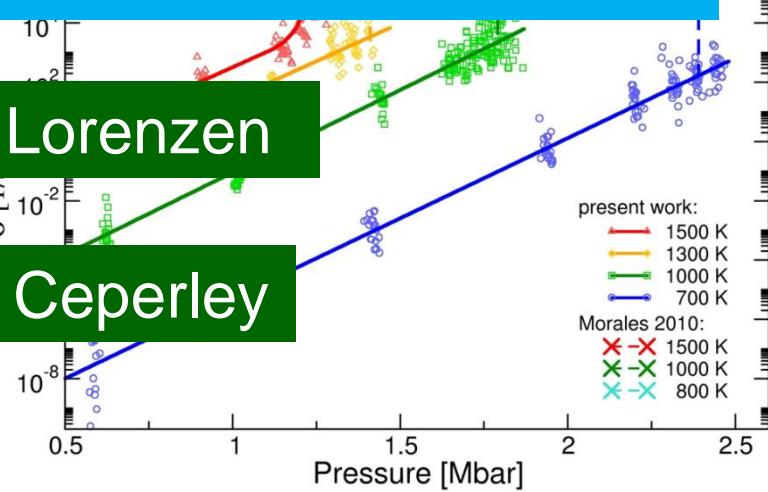


Dissociation driven metallization in the dense liquid induces a 1st-order phase transition below  $T_{cr}$  – the nonmetal-to-metal transition still occurs above

See poster W. Lorenzen



See talk of D. Ceperley

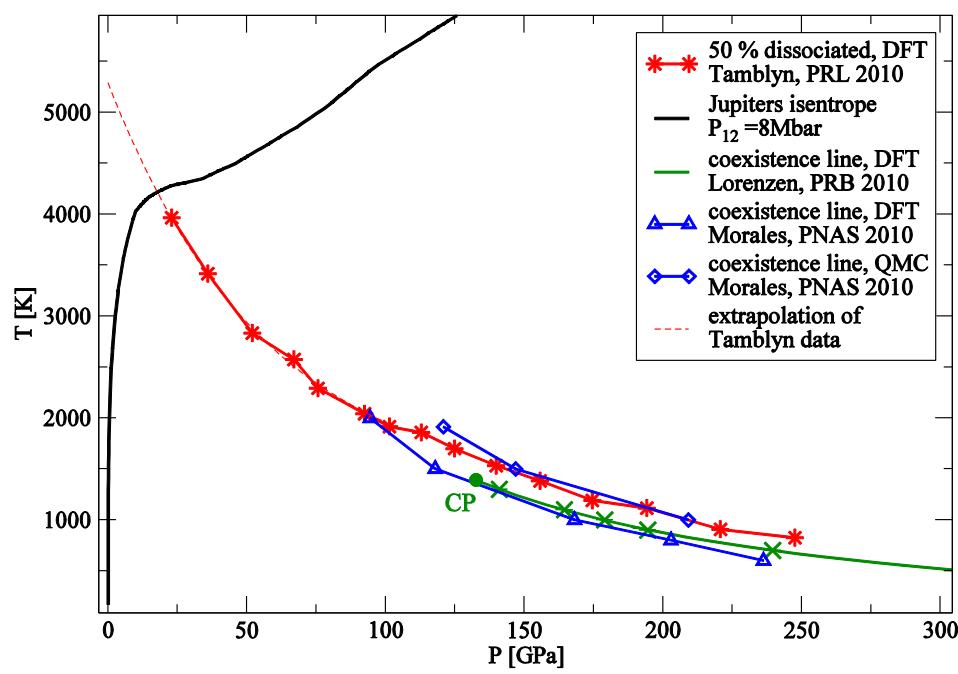
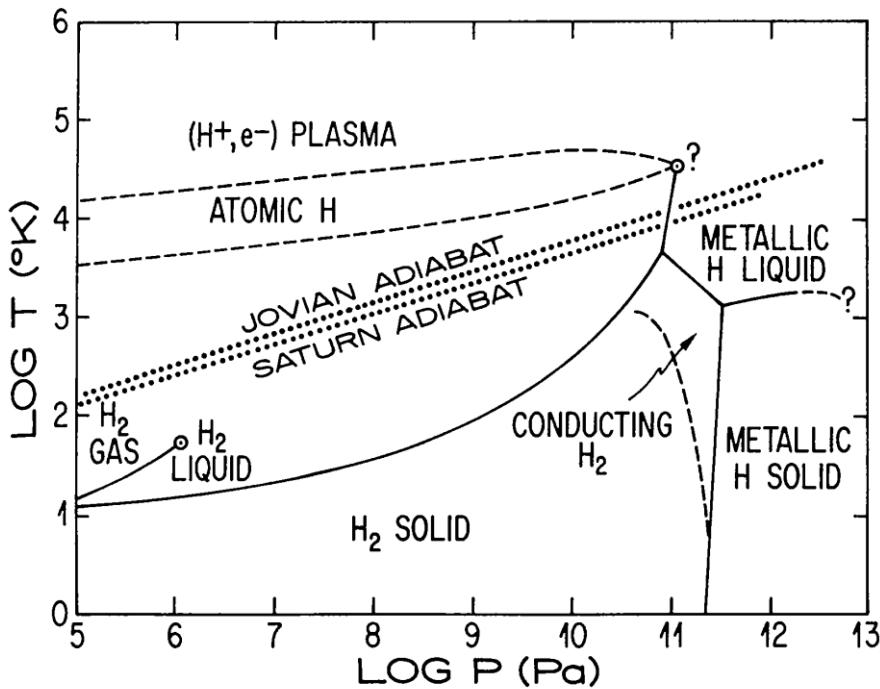


# H phase diagram and GPs

1st-order phase transition relevant for Jupiter & Saturn?

PPT predicted by chemical models extends to much higher temperatures!

- reason for a boundary between two fluid envelopes?
- locate the conducting zone in gas giants ( $\sigma$  along radius)
- input into dynamo simulations (magnetic field structure)

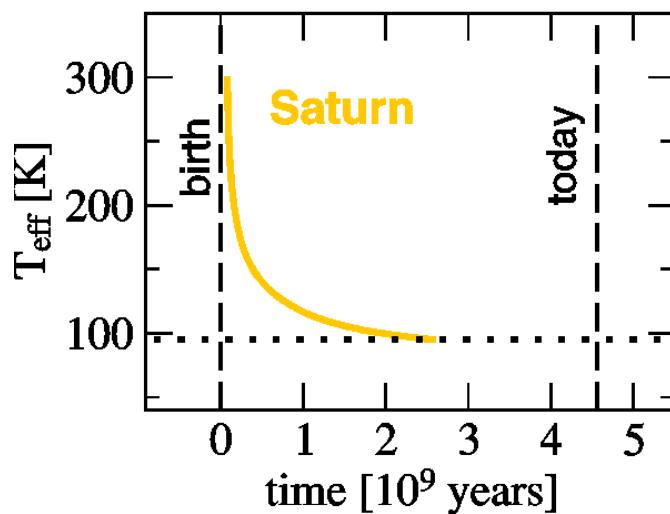
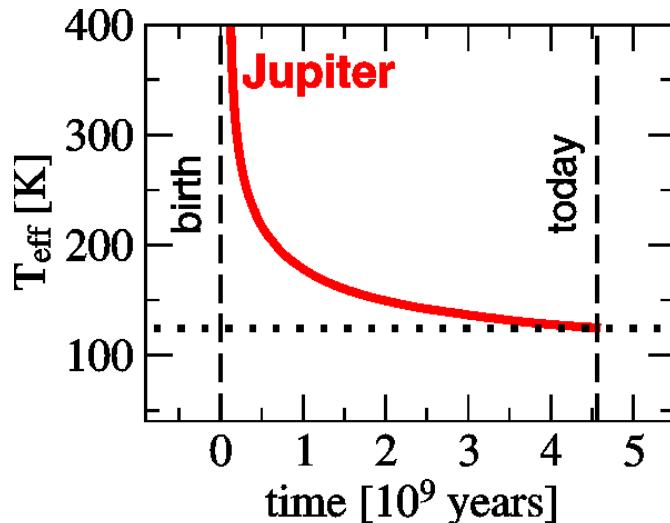


D.J. Stevenson, Ann. Rev. Earth Planet. Sci. **10**, 257 (1982)

M. French et al. (2012)

Experimental verification? Location of the PPT in H-He?

# H-He and thermal evolution of GPs



## Formation out of the protosolar cloud:

1. Same age of  $4.5 \times 10^9$  a
2. Similar composition/abundance, especially He-H ratio of Y=27.5%

## Facts:

1. Evolution models yield correct age of Jupiter but too young Saturn with about  $2.5 \times 10^9$  a – additional internal heat source: He rain?
2. He is depleted in the outer layer of Jupiter (~23%) and Saturn (~18%)!

## H-He demixing at high pressure?

D.J. Stevenson, E.B. Salpeter 1977

J.J. Fortney, W.B. Hubbard, 2003

W. Lorenzen et al., 2009, 2011: AIMD

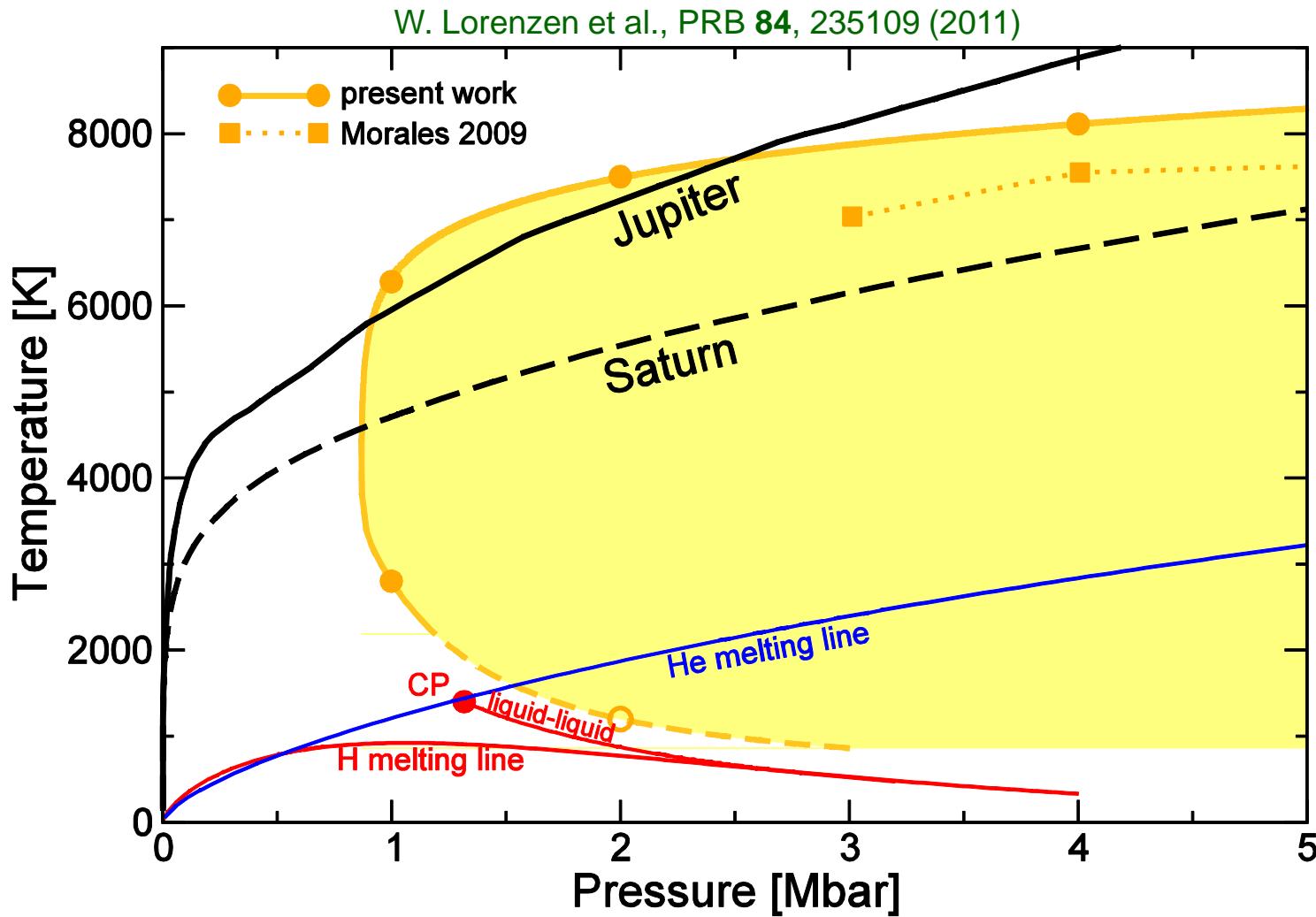
M.A. Morales et al., 2009: AIMD

# H-He demixing in GPs

Relevant for Jupiter (?) and Saturn (!)

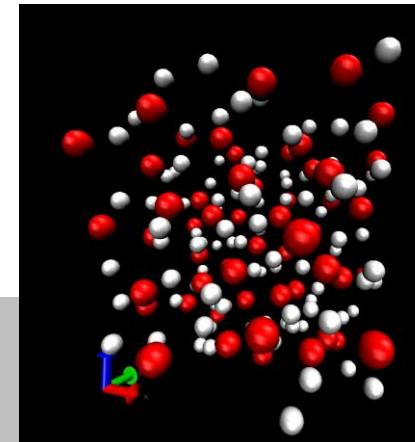
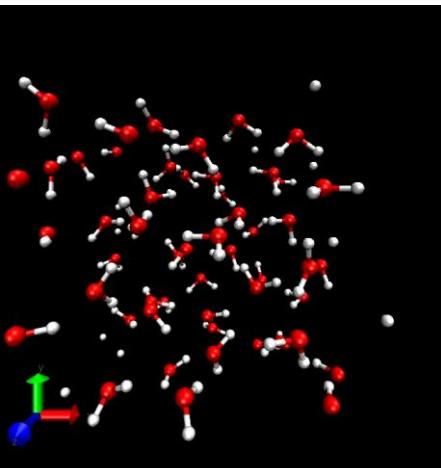
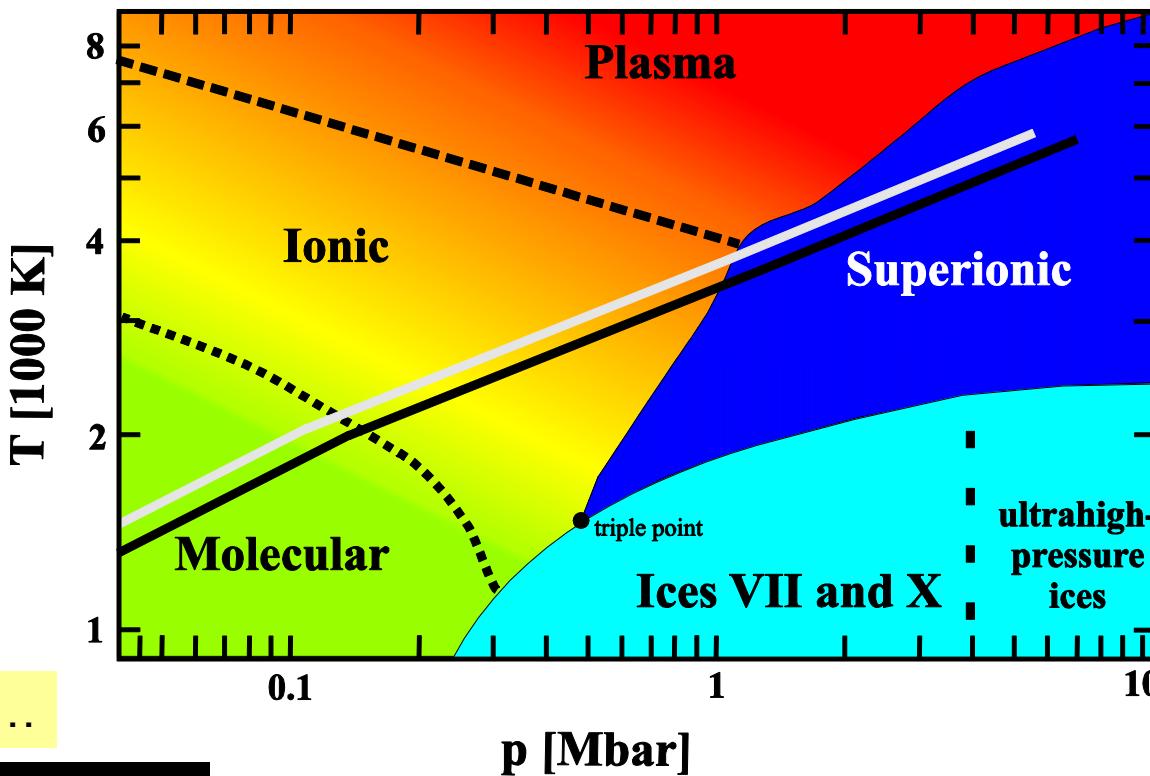
Calculation of modified cooling curves: in progress.

May yield stable stratification → thus **B** field perfectly axisymmetric?



Similar results by M.A. Morales et al., PNAS **106**, 1324 (2009) based on DFT-MD.

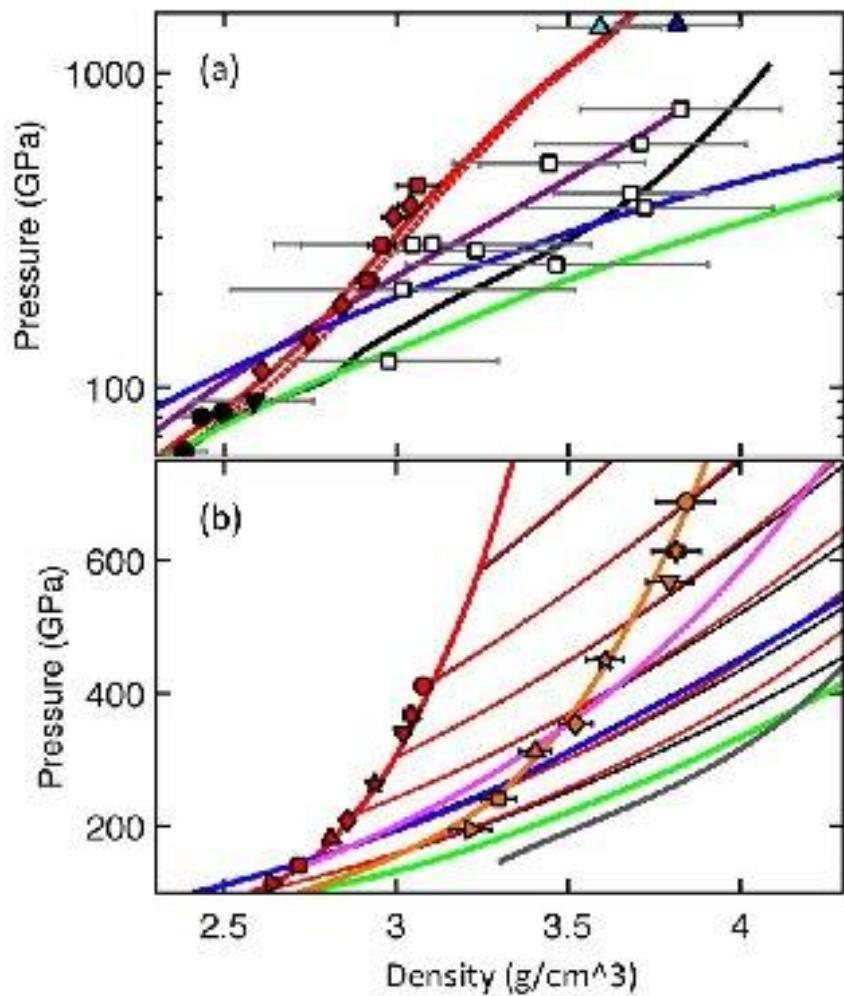
# Water phase diagram at ultra-high pressures



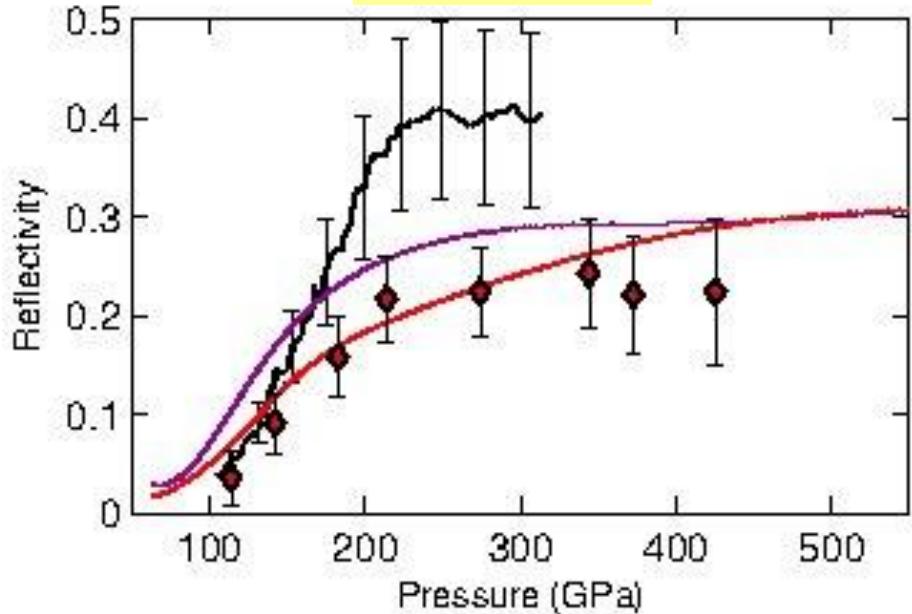
# H<sub>2</sub>O: AIMD results and new Z experiments

M.D. Knudson et al., PRL **108**, 091102 (2012)

Hugoniot curve



Reflectivity



Theory:

- Red: AIMD
- Black: Sesame
- Magenta: ANEOS
- Neptune adiabat
- GJ 436b adiabat

Data:

- Red  $\diamond$   $\square$  : Sandia Z
- Open  $\square$  : Laser shocks
- Black  $\bullet$  : Gas gun
- Blue  $\blacktriangle$  : Nuclear expl.
- Orange: reshock data (Z and AIMD)

Excellent agreement – AIMD high-pressure EOS for water will be used for icy planets!

# Dynamic (optical) conductivity via AIMD

- Dynamic conductivity  $\sigma(\omega) = \sigma_1(\omega) + i\sigma_2(\omega)$
- Kubo-Greenwood formula:

$$\sigma(\omega) = \frac{2\pi e^2 \hbar^2}{3m^2 \omega \Omega} \sum_{\mathbf{k}} W(\mathbf{k}) \sum_{j=1}^N \sum_{i=1}^N \sum_{\alpha=1}^3 [F(\epsilon_{i,\mathbf{k}}) - F(\epsilon_{j,\mathbf{k}})] \\ \times |\langle \Psi_{j,\mathbf{k}} | \nabla_{\alpha} | \Psi_{i,\mathbf{k}} \rangle|^2 \delta(\epsilon_{j,\mathbf{k}} - \epsilon_{i,\mathbf{k}} - \hbar\omega),$$

- Dielectric function:

$$\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega) = 1 - \frac{1}{\varepsilon_0 \omega} \sigma_2(\omega) + i \frac{1}{\varepsilon_0 \omega} \sigma_1(\omega)$$

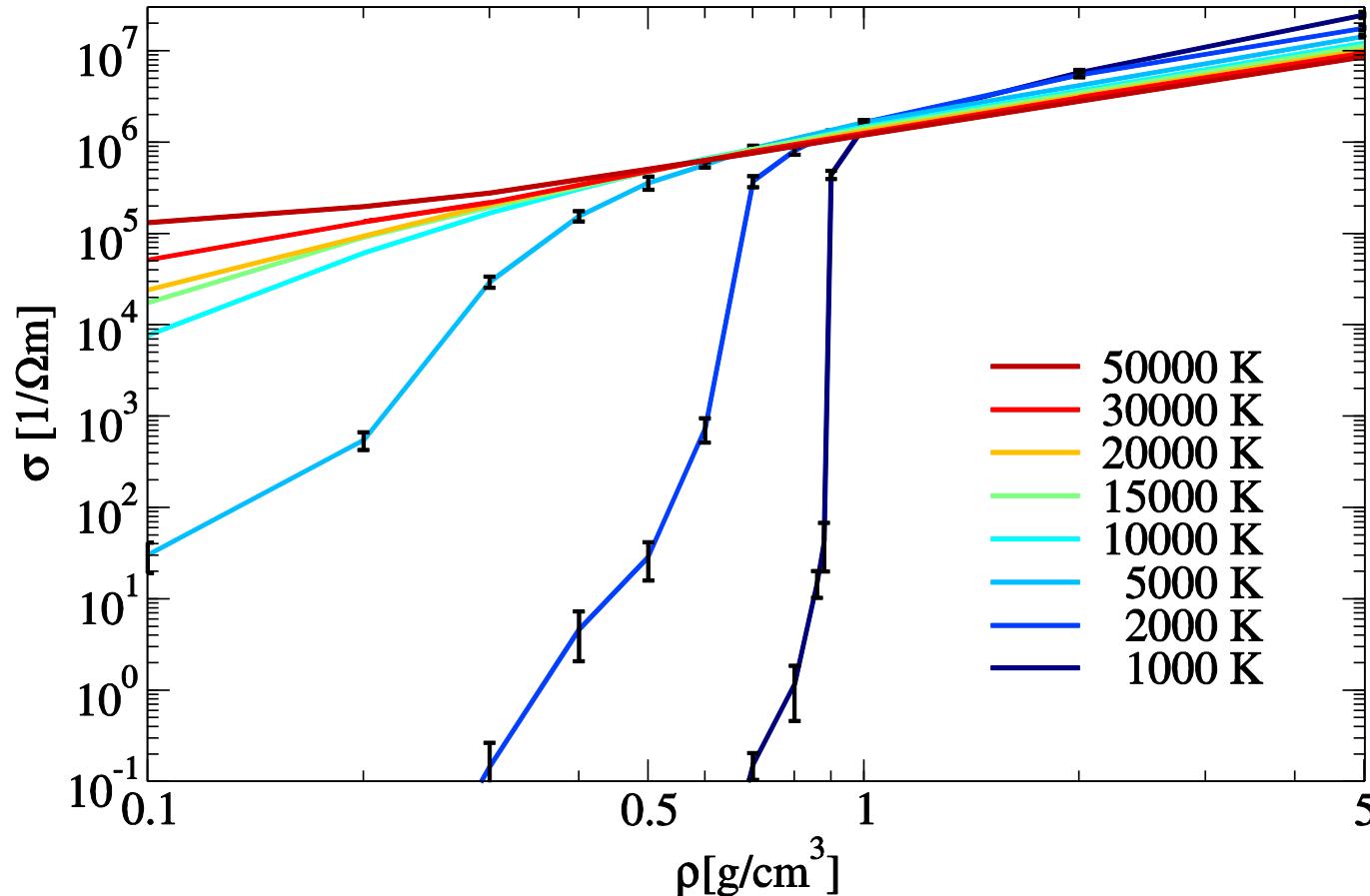
- Kramers-Kronig relation:  $\sigma_2(\omega) = \frac{2}{\pi} P \int \frac{\sigma_1(\nu)\omega}{(\nu^2 - \omega^2)} d\nu$
- Index of refraction:

$$\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega) = [n(\omega) + ik(\omega)]^2$$

# Electrical conductivity in warm dense H – based on generalized Kubo-Greenwood formula for $L_{ik}$

$$L_{mn}(\omega) = \frac{2\pi q^{4-m-n}}{3Vm_e^2\omega} \sum_{k\nu\mu} \langle k\nu | \hat{p} | k\mu \rangle \cdot \langle k\mu | \hat{p} | k\nu \rangle$$

$$\times \epsilon_{k\nu k\mu}^{m+n-2} (f_{k\nu} - f_{k\mu}) \delta(E_{k\mu} - E_{k\nu} - \hbar\omega)$$



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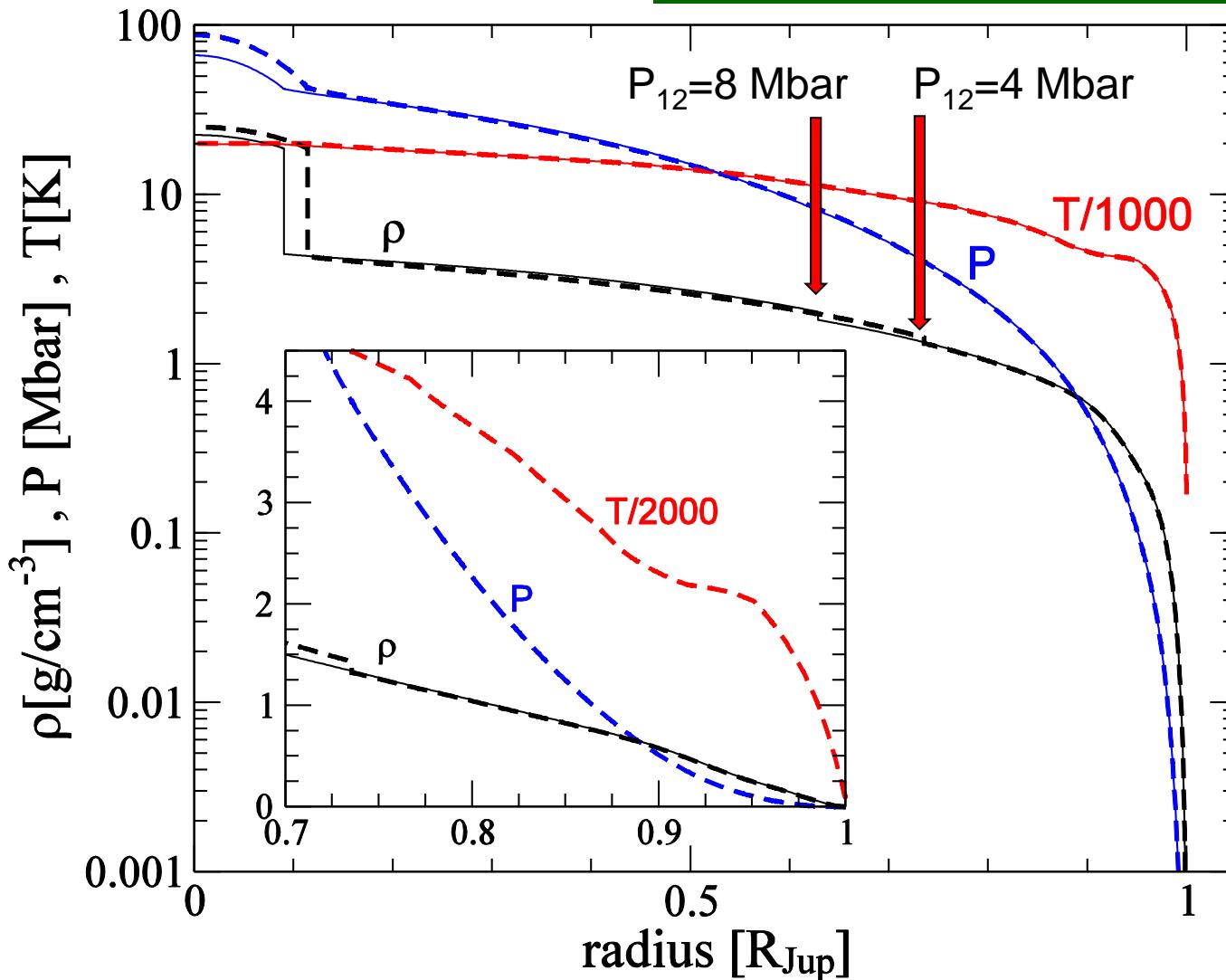
**Material properties for the deep interior**

## 4. Summary

# Interior of Jupiter with LM-REOS

M. French et al. (2012)

See poster A. Becker

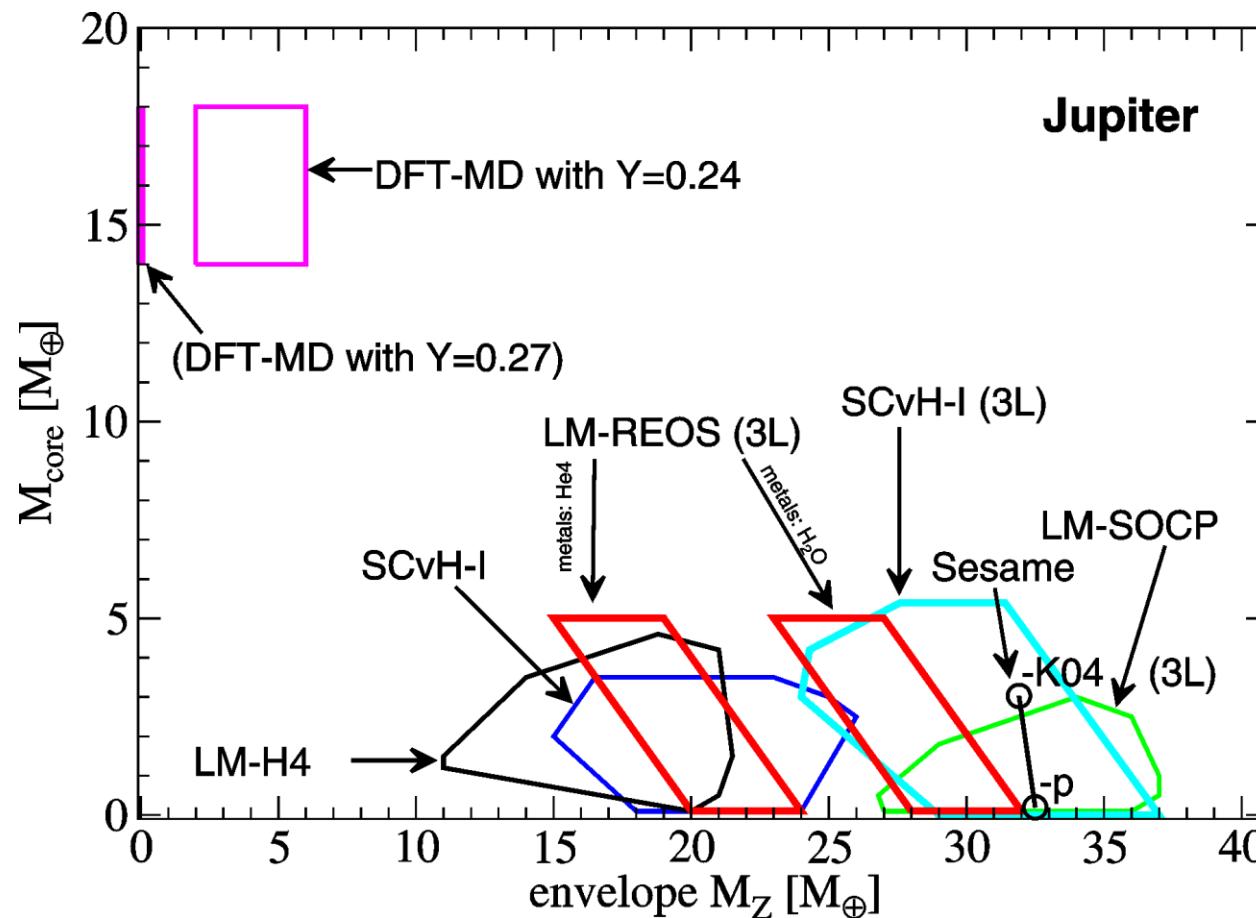


Density, pressure and temperature along Jupiter's isentrope.

# Jupiter – EOS predictions

(N. Nettelmann)

Core mass and mass of metals in the envelope for different EOS as labeled



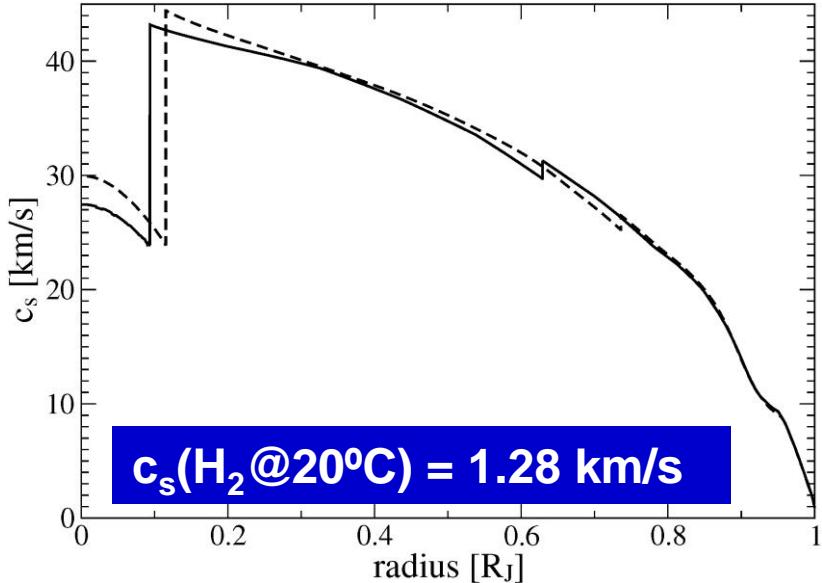
**CONCLUSION:**  
The average metallicity is 2-8 x solar.  
Reproduced with H-REOS.2  
Nettelmann et al., ApJ 750, 52 (2012)

- Guillot, Planet. Space Sci. 47, 1183 (1999)
- Saumon & Guillot, ApJ 609, 1170 (2004)

- Militzer et al., ApJ 688, L45 (2008)
- Fortney & Nettelmann, Space Sci. Rev. 152, 423 (2010)

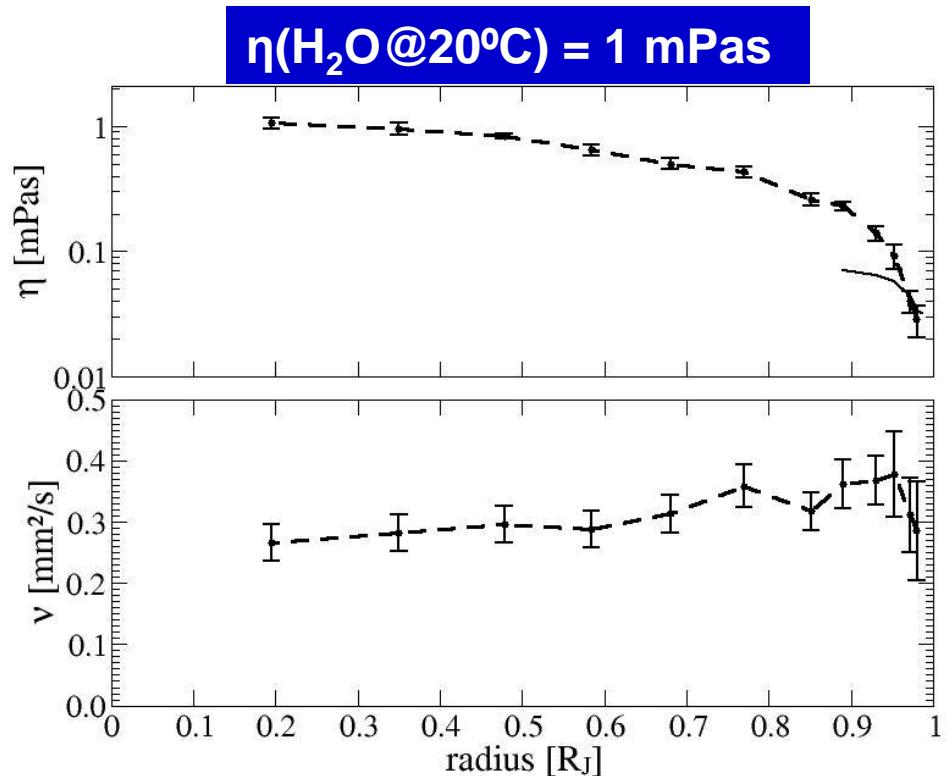
# Material properties along Jupiter's isentrope

M. French et al. (2012): self-consistent set of *ab initio* EOS and material data that can now be used for planetary modeling (interior, dynamo, evolution)



Sound velocity  $c_s$  along Jupiter's Isentrope (processes EOS data).

$$c_s = \sqrt{\left(\frac{\partial P}{\partial \rho}\right)_S}$$

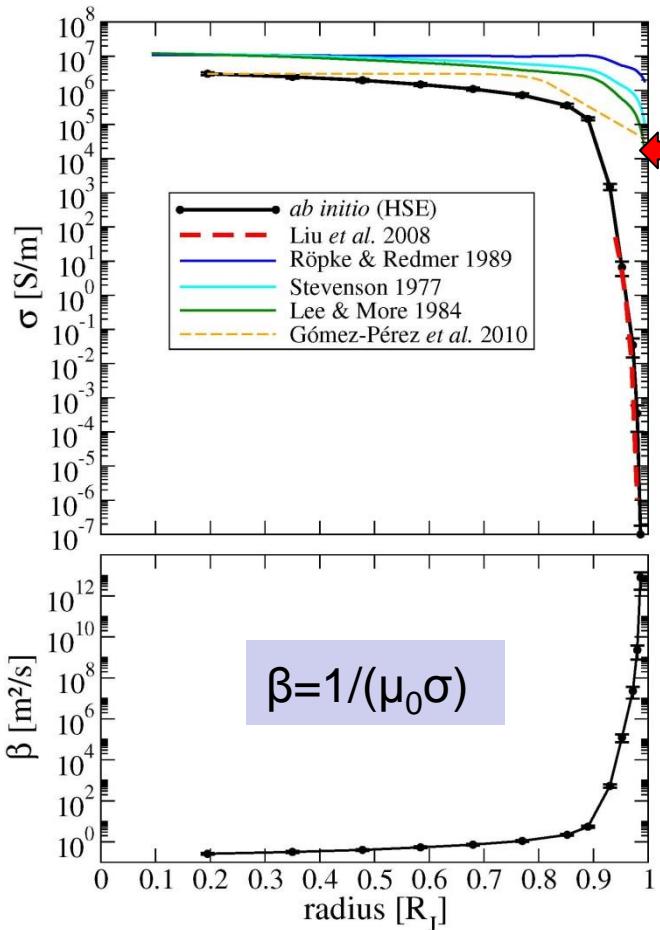


Dynamic ( $\eta$ ) and kinematic ( $\nu = \eta/\rho$ ) viscosity along Jupiter's isentrope.

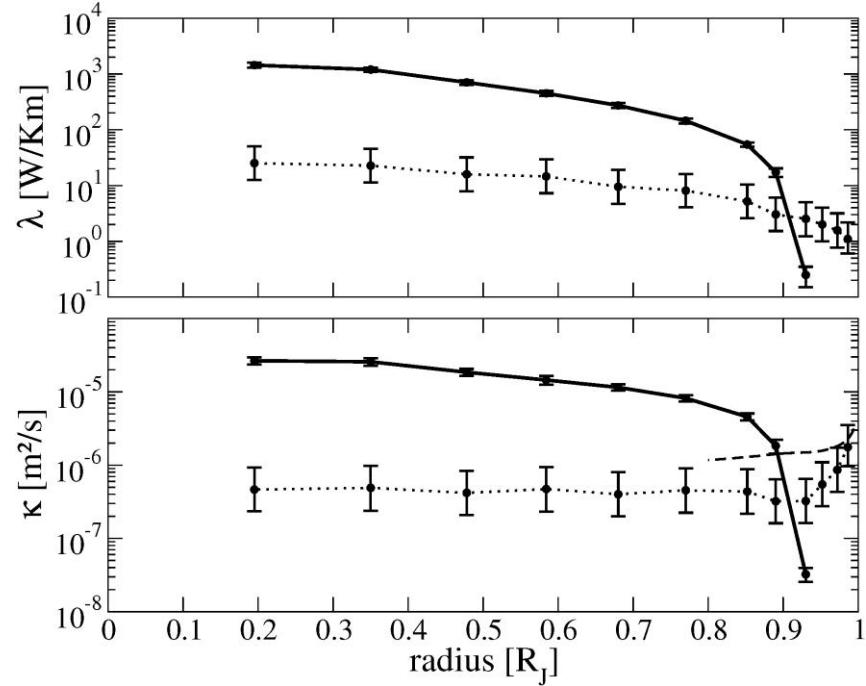
$$\eta = \frac{\Omega}{3k_B T} \int_0^\infty dt \sum_{ij=\{xy,yz,zx\}} \langle p_{ij}(0)p_{ij}(t) \rangle$$

# Material properties along Jupiter's isentrope

M. French et al. (2012): self-consistent set of *ab initio* EOS and material data that can now be used for planetary modeling (interior, dynamo, evolution)



Electrical conductivity  $\sigma$  and magnetic diffusivity along Jupiter's isentrope.



Thermal conductivity  $\lambda$  and thermal diffusivity  $\kappa$  along Jupiter's isentrope.  
Solid: electronic, dotted: ionic.

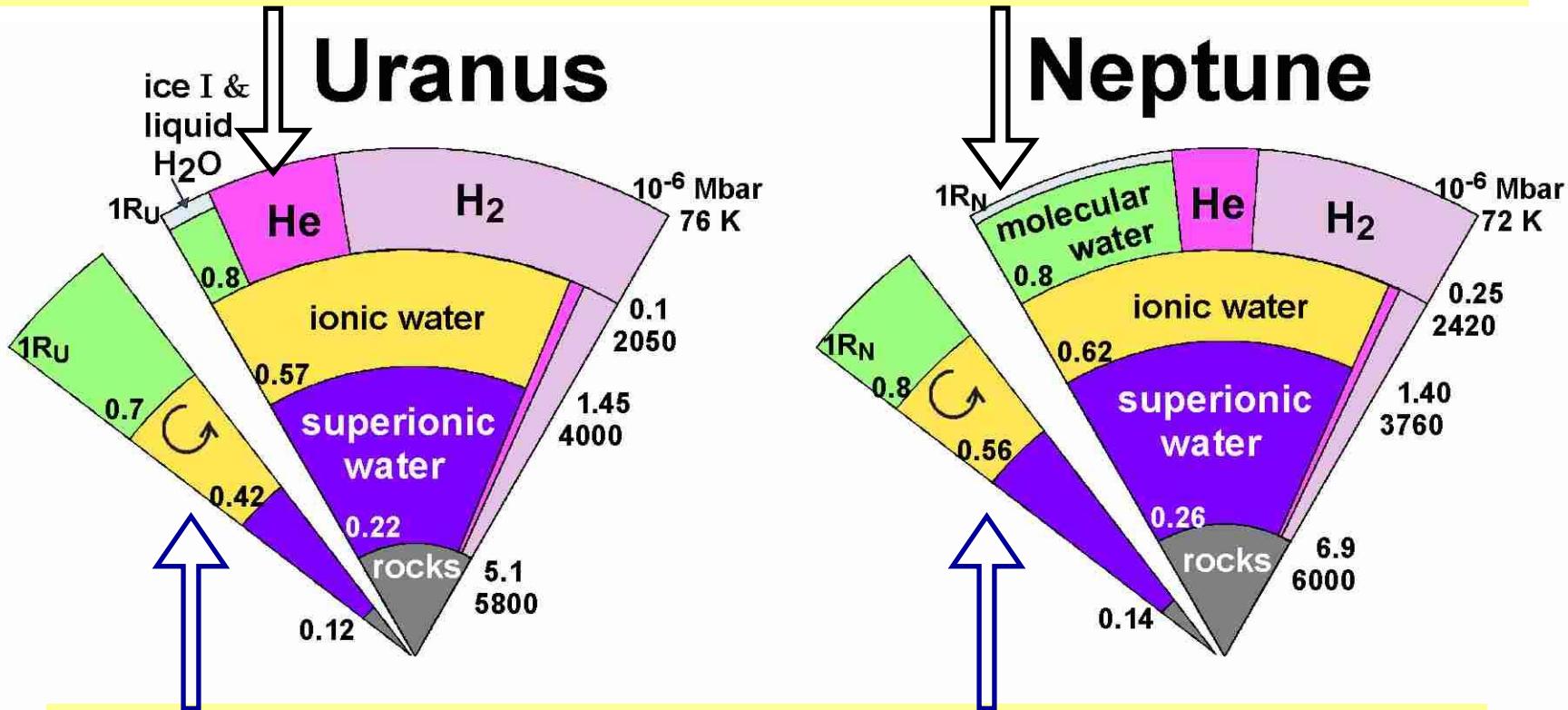
$$\kappa = \lambda / (pc_p)$$

# Interior of Neptune and Uranus

Our **interior models** reproduce the gravity data based on the EOS and the phase diagram of H<sub>2</sub>O and H, He:

J.J. Fortney, N. Nettelmann, Space Sci. Rev. **152**, 423 (2009),

R. Redmer et al., Icarus **211**, 798 (2011)



Independent **dynamo models** reproduce the non-dipolar and non-axisymmetric magnetic fields of N and U by assuming a rather thin conducting shell (yellow) and a central region (magenta) that is stable against convection but of similar conductivity (here: superionic!):

S. Stanley and J. Bloxham, Nature **428**, 151 (2004).

# Constraints for modeling GPs (observables)

(N. Nettelmann)

Observable	Solar Giant Planets	Extrasolar Giant Planets
Mass $M_p$	$14.5 - 318 M_{\text{Earth}}$	✓ (RV & Transit)
Radius $R_p$	equatorial radius $R_{\text{eq}}$	mean R (Transit)
Pressure $P(R_p)$	1 bar	1 mbar
$T(R_p)$	70 - 170 K	500 - 2000 K
mean helium mass fraction $Y$	0.27 (solar)	0.25 - 0.28
atmospheric He mass fraction $Y_1$	$\leq 0.27$	$Y_1 = Y$
atmospheric metallicity $Z_1$	$\geq 2 \times \text{solar}$	spectroscopy
period of rotation $\omega$	9 – 17 h	$\omega \approx$ orbital period (days)
gravitational moments $J_{2n}$	$J_2, J_4, J_6$	-
Love number $k_2$	-	$k_2(e, \text{TTV})$
age	4.56 Gyr	0.3 – 10 Gyr
$T_{\text{eff}}$	60 - 120 K	model atm. grid / imaging

# Hot Neptune GJ 436b

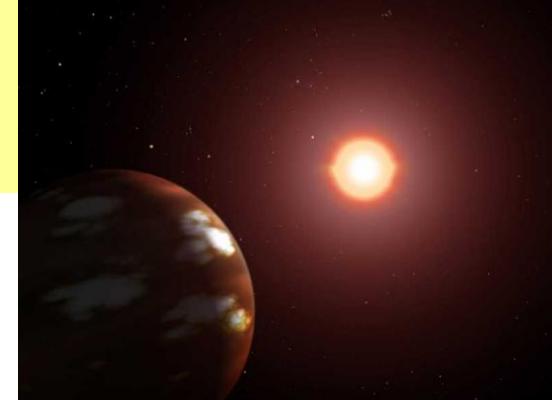
Mass-radius relation for transiting planets known (plus radial velocity method)

	Neptune	GJ 436b
mass [ $M_{\oplus}$ ]	17.13	$22.6 \pm 9\%$
radius [ $R_{\oplus}$ ]	3.86	$3.95 \pm 9\%$
surface temperatur [K]	70 (at 1 bar)	$520 (T_e) - 720 (8 \text{ Om})$
semi major axis [AU]	30	0.03
period	165 years	2.64 days

Host star is M Dwarf with  $T_{\text{eff}}=3350$  K and  
 $M=0.44 M_{\text{Sun}}$ , 33 Ly away (Leo)  
H.L. Maness et al., PASP **119**, 90 (2007)

Observational parameters:

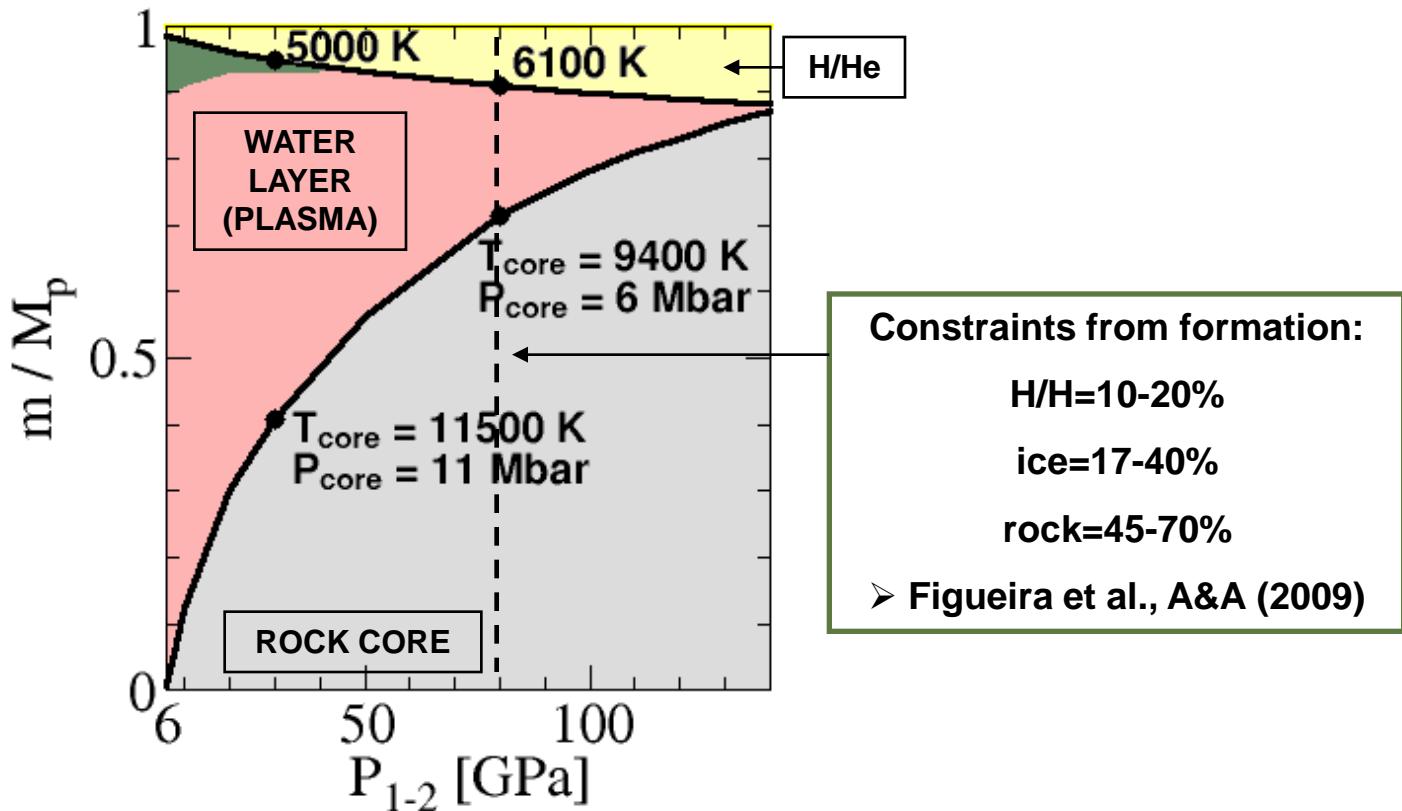
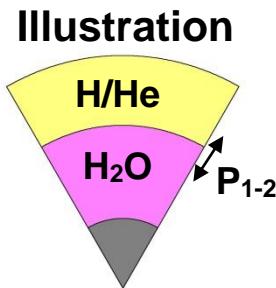
M. Gillon et al., A&A **471**, L51 (2007),  
B.-O. Demory et al., A&A **475**, 1125 (2007)



# GJ 436b: Water or rocky planet?

N. Nettelmann et al., A&A 523, A26 (2010)

Structure calculations limit the H/He mass fraction down to 1 - 12%  $M_p$ .



**CONCLUSION:** Models with  $M_{\text{core}} \sim 0.7 M_p$  are consistent with all constraints.

# Summary

- Large scale AIMD simulations are performed for WDM
  - agreement with available shock wave experiments
  - predict high-pressure phase diagram of matter (H,He,H<sub>2</sub>O)
  - nonmetal-to-metal and 1st-order phase transition (H)
  - demixing phenomena at high pressures (H-He, H-H<sub>2</sub>O ...)
  - superionic water (NH<sub>3</sub>?)
  - experimental verification
- Modeling planets based on AIMD EOS and material data
  - develop new and advanced planetary models
  - structure, composition, evolution of solar/extrasolar planets
  - gas giants – ice giants – Super Earths
  - diversity of magnetic fields
  - structure and evolution of planetary systems

# Outlook warm dense matter

- Laboratory experiments are increasingly capable of driving matter to extreme conditions: NIF (lasers), Z, FELs ...
- Simulations based on first-principles techniques are in very good agreement with high-pressure data (predictive)
- Some key issues:
  - finite-temperature DFT functionals
  - orbital-free DFT for high temperatures **F. Lambert, J. Kress**
  - electron dynamics via TD-DFT (optics and transport)
  - treatment of non-equilibrium states
  - quantum Monte Carlo methods
  - develop new (and renew existing) computational methods