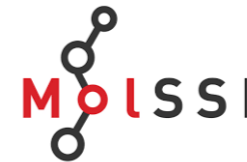


Large-Scale Electronic Structure Theory in FHI-aims and ELSI

Volker Blum

MEMS Department, Duke University, Durham, NC

<http://aims.pratt.duke.edu>



ACI-I450280

DMR-I729297

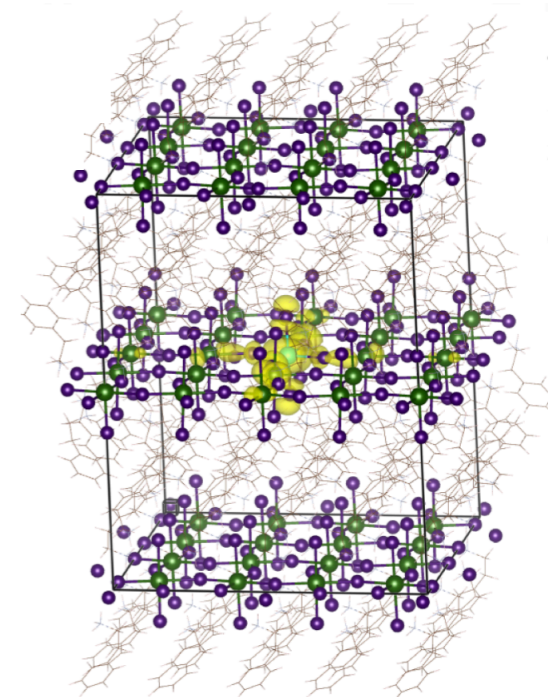
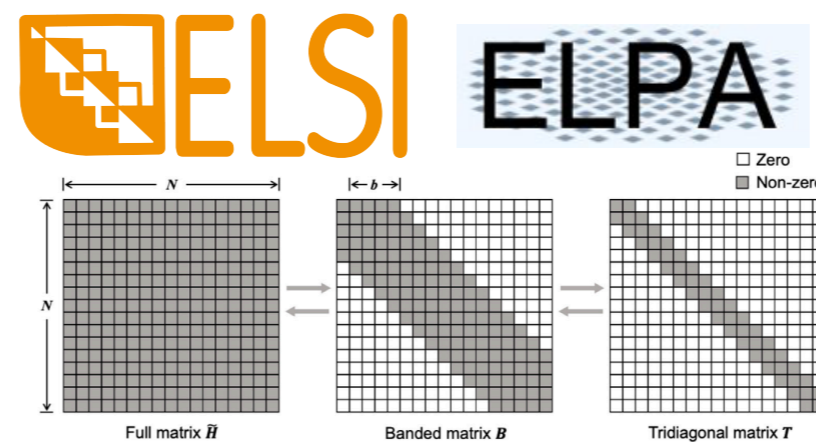


$u(r)$

radius

FHI-aims
The ab initio materials
simulation package

<https://fhi-aims.org>



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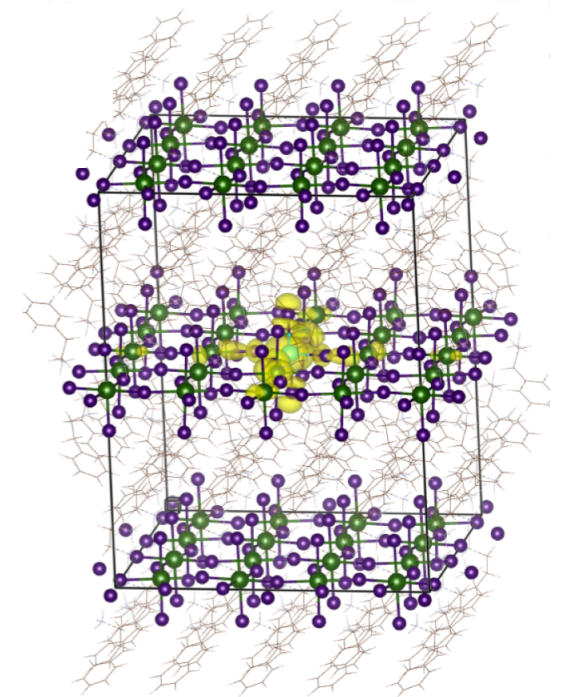
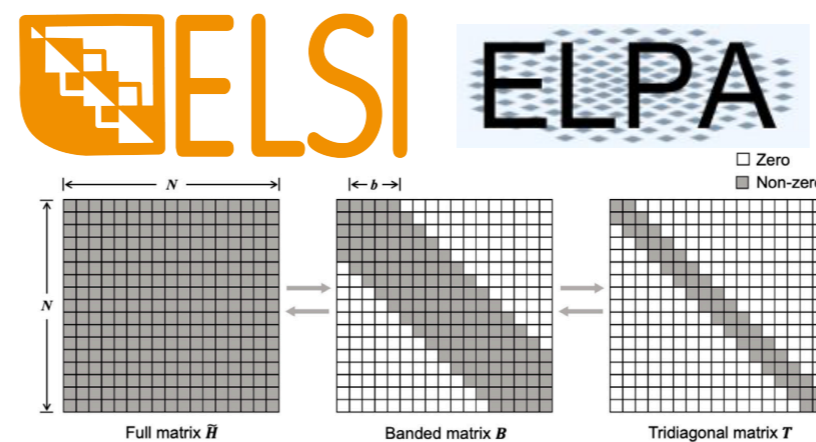
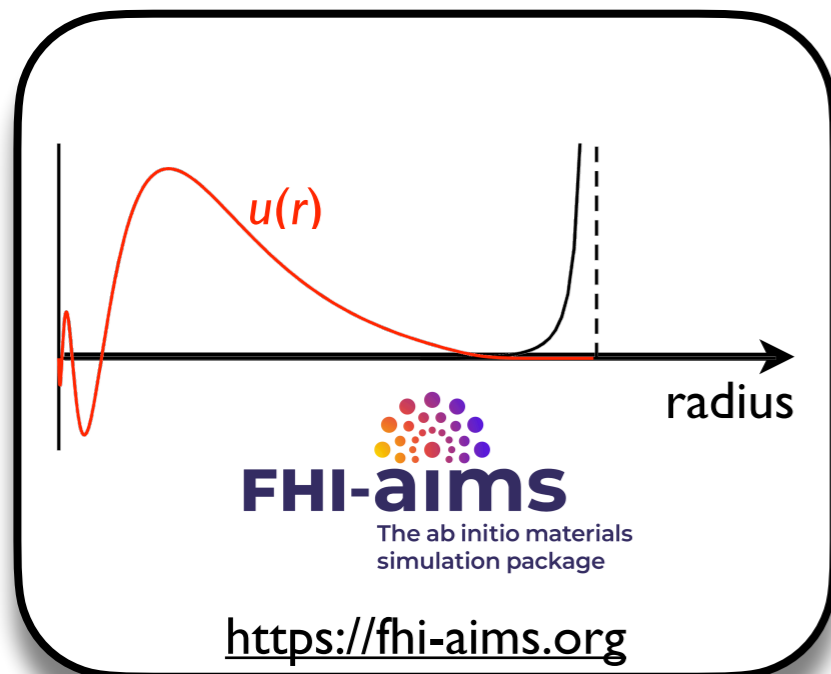
DMR-I729297



Numeric Atom-Centered
Basis Sets

Eigenvalue and Density
Matrix Solutions

All-Electron Hybrid DFT
for Large Systems

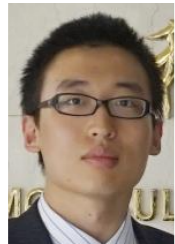


Group (Present and Past)

Ab Initio Materials Simulations Group (Duke Univ.)



Yi Yao



Ruyi Song



Xixi Qin



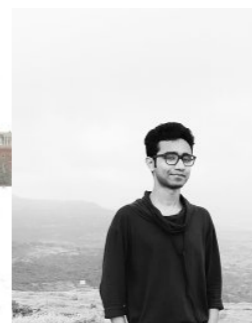
Gabrielle Koknat



Tianlin Wang



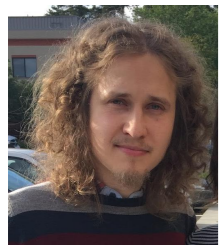
Uthpala Herath



Rayan Chakraborty



Naidel Caturello



Raul Laasner



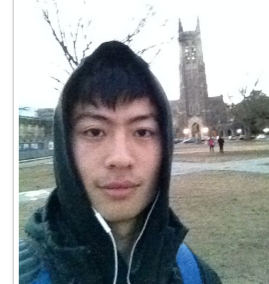
Rundong Zhao
(now Beihang)



William Huhn
(now Intel)

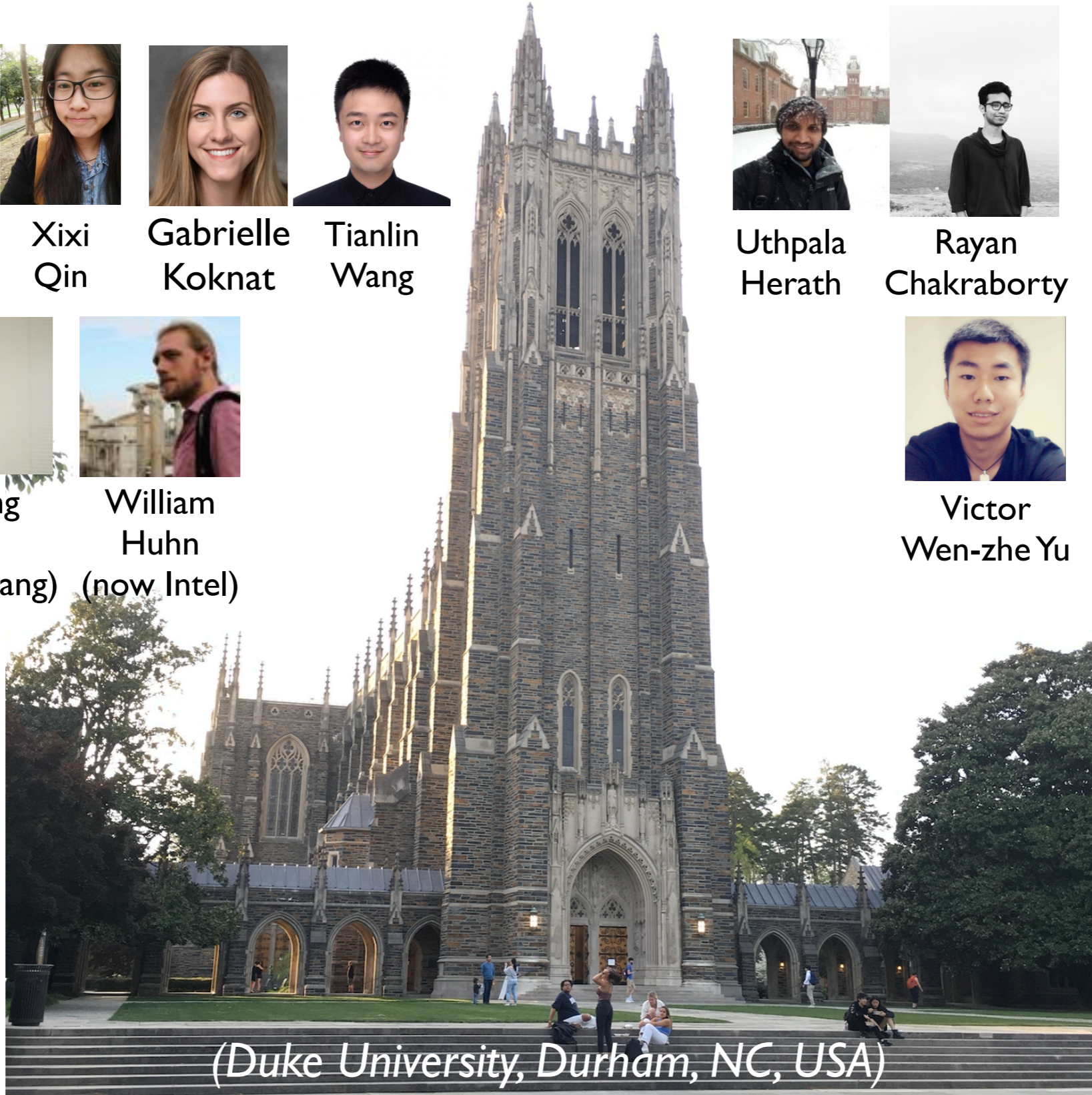


Victor Wen-zhe Yu



Chi Liu

- Jack Morgenstein
- Jannik Eisenlohr
- Harrison York
- Reyna Vrbensky
- Douglas Heine
- Shreyas Karadi
- Daniel Duarte
- Wentao Zhang



(Duke University, Durham, NC, USA)

Our (Long-Term) Approach: FHI-aims



Vision:

Quantum mechanics based simulations of real, complex molecules, materials and their properties without a priori precision and accuracy limitations.

→ Algorithmic choices and priorities:

- All-electron
- Non-periodic and periodic systems on equal footing
- Scalability to large systems (thousands of atoms) without precision limitations
- Seamless scalability from laptop to massively parallel and/or new HPC architectures
- Density functional theory and correlated methods (RPA, GW, CC via CC4S...)

Critical choice

- **Numeric atom-centered basis functions (accurate representation of occupied orbitals and densities)**

Stewardship: MSIP e.V. (non-profit organization, purpose: basic science)
Advisory board, very active community

FHI-aims Code

<https://fhi-aims.org>



FHI-aims team and collaborators: **Matthias Scheffler (Berlin), Sebastian Kokott (Berlin), Mariana Rossi (Hamburg), Xinguo Ren (Beijing), Karsten Reuter (Berlin), over 150 individuals** with contributions to the project. Development in Berlin, Duke, UNC, Beijing, Helsinki, Warwick, Cardiff, Dresden, Dalhousie, etc.

Coordinators: Blum, Kokott, Rossi, Scheffler

Alaa Akkoush, Heiko Appel, Victor Atalla, Kurt Baermann, Carsten Baldauf, Alexej Bagrets, Jörg Behler, Daniel Berger, Josh Berryman, Sheng Bi, Benedikt Biedermann, Bjoern Bieniek, Volker Blum, Saeed Bohloul, Tiago Botari, Connor Box, Danilo Brambila, Gabriel Bramley, Daniel Bultrini, Christian Carbogno, Fabio Caruso, Marco Casadei, Michele Ceriotti, Wael Chibani, Sucismita Chutia, Francisco Antonio Delesma, Fabio Della Sala, Maria Dragoumi, Andreas Dolfen, Marc Dvorak, Simon Erker, Ferdinand Evers, Eduardo Fabiano, Matt Farrow, Nicola Ferri, Karen Fidanyan, Jakob Filser, Lukas Gallandi, Ralf Gehrke, Luca Ghiringhelli, Mark Glass, Vivekanand Gobre, Dorothea Golze, Matthias Gramzow, Patrick Guetlein, Stefan Gutzeit, Volker Haigis, Felix Hanke, Paula Havu, Ville Havu, Joscha Hekele, Olle Hellman, Jan Hermann, Oliver Hofmann, Johannes Hoja, Xiaojuan Hu, William Huhn, Lukas Hörmann, Arvid Ihrig, Timo Jacob, Adam Jackson, Svenja Janke, Ran Jia, Rainer Johanni, Erin Johnson, Werner Jürgens, Matthias Kahk, Yosuke Kanai, Levi Keller, Matthias Kick, Woo Youn Kim, Jan Kloppenburg, Alexander Knoll, Florian Knoop, Franz Knuth, Simone Koecher, Gabrielle Koknat, Sebastian Kokott, Raul Laasner, Lucas Lang, Bjoern Lange, Marvin Lechner, Susi Lehtola, Maja-Olivia Lenz, Sergey Levchenko, Alan Lewis, Jiachen Li, Xinzhen Li, Kailai Lin, Xinyi Lin, Konstantin Lion, Yair Litman, Chi Liu, Andrew Logsdail, Andreas Marek, Thomas Markovich, Reinhard Maurer, Florian Merz, Joerg Meyer, Wenhui Mi, Evgeny Moerman, Christoph Muschielok, Mohammad Nakhaee, Lydia Nemeč, Norbert Nemeč, Kane O'Donnell, Harald Oberhofer, Berk Onat, Alberto Otero de la Rosa, Ramon L. Panades-Barrueta, Eszter Pos, Alastair Price, Thomas Purcell, Nathaniel Raimbault, Karsten Rasim, Xinguo Ren, Karsten Reuter, Norina Richter, Stefan Ringe, Patrick Rinke, Herzain Rivera, Matti Ropo, Mariana Rossi, Tuomas Rossi, Adrienn Ruzsinszky, Nikita Rybin, Georg Michelitsch, Andrea Sanfilippo, Matthias Scheffler, Markus Schneider, Christoph Schober, Franziska Schubert, Honghui Shang, Tonghao Shen, Markus Sinstein, Justin Clifford Smith, Ari-Pekka Soikkeli, Ruyi Song, Aloysius Soon, Pavel Stishenko, Muhammad Tahir, Alexandre Tkatchenko, Thomas Theis, Alvaro Vazquez Mayagoitia, Suzy Wallace, Tianlin Wang, Yanyong Wang, Jürgen Wieferink, Scott Woodley, Jianhang Xu, Yong Xu, Yi Yao, Mina Yoon, Ted Yu, Victor Yu, Zhenkun Yuan, Marios Zacharias, Guo-Xu Zhang, Igor Ying Zhang, Wenxing Zhang, Rundong Zhao, Ruiyi Zhou, Yuanyuan Zhou, Tong Zhu



... and, with absolute certainty, more! Thank you!

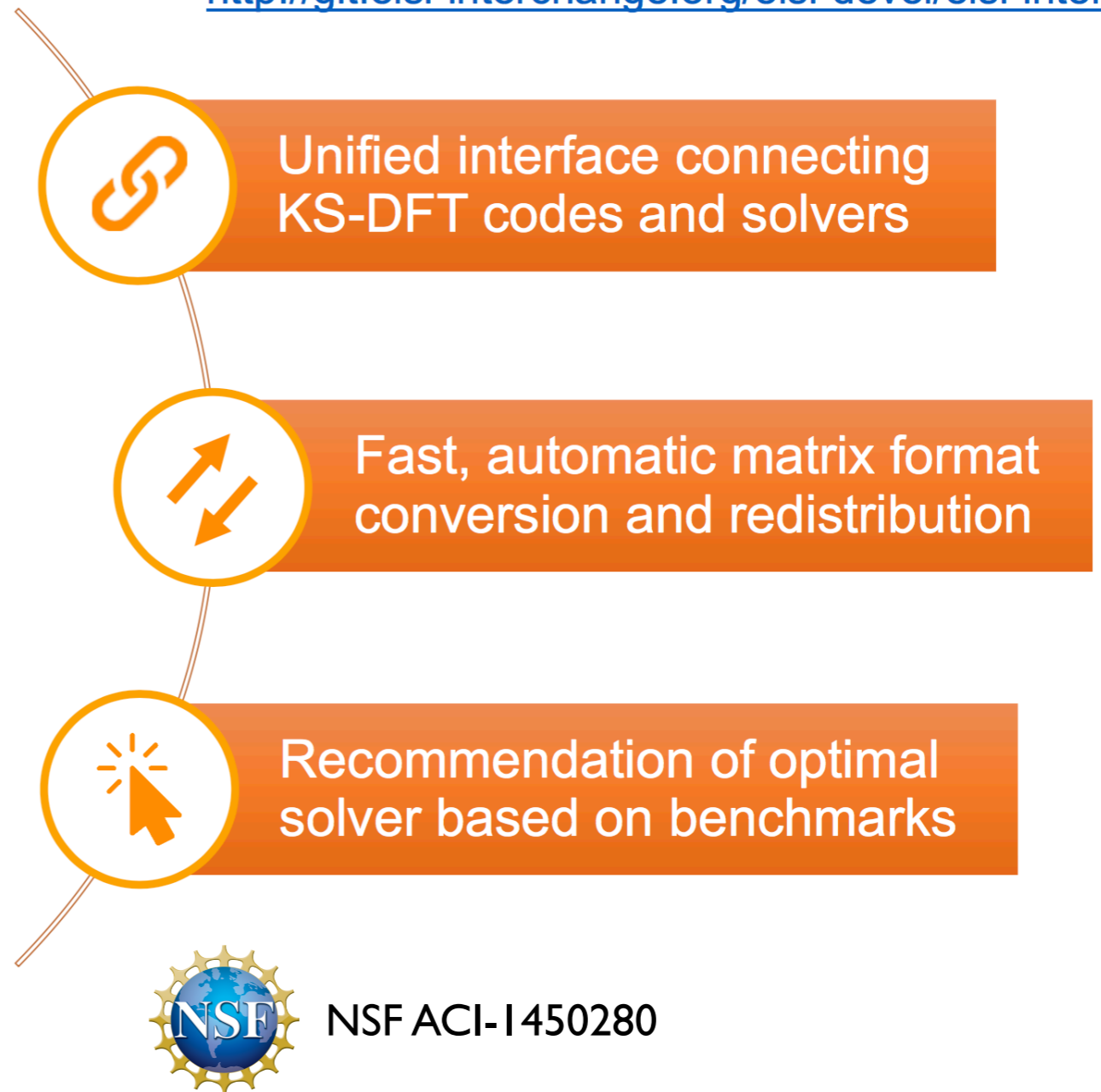
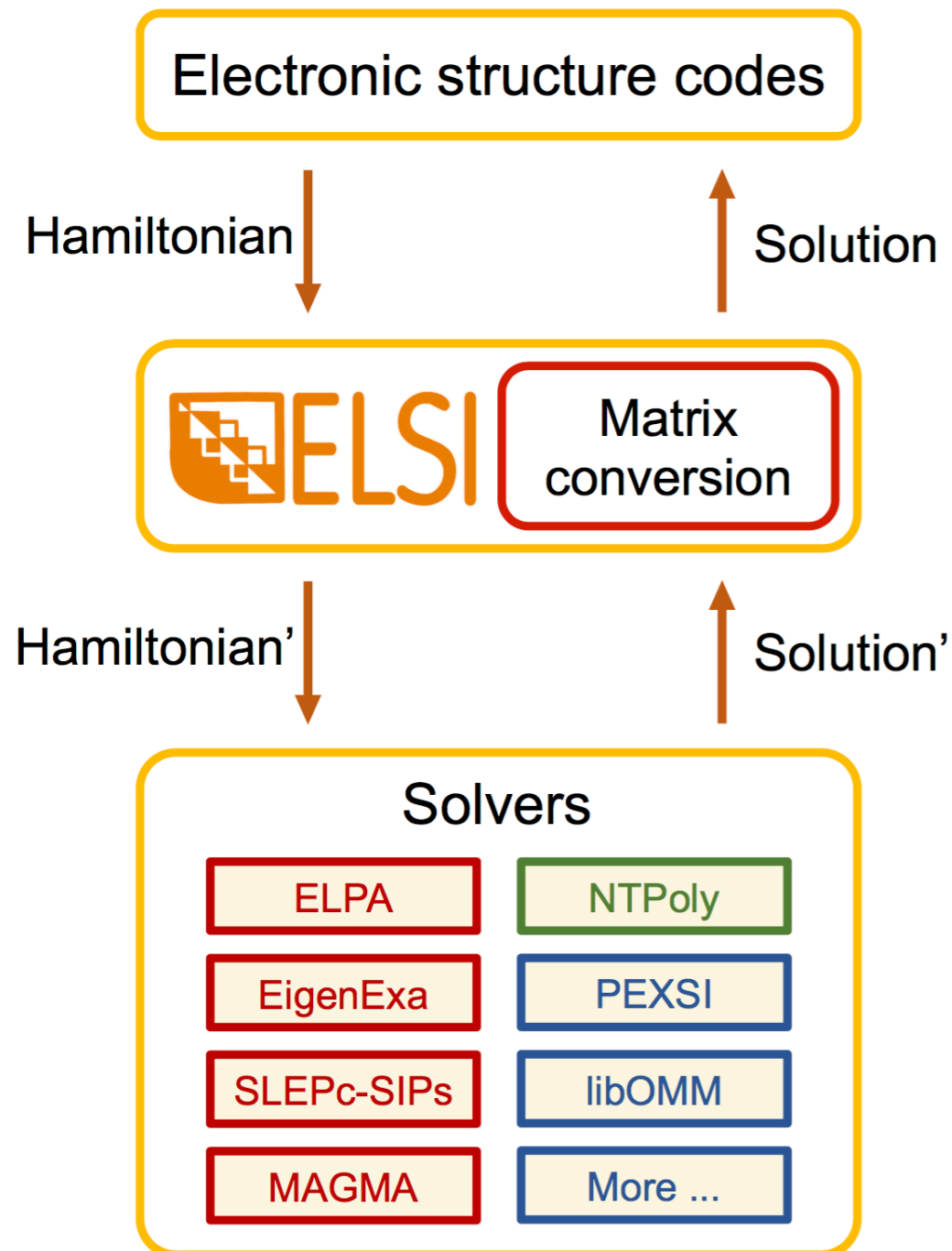
ELSI: Connecting Electronic Structure Codes and Solvers

Yu et al., "ELSI – An Open Infrastructure for Electronic Structure Solvers"
Comp. Phys. Commun. 256, 107459 (2020).

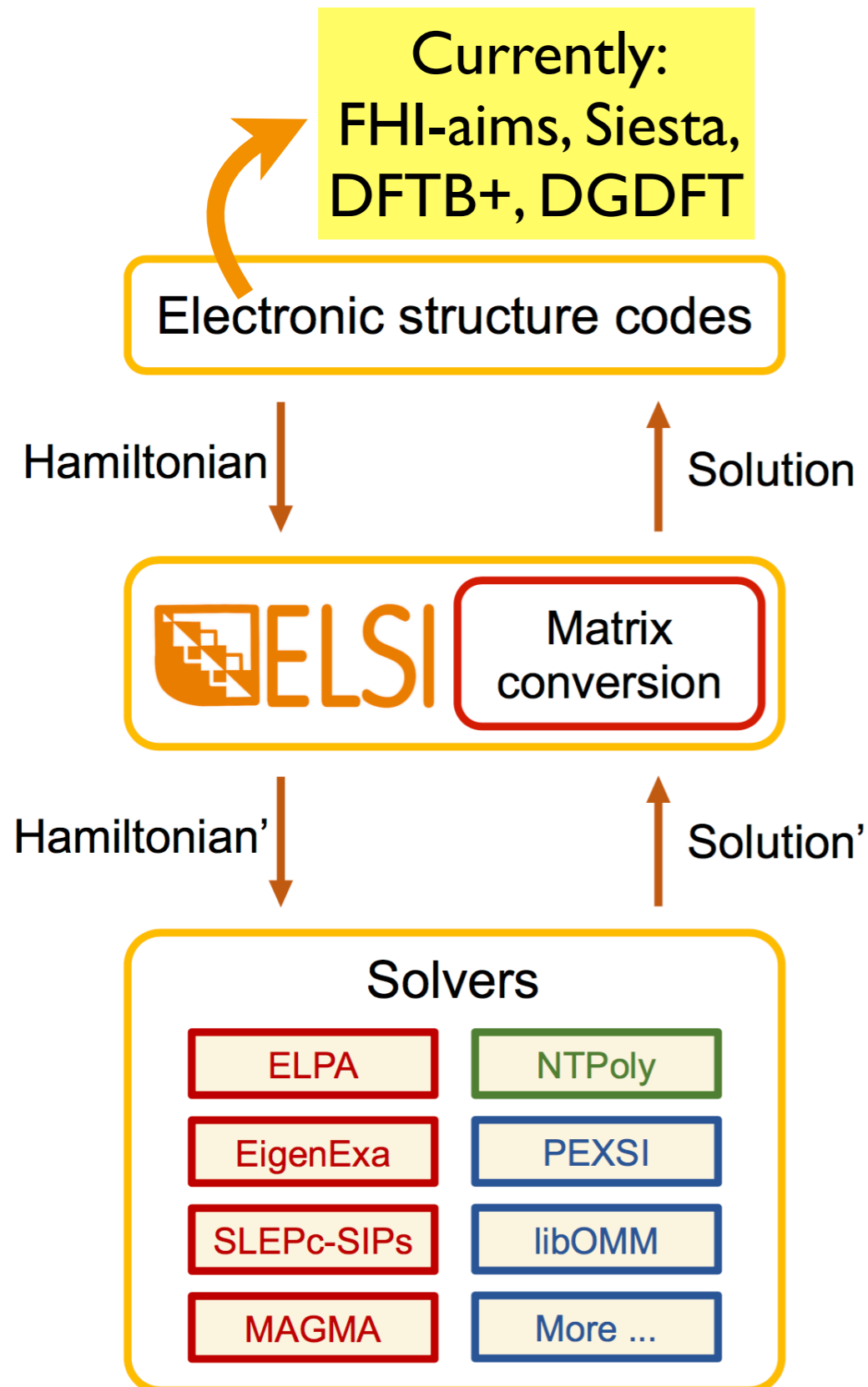
Yu et al., *Comput. Phys. Commun.* 2018

<http://elsi-interchange.org>

<http://git.elsi-interchange.org/elsi-devel/elsi-interface>



ELSI: Connecting Electronic Structure Codes and Solvers

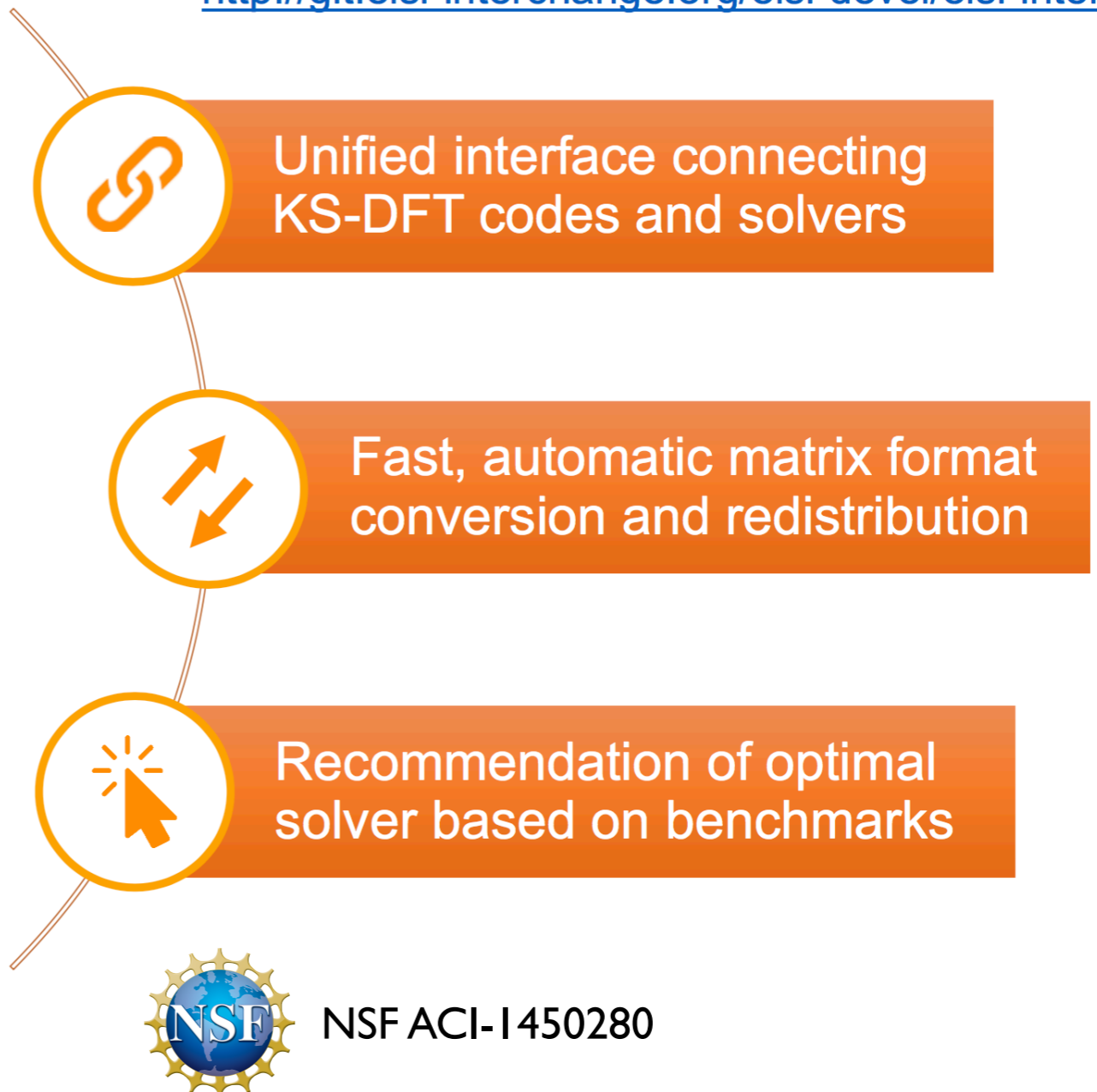


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<http://git.elsi-interchange.org/elsi-devel/elsi-interface>



Open-Source Graphical Interface for Materials Science

<https://gims.ms1p.org>

Browser-based graphical interface - no installation, open to more codes.

The screenshot displays the GIMS web interface for a 'Simple Calculation workflow'. The main area shows a 3D visualization of a crystal structure with atoms represented by colored spheres (Cd in orange, Sr in green, Ta in blue) within a unit cell. The axes are labeled 'a', 'b', and 'c'. A legend on the left identifies the species: Cd (orange), Sr (green), and Ta (blue). The interface includes a top navigation bar with the GIMS logo and the text 'Graphical Interface for Materials Simulations / SimpleCalculation workflow'. A search bar and a 'SETTINGS' icon are also present. Below the navigation bar, there are options to 'Choose your code' (with logos for FHI-aims and exciting) and 'Choose GIMS version' (set to 'Stable'). The main workflow area is titled 'Simple Calculation workflow' and 'Step 1. Create or upload geometry', with a 'Save geometry & Go ahead' button. A file input field shows 'CdSrTa.in'. There are buttons for 'View options', 'Edit structure' (currently OFF), and a '0/0' counter. On the right side, there are 'Import' and 'Export' buttons, followed by a 'Lattice Vectors' panel with input fields for 'a', 'b', and 'c' vectors, and a 'Scale atom positions with lattice vectors' checkbox. Below this are expandable sections for 'Structure Info', 'Supercell', 'Standardized Cells', 'Surface (Slab) Construction', and 'Basis Atoms'. The 'Basis Atoms' section shows a list of atoms with their coordinates and species, including a 'New atom' button and a 'Constrain all atoms' button. A 'user manual' and 'feedback' link are visible on the left side of the interface.

Sebastian Kokott, Iker Hurtado, Christian Vorwerk, Claudia Draxl, Volker Blum and Matthias Scheffler, "GIMS: Graphical Interface for Materials Simulations," The Journal of Open Source Software, Vol. 6, No. 57, 2767 (2021). <https://doi.org/10.21105/joss.02767>.

MatD³: Individualized Materials Database Software

Raul Laasner, Xiaochen Du, Aditya Tanikanti, Connor Clayton, Marco Govoni, Giulia Galli, Volker Blum

“MatD³: A Database and Online Presentation Package for Research Data Supporting Materials Discovery, Design, and Dissemination,”

Journal of Open-Source Software, Vol. 5, No. 45, 1945 (2020). DOI: 10.21105/joss.01945

The screenshot shows a web browser window with the URL <https://materials.hybrid3.duke.edu/materials/9>. The page title is "Hybrid³ database". The main content area displays the entry for "Bis(phenylethylammonium) lead iodide".

Bis(phenylethylammonium) lead iodide Edit System Data

Chemical Formula: C16H24N2PbI4
IUPAC: bis(2-phenylethane-1-aminium) lead(II) iodide
Alternate Names: bis(2-phenylethane-1-aminium) tetraiodoplumbate(II), Bisphenylethylammonium lead iodide, phenethylammonium lead iodide, (PEA)2PbI4, PEA2PbI4, (C6H5C2H4NH3)2PbI4, (C8H12N)2PbI4, (C8H9NH3)2PbI4, PEA lead iodide, (PEA)2 lead iodide

Organic: C8H12N
Inorganic: PbI4, Lead iodide
Dimensionality: 2D n: 1

Related Systems (click to expand)

Atomic structure Verified

[See all entries for this property \(27 total\)](#)
Origin: experimental (T = 298.0 K)
Space group: P -1

Lattice parameters	
Crystal system:	triclinic
a:	8.7389 (±0.0002) Å
b:	8.7403 (±0.0002) Å
c:	32.9952 (±0.0006) Å
α:	84.646 (±0.001)°
β:	84.657 (±0.001)°
γ:	89.643 (±0.001)°

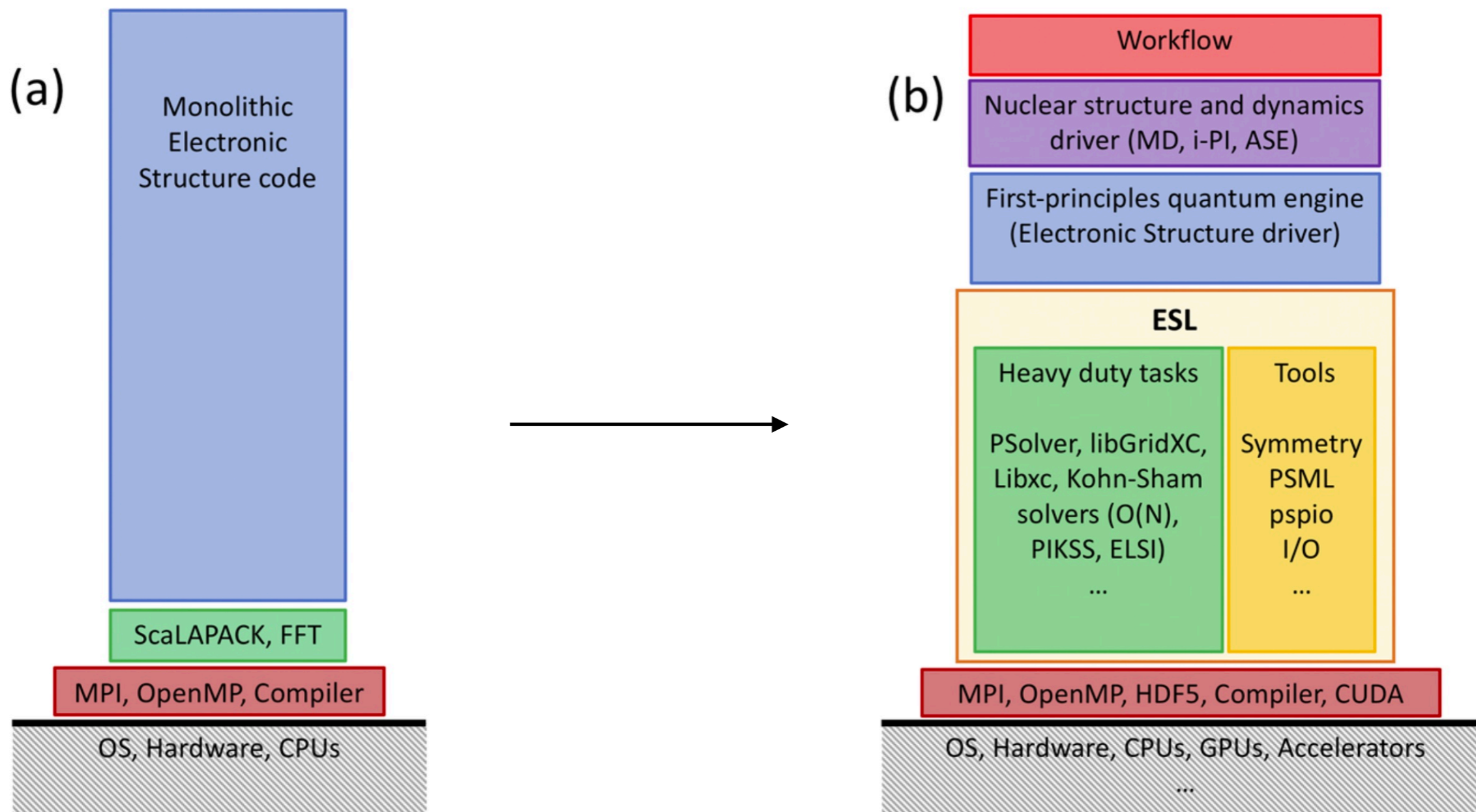
Atomic coordinates	
P 1 [P 1] #1	
a=	8.739Å
b=	8.739Å
c=	32.995Å
α=	84.646°
β=	84.657°
γ=	89.643°



NSF DMR
1728921,
1729297,
1729383

CECAM Electronic Structure Library

Electronic Structure Theory underpins a broad swath of science, with “engines” that live for decades: Much of our infrastructure is shared.



Next ESL Coding Workshop

Electronic Structure Software Development: Advancing the Modular Paradigm

<https://www.cecaml.org/workshop-details/1194>

February 19 - March 1, 2024

CECAM-HQ, Lausanne, Switzerland



Save the Date!

2 days of strategy / high-level discussions, 10 days of actual coding in Lausanne.

Standard Electronic Structure Theory

$$\left[-\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{es}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \psi_k(\mathbf{r}) = \epsilon_k \psi_k(\mathbf{r})$$

Kohn-Sham
1965

Standard Electronic Structure Theory

$$\left[-\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{es}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \psi_k(\mathbf{r}) = \epsilon_k \psi_k(\mathbf{r})$$

Kohn-Sham
1965

“As (almost) everyone does”:

I. Pick *basis set* $\{|\varphi_i\rangle\}$:

$$\psi_k(\mathbf{r}) = \sum_i c_{ki} \varphi_i(\mathbf{r})$$

→ generalized eigenvalue
problem:

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

$$h_{ij} = \langle \varphi_i | \hat{h}_{\text{KS}} | \varphi_j \rangle$$

$$s_{ij} = \langle \varphi_i | \varphi_j \rangle$$

Standard Electronic Structure Theory

$$\left[-\frac{\nabla^2}{2} + v_{\text{ext}}(\mathbf{r}) + v_{\text{es}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \psi_k(\mathbf{r}) = \epsilon_k \psi_k(\mathbf{r})$$

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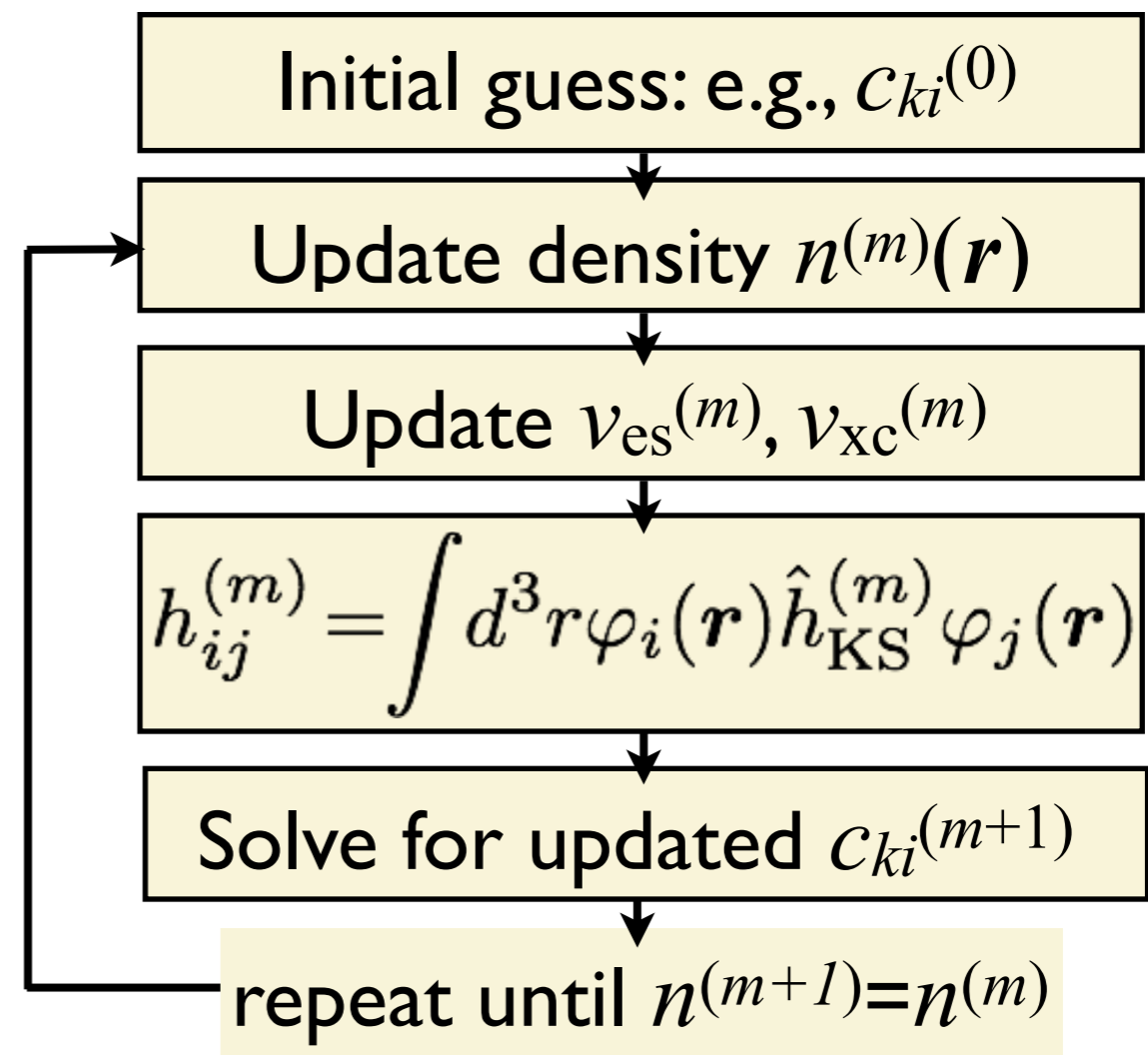
→ generalized eigenvalue problem:

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{s}} \underline{\underline{c}}_k$$

$$h_{ij} = \langle \varphi_i | \hat{h}_{\text{KS}} | \varphi_j \rangle$$

$$s_{ij} = \langle \varphi_i | \varphi_j \rangle$$

2. Self-consistency:



Numeric Atom-Centered Basis Sets for All-Electron DFT

$$\varphi_{i[lm]}(\mathbf{r}) = \frac{u_i(r)}{r} \cdot Y_{lm}(\Omega)$$

- $u_i(r)$: Flexible choice - “Anything you like.”

Many popular implementations:
DMol³ (Delley), FPLO (Eschrig *et al.*), PLATO (Horsfield *et al.*),
PAOs (Siesta, Conquest, OpenMX²,
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$$\left[-\frac{1}{2} \frac{d^2}{dr^2} + \frac{l(l+1)}{r^2} + v_i(r) + v_{\text{cut}}(r) \right] u_i(r) = \epsilon_i u_i(r)$$

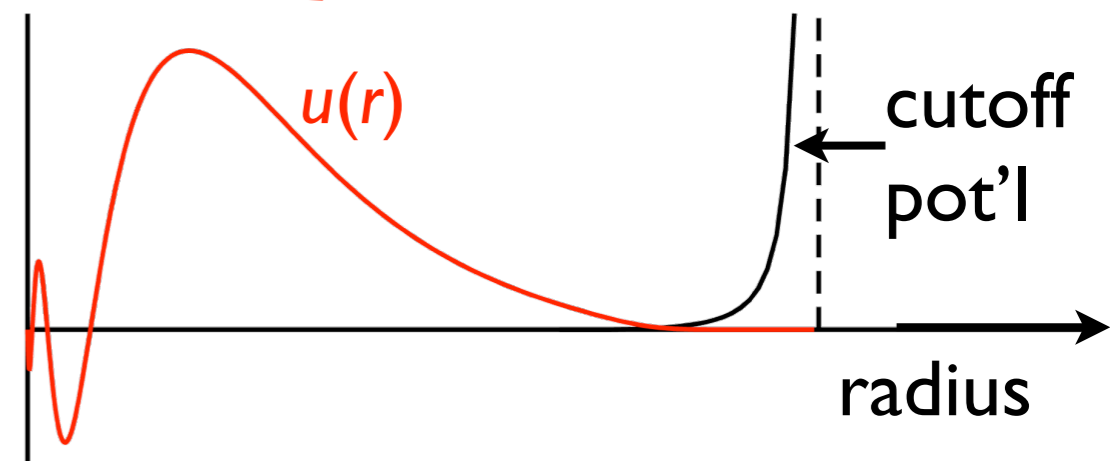
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Numeric Atom-Centered Basis Sets for All-Electron DFT

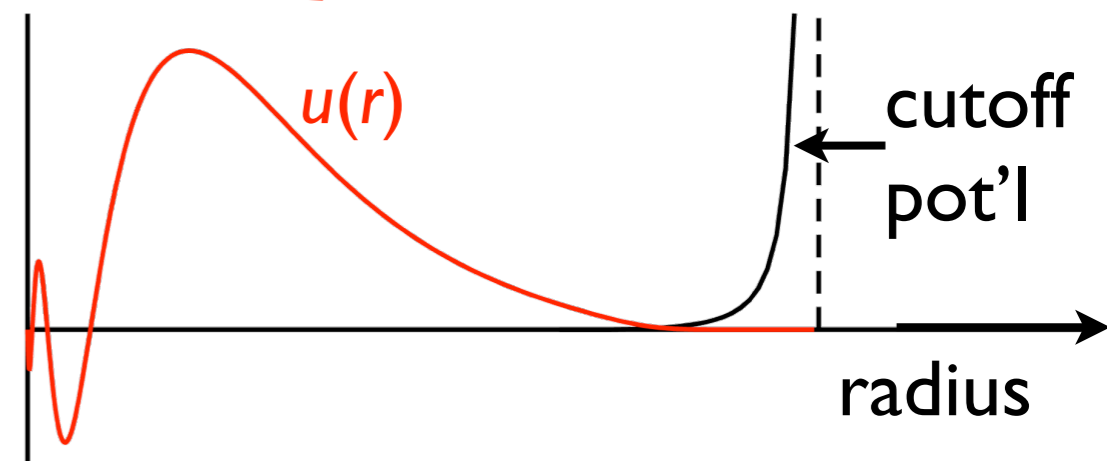
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- free-atom like: $v_i(r) = v_{\text{free atom}}^{\text{DFT}}(r)$
- Hydrogen-like: $v_i(r) = z/r$
- free ions, harm. osc. (Gaussians), ...



... and straightforward to substitute
scalar- or fully-relativistic analogues

Numeric Atom-Centered Basis Sets for All-Electron DFT

$$\varphi_{i[lm]}(\mathbf{r}) = \frac{u_i(r)}{r} \cdot Y_{lm}(\Omega)$$

Many popular implementations:
DMol³ (Delley), FPLO (Eschrig *et al.*), PLATO (Horsfield *et al.*),
PAOs (Siesta, Conquest, OpenMX²,
Fireball, ABACUS, ...)

- $u_i(r)$: Flexible choice - “Anything you like.”
 - Localized; “naturally” all-electron
 - The choice of efficient and of enough radial functions is obviously important
 - We have a “basis set library” - list of basis functions for all elements (1-102), from fast qualitative to meV-converged total energies (LDA/GGA/mGGA/hybrid DFA’s)

*V. Blum, R. Gehrke, F. Hanke, P. Havu, V. Havu, X. Ren, K. Reuter and M. Scheffler,
“Ab Initio Molecular Simulations with Numeric Atom-Centered Orbitals”,
Computer Physics Communications **180**, 2175-2196 (2009)*

Numeric Atom-Centered Basis Sets for All-Electron DFT

$$\varphi_{i[lm]}(\mathbf{r}) = \frac{u_i(r)}{r} \cdot Y_{lm}(\Omega)$$

Many popular implementations:
DMol³ (Delley), FPLO (Eschrig et al.), PLATO (Horsfield et al.),

FHI-aims input example: Hydrogen, tight settings

```
#####  
#  
# Definition of "minimal" basis  
#  
#####  
# valence basis states  
# valence 1 s 1.  
# ion occupancy  
# ion_occ 1 s 0.5  
#####  
#  
# Suggested additional basis functions. For production calculations,  
# uncomment them one after another (the most important basis functions are  
# listed first).  
#  
# Basis constructed for dimers: 0.5 A, 0.7 A, 1.0 A, 1.5 A, 2.5 A  
#  
#####  
# "First tier" - improvements: -1014.90 meV to -62.69 meV  
[ hydro 2 s 2.1  
  hydro 2 p 3.5  
# "Second tier" - improvements: -12.89 meV to -1.83 meV  
  hydro 1 s 0.85  
  hydro 2 p 3.7  
  hydro 2 s 1.2  
  hydro 3 d 7  
# "Third tier" - improvements: -0.25 meV to -0.12 meV  
# hydro 4 f 11.2  
# hydro 3 p 4.8  
# hydro 4 d 9  
# hydro 3 s 3.2  
#####
```

• $u_i(r)$: Flexible choice

→ Localized; "natural"

→ The choice of efficient basis functions is important

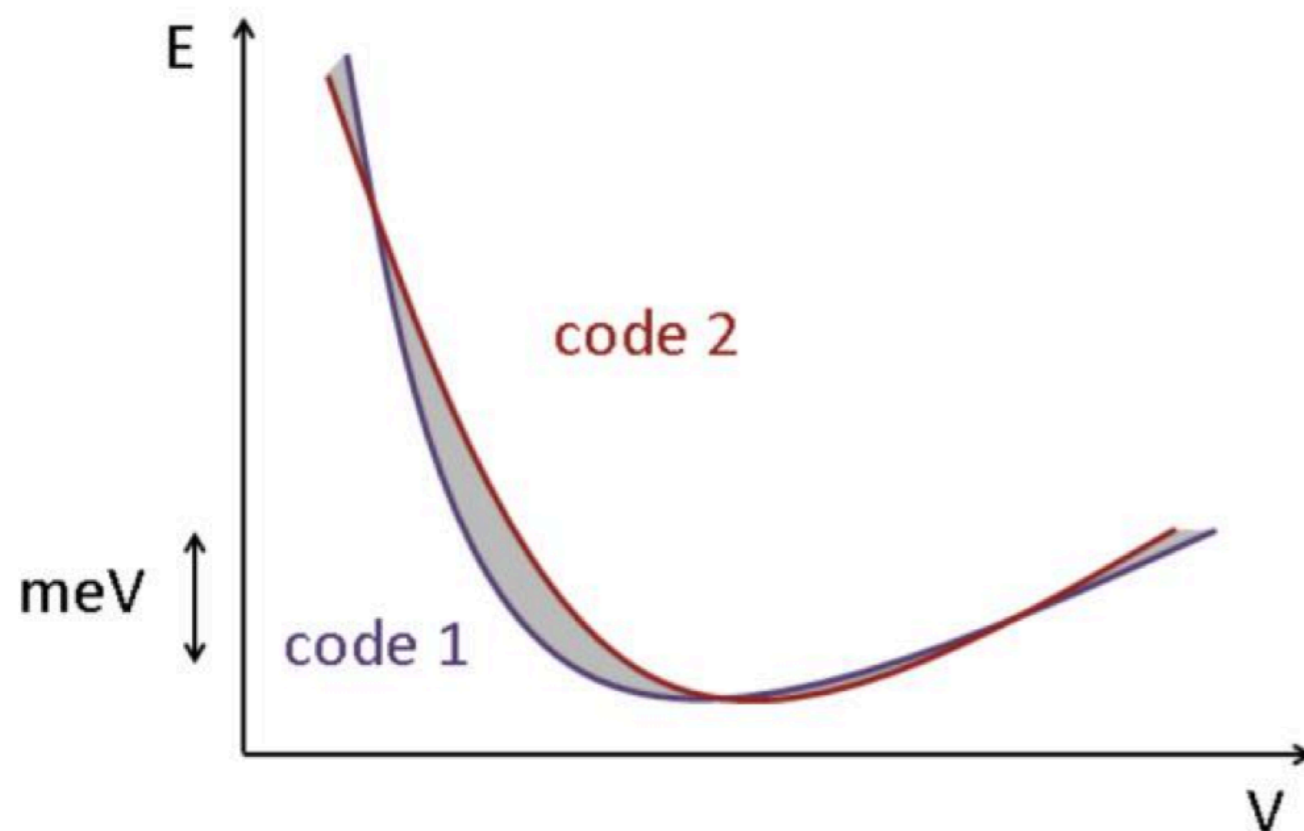
→ We have a "basis set hierarchy" (1-102), from fast local mGGA/hybrid DFA to all-electron

V. Blum, R. Gehrke
"Ab Initio Molecular Dynamics
Computations"

Precision in Community Wide Benchmark - “Delta Test”

Reproducibility in Density-Functional Calculations of Solids,
K. Lejaeghere, ... **68 coauthors!** ..., S. Cottenier,
Science **351**, aad3000 (2016).
<https://molmod.ugent.be/deltacodesdft>

$E(V)$ for 71 elemental solids - 15 codes, all-electron & 40 pseudopot'l sets



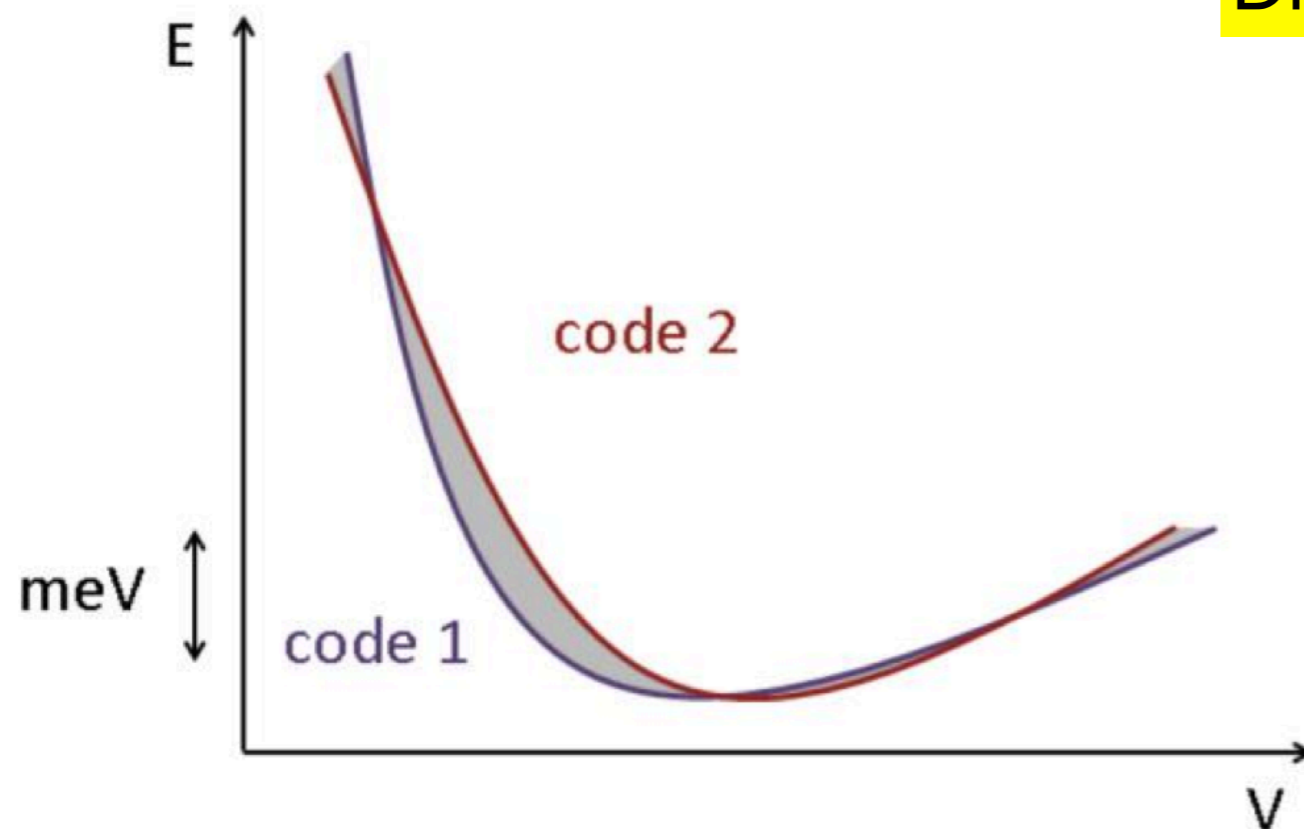
$$\rightarrow \Delta_i(a, b) = \sqrt{\int_{0.94V_{0,i}}^{1.06V_{0,i}} \frac{(E_{b,i}(V) - E_{a,i}(V))^2}{0.12V_{0,i}} dV}$$

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$E(V)$ for 71 elemental solids - 15 codes, all-electron & 40 pseudopot'l sets

FHI-aims: Test carried out independently by
Dr. Marcin Dulak, DTU (Copenhagen)



$$\rightarrow \Delta_i(a, b) = \sqrt{\int_{0.94V_{0,i}}^{1.06V_{0,i}} \frac{(E_{b,i}(V) - E_{a,i}(V))^2}{0.12V_{0,i}} dV}$$

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$E(V)$ for 71 elemental solids - 15 codes, all-electron & 40 pseudopot'l sets

Code	Basis	Electron treatment	Delta (meV)
Wien2k 13.1	LAPW/APW+lo	All-electron	0
FHI-aims 081213*	NAO, tier2	All-electron (scalar rel. atomic ZORA)	0.2
Exciting (dev.)	LAPW+xlo	All-electron	0.2
Quantum Espresso 5.1	plane waves	SSSP accuracy (mixed NC/US/PAW library)	0.3
VASP 5.2.12	plane waves	PAW 2015	0.3
FHI-aims 081213*	NAO, tier2	All-electron (scalar rel., scaled ZORA)	0.3
ELK 3.1.5	APW+lo	All-electron	0.3

...

*Results: Marcin Dulak,
DTU (Copenhagen)

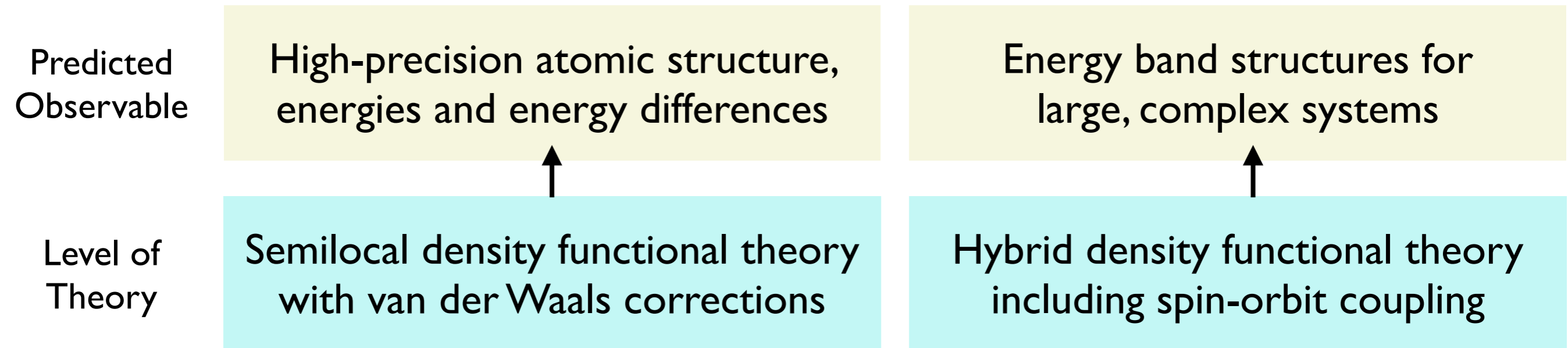
Example - Bi-Doping of Layered HOIS, Hybrid DFT

Predicted
Observable

High-precision atomic structure,
energies and energy differences

Energy band structures for
large, complex systems

Example - Bi-Doping of Layered HOIS, Hybrid DFT



Example - Bi-Doping of Layered HOIS, Hybrid DFT

Predicted
Observable

High-precision atomic structure,
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Energy band structures for
large, complex systems

Level of
Theory

Semilocal density functional theory
with van der Waals corrections

Hybrid density functional theory
including spin-orbit coupling



Dr. Yi Yao



Gabrielle
Koknat

Example:

Bi-doped $(\text{PEA})_2\text{PbI}_4$

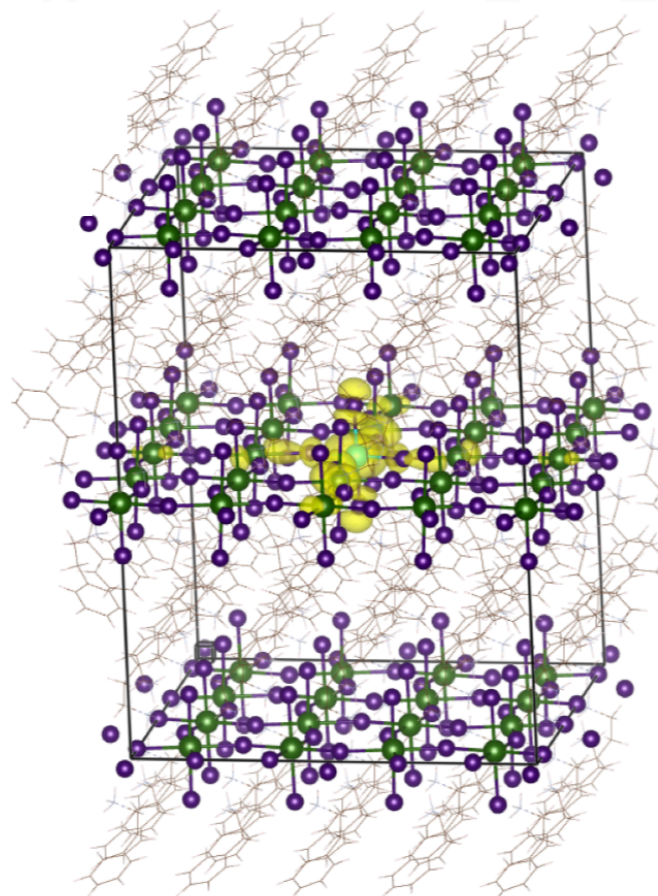
1,504 atoms

HSE06+SOC,

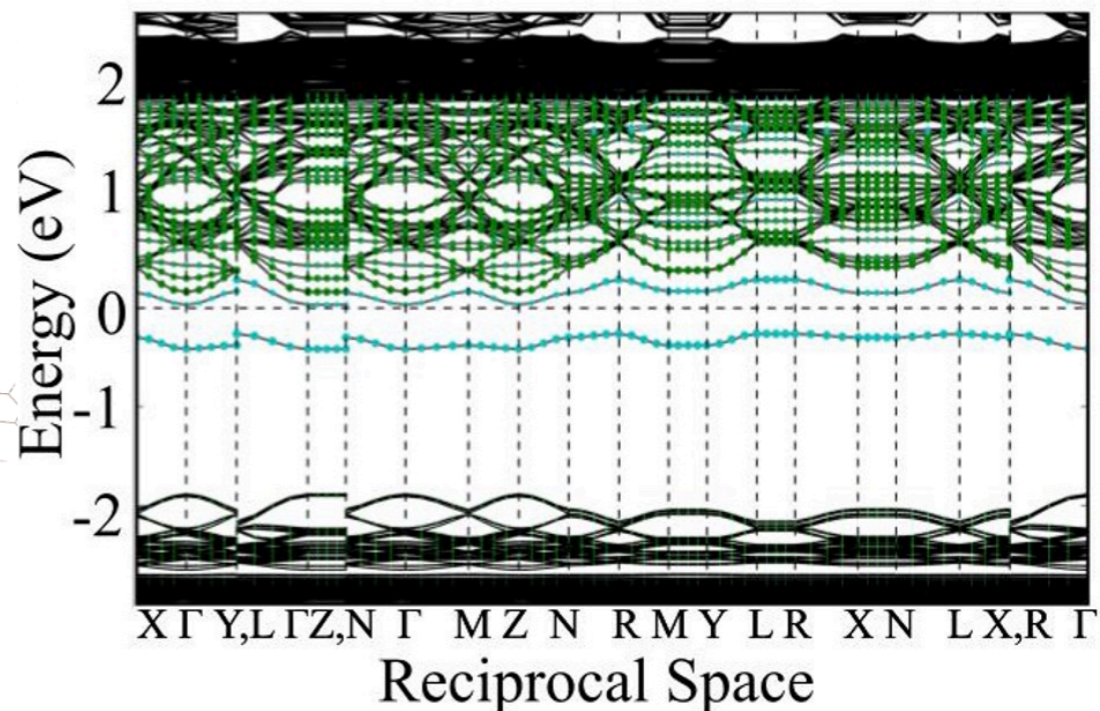
all-electron

High precision -

no tricks!



DFT-HSE06+SOC Band Structure



This Calculation Was Not Cheap ... But Valuable

Example:

Bi-doped $(\text{PEA})_2\text{PbI}_4$

1,504 atoms

HSE06+SOC,

all-electron

High precision -

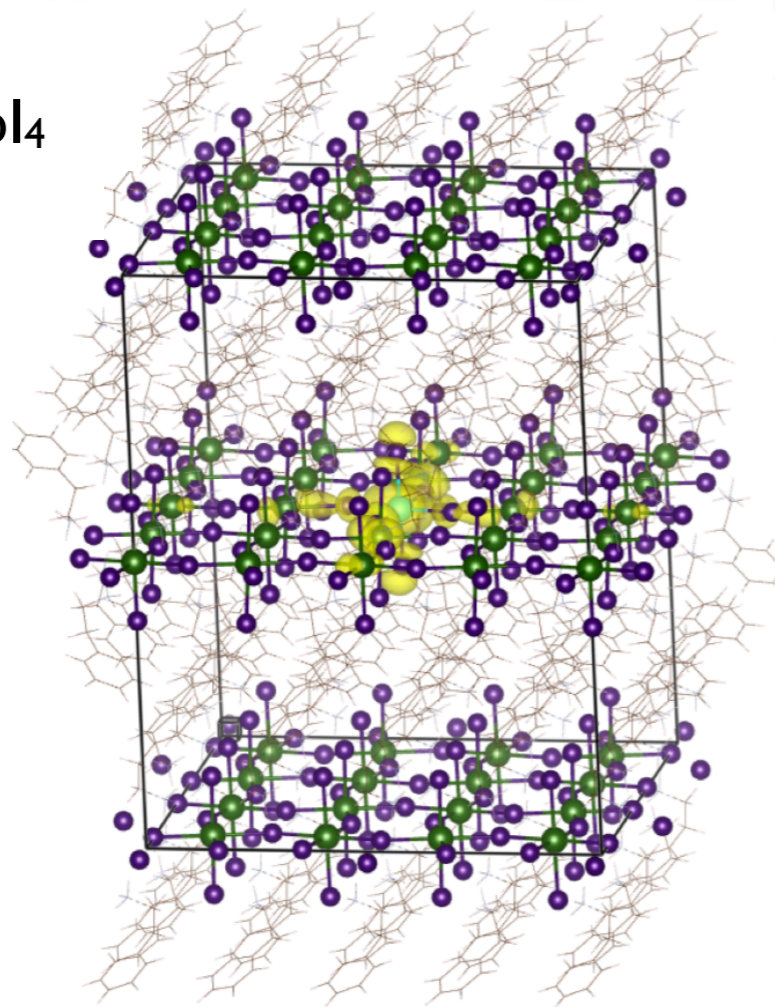
no tricks!



Dr. Yi Yao



Gabrielle
Koknat



Stampede2 Supercomputer - 18 PFlop/s
NSF XSEDE / Texas Advanced Computing
Center

No. 35, Top500 List of Supercomputers,
June 2021

XSEDE

Extreme Science and Engineering
Discovery Environment

This computation: 216 compute nodes
(out of ~6,000 total), ~4 hours

Drastically optimized hybrid DFT implementation (2020-22):

F. Merz (Lenovo), M. Rampp (Max Planck Computing and Data Facility, Munich)

S. Kokott, C. Carbogno, M. Scheffler (Fritz Haber Institute, Berlin)

Y. Yao, V. Blum (Duke University)

The Other Parallelism - Split Communicators

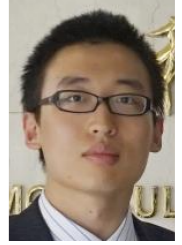
FHI-aims is a subroutine call, which can work cleanly with split MP communicators

```
program multi_aims
[...]
call initialize_mpi()
[...]
call MPI_Comm_split(mpi_comm_global,sub_class,sub_id,mpi_comm_subtask,ierr)
[...]
mpi_comm_global = mpi_comm_subtask
myid = sub_id
n_tasks = sub_tasks
[...]
call change_directory(subfolder)
[...]
if (myid == 0) then
    call aims(mpi_comm_global,myunit,use_mpi)
else
    call aims(mpi_comm_global,6,use_mpi)
end if
[...]
call MPI_Comm_free(mpi_comm_subtask,ierr)
[...]
call finalize_mpi()
```


8,192 Full Geometry Relaxations, Split MPI Communicator

RESEARCH ARTICLE

ADVANCED
OPTICAL
MATERIALS
www.advopticalmat.de



Ruyi Song

Chiral Perovskite Nanoplatelets with Tunable Circularly Polarized Luminescence in the Strong Confinement Regime

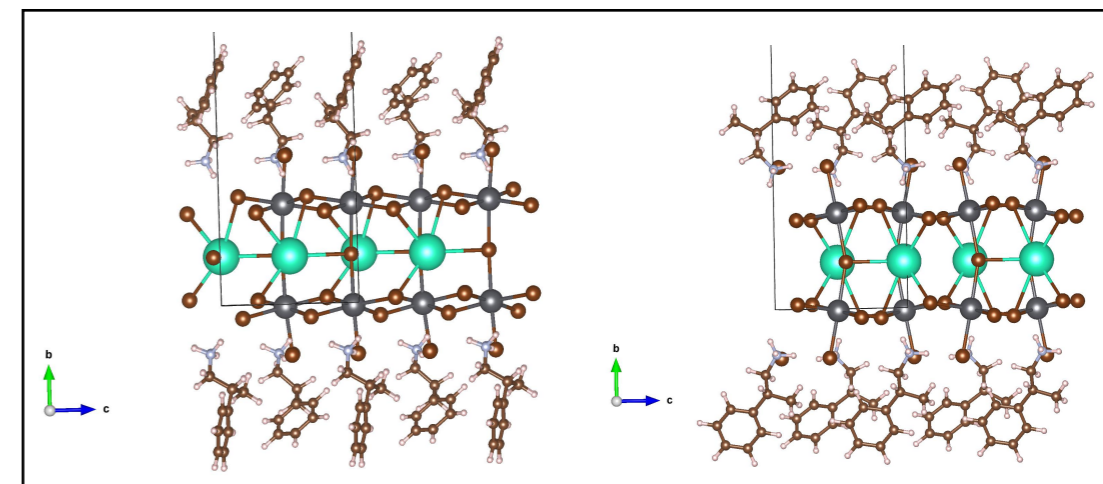
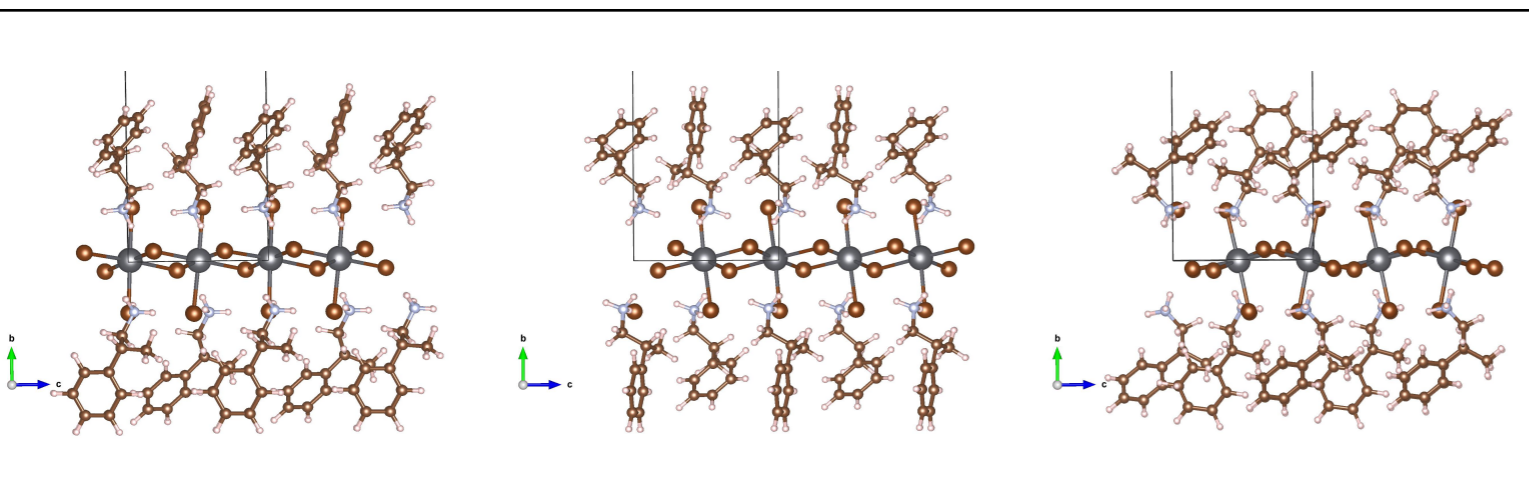
Qinxuan Cao, Ruyi Song, Christopher C. S. Chan, Zhiyu Wang, Pui Ying Wong, Kam Sing Wong, Volker Blum,* and Haipeng Lu*

Adv. Optical Mater. 2023, 2203125 - DOI: 10.1002/adom.202203125

4,096 monolayer flake conformations + 4,096 bilayer flake conformations.

106 atoms & 116 atoms each, periodic slabs

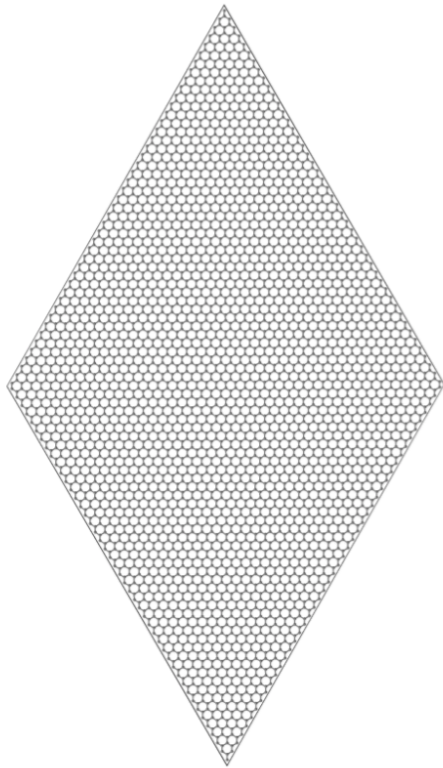
Full relaxation, DFT-PBE+TS, light settings, Perlmutter, batches of 1,024 structures each



→ 5 low-energy models: spin-orbit coupled band structures, spin texture calculations.

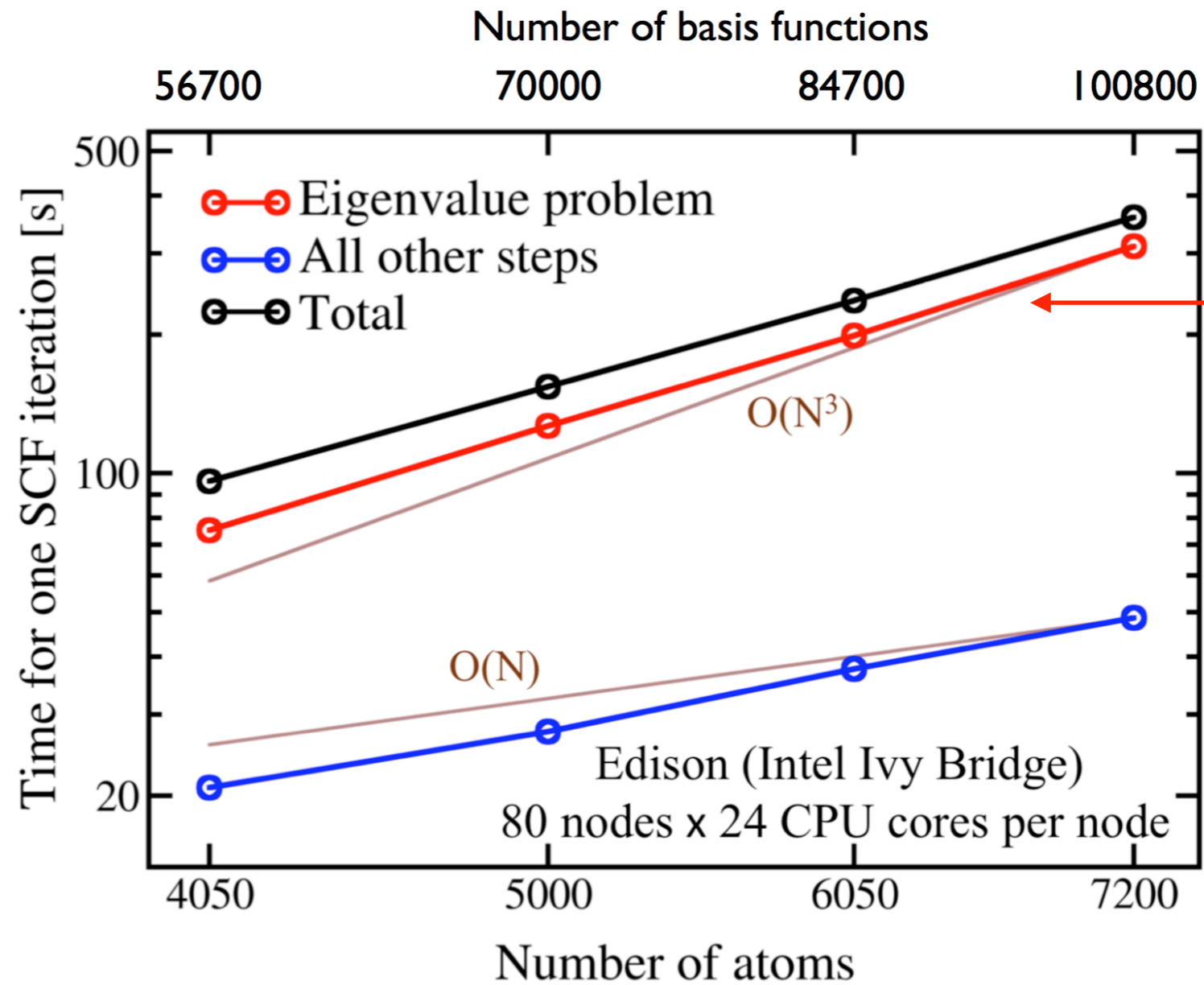
Typical Scaling - $O(N^3)$ Wall

$$H\varphi = \epsilon S\varphi$$



Graphene monolayer,
4050 atoms

FHI-aims, PBE, "light" settings



[ELPA Library
http://elpa.rzg.mpg.de](http://elpa.rzg.mpg.de)

Generic problem for any Kohn-Sham DFT code ... solution strategies?

ELSI - Infrastructure for High-Performance “Solvers”

Nucleus: Emilio Artacho, 2014: *“Dear all, There will be a workshop in CECAM at Lausanne ... aiming to kick-start an electronic structure library. ... I hope you are interested”*

ELSI - Infrastructure for High-Performance “Solvers”

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Electronic Structure Library:



Micael Oliveira, Yann Pouillon, Fabiano Corsetti, Nick Papior, Alin Elena, Martin Lüders, Damien Caliste, many more.

<https://esl.cecama.org>

<https://gitlab.com/ElectronicStructureLibrary>

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<https://gitlab.com/ElectronicStructureLibrary>

Electronic Structure Infrastructure (ELSI): NSF-SI2 - ACI-1450280:

Volker Blum, Jianfeng Lu, Lin Lin, Chao Yang, Alvaro Vazquez-Mayagoitia, Fabiano Corsetti

Why ELSI Works:



Victor Yu
(now ANL)



Yingzhou Li
(Duke)



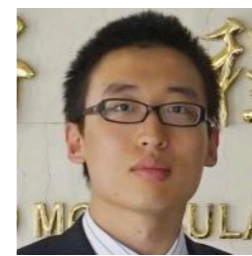
Will Huhn
(now ANL)



Jonathan
Moussa
(MoISSI)



Yi Yao
(Duke)



Ruyi Song
(Duke)

MANY MORE!

Many Scalable Solution to Kohn-Sham Problem

$$\underline{\underline{h}} \underline{\underline{c}}_k = \epsilon_k \underline{\underline{S}} \underline{\underline{c}}_k$$

Different use cases (basis sets, physics), different “solvers”.
Solve (eigenvectors, $O(N^3)$) or circumvent (density matrix)?

Many Scalable Solution to Kohn-Sham Problem

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Different use cases (basis sets, physics), different “solvers”.
Solve (eigenvectors, $O(N^3)$) or circumvent (density matrix)?

Exact solvers

Lapack
Scalapack
ELPA
EigenExa
Magma
...

Robust
General

Iterative solvers

Davidson
Projected
Preconditioned
Conjugate Gradient
Chebychev Filtering
Slepc-SIPS
...

(Essentially) robust
 $N_{\text{basis}} \gg N_{\text{ev}}$

DM: $O(N)$ solvers

NTPoly
Various code-
internal and/or
proprietary
implementations

Sparse H, S
Nonmetallic systems

Other DM-based approaches

PEXSI
Orbital
Minimization
Method
FEAST
...

Sparse H, S
can depend on XC

Many Different Solvers - How to Unify Access?

Electronic structure codes



Solvers

ELPA	NTPoly
EigenExa	PEXSI
SLEPc-SIPs	libOMM
MAGMA	More ...

?

Replicated infrastructure to implement solvers efficiently

?

Conversion between a variety of matrix storage formats

?

Complexity in solver selection for different problems

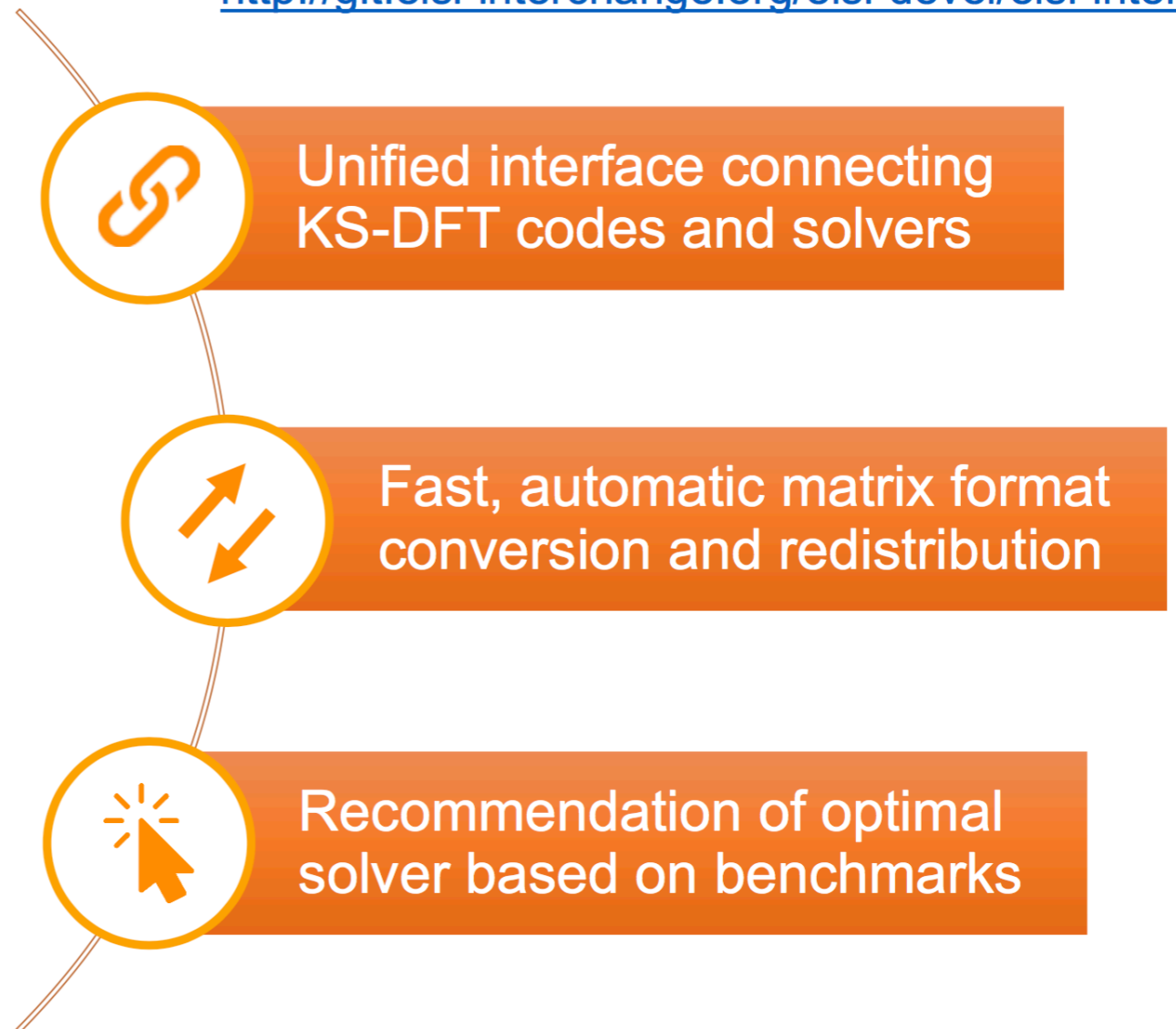
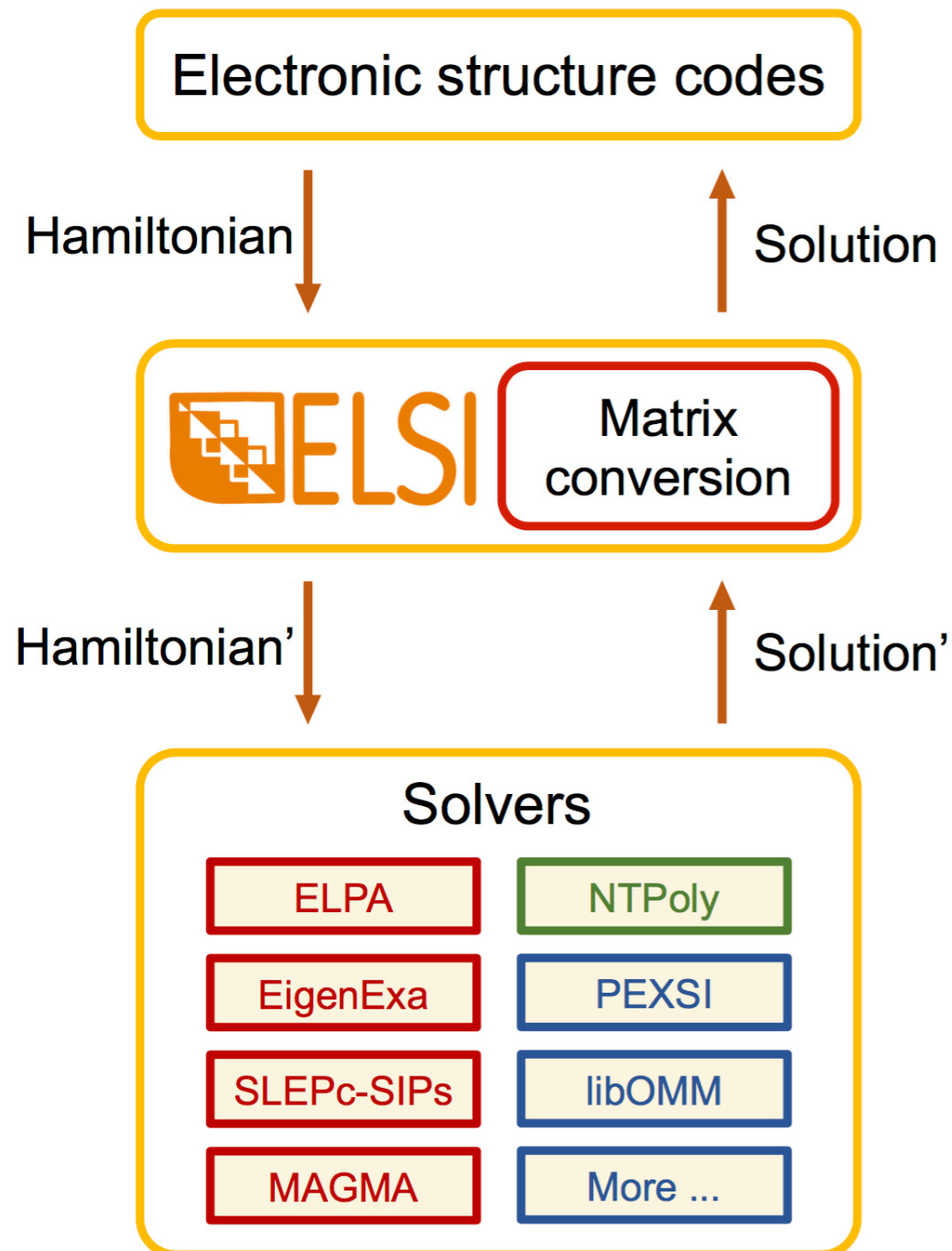
ELSI: Connecting Electronic Structure Codes and Solvers

Yu et al., "ELSI – An Open Infrastructure for Electronic Structure Solvers"
Comp. Phys. Commun. 256, 107459 (2020).

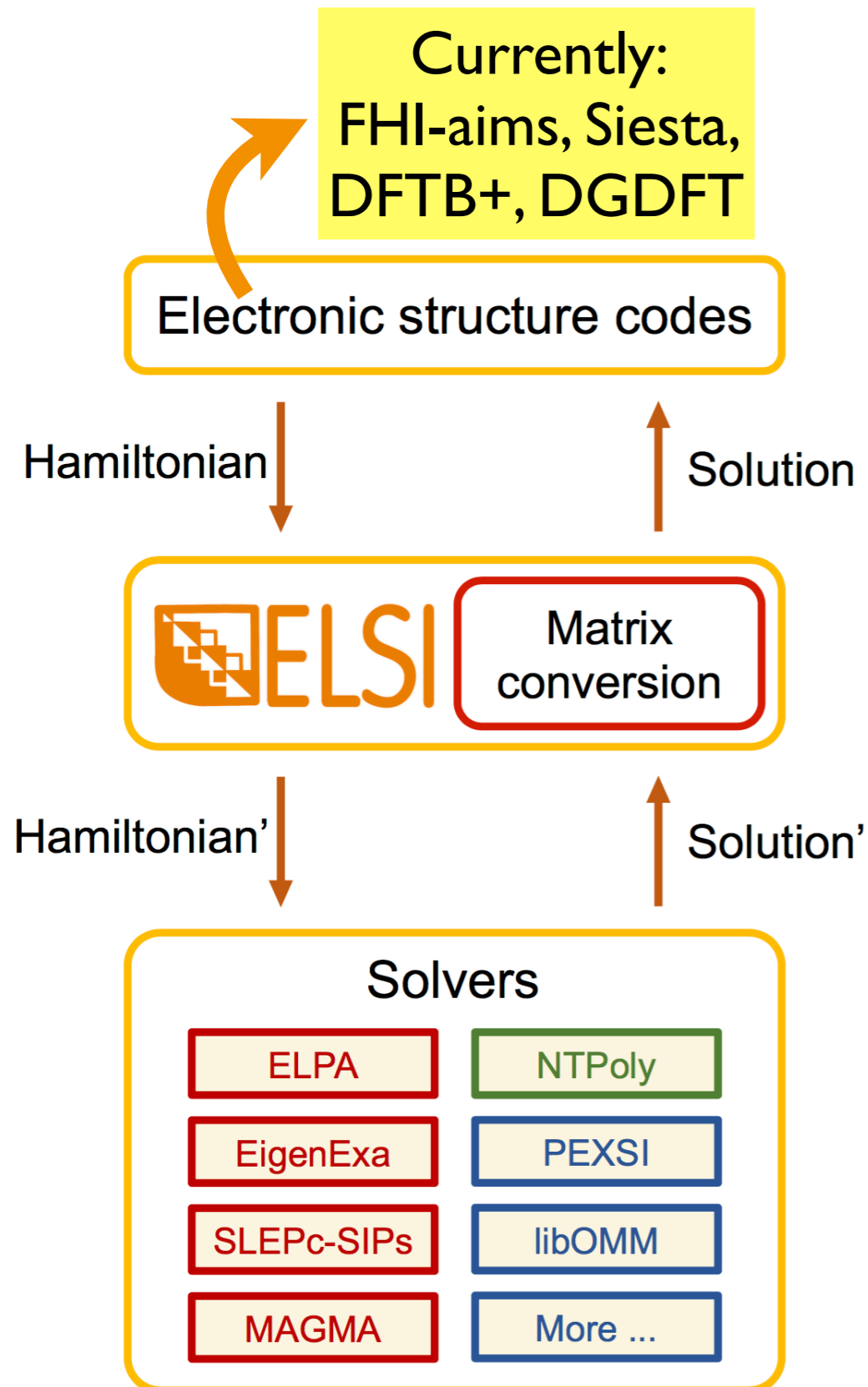
Yu et al., *Comput. Phys. Commun.* 2018

<http://elsi-interchange.org>

<http://git.elsi-interchange.org/elsi-devel/elsi-interface>



ELSI: Connecting Electronic Structure Codes and Solvers

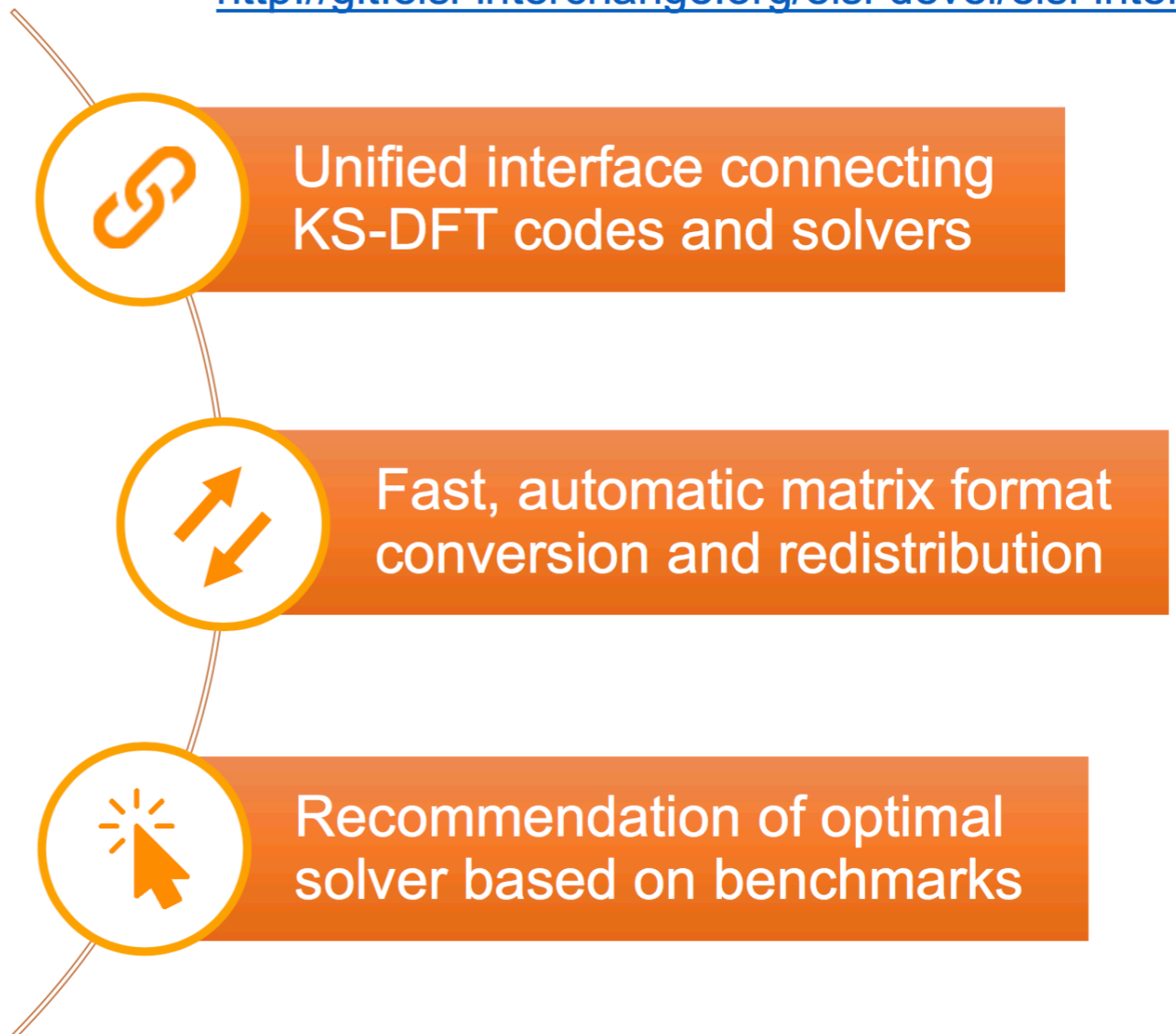


Yu et al., "ELSI – An Open Infrastructure for Electronic Structure Solvers"
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Yu et al., *Comput. Phys. Commun.* 2018

<http://elsi-interchange.org>

<http://git.elsi-interchange.org/elsi-devel/elsi-interface>



ELSI: Current Functionality

- Designed for rapid integration into a variety of electronic structure codes
- Compatible with common workflows
 - Single self-consistent field (SCF)
 - Multiple SCF cycles (geometry relaxation or molecular dynamics)
- Supports density matrix solvers and eigensolvers on equal footing
- Technical settings adjustable for experienced users
- Object-oriented: Concurrent instances

• **python interface (elsipy)**

Currently supported “solvers”:

- Lapack
- ELPA
- EigenExa
- MAGMA
- PEXSI
- NTPoly
- libOMM
- SLEPc-SIPS
- BSEPACK
- **ChaSE - E. de Napoli et al.**

Distributed-Parallel GPU Solver: ELPA2-GPU

V.W.-z. Yu, J. Moussa, P. Kúš, A. Marek, P. Messmer, M. Yoon, H. Lederer, V. Blum,
Comp. Phys. Commun. 262, 107808 (2021).



Victor Yu
(now ANL)



Jonathan
Moussa
(MoISSI)

Approach:

- cuBLAS / CUDA / MPI (all cores/node, no OpenMP)
- cuBLAS offloading wherever possible
- NVidia Multi-Process Service (MPS); data transfer, computation overlapped
- New (rewritten) CUDA kernel for tridiagonal-to-banded back transformation

$$(I - \tau v v^*) \mathbf{x} = \mathbf{x} - \tau v (v^* \mathbf{x}).$$

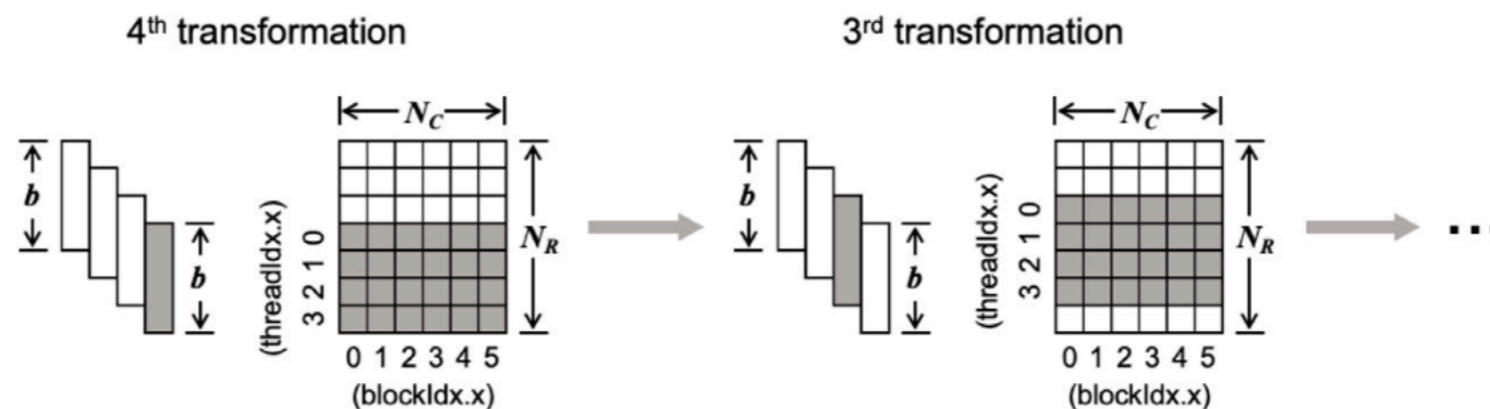


Fig. 4. Workflow of the Householder transformation CUDA kernel. The semi-bandwidth is $b = 4$. Four Householder transformations are applied to the local eigenvector matrix with $N_R = 7$ rows and $N_C = 6$ columns. As indicated by the block index (`blockIdx.x`) and thread index (`threadIdx.x`), a CUDA block works on a column of the eigenvector matrix, while a thread within a block works on a single element. From the n -th iteration to the $(n - 1)$ -th iteration, the work set of the eigenvector matrix is shifted upward by one element.

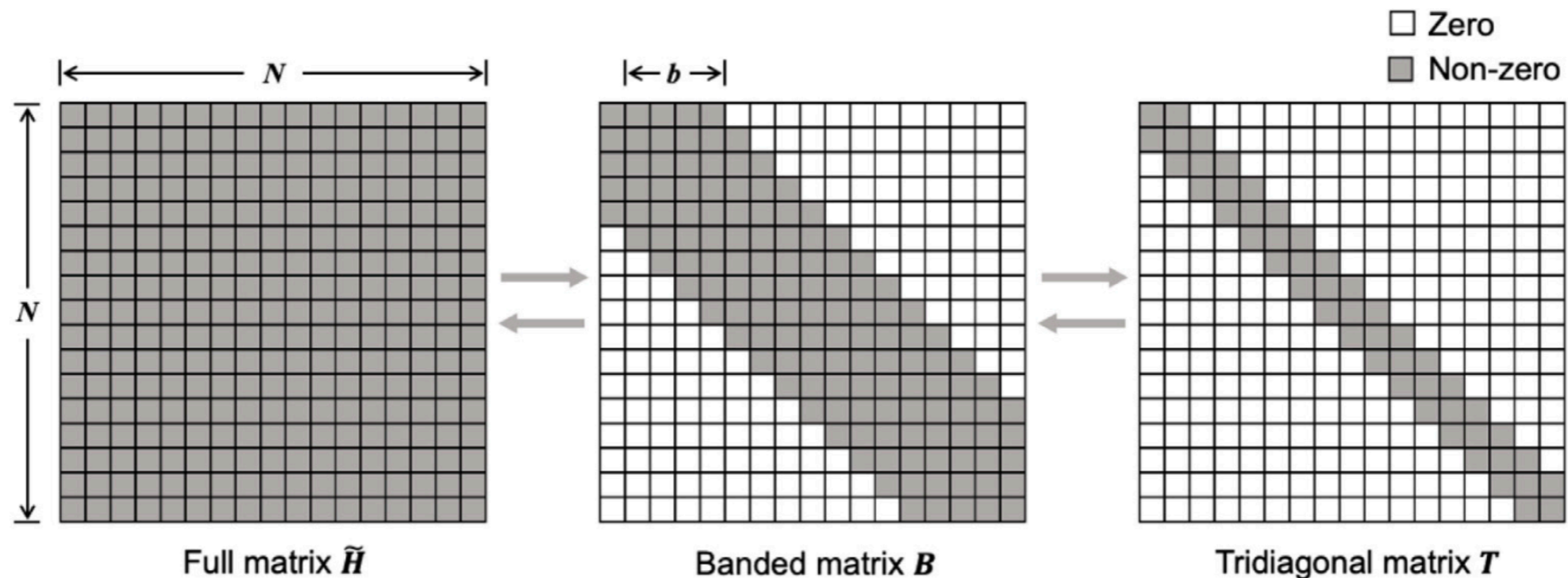
Distributed-Parallel GPU Solver: ELPA2-GPU



V.W.-z. Yu, J. Moussa, P. Kúš, A. Marek, P. Messmer, M. Yoon, H. Lederer, V. Blum,
Comp. Phys. Commun. 262, 107808 (2021).

Kus *et al.*, 2019: GPU port of ELPA1 - however, 2-stage solver approach not efficient?

2-stage symmetric band reduction:



... in past, expected to scale to larger core / MPI rank counts than ELPA1.

... competitive advantage if M eigenpairs $< N$ (dimension) sought.

Net Result: Speedup, Extended Scaling by ELPA2-GPU

ELPA2-GPU - all M eigenpairs (worst case)

Talos Cluster (MPCDF)

40 Intel cores + 2 V100 / node

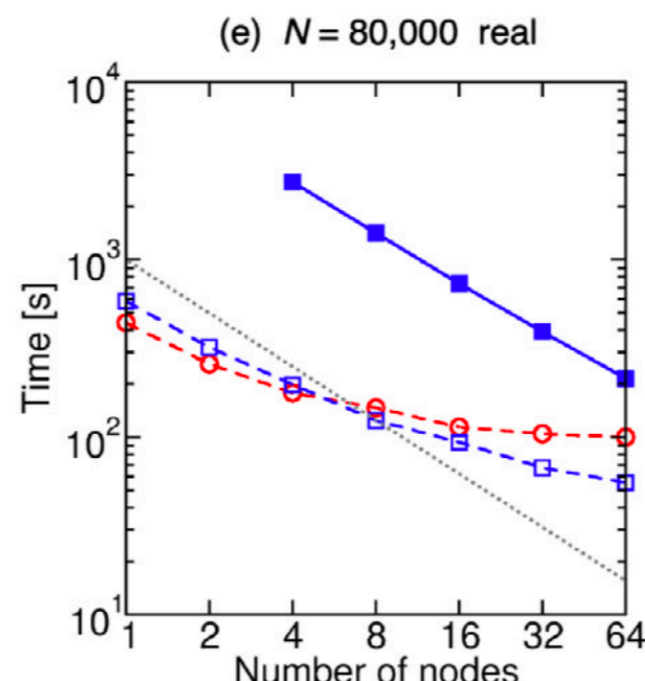
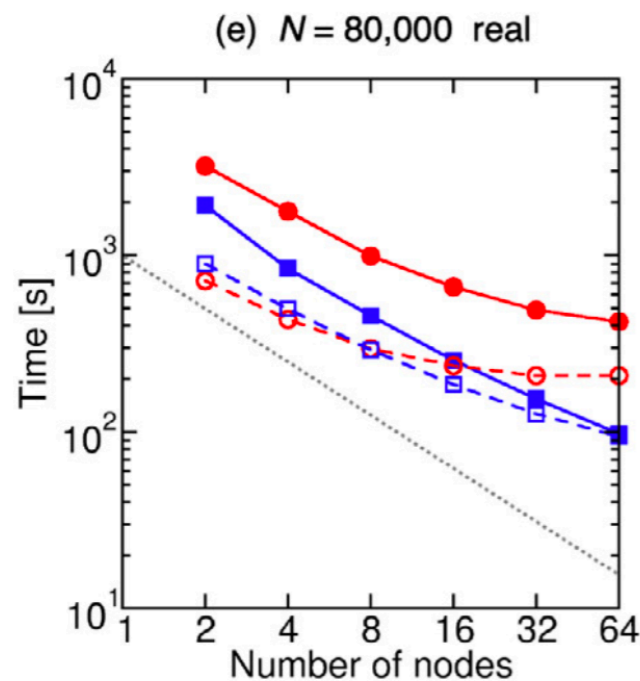
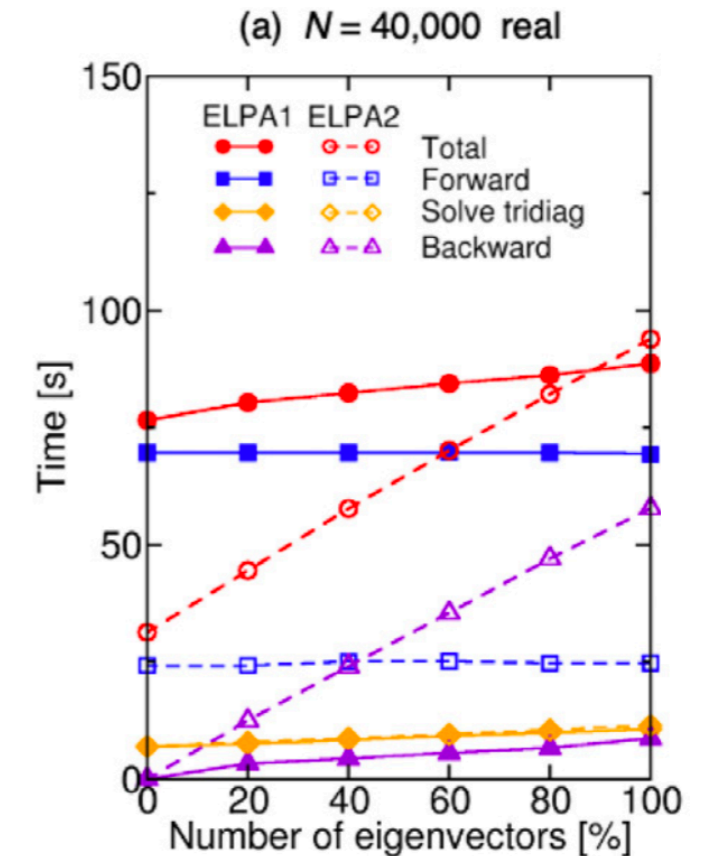
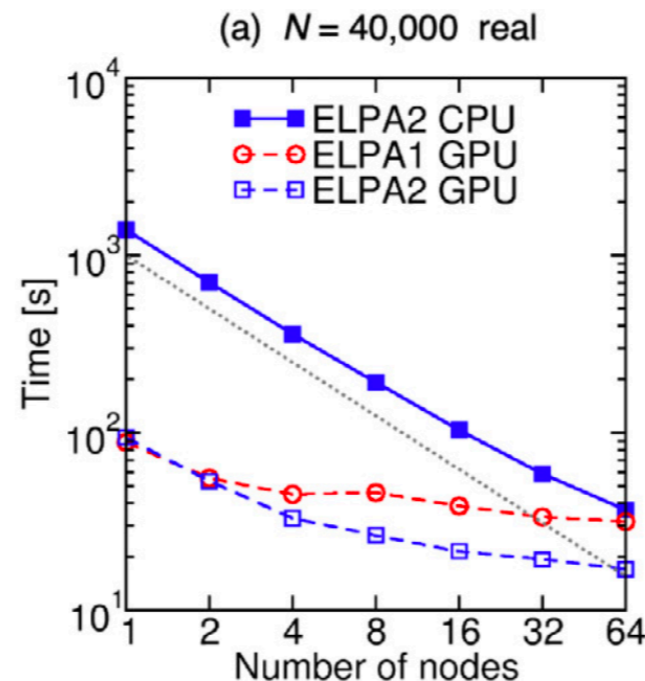
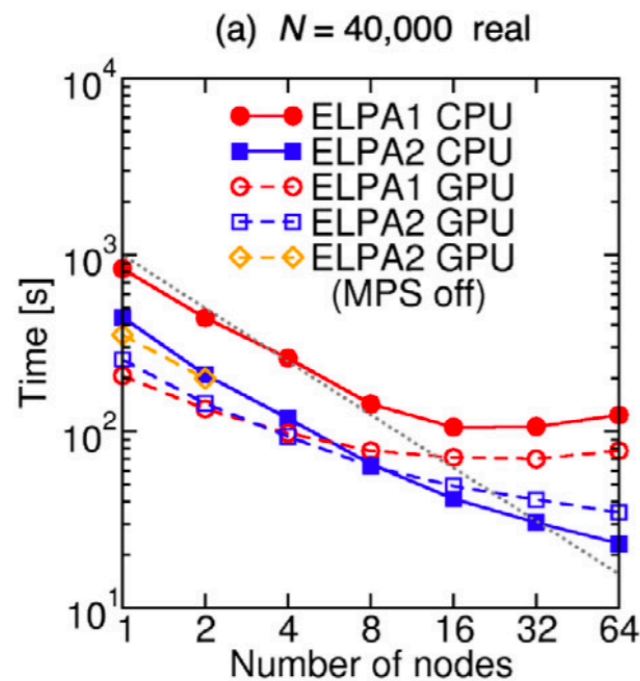
Summit (OLCF)

42 Power9 cores + 6 V100 / node

ELPA2-GPU

Advantage for $M < N$

Summit (OLCF), 1 node



FHI-aims+ELSI Coupled with Google TPUs

JCTC
Journal of Chemical Theory and Computation

J. Chem. Theory Comput. 2023, 19, 1, 25–32

pubs.acs.org/JCTC

Letter

Large Scale Quantum Chemistry with Tensor Processing Units

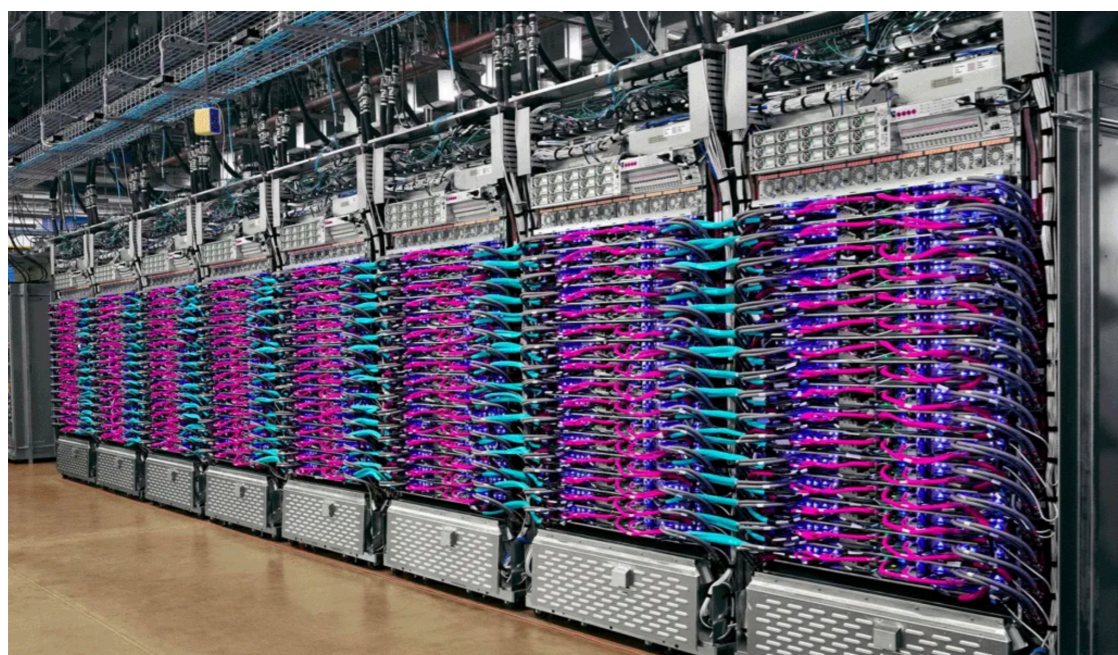
Ryan Pederson,* John Kozłowski, Ruyi Song, Jackson Beall, Martin Ganahl, Markus Hauru, Adam G. M. Lewis, Yi Yao, Shrestha Basu Mallick, Volker Blum, and Guifre Vidal



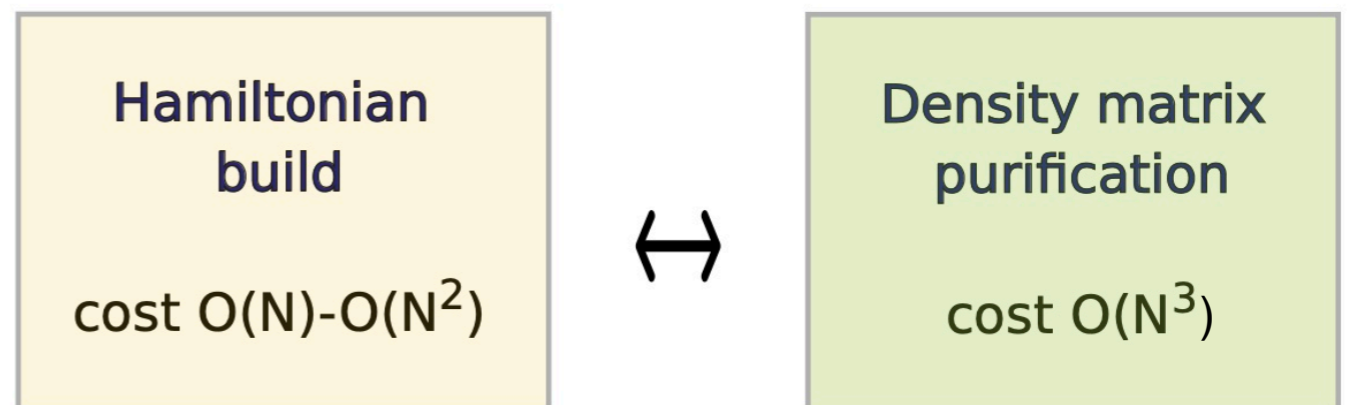
Cite This: <https://doi.org/10.1021/acs.jctc.2c00876>



Read Online



Google TPU pod v3, > 100 PFlops



run on **CPUs**

FHI-aims & ELSI

run on **TPUs**

JAX & distributed
linear algebra library

↑
Prototype: Disk transfer
of ELSI CSC stored matrices

FHI-aims+ELSI Coupled with Google TPUs

J. Chem. Theory Comput. 2023, 19, 1, 25–32

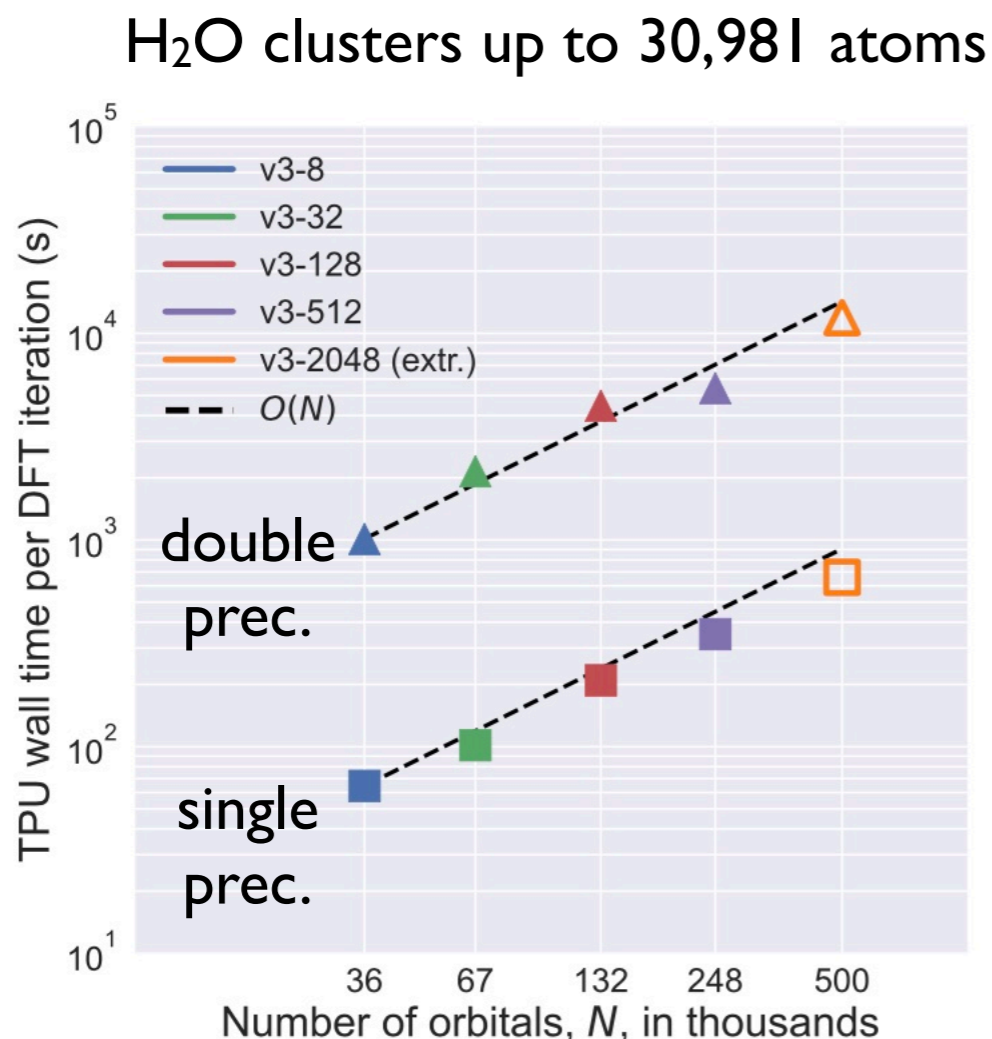


FIG. 1. TPU v3 wall time for $O(N^3)$ density matrix purification, Eqs. (5)-(7), as a function of the number N of orbitals, for clusters of water molecules, both in single (squares) and double (triangles) precision. A full TPU v3 pod with 2048 cores can handle $N \sim 500\,000$ orbitals (extrapolated results).

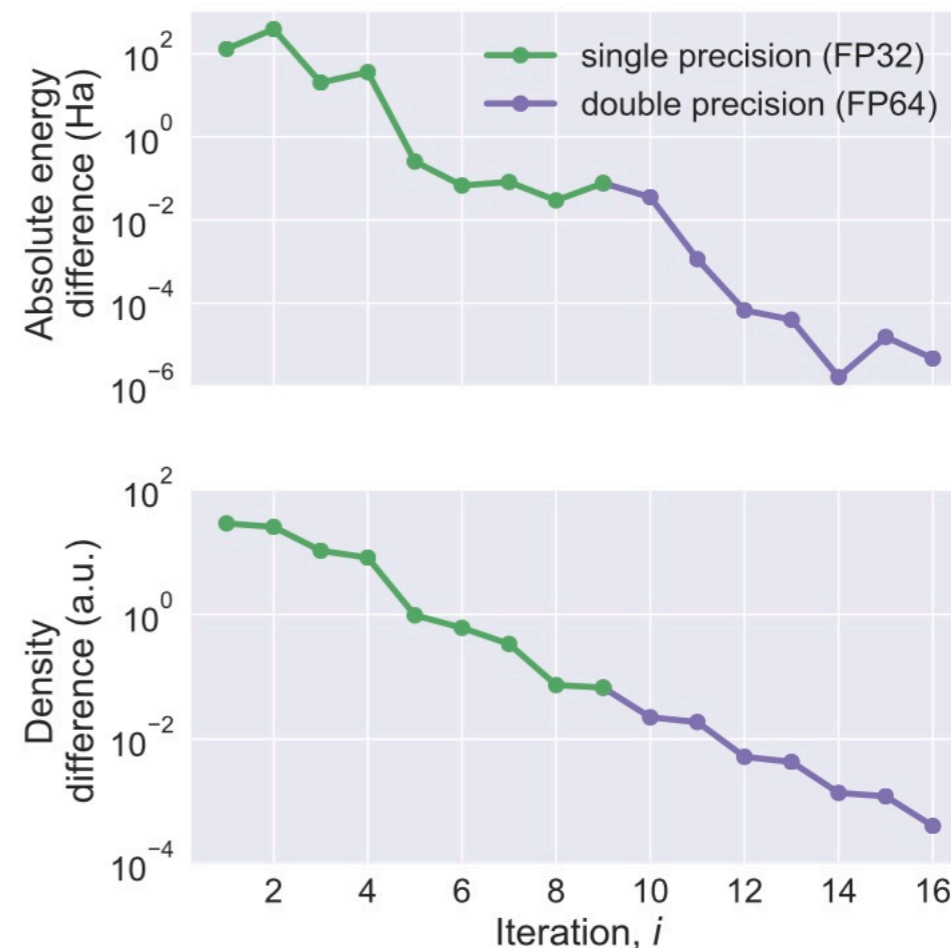



FIG. 4. Convergence trajectory of an end-to-end dynamic precision DFT calculation on a $(\text{H}_2\text{O})_{10327}$ cluster. The absolute total energy differences between subsequent DFT iterations, i and $i - 1$, are plotted (top). The corresponding difference in real-space densities within the L^1 norm is plotted (bottom).

Solvers Aren't Everything - Far From Exact Theory for Large Systems

DFT: So-called “band gap” problem.

Actually, quasiparticle states need many-body theory, not DFT - but not affordable beyond (maybe) few hundreds of atoms.

Many substitute hybrid DFT instead.

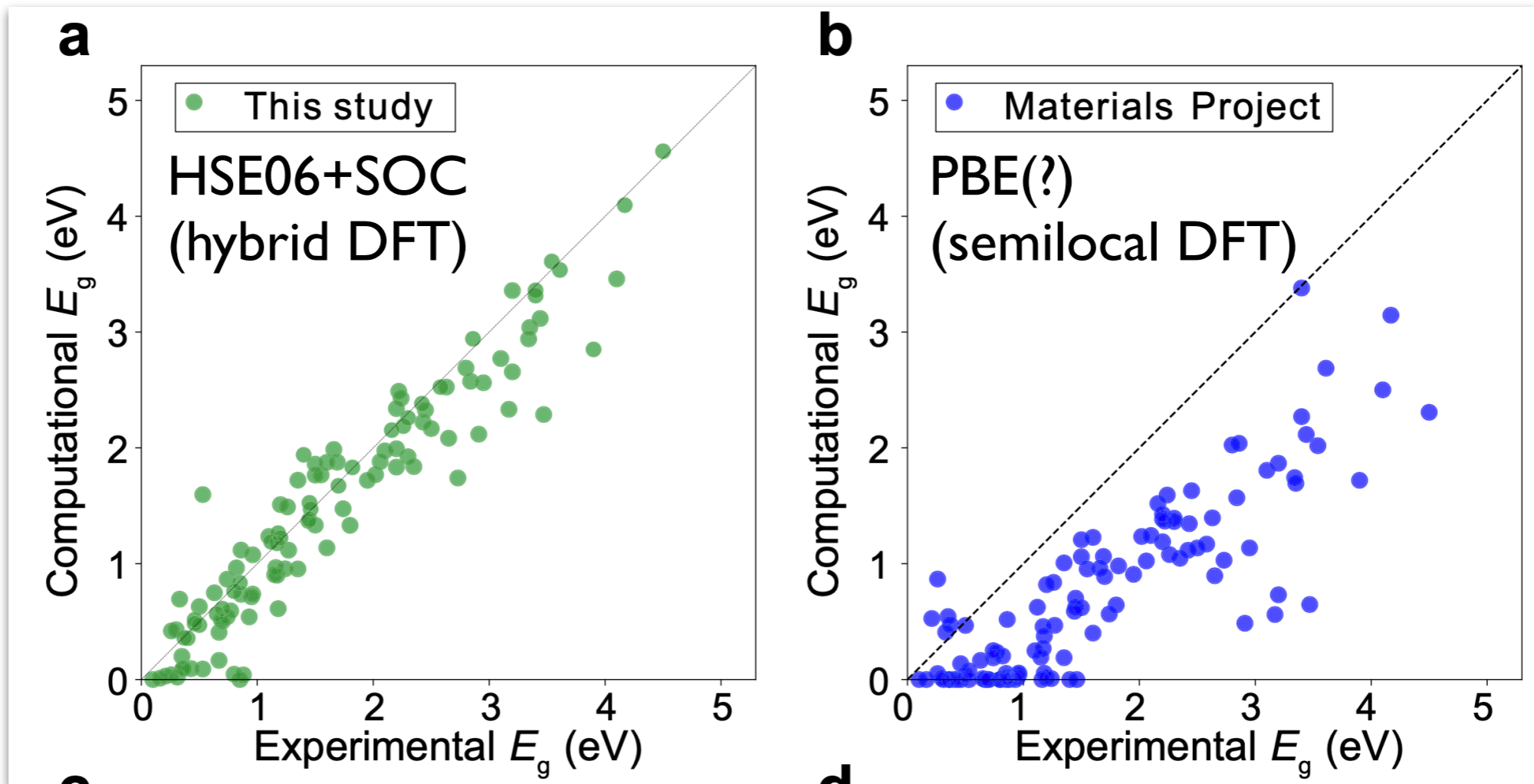
SCIENTIFIC DATA 

OPEN DATA DESCRIPTOR

A band-gap database for semiconducting inorganic materials calculated with hybrid functional

Sangtae Kim^{1,3}, Miso Lee^{1,3}, Changho Hong¹, Youngchae Yoon¹, Hyungmin An¹, Dongheon Lee¹, Wonseok Jeong¹, Dongsun Yoo¹, Youngho Kang², Yong Youn^{1,4} & Seungwu Han^{1,4}

[Check for updates](#)



Localized “Resolution of Identity” (RI) for Two-Electron Terms

$$(ij|kl) = \int d^3r d^3r' \frac{\varphi_i(\mathbf{r})\varphi_j(\mathbf{r}')\varphi_k(\mathbf{r})\varphi_l(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

$$\varphi_i(\mathbf{r})\varphi_j(\mathbf{r}) = \sum_{\mu} C_{ij}^{\mu} P_{\mu}(\mathbf{r})$$

$$C_{ij}^{\mu} = \sum_{\nu} (ij|\nu) V_{\nu\mu}^{-1}$$

Problem: Full RI-V delocalizes C across entire system

Localized “Resolution of Identity” (RI) for Two-Electron Terms

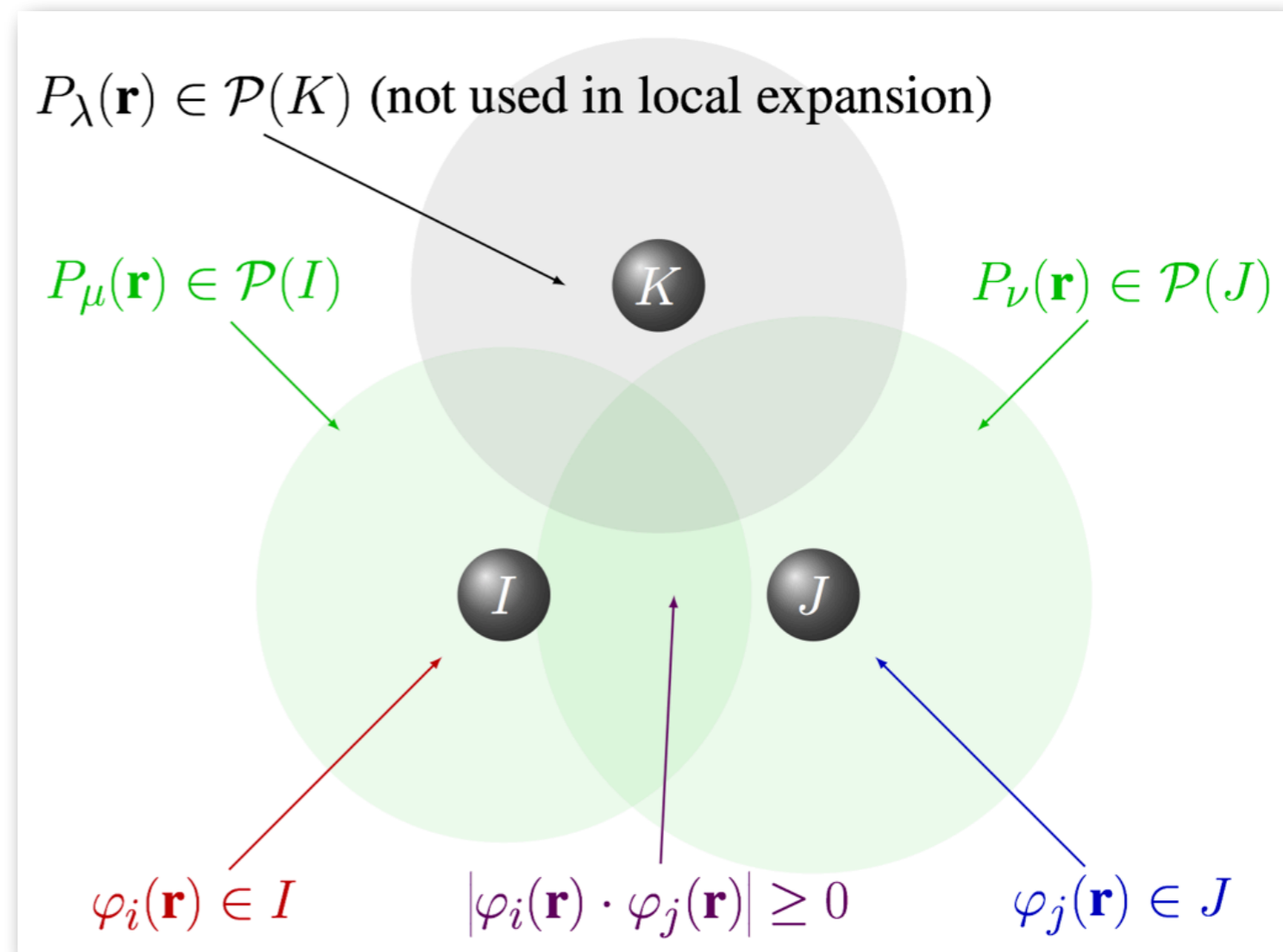
$$(ij|kl) = \int d^3r d^3r' \frac{\varphi_i(\mathbf{r})\varphi_j(\mathbf{r}')\varphi_k(\mathbf{r})\varphi_l(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

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$$C_{ij}^{\mu} = \sum_{\nu} (ij|\nu) V_{\nu\mu}^{-1}$$

Problem: Full RI-V delocalizes C across entire system

Solution: For each C_{ij}^{μ} , restrict μ only to atoms I and J at which i and j are centered.

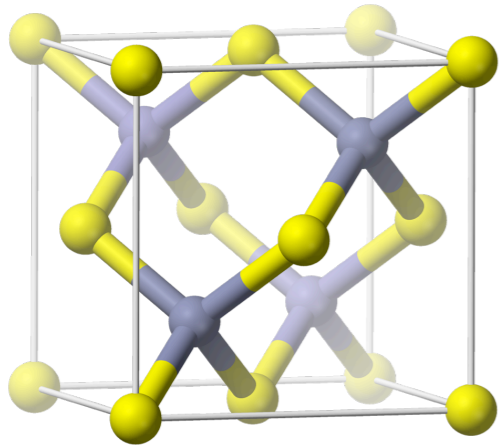


Hybrid DFT in FHI-aims 2015

*Ihrig, Wieferink, Zhang, Ropo, Ren, Rinke, Scheffler, Blum, New J. Phys. **17**, 093020 (2015)*
*Levchenko, Ren, Wieferink, Rinke, Johanni, Blum, Scheffler, Comp. Phys. Commun. **192**, 60-69 (2015).*

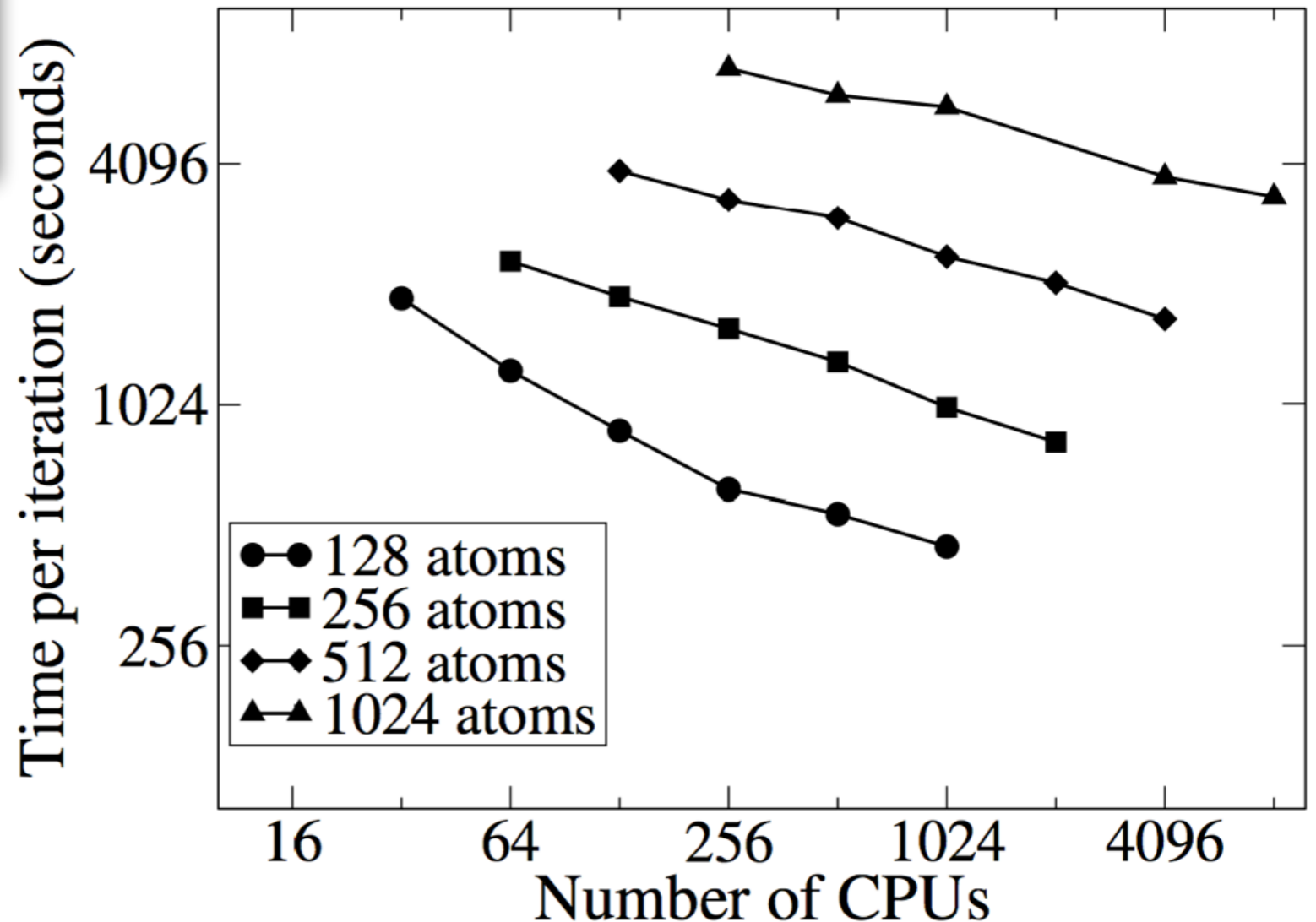
$O(N)$ scaling implementation,
localized resolution of identity.

Note: Localized RI also works for MP2,
RPA, GW, etc. (but not $O(N)$)



Zincblende GaAs

Computational Scaling of Periodic GaAs, “tight” HSE06 Hybrid Density-Functional Theory



... proved incredibly useful, but not enough. Can we be faster, larger?

Hybrid DFT in FHI-aims 2023

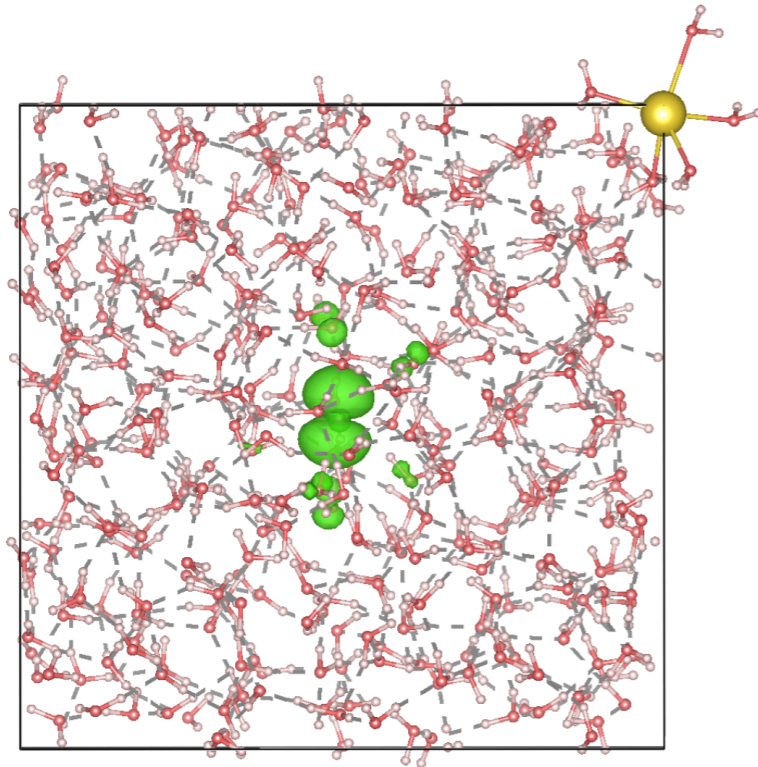
Florian Merz¹, Andreas Marek², **Sebastian Kokott**³, Christian Carbogno³, Yi Yao^{3,5}, Mariana Rossi⁴, Markus Rampp², Matthias Scheffler³, and Volker Blum⁵

Charge Localization

Saline Liquide = $\text{Na}^+ + \text{Cl}^- + (\text{H}_2\text{O})_{360}$

PBE0($\alpha=0.5$), T=300K

In green: Highest occ. state localized at Cl



Band Gaps/Defect Levels

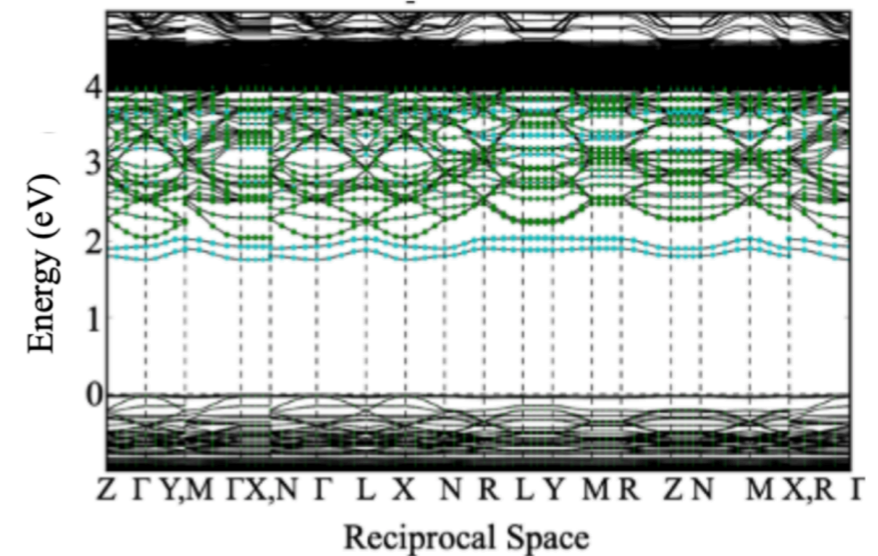
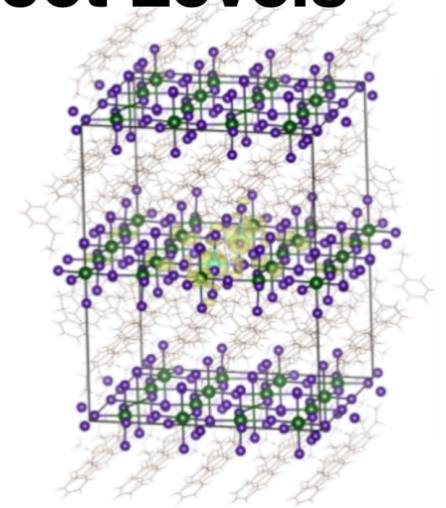
phenylethylammonium lead iodide

$\text{PEA}_{64}(\text{Pb}_{29}\text{Bi}_2\text{I}_{128})$

=1503 atoms

HSE06 + pSOC

Haipeng Lu, Gabrielle Koknat et al.,
submitted (2022)



¹Lenovo HPC Innovation Center, Stuttgart

²Max Planck Computing and Data Facility, Garching

³The NOMAD Laboratory at the FHI-MPG and IRIS-HU, Berlin

⁴MPI for the Structure and Dynamics of Matter, Hamburg

⁵Duke University, Durham, North Carolina, USA



Molecular Simulations from First Principles e.V.



Code Improvements (Hard Work But Effective)

$$(ij|kl) = \int d^3r d^3r' \frac{\varphi_i(\mathbf{r})\varphi_j(\mathbf{r}')\varphi_k(\mathbf{r})\varphi_l(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|}$$

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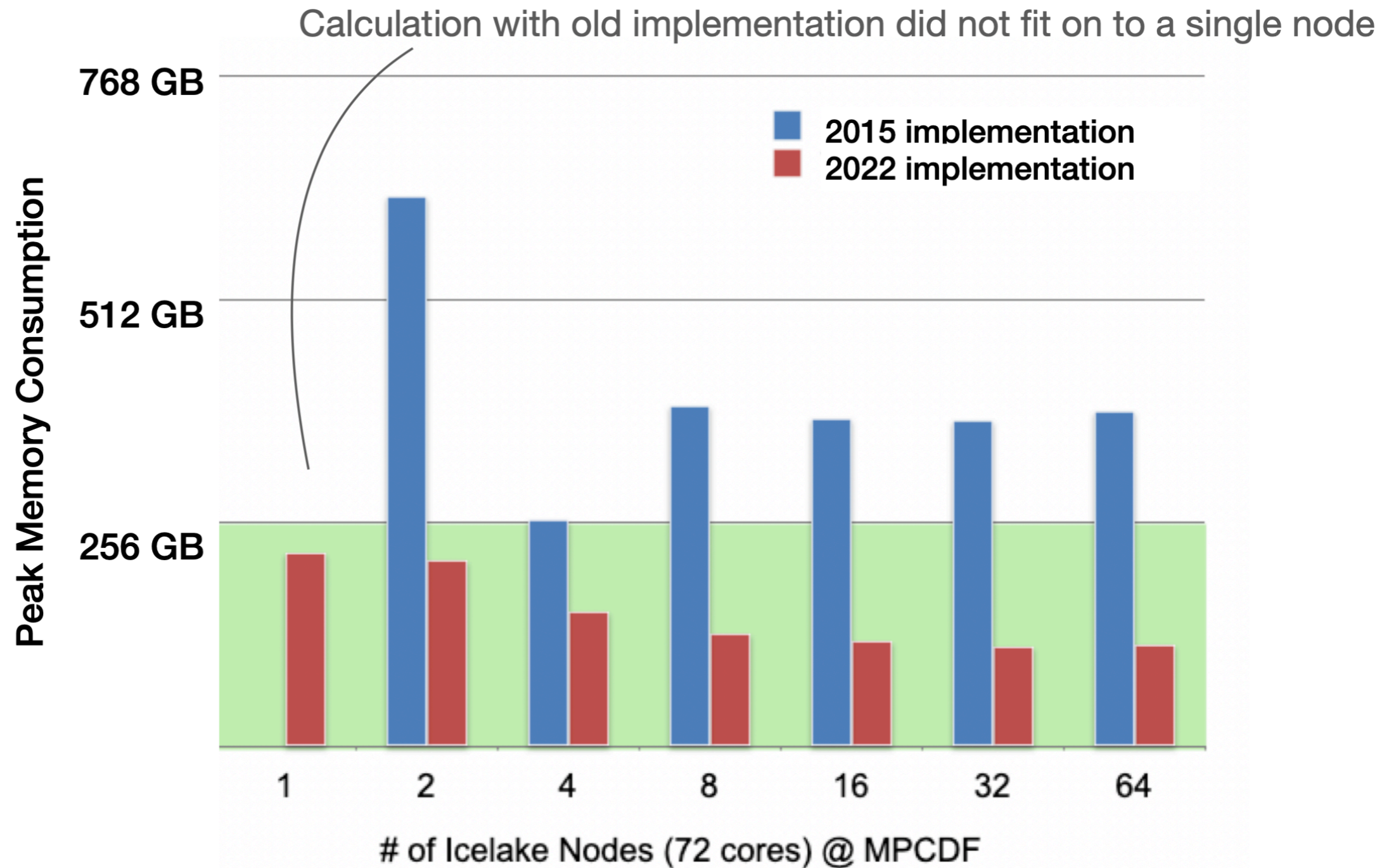
CPUs only!



- **MPI-3 intra-node shared memory arrays**
 - Facilitate data redistribution via one-sided MPI routines; e.g. Coulomb matrix
- **Compression of the RI-coefficients; exploiting sparsity in real-space**
 - Same screening mechanism as for Coulomb matrix (2015)
- **Auto-tuning mechanism for blocking the data**
 - Minimize communication, maximize memory/node usage
- **Additional parallelization layers**
 - Finer granulation on the level of basis functions (previously: on the level of atoms)
- **Auto-tuning for an optimal workload balance**

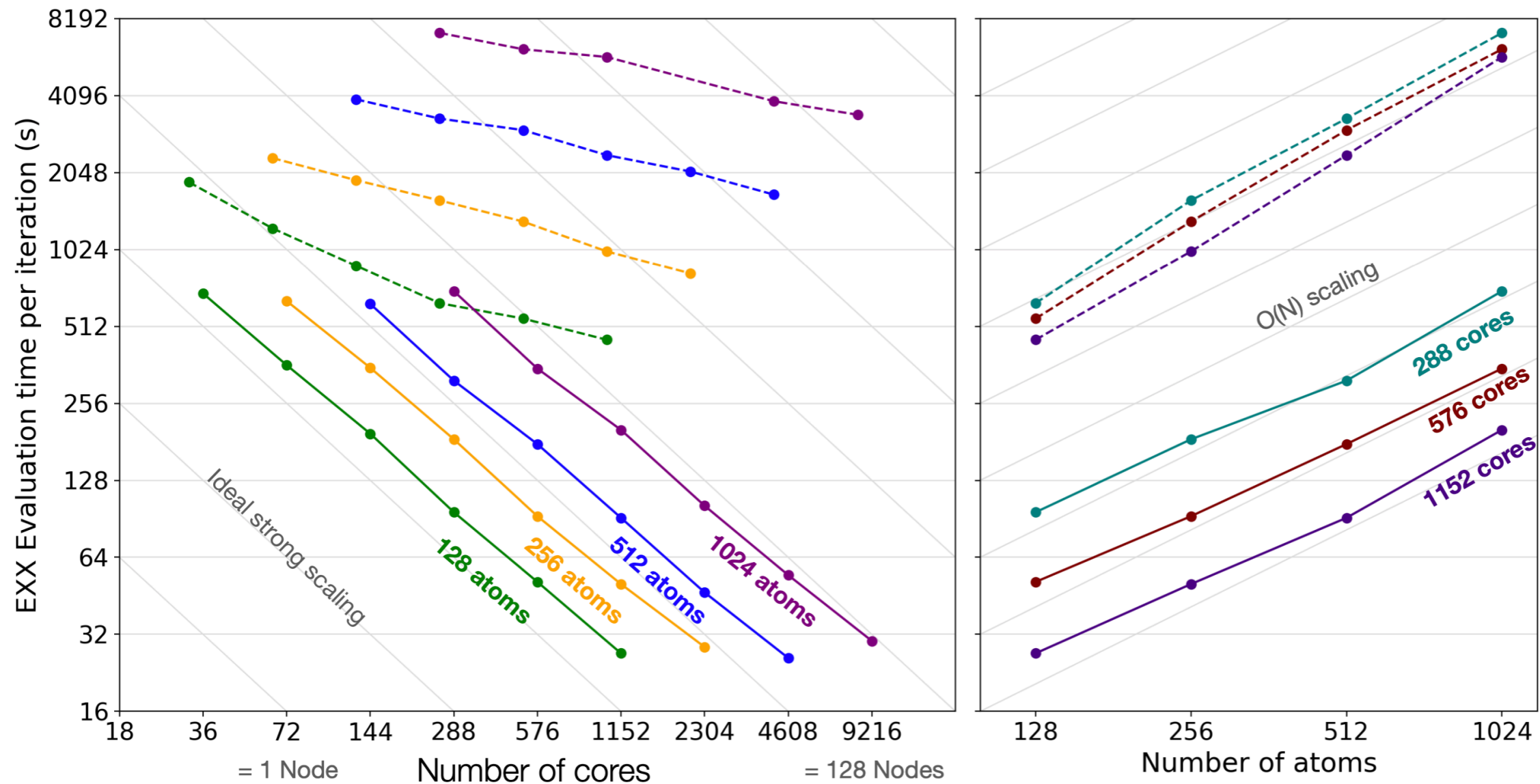
Memory Optimizations

GaAs supercell, 512 atoms: HSE06, tight settings



Drastic Scalability Improvements*

GaAs supercells: HSE06, tight settings



Hydra @ MPCDF
Intel Sandy Bridge
32 cores / node

Raven @ MPCDF
Intel Xeon IceLake
(Platinum 8360Y)
72 cores / node
256 GB / node



MAX PLANCK
COMPUTING & DATA FACILITY

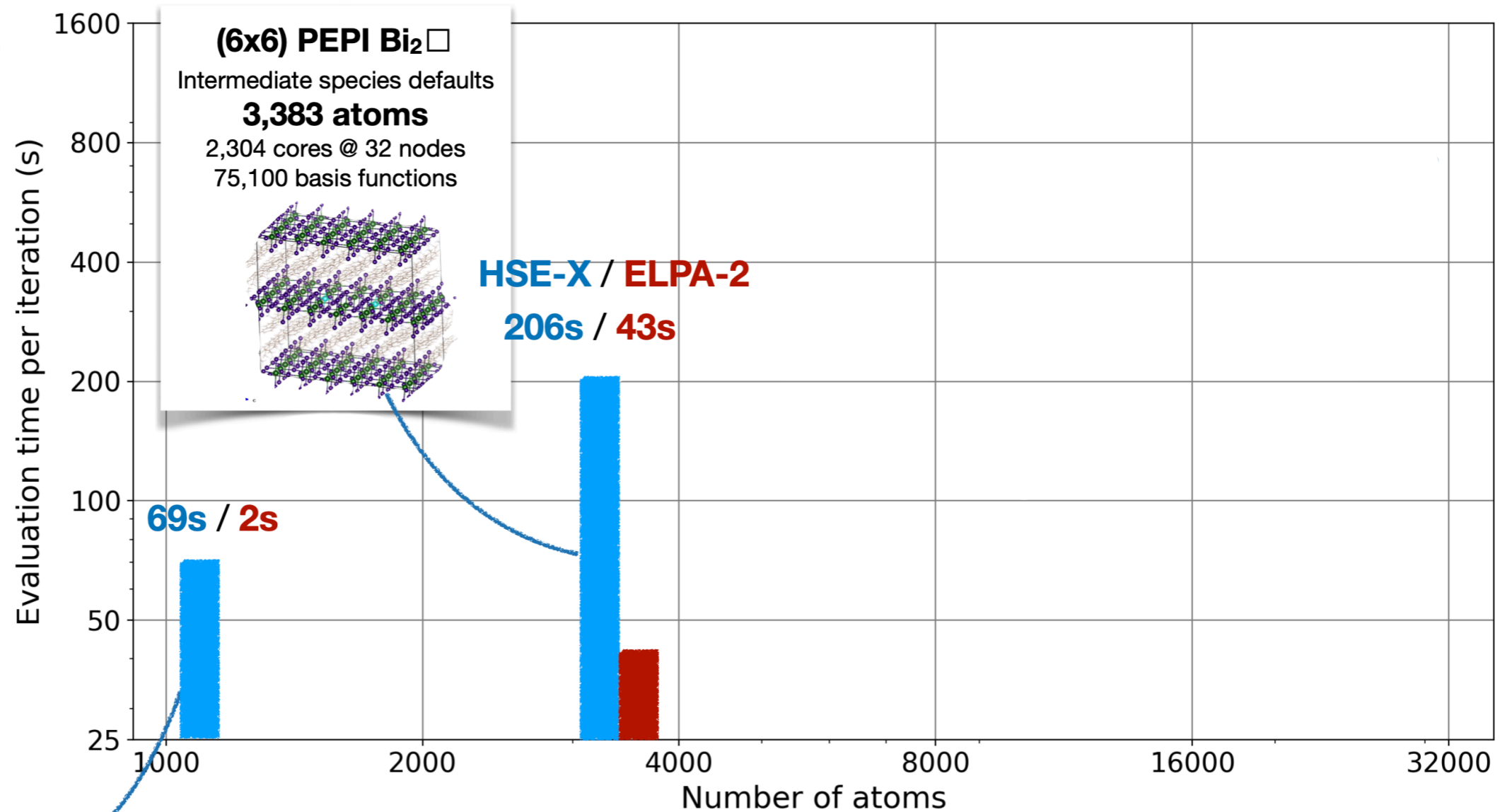
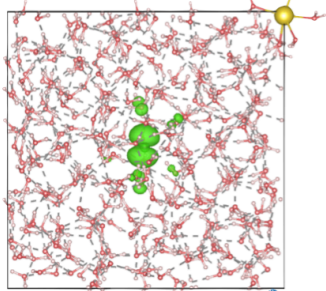
*Not so simple. Our 2015 implementation had already seen some years of work by several very smart and focused individuals.

Scalability: Hybrid DFT for Very Large Systems

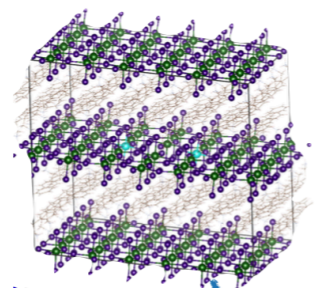
Raven @ MPCDF
Intel Xeon IceLake
(Platinum 8360Y)
72 cores / node
256 GB / node



**Saline Liquide
(PBE0)**
Intermediate species defaults
1,082 atoms
576 cores @ 8 nodes
18,780 basis functions



(6x6) PEPI Bi₂□
Intermediate species defaults
3,383 atoms
2,304 cores @ 32 nodes
75,100 basis functions



HSE-X / ELPA-2
206s / 43s

Scalability: Hybrid DFT for Very Large Systems

Raven @ MPCDF
Intel Xeon IceLake
(Platinum 8360Y)
72 cores / node
256 GB / node



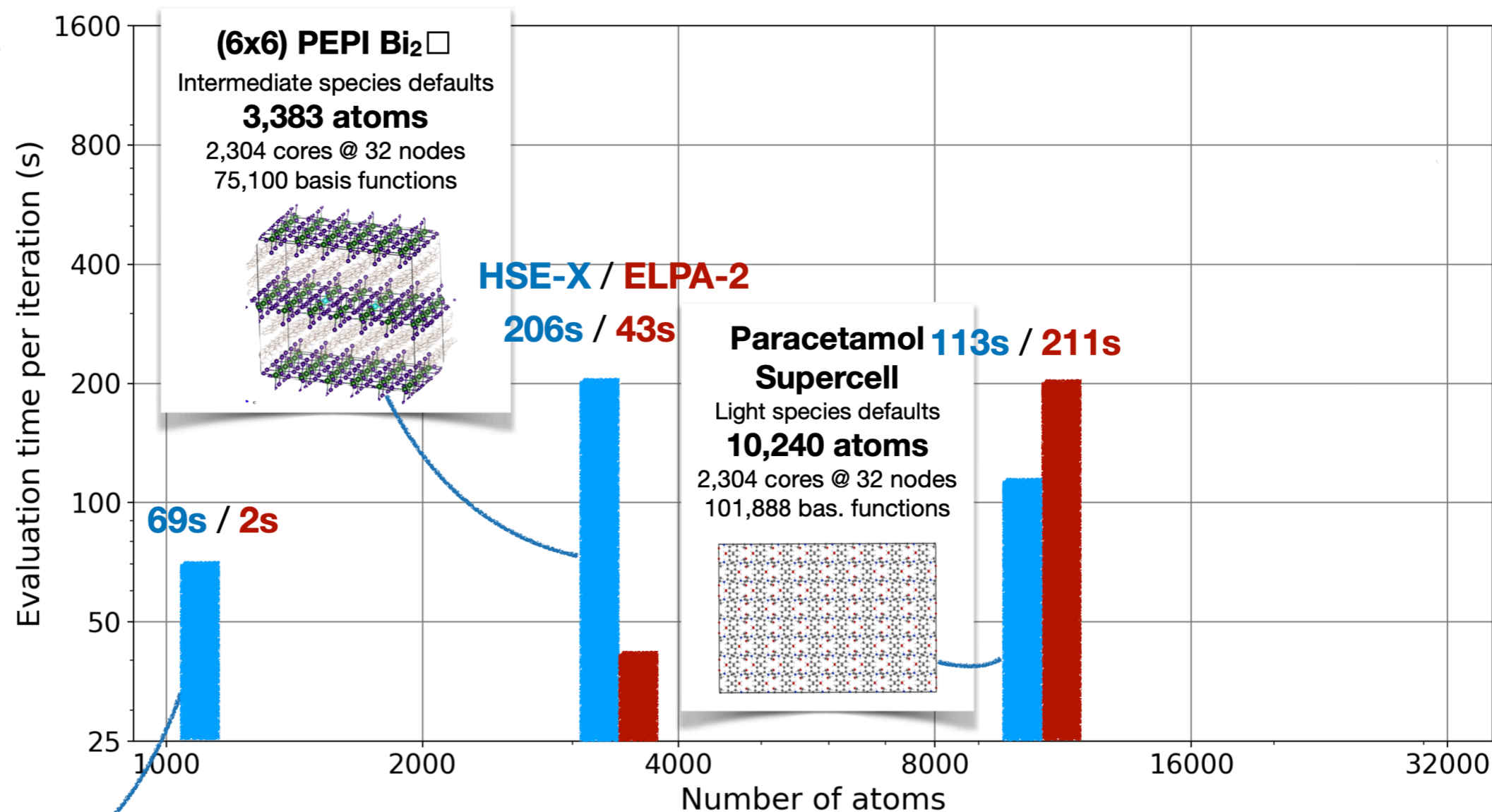
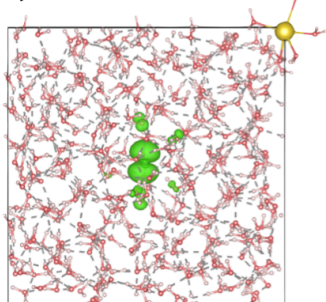
MAX PLANCK
COMPUTING & DATA FACILITY

Saline Liquide (PBE0)

Intermediate species defaults

1,082 atoms

576 cores @ 8 nodes
18,780 basis functions



Scalability: Hybrid DFT for Very Large Systems

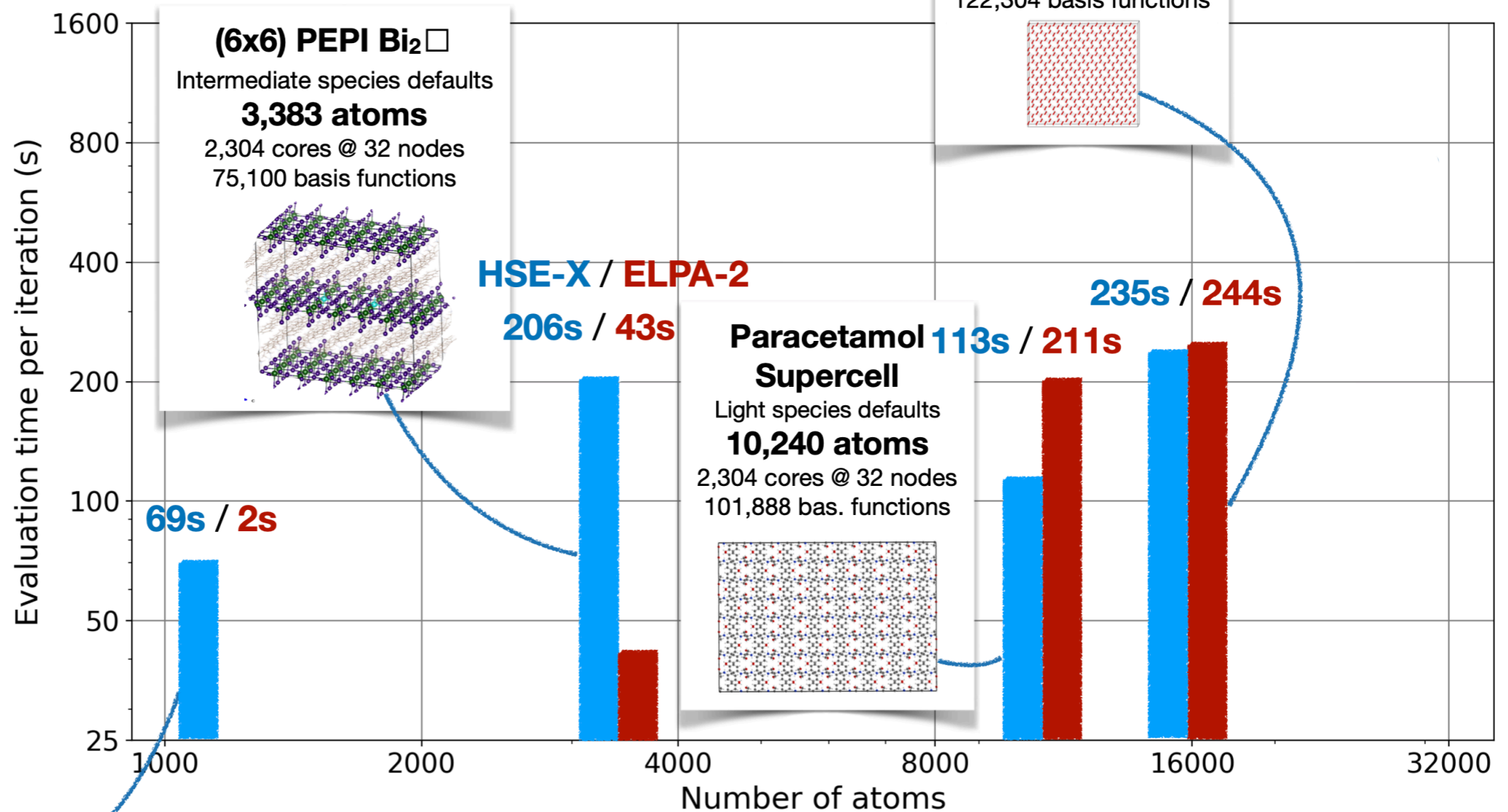
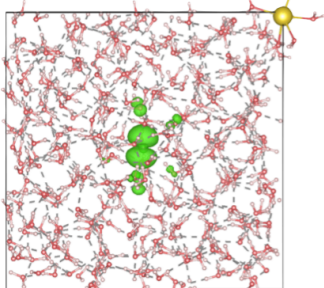
Raven @ MPCDF
Intel Xeon IceLake
(Platinum 8360Y)
72 cores / node
256 GB / node



MAX PLANCK
COMPUTING & DATA FACILITY

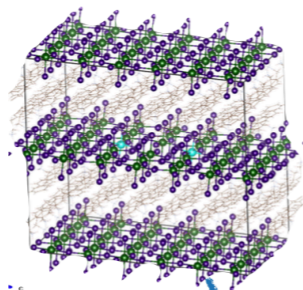
Saline Liquide (PBE0)

Intermediate species defaults
1,082 atoms
576 cores @ 8 nodes
18,780 basis functions



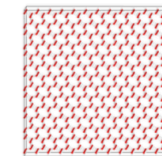
(6x6) PEPI Bi₂

Intermediate species defaults
3,383 atoms
2,304 cores @ 32 nodes
75,100 basis functions



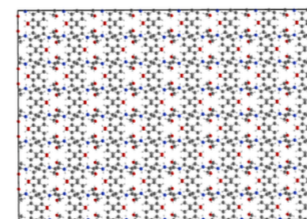
Ice XI (H₂O)₅₀₉₆

Light species defaults
15,288 atoms
2,304 cores @ 32 nodes
122,304 basis functions



Paracetamol Supercell

Light species defaults
10,240 atoms
2,304 cores @ 32 nodes
101,888 bas. functions



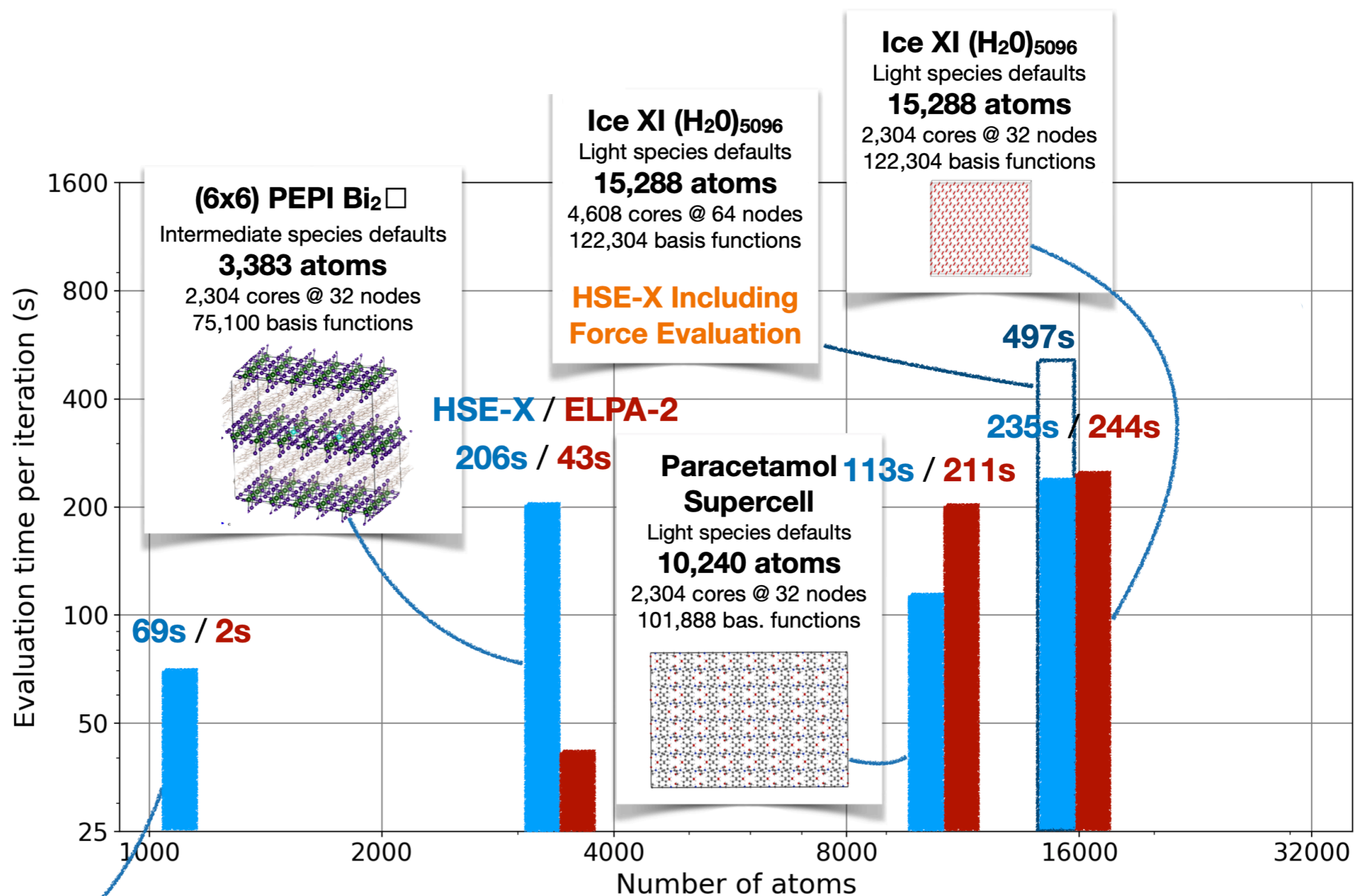
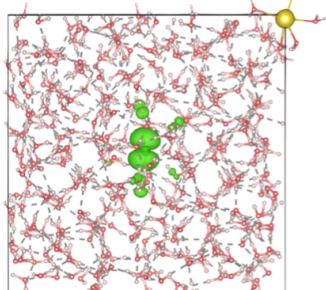
Scalability: Hybrid DFT for Very Large Systems

Raven @ MPCDF
Intel Xeon IceLake
(Platinum 8360Y)
72 cores / node
256 GB / node



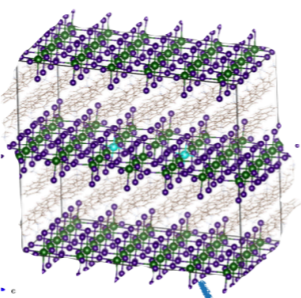
MAX PLANCK
COMPUTING & DATA FACILITY

**Saline Liquide
(PBE0)**
Intermediate species defaults
1,082 atoms
576 cores @ 8 nodes
18,780 basis functions



(6x6) PEPI Bi₂

Intermediate species defaults
3,383 atoms
2,304 cores @ 32 nodes
75,100 basis functions



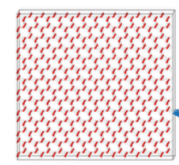
Ice XI (H₂O)₅₀₉₆

Light species defaults
15,288 atoms
4,608 cores @ 64 nodes
122,304 basis functions

**HSE-X Including
Force Evaluation**

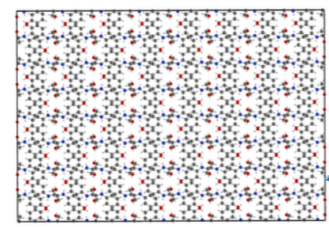
Ice XI (H₂O)₅₀₉₆

Light species defaults
15,288 atoms
2,304 cores @ 32 nodes
122,304 basis functions



Paracetamol Supercell

Light species defaults
10,240 atoms
2,304 cores @ 32 nodes
101,888 bas. functions



Scalability: Hybrid DFT for Very Large Systems

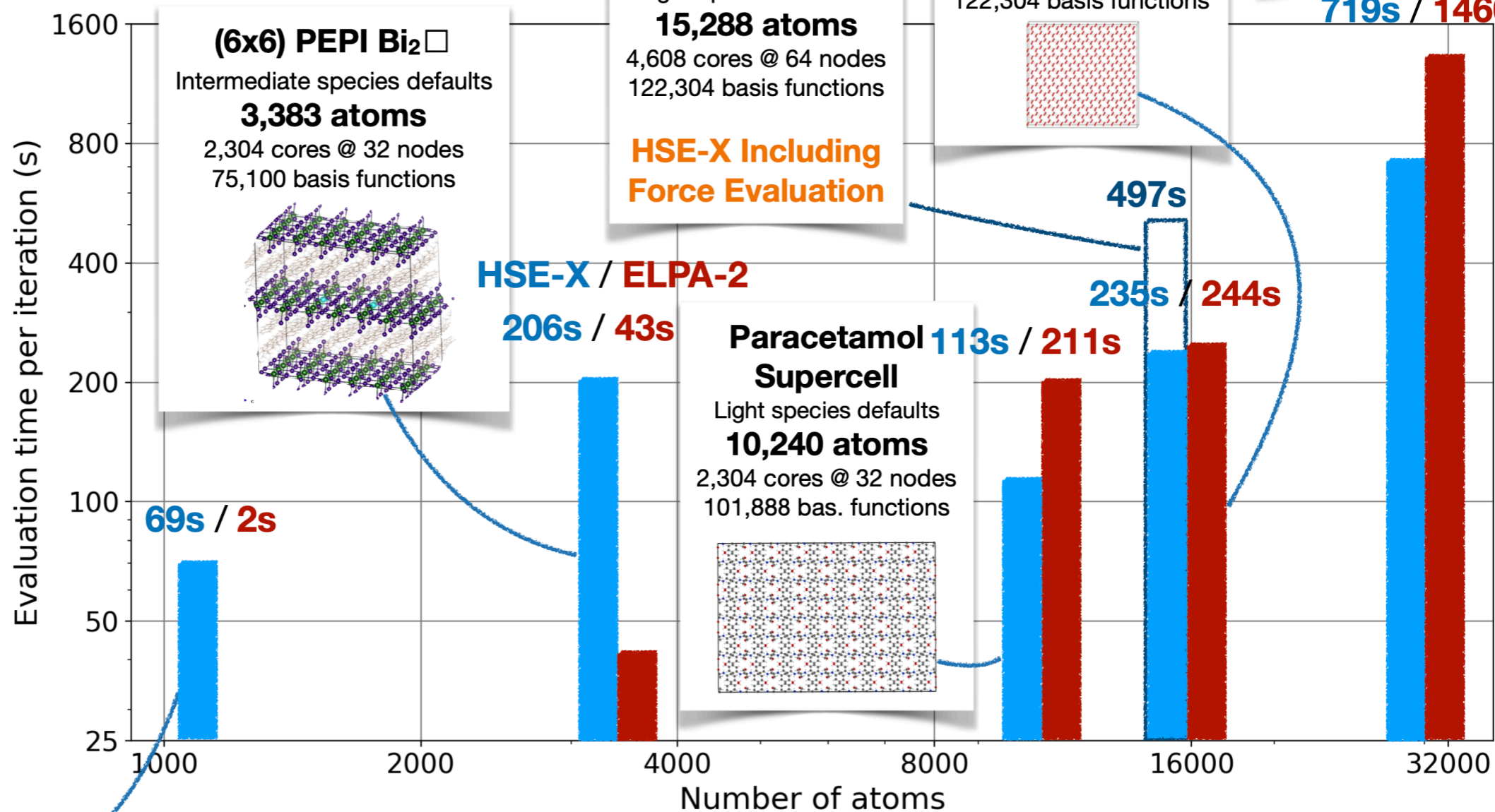
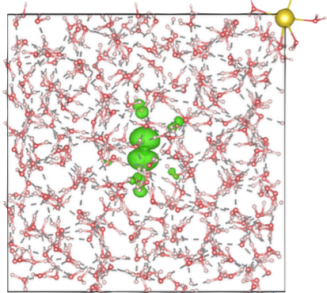
Raven @ MPCDF
Intel Xeon IceLake
(Platinum 8360Y)
72 cores / node
256 GB / node



MAX PLANCK
COMPUTING & DATA FACILITY

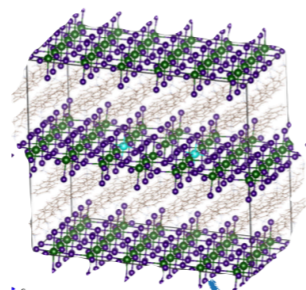
Saline Liquide (PBE0)

Intermediate species defaults
1,082 atoms
576 cores @ 8 nodes
18,780 basis functions



(6x6) PEPI Bi₂

Intermediate species defaults
3,383 atoms
2,304 cores @ 32 nodes
75,100 basis functions



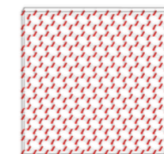
Ice XI (H₂O)₅₀₉₆

Light species defaults
15,288 atoms
4,608 cores @ 64 nodes
122,304 basis functions

HSE-X Including
Force Evaluation

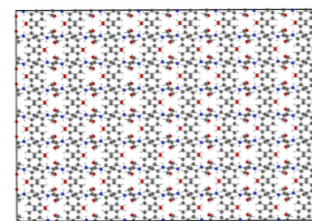
Ice XI (H₂O)₅₀₉₆

Light species defaults
15,288 atoms
2,304 cores @ 32 nodes
122,304 basis functions



Paracetamol Supercell

Light species defaults
10,240 atoms
2,304 cores @ 32 nodes
101,888 bas. functions



Ice XI (H₂O)_{10,192}

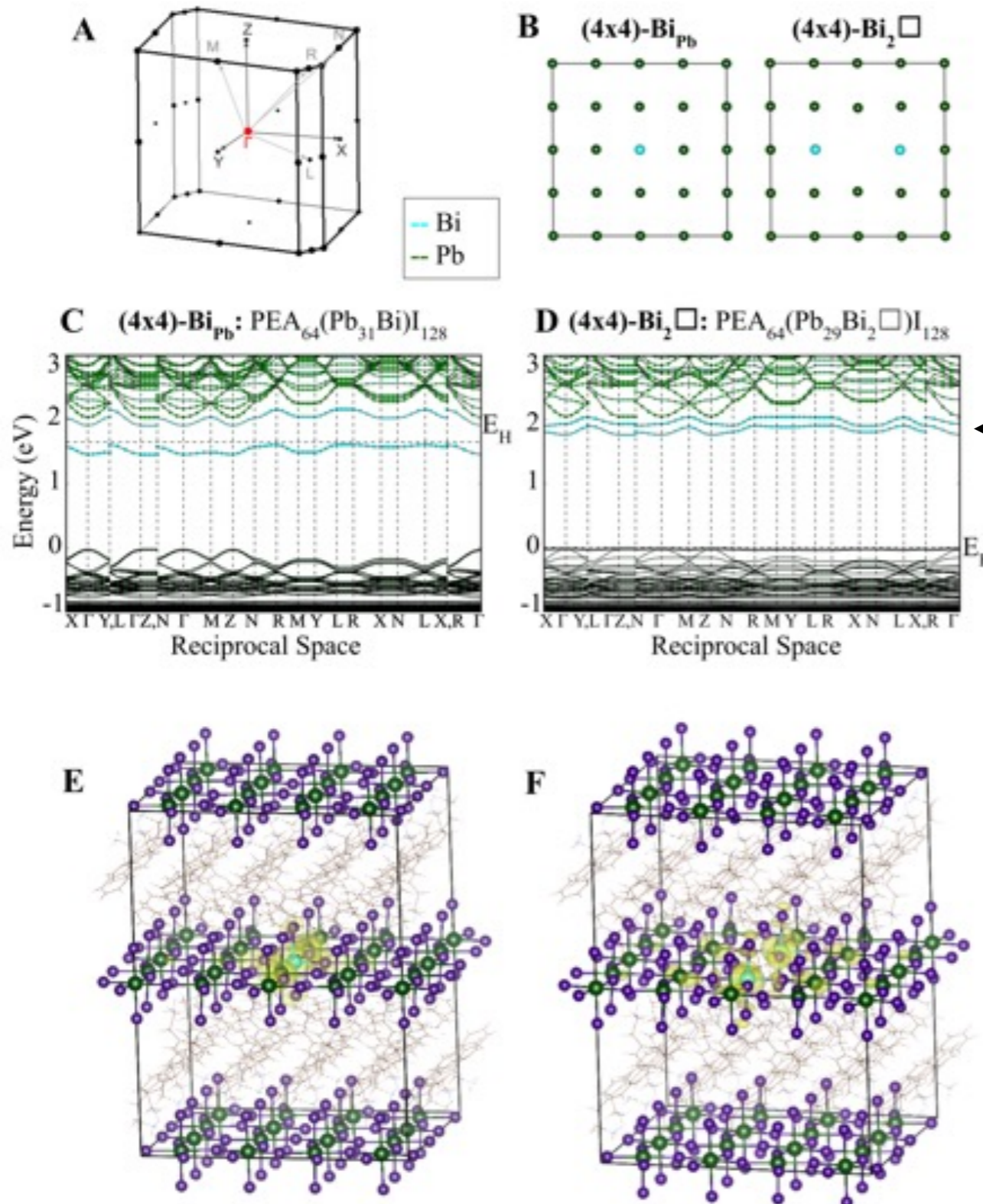
Light species defaults
30,576 atoms
4,320 cores @ 60 nodes
244,608 basis functions

719s / 1460s

So Are We There Yet?

HSE06+SOC. No tricks.

Lu, Koknat et al., submitted (2022).



Anonymous reviewer:

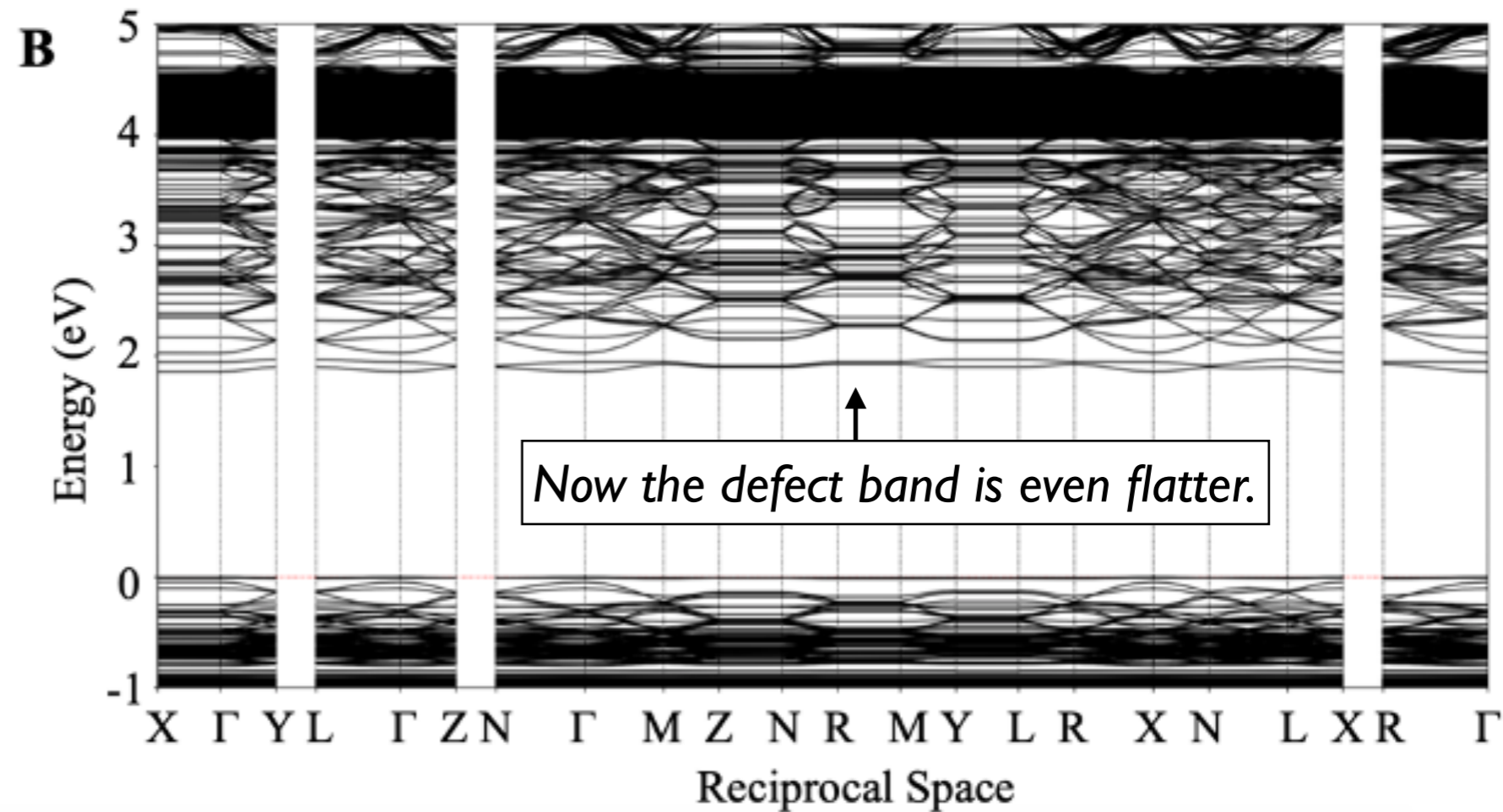
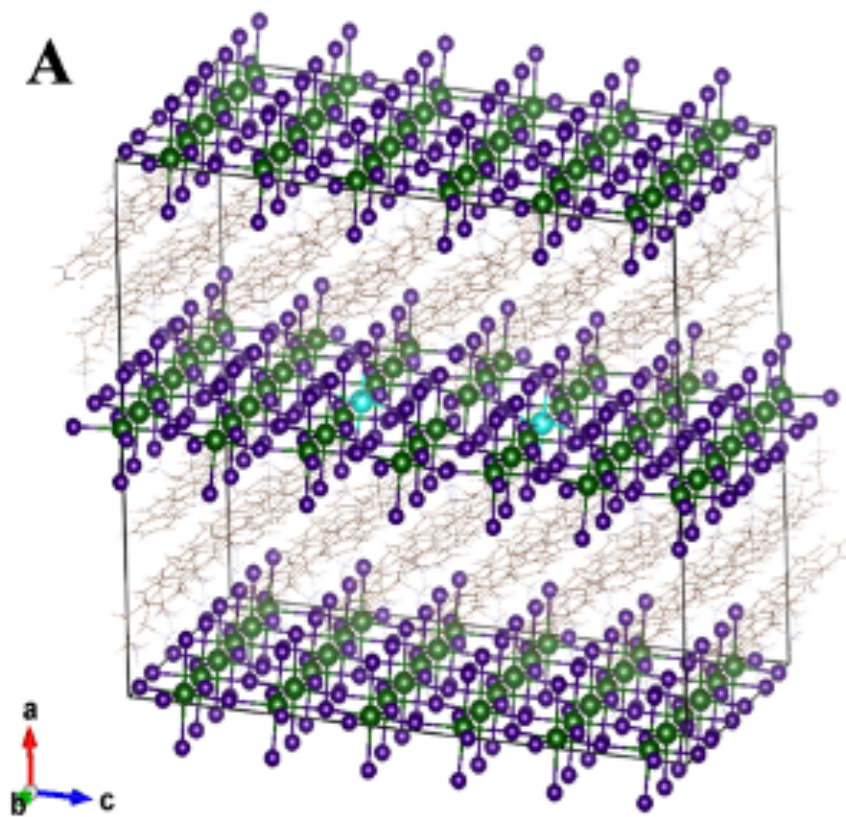
“Finally, I actually worry that in some cases the supercell is not large enough despite its large number of atoms. In particular, for the Bi2 case shown in Fig. 3B, within the utilized supercell the Bi atoms are actually second-nearest neighbors with each other, likely indicating that the supercell is too small. A hint of this can be seen in Fig. 3D, which shows that the defect orbitals are not flat as expected but show some dispersion.”

I'm not sure what to say.

So How About 3,383 Atoms.

Lu, Koknat et al., submitted (2023).

HSE06+SOC. Still no tricks.



Electronic structure of the (6x6)-Bi₂O structure.

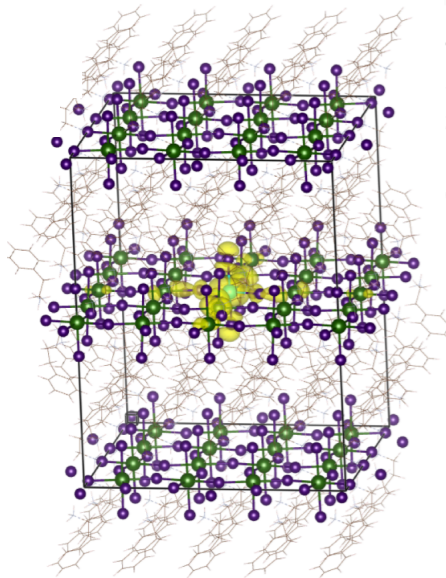
Summary



Efficient, scalable first-principles approach to structure, electron band structure, relativity in complex materials.



Significant investment in large scale eigenvalue / density matrix solver strategies.
Library infrastructure for electronic structure theory.



Very large-scale hybrid DFT, now feasible.



U.S. DEPARTMENT OF
ENERGY

Office of
Science



Performance: Solver Benchmarks on Equal Footing



Victor Yu

<http://www.nersc.gov/edison>

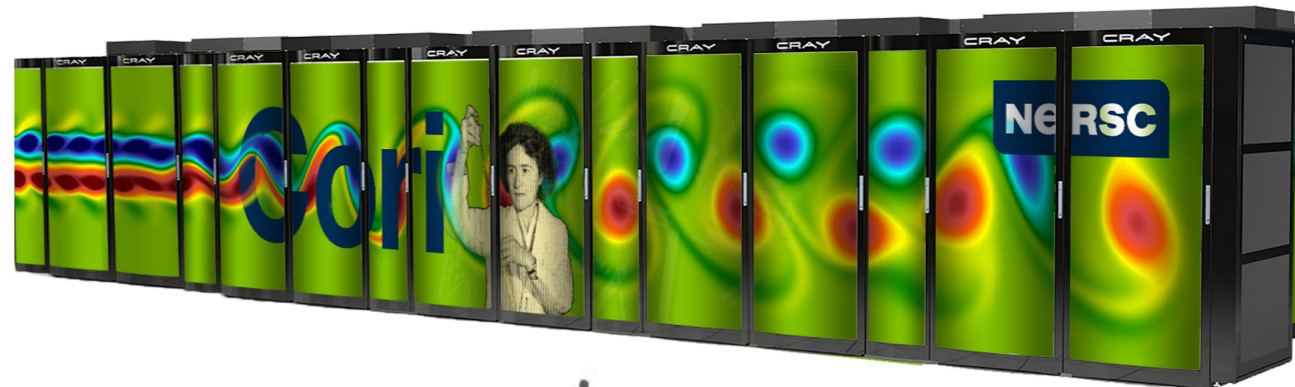


Edison Cray XC30

Processor: Intel Ivy Bridge
Interconnect: Cray Aries

5,586 compute nodes
134,064 processing cores
2.57 Petaflops

<http://www.nersc.gov/cori>



Cori-Haswell Cray XC40

Processor: Intel Haswell
Interconnect: Cray Aries

2,388 compute nodes
76,416 processing cores
2.81 Petaflops

Cori-KNL Cray XC40

Processor: Intel Knights Landing
Interconnect: Cray Aries

9,688 compute nodes
658,784 processing cores
29.5 Petaflops

Performance: Solver Benchmarks on Equal Footing

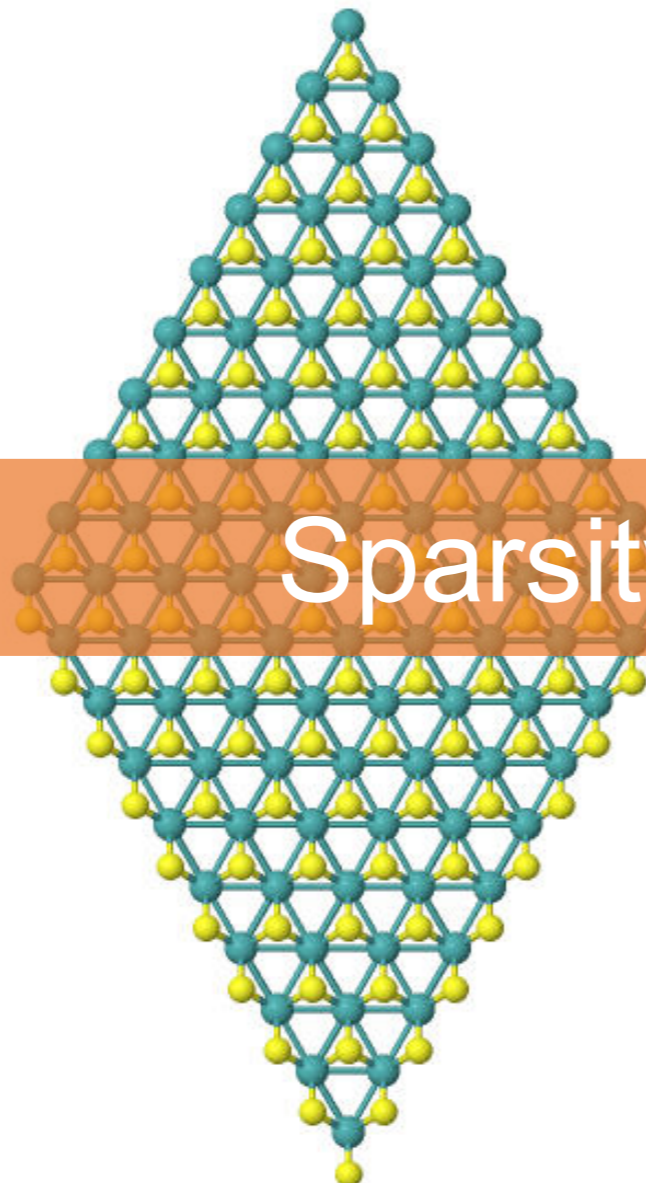
1D

(a) Ge



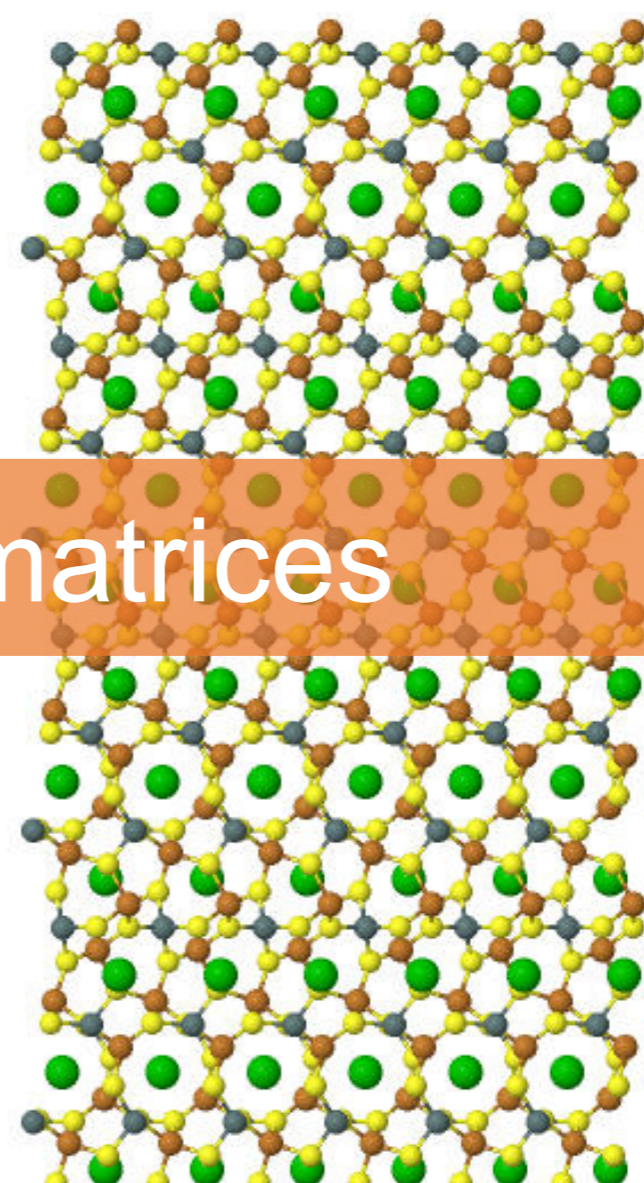
2D

(b) MoS₂



3D

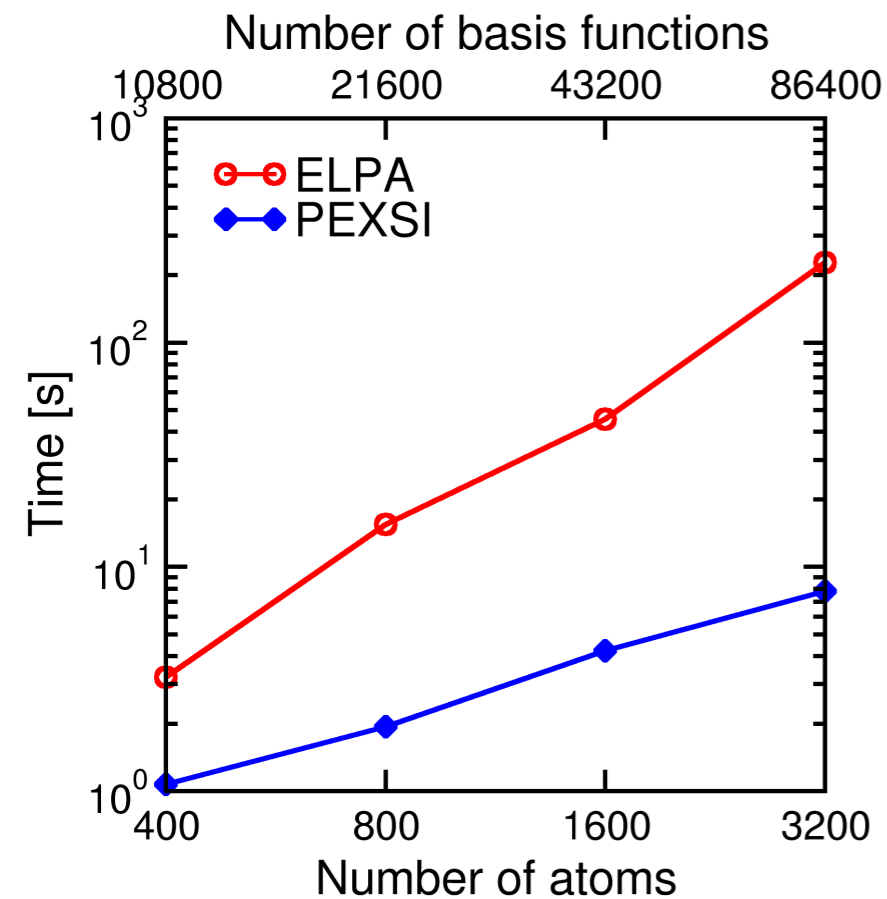
(c) Cu₂BaSnS₄



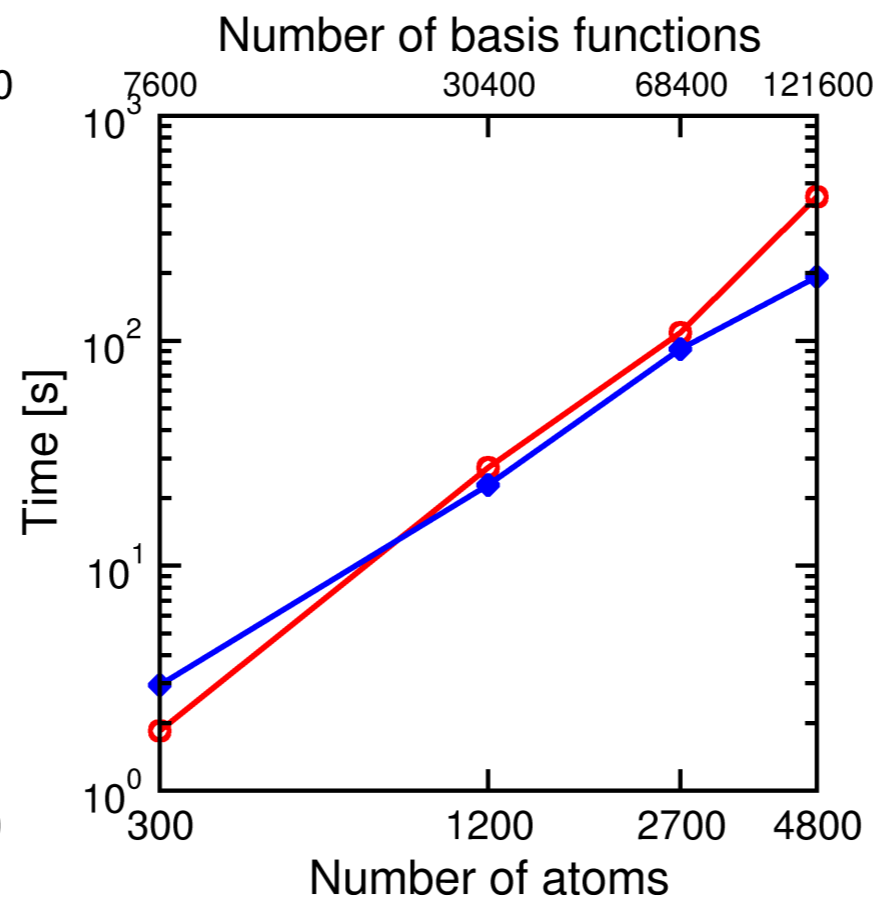
Sparsity of matrices

Example: FHI-aims Basis Sets - ELPA vs. PEXSI

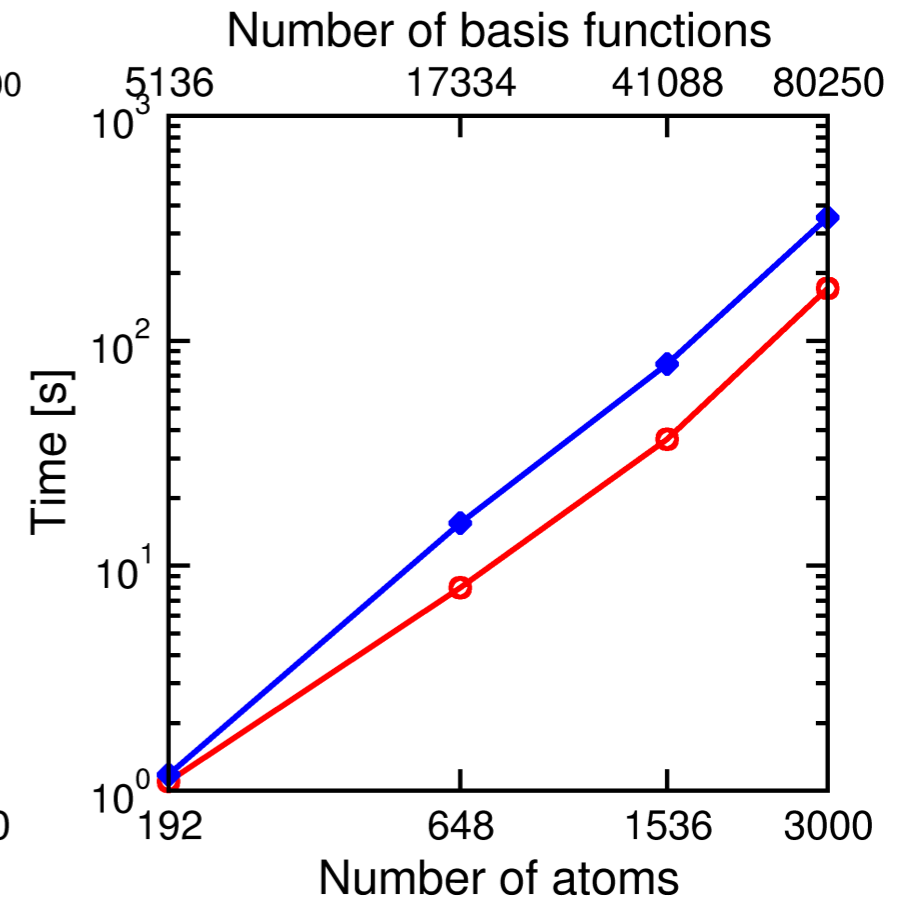
(a) 1D Ge



(b) 2D MoS₂



(c) 3D Cu₂BaSnS₄



- DFT-PBE
- **FHI-aims** (all-electron)
- 2,560 CPU cores on Cori-Haswell

PEXSI faster for large low-dimensional (sparse) systems

PEXSI: Semilocal DFT, $O(N)$ - $O(N^2)$ for large systems

Lin et al., Commun. Math. Sci. 7, 755 (2009); Lin et al., J. Phys.: Condens. Matter 25, 295501 (2013);

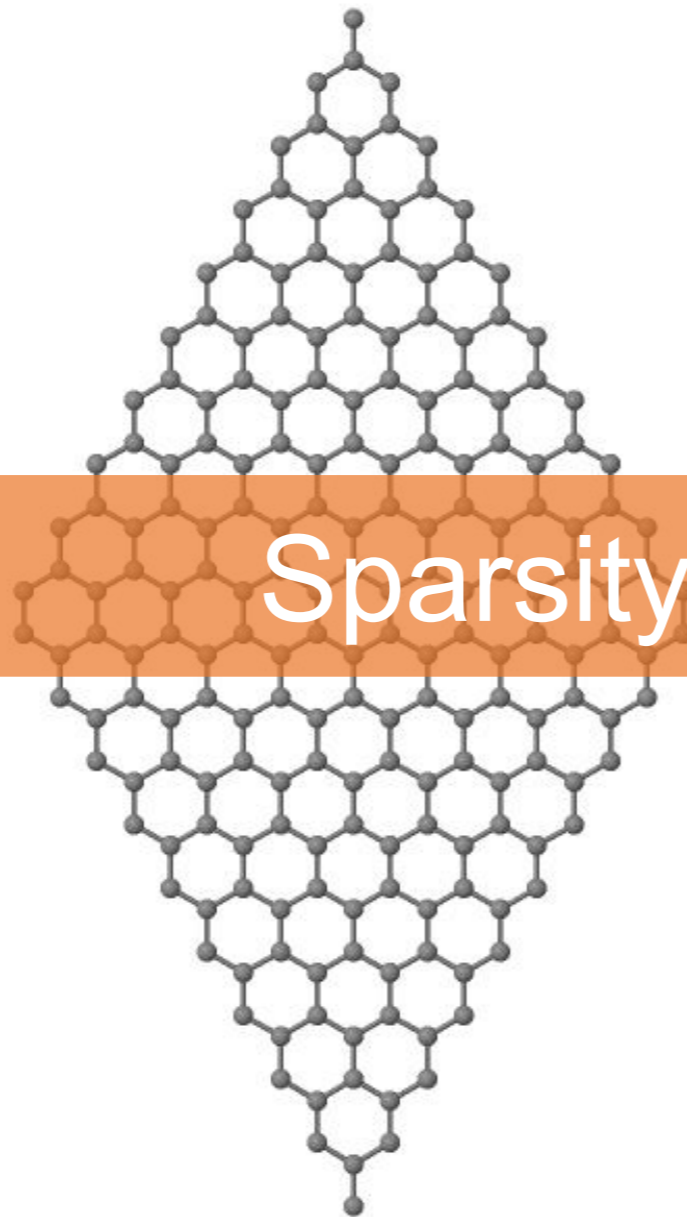
Lin et al., J. Phys: Condens. Matter 26, 305503 (2014)

Performance: Solver Benchmarks on Equal Footing

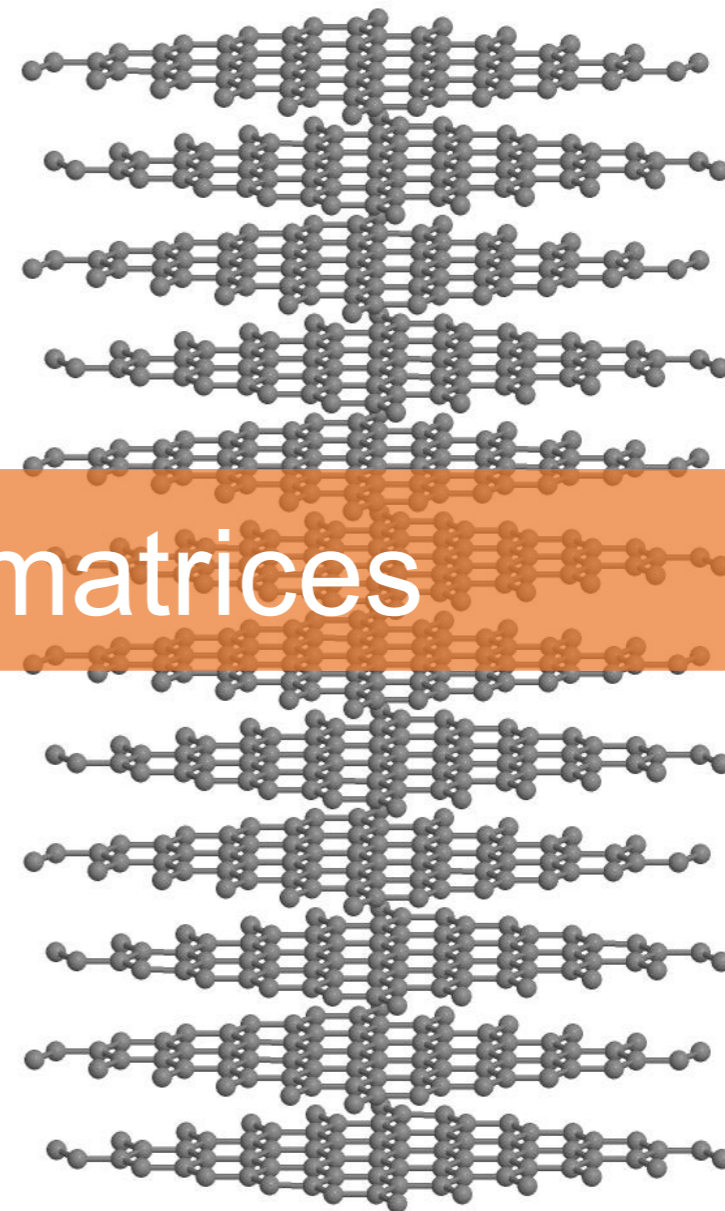
ID
(a) CNT



2D
(b) Graphene



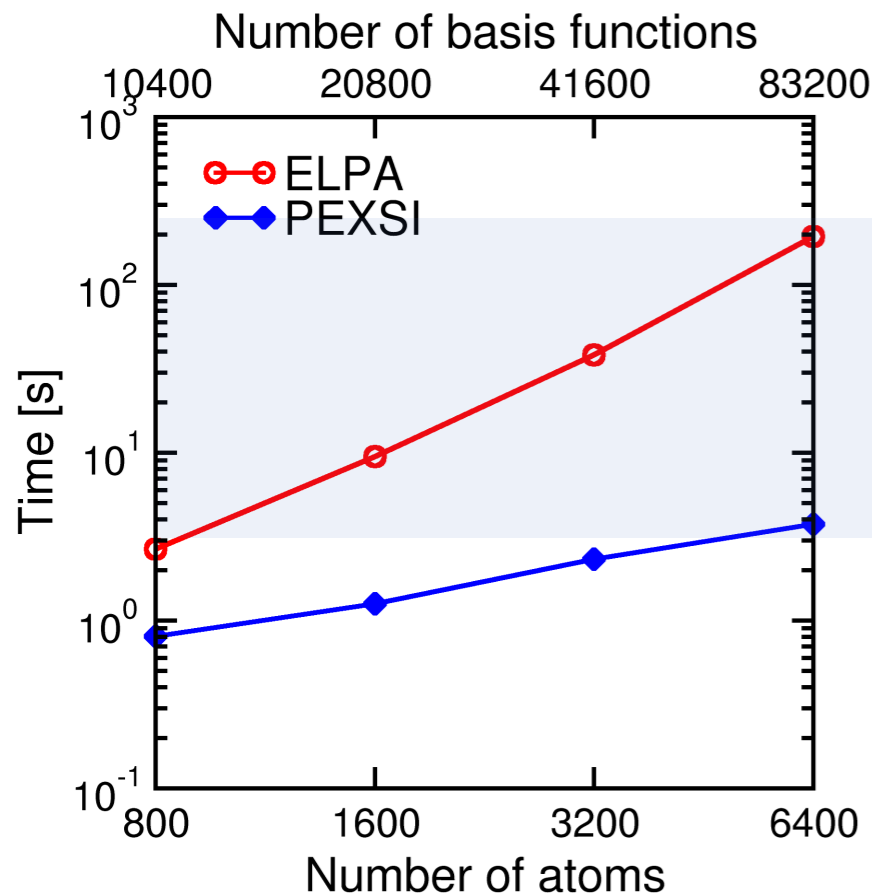
3D
(c) Graphite



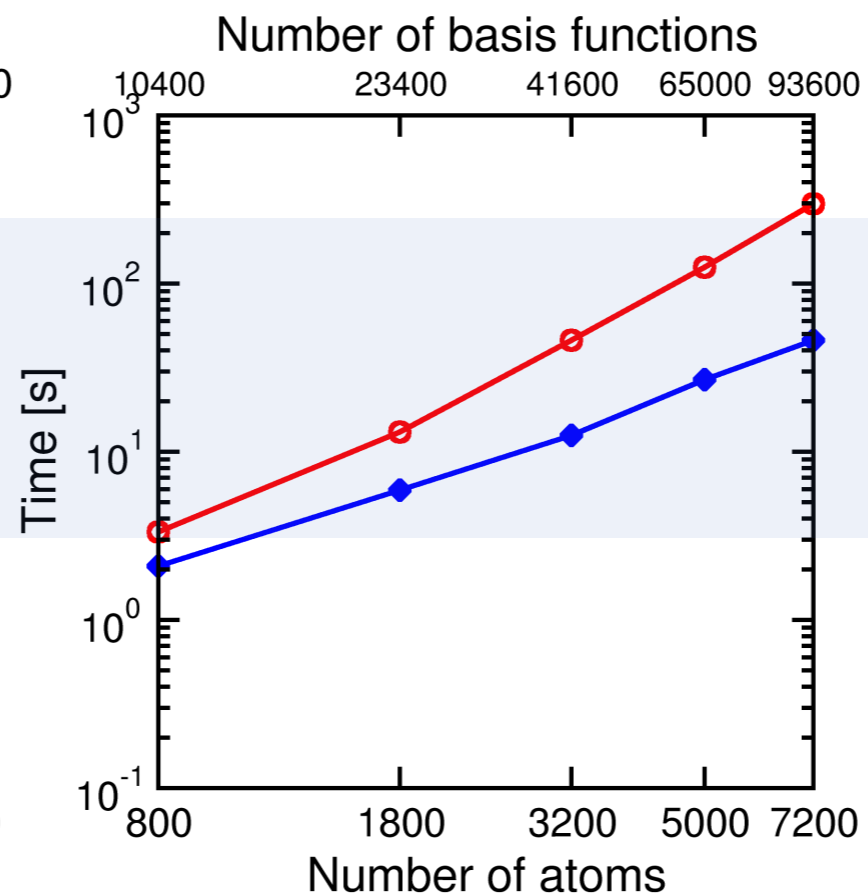
Sparsity of matrices

Example: Siesta Basis Sets - ELPA vs. PEXSI

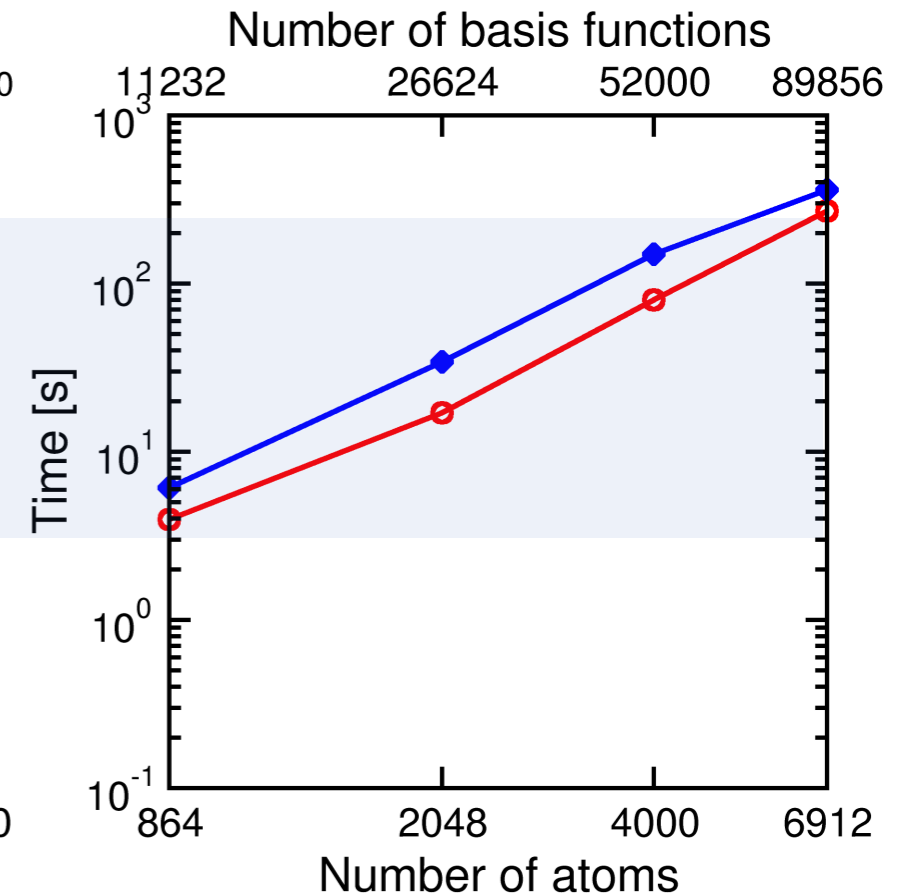
(a) 1D CNT



(b) 2D graphene



(c) 3D graphite



- DFT-PBE
- **SIESTA** (pseudopotential)
- 1,920 CPU cores on Edison

PEXSI faster for large low-dimensional (sparse) systems

PEXSI: Semilocal DFT, $O(N)$ - $O(N^2)$ for large systems

Lin et al., Commun. Math. Sci. 7, 755 (2009); Lin et al., J. Phys.: Condens. Matter 25, 295501 (2013);

Lin et al., J. Phys: Condens. Matter 26, 305503 (2014)

Frozen Core Constrained Eigensolver in ELSI

diagonal



$$\mathbf{H} = \begin{bmatrix} \mathbf{H}_{cc} & \mathbf{H}_{cv} \\ \mathbf{H}_{vc} & \mathbf{H}_{vv} \end{bmatrix}$$

$$\mathbf{S} = \begin{bmatrix} \mathbf{S}_{cc} & \mathbf{S}_{cv} \\ \mathbf{S}_{vc} & \mathbf{S}_{vv} \end{bmatrix}$$

Frozen core approach - known for ~8 decades.

Fairly recent summary: Koepernik/Eschrig (1999)

Assume:

1. \mathbf{H}_{cc} diagonal (good assumption)

2. $\mathbf{S}_{cc} = \text{identity}$

3. $\mathbf{H}_{vc} = \mathbf{S}_{vc} \mathbf{H}_{cc}$,

$\mathbf{H}_{cv} = \mathbf{H}_{cc} \mathbf{S}_{cv}$.

$$\tilde{\mathbf{H}}_{vv} = \mathbf{L}_{vv}^{-1} (\mathbf{H}_{vv} - \mathbf{S}_{vc} \mathbf{H}_{cc} \mathbf{S}_{cv}) (\mathbf{L}_{vv}^*)^{-1}$$

$$\mathbf{H}_{cc} \mathbf{C}_{cc} = \mathbf{S}_{cc} \mathbf{C}_{cc} \boldsymbol{\Sigma}_{cc},$$

$$\tilde{\mathbf{H}}_{vv} \tilde{\mathbf{C}}_{vv} = \tilde{\mathbf{S}}_{vv} \tilde{\mathbf{C}}_{vv} \boldsymbol{\Sigma}_{vv}$$

... separate core, valence problems; core diagonal.

Frozen Core Constrained Eigensolver in ELSI

V.W.-z. Yu, J. Moussa, V. Blum, *J. Chem. Phys.* 154, 224107 (2021).

Problem: ELPA hard to beat by lower scaling solvers below many 1,000s of atoms. Way out?

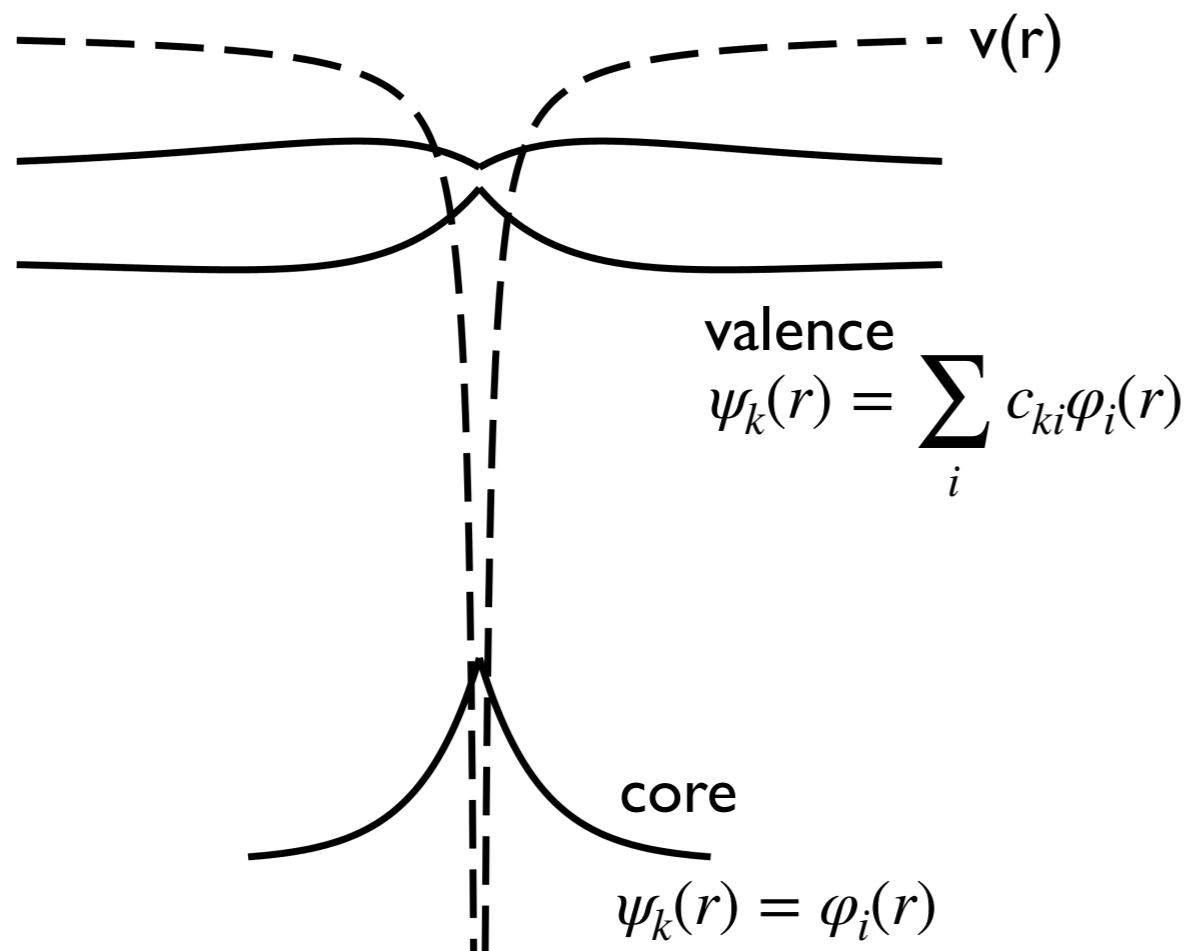
FHI-aims code (also others) - deliberately “all electron” (no shape approximation to potential; high precision)



Victor Yu
(now ANL)



Jonathan
Moussa
(MoISSI)



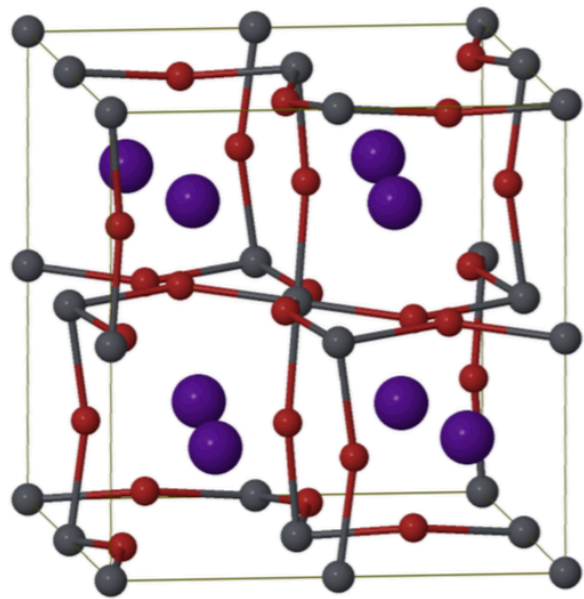
Extended valence states,
localized deep core states
on equal footing

Advantage - no irrevocable approximations. However:
Core states practically known.

diagonal
↓

$$H = \begin{bmatrix} H_{cc} & H_{cv} \\ H_{vc} & H_{vv} \end{bmatrix}$$

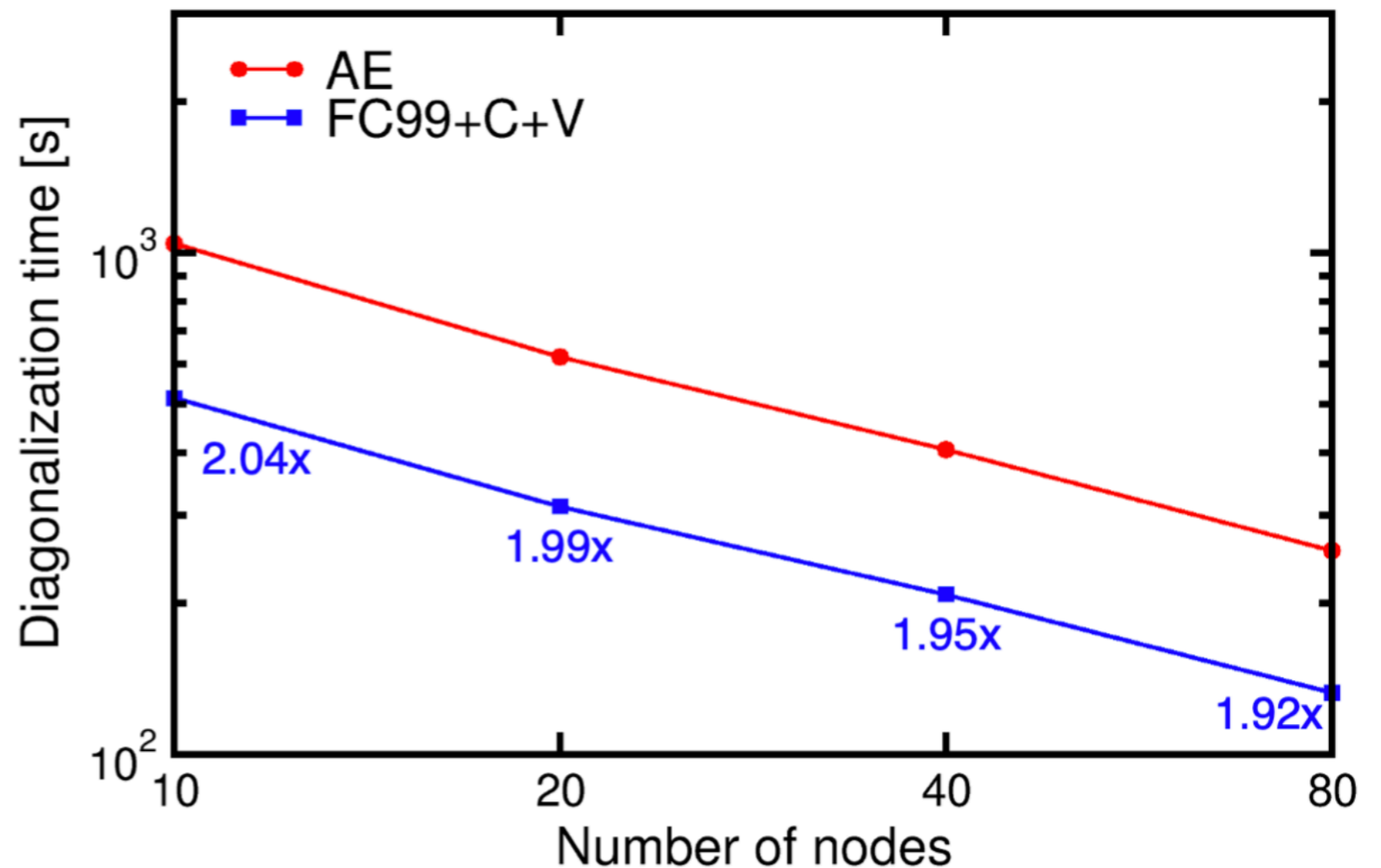
Frozen Core Eigensolver: Speedup



CsPbBr₃

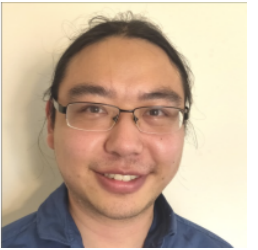
Overall speedup, CsPbBr₃ supercell (2,560 atoms)

Cori Haswell
N=94,208
N_c=28,672
Implemented in ELSI.

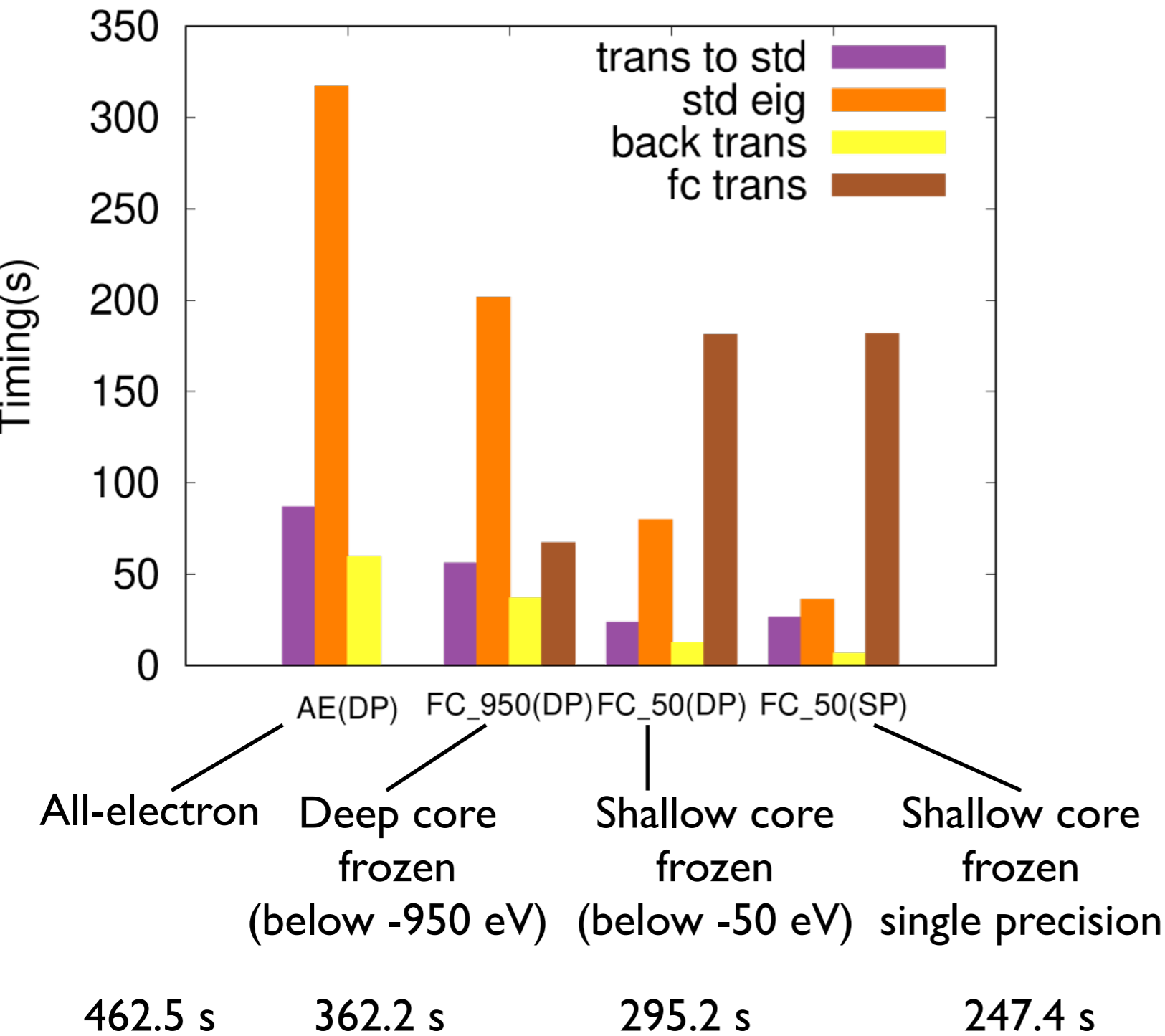


Next Steps: Mixed Precision (Single-precision ELPA)

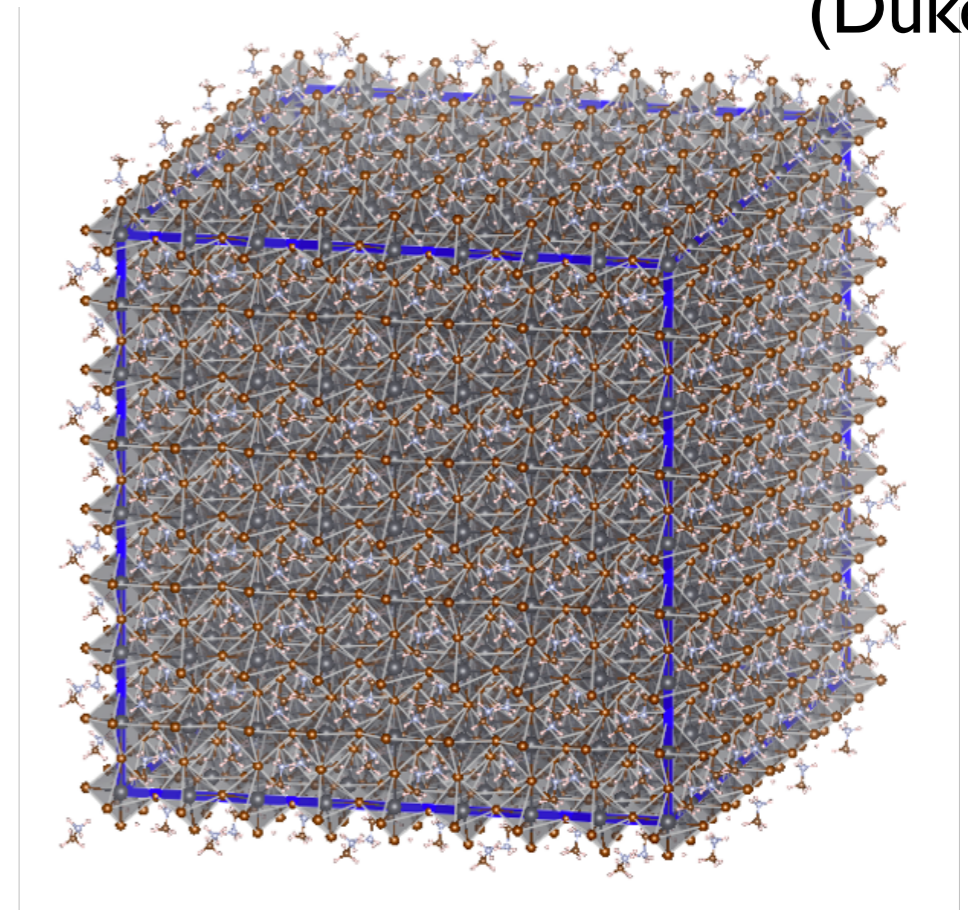
TACC Stampede2: 16 Skylake nodes (768 cores)



Yi Yao
(Duke)



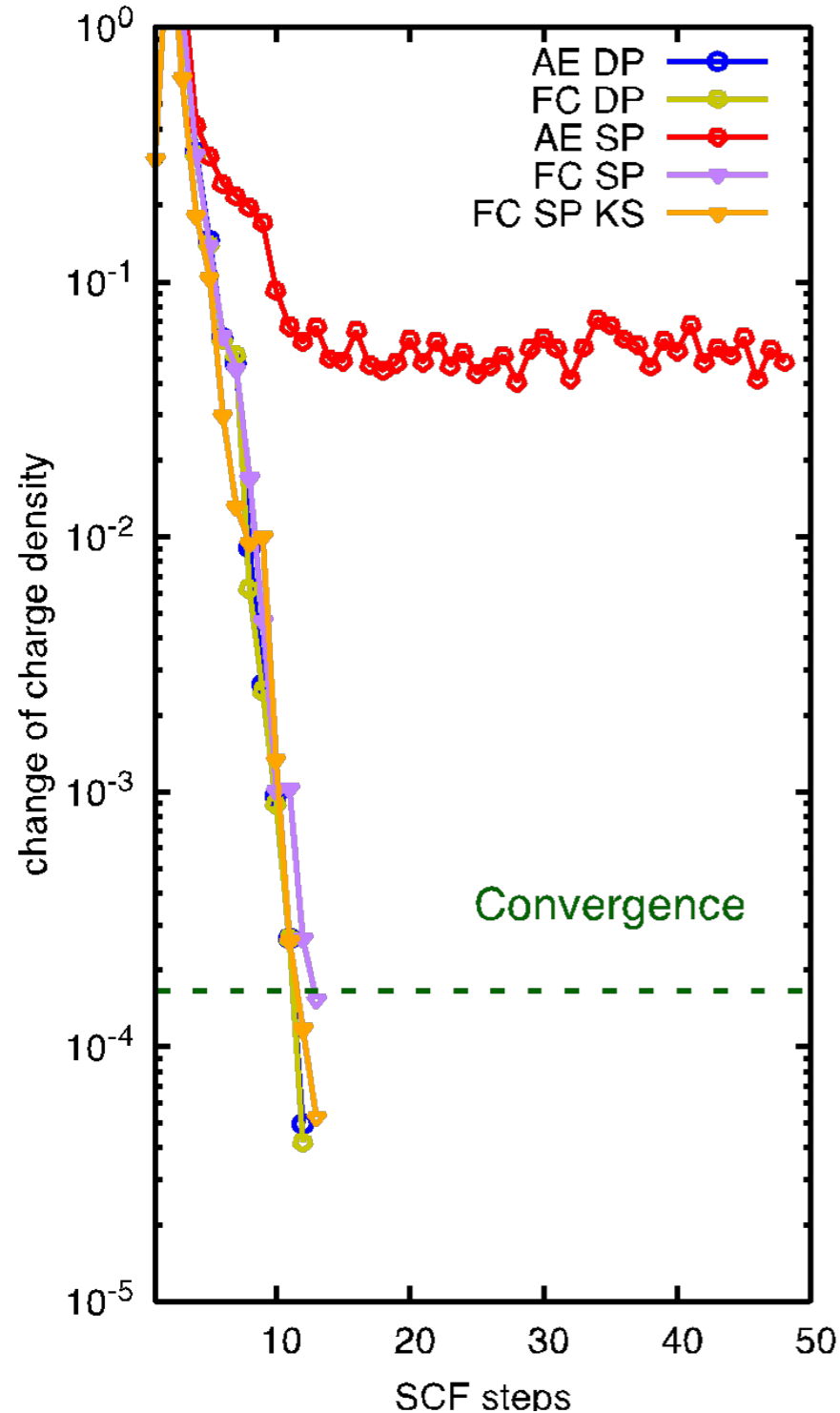
(CH₃NH₃)PbBr₃
8x8x8 supercell



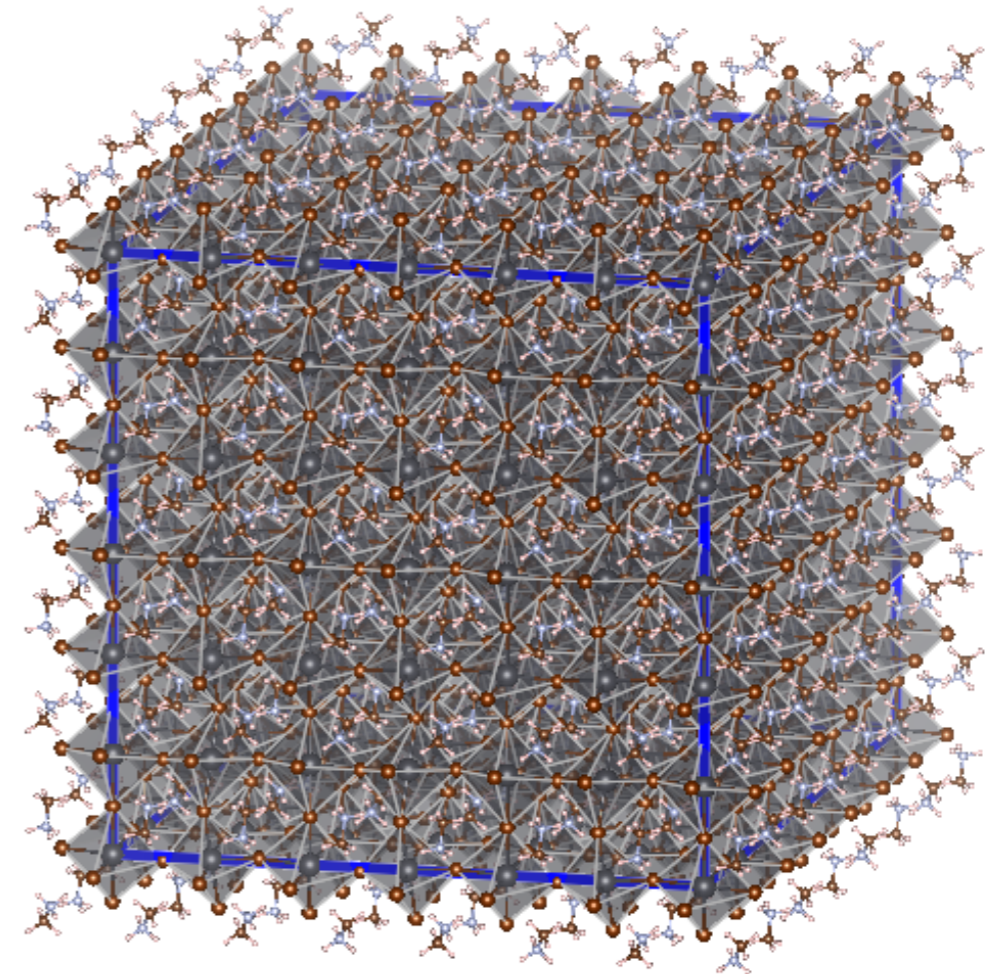
6144 atoms
105472 electrons
101376 basis functions
kpoints 1 1 1

Self-Consistency: Frozen Core + Mixed Precision

Mixed-precision SCF:
Orbitals frozen below -50 eV



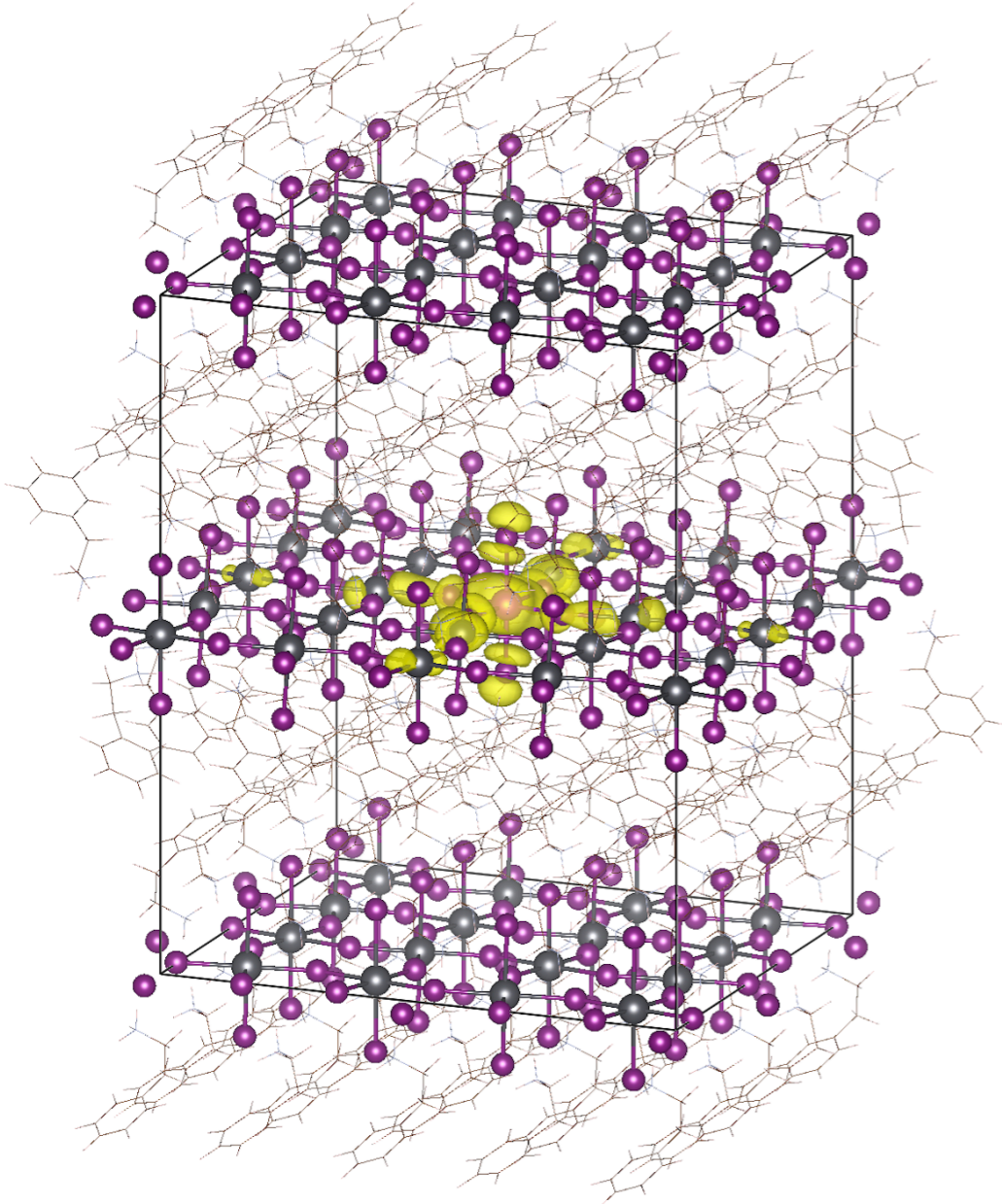
MAPbBr₃ supercell -
2,592 atoms, 44,496 electrons



Solver time per
s.c.f. iteration:

AE	129 s
FC	81 s
AE SP	61 s
FC SP	71 s - note: AE <-> FC transformation matters

Eigenvalue Problem(s) in Electronic Structure Theory



Dopant level, Bi/(PEA)₂PbI₄
1,504 atom supercell
Hybrid DFT, FHI-aims code
Stampede2 (NSF XSEDE)

Generalized Kohn-Sham Equations

$$\left(\hat{t} + \hat{v}_{KS}[n(r)] \right) |\psi_k\rangle = \epsilon_k |\psi_k\rangle$$
$$n(r) = \sum_k f_k |\psi_k(r)|^2$$

$$\psi_k(r) \approx \sum_i c_{ki} \varphi_i(r)$$

$$\underline{\underline{H}}c_k = \epsilon_k \underline{\underline{S}}c_k$$

N=33,000-40,000 basis functions
M=13,698 electrons / eigenstates

Limited by Eigenvalue Problem