

# **Quantum Computation and Information Theory**

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## Classical Models of Computation

— Turing Machines

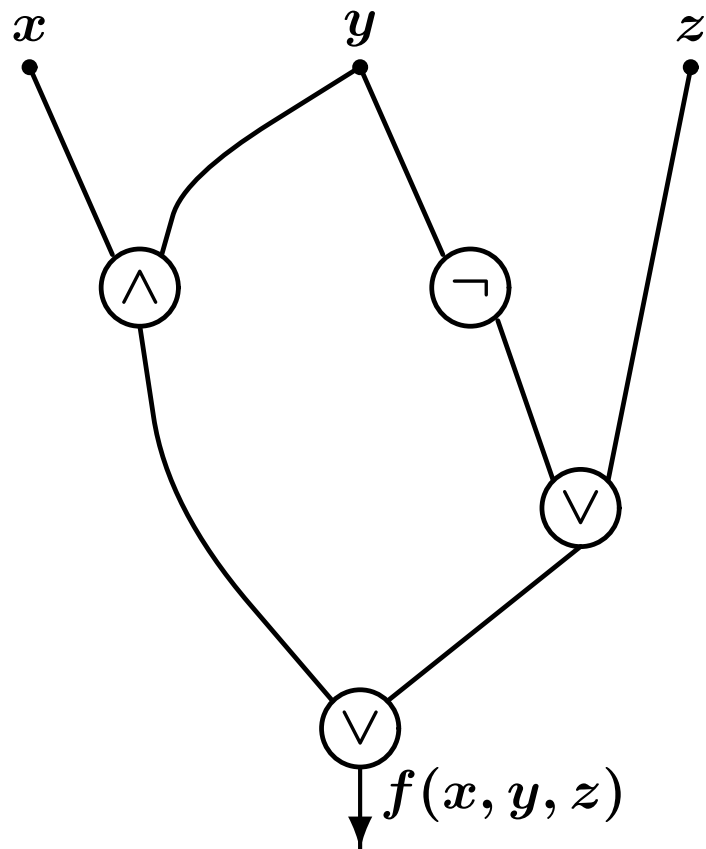
— Boolean Circuits

gates:     **AND**  $\wedge$ ,     **OR**  $\vee$ ,     **NOT**  $\neg$

**size** of the circuit: the number of gates

A circuit with  $n$  inputs computes a **Boolean function**

$$f : \{0, 1\}^n \longrightarrow \{0, 1\}$$



$x$	$y$	$z$	$f(x, y, z)$
0	0	0	1
0	0	1	1
0	1	0	0
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	1

The basis {NOT, AND, OR} is **complete** (or **universal**)

i.e., for any Boolean function  $f : \{0, 1\}^n \longrightarrow \{0, 1\}$  there is a Boolean circuit with

NOT, AND, OR

gates computing this function

**Shannon Bound:** For almost all such functions  $f$ , the **optimal** Boolean circuit computing  $f$  has

$$O\left(\frac{2^n}{n}\right)$$

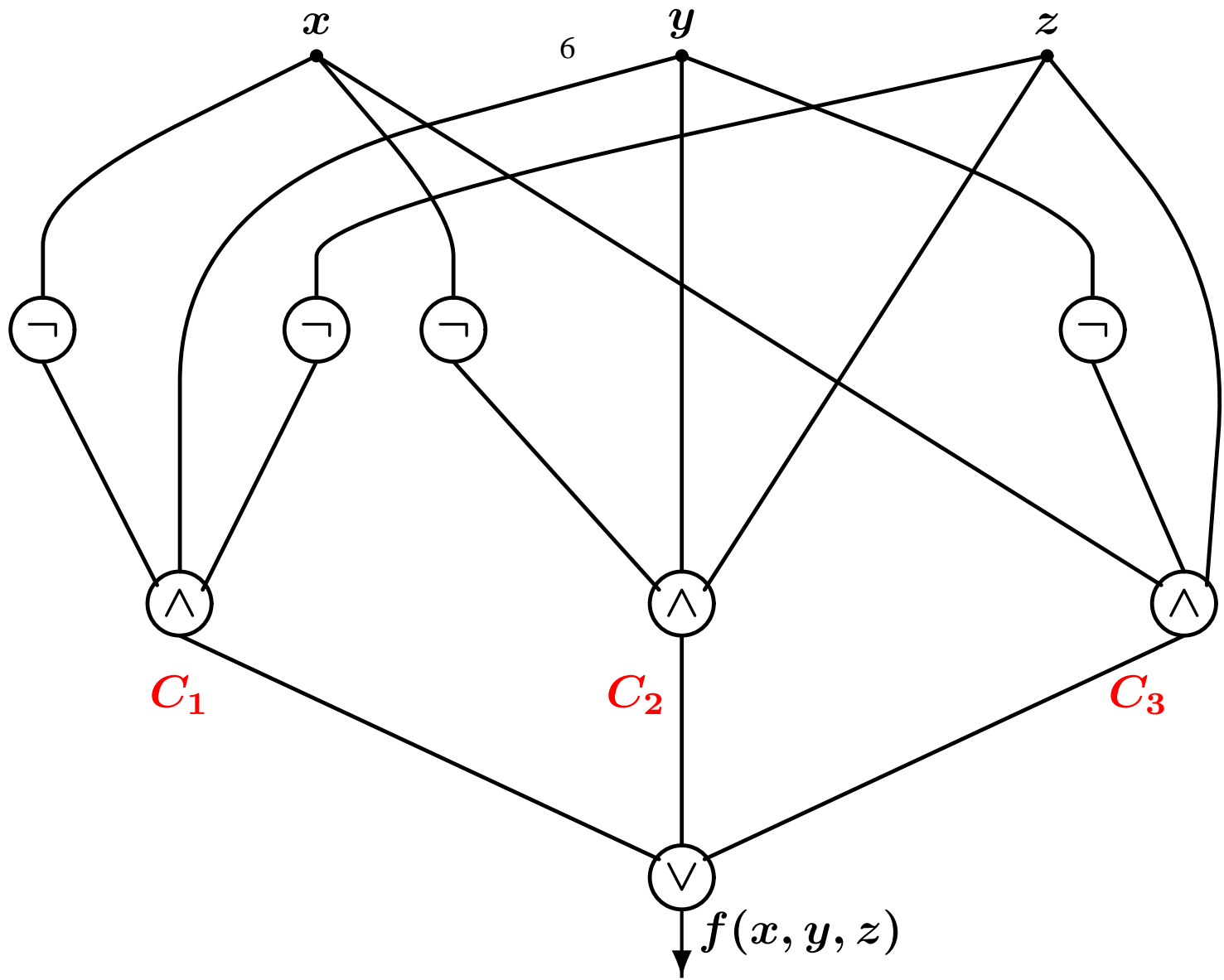
gates

universality of Boolean basis, an example:

$$f: \{0, 1\}^3 \longrightarrow \{0, 1\}$$

$x$	$y$	$z$	$f(x, y, z)$	
0	0	0	0	
0	0	1	0	
0	1	0	1	$C_1$
0	1	1	1	$C_2$
1	0	0	0	
1	0	1	1	$C_3$
1	1	0	0	
1	1	1	0	

$$f(x, y, z) = \underbrace{(\neg x \wedge y \wedge \neg z)}_{C_1} \vee \underbrace{(\neg x \wedge y \wedge z)}_{C_2} \vee \underbrace{(x \wedge \neg y \wedge z)}_{C_3}$$



## Solving problems with variable sizes by circuits

$$N \in \{0, 1, 2, 3, \dots\}, \quad f(N) = \begin{cases} 1 & \text{if } N \text{ is prime,} \\ 0 & \text{otherwise.} \end{cases}$$

$\text{size}(N)$  = number of bits in binary expansion of  $N$

$$\text{size}(5) = \text{size}(101) = 3$$

$$\text{size}(13) = \text{size}(1101) = 4$$

computing  $f$  by circuits means that there is a sequence

$$C_1, C_2, C_3, \dots, C_n, \dots$$

of circuits such that  $C_n$  has  $n$  inputs and computes the value of  $f$  for inputs of size  $n$  (or equivalently, inputs of size  $\leq n$ ).

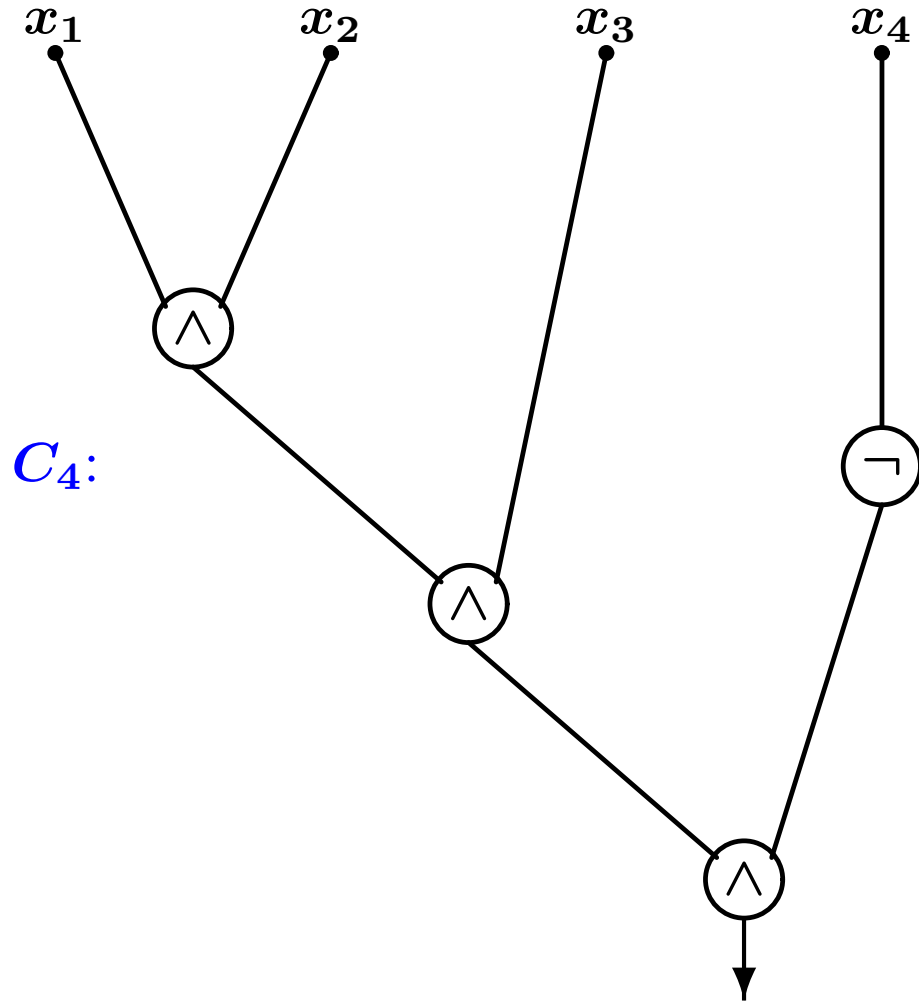
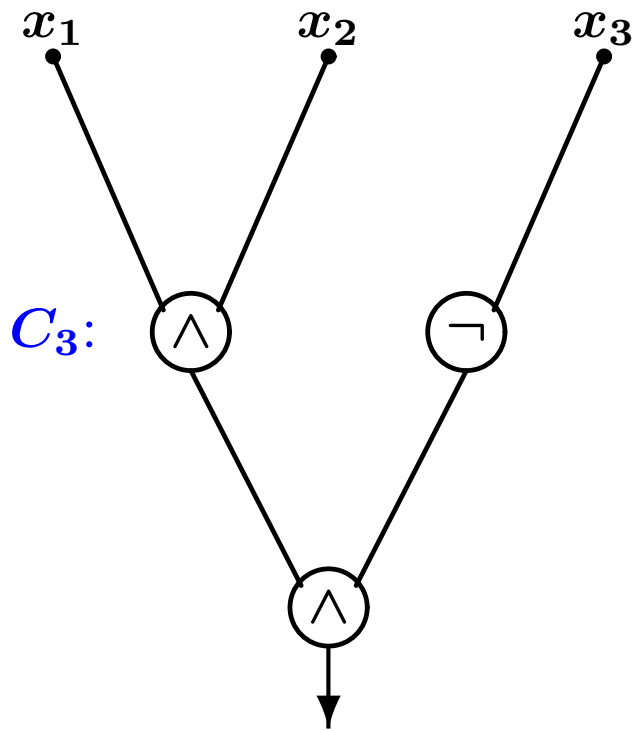
another example:

$$g(N) = \begin{cases} 1 & \text{if } N = 2^n - 2, \text{ for some } n, \\ 0 & \text{otherwise.} \end{cases}$$

Note that  $g(N) = 1$  iff binary expansion of  $N = 11 \dots 110$

Here are examples of circuits of a sequence  $C_1, C_2, \dots, C_n, \dots$   
computing  $g$





## Uniform Circuits

A sequence

$$C_1, C_2, \dots, C_n, \dots$$

of circuits ( $C_n$  has  $n$  inputs) is **uniform** if there is an algorithm to construct each circuit  $C_n$ . Moreover, this algorithm runs in time polynomial in the size of the circuit  $C_n$ .

**Note.** There is a **non-uniform** sequence  $C_1, C_2, \dots, C_n, \dots$  such that each  $C_n$  has size  $O(n)$  and this sequence computes a **non-computable** function (like, Halting Problem).

## Complexity Classes

For a decision problem  $f: \{0, 1, 2, 3, \dots\} \longrightarrow \{0, 1\}$  the  $n$ th slice of  $f$  is the Boolean function

$$f_n: \{0, 1\}^n \longrightarrow \{0, 1\}$$

such that if  $a_1 a_2 \cdots a_n$  is the binary expansion of integer  $N$  then  $f_n(a_1, \dots, a_n) = f(N)$ .

### The class **P** (polynomial-time)

A decision problem  $f$  is in the class **P** iff there is a fixed polynomial  $p(x)$  and a **uniform** sequence of circuits  $C_1, C_2, \dots, C_n, \dots$  such that  $C_n$  computes  $f_n$ , the  $n$ th slice of  $f$ , and  $\text{size}(C_n) \leq p(n)$ .

class **P** = efficient problems

Any problem which has an efficient solution on any classical model of computation (such as, Turing machines, random access machines, etc.) is in class **P**, and vice versa.

Example of problems in **P**:

— Let  $S$  be the set of 0–1 square matrices. Then the function  $f: S \longrightarrow \{0, 1\}$  defined as

$$f(M) = \det(M) \bmod 2$$

is in **P**.

The class **NP** (non-deterministic polynomial time)

$f: \{0, 1, 2, 3, \dots\} \longrightarrow \{0, 1\}$  a decision problem

$f_n: \{0, 1\}^n \longrightarrow \{0, 1\}$  its  $n$ th slice

$f$  is in **NP** iff there is a **uniform** sequence

$$C_1, C_2, \dots, C_n, \dots$$

and a polynomial  $p(x)$  such that:

(i)  $\text{size}(C_n) \leq p(n)$ ;

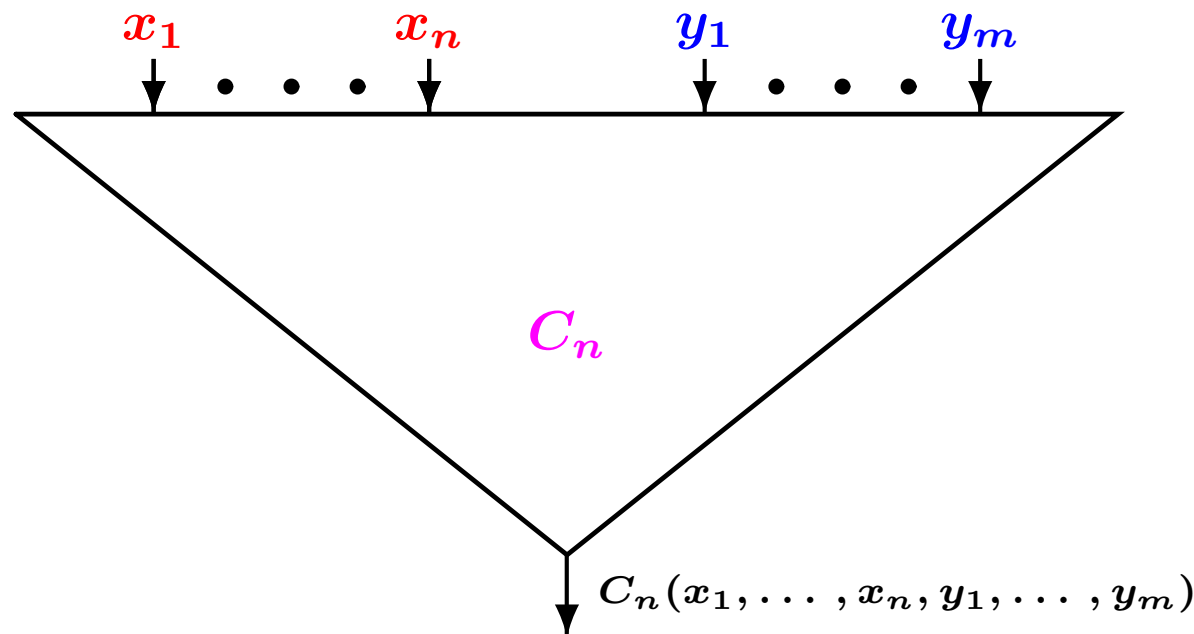
(ii)  $C_n$  has two distinct sets of inputs

$$x_1, \dots, x_n, \quad y_1, \dots, y_m \quad (m \leq p(n))$$

and we denote the output of  $C_n$  by  $C_n(x_1, \dots, x_n, y_1, \dots, y_m)$ ; then

if  $f_n(a_1, \dots, a_n) = 1$  then **there is** a set of values  $(b_1, \dots, b_m)$  for  $(y_1, \dots, y_m)$  such that  $C(a_1, \dots, a_n, b_1, \dots, b_m) = 1$ ;

If  $f_n(a_1, \dots, a_n) = 0$  then **for every** values  $(d_1, \dots, d_m)$  for  $(y_1, \dots, y_m)$  we have  $C(a_1, \dots, a_n, d_1, \dots, d_m) = 0$ .



### Example: (Factorization Problem)

$$h: \{0, 1, 2, 3, \dots\} \longrightarrow \{0, 1\}$$

$$h(N) = \begin{cases} 1 & \text{if } N \text{ is a composite number,} \\ 0 & \text{otherwise.} \end{cases}$$

We show  $h$  is in **NP**. First we consider the function

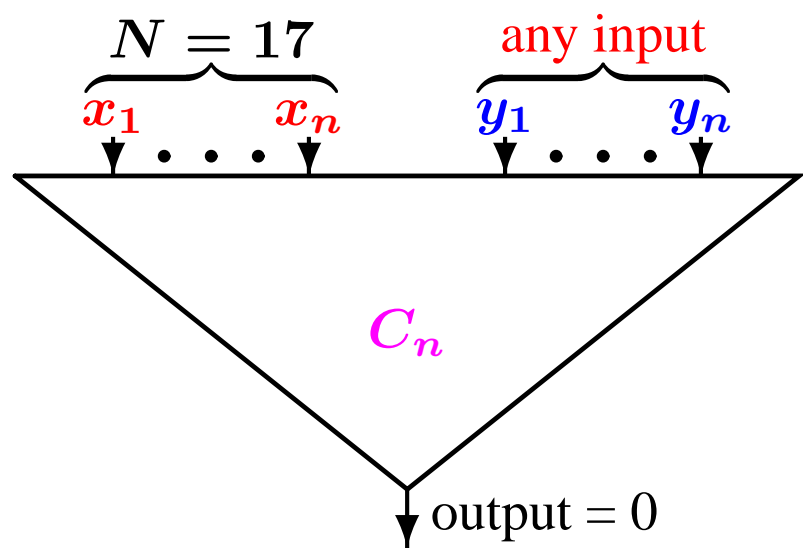
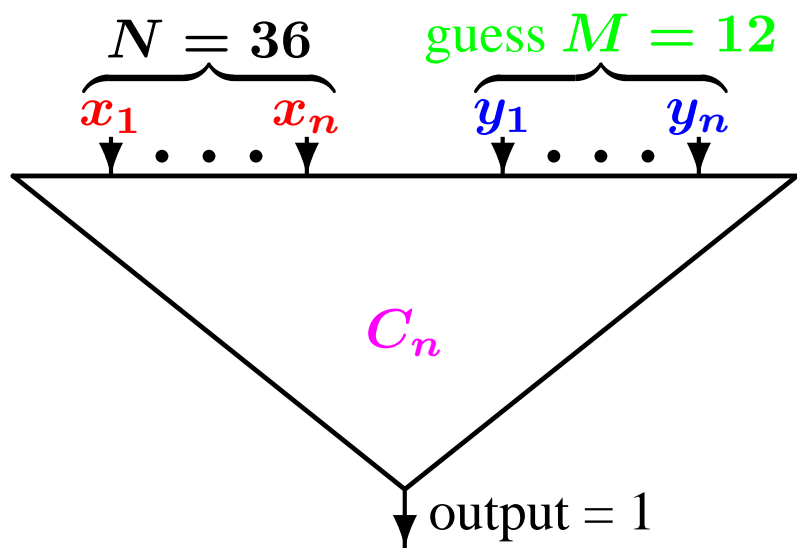
$$g(N, M) = \begin{cases} 1 & \text{if } M \leq N \text{ and } M \text{ divides } N, \\ 0 & \text{otherwise.} \end{cases}$$

Obviously  $g$  is in the class **P**. So there is a sequence of **polynomial size** circuits

$$C_1, C_2, \dots, C_n, \dots$$

that computes  $g$ , and  $C_n$  has two set of inputs:  $x_1, \dots, x_n$  for  $N$  and  $y_1, \dots, y_n$  for  $M$ .

Then the same sequence of circuits shows that  $h$  is in **NP**.





### Equivalent characterization:

A decision problem  $f(N)$  is in **NP** iff there is a decision problem  $g(N, M)$  in **P** and a polynomial  $p(x)$  such that for any input  $N$  of size  $n$ :

$$f(N) = 1 \quad \text{iff} \quad \exists M \left[ \text{size}(M) \leq p(n) \text{ and } g(N, M) = 1 \right]$$

$$f(N) = 0 \quad \text{iff} \quad \forall M \left[ \text{if } \text{size}(M) \leq p(n) \text{ then } g(N, M) = 0 \right]$$

## Exercises:

Show that the following problems are in **NP**:

1. **Satisfiability:** A circuit is satisfiable if for some value of its input, the output is 1. The value of the function  $S(C)$  is 1 iff the circuit  $C$  (given through some natural encoding of the circuits as 0–1 strings) is satisfiable.
2. **3-coloring of graphs:** The value of the function  $X(G)$  is 1 iff the graph  $G$  can be colored with 3 colors (i.e, no two adjacent vertices have the same color).

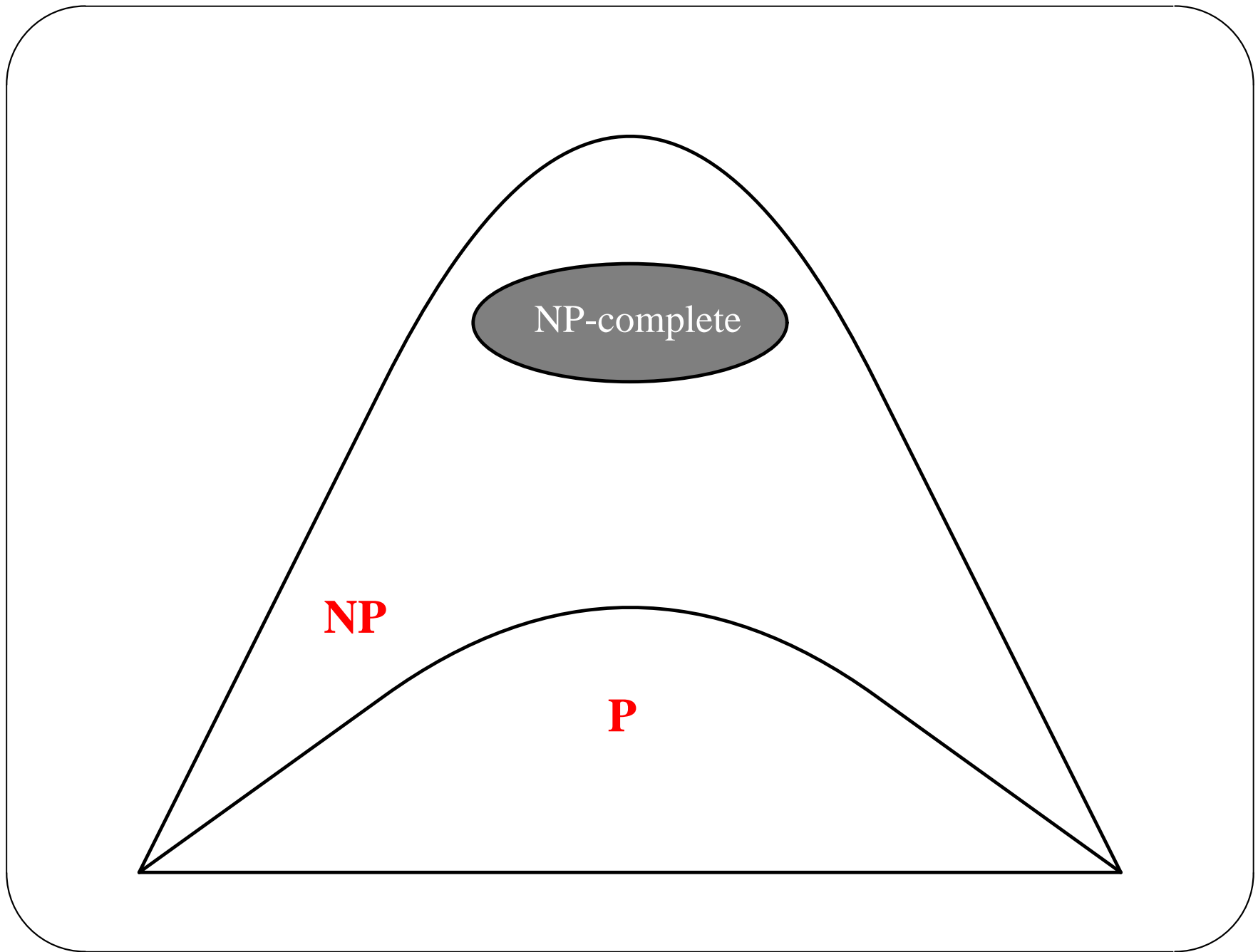
## NP-complete Problems

A decision problem in **NP** is called **NP-complete** if any other problem in **NP** can be efficiently reduced to this problem. So an **NP-complete** problem is hardest problem in the class **NP** and an efficient solution for that implies efficient solution for any other problem in **NP**.

**Formal definition:** The function  $f(N)$  (for  $N \in \mathcal{A}$ ) defines a decision problem in **NP**. Then this problem is **NP-complete** if for any other problem  $g(M)$  (for  $M \in \mathcal{B}$ ) in **NP** there is a polynomial time computable function  $\varphi: \mathcal{B} \rightarrow \mathcal{A}$  such that

$$g(M) = 1 \quad \text{iff} \quad f(\varphi(M)) = 1.$$

**Satisfiability** and **3-coloring** are **NP-complete**.



## Qubits

A single qubit is a two–state system, such as a two–level atom

We denote two orthogonal states of a single qubit as

$$\{ |0\rangle, |1\rangle \}$$

So, the general stat of a single qubit can be represented as

$$a |0\rangle + b |1\rangle, \quad \text{where } a, b \in \mathbb{C} \text{ and } |a|^2 + |b|^2 = 1.$$

A system of two qubits  $x$  and  $y$ :

**product state:**  $(a_x |0\rangle_x + b_x |1\rangle_x) \otimes (a_y |0\rangle_y + b_y |1\rangle_y)$

**entangled state:**  $\frac{1}{\sqrt{2}} (|00\rangle + |11\rangle)$

## System of $n$ qubits

Here we use a **Hilbert space** of dimension  $2^n$

The  $2^n$  mutually orthogonal states of  $n$  qubits can be written as

$$\{ |x\rangle : x \text{ is an } n\text{-bit binary number} \}$$

for example, the orthogonal states of two qubits are

$$\{ |00\rangle, |01\rangle, |10\rangle, |11\rangle \}$$

the general state of such system is a **superposition** of the basic states

$$\sum_{j=1}^{2^n} \alpha_j |\psi_j\rangle, \quad \sum_{j=1}^{2^n} |\alpha_j|^2 = 1, \quad \psi_j \in \{0, 1\}^n$$

## Unitary Operations

An  $m \times m$  matrix  $M$  over  $\mathbb{C}$  is **unitary** if

$$M^\dagger \cdot M = I,$$

where  $M^\dagger$  is the **adjoint** matrix of  $M$ ; i.e.,  $M^\dagger = (M^{\text{tr}})^*$ , where  $*$  stands for complex conjugate.

**$U(m)$** : the group of  $m \times m$  unitary matrices

general form of a matrix in  **$U(2)$**

$$\begin{pmatrix} e^{i\alpha} \cos \theta & e^{i\beta} \sin \theta \\ -e^{-i\beta} \sin \theta & e^{-i\alpha} \cos \theta \end{pmatrix}$$

## Quantum Gates

A unitary operation on  $n$  qubits is called an  $n$ -bit quantum gate

This gate is represented by a matrix in  $U(2^n)$

$\sigma_x$ , NOT gate, 1-bit gate

$$\begin{array}{l} |0\rangle \mapsto |1\rangle \\ |1\rangle \mapsto |0\rangle \end{array} \quad \sigma_x(|a\rangle) = |1 \oplus a\rangle \quad \sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$\sigma_z$ , phase change gate, 1-bit gate

$$\begin{array}{l} |0\rangle \mapsto |0\rangle \\ |1\rangle \mapsto -|1\rangle \end{array} \quad \sigma_z(|a\rangle) = (-1)^a |a\rangle \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$



**H**, Hadamard transformation, 1-bit gate

$$\begin{aligned} |0\rangle &\mapsto \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \\ |1\rangle &\mapsto \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \end{aligned} \quad H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

Controlled-NOT = CNOT =  $\Lambda_1(\sigma_x)$ , 2-bit gate

$$|a\rangle |b\rangle \mapsto \begin{cases} |a\rangle |b\rangle & \text{if } a = 0 \\ |a\rangle \sigma_x(|b\rangle) & \text{if } a = 1 \end{cases} \quad \Lambda_1(\sigma_x)(|a\rangle |b\rangle) = |a\rangle |a \oplus b\rangle$$

$$\begin{aligned} |00\rangle &\mapsto |00\rangle, \\ |01\rangle &\mapsto |01\rangle, \\ |10\rangle &\mapsto |11\rangle, \\ |11\rangle &\mapsto |10\rangle. \end{aligned} \quad \Lambda_1(\sigma_x) = \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & & 1 \\ & & 1 & \end{pmatrix}$$

## Controlled- $U = \Lambda(U)$

an  $(m + 1)$ -bit gate, where  $U$  is an  $m$ -bit gate

$$|a\rangle |b\rangle \mapsto \begin{cases} |a\rangle |b\rangle & \text{if } a = 0, \\ |a\rangle U(|b\rangle) & \text{if } a = 1. \end{cases} \quad \Lambda(U) = \left( \begin{array}{c|c} I & \\ \hline & U \end{array} \right)$$

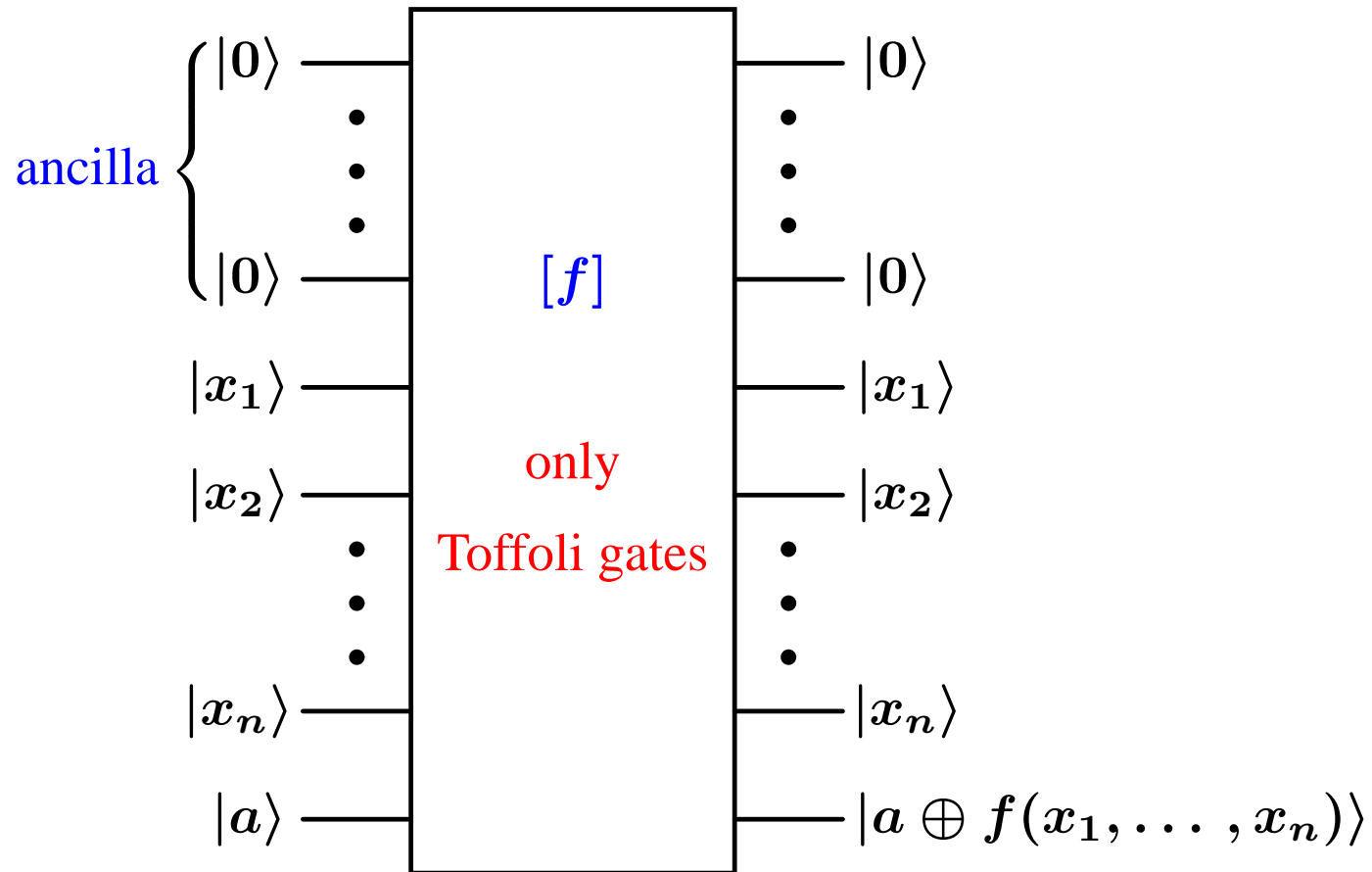
Tofolli gate, Controlled-Controlled-NOT,  $\Lambda_2(\sigma_x) = \Lambda_1(\Lambda_1(\sigma_x))$ ,  
3-bit gate

$$|a\rangle |b\rangle |c\rangle \mapsto \begin{cases} |a\rangle |b\rangle |c\rangle & \text{if } a = 0 \text{ or } b = 0, \\ |a\rangle |b\rangle \sigma_x(|c\rangle) & \text{if } a = b = 1. \end{cases}$$

$$\Lambda_2(\sigma_x)(|a\rangle |b\rangle |c\rangle) = |a\rangle |b\rangle |c \oplus (a \cdot b)\rangle$$

## Reversible computing of Boolean functions

$$f: \{0, 1\}^n \longrightarrow \{0, 1\}$$



It can be shown that if the Boolean function  $f: \{0, 1\}^n \longrightarrow \{0, 1\}$  can be computed by a Boolean circuit of size  $t$ , then the unitary transform

$$|x_1 \cdots x_n\rangle |b\rangle \mapsto |x_1 \cdots x_n\rangle |b \oplus f(x_1, \dots, x_n)\rangle$$

can be performed by a quantum circuit of  $t^c$  (for some constant  $c$ ) Toffoli gates.

**$n$ -bit Hadamard transform:  $H^{\otimes n} = H \otimes H \otimes \dots \otimes H$**

perform the Hadamard transform  $H$  on each bit of  $n$  qubits  $|x_1 \dots x_n\rangle$

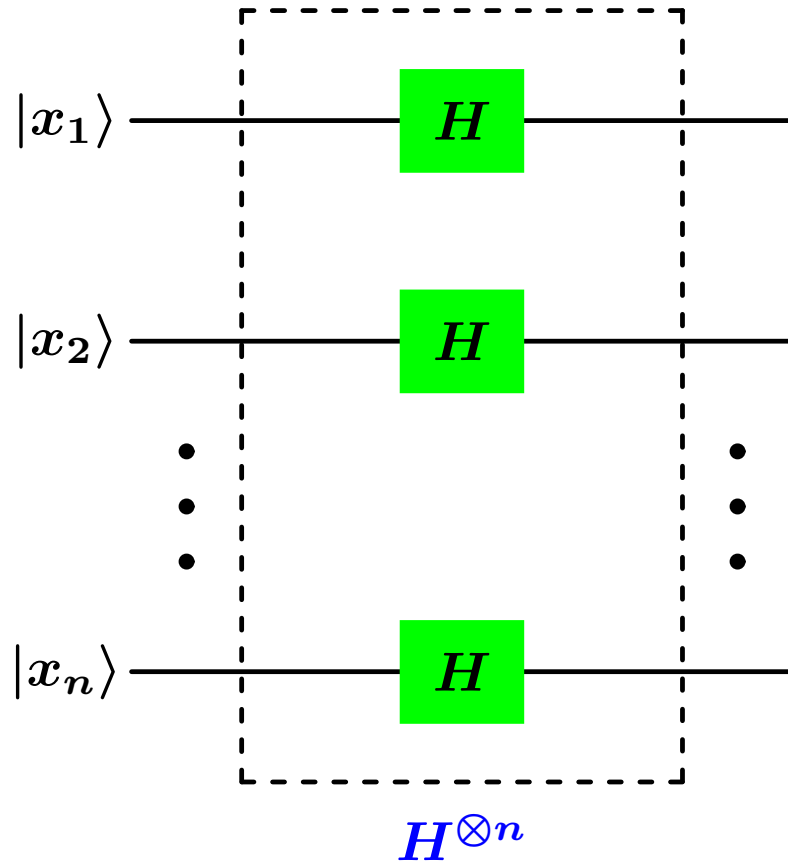
for example, in the case of  $n = 2$  the result for the state  **$|01\rangle$**  is

$$\begin{aligned} H(|0\rangle) \otimes H(|1\rangle) &= \left[ \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \right] \otimes \left[ \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \right] \\ &= \frac{1}{2}(|00\rangle - |01\rangle + |10\rangle - |11\rangle). \end{aligned}$$

In general, for any 0–1 vector  $x$  of length  $n$ , the result of applying the Hadamard transform on  $|x\rangle$  is equal to

$$H^{\otimes n}(|x\rangle) = \frac{1}{\sqrt{2^n}} \sum_{y \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle,$$

where  $x \cdot y$  is the inner product mod 2.



**Special Case:** performing the Hadamard transform on  $n$  qubits  $|0 \cdots 0\rangle$  results in

$$\frac{1}{2^n} \sum_{y \in \{0,1\}^n} |y\rangle$$

So, starting from  $|0 \cdots 0\rangle$ , by performing only  $n$  quantum gates it is possible to get the superposition of all  $2^n$  basic states.

$$|00\rangle \xrightarrow{H^{\otimes 2}} \frac{1}{2}(|00\rangle + |01\rangle + |10\rangle + |11\rangle)$$

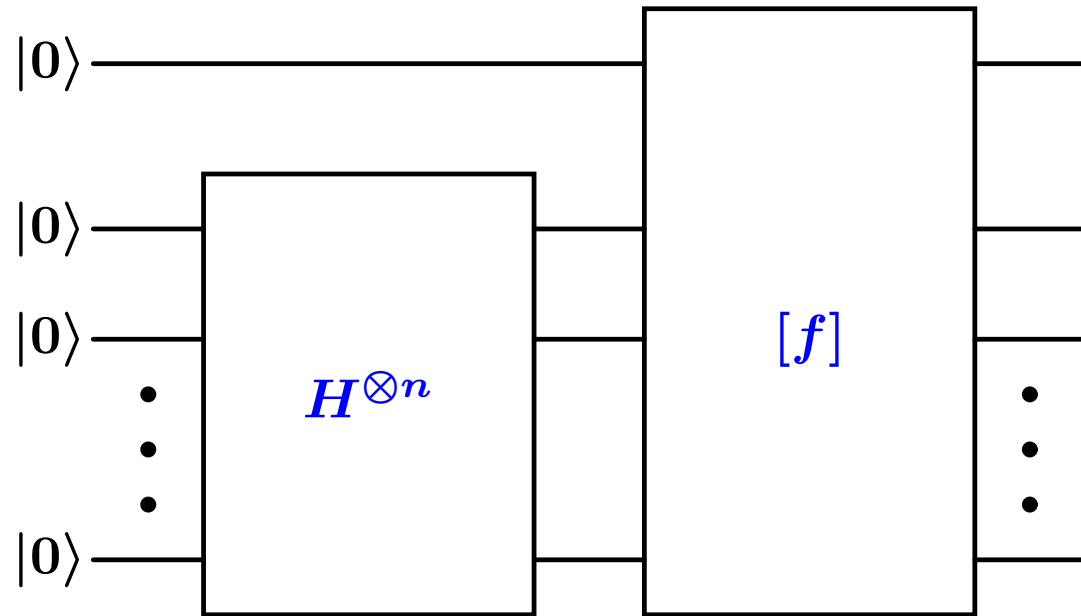
$$|11\rangle \xrightarrow{H^{\otimes 2}} \frac{1}{2}(|00\rangle - |01\rangle - |10\rangle + |11\rangle)$$

$$\frac{1}{\sqrt{2}}(|00\rangle + |11\rangle) \xrightarrow{H^{\otimes 2}} \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$$

$$\frac{1}{\sqrt{2}}(|00\rangle - |11\rangle) \xrightarrow{H^{\otimes 2}} \frac{1}{\sqrt{2}}(|01\rangle + |10\rangle)$$

**interference:** phase matters





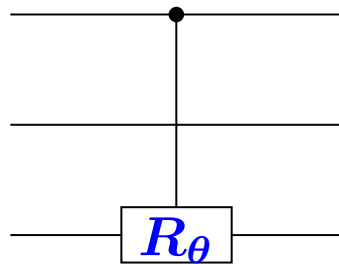
$$\text{output} = \frac{1}{\sqrt{2^n}} \sum_{a_1, \dots, a_n \in \{0,1\}} |a_1 \dots a_n\rangle |f(a_1, \dots, a_n)\rangle$$

## Universal Quantum Basis

A set  $\mathcal{B}$  of quantum gates is called **universal** if every unitary operator  $U \in U(2^m)$ , for any  $m$ , can be **approximated** within a given  $\epsilon > 0$  with a quantum circuit consists of  $\mathcal{B}$  gates.

## Known Universal Quantum basis

Deutsch's gate: 3-bit gate



$$R_\theta = \begin{pmatrix} \cos \theta & i \sin \theta \\ i \sin \theta & \cos \theta \end{pmatrix}$$

$$\Lambda_2(R_\theta) = \left( \begin{array}{ccc|c} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \\ \hline & & & R_\theta \end{array} \right), \quad \theta \text{ is an irrational multiple of } \pi$$


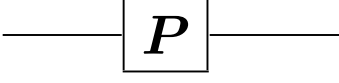
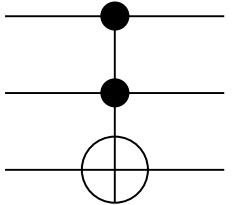
—Barenco:  $\Lambda_1(R_\theta)$ , 2-bit gate

$$\Lambda_1(R_\theta) = \left( \begin{array}{c|c} 1 & \\ \hline & 1 \\ \hline & R_\theta \end{array} \right)$$

— Basis consists of CNOT and all 1-bit unitary operations; i.e.,

**CNOT** and **U(2)**

## Shor's basis

Hadamard gate, $H$	$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$	
$P = \sigma_z^{\frac{1}{2}}$	$\begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}$	
Toffoli gate	$\Lambda_2(\sigma_x)$	


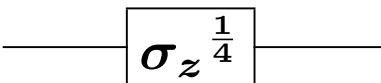
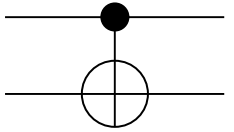
Shor's basis is both **universal** and **fault-tolerant**

Proof of universality:

- Shor
- Kitaev
- Boykin, Mor, Pulver, Roychowdhury, and Vatan
- Aharonov and Ben-Or

Another **universal** and **fault-tolerant** basis

by Boykin, Mor, Pulver, Roychowdhury, and Vatan

Hadamard gate, $H$	$\frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$	
$\sigma_z^{\frac{1}{4}}$	$\begin{pmatrix} 1 & 0 \\ 0 & e^{i\pi/4} \end{pmatrix}$	
CNOT	$\Lambda_1(\sigma_x)$	

## Measurement

### Example 1:

$$|S\rangle = \sqrt{\frac{1}{3}} |00\rangle + \sqrt{\frac{2}{3}} |11\rangle$$

$$\text{measurement} \longrightarrow \begin{cases} |00\rangle & \text{with probability } \frac{1}{3} \\ |11\rangle & \text{with probability } \frac{2}{3} \end{cases}$$

### Example 2: partial measurement

$$\frac{1}{\sqrt{2}} (|01\rangle - |10\rangle)$$

first system measured  $\longrightarrow$

$$\begin{cases} |0\rangle & \text{with probability } \frac{1}{2} \text{ and the system collapses to } |1\rangle \\ |1\rangle & \text{with probability } \frac{1}{2} \text{ and the system collapses to } |0\rangle \end{cases}$$



**Example 3:**

$$\frac{1}{2} |0\rangle_1 (|0\rangle_2 - |1\rangle_2) + \frac{1}{2} |1\rangle_1 (|0\rangle_2 + |1\rangle_2)$$

first system measured  $\longrightarrow$

$$\begin{cases} |0\rangle & \text{the system collapses to } \frac{1}{\sqrt{2}} (|0\rangle_2 - |1\rangle_2) \\ |1\rangle & \text{the system collapses to } \frac{1}{\sqrt{2}} (|0\rangle_2 + |1\rangle_2) \end{cases}$$

## Computing with Quantum Circuits

$C$  a quantum circuit with one of its qubits marked as **output**

The probability of  $C$  outputs 0 or 1 is the probability of measuring 0 or 1 at the output; i.e., if the state of the output is

$$|0\rangle_{\text{output}} |A_0\rangle + |1\rangle_{\text{output}} |A_1\rangle$$

then

$$\text{Prob}[C \text{ outputs } 0] = \| |A_0\rangle \|^2, \quad \text{Prob}[C \text{ outputs } 1] = \| |A_1\rangle \|^2,$$

where  $\|V\|^2$  is the length of the vector  $V \in \mathbb{C}^{2^m}$ . Note that  $\| |A_0\rangle \|^2 + \| |A_1\rangle \|^2 = 1$ , because the transformation of  $C$  is **unitary**.

The quantum circuit  $C$  **computes** a Boolean function

$f: \{0, 1\}^n \longrightarrow \{0, 1\}$  iff

Prob [on any input, the output of  $C$  is equal to the value of  $f$ ]  $\geq \frac{2}{3}$ .

If in the above, the probability is always 1, then we say that  $C$  **exactly computes**  $f$ .

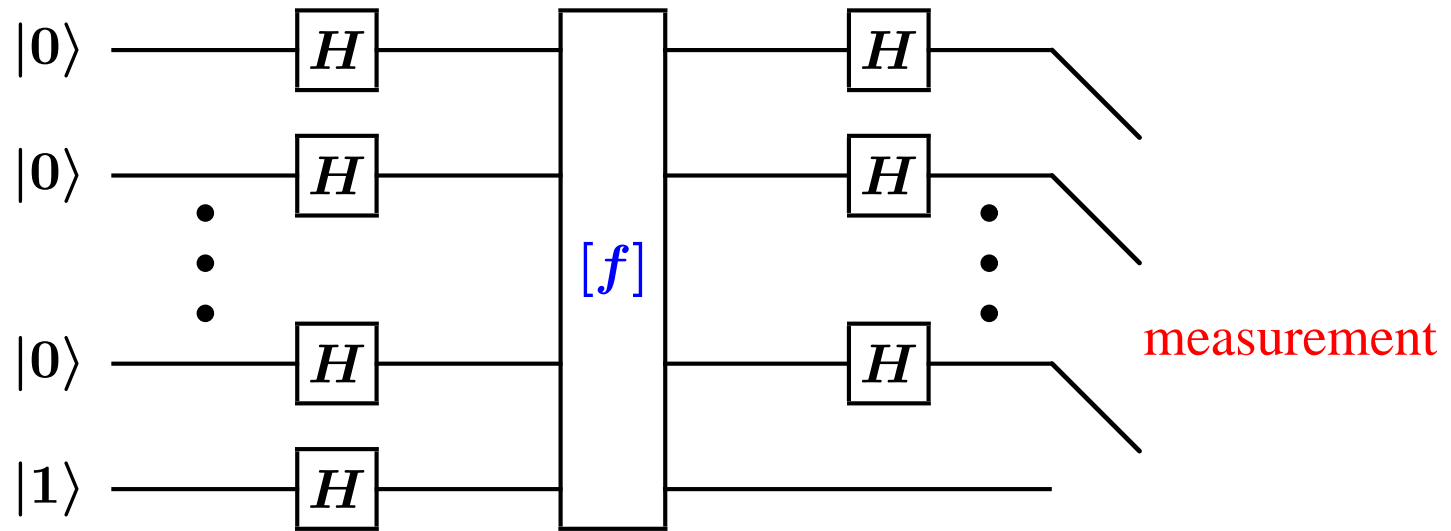
**BQP** is the class of problems computable by polynomial size uniform quantum circuit.

**EQP** is the class of problems exactly computable by polynomial size uniform quantum circuit.

## Deutsch–Josza Algorithm

A Boolean function  $f : \{0, 1\}^n \longrightarrow \{0, 1\}$  is given.

It is known that  $f$  is either **constant** or **balanced** (i.e., takes values 0 and 1 an equal number of times). The problem is to decide whether  $f$  is constant or balanced.



$$[f] : |x\rangle |y\rangle \mapsto |x\rangle |y \oplus f(x)\rangle$$

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

**Step 1:** prepare the state

$$|00 \cdots 0\rangle (|0\rangle - |1\rangle),$$

where the first register consists of  $n$  qubits.

**Step 2:** perform the Hadamard transform on the first  $n$  qubits

$$\begin{aligned} & \sum_{x \in \{0,1\}^n} |x\rangle (|0\rangle - |1\rangle). \\ &= \sum_{x \in \{0,1\}^n} |x\rangle |0\rangle - \sum_{x \in \{0,1\}^n} |x\rangle |1\rangle. \end{aligned}$$

**Step 3:** apply

$$[f] : |x\rangle |y\rangle \mapsto |x\rangle |y \oplus f(x)\rangle$$

the result is

$$\sum_{x \in \{0,1\}^n} |x\rangle |0 \oplus f(x)\rangle - \sum_{x \in \{0,1\}^n} |x\rangle |1 \oplus f(x)\rangle.$$

note that for  $a \in \{0, 1\}$

$$|0 \oplus a\rangle - |1 \oplus a\rangle = (-1)^a (|0\rangle - |1\rangle).$$

so the result of this step is

$$\left[ \sum_{x \in \{0,1\}^n} (-1)^{f(x)} |x\rangle \right] (|0\rangle - |1\rangle).$$

note that

$$\sum_{x \in \{0,1\}^n} (-1)^{f(x)} |x\rangle$$

$$= \begin{cases} |A\rangle = \pm \sum_{x \in \{0,1\}^n} |x\rangle & \text{if } f \text{ is constant,} \\ |B\rangle & \text{if } f \text{ is balanced,} \end{cases}$$

where  $|B\rangle$  is orthogonal to  $|A\rangle$ .



**Step 4:** apply the Hadamard transform  $H^{\otimes n}$  on the first  $n$  qubits

note that

$$H^{\otimes n} |A\rangle = \pm |00 \cdots 0\rangle, \quad \text{because } H^{-1} = H.$$

$H^{\otimes n} |B\rangle$  is orthogonal to  $H^{\otimes n} |A\rangle = \pm |00 \cdots 0\rangle$ , because the unitary operation  $H^{\otimes n}$  maps orthogonal states to orthogonal ones.

$$\text{output} = \begin{cases} \pm |00 \cdots 0\rangle (|0\rangle - |1\rangle) & \text{if } f \text{ is constant} \\ |C\rangle (|0\rangle - |1\rangle) & \text{if } f \text{ is balanced} \end{cases}$$

where  $|C\rangle$  is orthogonal to  $|00 \cdots 0\rangle$

To get the answer, **measure** the first  $n$  qubits then

$f$  is constant **if and only if** we observe  $n$  zeros

## Simon's algorithm

$f: \{0, 1\}^n \longrightarrow \{0, 1\}^m$ , with  $m \geq n$ ,

there is a **unique vector**  $s \in \{0, 1\}^n$  such that for every  $x, y \in \{0, 1\}^n$  if  $x \neq y$  then

$$f(x) = f(y) \iff y = x \oplus s$$

Note that if  $s = \mathbf{0}$  then  $f$  is a one-to-one function. In the case of  $s \neq \mathbf{0}$ , the set  $\{0, 1\}^n$  is partitioned to pairs of the form  $\{x, x \oplus s\}$ , where  $f$  is constant on each such pairs and it has different values for different pairs.

### Simon's Problem

**Instance:** such function  $f: \{0, 1\}^n \longrightarrow \{0, 1\}^m$  is given where  $m \geq n$  and  $s$  may be  $\mathbf{0}$  or not.

**Question:** What is  $s$ ?

## Simon's Subroutine

1. Prepare a state  $|00 \dots 0\rangle$  of  $n + m$  zeros and apply the Hadamard transform  $H^{\otimes n}$  on the first  $n$  qubits, producing the superposition

$$2^{-n/2} \sum_{x \in \{0,1\}^n} |x\rangle |0\rangle .$$

2. Compute  $f(x)$  and save the result in the last qubit, producing

$$2^{-n/2} \sum_{x \in \{0,1\}^n} |x\rangle |f(x)\rangle .$$

3. Perform  $H^{\otimes n}$  on the first  $n$  qubits again, producing the superposition

$$2^{-n} \sum_{y \in \{0,1\}^n} \sum_{x \in \{0,1\}^n} (-1)^{x \cdot y} |y\rangle |f(x)\rangle .$$

If  $s \neq 0$  then  $|y\rangle |f(x)\rangle = |y\rangle |f(x \oplus s)\rangle$

The **amplitude** of the state  $|y\rangle |f(x)\rangle$  is equal to

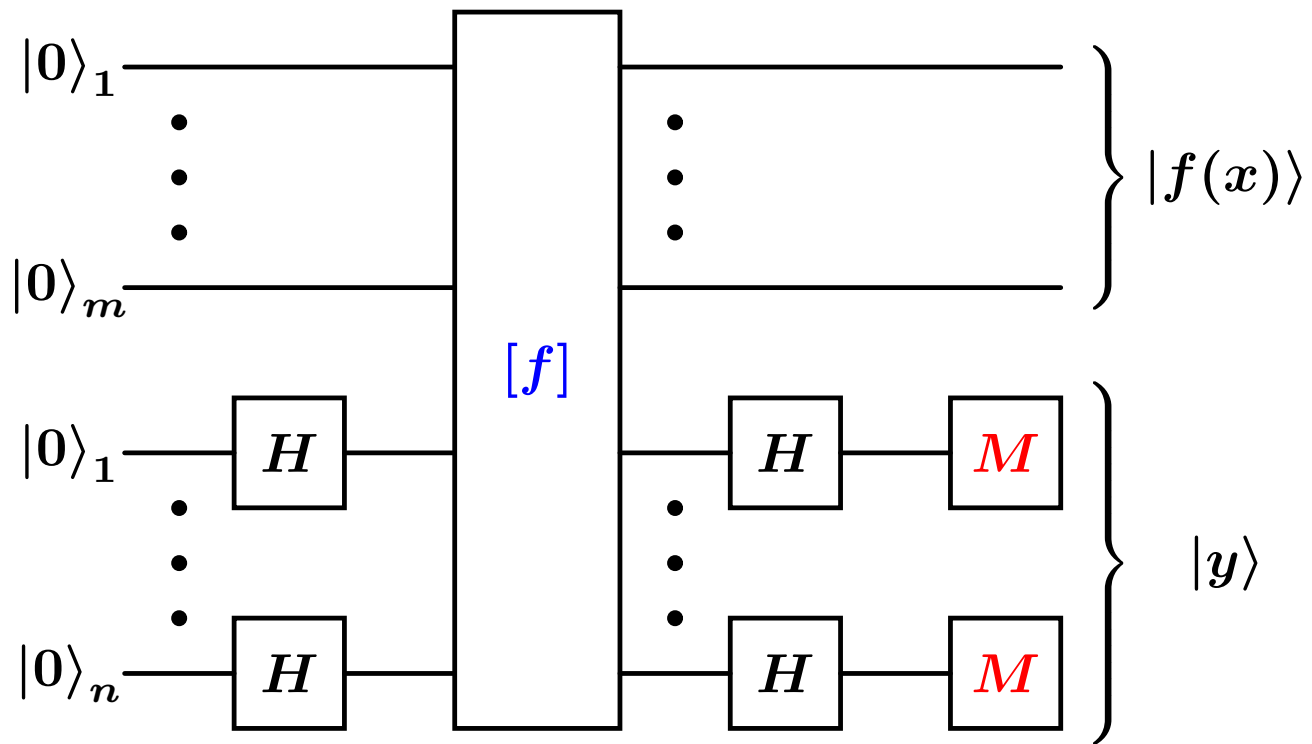
$$\alpha(x, y) = 2^{-n} \left( (-1)^{x \cdot y} + (-1)^{(x \oplus s) \cdot y} \right) = \begin{cases} 2^{-n+1} & \text{if } y \cdot s = 0, \\ 0 & \text{otherwise.} \end{cases}$$

$$s^\perp = \{ v \in \{0, 1\}^n : s \cdot v = 0 \pmod{2} \}$$

The output superposition is actually equal to

$$2^{-n+1} \sum_{y \in s^\perp} \sum_{x \in \{0, 1\}^n} (-1)^{x \cdot y} |y\rangle |f(x)\rangle .$$

Therefore, **measuring** the first  $n$  qubits in this case is equivalent to **randomly** choosing an element of the  $(n - 1)$ -dimensional space  $s^\perp$  under the uniform distribution.



Now after repeating the Simon's Subroutine  $O(n)$  times and measuring the first  $n$  qubits, with high probability we find a **basis** for  $s^\perp$ ; and thus it is possible to find out  $s$ .

Actually the following lemma shows that we need to repeat the subroutine at most  $5n$  times to make sure that the probability of failure is at most  $2^{-\lambda n}$ , for some fixed  $\lambda > 0$ .

**Lemma.** Let  $X$  be an  $\ell$ -dimensional subspace of  $\{0, 1\}^n$ . We choose randomly and independently  $5\ell$  vectors from  $X$  under the uniform distribution. The probability that there are less than  $\ell$  independent vectors among the chosen ones is at most  $2^{-\lambda \ell}$ , for some fixed  $\lambda > 0$ .

## Grover's algorithm: Quantum search

A Boolean function  $T: \{0, 1\}^n \longrightarrow \{0, 1\}$  such that  $T(x) = 1$  for **only one** value  $x = x_0$ .

Find:  $x_0$ .

We assume that  $T$  can be evaluated in unit time.

Any classical algorithm (deterministic or probabilistic) for this problem needs to check at least  $\frac{1}{2}2^n$  vectors of  $\{0, 1\}^n$ .

Grover's algorithm shows the quantum computer can solve this problem in time  $O(\sqrt{2^n})$ .

Fix  $c \in \{0, 1\}^n$ , we define the **unitary** operator  $\mathcal{S}_c$  as

$$\text{for } |x\rangle \in \mathbb{C}^{2^n}, \quad \mathcal{S}_c(|x\rangle) = \begin{cases} -|x\rangle & \text{if } x = c, \\ |x\rangle & \text{otherwise.} \end{cases}$$

We will apply  $\mathcal{S}_0$ , for  $c = 0$ , and  $\mathcal{S}_{x_0}$ . The operator  $\mathcal{S}_0$  can be computed efficiently (in time polynomial in  $n$ );

In the beginning we do not know  $x_0$ , but still it is possible to compute  $\mathcal{S}_{x_0}$  efficiently, if we assume that  $T(x)$  can be computed efficiently on each given input  $x \in \{0, 1\}^n$ .

The algorithm is based on iteration of the following transform:

$$G = -H^{\otimes n} \mathcal{S}_0 H^{\otimes n} \mathcal{S}_{x_0},$$



First we prepare the uniform superposition

$$|A\rangle = 2^{-n/2} \sum_{x \in \{0,1\}^n} |x\rangle$$

Then we apply the transform  $G$  on  $|A\rangle$  repeatedly

The operator  $G$  maps each superposition of the form

$$k |x_0\rangle + \ell \sum_{x \neq x_0} |x\rangle$$

to itself.

Initially

$$k_0 = l_0 = \frac{1}{\sqrt{2^n}}$$

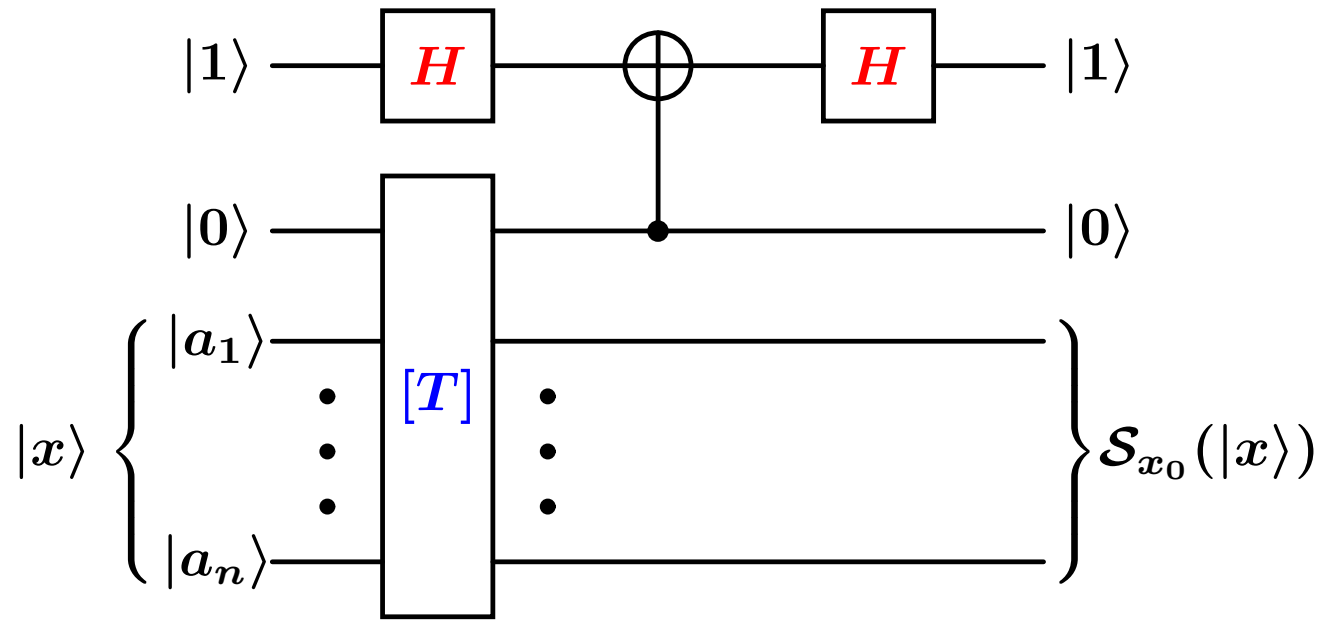
and after  $j$  iteration of  $G$ , for  $\sin \theta = \frac{1}{\sqrt{2^n}}$ ,

$$k_j = \sin((2j + 1)\theta),$$

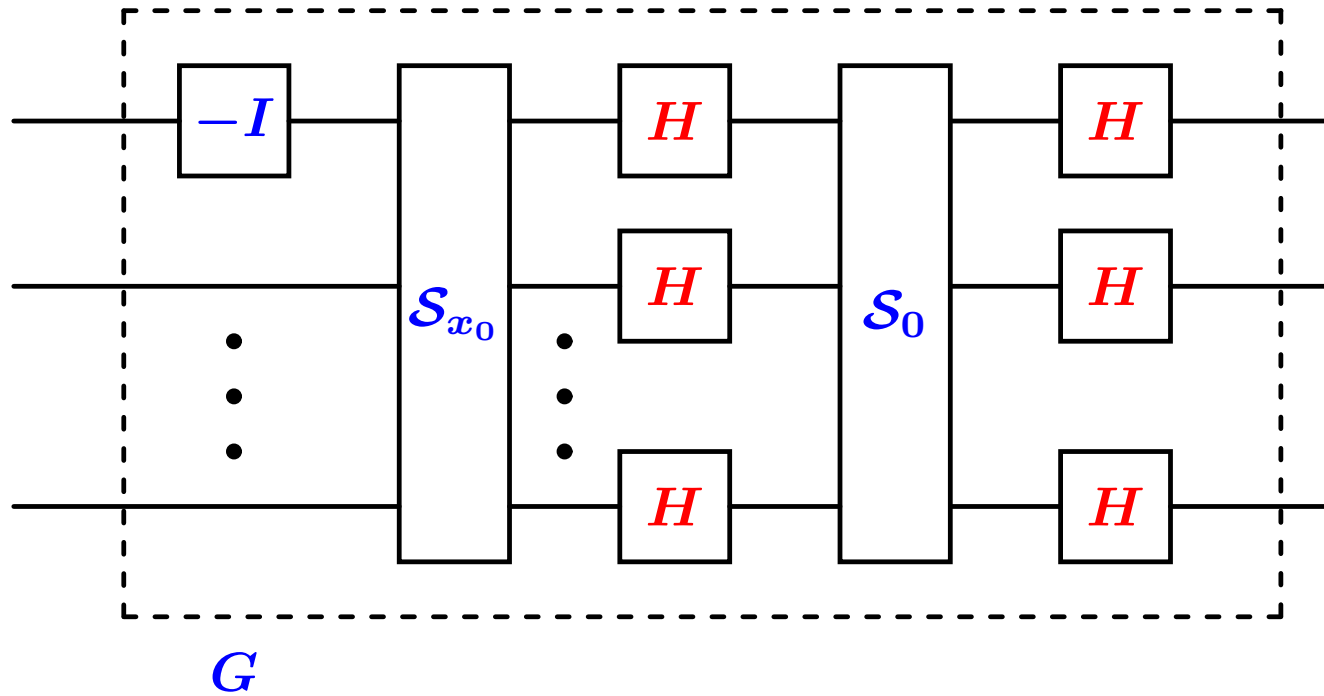
$$l_j = \frac{1}{\sqrt{2^n - 1}} \cos((2j + 1)\theta),$$

After  $m$  iterations the probability of measuring  $x_0$ , i.e.  $k_m^2$ , is very close to 1 if

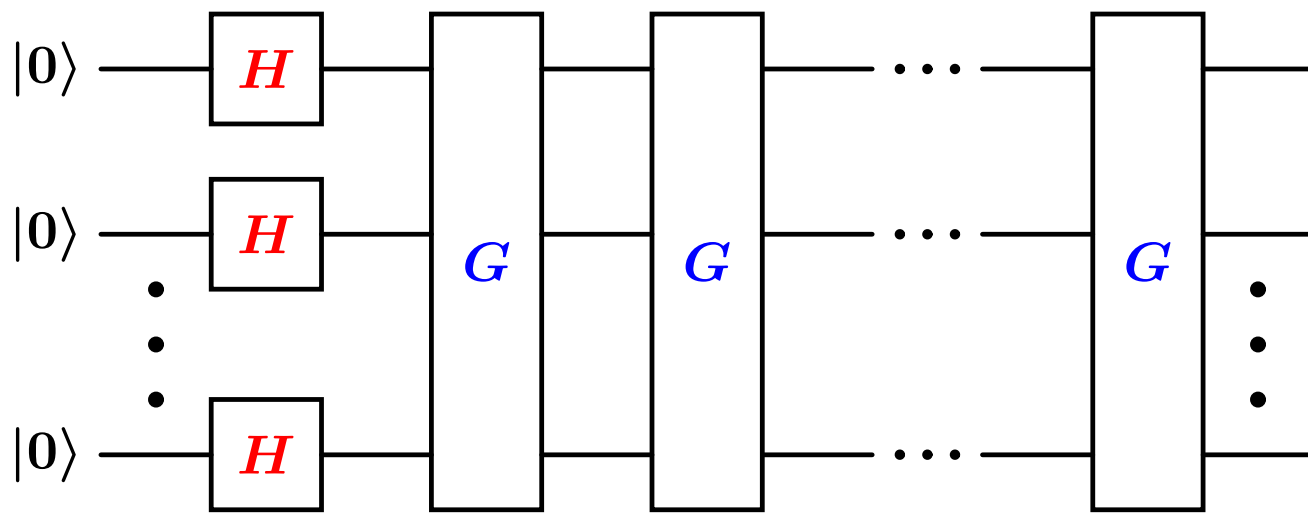
$$m = \left\lfloor \frac{\pi}{4} \sqrt{2^n} \right\rfloor$$



Computing the unitary operator  $\mathcal{S}_{x_0}$



Computing the unitary operator  $G$



Search algorithm

## Quantum Factorization Algorithm

**input:** composite number  $N$ .

**output:** a factor of  $N$ .

To solve this problem, it is enough to find a **nontrivial** solution for

$$x^2 \equiv 1 \pmod{N}$$

i.e.,  $x \equiv a \pmod{N}$ ,  $|a| < N$ , and  $a \neq \pm 1$ .

Then  $N$  divides  $(a + 1)(a - 1)$  but  $N$  does not divide  $a \pm 1$ , so

$$\text{g.c.d.}(N, a + 1) \text{ or } \text{g.c.d.}(N, a - 1)$$

is a **factor** of  $N$ .

**Example:** If  $N = 15$  then  $a = 11$  is a nontrivial solution, because  $11^2 = 121 = 8 \times 15 + 1 \equiv 1 \pmod{15}$ ; then  $(a + 1)(a - 1) = 120 = 8 \times 15$  and

$$\text{g.c.d.}(15, 12) = 3 \text{ and } \text{g.c.d.}(15, 10) = 5.$$

How to find a nontrivial solution?

Given  $N$  choose a **random**  $y < N$ .

If  $y$  and  $N$  are coprime, then let  $r$  be the **order** of  $y \pmod N$ ; i.e., the **least** positive integer  $r$  such that

$$y^r \equiv 1 \pmod N.$$

For example if  $N = 15$  and  $y = 8$  then the order of  $y$  is 4, because  $8^4 \equiv 1 \pmod{15}$  and 4 is the least number with this property.

If  $r$  is even then  $x = y^{r/2}$  is a candidate for a nontrivial solution, because for random  $y$

$$\text{Prob} [(N, y) = 1] > \frac{1}{\log N};$$

and if  $(N, y) = 1$

$$\text{Prob} [r \text{ is even and } y^{r/2} \not\equiv \pm 1 \pmod N] > \frac{1}{2}.$$



So if we have an algorithm which provides the **order**  $r$  of  $y \pmod{N}$  (when  $(N, y) = 1$ ) then we can find a factor of  $N$ . Thus the original factorization problem reduces to the following.

**input:** integers  $x$  and  $N$  such that  $(x, N) = 1$ .

**output:** least  $r$  such that  $x^r \equiv 1 \pmod{N}$ .

## Shor's Algorithm

Let  $q = 2^\ell$  such that  $N^2 \leq q \leq 2N^2$ . Each register is of  $\ell$  qubits.

**Step (I):** using Hadamard transform, needs  $O(\ell) = O(\log N)$  operations

$$|0\rangle |0\rangle \xrightarrow{H^{\otimes \ell}} \sum_{a=0}^{q-1} |a\rangle |0\rangle$$

**Step (II):** needs  $O(\log^2 N)$  operations

$$\sum_{a=0}^{q-1} |a\rangle |0\rangle \mapsto \sum_{a=0}^{q-1} |a\rangle |x^a \pmod{N}\rangle$$

**Step (III):** apply Fourier transform, needs  $O(\log^2 n)$  operations

$$\sum_{a=0}^{q-1} |a\rangle |x^a \pmod{N}\rangle \longmapsto \sum_{a=0}^{q-1} \sum_{c=0}^{q-1} e^{\frac{2\pi i ac}{q}} |c\rangle |x^a \pmod{N}\rangle$$

**Step (IV):** observe the machine

$$|c\rangle |x^a \pmod{N}\rangle$$

**Step (V):** there is at most one fraction  $\frac{d}{r}$  with  $0 < r < N$  such that  $(d, r) = 1$  and  $\left| \frac{c}{q} - \frac{d}{r} \right| \leq \frac{1}{2q}$ . Find  $\frac{d}{r}$ . This needs  $\text{poly}(\log n)$  operations. **output:**  $r$

$$\text{Prob}[r \text{ is the order of } x \pmod{N}] \geq \frac{c}{\log \log N}.$$

## Quantum Fourier Transform

Fix integer  $q > 0$ .

Standard basis for  $\mathbb{C}^q$ :  $|0\rangle, |1\rangle, \dots, |q-1\rangle$

$\mathcal{F}_q$ : the **Fourier transform** on  $\mathbb{C}^q$

$$|a\rangle \xrightarrow{\mathcal{F}_q} \frac{1}{\sqrt{q}} \sum_{c=0}^{q-1} e^{\frac{2\pi i ac}{q}} |c\rangle$$

We construct a quantum circuit for  $\mathcal{F}_q$  when  $q = 2^\ell$ . In this case the state  $|a\rangle$  is also represented by binary expansion of  $a$  as  $|a_{\ell-1} a_{\ell-2} \cdots a_0\rangle$ .

**Example:**  $q = 4$ , the standard basis for  $\mathbb{C}^4$  is

$$|0\rangle = |00\rangle, \quad |1\rangle = |01\rangle, \quad |2\rangle = |10\rangle, \quad |3\rangle = |11\rangle$$

$$|00\rangle \xrightarrow{\mathcal{F}_4} \frac{1}{2} (|00\rangle + |01\rangle + |10\rangle + |11\rangle)$$

$$|01\rangle \xrightarrow{\mathcal{F}_4} \frac{1}{2} (|00\rangle + e^{i\frac{\pi}{2}} |01\rangle + e^{i\pi} |10\rangle + e^{i\frac{3\pi}{2}} |11\rangle)$$

$$|10\rangle \xrightarrow{\mathcal{F}_4} \frac{1}{2} (|00\rangle + e^{i\pi} |01\rangle + |10\rangle + e^{i\pi} |11\rangle)$$

$$|11\rangle \xrightarrow{\mathcal{F}_4} \frac{1}{2} (|00\rangle + e^{i\frac{3\pi}{2}} |01\rangle + e^{i\pi} |10\rangle + e^{i\frac{\pi}{2}} |11\rangle)$$

## Gates:

- $H_j$ : applies the Hadamard on  $j$ th bit
- $S_{j,k}$  applies the following transform on bits in positions  $j$  and  $k$  with  $j < k$

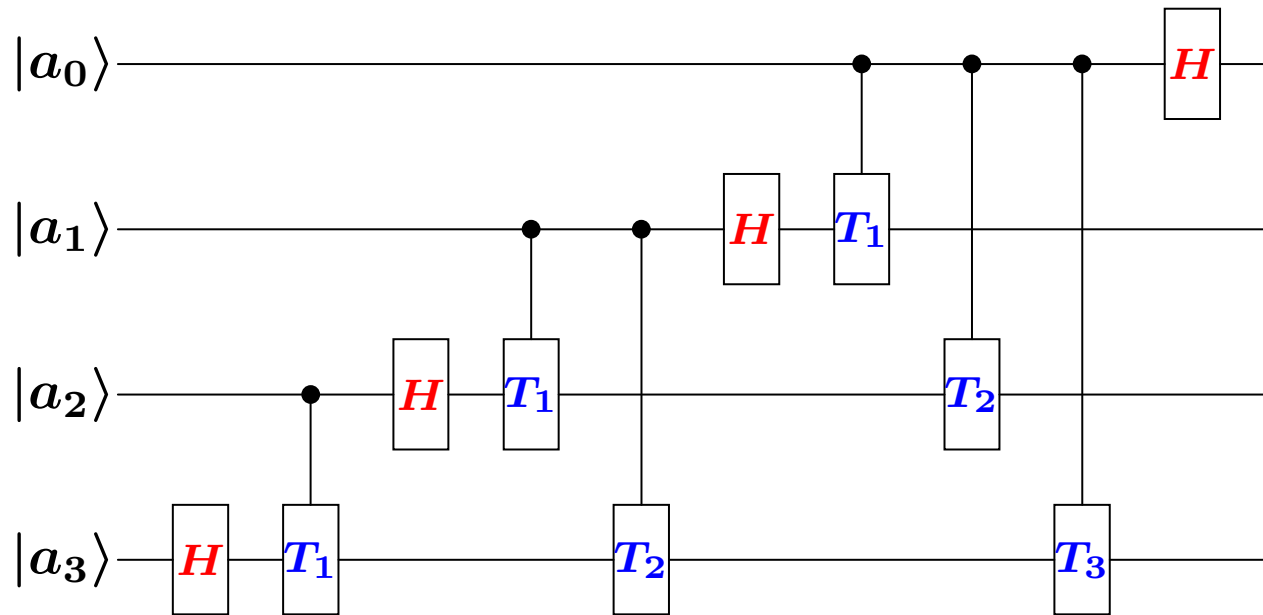
$$S_{j,k} = \Lambda_1(T_{k-j}) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & e^{i\theta_{k-j}} \end{pmatrix}, \quad \theta_{k-j} = \pi/2^{k-j}$$

apply from left to right  $H_{\ell-1}, S_{\ell-2,\ell-1}, H_{\ell-2}, S_{\ell-3,\ell-1}, S_{\ell-3,\ell-2},$   
 $H_{\ell-3}, \dots, H_1, S_{0,\ell-1}, S_{0,\ell-2}, \dots, S_{0,2}, S_{0,1}, H_0$

For example, when  $\ell = 4$  the order of the gates is

$$H_3, S_{2,3}, H_2, S_{1,3}, S_{1,2}, H_1, S_{0,3}, S_{0,2}, S_{0,1}, H_0$$

The number of gates is  $\ell(\ell - 1)/2$ .



Computing the Fourier transform  $\mathcal{F}_4$

## Kitaev's Algorithm

Given coprime integers  $x$  and  $n$ , find  $r$  the **order** of  $x$  mod  $n$ .

$$\mathcal{U}: |a\rangle \mapsto |ax \pmod{n}\rangle, \quad a = 0, 1, \dots, n-1$$

The unitary operator  $\mathcal{U}$  is a permutation on  $\{|0\rangle, |1\rangle, \dots, |n-1\rangle\}$ .

Each nontrivial cycle of this permutation has length  $r$ :

$$|a\rangle \longrightarrow |ax\rangle \longrightarrow |ax^2\rangle \longrightarrow \dots \longrightarrow |ax^{r-1}\rangle \longrightarrow |ax^r\rangle = |a\rangle$$

Corresponding to each cycle, we can find  $r$  eigenvectors of  $\mathcal{U}$ :

$$|x_\lambda\rangle = \frac{1}{\sqrt{r}} (|a\rangle + \lambda |ax\rangle + \lambda^2 |ax^2\rangle + \dots + \lambda^{r-1} |ax^{r-1}\rangle)$$

$$\mathcal{U} |x_\lambda\rangle = \lambda^{-1} |x_\lambda\rangle, \quad \text{if } \lambda = e^{\frac{2i\pi k}{r}}, \quad k = 0, 1, \dots, r-1$$



We will consider the eigenvectors when  $a = 1$ ; these are the following vectors, for  $k = 0, 1, \dots, r - 1$

$$|\psi_k\rangle = \frac{1}{\sqrt{r}} \sum_{j=0}^{r-1} \lambda_k^{-j} |x^j \pmod{n}\rangle = \frac{1}{\sqrt{r}} \sum_{j=0}^{r-1} e^{2\pi i \frac{jk}{r}} |x^j \pmod{n}\rangle$$

and they satisfy the following relation

$$|\mathbf{1}\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |\psi_k\rangle$$

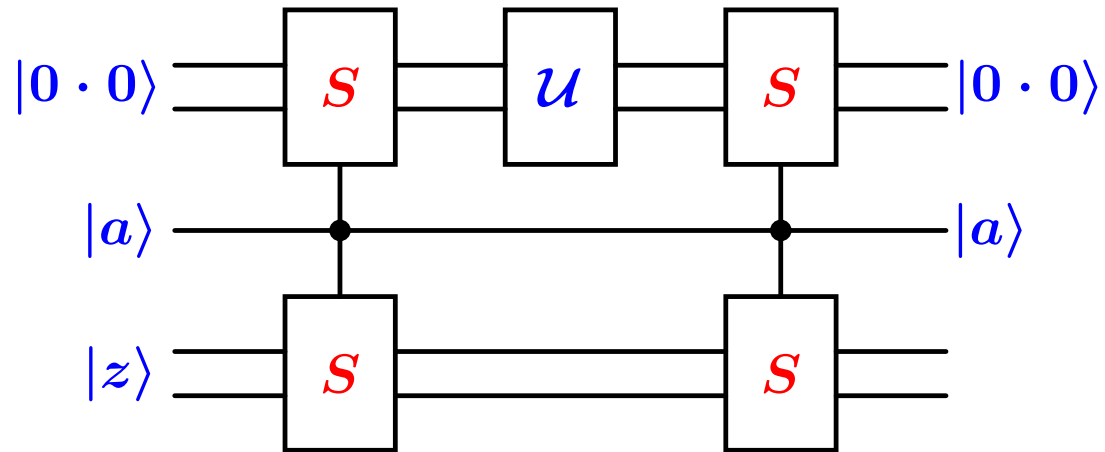
Although we do not have an efficient algorithm to prepare any of eigenvectors  $|\psi_k\rangle$ , for the moment we assume we have access to these eigenvectors and we show how we can compute the corresponding eigenvalues.

So we start with a unitary operator  $\mathcal{U}$  on  $\mathbb{C}^n$  and an eigenvector  $|y_\lambda\rangle$  of  $\mathcal{U}$  corresponding to the eigenvalue  $\lambda = e^{2\pi i\phi}$ , where  $\phi$  is a real number and  $0 \leq \phi < 1$ .

The goal is to design a quantum algorithm that computes (with high probability)  $\phi$ , and consequently the eigenvalue  $\lambda$ .

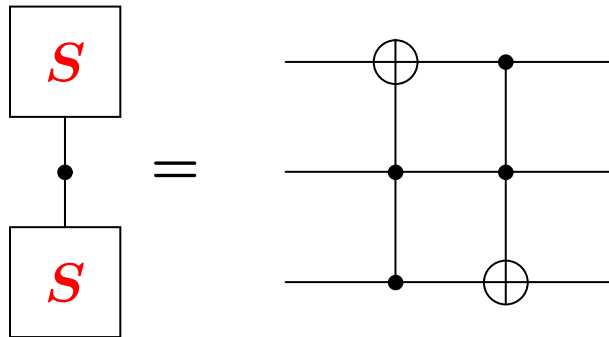
First, we define the operator  $\Lambda(\mathcal{U})$  on  $\mathbb{C}^{n+1}$  as follows

$$\Lambda(\mathcal{U}) (|a\rangle \otimes |z\rangle) = \begin{cases} |0\rangle \otimes |z\rangle & \text{if } a = 0, \\ |1\rangle \otimes \mathcal{U}(|z\rangle) & \text{if } a = 1. \end{cases}$$



$\Lambda(\mathcal{U})$  circuit, for  $\mathcal{U} |0 \dots 0\rangle = |0 \dots 0\rangle$

Controlled-swap gate:

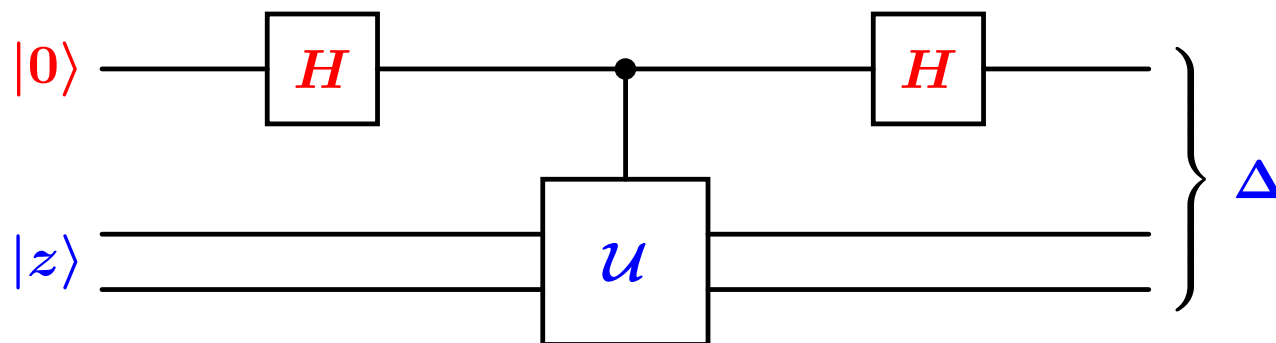


An algorithm for finding the phase  $\phi$ , given the eigenvector  $|y_\lambda\rangle$

76

First, we define the unitary operator  $\Delta$  on  $\mathbb{C}^{n+1}$  as the composition of the following operators. On the input  $|a\rangle |z\rangle$  (the first register is of one qubit and the second one is of  $\ell$  qubits)

- apply the Hadamard transform  $H$  on the first register,
- apply  $\Lambda(\mathcal{U})$ ,
- apply the Hadamard transform  $H$  again on the first register.



Then

$$\Delta(|0\rangle |y_\lambda\rangle) = |\xi_\lambda\rangle |y_\lambda\rangle$$
$$|\xi_\lambda\rangle = \frac{1+e^{2\pi i\phi}}{2} |0\rangle + \frac{1-e^{2\pi i\phi}}{2} |1\rangle$$

So measuring the first register results in 0 or 1 with the probabilities

$$p_0 = \frac{1 + \cos 2\pi\phi}{2}, \quad p_1 = \frac{1 - \cos 2\pi\phi}{2}$$

We apply  $\Delta$  with  $s$  different control qubits and measure the control qubits. Let the result of this measurements be  $b_1, \dots, b_s$ . Let  $m$  be the number of the times we observe “1”; i.e.,  $m = b_1 + \dots + b_s$ . Since  $b_1, \dots, b_s$  are independent random variables,  $m/s$  is very close to  $p_1$  with high probability. More specifically, for any constant  $\delta > 0$ ,

$$\text{Prob} \left[ \left| \frac{m}{s} - p_1 \right| > \delta \right] \leq e^{-c_\delta s}$$

Thus it is possible, by applying  $s$  times the operator  $\Delta$ , to find  $\cos 2\pi\phi$  with precision  $\delta$  and error probability  $\leq \varepsilon = \exp(-c_\delta s)$  (so  $s = O(\log(1/\varepsilon))$ ).

By applying the same procedure on  $i\mathcal{U}$  instead of  $\mathcal{U}$ , we will find  $-\sin 2\pi\phi$ . So the phase  $\phi$  can be measured with precision  $\delta$  and error probability  $\leq \varepsilon$  by applying  $O(\log(1/\varepsilon))$  times  $\Lambda(\mathcal{U})$ .

## How to compute the order $r$ ?

Since all the eigenvalues of  $\mathcal{U}$ , are of the form  $\exp\left(2\pi i \frac{k}{r}\right)$ , it is enough to compute one of the phases  $\phi = k/r$ .

Note that different phases are at least  $1/r$  apart each other, so if we compute any phase with  $\log(r) \leq \log(n)$  bit precision, we get the exact value of  $r$ .

We can not prepare any eigenvector of  $\mathcal{U}$  efficiently, the state  $|1\rangle$  is an equally weighted superposition of  $r$  eigenvectors of  $\mathcal{U}$ :

$$|1\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} |\psi_k\rangle$$

So if we apply the above procedure to the state  $|1\rangle$  with  $t$  control qubits (for an appropriate value of  $t$ ), the result is the superposition

$$\frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \underbrace{|\xi_{\lambda_k}\rangle \cdots |\xi_{\lambda_k}\rangle}_{t \text{ times}} |\psi_k\rangle.$$

The state  $|\xi_{\lambda_k}\rangle \cdots |\xi_{\lambda_k}\rangle$  of control qubits can be written as a superposition  $\sum_{\mathbf{x}} \alpha_{\mathbf{x}} |\mathbf{x}\rangle$ , where each  $\mathbf{x}$  is a  $t$ -bit integer. The terms in the last superposition can be grouped as

$$\sum_{c \in A_k} \alpha_c |c\rangle + \sum_{d \in B_k} \alpha_d |d\rangle,$$

where  $A_k$  is the set of all integers  $c$  such that the ratio of the numbers of 1's in the binary expansion of  $c$  gives the correct value  $p_1$  corresponding to the eigenvalue  $\lambda_k$ . Then

$$\sum_{c \in A_k} |\alpha_c|^2 \geq 1 - \epsilon.$$



Then the measurement of the control qubits gives the value  $k/r$  (for  $k$  randomly chosen from the integers  $0, 1, \dots, r - 1$ ) with high probability. Therefore, the denominator of the result is the value of the **order** of  $x \bmod n$ .