

Constrained  
formulation of  
Einstein  
equations

Jérôme Novak

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Summary

# FULLY-CONSTRAINED FORMULATION OF EINSTEIN'S FIELD EQUATIONS USING DIRAC GAUGE

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*based on collaboration with*  
Silvano Bonazzola, Philippe Grandclément,  
Éricourgoulhon & Lap-Ming Lin

Grand Challenge Problems in Computational Astrophysics, May  
3<sup>rd</sup> 2005

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## 1 INTRODUCTION

- Constraints issues in 3+1 formalism
- Motivation for a fully-constrained scheme

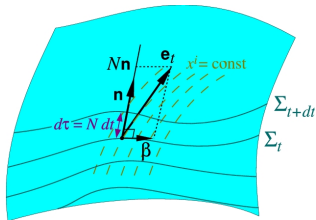
## 2 DESCRIPTION OF THE FORMULATION AND STRATEGY

- Covariant 3+1 conformal decomposition
- Einstein equations in Dirac gauge and maximal slicing
- Spherical coordinates and tensor components
- Integration strategy

## 3 NUMERICAL IMPLEMENTATION AND RESULTS

- Multidomain spectral methods with spherical coordinates
- Evolution of gravitational wave spacetimes
- Models of rotating neutron stars
- Current limitations and new strategy

## Decomposition of spacetime and of Einstein equations



### EVOLUTION EQUATIONS:

$$\frac{\partial K_{ij}}{\partial t} - \mathcal{L}_\beta K_{ij} = -D_i D_j N + N R_{ij} - 2N K_{ik} K^k_j + N [K K_{ij} + 4\pi((S - E)\gamma_{ij} - 2S_{ij})]$$

$$K^{ij} = \frac{1}{2N} \left( \frac{\partial \gamma^{ij}}{\partial t} + D^i \beta^j + D^j \beta^i \right).$$

### CONSTRAINT EQUATIONS:

$$R + K^2 - K_{ij} K^{ij} = 16\pi E,$$

$$D_j K^{ij} - D^i K = 8\pi J^i.$$

$$g_{\mu\nu} dx^\mu dx^\nu = -N^2 dt^2 + \gamma_{ij} (dx^i + \beta^i dt) (dx^j + \beta^j dt)$$

If the constraints are verified for initial data, evolution should preserve them. Therefore, one could in principle solve Einstein equations without solving the constraints



### Appearance of constraint violating modes

However, some cures have been (are) investigated :

- solving the constraints at (almost) every time-step ...
- constraints as evolution equations (Gentle *et al.* 2004)
- constraint-preserving boundary conditions (Lindblom *et al.* 2004 & presentation by M. Scheel)
- relaxation (Marronetti 2005)
- constraint projection (presentation by L. Lindblom)

# SOME REASONS NOT TO SOLVE CONSTRAINTS

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computational cost of usual elliptic solvers ...

few results of well-posedness for mixed systems versus solid  
mathematical theory for pure-hyperbolic systems

definition of boundary conditions at finite distance and at black hole  
excision boundary

# MOTIVATIONS FOR A FULLY-CONSTRAINED SCHEME

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“Alternate” approach (although most straightforward)

- **partially constrained schemes:** Bardeen & Piran (1983), Stark & Piran (1985), Evans (1986)
- **fully constrained schemes:** Evans (1989), Shapiro & Teukolsky (1992), Abrahams *et al.* (1994), Choptuik *et al.* (2003)

⇒ Rather popular for 2D applications, but disregarded in 3D  
 Still, many advantages:

- constraints are verified!
- elliptic systems have good stability properties
- easy to make link with initial data
- evolution of only **two** scalar-like fields ...

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Standard definition of conformal 3-metric (e.g.  
 Baumgarte-Shapiro-Shibata-Nakamura formalism)

**DYNAMICAL DEGREES OF FREEDOM OF THE GRAVITATIONAL  
 FIELD:**

York (1972) : they are carried by the conformal “metric”

$$\hat{\gamma}_{ij} := \gamma^{-1/3} \gamma_{ij} \quad \text{with } \gamma := \det \gamma_{ij}$$

**PROBLEM**

$\hat{\gamma}_{ij} =$  *tensor density* of weight  $-2/3$   
 not always easy to deal with tensor densities... not *really* covariant!

# INTRODUCTION OF A FLAT METRIC

We introduce  $f_{ij}$  (with  $\frac{\partial f_{ij}}{\partial t} = 0$ ) as the asymptotic structure of  $\gamma_{ij}$ , and  $\mathcal{D}_i$  the associated covariant derivative.

DEFINE:

$$\tilde{\gamma}_{ij} := \Psi^{-4} \gamma_{ij} \text{ or } \gamma_{ij} := \Psi^4 \tilde{\gamma}_{ij}$$

with

$$\Psi := \left(\frac{\gamma}{f}\right)^{1/12}$$

$$f := \det f_{ij}$$

$\tilde{\gamma}_{ij}$  is invariant under any conformal transformation of  $\gamma_{ij}$  and verifies  $\det \tilde{\gamma}_{ij} = f$

$\Rightarrow$  *no more tensor densities: only tensors.*

Finally,

$$\tilde{\gamma}^{ij} = f^{ij} + h^{ij}$$

is the deviation of the 3-metric from conformal flatness.



One can generalize the gauge introduced by Dirac (1959) to any type of coordinates:

DIVERGENCE-FREE CONDITION ON  $\tilde{\gamma}^{ij}$

$$\mathcal{D}_j \tilde{\gamma}^{ij} = \mathcal{D}_j h^{ij} = 0$$

where  $\mathcal{D}_j$  denotes the covariant derivative with respect to the flat metric  $f_{ij}$ .

Compare

- minimal distortion (Smarr & York 1978) :  $D_j (\partial \tilde{\gamma}^{ij} / \partial t) = 0$
- pseudo-minimal distortion (Nakamura 1994) :  $\mathcal{D}^j (\partial \tilde{\gamma}_{ij} / \partial t) = 0$

Notice: Dirac gauge  $\iff$  BSSN connection functions vanish:  $\tilde{\Gamma}^i = 0$

- $h^{ij}$  is transverse
- from the requirement  $\det \tilde{\gamma}_{ij} = 1$ ,  $h^{ij}$  is asymptotically traceless
- ${}^3R_{ij}$  is a simple Laplacian in terms of  $h^{ij}$
- ${}^3R$  does not contain any second-order derivative of  $h^{ij}$
- with constant mean curvature ( $K = t$ ) and spatial harmonic coordinates ( $\mathcal{D}_j \left[ (\gamma/f)^{1/2} \gamma^{ij} \right] = 0$ ), Anderson & Moncrief (2003) have shown that the Cauchy problem is *locally strongly well posed*
- the **Conformal Flat Condition (CFC)** verifies the Dirac gauge  $\Rightarrow$  possibility to easily use initial data for binaries now available

### HAMILTONIAN CONSTRAINT

$$\Delta(\psi^2 N) = \psi^6 N \left( 4\pi S + \frac{3}{4} \bar{A}_{kl} A^{kl} \right) - h^{kl} \mathcal{D}_k \mathcal{D}_l (\psi^2 N) + \psi^2 \left[ N \left( \frac{1}{16} \bar{\gamma}^{kl} \mathcal{D}_k h^{ij} \mathcal{D}_l \bar{\gamma}_{ij} \right. \right. \\ \left. \left. - \frac{1}{8} \bar{\gamma}^{kl} \mathcal{D}_k h^{ij} \mathcal{D}_j \bar{\gamma}_{il} + 2\bar{D}_k \ln \psi \bar{D}^k \ln \psi \right) + 2\bar{D}_k \ln \psi \bar{D}^k N \right]$$

### MOMENTUM CONSTRAINT

$$\Delta \beta^i + \frac{1}{3} \mathcal{D}^i (\mathcal{D}_j \beta^j) = 2A^{ij} \mathcal{D}_j N + 16\pi N \Psi^4 J^i - 12N A^{ij} \mathcal{D}_j \ln \psi - 2\Delta^i{}_{kl} N A^{kl} \\ - h^{kl} \mathcal{D}_k \mathcal{D}_l \beta^i - \frac{1}{3} h^{ik} \mathcal{D}_k \mathcal{D}_l \beta^l$$

### TRACE OF DYNAMICAL EQUATIONS

$$\Delta N = \Psi^4 N \left[ 4\pi (E + S) + \bar{A}_{kl} A^{kl} \right] - h^{kl} \mathcal{D}_k \mathcal{D}_l N - 2\bar{D}_k \ln \psi \bar{D}^k N$$

# EINSTEIN EQUATIONS

DIRAC GAUGE AND MAXIMAL SLICING ( $K = 0$ )

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## EVOLUTION EQUATIONS

$$\frac{\partial^2 h^{ij}}{\partial t^2} - \frac{N^2}{\Psi^4} \Delta h^{ij} - 2\mathcal{L}_\beta \frac{\partial h^{ij}}{\partial t} + \mathcal{L}_\beta \mathcal{L}_\beta h^{ij} = \mathcal{S}^{ij}$$

6 components - 3 Dirac gauge conditions - ( $\det \tilde{\gamma}^{ij} = 1$ )

## 2 DEGREES OF FREEDOM

$$-\frac{\partial^2 \chi}{\partial t^2} + \Delta \chi = S_\chi$$

$$-\frac{\partial^2 \mu}{\partial t^2} + \Delta \mu = S_\mu$$

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## CHOICE FOR $f_{ij}$ : SPHERICAL POLAR COORDINATES

- stars and black holes are of spheroidal shape
- compactification made easy (only  $r$ )
- use of spherical harmonics
- grid boundaries are smooth surfaces

## USE OF SPHERICAL ORTHONORMAL TRIAD (TENSOR COMPONENTS)

- Dirac gauge can easily be imposed
- boundary conditions for excision might be better formulated
- asymptotically, it is easier to extract gravitational waves

# REPRESENTATION OF $h^{ij}$

Introduction of  $\bar{h}^{ij}$  as the transverse-traceless part of  $h^{ij}$ , with only two degrees of freedom:

FIRST DIRAC CONDITION  $\mathcal{D}_i h^{ir} = 0$

$$\frac{\partial \bar{h}^{rr}}{\partial r} + \frac{3\bar{h}^{rr}}{r} + \frac{1}{r} \Delta_{\theta\varphi} \eta = 0$$

with

$$\begin{aligned} \bar{h}^{r\theta} &= \frac{1}{r} \left( \frac{\partial \eta}{\partial \theta} - \frac{1}{\sin \theta} \frac{\partial \mu}{\partial \varphi} \right) \\ \bar{h}^{r\varphi} &= \frac{1}{r} \left( \frac{1}{\sin \theta} \frac{\partial \eta}{\partial \varphi} + \frac{\partial \mu}{\partial \theta} \right) \end{aligned}$$

Knowing  $\bar{h}^{rr}$  and  $\mu$ , it is possible to deduce  $\bar{h}^{r\theta}$  and  $\bar{h}^{r\varphi}$  from the first Dirac condition.

$\Rightarrow \bar{h}^{\theta\varphi}$  and  $\bar{h}^{\varphi\varphi}$  from the other two gauge conditions

$\Rightarrow \bar{h}^{\theta\theta}$  from the trace-free condition.

# INTEGRATION PROCEDURE

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Everything is known on slice  $\Sigma_t$



Evolution of  $\chi = r^2 \bar{h}^{rr}$  and  $\mu$  to next time-slice  $\Sigma_{t+dt}$  (+ hydro)



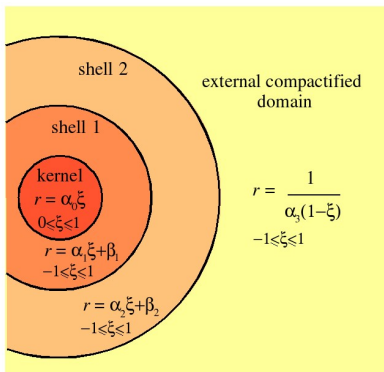
Deduce  $\bar{h}^{ij}(t + dt)$  from Dirac and trace-free conditions



Deduce the trace from  $\det \tilde{\gamma}^{ij} = 1$ ; thus  $h^{ij}(t + dt)$   
 and  $\tilde{\gamma}^{ij}(t + dt)$ .



Iterate on the system of elliptic equations for  $N$ ,  $\Psi^2 N$  and  $\beta^i$  on  $\Sigma_{t+dt}$



## DECOMPOSITION:

Chebyshev polynomials for  $\xi$ ,  
 Fourier or  $Y_l^m$  for the angular  
 part  $(\theta, \phi)$ ,

- symmetries and regularity conditions of the fields at the origin and on the axis of spherical coordinate system
- compactified variable for elliptic PDEs  $\Rightarrow$  boundary conditions are well imposed

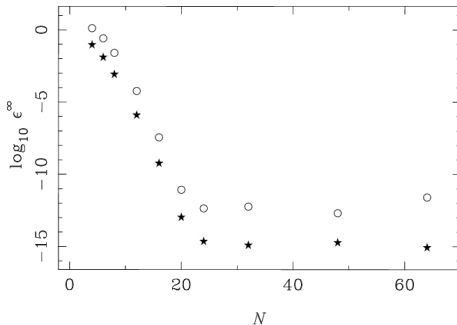
Drawback: Gibbs phenomenon!



# SPECTRAL REPRESENTATION OF FUNCTIONS

## ILLUSTRATIVE EXAMPLE

$f(x) = \cos^3\left(\frac{\pi}{2}x\right) - \frac{1}{8}(x+1)^3$  over  $[-1; 1]$ , represented by a series of  $N$  Chebyshev polynomials ( $T_n(x) = \cos(n \arccos x)$ )



Error decaying as  $e^{-N}$ ; as for comparison, more than  $10^5$  points are necessary with a third-order finite-difference scheme ...

Constrained formulation of Einstein equations

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The angular part of any field  $\phi$  is decomposed on a set of spherical harmonics  $Y_\ell^m(\theta, \varphi)$ , which are eigenvectors of the angular part of the Laplace operator

$$\Delta_{\theta\varphi} Y_\ell^m = -\ell(\ell + 1) Y_\ell^m$$

$$\Delta\phi = \sigma$$

$$\left( \frac{\partial^2}{\partial r^2} + \frac{2}{r} \frac{\partial}{\partial r} - \frac{\ell(\ell + 1)}{r^2} \right) \phi_{\ell m}(r) = \sigma_{\ell m}(r)$$

Accuracy on the solution  $\sim 10^{-13}$   
 (exponential decay)

$$\square\phi = \sigma$$

$$\left[ 1 - \frac{\delta t^2}{2} \left( \frac{\partial^2}{\partial r^2} + \frac{2}{r} \frac{\partial}{\partial r} - \frac{\ell(\ell + 1)}{r^2} \right) \right] \phi_{\ell m}^{J+1} = \sigma_{\ell m}^J$$

Accuracy on the solution  $\sim 10^{-10}$   
 (time-differencing)

$\forall(\ell, m)$  the operator inversion  $\iff$  inversion of a  $\sim 30 \times 30$  matrix  
 Non-linear parts are evaluated in the physical space and contribute as sources to the equations.

## POISSON-TYPE PDES

Thanks to the compactification of type  $u = 1/r$ , it is possible to impose asymptotic flatness “exactly”

## WAVE-TYPE PDES

Standard compactification cannot apply  $\Rightarrow$  choice of **transparent** boundary conditions for  $\ell \leq 2$  at **finite distance**, in the linear regime.

$$\forall \ell, \quad \frac{\partial \phi_{\ell m}}{\partial r} + \frac{\partial \phi_{\ell m}}{\partial t} + \frac{\phi_{\ell m}}{r} \Big|_{r=R} = \zeta_{\ell m}(t)$$

with  $\zeta(t, \theta, \varphi)$  being a function verifying a wave-like equation on the outer-boundary sphere

$$\frac{\partial^2 \zeta}{\partial t^2} - \frac{3}{4R^2} \Delta_{\theta\varphi} \zeta + \frac{3}{R} \frac{\partial \zeta}{\partial t} + \frac{3\zeta}{2R^2} = \frac{1}{2R^2} \Delta_{\theta\varphi} \left( \frac{\phi}{R} - \frac{\partial \phi}{\partial r} \Big|_{r=R} \right)$$

# RESULTS WITH A PURE GRAVITATIONAL WAVE SPACETIME INITIAL DATA

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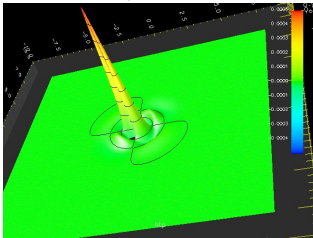
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Similar to Baumgarte & Shapiro (1999), namely a momentarily static ( $\partial\tilde{\gamma}^{ij}/\partial t = 0$ ) Teukolsky (1982) wave  $\ell = 2$ ,  $m = 2$ :

$$\begin{cases} \chi(t=0) &= \frac{\chi_0}{2} r^2 \exp\left(-\frac{r^2}{r_0^2}\right) \sin^2\theta \sin 2\varphi \\ \mu(t=0) &= 0 \end{cases} \quad \text{with } \chi_0 = 10^{-3}$$

Preparation of the initial data by means of the *conformal thin sandwich* procedure



Evolution of  $h^{\phi\phi}$  in the plane  $\theta = \frac{\pi}{2}$

# HOW WELL ARE EQUATIONS SOLVED?

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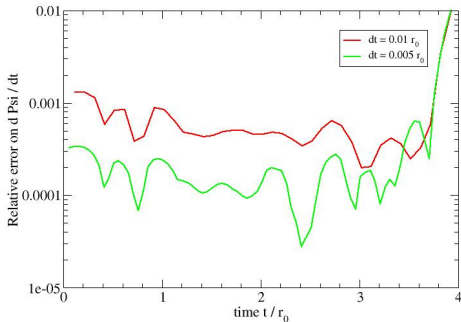
## CONSTRAINTS

- Imposed numerically at every time-step!
- depends on spectral resolution & number of iterations
- keep the error below  $10^{-6}$

## EVOLUTION EQUATIONS

- only **two** out of six are solved
- check on the others: equation for  $\Psi$

$$\frac{\partial \Psi}{\partial t} = \beta^k \mathcal{D}_k \Psi + \frac{\Psi}{6} \mathcal{D}_k \beta^k$$



# EVOLUTION OF ADM MASS

## ARE THE BOUNDARY CONDITIONS EFFICIENT?

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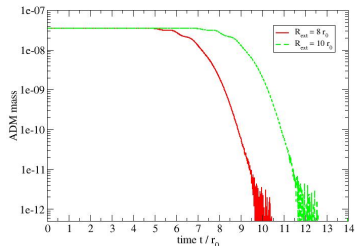
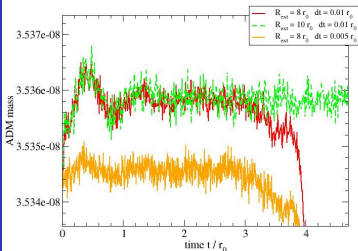
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- ADM mass is conserved up to  $10^{-4}$
- main source of error comes from time finite-differencing
- the wave is let out at better than  $10^{-4}$

# LONG-TERM STABILITY

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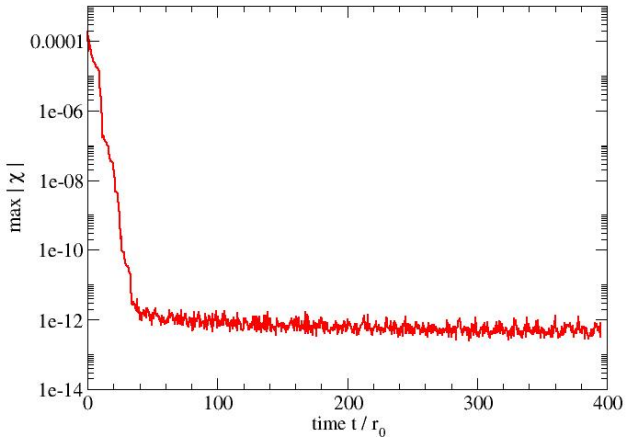
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40000 time-steps

# PHYSICAL MODEL OF ROTATING NEUTRON STARS

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Code developed for

- self-gravitating perfect fluid in general relativity
- two Killing vector fields (axisymmetry + stationarity)
- Dirac gauge
- equilibrium between matter and gravitational field
- equation of state of a relativistic polytrope  $\Gamma = 2$

## CONSIDERED MODEL HERE:

- central density  $\rho_c = 2.9\rho_{\text{nuc}}$
- rotation frequency  $f = 641.47 \text{ Hz} \simeq f_{\text{Mass shedding}}$
- gravitational mass  $M_g \simeq 1.51M_\odot$
- baryon mass  $M_b \simeq 1.60M_\odot$

Equations are the same as in the dynamical case, replacing time derivative terms by zero



Other code using **quasi-isotropic** gauge has been used for a long time and successfully compared to other codes in Nozawa *et al.* (1998).

## GLOBAL QUANTITIES

Quantity	q-isotropic	Dirac	rel. diff.
$N(r=0)$	0.727515	0.727522	$10^{-5}$
$M_g [M_\odot]$	1.60142	1.60121	$10^{-4}$
$M_b [M_\odot]$	1.50870	1.50852	$10^{-4}$
$R_{\text{circ}} [\text{km}]$	23.1675	23.1585	$4 \times 10^{-4}$
$J [GM_\odot^2/c]$	1.61077	1.61032	$3 \times 10^{-4}$
Virial 2D	$1.4 \times 10^{-4}$	$1.5 \times 10^{-4}$	
Virial 3D	$2.5 \times 10^{-4}$	$2.1 \times 10^{-4}$	

Virial identities (2 & 3D) are covariant relations that should be fulfilled by any stationary spacetime; they are not imposed numerically.

# STATIONARY AXISYMMETRIC MODELS

## DEVIATION FROM CONFORMAL FLATNESS

Constrained formulation of Einstein equations

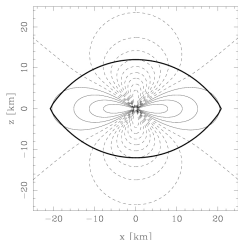
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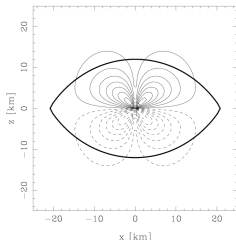
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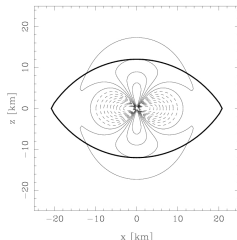
Metric potential  $h^{rr}$



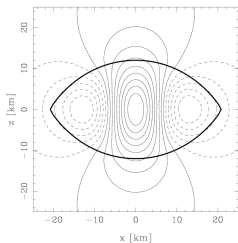
Metric potential  $h^{\theta\theta}$



Metric potential  $h^{\theta\phi}$



Metric potential  $h^{\phi\phi}$



For all components (except  $h^{r\varphi}$  and  $h^{\theta\varphi}$ , which are null),  $h_{\max}^{ij} \sim 0.005$   
 $\Rightarrow$  comparable with  $\gamma_{\theta\theta} - \gamma_{\varphi\varphi}$  in quasi-isotropic gauge

In both gravitational wave evolution and neutron star models, there are convergence problems for

$$h^{ij} \gtrsim 0.01$$

In the neutron star case, these are **very compact** (in practice on the unstable branch) models *and* close to mass-shedding limit

## POSSIBLE REASON:

- ❶ if  $\chi$  and  $\mu$  are supposed regular (obtained from the PDE solver)
- ❷  $h^{r\theta}, h^{r\varphi} \sim \partial_r \chi$
- ❸  $h^{\theta\theta}, h^{\theta\varphi} \sim \partial_r^2 \chi$
- ❹  $S^{ij}$  (source of the equation for  $h^{ij}$ )  $\sim \partial_r^4 \chi$  !

Taking tensor spherical harmonics from Zerilli (1970), it is possible to express the tensor wave/Poisson equation for  $h^{ij}$  and the Dirac gauge condition in terms of quantities expandable on  $Y_\ell^m$ .

- 1 solve for potentials linked with  $h^{\theta\theta}$  and  $h^{\theta\varphi}$
- 2 from the  $\theta$ - and  $\varphi$ - components of the Dirac gauge, integrate to get  $\eta$  and  $\mu$
- 3 from the remaining Dirac condition, integrate to get  $h^{rr}$
- 4 the trace is obtained from the requirement that  $\det \tilde{\gamma}^{ij} = 1$  as before

Works fine for the simplified case of divergence-free vector Poisson equation.

- We have developed and implemented a **fully-constrained** evolution scheme for solving Einstein equations, using a generalization of **Dirac gauge** and maximal slicing
- Easy to extract gravitational radiation (asymptotical TT gauge + spherical grid)
- Well tested for  $h^{ij} \lesssim 0.01$ , corresponding to most of relevant astrophysical scenarios without a black hole
- Ongoing work and outlook
  - Try to reduce the number of radial derivatives in our scheme and replace them by integrations
  - Already possible applications to core collapse (“Mariage Des Maillages” project) or study of oscillations of relativistic stars
  - Compatible with no-radiation approximations: e.g. Schäfer & Gopakumar (2004); useful for **slow evolution** studies of inspiralling compact binaries

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