

# Introduction to Network Coding

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ÉCOLE POLYTECHNIQUE  
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# Introduction to Network Coding

## Monograph:

Network Coding: Theory and Applications

*C. Fragouli and E. Soljanin*

*Foundations and Trends in Networking*

*2007-2008*

<http://arni.epfl.ch>

# Introduction to Network Coding

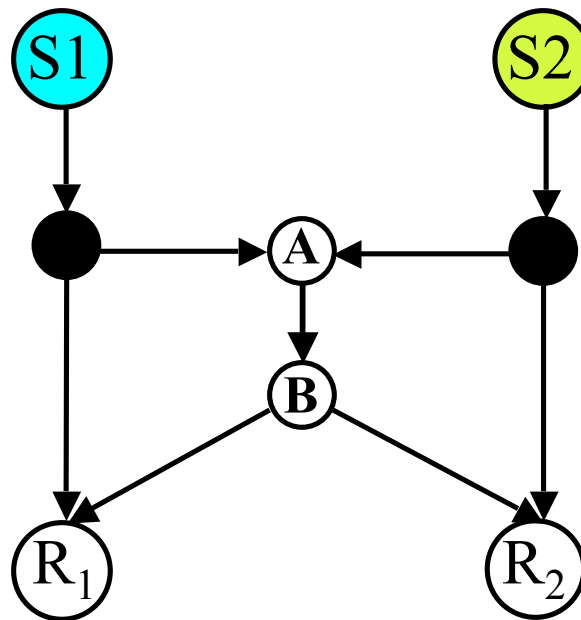
## General Context:

How we treat information when we want to communicate over a network.

**Traditionally information treated as fluid through pipes.**

# Network Coding

Ahlswede, Cai, Li, Yeung 2000



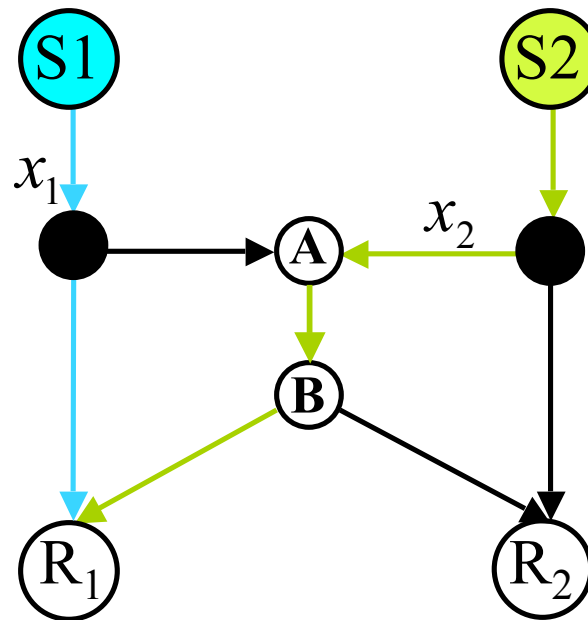
*Receiver 1*

*Receiver 2*



# Network Coding

Ahlswede, Cai, Li, Yeung 2000

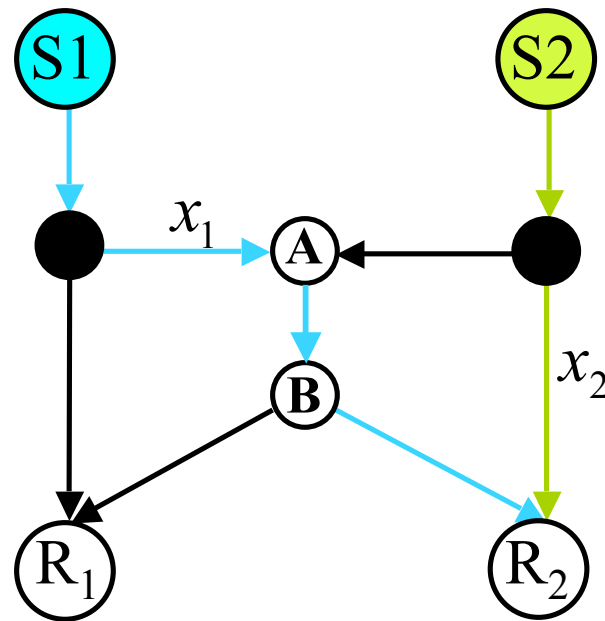


*Receiver 1*

*Receiver 2*

# Network Coding

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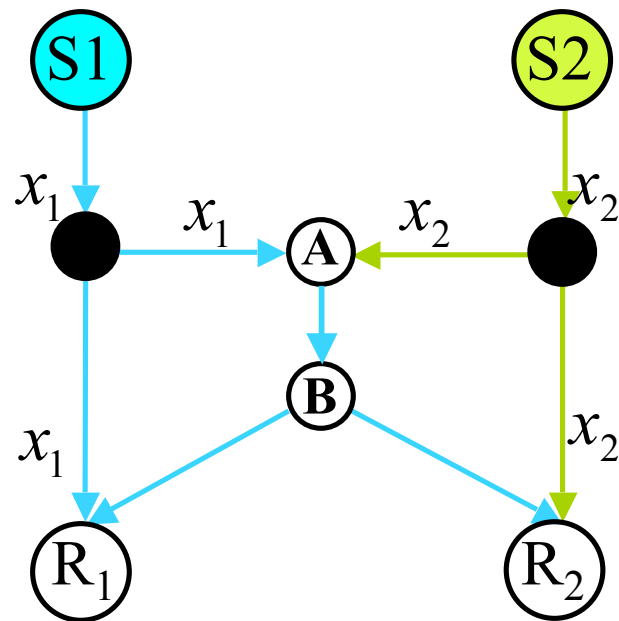


*Receiver 1*

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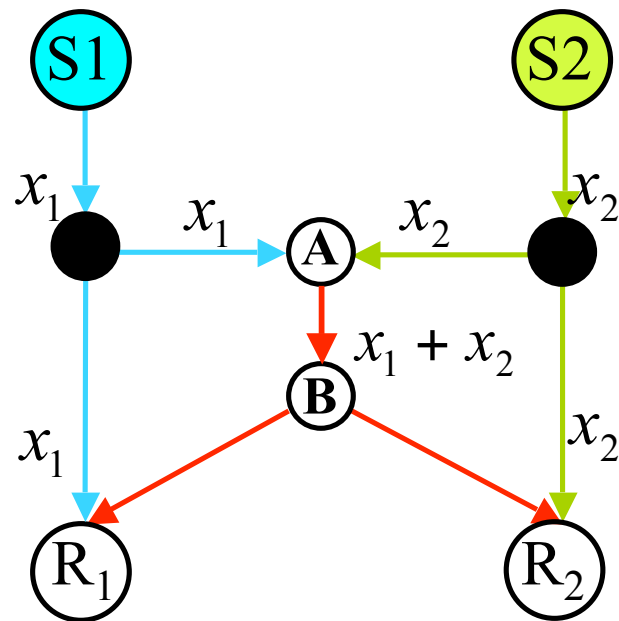


*Receiver 1*

*Receiver 2*

# Network Coding

Ahlsweede, Cai, Li, Yeung 2000

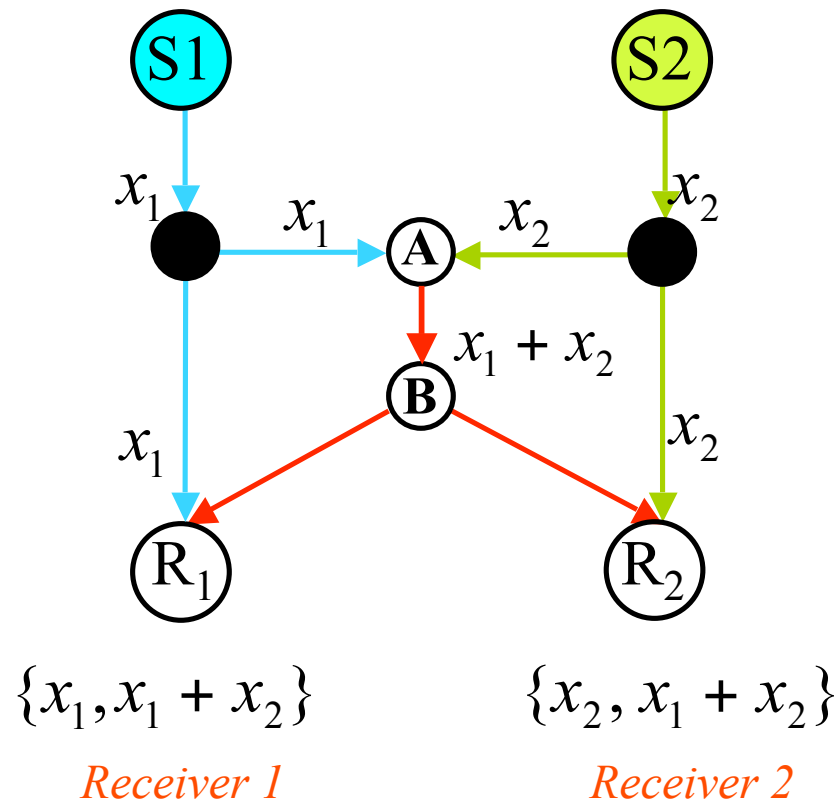


*Receiver 1*

*Receiver 2*

# Network Coding

Ahlsweede, Cai, Li, Yeung 2000

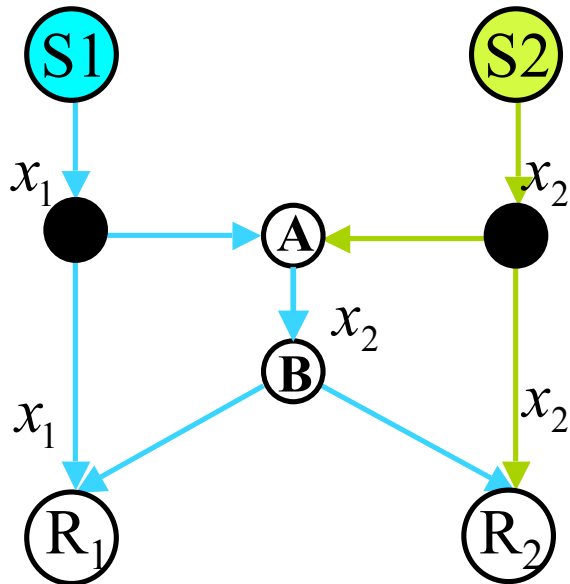


# New idea

Ahlsweide,Cai,Li,Yeung 2000

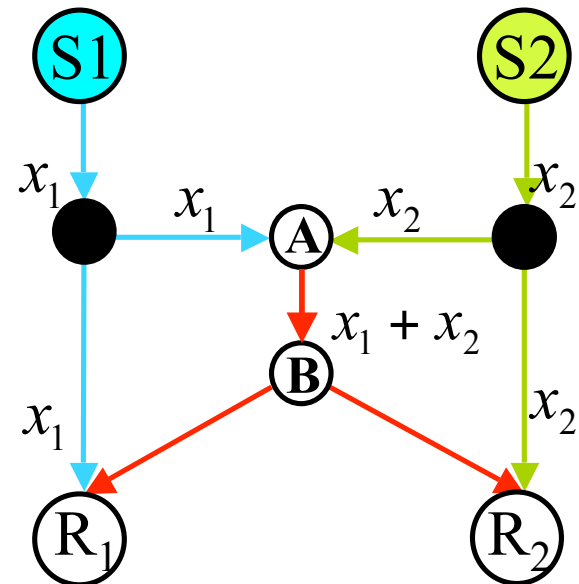
## Routing

Nodes in the network are only allowed to **forward** the incoming information flows



## Network Coding

Nodes in the network are allowed to **process** the incoming information flows



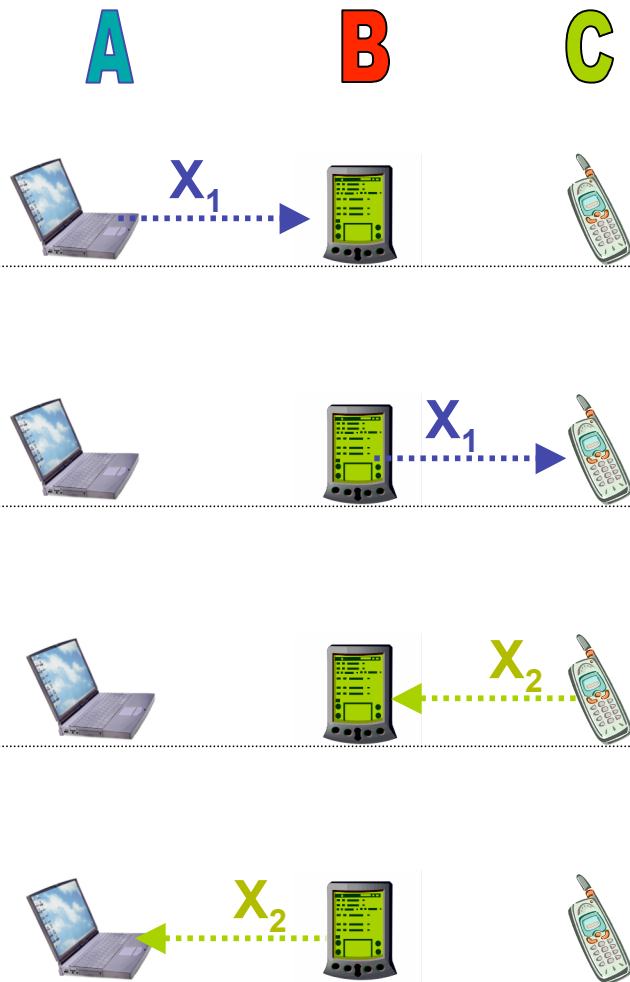
# Network Coding Research

- To explore and develop new fundamental approaches in information flow through networks.
- To have impact on applications: make new generation networks more efficient, reliable, and secure.

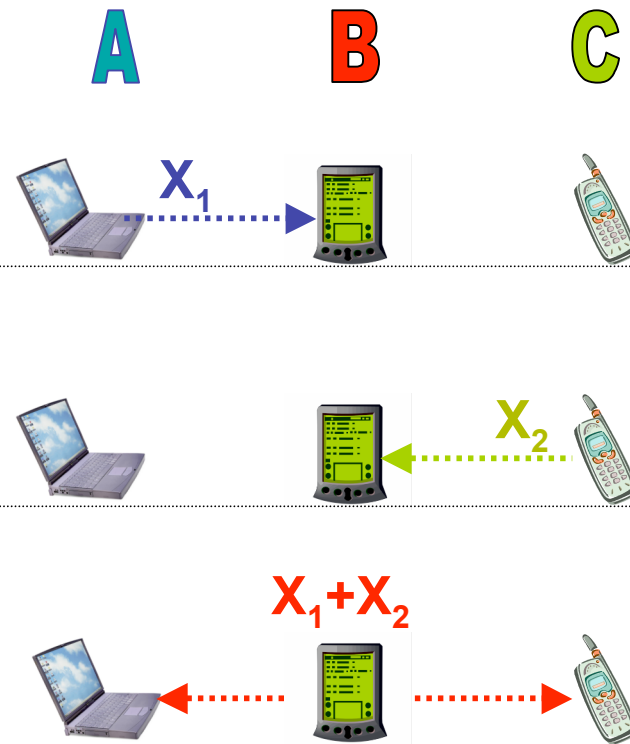
# Wireless Resources

## Introductory Example

### Traditional Method



### Network Coding

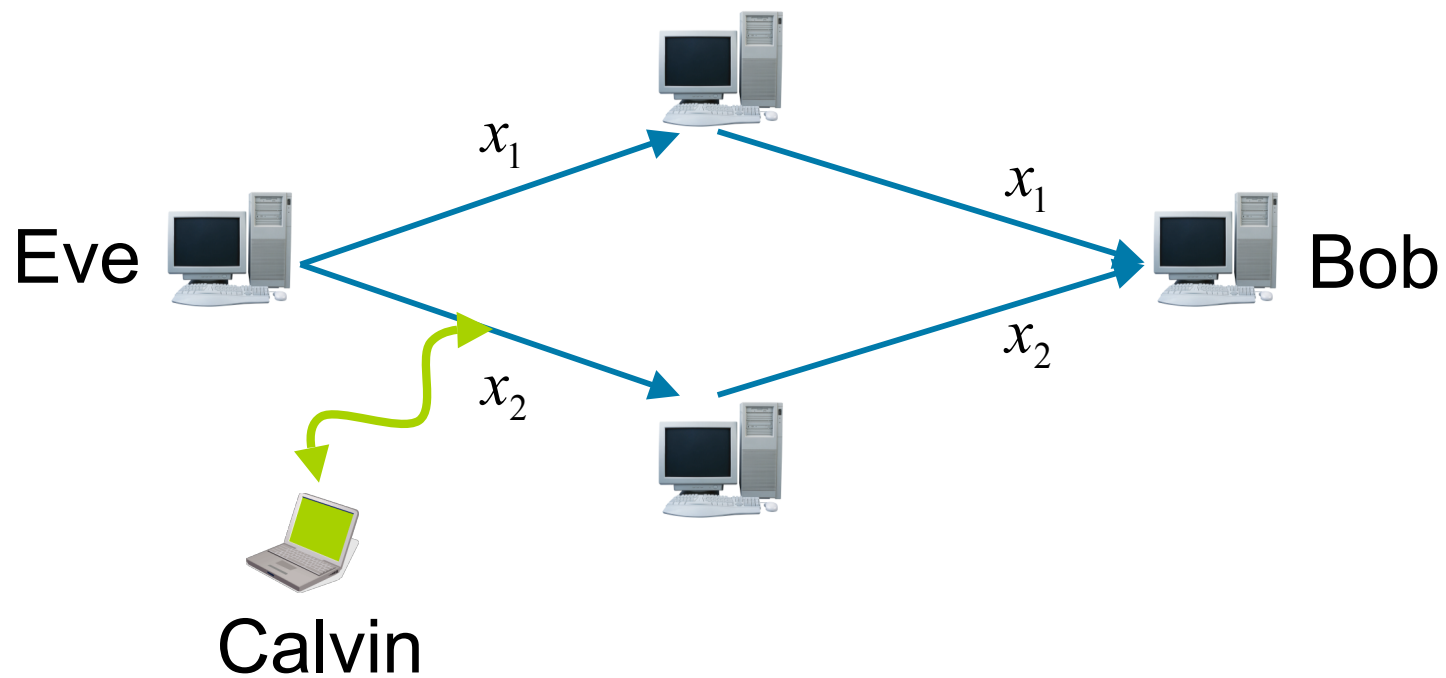


Y. Wu, P. Chow and S. Kung, "Minimum-energy multicast in mobile ad hoc networks", ITW, Oct. 2004



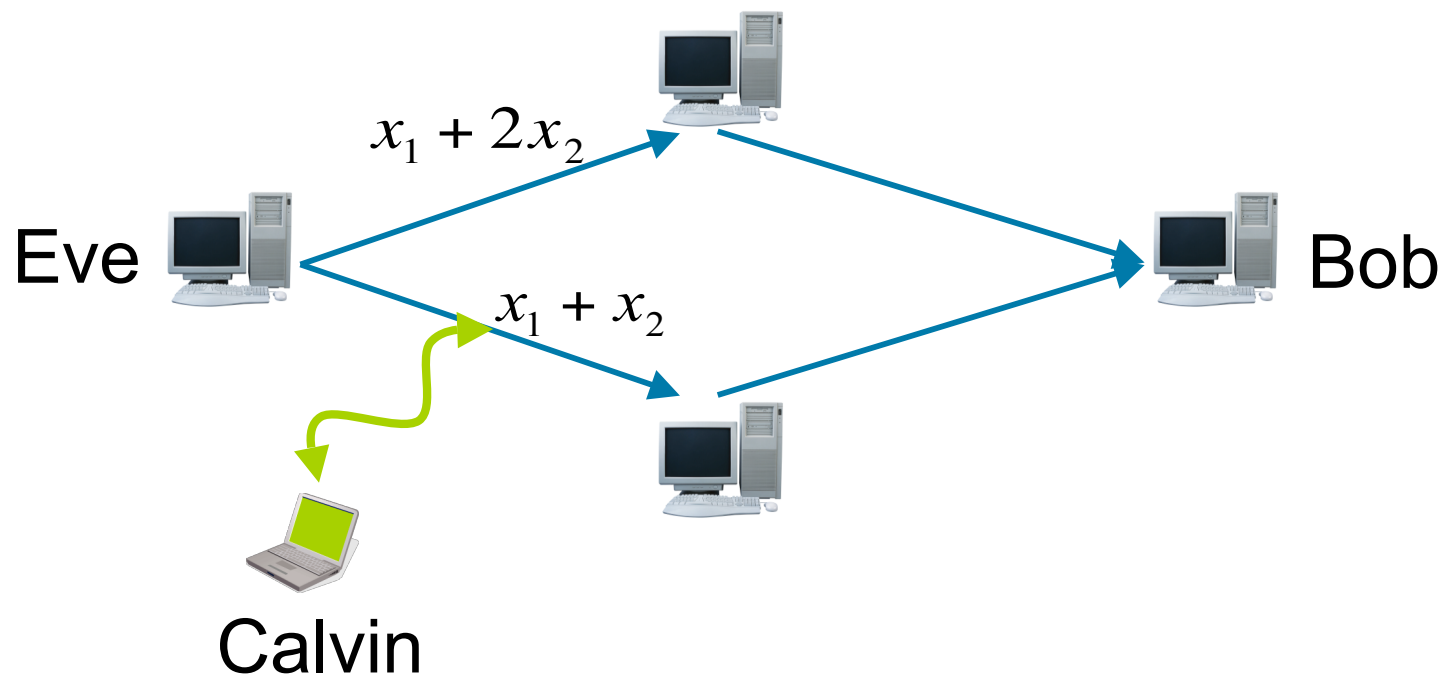
# Security

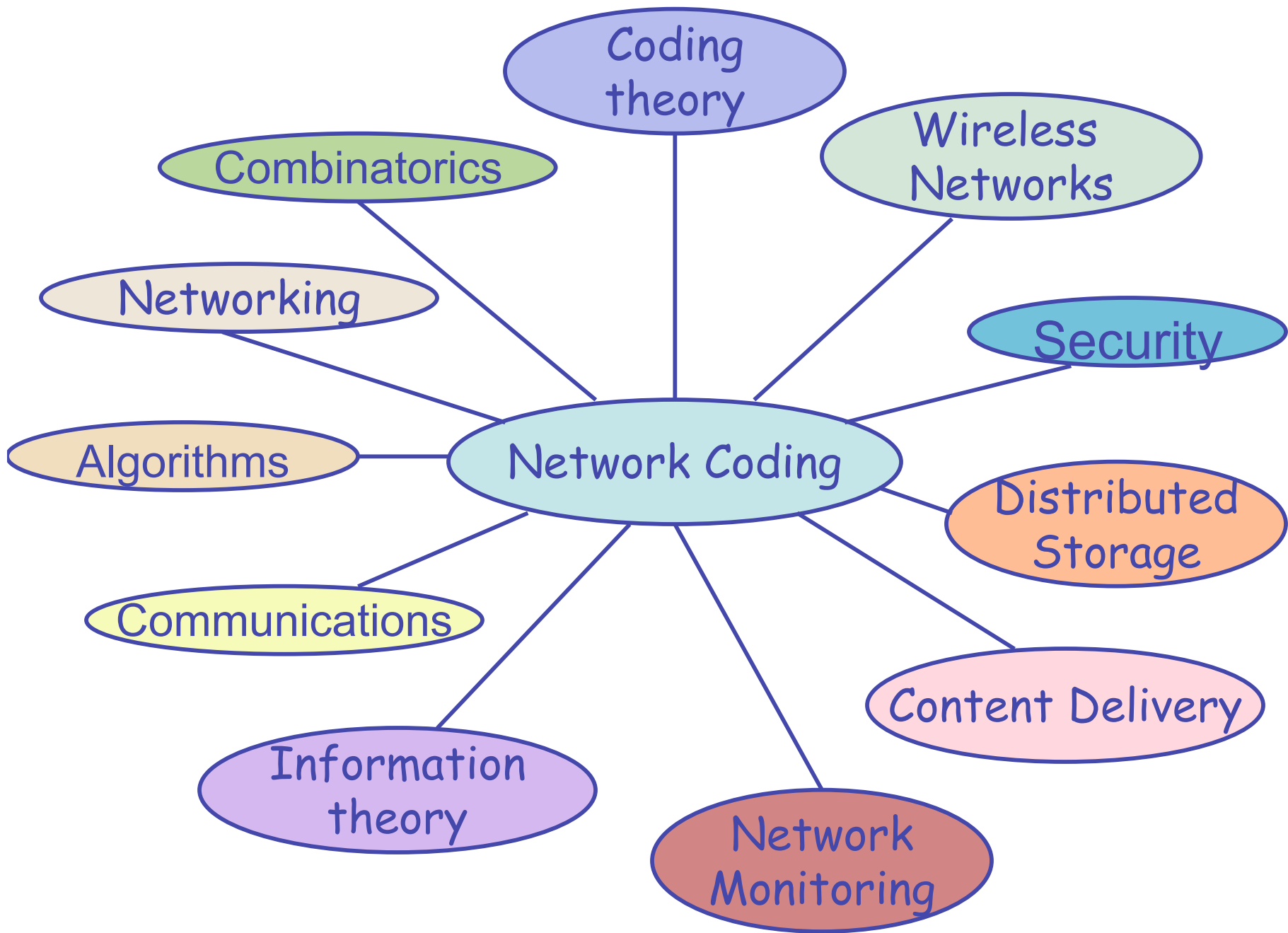
## Introductory Example



# Security

## Introductory Example





# Outline

1. Main Theorem in Multicasting

2. Benefits and Requirements

3. Network Code Design

4. Applications

# Outline

## 1. Main Theorem in Multicasting

- Min-cut Max-flow Theorem
- Statement of the Main Theorem
- Proof Using the Algebraic Framework
- Discussion on Theorem Assumptions

# Min-Cut Max-Flow Theorem

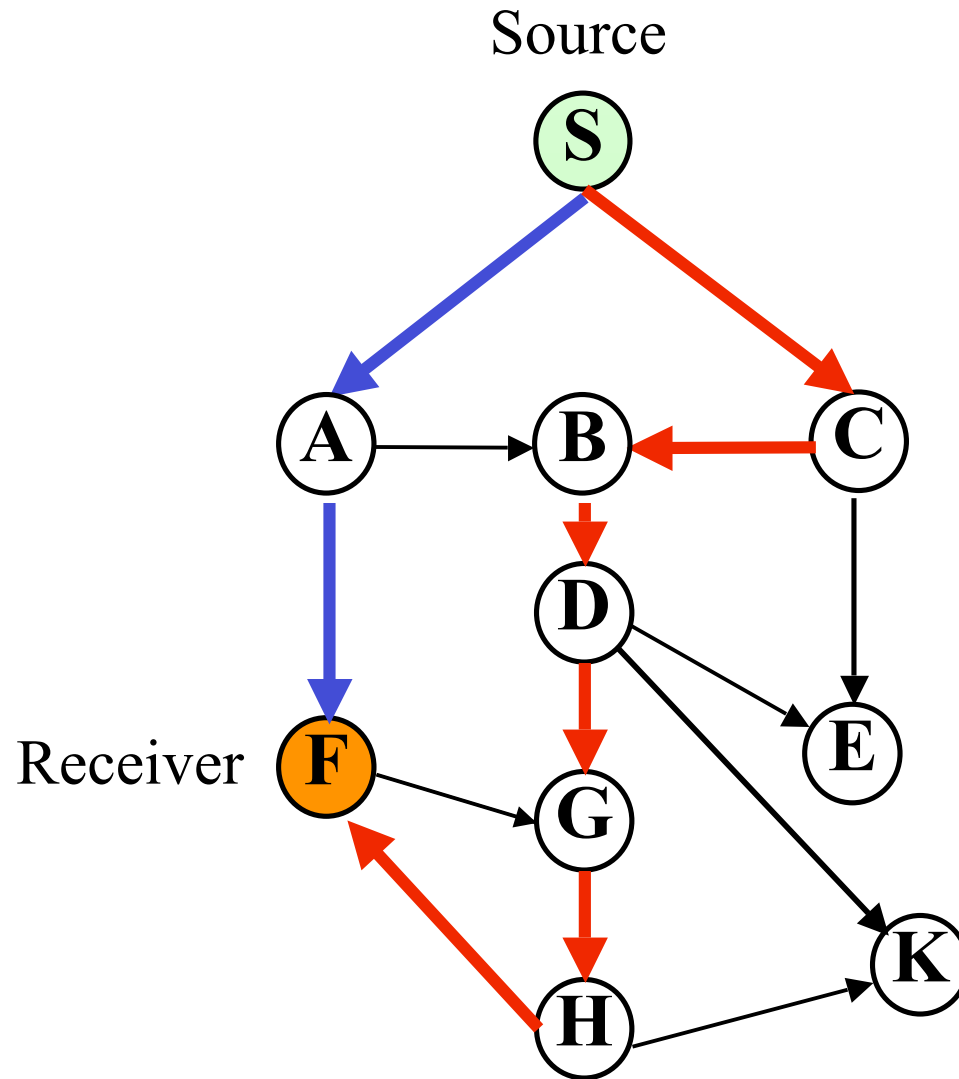
[Ford, Fulkerson] ~1950

- Consider a network represented as a directed acyclic graph  $G=(V,E)$  with unit-capacity edges.
- Assume a source node  $S$  wants to transmit information to a receiver node  $R$ .

■ If the min-cut between  $S$  and  $R$  equals  $h$ , then information can be sent from  $S$  to  $R$  at a maximum rate of  $h$ .

Equivalently, there exist  $h$  edge-disjoint paths from the source  $S$  to the receiver  $R$ .

# Min-Cut Max-Flow Theorem

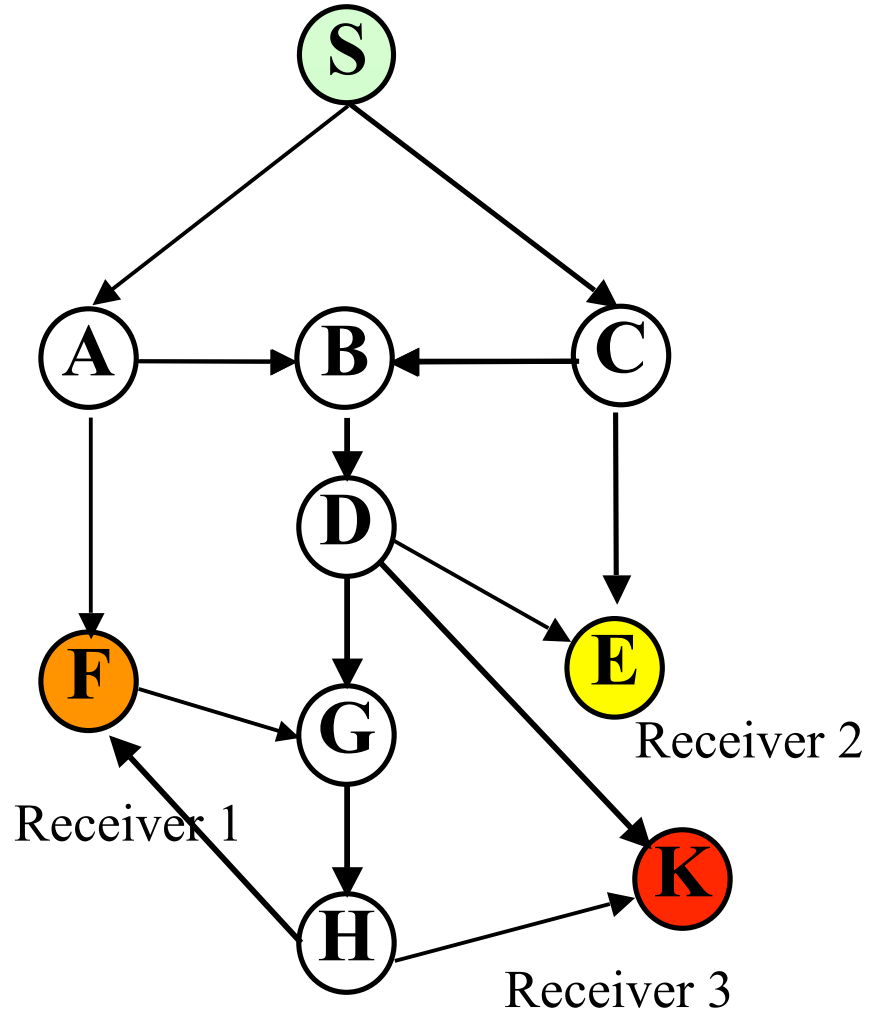


# Network Multicast

- A network is represented as a directed acyclic graph with unit-capacity edges.
- There are  $h$  unit-rate information sources  $S_1, \dots, S_h$  and  $N$  receivers  $R_1, \dots, R_N$



Source



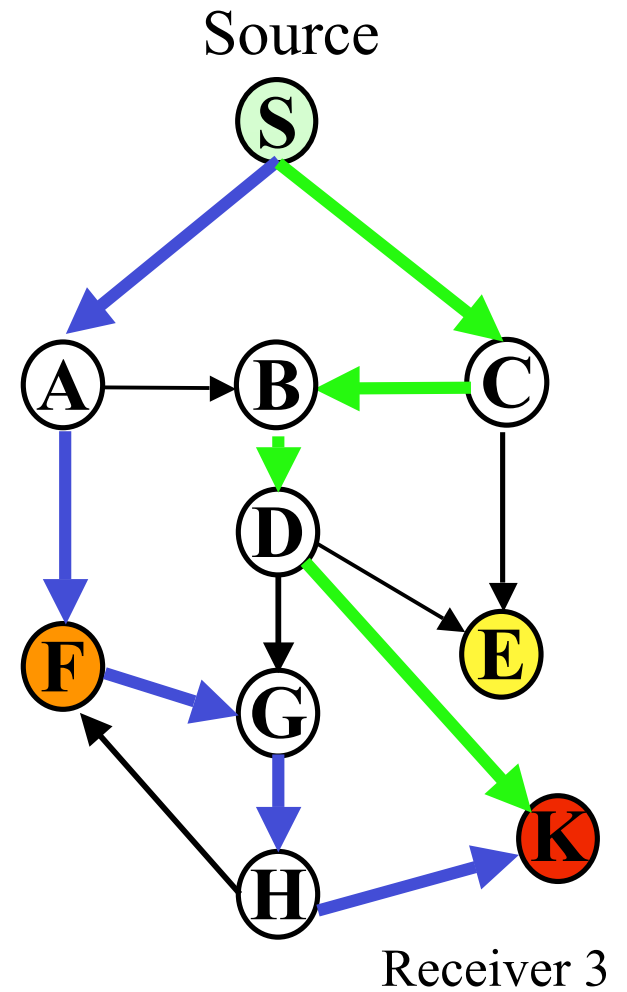
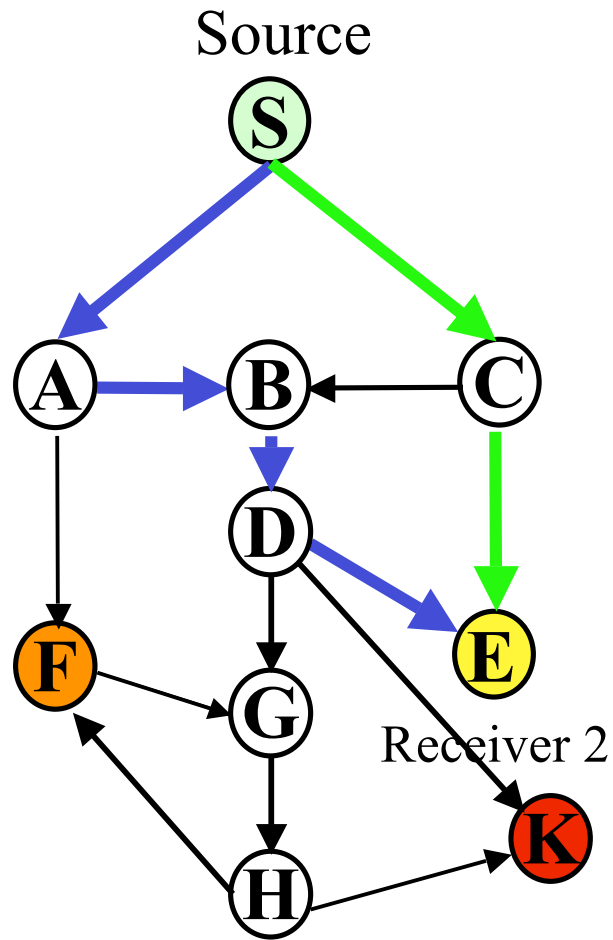
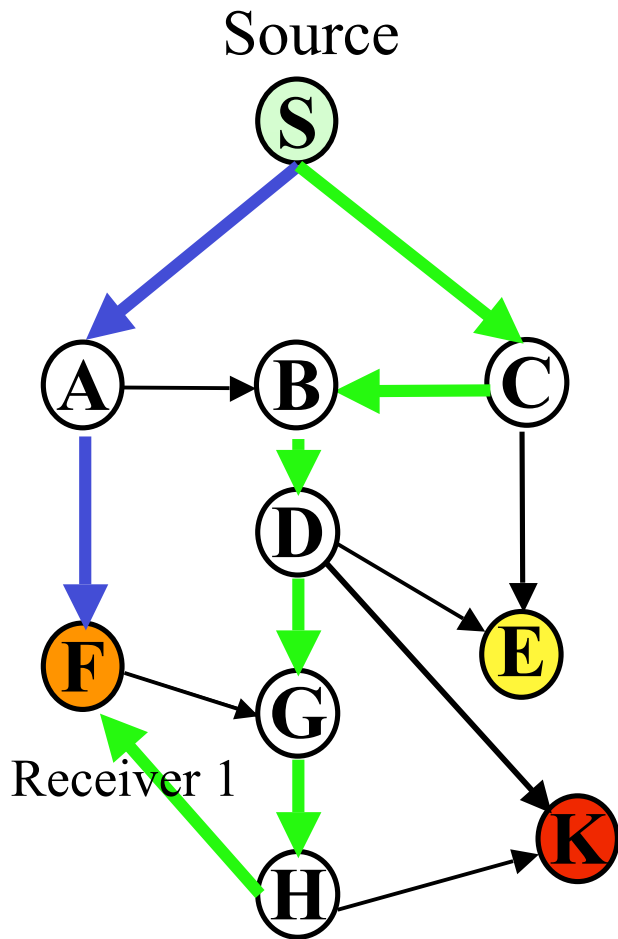
# Network Multicast

- A network is represented as a directed acyclic graph with unit-capacity edges.
- There are  $h$  unit-rate information sources  $S_1, \dots, S_h$  and  $N$  receivers  $R_1, \dots, R_N$

- Max-flow min-cut theorem [Ford, Fulkerson] ~1950

We can transmit rate  $h$  to receiver  $j$ , if the min-cut to receiver  $j$  is  $h$ , i.e., there are  $h$  edge-disjoint paths from the sources to the receiver  $j$ .

# Network Multicast



# Network Multicast

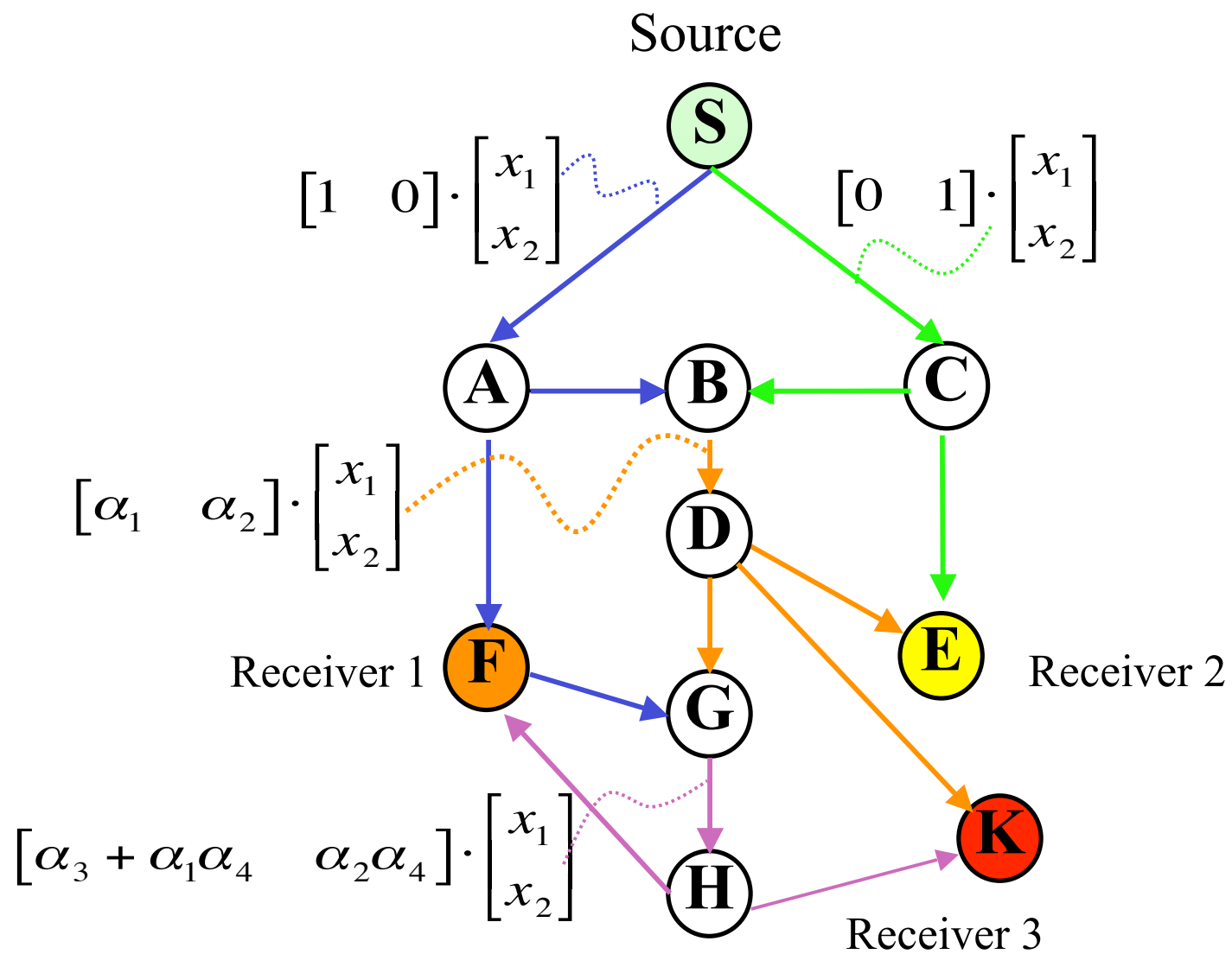
- A network is represented as a directed acyclic graph with unit-capacity edges.
- There are  $h$  unit-rate information sources  $S_1, \dots, S_h$  and  $N$  receivers  $R_1, \dots, R_N$

- **Max-flow min-cut theorem [Ford, Fulkerson] ~1950**

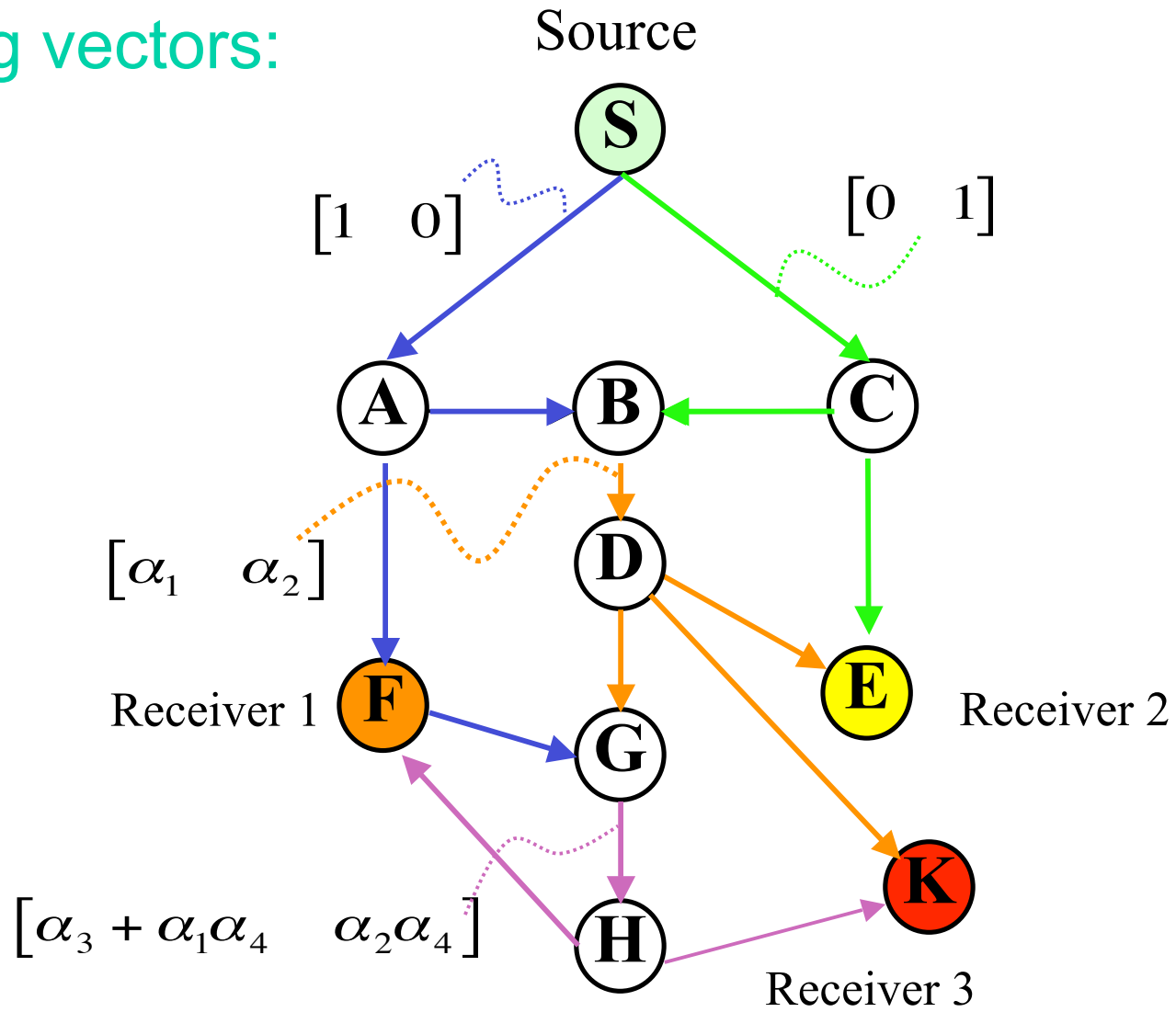
We can transmit rate  $h$  to receiver  $j$ , if the min-cut to receiver  $j$  is  $h$ , i.e., there are  $h$  edge-disjoint paths from the sources to the receiver  $j$ .

- **Using Network Coding: (Theorem [Alishwede,Cai,Li,Yeung] ~2000)**

If the min-cut to each receiver is  $h$ , we can simultaneously transmit rate  $h$  to all receivers if each node in  $G$  can linearly re-encode information.



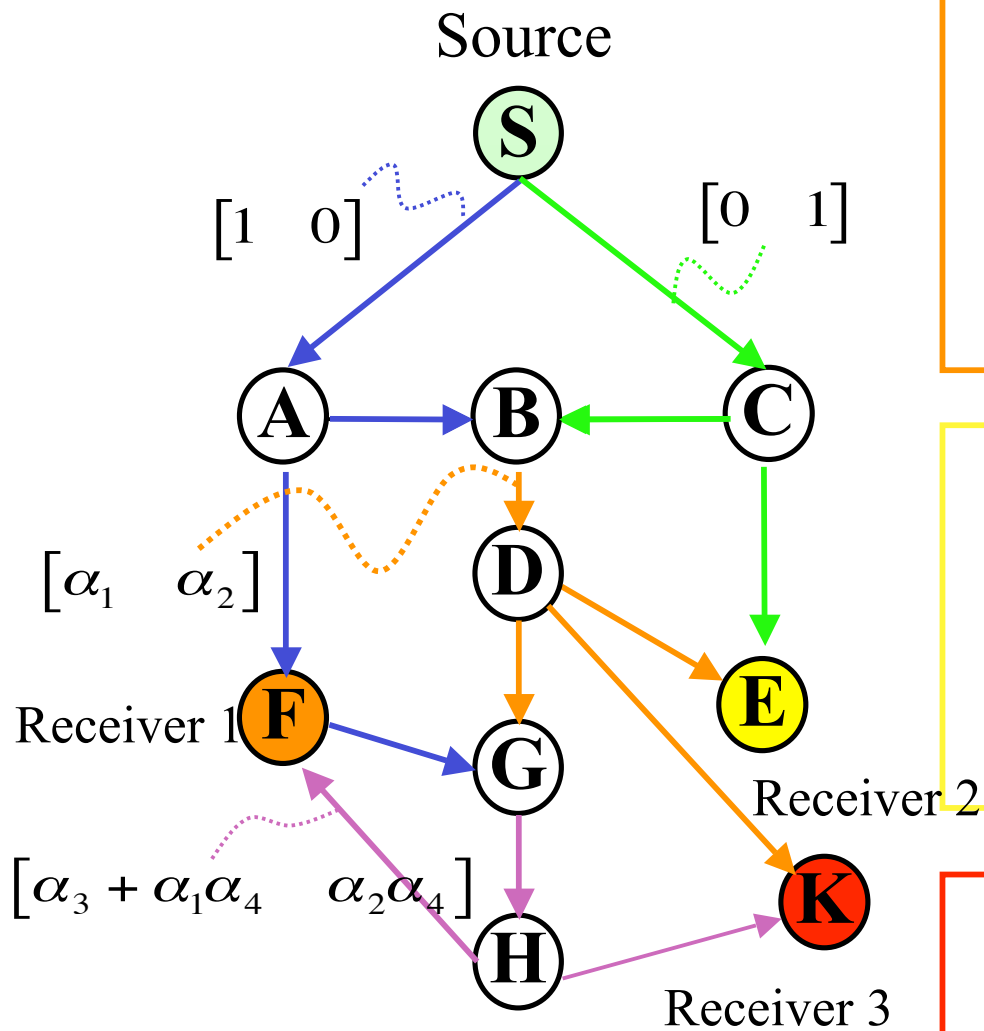
# Coding vectors:



# Network Coding

## Linear Combining

- Source  $S_i$  emits a symbol  $x_i$  which is an element of some finite field  $F_q$ .
- Each edge carries a linear combination of its parent nodes inputs.
- Consequently, each edge carries a linear combination of the source symbols.
- The  $h$  edges a receiver observes should carry independent linear combinations of source symbols.



$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ \alpha_3 + \alpha_1\alpha_4 & \alpha_2\alpha_4 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ \alpha_1 & \alpha_2 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} \alpha_1 & \alpha_2 \\ \alpha_3 + \alpha_1\alpha_4 & \alpha_2\alpha_4 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$



## Algebraic Statement of the Main Theorem

- There exist linear coefficients  $\{\alpha_i\}$  so that each receiver has a full rank of equations to solve

Receiver 1

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ \alpha_3 + \alpha_1\alpha_4 & \alpha_2\alpha_4 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

Receiver 2

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ \alpha_1 & \alpha_2 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

Receiver 3

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} \alpha_1 & \alpha_2 \\ \alpha_3 + \alpha_1\alpha_4 & \alpha_2\alpha_4 \end{bmatrix} \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

## Algebraic Statement of the Main Theorem

- There exist linear coefficients  
 $\{\alpha_i\}$   
so that

$$\det A_1 \cdot \det A_2 \cdot \det A_3 \neq 0$$

Receiver 1

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = A_1 \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

Receiver 2

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = A_2 \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

Receiver 3

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = A_3 \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

## Sparse Zeros Lemma

Let  $f(\alpha_1, \dots, \alpha_n)$  be a multivariate polynomial with maximum degree in each variable of at most  $d$ .

Then, in any finite field of size  $q$  where the polynomial is not identically zero, there exist values such that

$$f(\alpha_1 = p_1, \dots, \alpha_n = p_n) \neq 0$$

# Sparse Zeros Lemma Proof

- For  $k=1$ , a polynomial of degree  $d$  can have at most  $d$  roots.
- Assume it holds for  $k=n-1$ .
- For  $k=n$ , expand the polynomial as

$$f(\alpha_1, \dots, \alpha_n) = \sum_{i=0}^d f_i(\alpha_1, \dots, \alpha_{n-1}) \cdot \alpha_n^i$$

- From induction, there exist values so that at least one coefficient polynomial is nonzero. Substituting these values, we get a polynomial in one variable.

Given a graph, how do we calculate the transfer matrices?

Receiver 1

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \mathbf{A}_1 \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

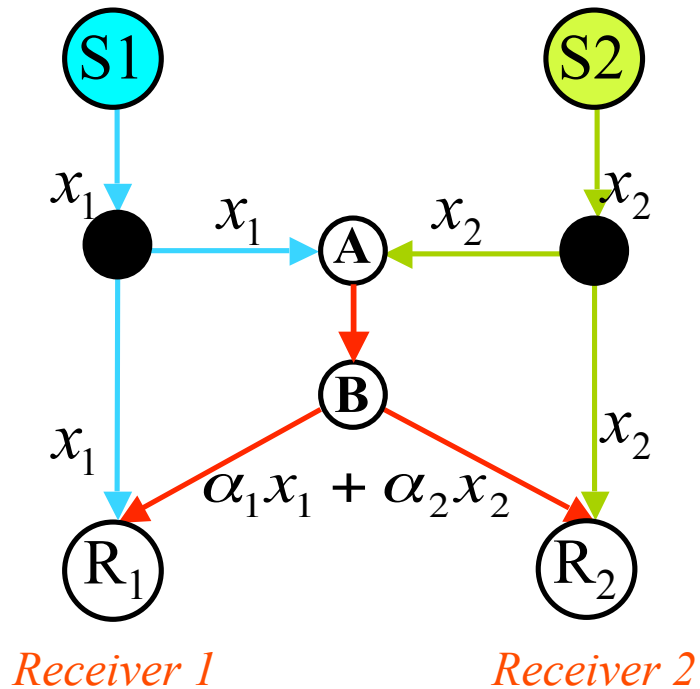
Receiver 2

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \mathbf{A}_2 \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

Receiver 3

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \mathbf{A}_3 \cdot \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

# Transfer Matrix Calculation



- Select the linear combinations so that each receiver has a full rank system of equations to solve. In the example:

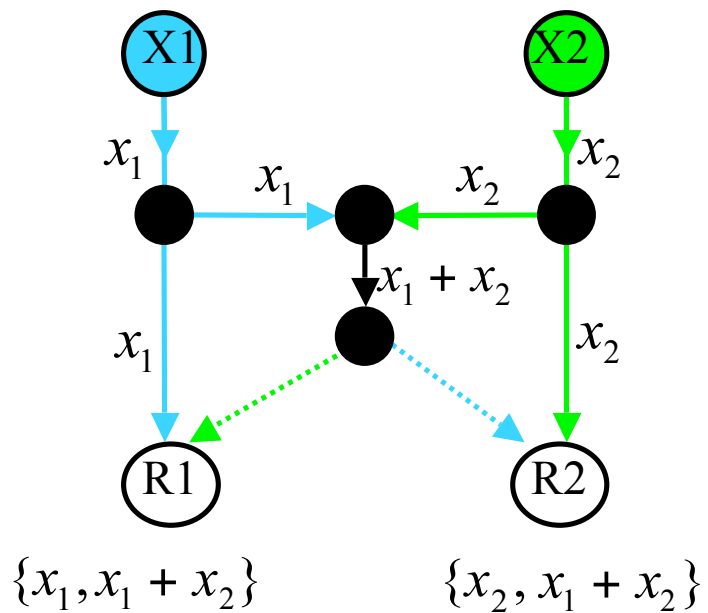
*Receiver 1*

$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ \alpha_1 & \alpha_2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

*Receiver 2*

$$\begin{bmatrix} y_3 \\ y_4 \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ \alpha_1 & \alpha_2 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

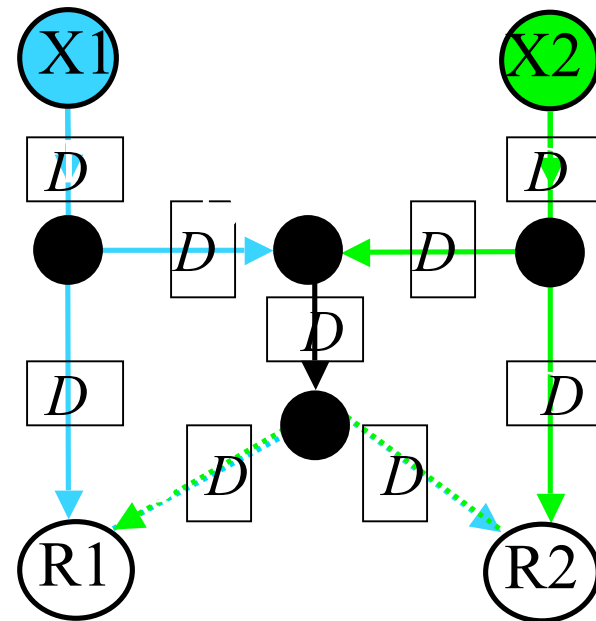
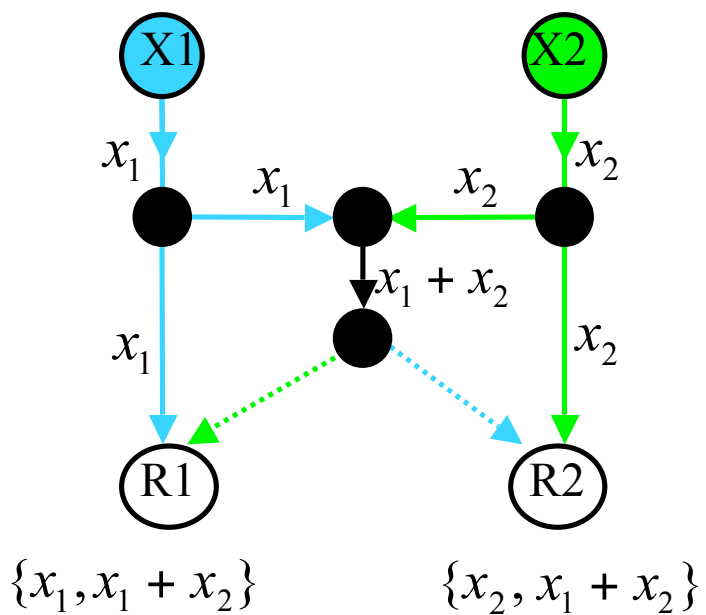
# Transfer matrix calculation



Underlying Assumption:

All nodes simultaneously receive their inputs and produce their outputs.

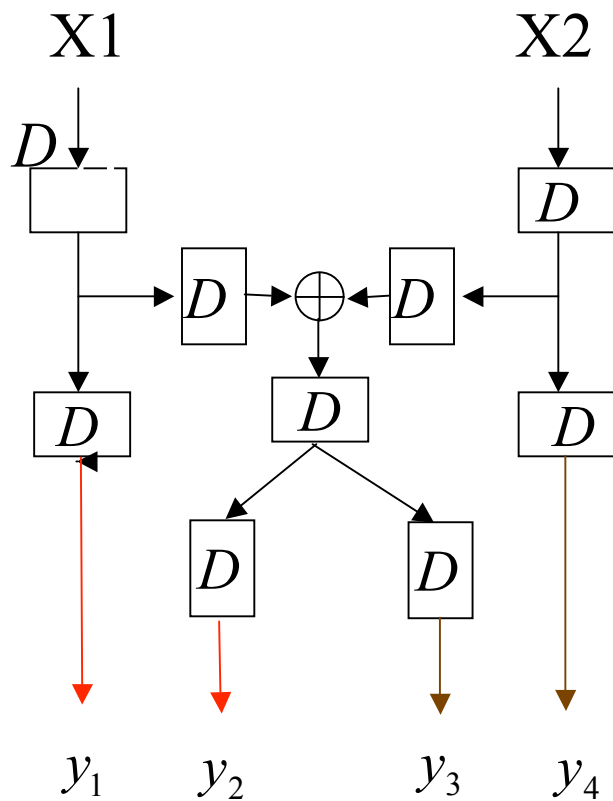
# Connection with convolutional codes



$$\begin{bmatrix} y_1 \\ y_2 \end{bmatrix} = \begin{bmatrix} D^2 & 0 \\ \alpha_1 D^4 & \alpha_2 D^4 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \quad \begin{bmatrix} y_3 \\ y_4 \end{bmatrix} = \begin{bmatrix} 0 & D^2 \\ \alpha_1 D^4 & \alpha_2 D^4 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$



# Transfer Matrix



$$s_{j+1} = As_j + Bx_j$$

$$y_{j+1} = Cs_j + \Delta x_j$$

$$y = (\Delta + C(D^{-1}I - A)^{-1}B)x$$

$$\begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{bmatrix} = \begin{bmatrix} D^2 & 0 \\ \alpha_1 D^4 & \alpha_2 D^4 \\ 0 & D^2 \\ \alpha_1 D^4 & \alpha_2 D^4 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

# Transfer Matrix

For receiver  $j$ :

$$A_j = C_j(I - A)^{-1}B$$

$$s_{j+1} = As_j + Bx_j$$

$$y_{j+1} = C_j s_j$$

## Theorem

In a network with  $N$  receivers an alphabet of size  $q > N$  is always sufficient.

$$\det A_1 \cdot \det A_2 \cdots \det A_N \neq 0$$

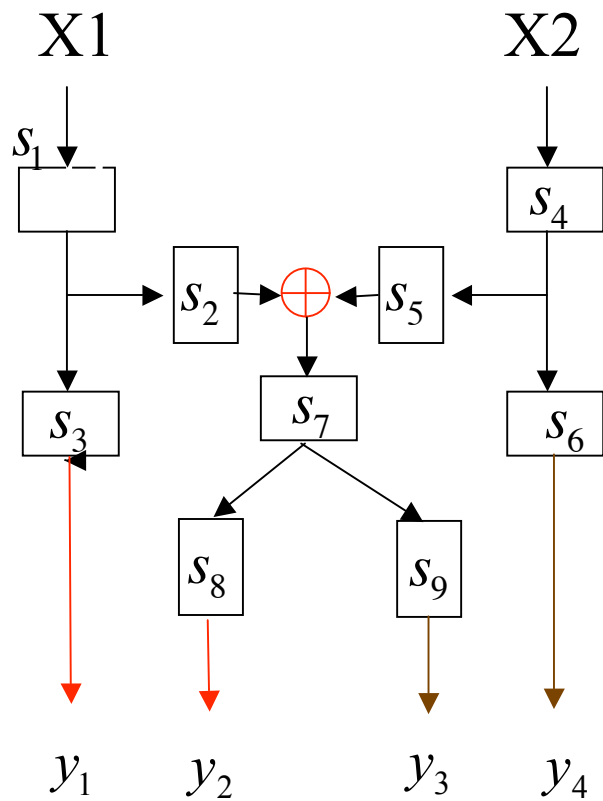
## Lemma

Let  $A_1 = C_1(I - A)^{-1}B$

Then  $|\det(A_1)| = |\det(N_1)|$  where  $N_1 = \begin{bmatrix} C_1 & 0 \\ I - A & B \end{bmatrix}$

$$\det N_1 \cdot \det N_2 \cdots \det N_N \neq 0$$

# Design: Matrix A



$$s_{j+1} = A s_j + B x_j$$

$$y_{j+1} = C s_j + D x_j$$

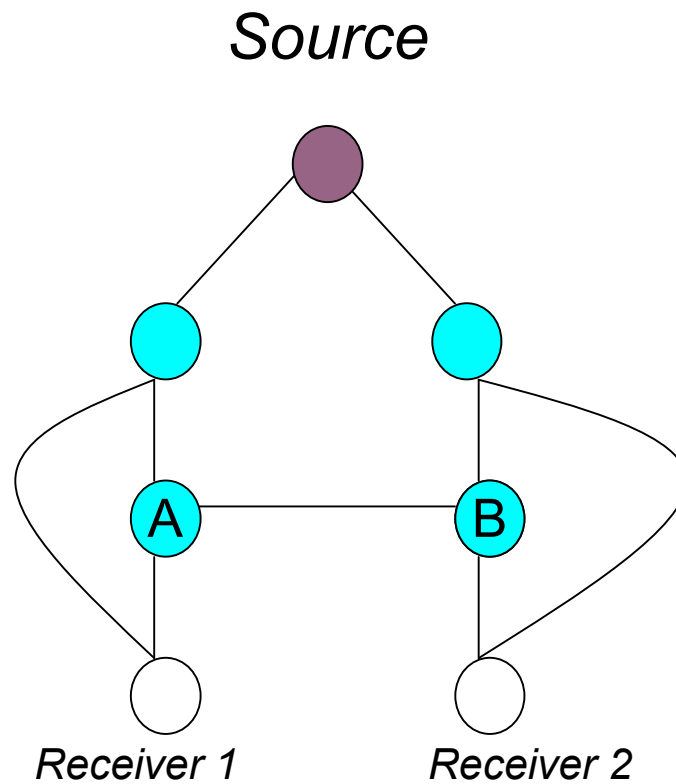
$$\begin{bmatrix} s_1 \\ s_2 \\ s_3 \\ s_4 \\ s_5 \\ s_6 \\ s_7 \\ s_8 \\ s_9 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & * & 0 & 0 & * & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix} \cdot \begin{bmatrix} s_1 \\ s_2 \\ s_3 \\ s_4 \\ s_5 \\ s_6 \\ s_7 \\ s_8 \\ s_9 \end{bmatrix} + \dots$$

# Discussion on Main Theorem

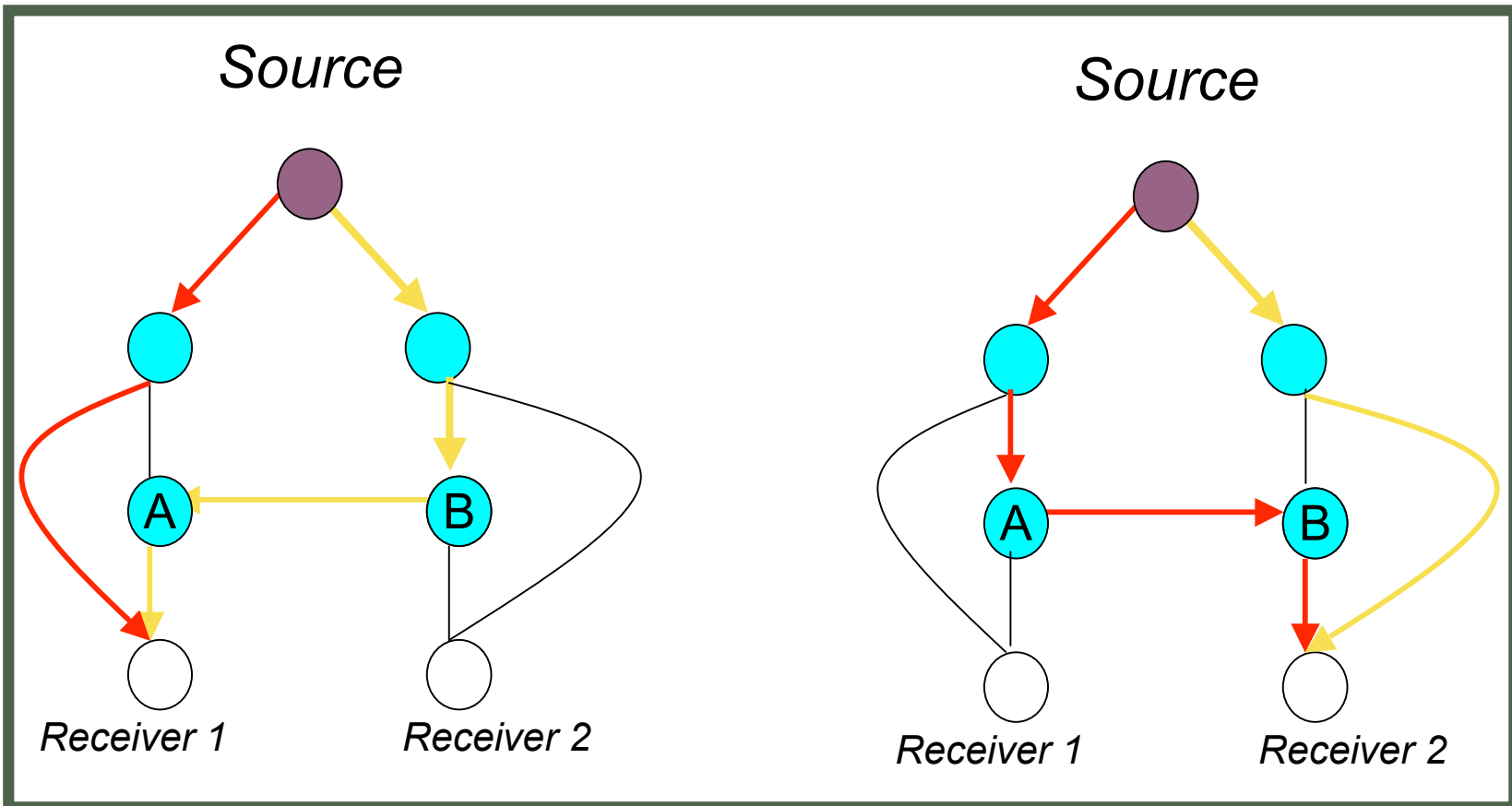
How restrictive are the assumptions?

- 1) Directed graph
- 2) Acyclic graph
- 3) Same min-cut to all receivers

# Undirected Graphs



# Undirected Graphs



# Undirected Graphs

Consider a network represented as an **undirected** graph with unit-capacity edges,  $h$  unit-rate information sources  $S_1, \dots, S_h$  located on the same vertex of the graph and  $N$  receivers  $R_1, \dots, R_N$ . Assume the min-cut to each receiver is  $h$ .

**We do not know the solution in general**



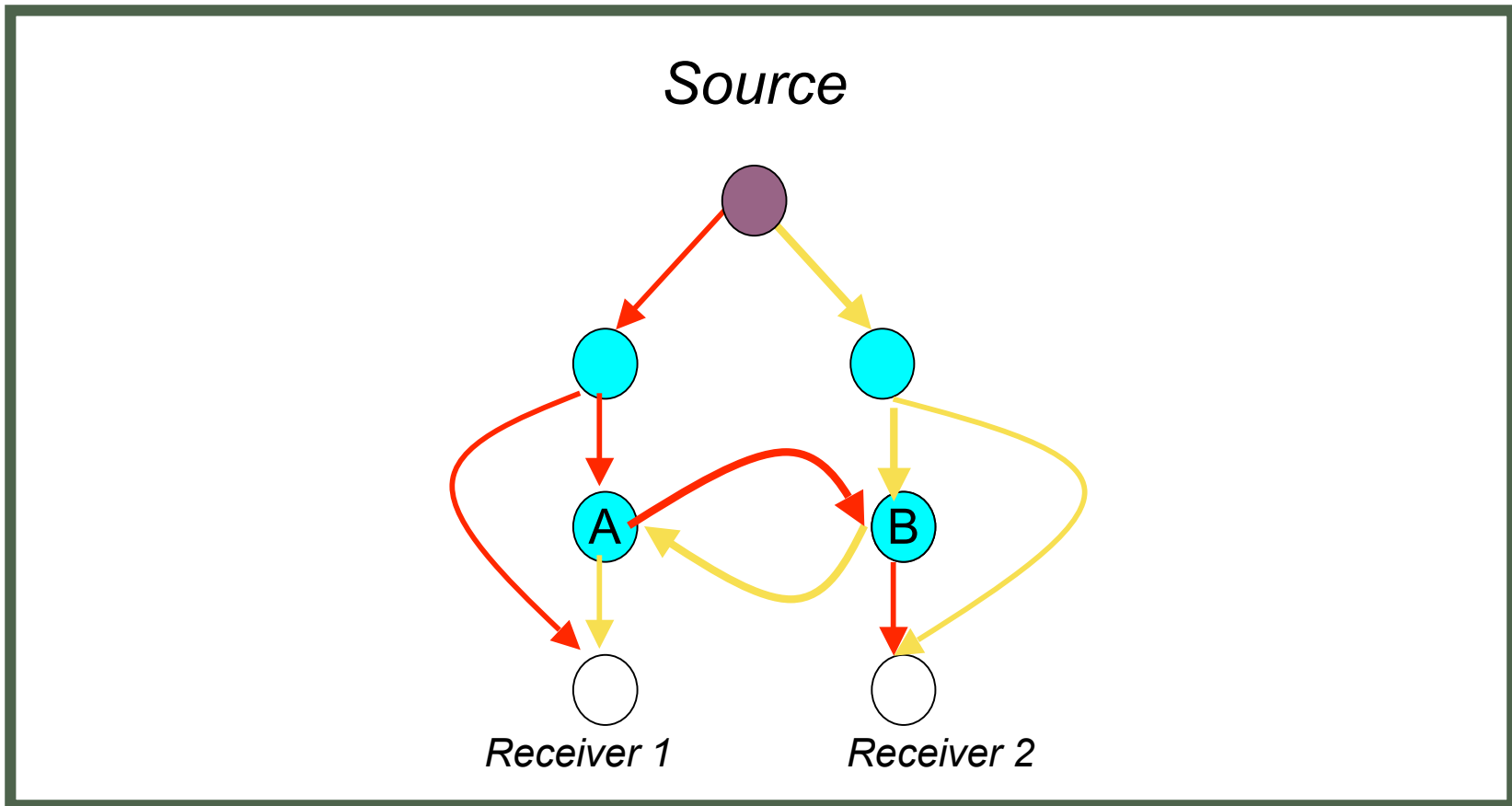
# Undirected Graphs

Consider a network represented as an **undirected** graph with unit-capacity edges,  $h$  unit-rate information sources  $S_1, \dots, S_h$  located on the same vertex of the graph and  $N$  receivers  $R_1, \dots, R_N$ . Assume the min-cut to each receiver is  $h$ .

[ Li and Li ] ~2003

We can simultaneously transmit rate  $h/2$  to all receivers, even when only using routing.

# Undirected Graphs

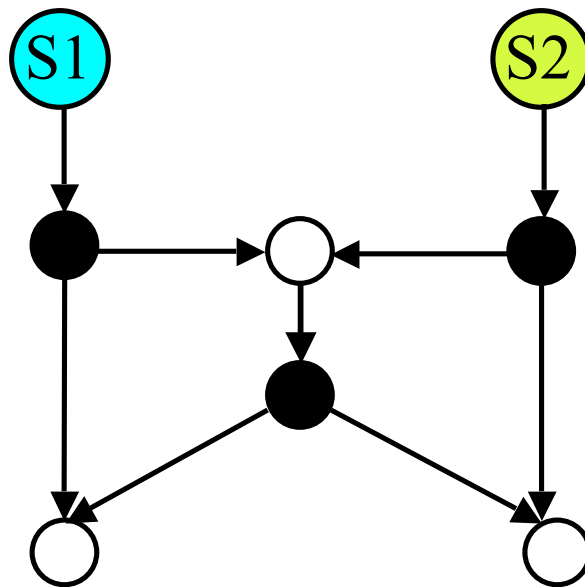


# Discussion on Main Theorem

How restrictive are the assumptions?

- 1) Directed graph      Restrictive
- 2) Acyclic graph      Not Restrictive
- 3) Same min-cut to all receivers      Restrictive

# Receivers with different min-cut



# Open Questions

1. How do we design network codes.
2. Relationship between graph structure and network code design.
3. How large an alphabet do we need to use?
4. What are the throughput benefits that we may hope to get?
5. ....

# Outline

1. Main Theorem in Multicasting

2. Benefits and Requirements

## 2. Benefits and Requirements

- 
1. Throughput
  2. Routing complexity
  3. Energy
  4. Delay
  5. ....

Complexity  
(operational and set-up complexity)

Combinatorial framework:  
Information flow decomposition

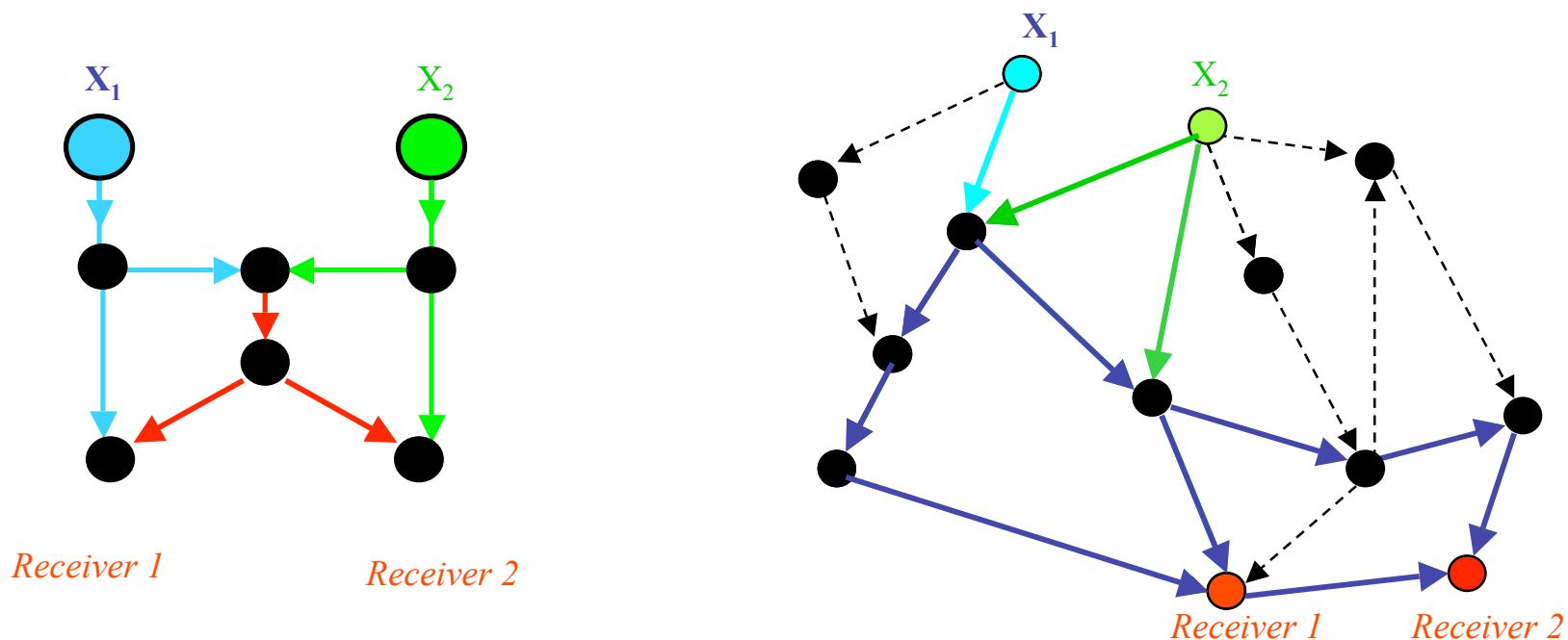
# Complexity Requirements

- How large a finite field do we need?
- How many nodes in the network need to perform linear combining operations?
- How difficult is it to design network codes?
- What is the encoding and decoding complexity?

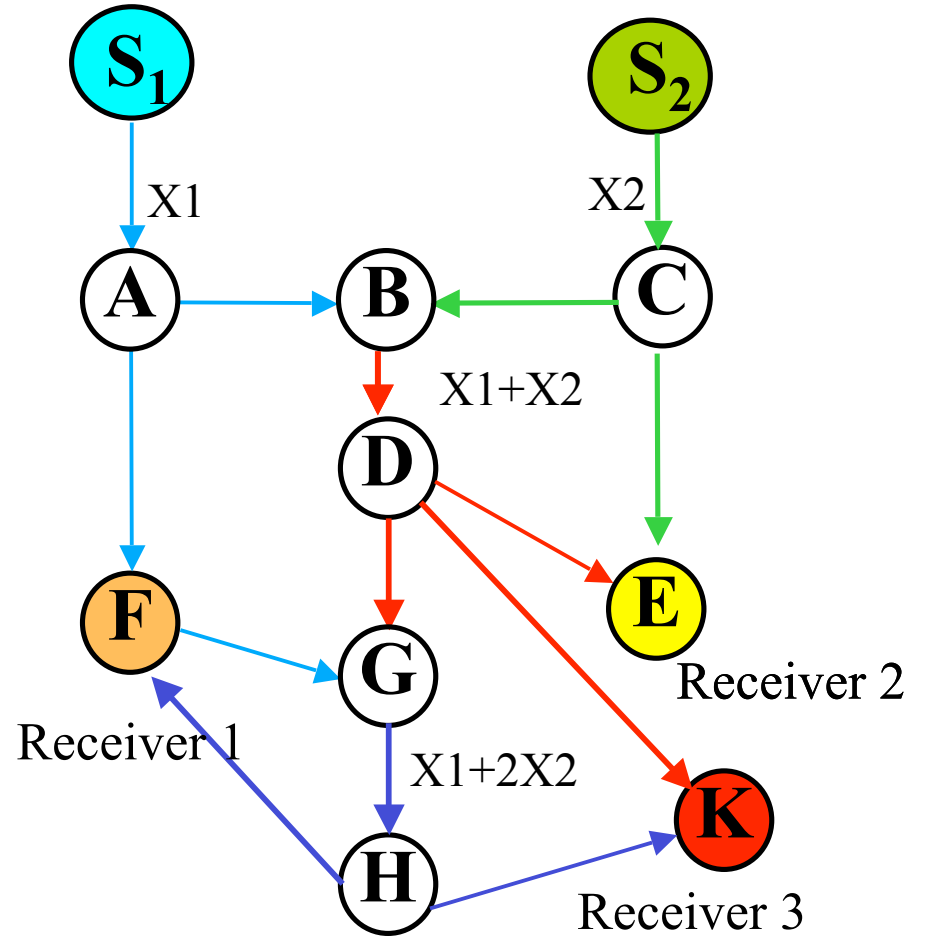
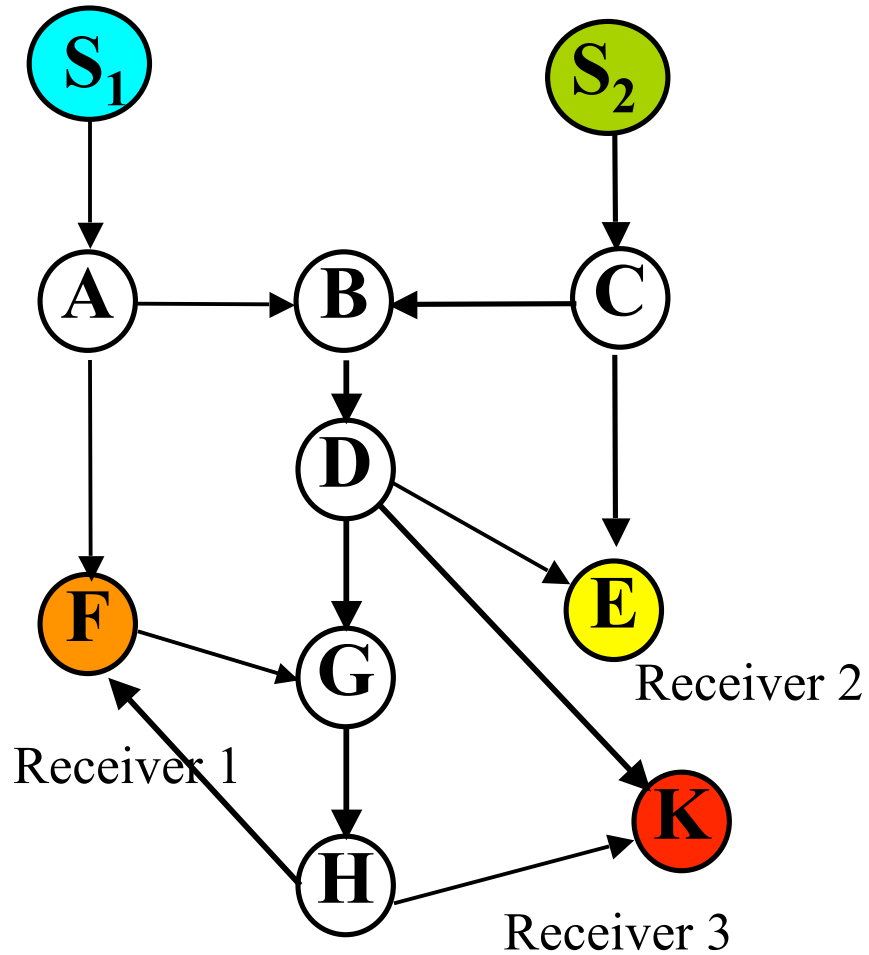


# Motivation

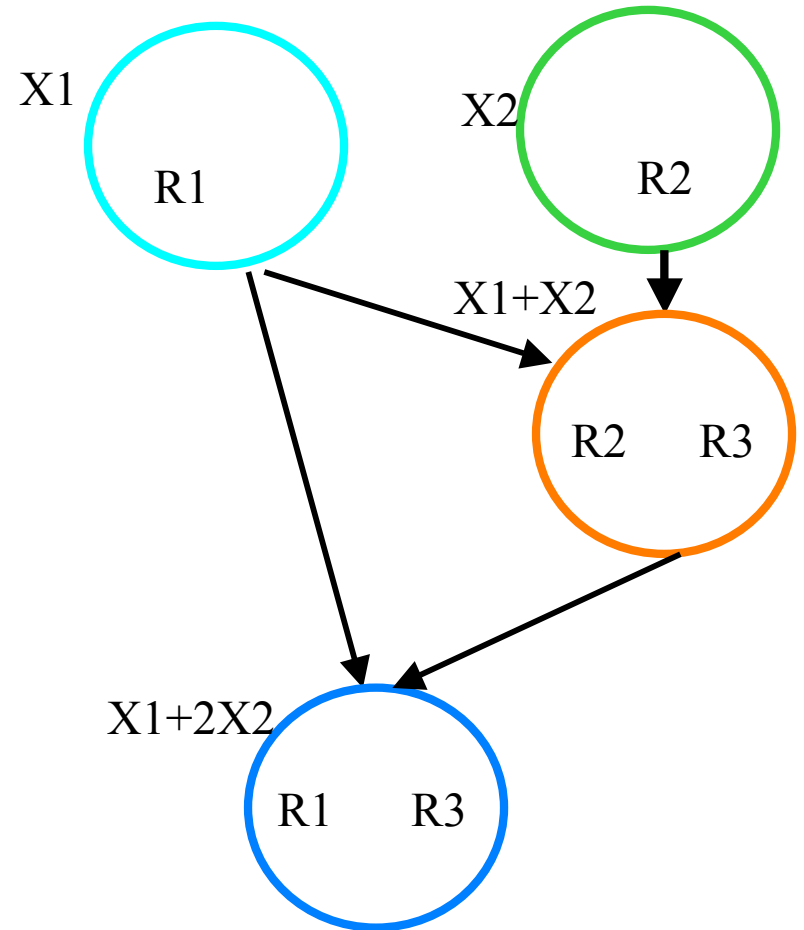
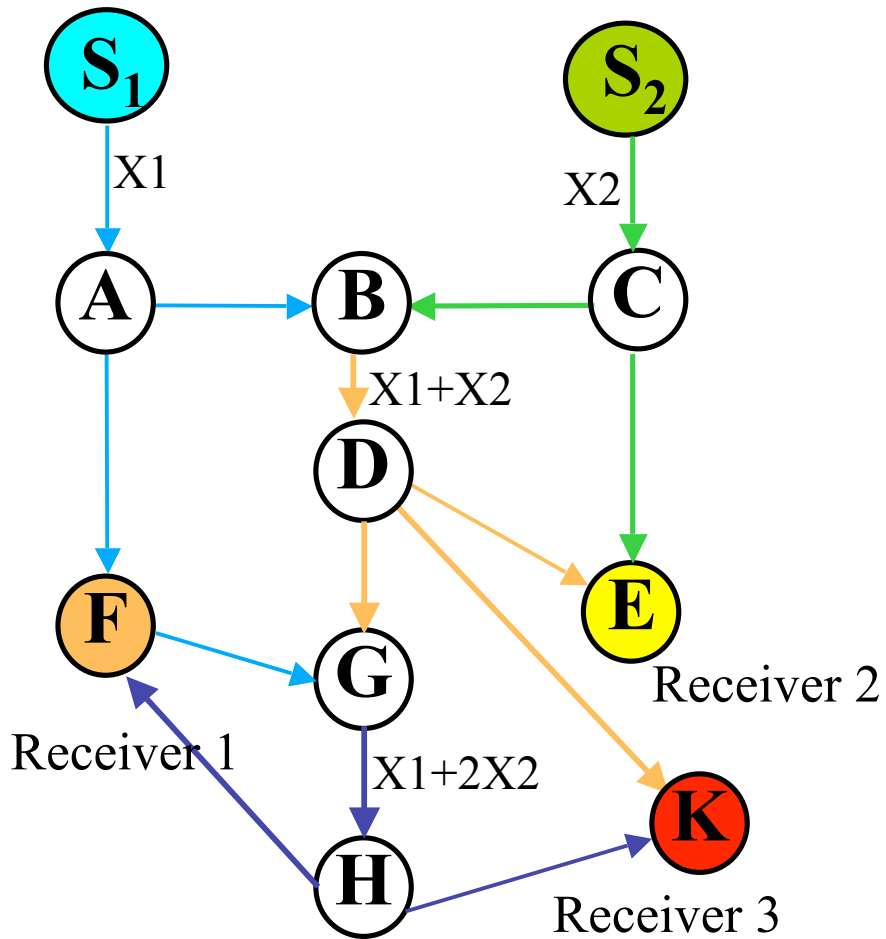
Are there common structural properties in multicast configurations with the same number of sources and receivers?



# Information Flow Decomposition



# Subtree graph

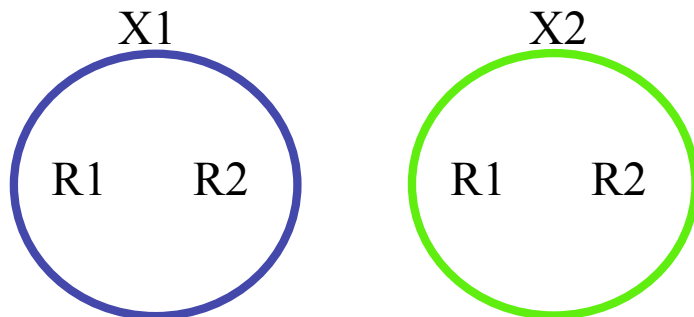


Contract each area of the network through which the same information flows to a vertex

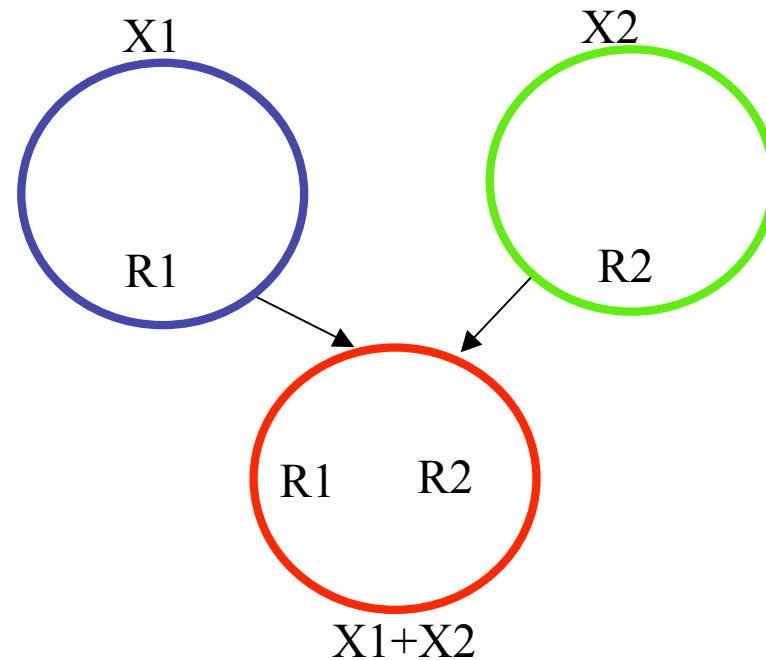
## Example: Two Sources-Two Receivers

There exist only two cases:

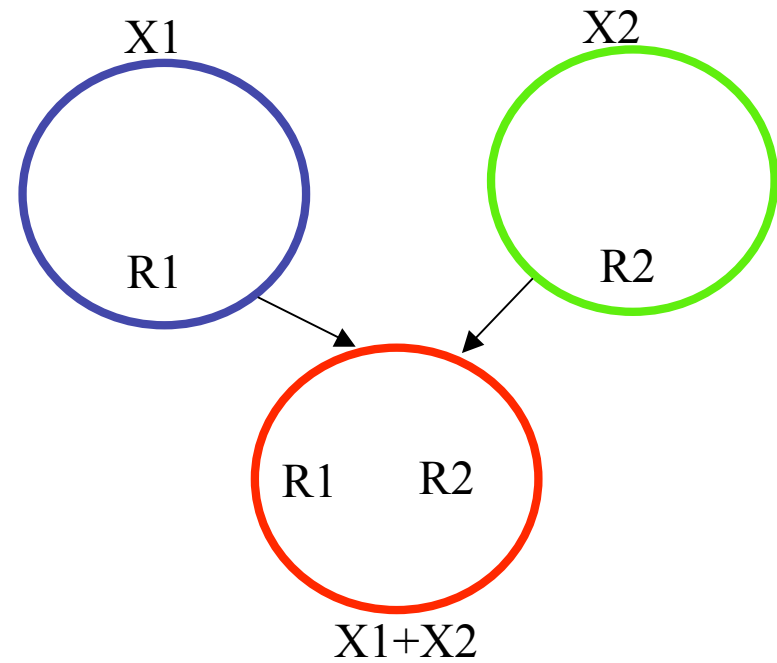
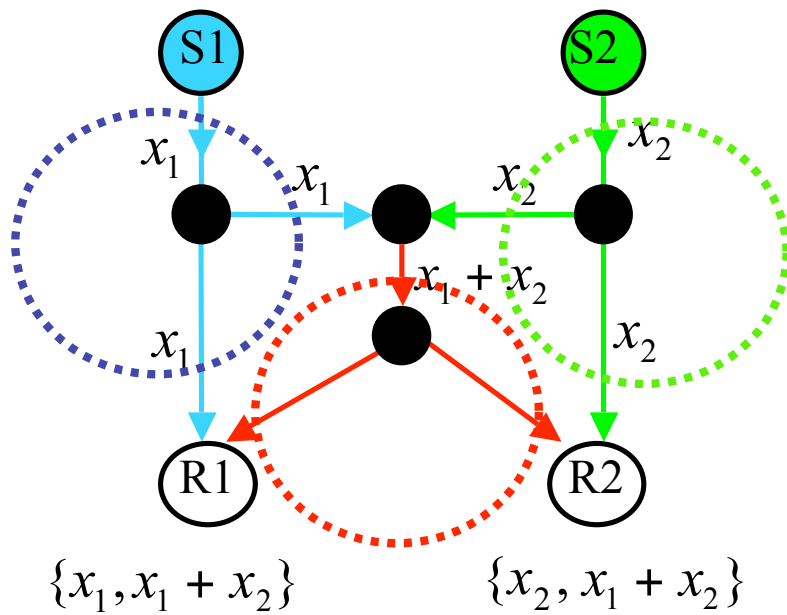
No network coding



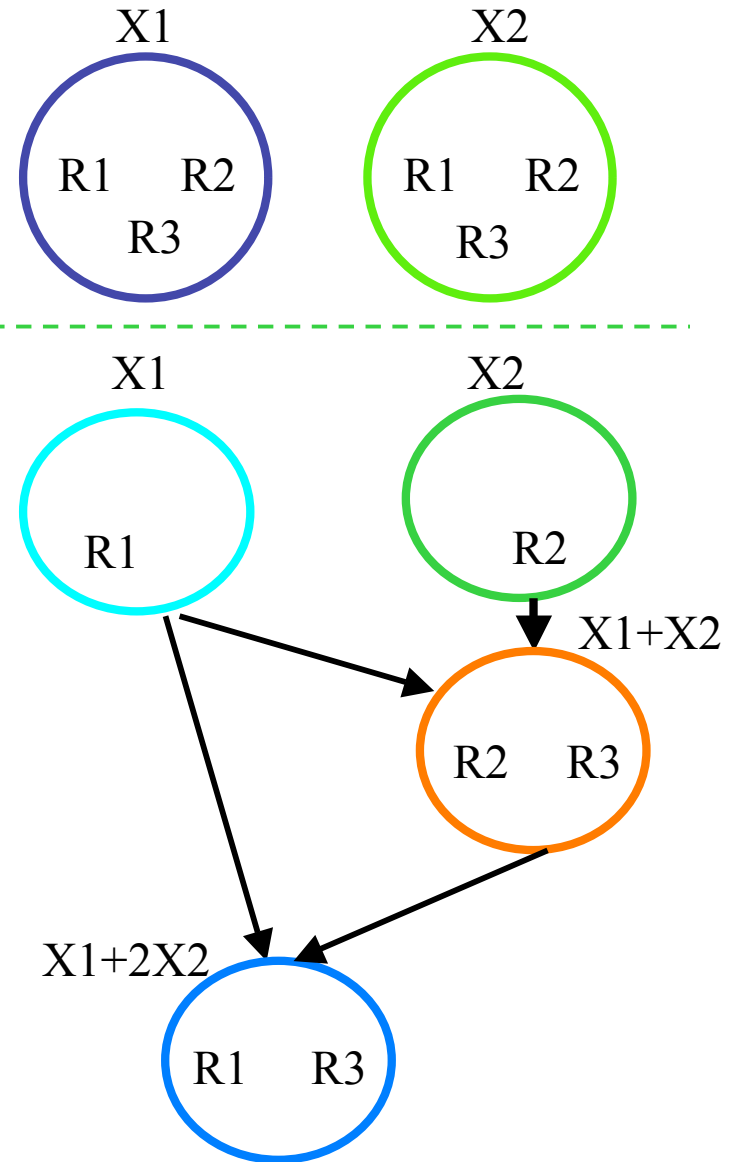
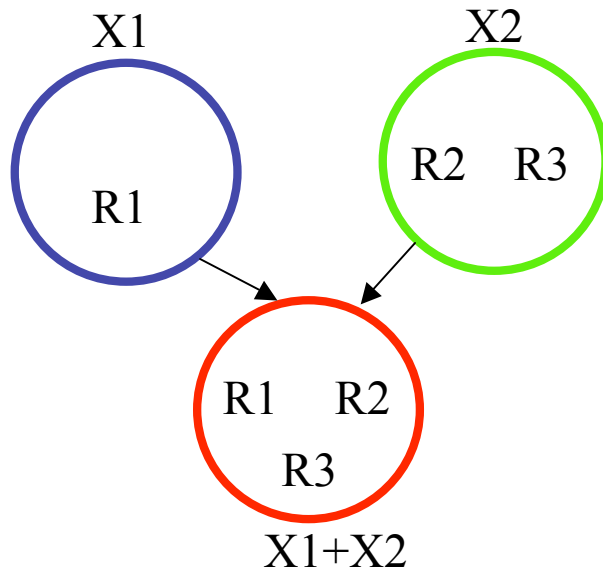
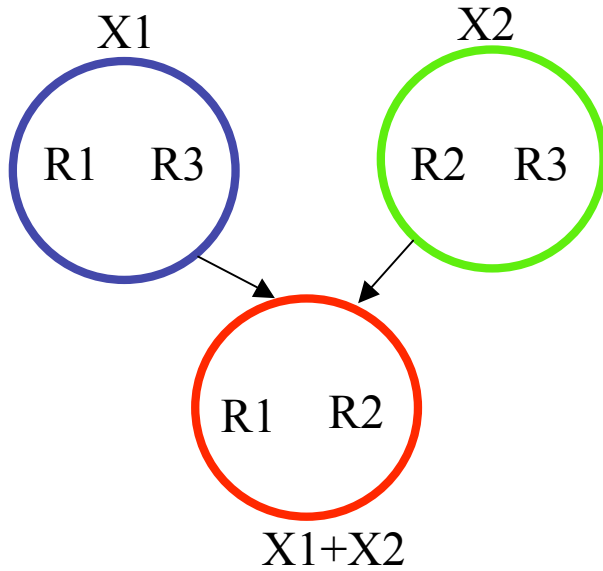
Network coding at one edge



# Butterfly Network



# Two Sources-Three Receivers



# Theorem

(Fragouli, Soljanin 2004)

Starting from multicast configuration with  $h$  sources and  $N$  receivers over an arbitrary graph we can find the associated subtree graph in polynomial time.

- Very distinct graphs correspond to the same subtree graph.
- The subtree graph has a much smaller number of vertices.
- Precompute network codes.

## Number of “coding points”

In configurations with  $h=2$  sources and  $N$  receivers we have at most  $N-1$  coding points.

Generally, in configurations with  $h$  sources and  $N$  receivers we have at most  $h^2N^3$  coding points.



# Applications of the information flow decomposition

- ❖ Derive theoretical results, for example
  1. Alphabet size bounds
  2. Throughput benefits
  
- ❖ Design practical network coding schemes
  - distributed algorithms
  - convolutional network codes

# Alphabet size

- Directed graph with unit capacity edges, coding over  $F_q$ .
- What alphabet size  $q$  is sufficient for all possible configurations with  $h$  sources and  $N$  receivers?

## Bounds on Alphabet Size

- Infinite (Ahlsvede, Yeung, Cai et al. 2000)
- $N$  (Sanders, Egnér, Koetter, Medard, et al. 2003)
- For any configuration with  $h=2$  sources and  $N$  receivers

an alphabet of size  $\left\lceil \sqrt{2N - \frac{7}{4}} + \frac{1}{2} \right\rceil$  is always sufficient  
(Fragouli, Soljanin, Shokrollahi 2004)

# Alphabet size

[CISS 2004, Trans. IT 2005]

- Directed graph with unit capacity edges, coding over  $F_q$ .
- What alphabet size  $q$  is sufficient for all possible configurations with  $h=2$  sources and  $N$  receivers?

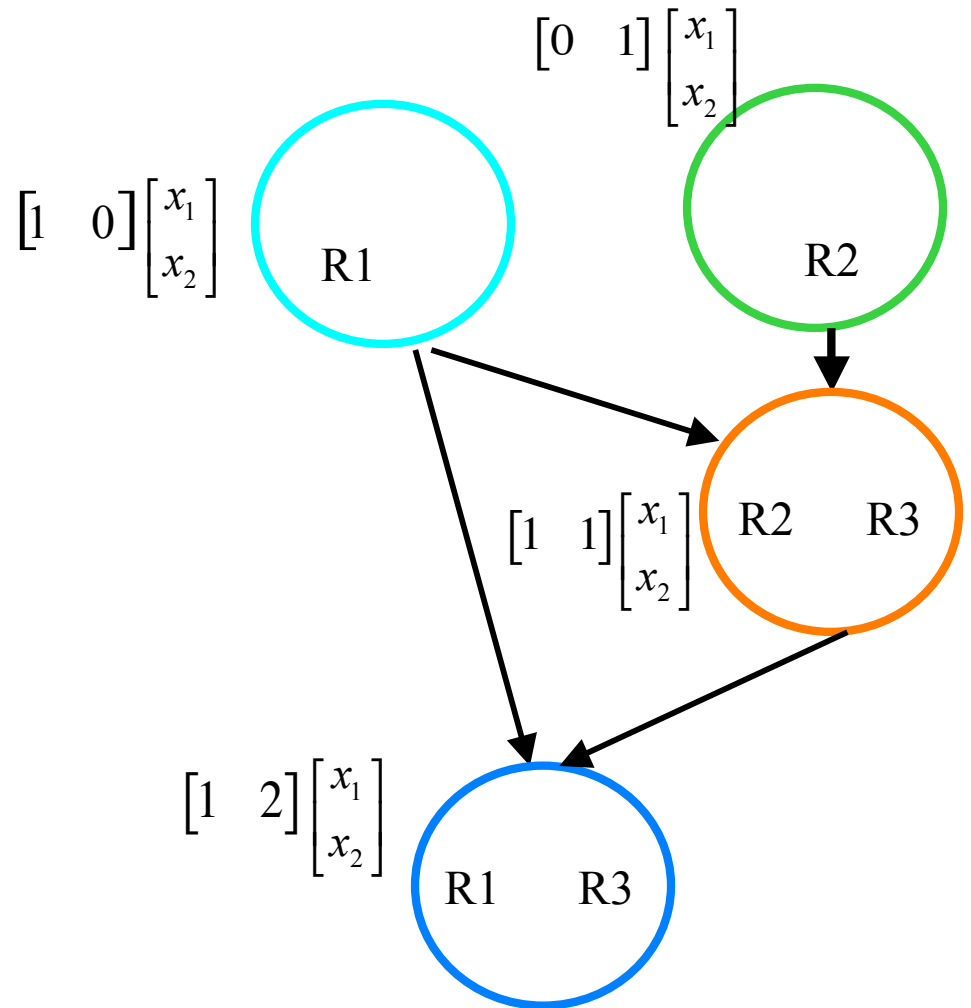
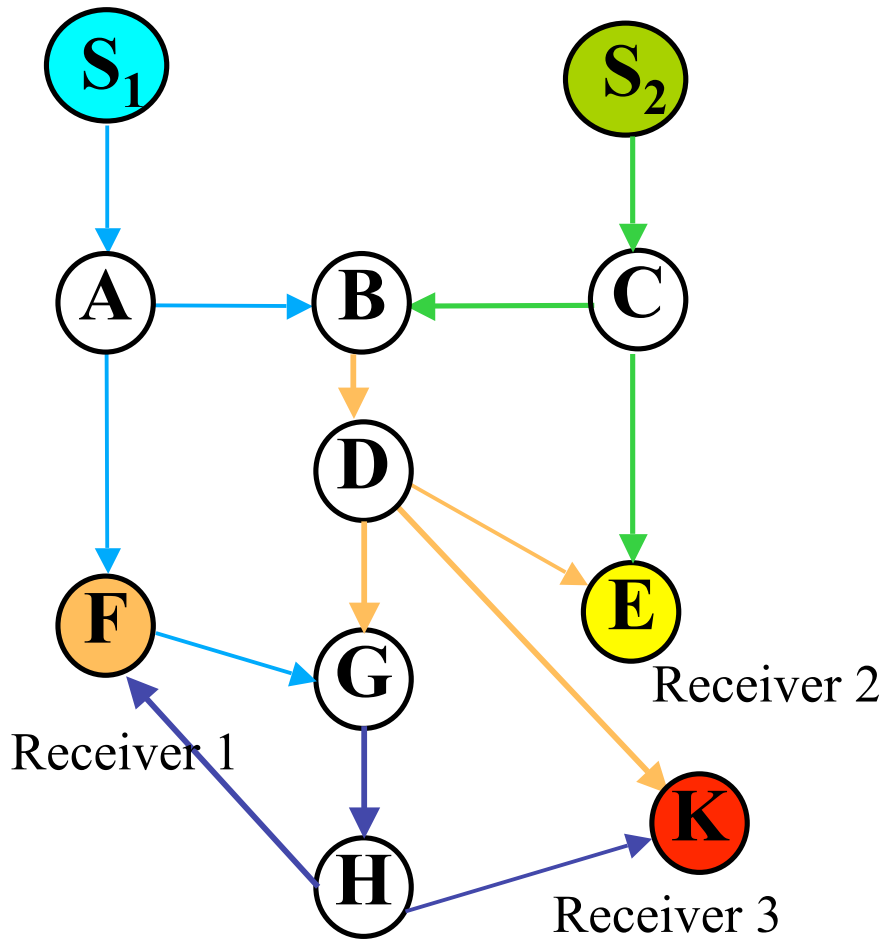
Theorem: For any configuration with  $h=2$  sources and  $N$  receivers

an alphabet of size  $\left\lceil \sqrt{2N - \frac{7}{4} + \frac{1}{2}} \right\rceil$  is always sufficient.

We will show that the problem of designing a network code for  $h=2$  sources can be reduced to the problem of coloring an appropriately defined graph.

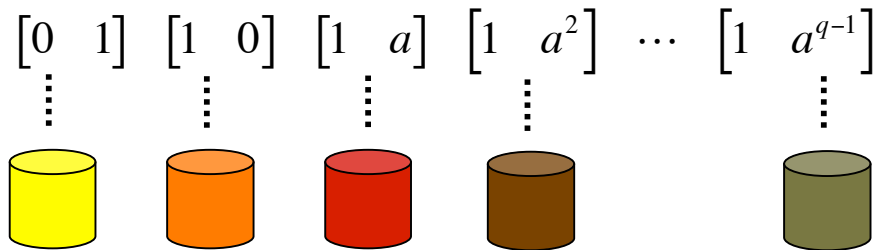


# Network code design: satisfy some linear independence conditions

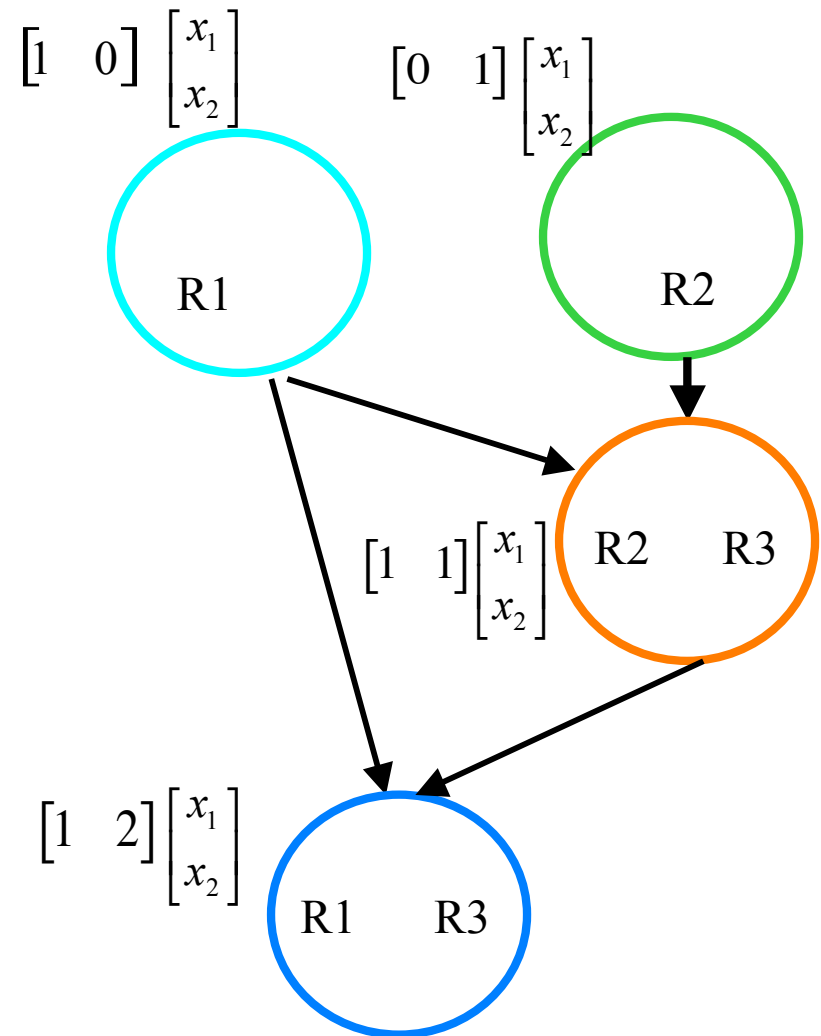


# Colors

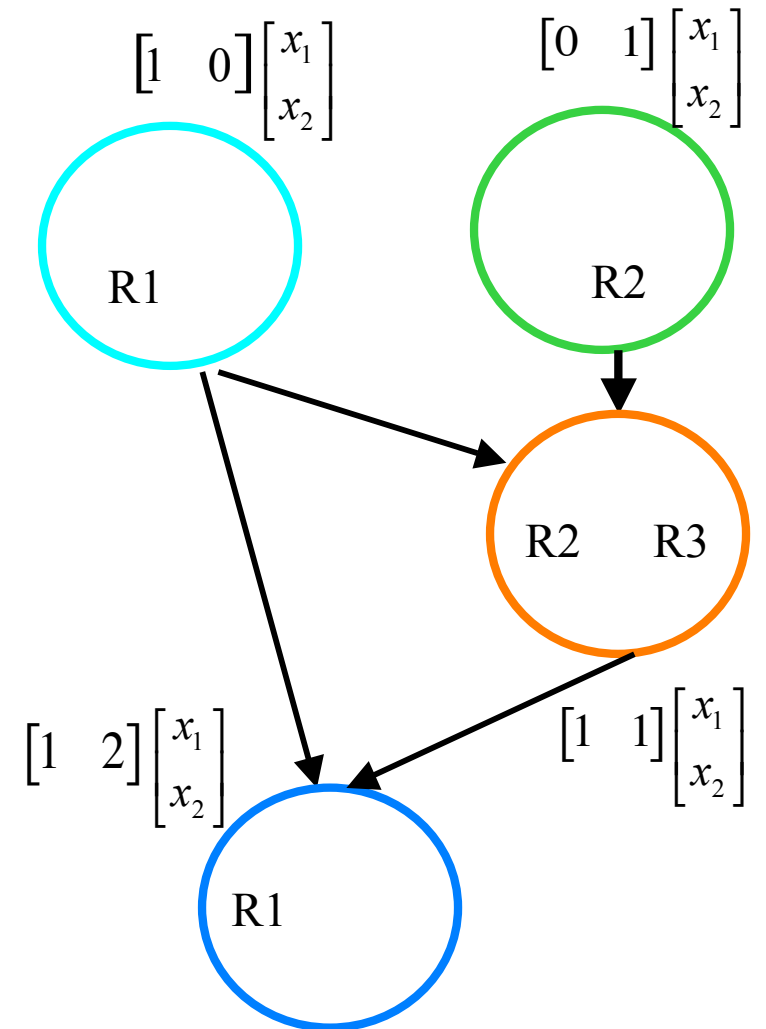
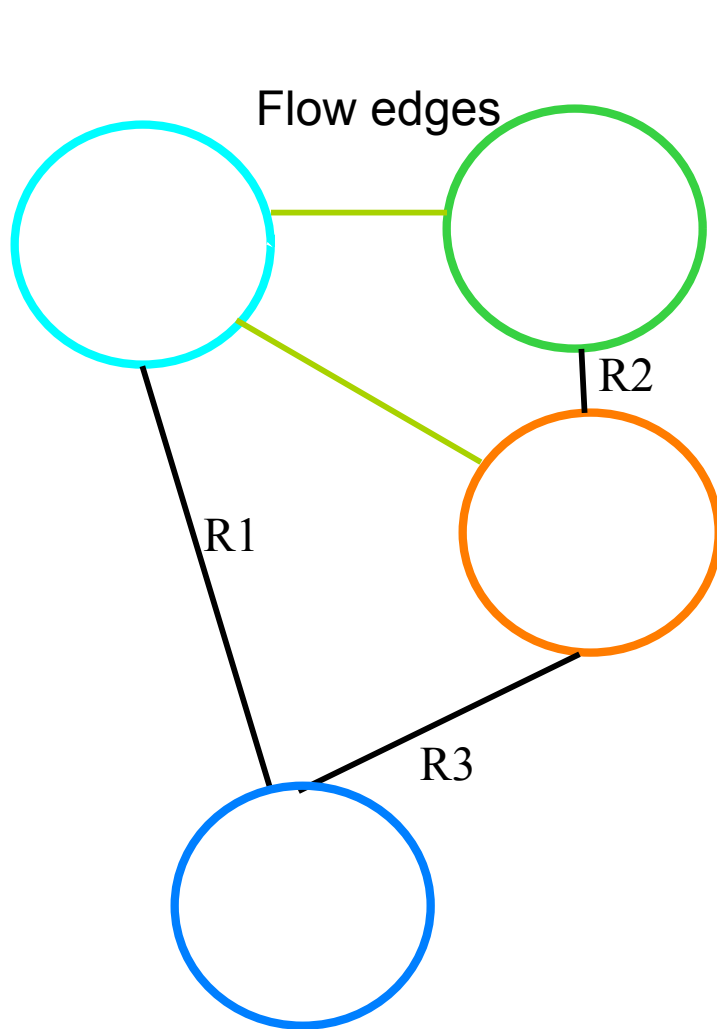
For  $h=2$ , it is sufficient to consider  $q+1$  coding vectors over  $F_q$ :



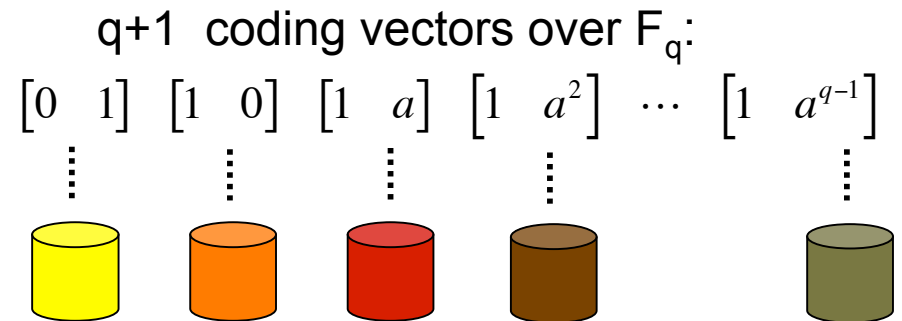
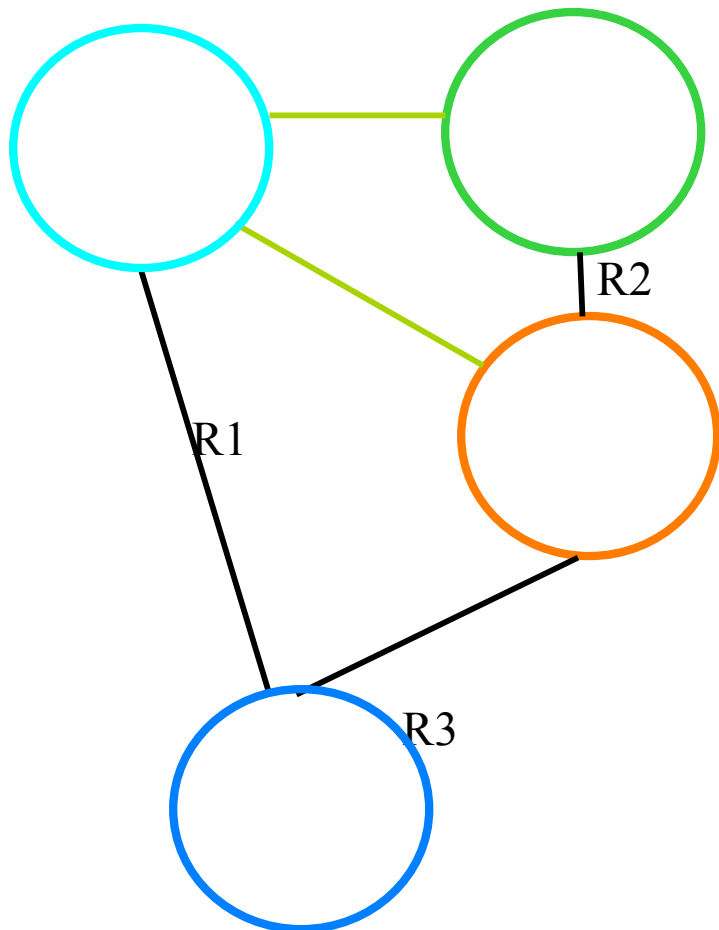
Any two such vectors form a basis of the 2-dimensional space



# Graph to color



# Coloring problem



## Elements of Proof:

1. If  $k$  colors are required:
  - the graph has  $k$  vertices of degree  $k-1$
  - an alphabet of size  $q=k-1$  is required
2. If we have  $N$  receivers there exist
  - At most  $N$  receiver edges
  - At most  $N-1$  flow edges

Thus 
$$q \geq \left\lceil \sqrt{2N - \frac{7}{4} + \frac{1}{2}} \right\rceil$$

# Throughput benefits

How much do we lose if we don't use network coding?

What throughput we can get by only using routing?

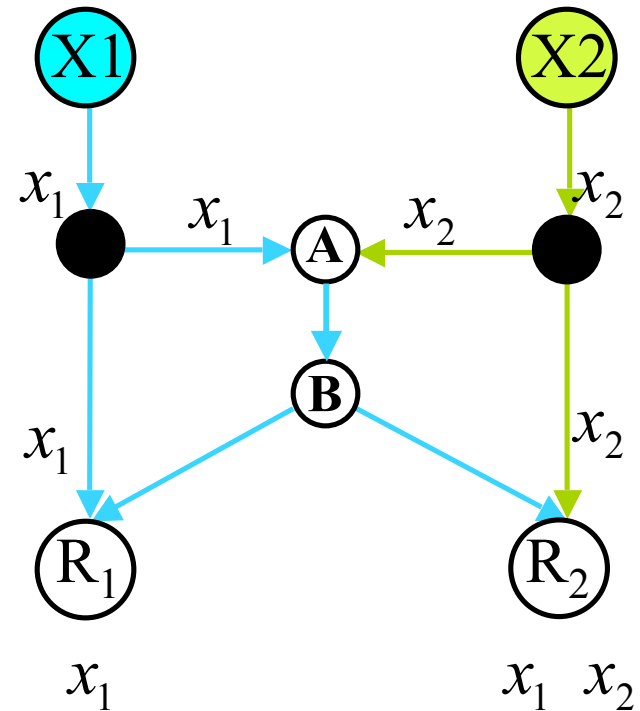


# Throughput benefits

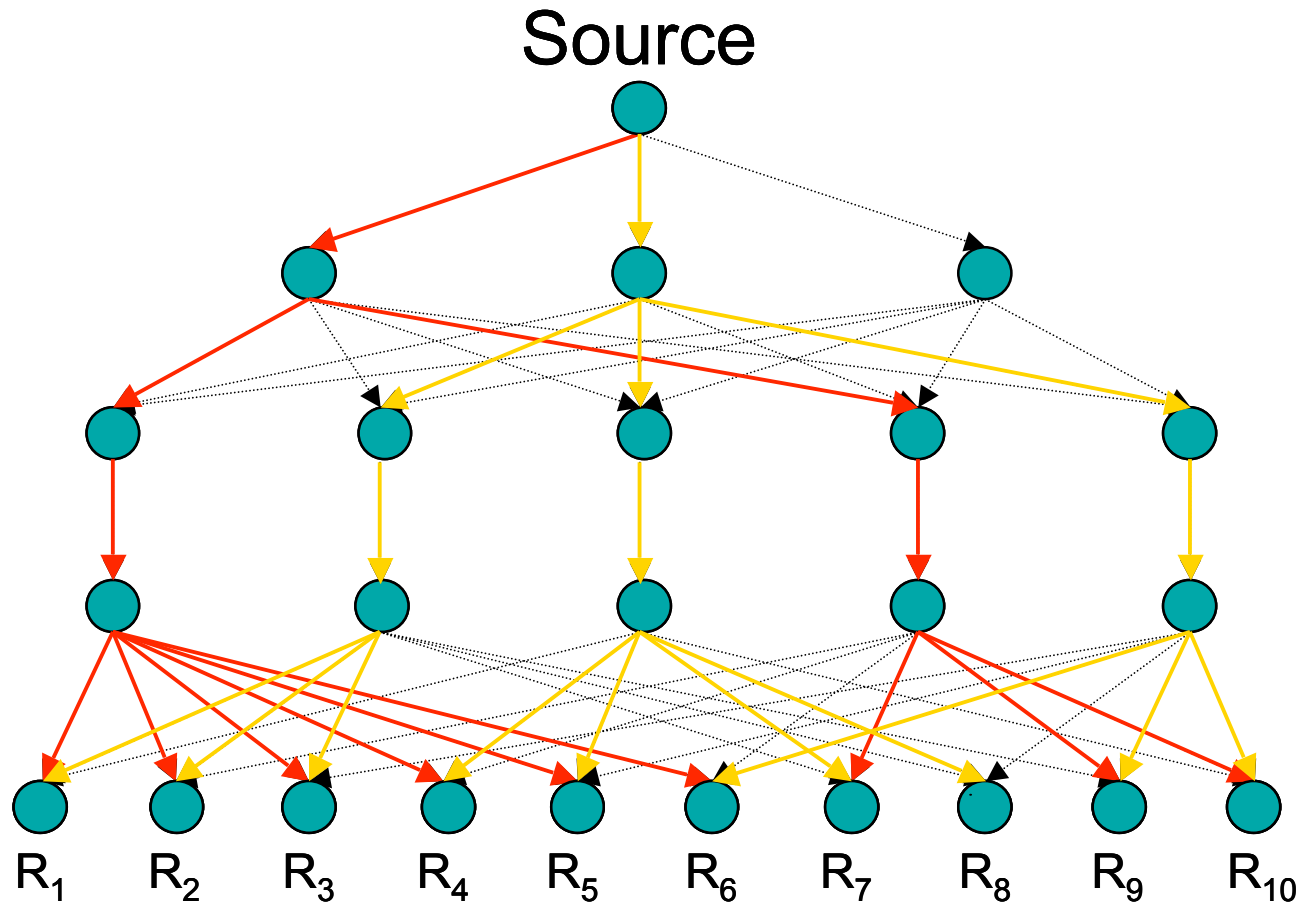
How much do we lose if we don't use network coding?

What throughput we can get by only using routing?

Common throughput= 1  
Average throughput=1.5



# Common Throughput: Packing Steiner Trees

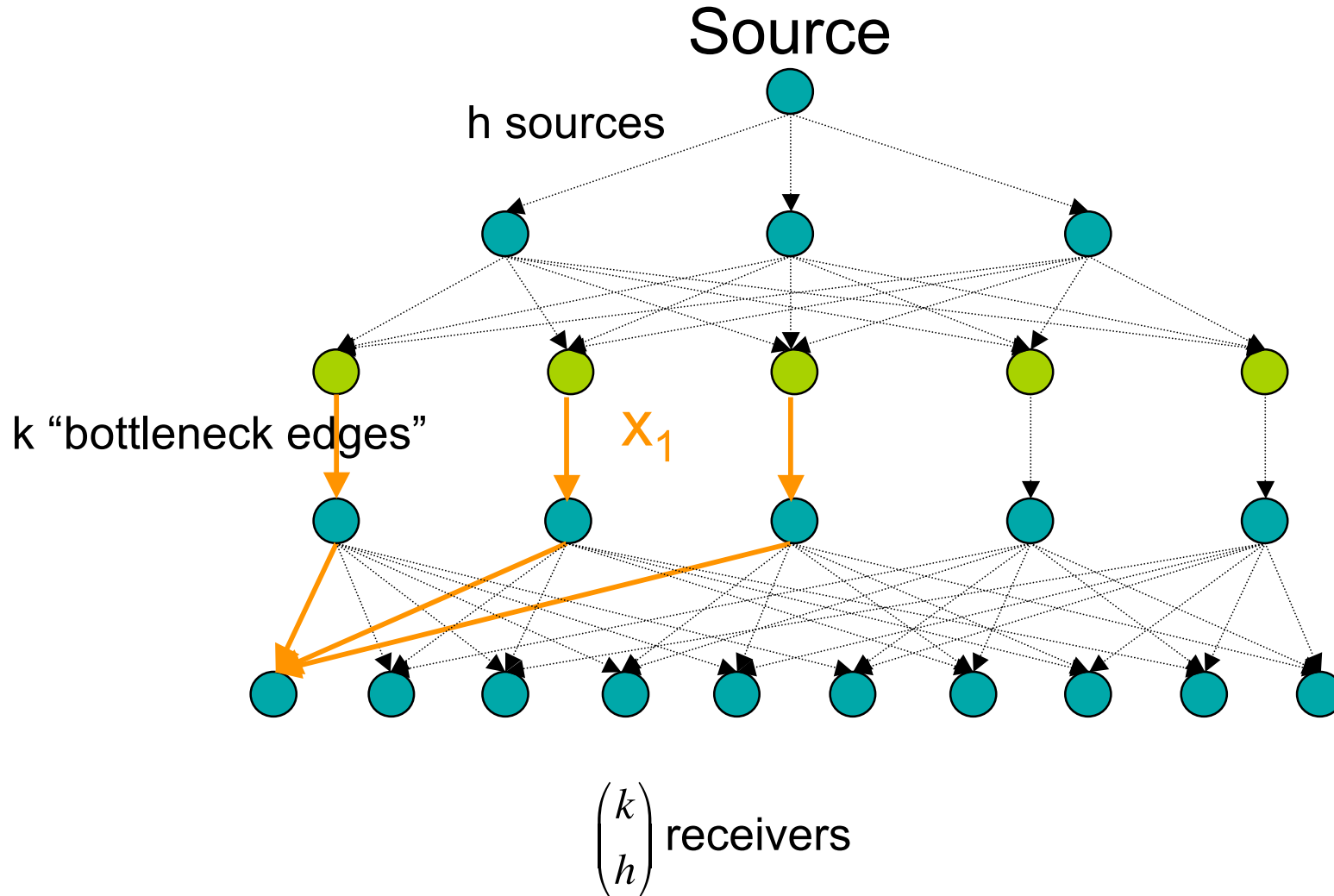


# Common throughput benefits

There exist directed graphs where network coding offers throughput benefits as compared to the average throughput proportional to  $h$  where  $h$  is the number of sources.

(Sanders et al. 2002)

For  $k > h^2$  there exist  $h$  edges that get allocated the same source.



# Common throughput benefits

There exist directed graphs where network coding offers throughput benefits as compared to the average throughput proportional to  $\frac{1}{h}$  where  $h$  is the number of sources.

(Sanders et al. 2002)

(Agarwal, Charikar 2004)

## Theorem

Let  $a(G,S,R)$  be the integrality gap of the Steiner tree problem on a directed graph  $G$ , with source  $S$  and a set  $R$  of  $N$  receivers. Let  $b(G,S,R)$  denote the maximum ratio of network coding throughput versus common throughput. Then

$$b(G,S,R) = a(G,S,R)$$

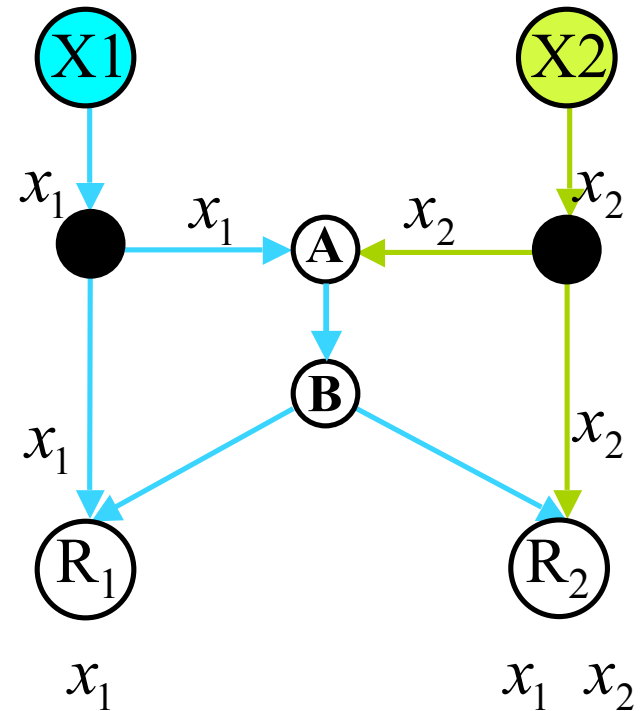
# Throughput benefits

How much do we lose if we don't use network coding?

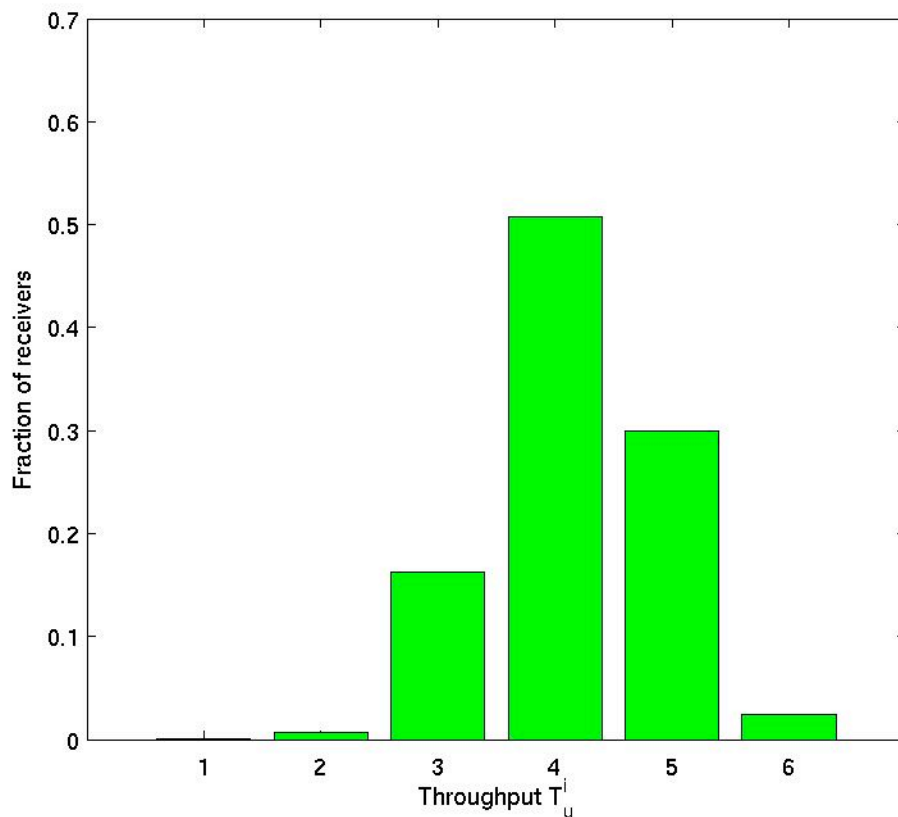
What throughput we can get by only using routing?

Common throughput= 1

Average throughput=1.5

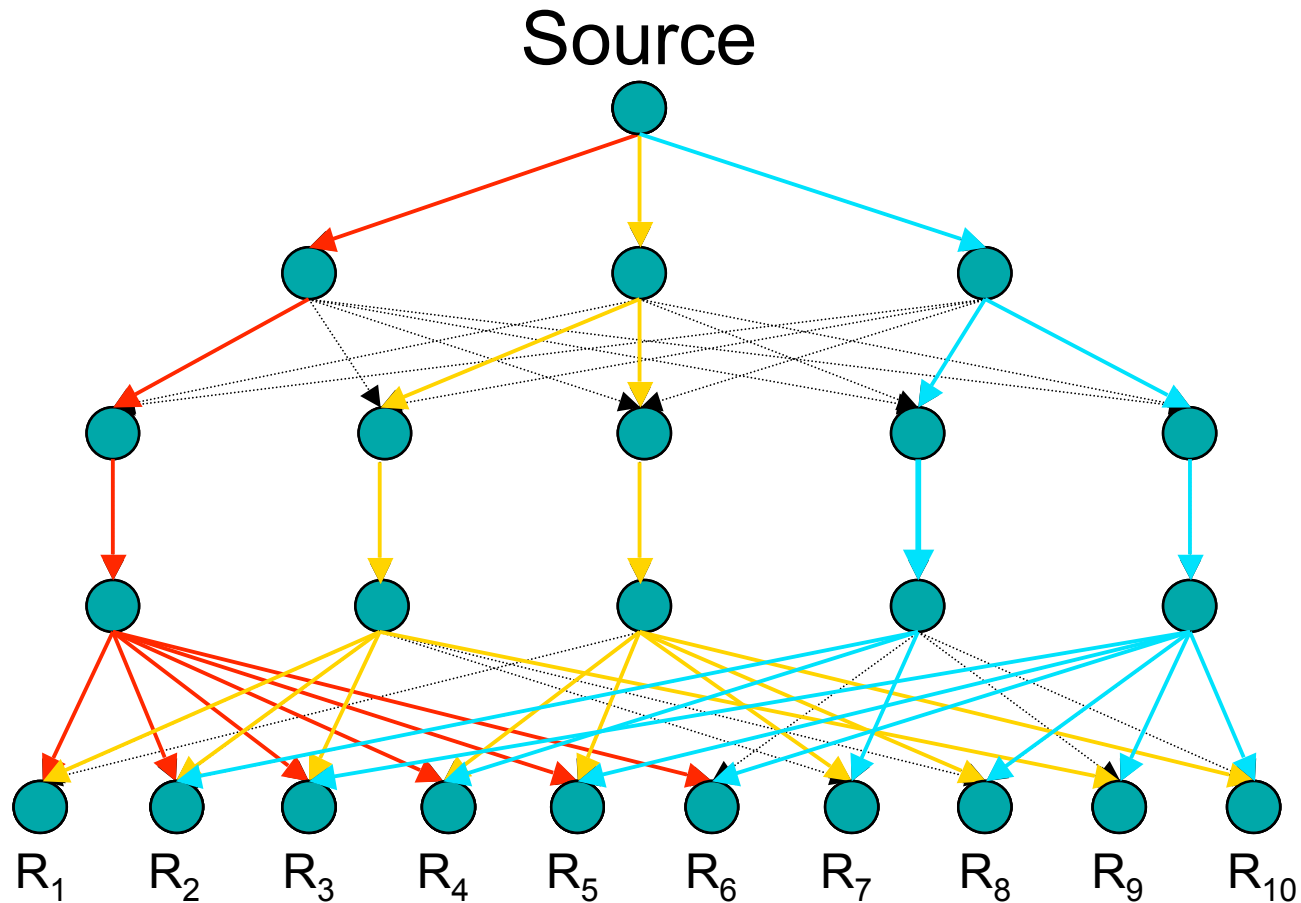


# Average Throughput



1. Average throughput much higher
2. Concentration around the average
3. “Transform” average to common throughput

# Average Throughput: Packing Partial Steiner Trees





# Average throughput benefits

[Chekuri, Fragouli, Soljanin 2005]

## Theorem

Let  $a(G,S,R)$  be the integrality gap of the Steiner tree problem on a directed graph  $G$ , with source  $S$  and a set  $R$  of  $N$  receivers. Let  $b(G,S,R^*)$  denote the maximum ratio of network coding throughput versus average throughput. Then

$$b(G,S,R^*) > a(G,S,R) / \log N$$

There exist directed graphs where network coding offers throughput benefits as compared to the average throughput proportional to  $\sqrt{N}$  where  $N$  is the number of receivers.

# Network Multicast

## Routing

Nodes in the network are only allowed to **forward** the incoming information flows

### Problem of Packing Steiner Trees

- NP-hard
- We do not always achieve rate  $h$  to each receiver.

## Network Coding

Nodes in the network are allowed to **process** the incoming information flows

There exist polynomial time algorithms that achieve rate  $h$  to each receiver.

# Outline

1. Main Theorem in Multicasting

2. Benefits and Requirements

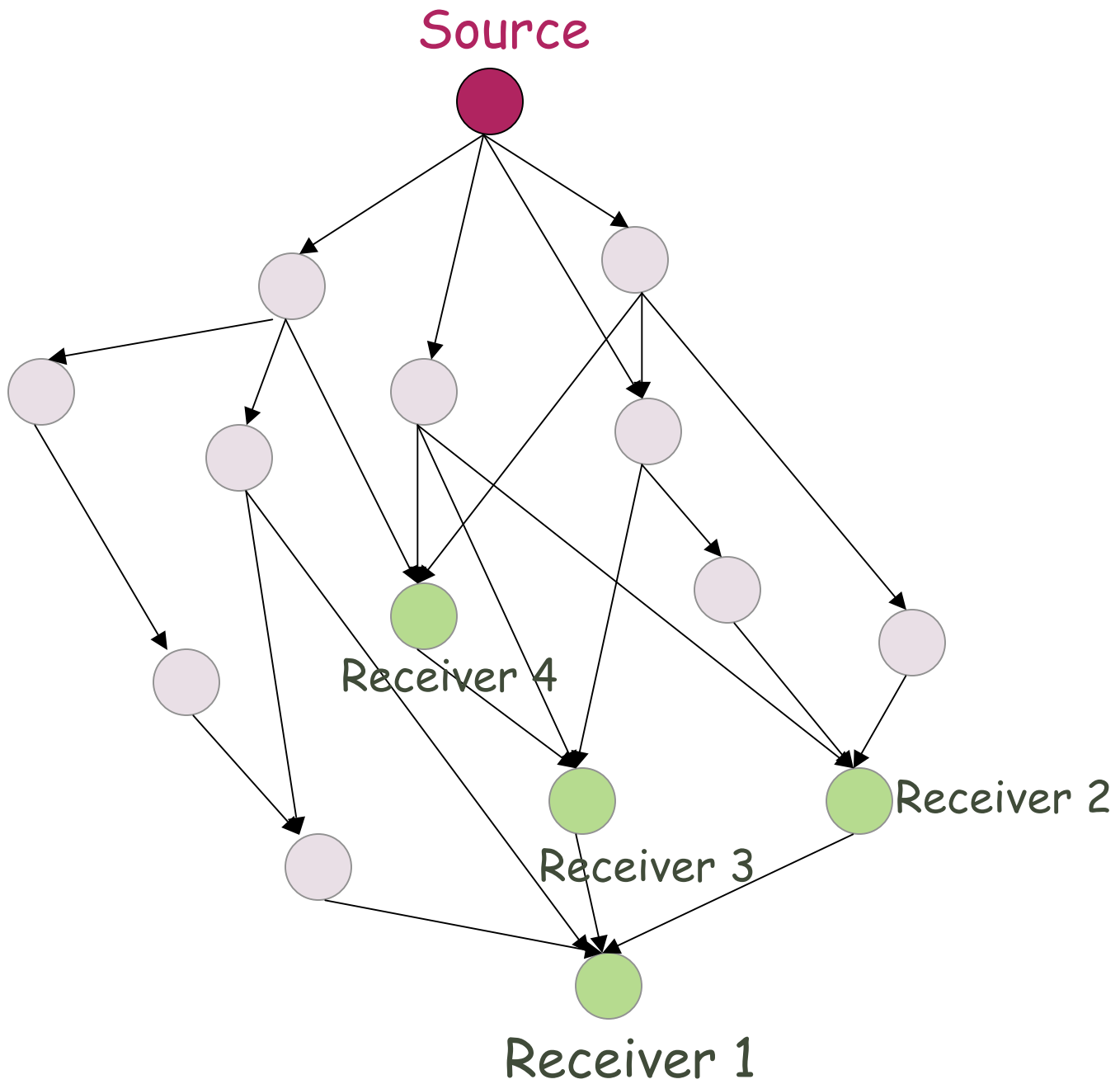
3. Network Code Design

# Network Code Design

- Polynomial time algorithms  
(Sanders, Egnér, Tolhuizen,  
Jaggi, Chou, Effros 2003)
- Randomized Algorithms  
(Ho, Medard, Shi, Koetter, Karger 2003)
- Deterministic decentralized algorithms  
(Fragouli, Soljanin 2004)
- Subspace coding  
(Koetter, Kschischang 2007)

# Acyclic Networks

In acyclic networks, we can impose a partial order on the edges, so that no edge is visited before all its incoming edges.

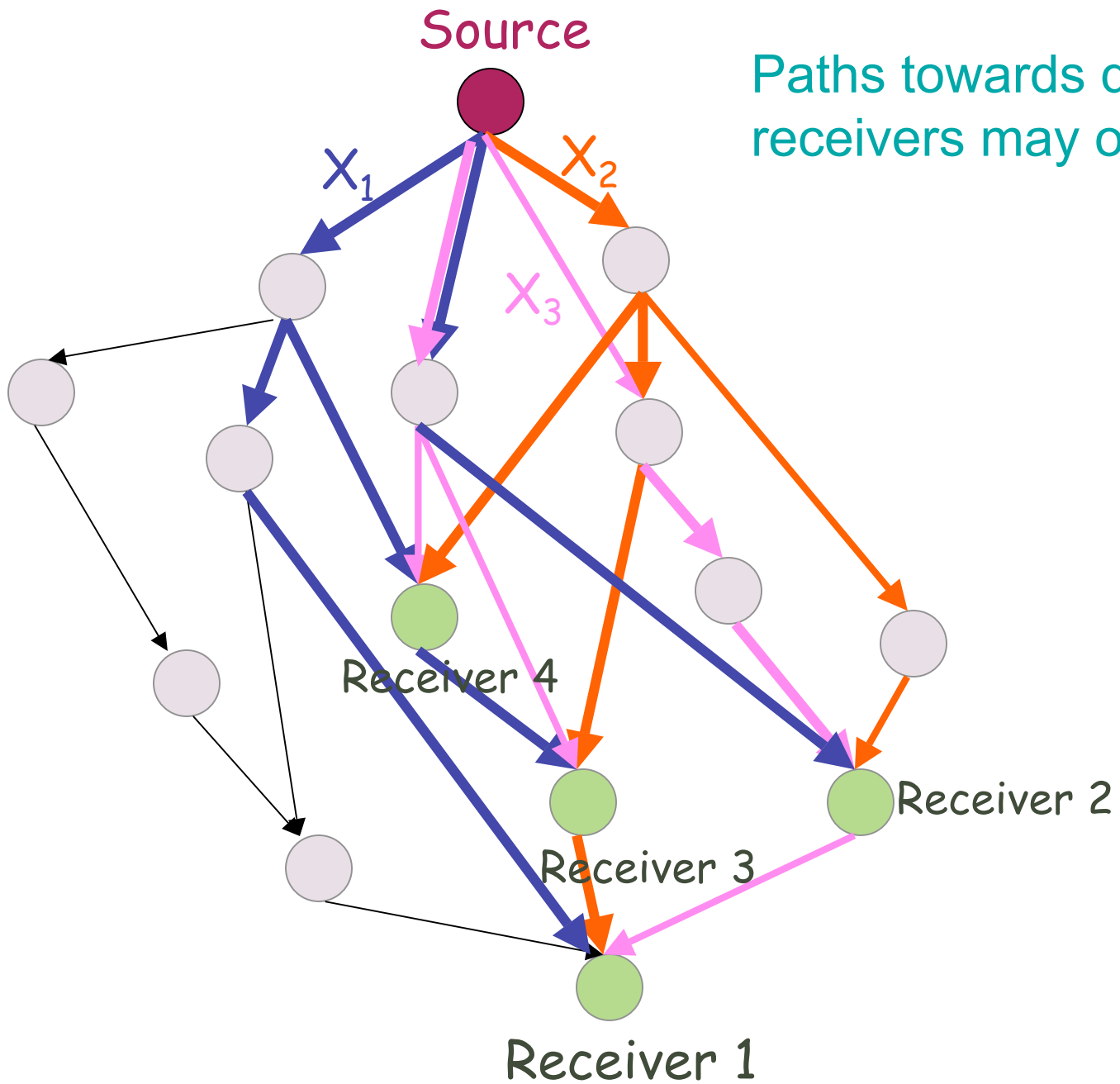


# Acyclic Networks

In acyclic networks, we can impose a partial order on the edges, so that no edge is visited before all its incoming edges.

**First common step of all algorithms:**

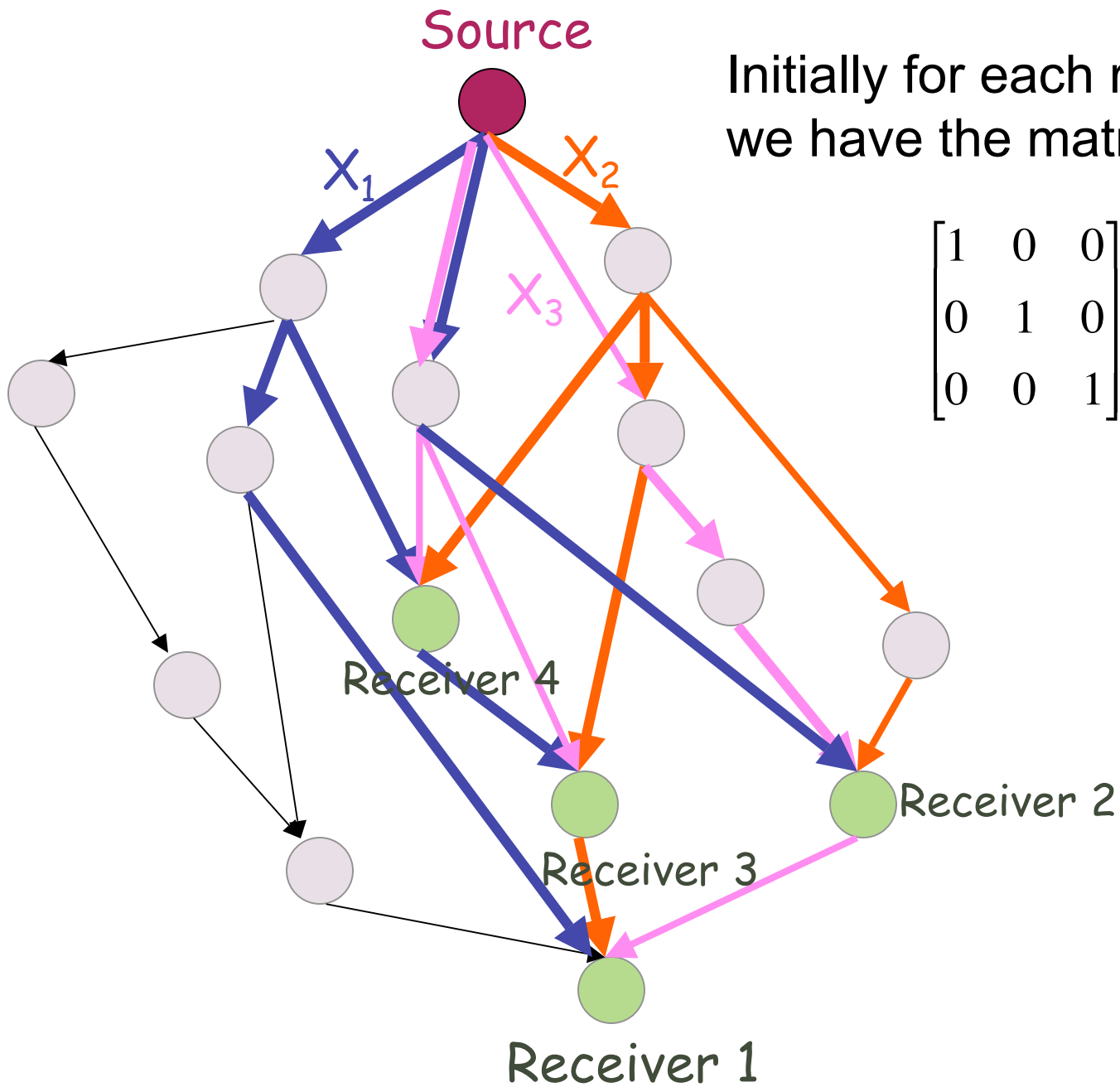
Find paths from the source to each receiver





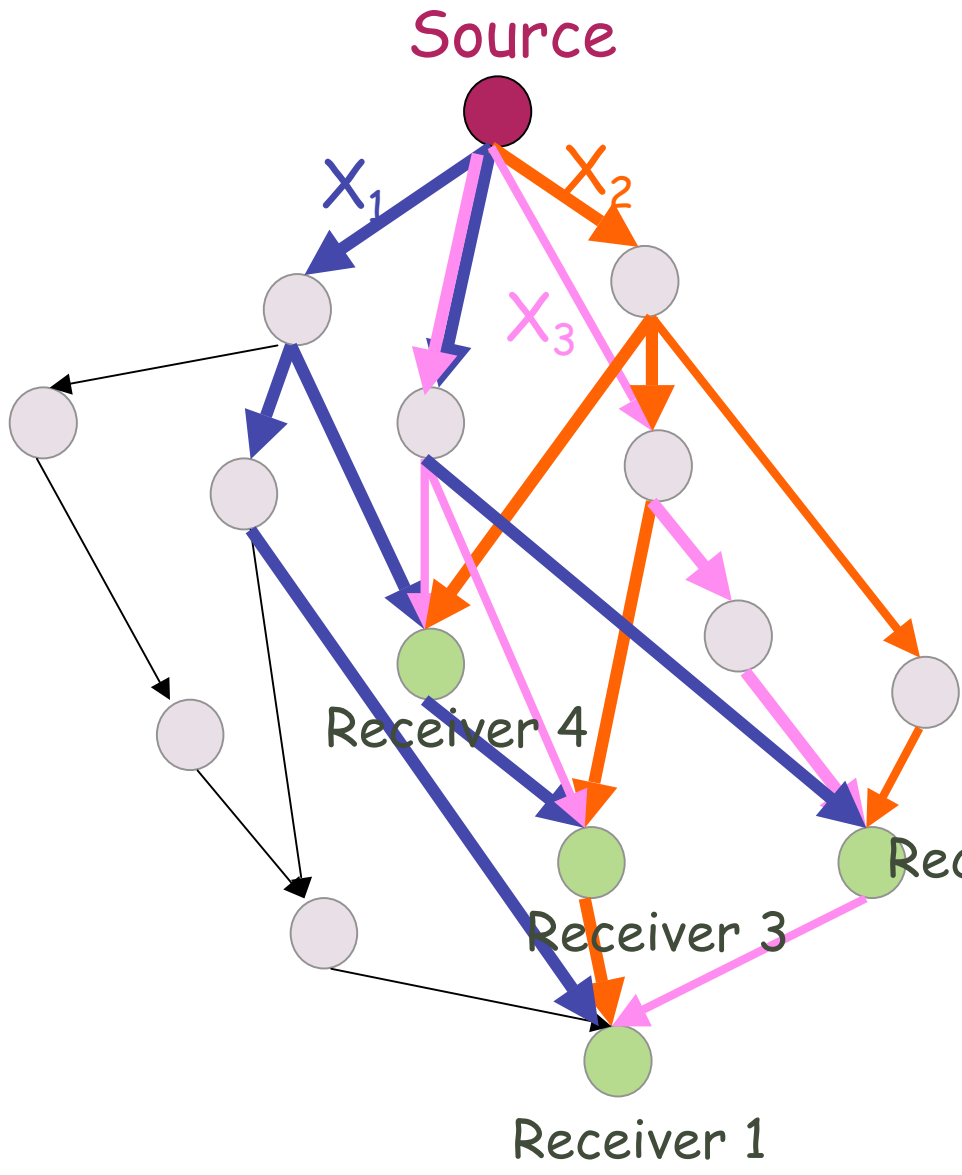
# Linear Information Flow (LIF) Algorithm

- Consider an acyclic multicast configuration  $G=(V,E)$  with  $h$  sources and  $N$  receivers.
- Find paths from the source to each receiver.
- Keep for each receiver a set (matrix) of  $h$  coding vectors (initially these vectors form the identity matrix) corresponding to the most recently visited edge, on the paths from the source to the receiver.



Initially for each receiver we have the matrix:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



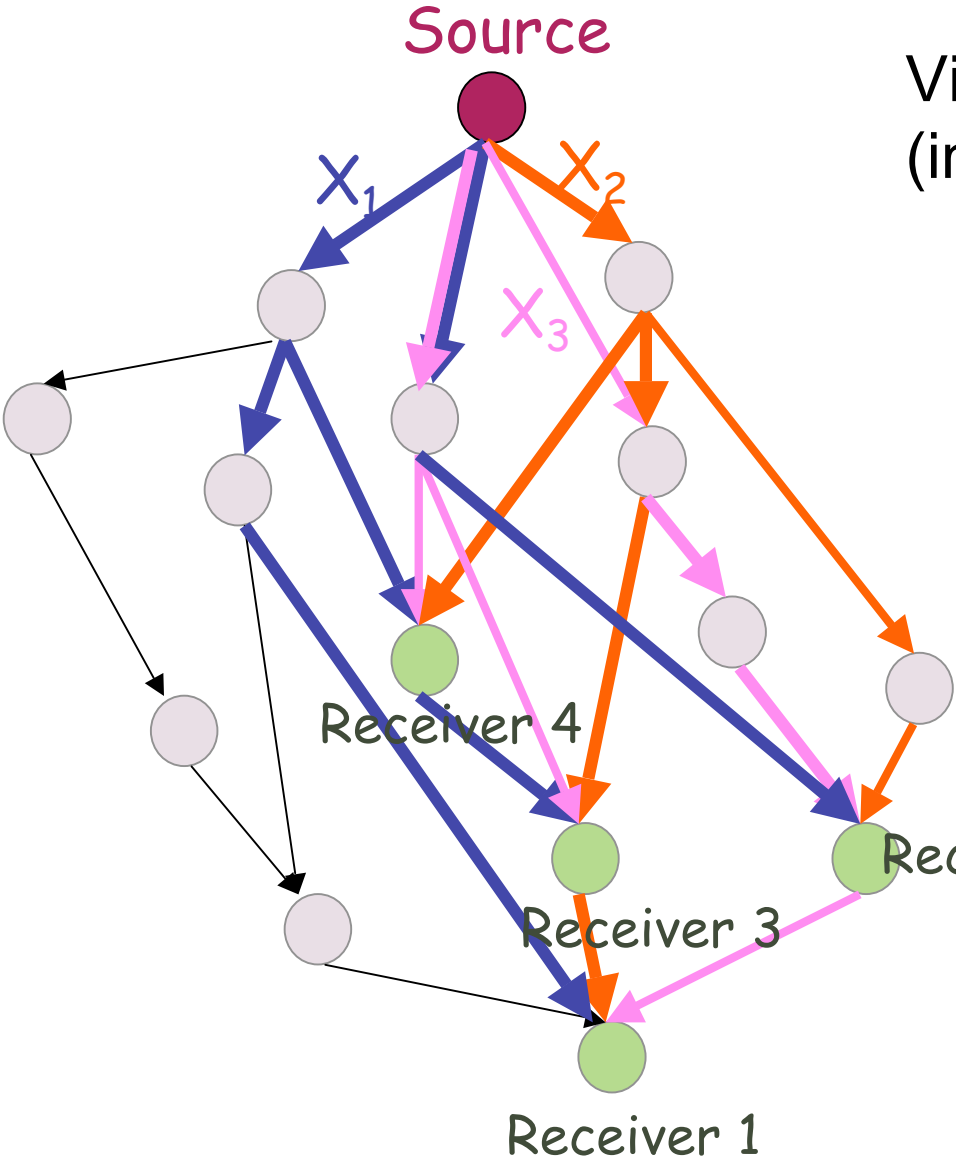
Receiver 1: 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Receiver 2: 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Receiver 3: 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Receiver 4: 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Visit the edges of the graph  
(in the established partial order)



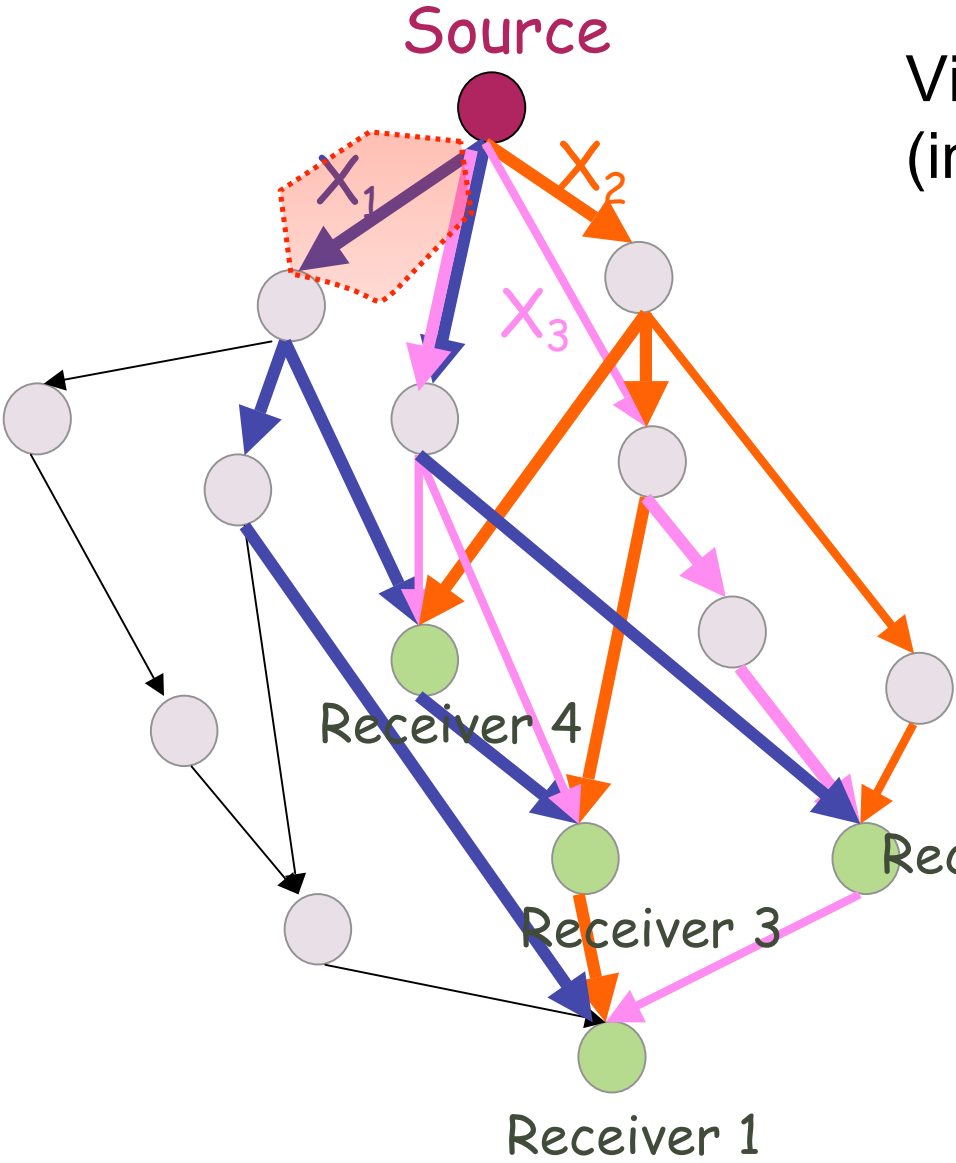
Receiver 1:  $\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

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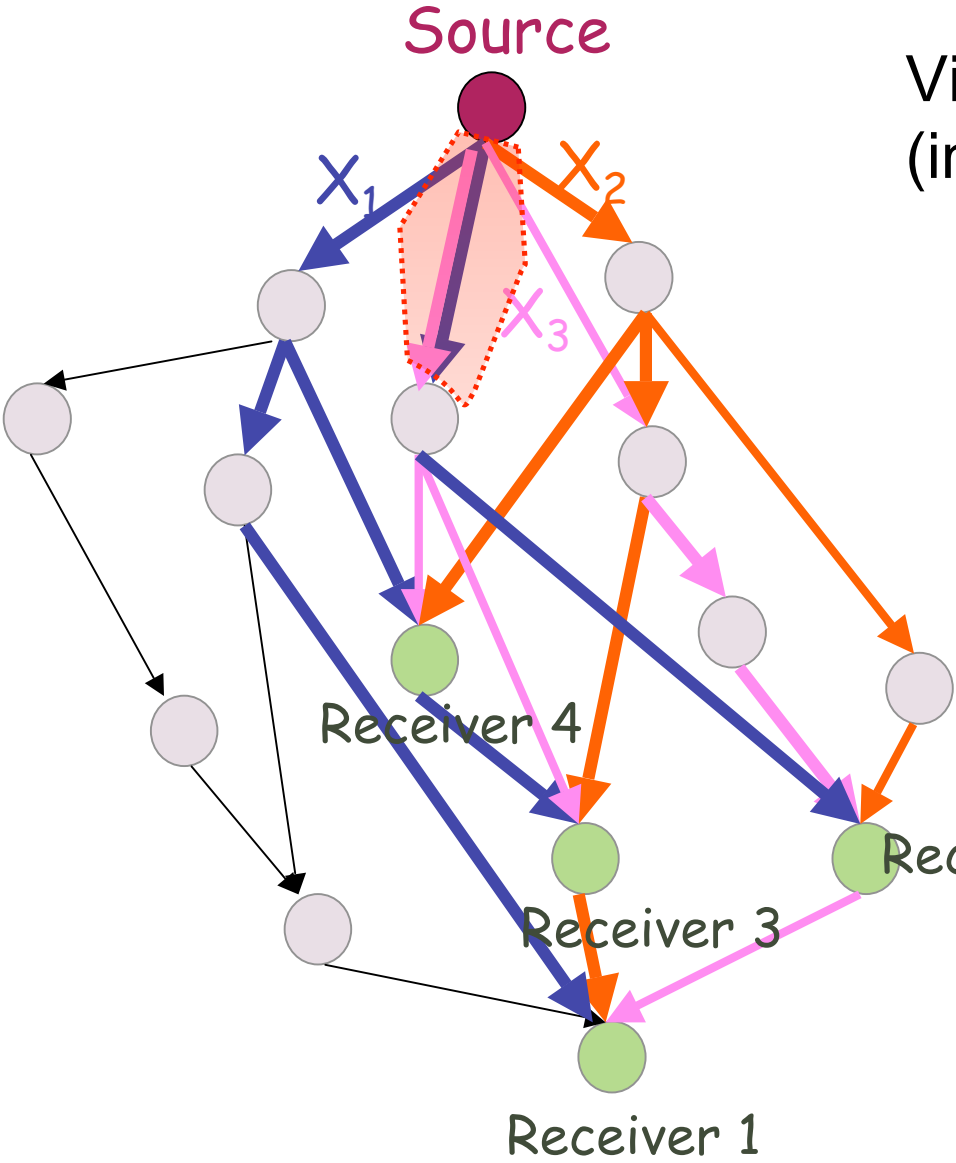
Receiver 1:  $\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$

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Receiver 1:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

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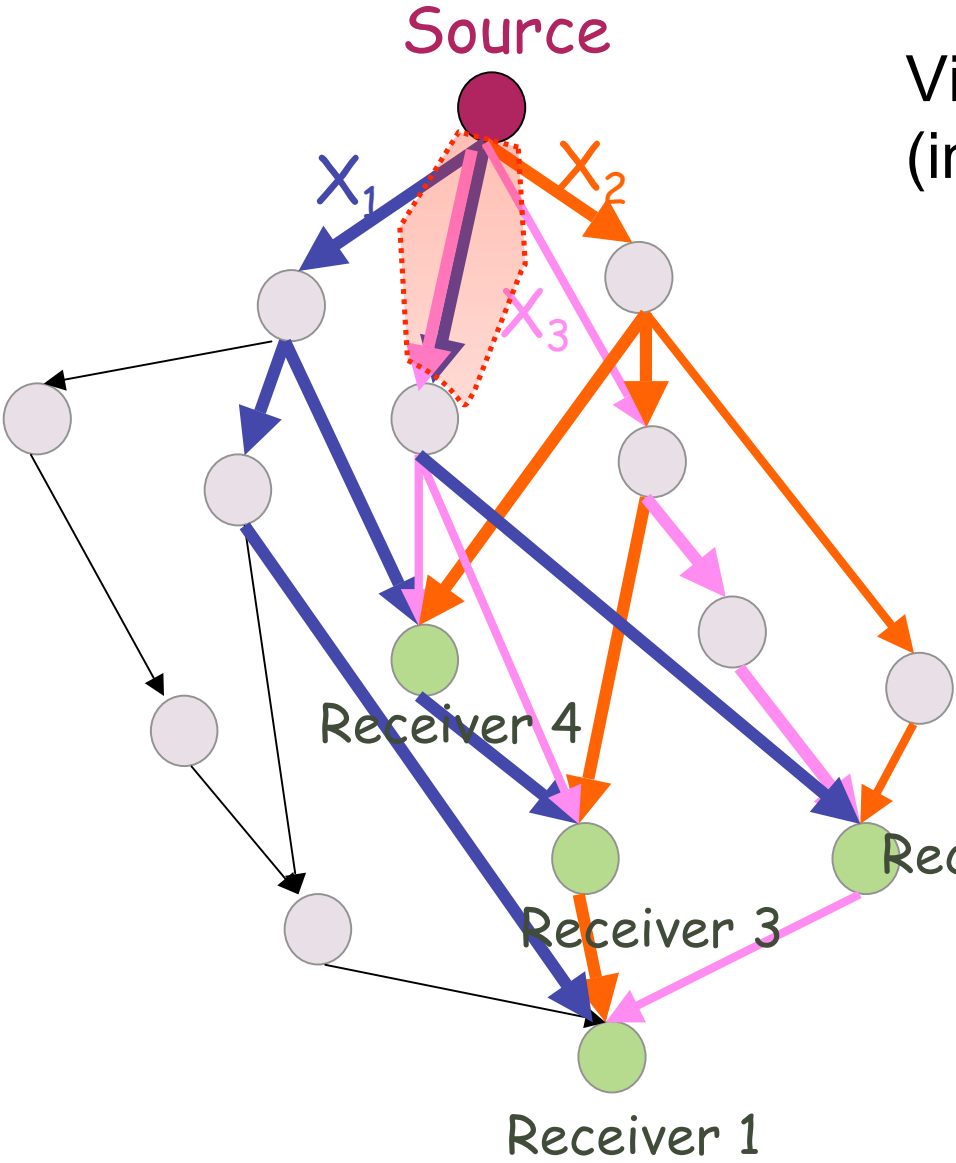
Receiver 3:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Receiver 4:

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Visit the edges of the graph  
(in the established partial order)



Receiver 1: 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Receiver 2: 
$$\begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Receiver 3: 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$$

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# Linear Information Flow (LIF) Algorithm

- Consider an acyclic multicast configuration  $G=(V,E)$  with  $h$  sources and  $N$  receivers.
- Find paths from the source to each receiver.
- Keep for each receiver a set (matrix) of  $h$  coding vectors (initially these vectors form the identity matrix) corresponding to the most recently visited edge, on the paths from the source to the receiver.
- Sequentially visit the edges of the graph. For each edge  $e$ , select a coding vector  $c(e)$  such that, all receiver that use this edge in one of their paths, when replacing this vector in their matrix, the matrix remains full rank.
- Such a coding vector exists, provided that the alphabet size is greater than  $N$ .

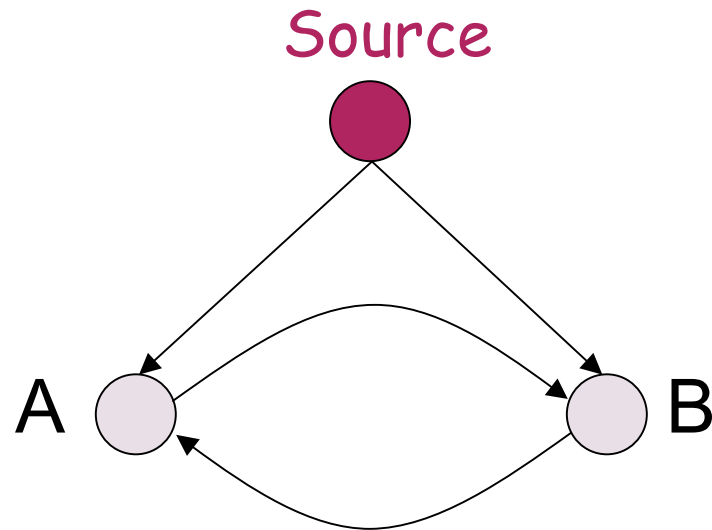


# Randomized Algorithms

- Consider an acyclic multicast configuration  $G=(V,E)$  with  $h$  sources and  $N$  receivers.
- Find paths from the source to each receiver.
- At each edge, choose a uniform at random linear combination of the incoming symbols.
- The probability of error goes to zero as the alphabet size increases.

## Networks with Cycles

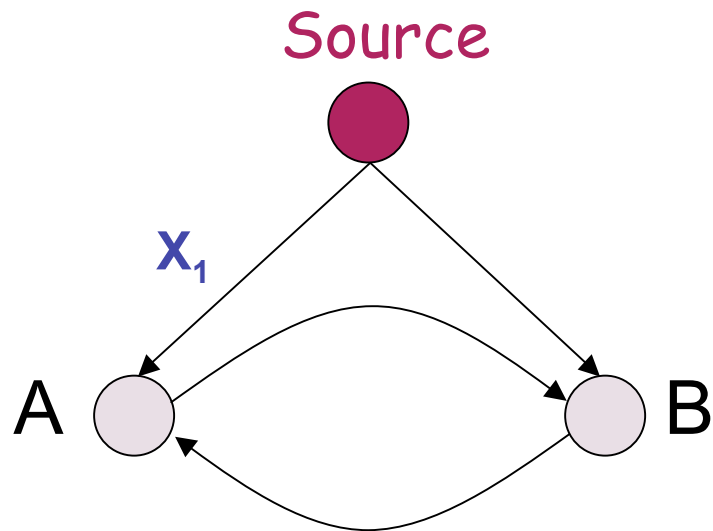
In networks with cycles, we need to introduce delay to guarantee causality:



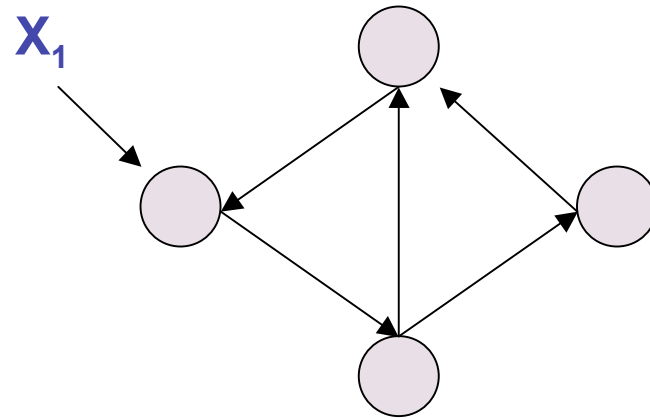
# Networks with Cycles

We distinguish between

simple cycles



knots



# Outline

1. Main Theorem in Multicasting

2. Benefits and Requirements

3. Network Code Design

4. Applications

# Applications of Network Coding

- Ad-hoc wireless networks
- Content delivery in P2P networks
- Network tomography
- Sensor networks
- Security
- Chip design
- .....

# Applications of Network Coding

- Ad-hoc wireless networks
- Content delivery in P2P networks
- Network tomography
- Sensor networks
- Security
- Chip design
- .....

# Wireless Networks

Benefits: energy efficiency, delay, wireless bandwidth

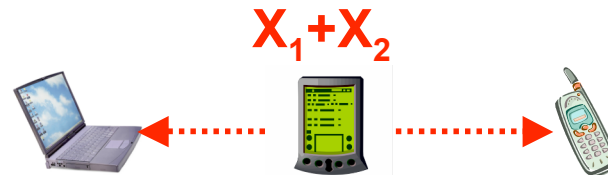
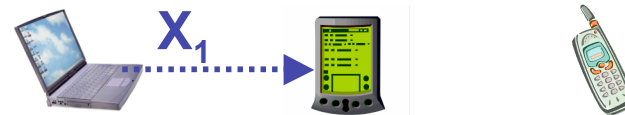
## Traditional Method

A B C



## Network Coding

A B C



Y. Wu, P. Chow and S. Kung, "Minimum-energy multicast in mobile ad hoc networks", ITW, Oct. 2004

# Energy-Efficient Broadcasting in Wireless Ad-hoc Networks

(Widmer, Fragouli, Le Boudec 2005)

Consider an ad-hoc wireless network, where

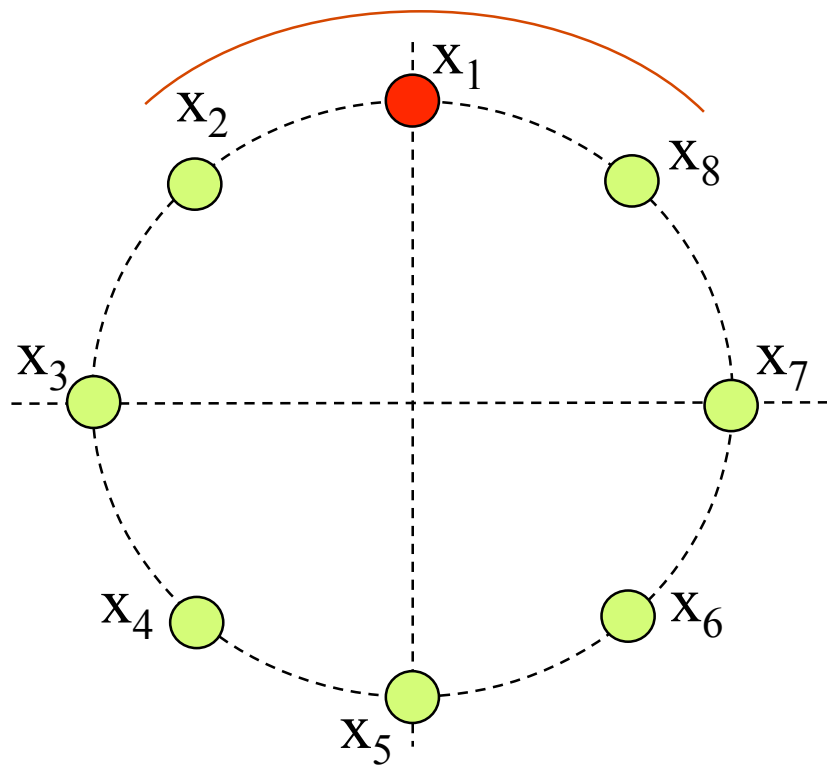
- all nodes are sources,
- every node needs to receive all sources, and
- each node can broadcast information to its closest neighbors.

Application: Discovery mechanisms at the network or application layer

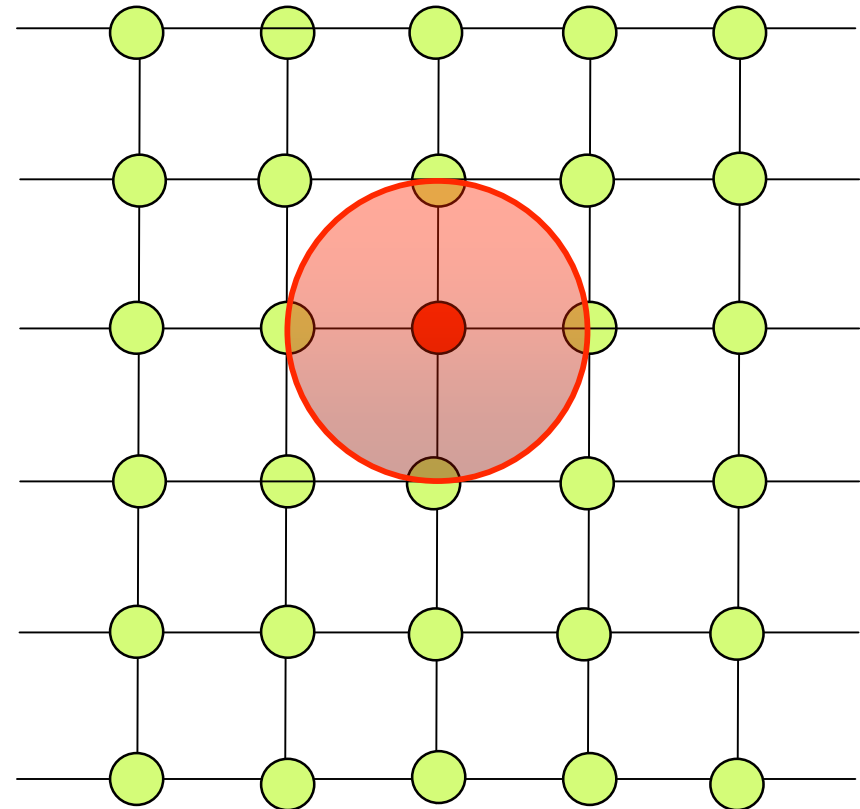


# Energy-Efficient Broadcasting in Wireless Ad-hoc Networks

## Circular Network



## Square Grid



# Theorem

(Widmer, Fragouli, Le Boudec 2005)

Let  $N_c$  be the total number of transmissions per information unit required with network coding and  $R$  the total number of transmissions required with routing. Then:

Network coding uses the smallest possible number of transmissions. Moreover,

**For the circular network**

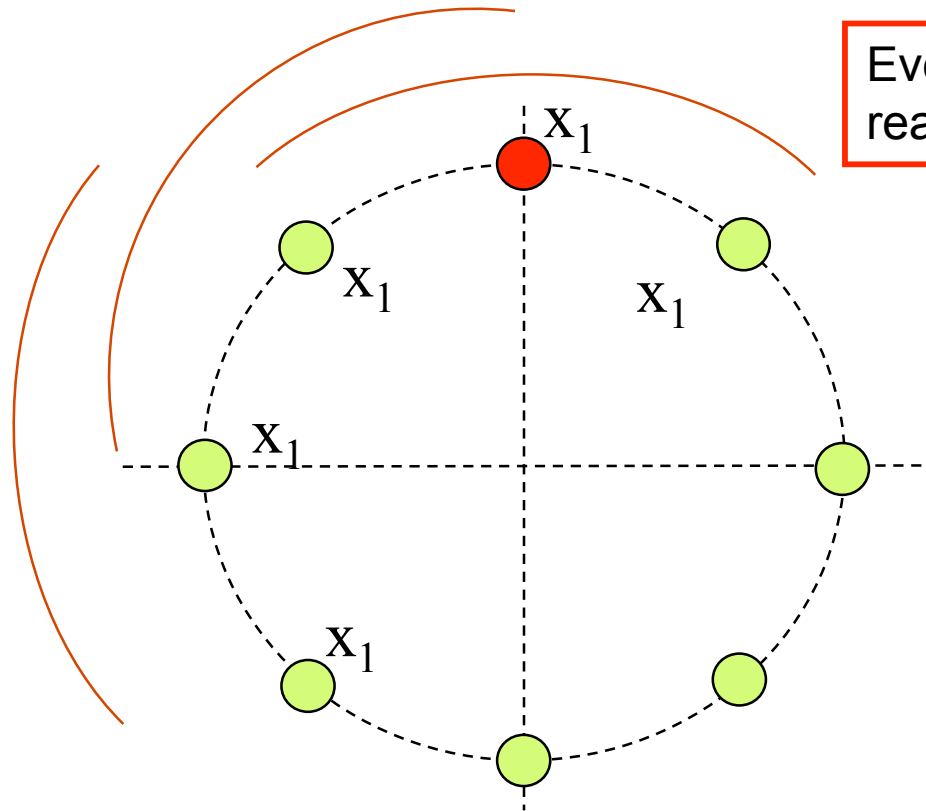
$$R \geq 2N_c$$

**For the square grid**

$$R \geq \frac{4}{3}N_c$$

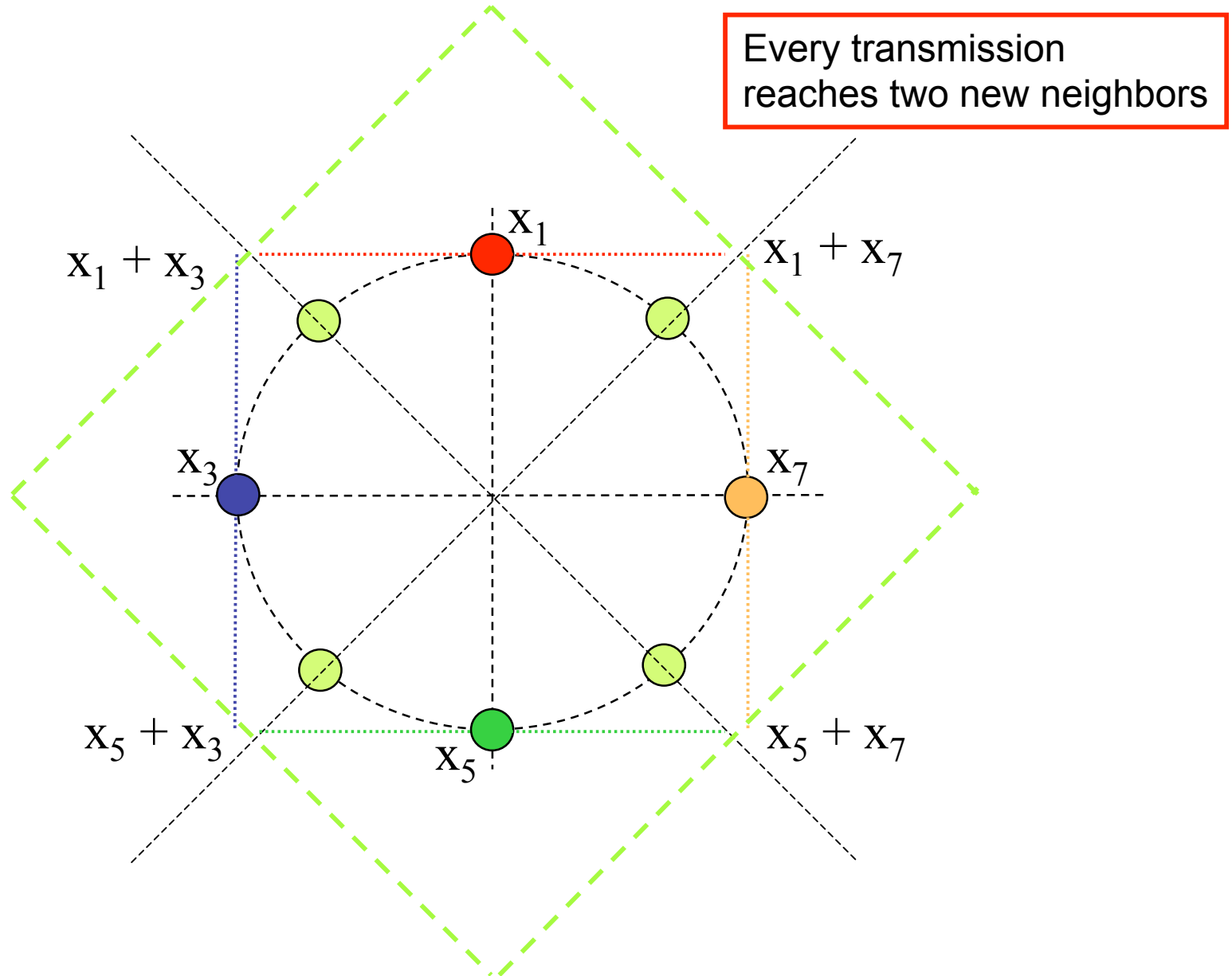
# Elements of the Proof

## 1. Circular Network: without network coding



Every transmission reaches one new neighbor

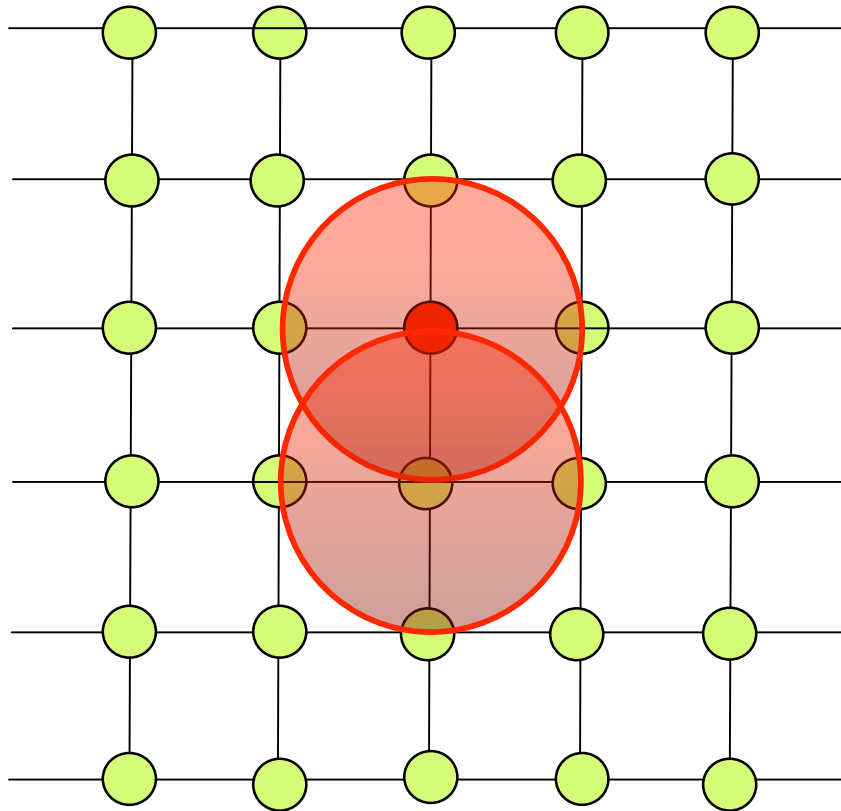
## 2. Circular Network with network coding



### 3. Square Grid: $R=4$ $N/3$

Forwarding: every transmission reaches three new neighbors

Network Coding: every transmission reaches four new neighbors



# Decentralized Algorithms

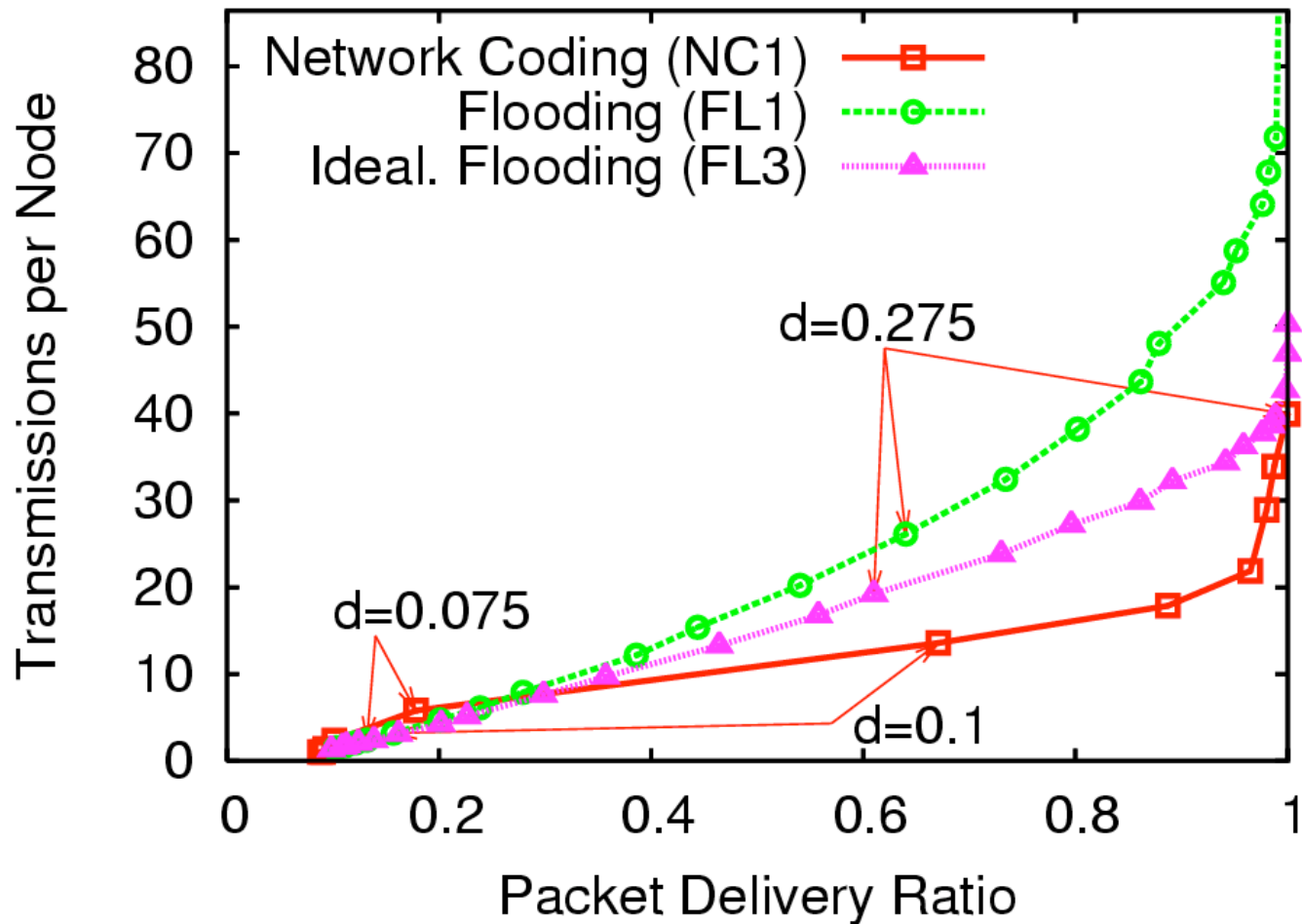
**Square Grid:** Each broadcast transmission brings information to a constant number  $C$  of neighbors.

Each node broadcasts a packet (a random linear combination of whatever he has received in the past) as soon as he receives  $C$  new packets.

**Random Network:** Number of neighbors not constant.

Rebroadcast a new packet with probability  $d$ .

# Simulation Results



**We saw that:**

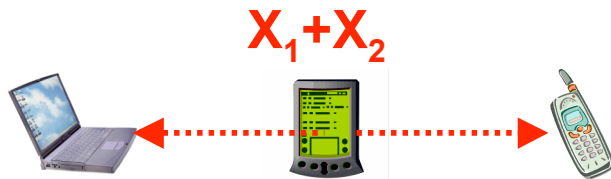
Network coding offers a constant factor of benefits in terms of energy efficiency over fixed wireless networks.

**Other benefits?**



## Network Coding

A B C



## Physical Layer Network Coding

A B C



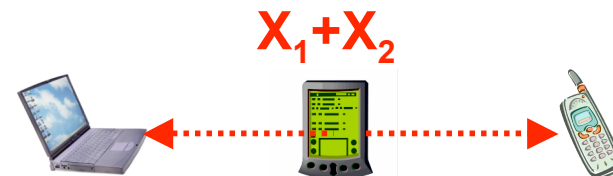
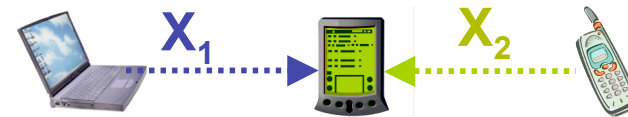
# Challenges

(similar to distributed  
space-time coding)

- o Quantization errors
- o Synchronization
- o .....

## Physical Layer Network Coding

**A**      **B**      **C**

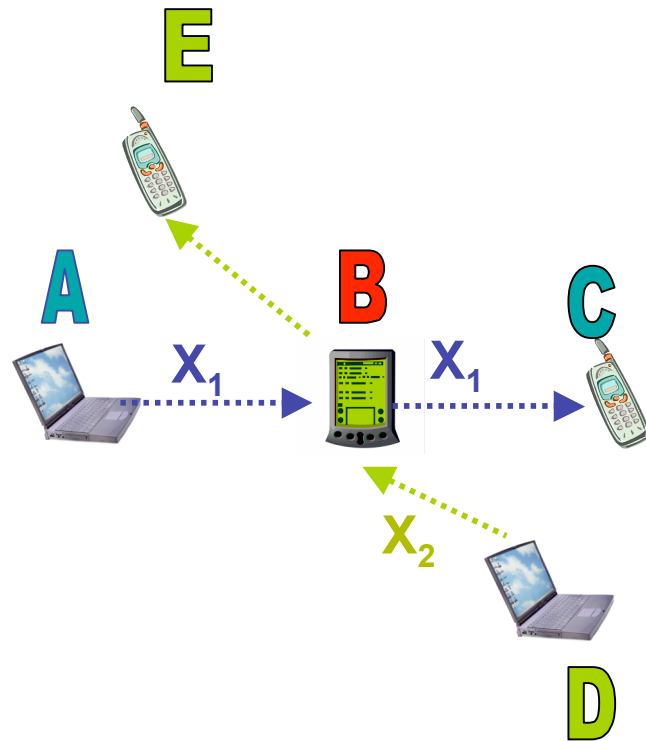


Network coding offers benefits in terms of  
1) energy efficiency

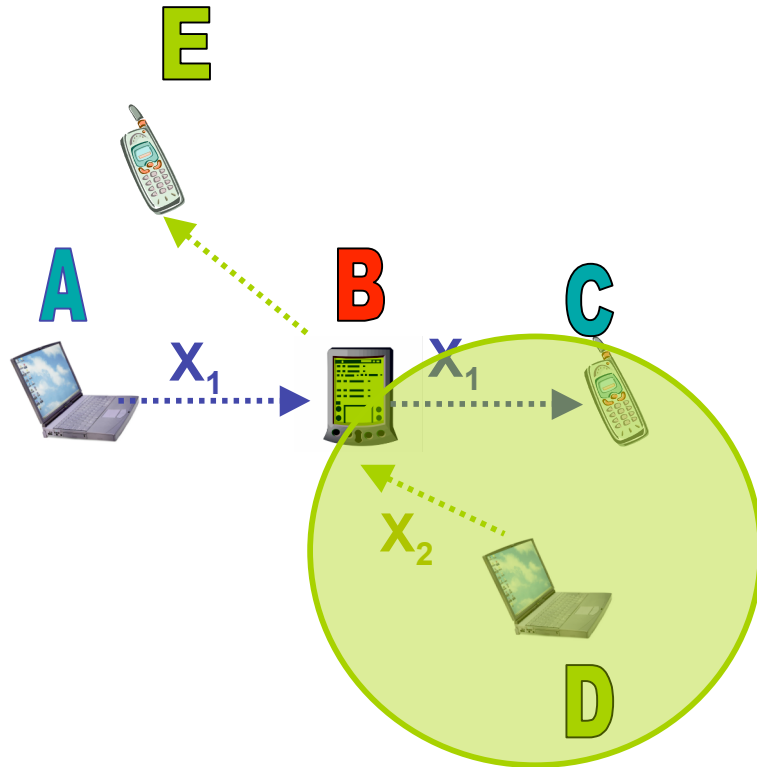
Other benefits?

# COPE

*Katti, Rahul, Hu, Katabi, Medard, Crowcroft, SigComm 2006*

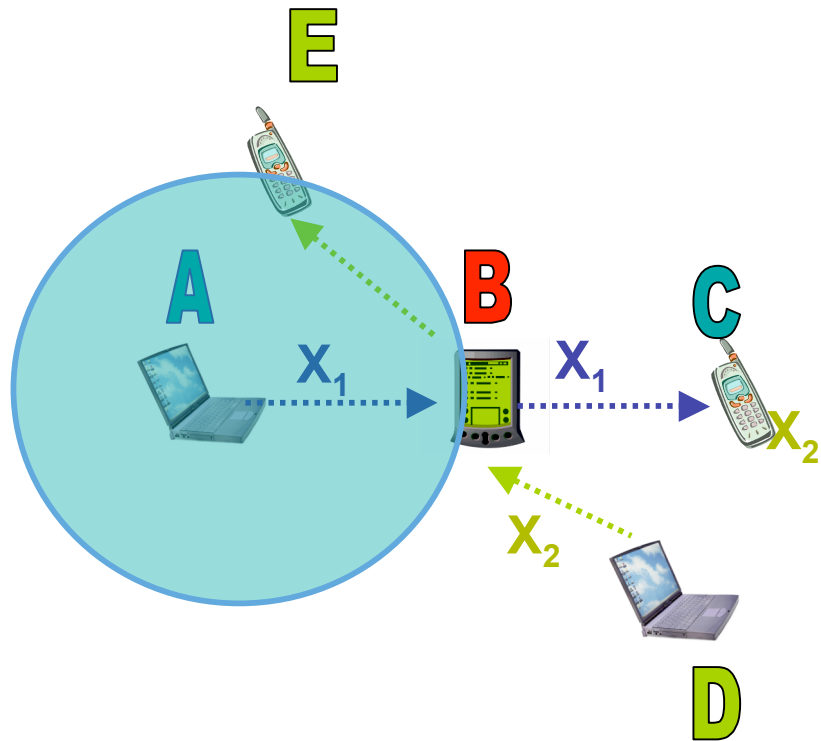


# COPE



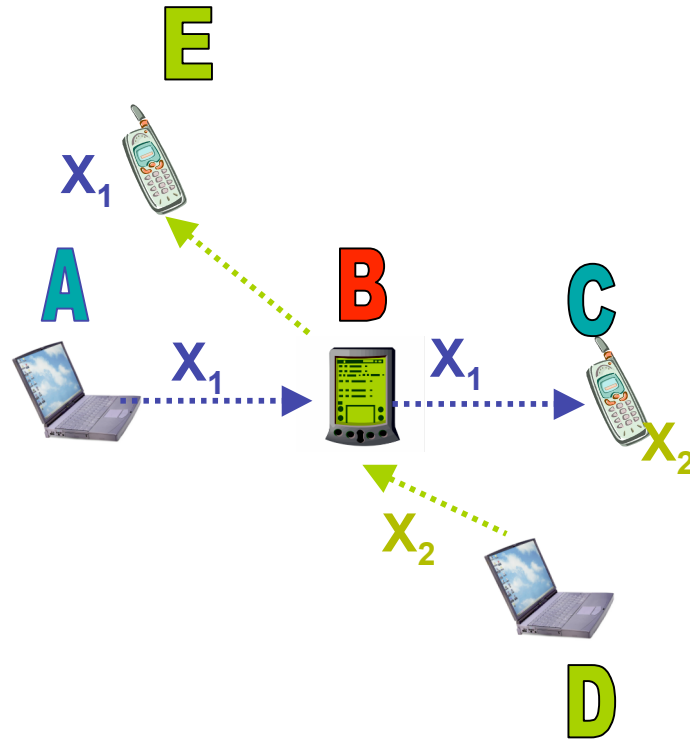
# COPE

SigCom 2006



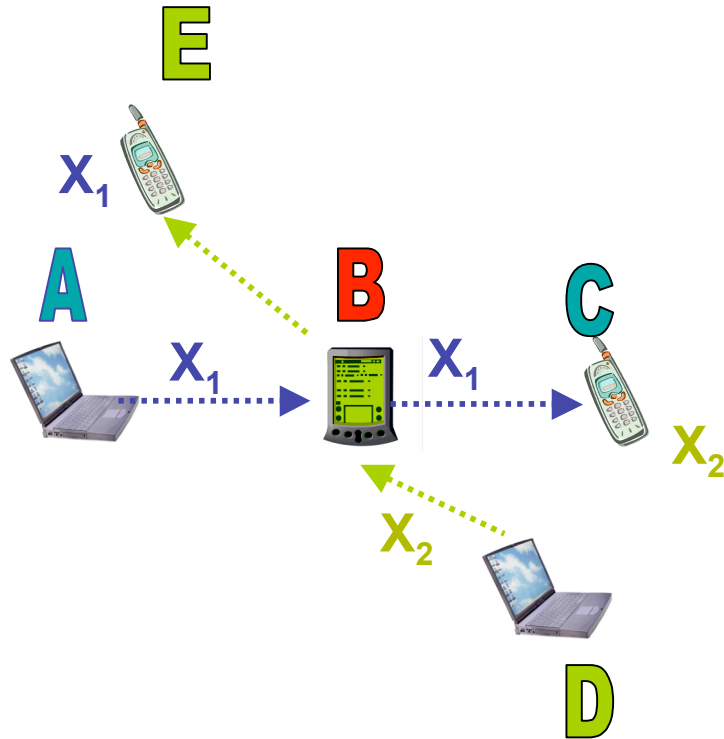
# COPE

*Katti, Rahul, Hu, Katabi, Medard, Crowcroft, SigComm 2006*



# COPE

*Katti, Rahul, Hu, Katabi, Medard, Crowcroft, SigComm 2006*



Having node B transmit once instead of twice, makes traffic more uniform.



Network coding offers benefits in terms of

1) energy efficiency

2) making traffic more uniform

Other benefits?

Network coding offers benefits in terms of

- 1) energy efficiency
- 2) making traffic more uniform

Significant benefits over  
dynamically changing environments

We can immediately see why from the very first proof of the main theorem in network coding!

**(Ahlswede, Cai, Li, Yeung 2000)**

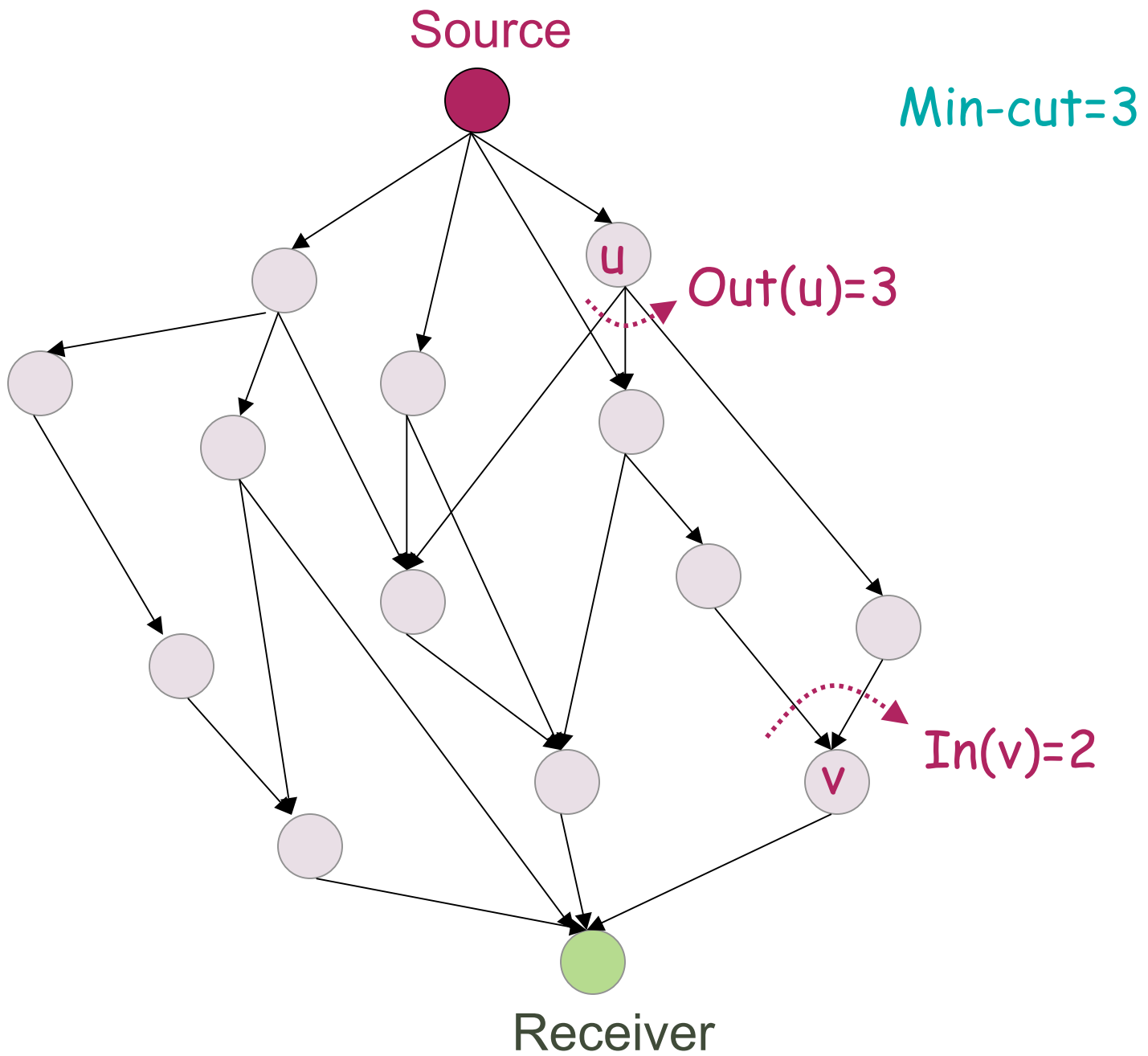
# Main Theorem in Network Coding

(Ahlsvede, Cai, Li, Yeung 2000)

Main Elements:

- o The network is represented as a directed graph  $G=(V,E)$  with unit capacity edges.
- o A source produces information at a rate  $R$ .
- o The min-cut from the source to each receiver is  $h$ .

It is possible to reliably send to each receiver rate  $R < h$  provided intermediate network nodes are allowed to combine their incoming information flows



# Network Operation

The source produces  $B$  packets,

$m_1, m_2, \dots, m_B$

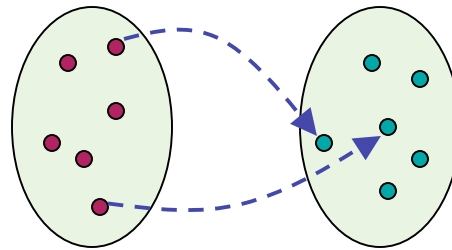
Each packet contains  $nR$  information bits.

Through every edge of the network we will send packets of length  $n$  bits.

# Network Operation

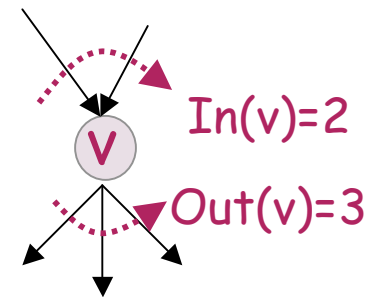
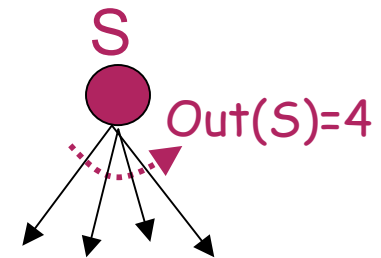
The source selects uniformly at random  $\text{Out}(S)$  functions, one for each outgoing edge  $e$ :

$$f_e: 2^{nR} \longrightarrow 2^n$$



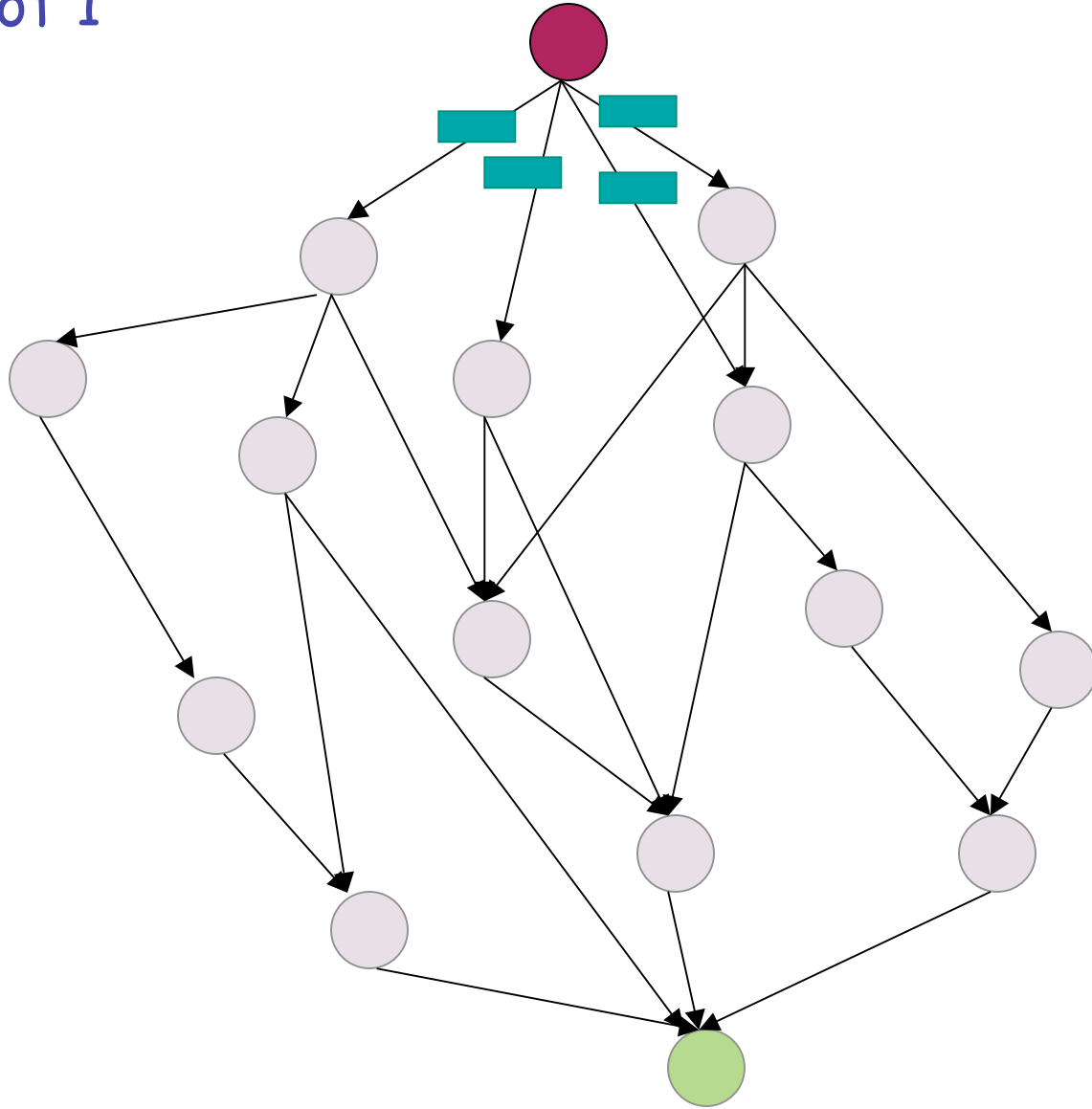
Each vertex  $v$  also selects  $\text{Out}(v)$  functions:

$$f_e: 2^{n \ln(v)} \longrightarrow 2^n$$



Timeslot 1

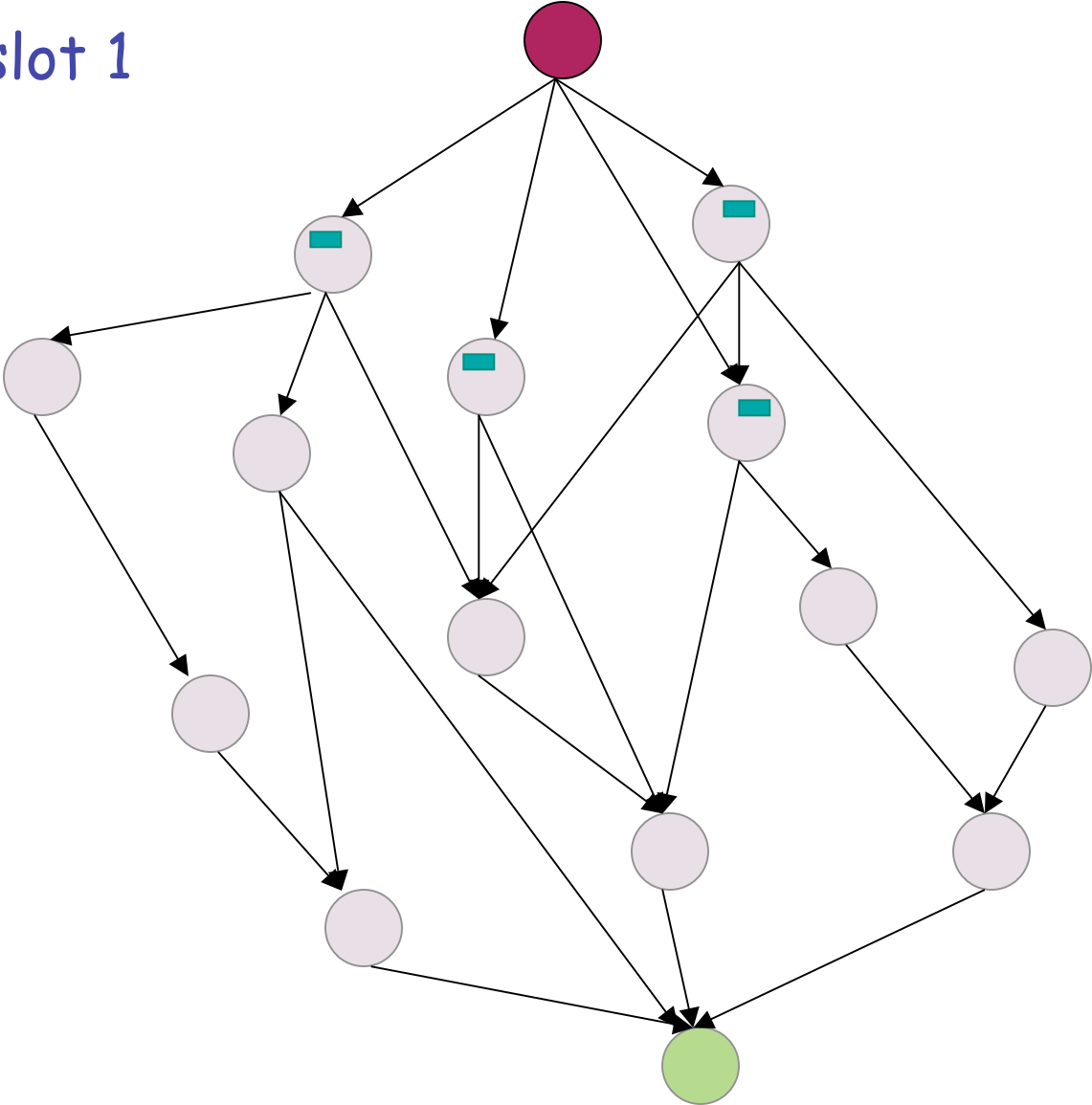
Source



Receiver

At the end  
of timeslot 1

Source

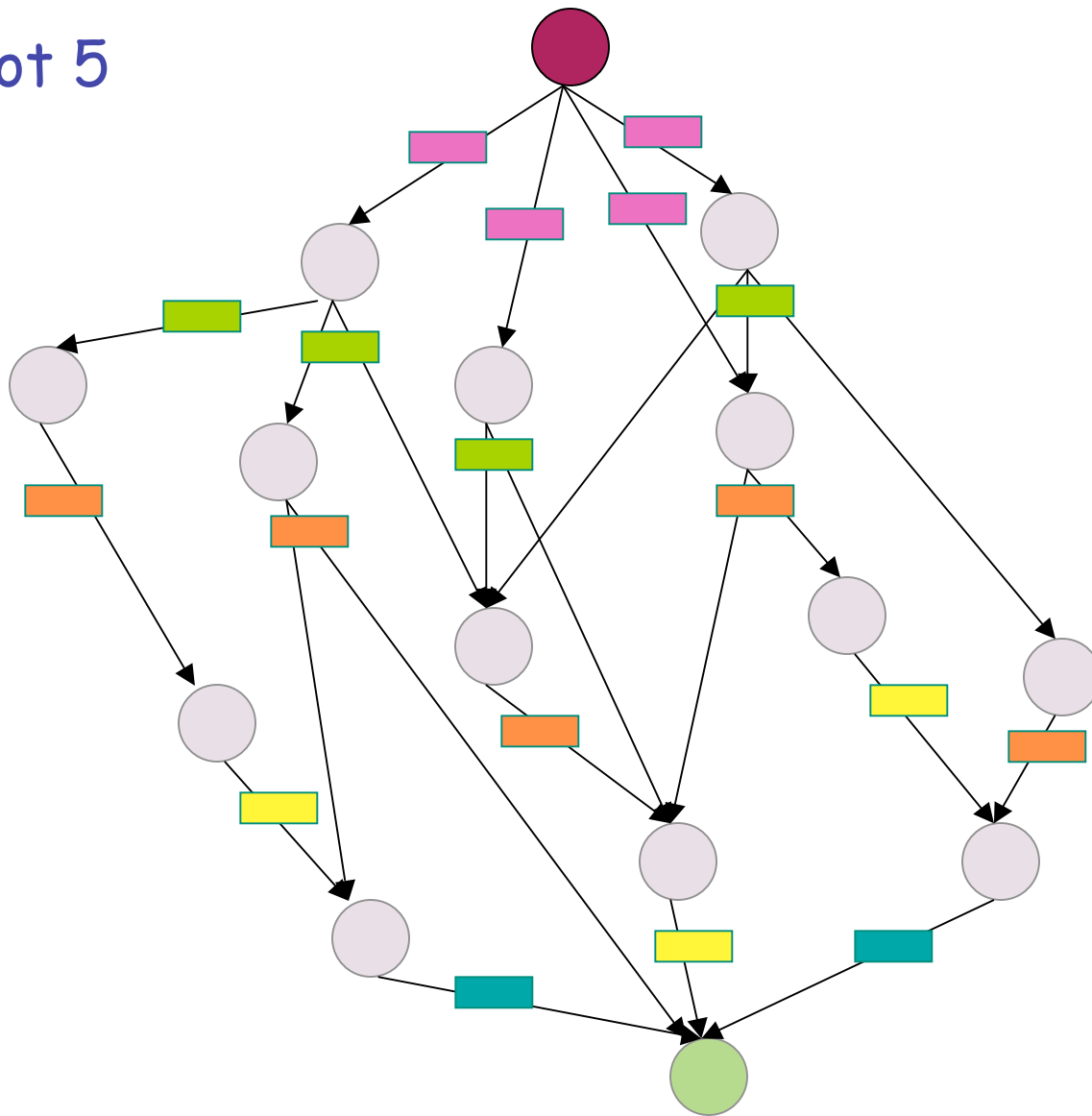


Receiver



Timeslot 5

Source



Receiver

# Network Operation

The network is clocked. At time-slot  $k$ , the source produces the packet  $m_k$ , and maps this packet to packets that it sends through its outgoing edges.

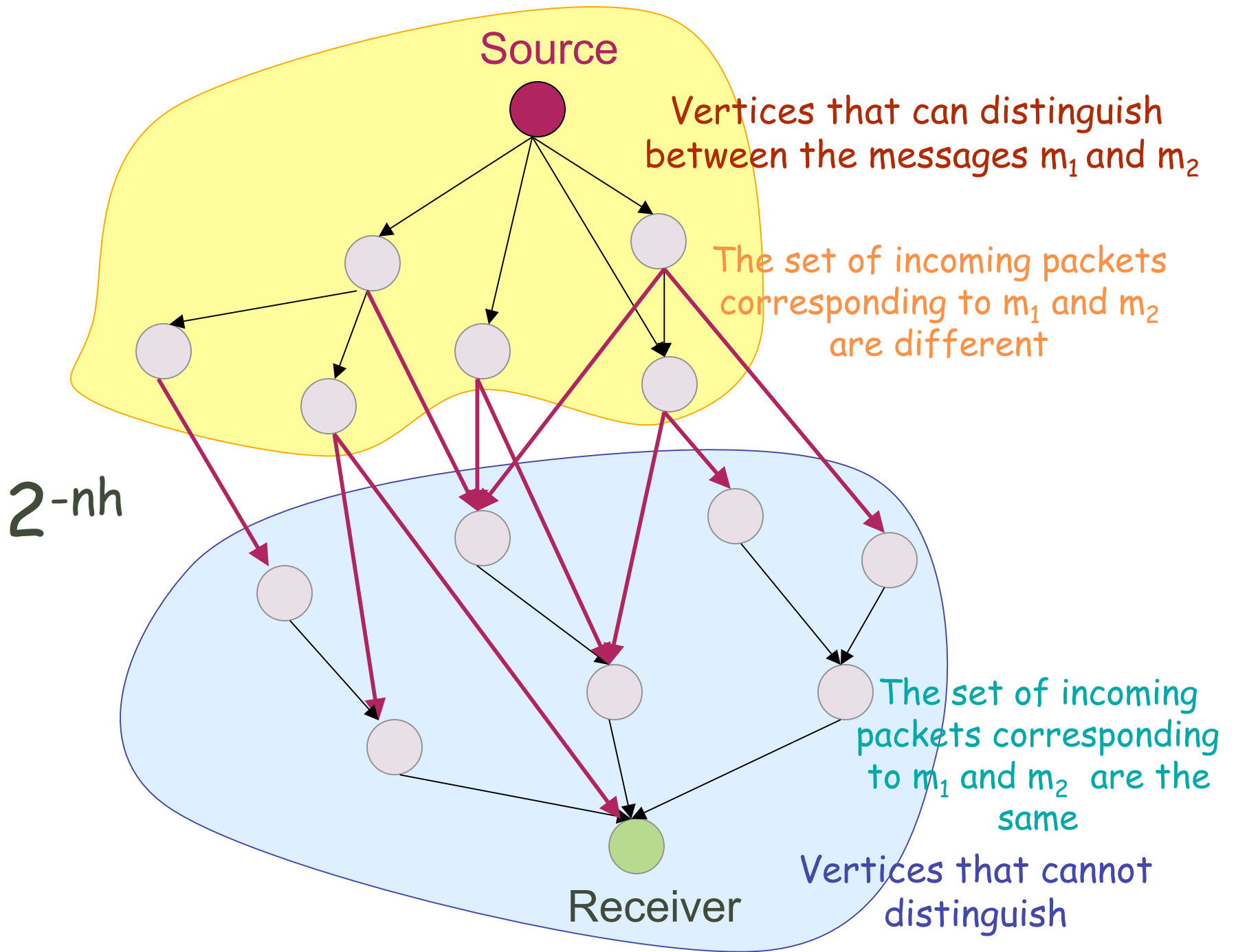
Each vertex  $v$  waits to collect  $\text{In}(v)$  packets that only depend on the source packet  $m_k$  and then maps these packets to packets it sends through its outgoing edges.

The receiver uses the packets it receives that depend on the source packet  $m_k$  and the knowledge of the network operation to decode packet  $m_k$ .

# Why this network operation “works”

We will calculate the pairwise probability of error:

$P(m_1, m_2)$  = probability that the receiver cannot distinguish between the messages  $m_1$  and  $m_2$  that the source sends



## Why this network operation “works”

Provided  $2^{nR-nh}$  goes to zero, i.e.,

$$R < h$$

we can transmit rate  $R$  from the source to the receiver.

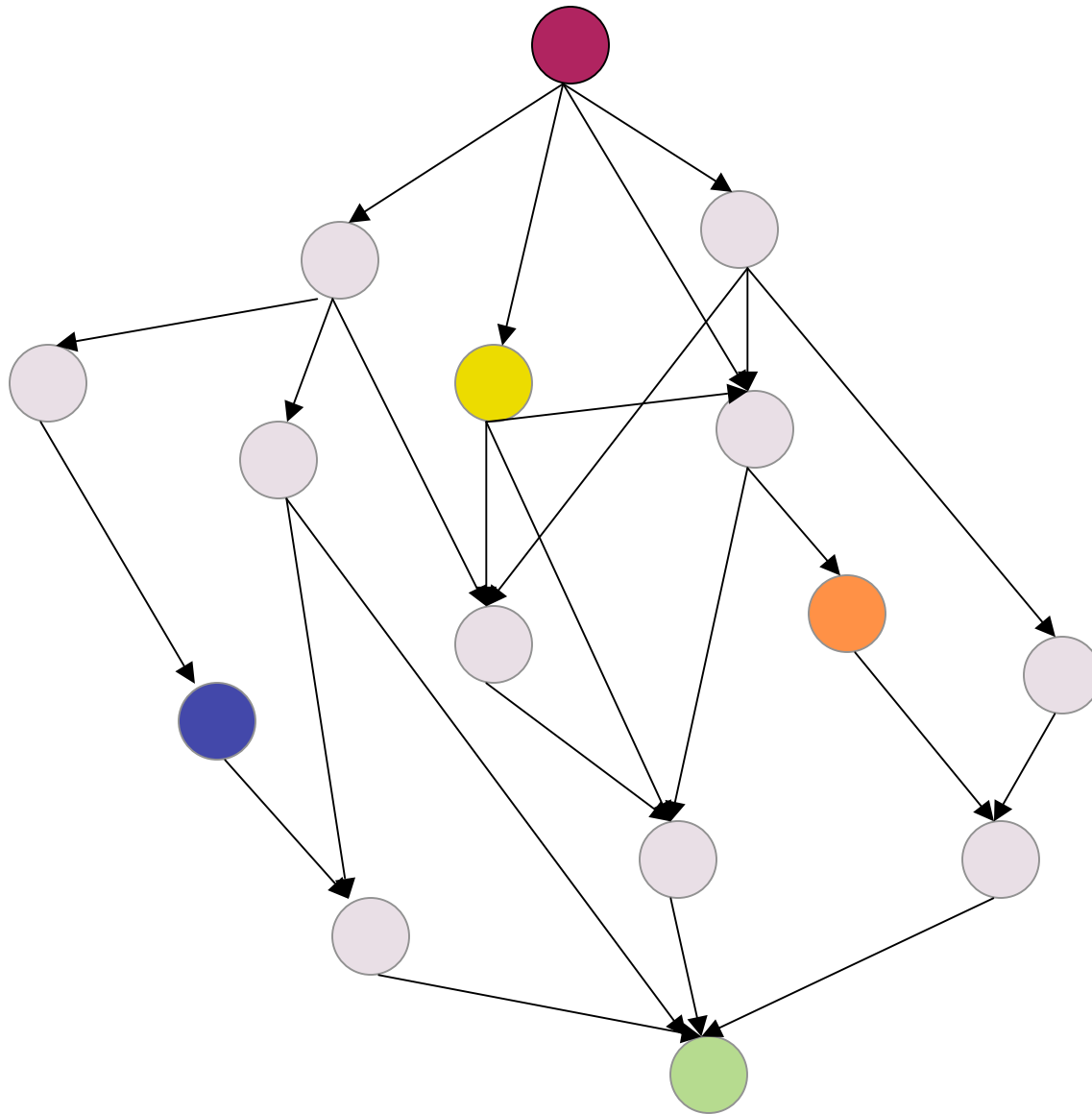
# “Interesting” components

Each vertex chooses its operation independently of:

- where it is situated in the network,
- what choice of operation the remaining vertices select.

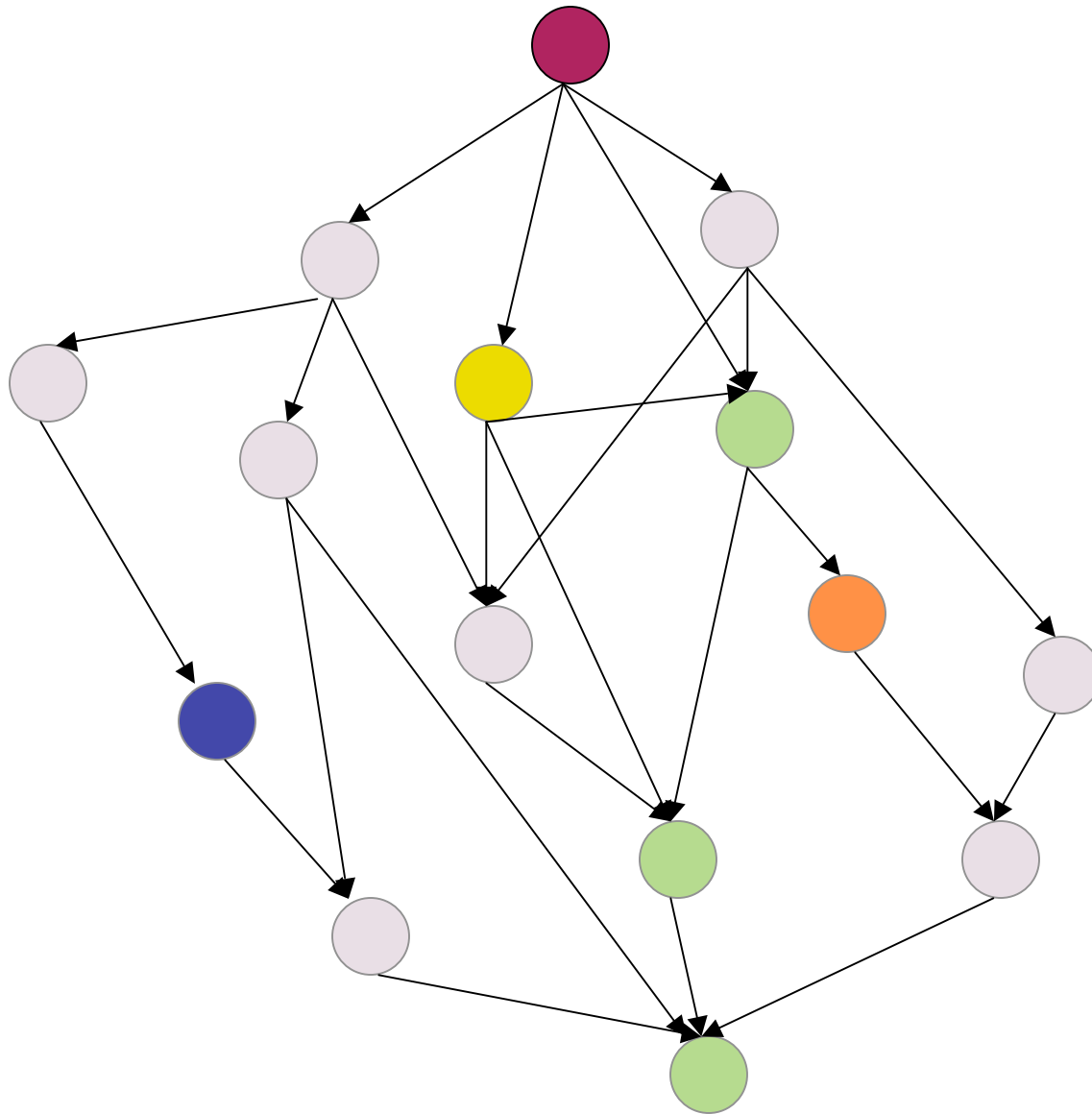
Very simple and decentralized routing protocols

Source



Receiver

Source



Receiver



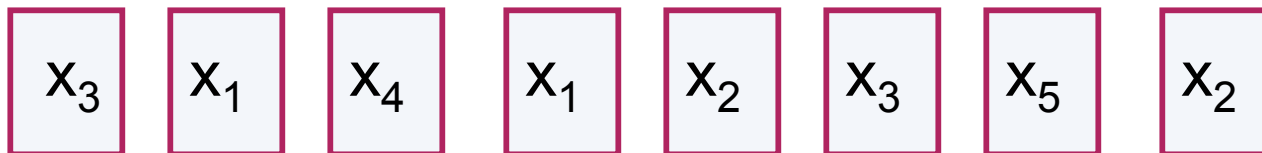
# Outline

- Main Network Coding Theorem Proof
- Corollary: Coupons Collector Problem
- Application: Ad-hoc Wireless and Sensor Networks

# Coupon Collector Problem

## *Traditional Approach*

$h$  coupons  $x_1, x_2, x_3, \dots, x_h$   
are placed uniformly at random inside boxes



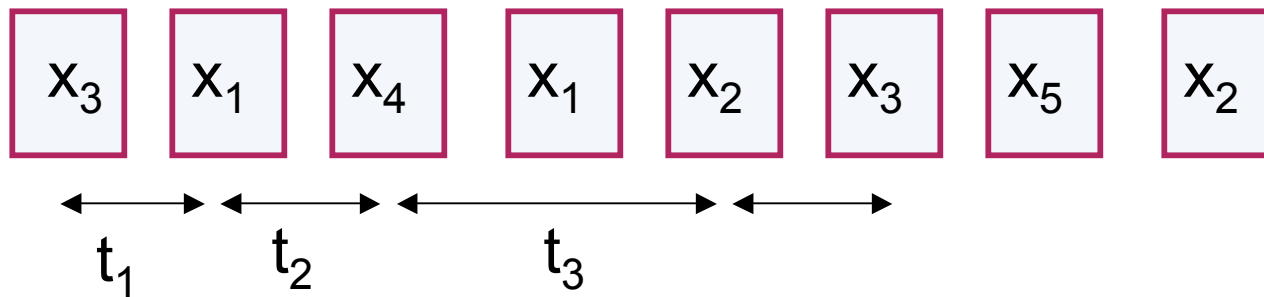
How many boxes do we need to buy on the average  
in order to collect all coupons?

$$O(h \log h)$$

# Coupon Collector Problem

## *Traditional Approach*

$h$  coupons  $x_1, x_2, x_3, \dots, x_h$   
are placed uniformly at random inside boxes



$t_i$  = time to collect the  $i+1$  coupon from the time we have collected  $i$

Probability of success:  $p_i = 1 - i/h$ , thus  $E(t_i) = 1/p_i$

$$p_h = 1/h$$

# Coupon Collector Problem

*using Network Coding*

$h$  coupons  $x_1, x_2, x_3, \dots, x_h$

Each box has a linear combination of the coupons



How many boxes do we need to buy on the average  
in order to collect all coupons?

# Coupon Collector Problem

*using Network Coding*

$h$  coupons  $x_1, x_2, x_3, \dots, x_h$

Each box has a linear combination of the coupons

$$x_1 + x_2$$

$$x_3 + x_4$$

$$x_1 + x_5$$

$$x_3 + x_2$$

How many boxes do we need to buy on the average in order to collect all coupons?

# Coupon Collector Problem

*using Network Coding*

$h$  coupons  $x_1, x_2, x_3, \dots, x_h$

Each box has a linear combination of the coupons

$$x_1 + x_2$$

$$x_3 + x_4$$

$$x_1 + x_5$$

$$x_3 + x_2$$

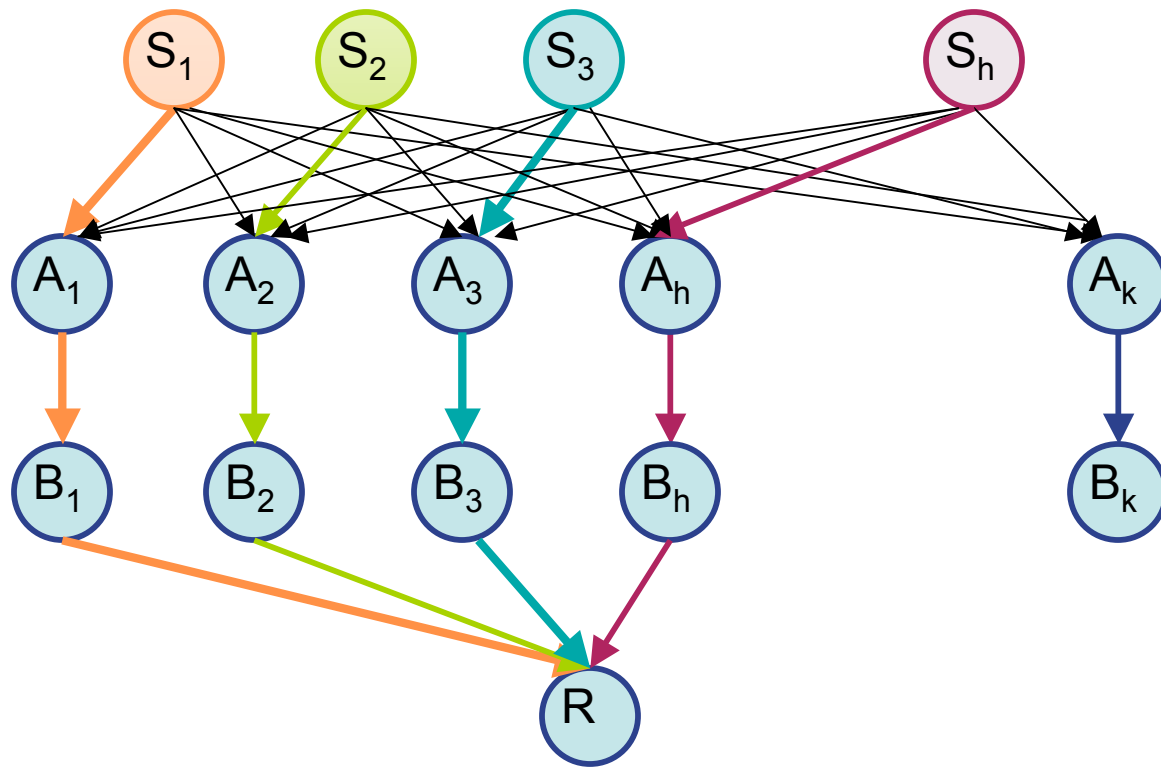
How many boxes do we need to buy on the average  
in order to collect all coupons?

(Deb and Medard 04)

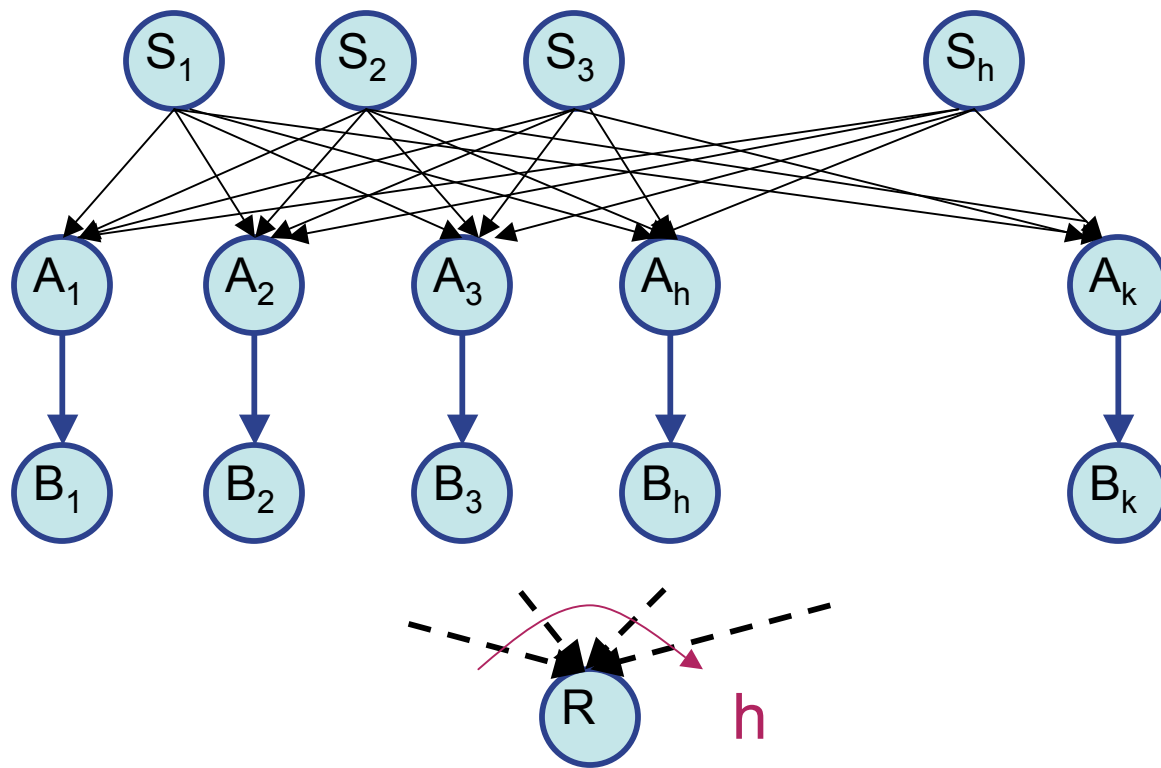
$O(h)$

Gain a factor of  $\log h$

# Coupons Collector as a Network Problem

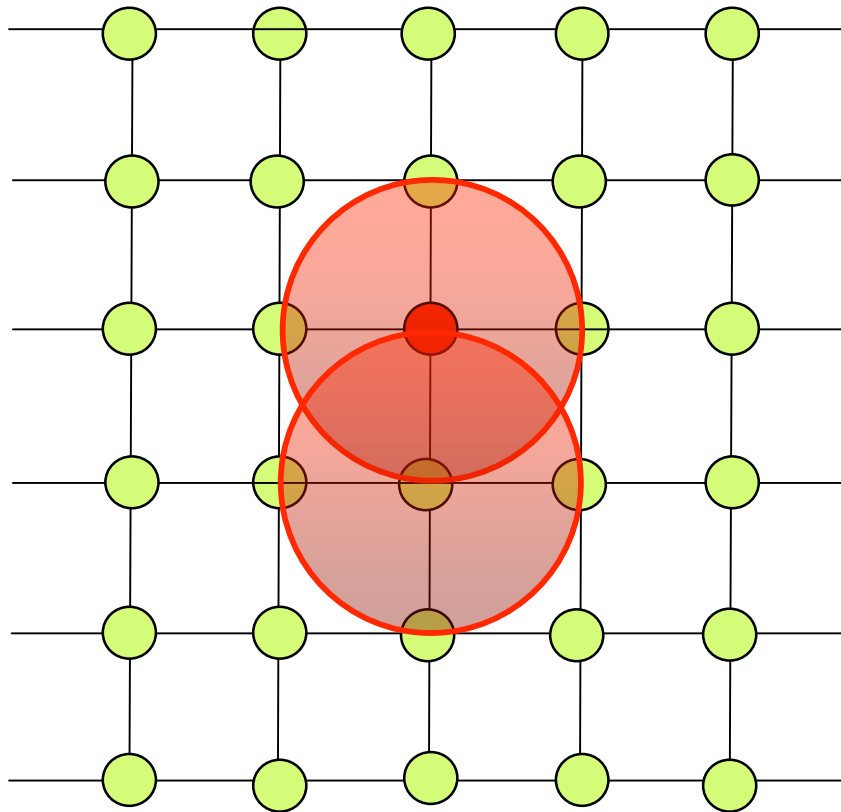


# Coupons Collector as a Network Problem





# Broadcasting over a Square Grid

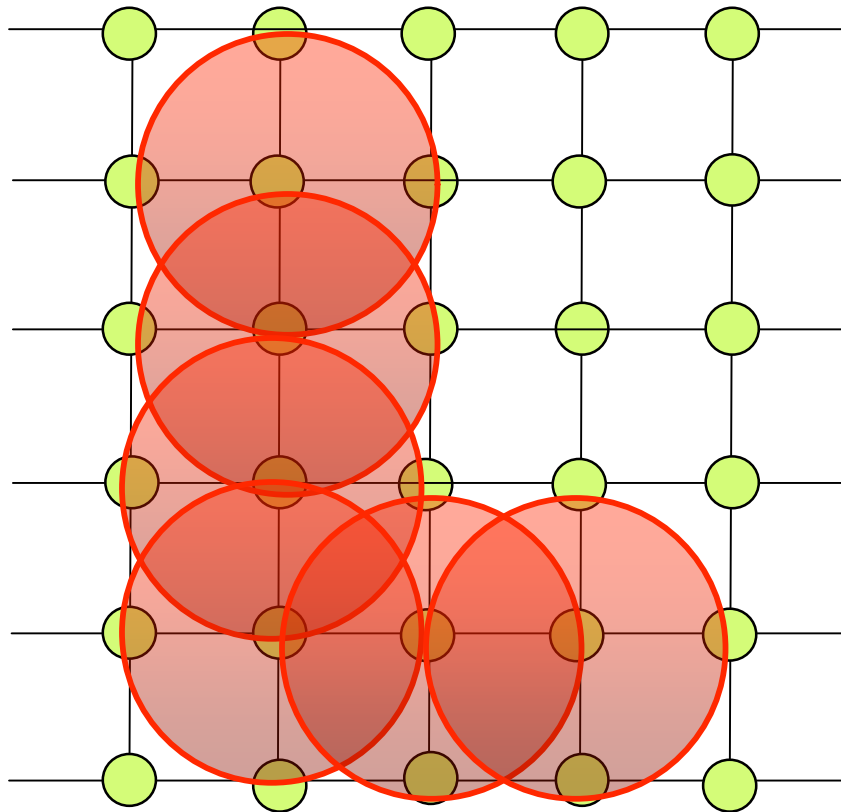


Static network:  
We gain a factor  
of  $3/4$

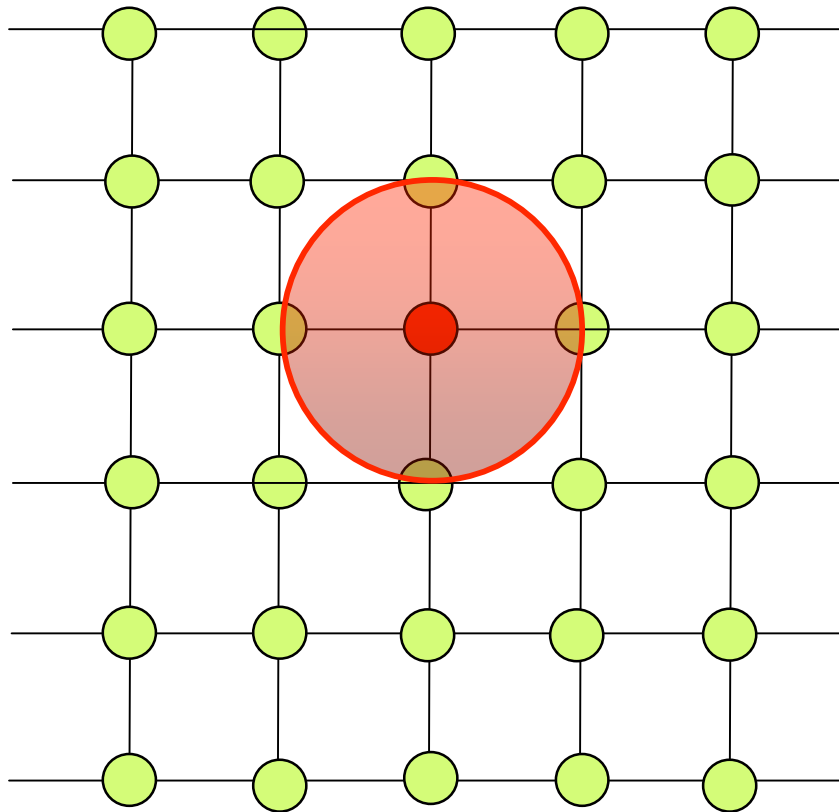
Forwarding: every transmission  
reaches three new neighbors

Network Coding: every transmission  
reaches four new neighbors

# Forwarding routing protocol

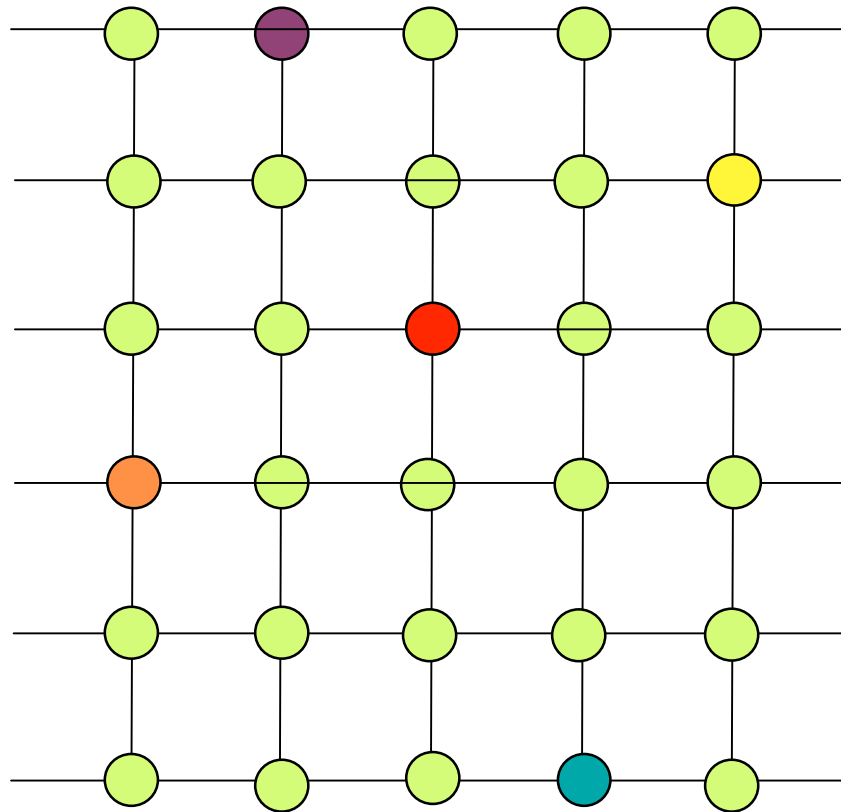


# Network Coding Protocol



Each node  
sends a random linear  
combination of its  
previously received  
symbols

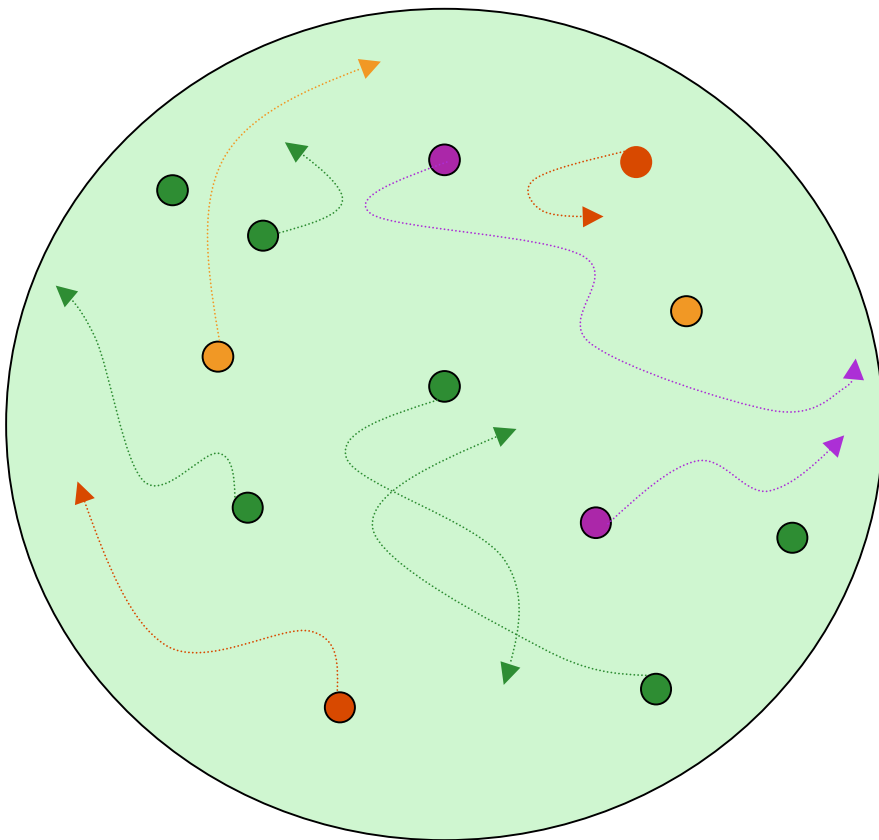
# Network Coding Protocol



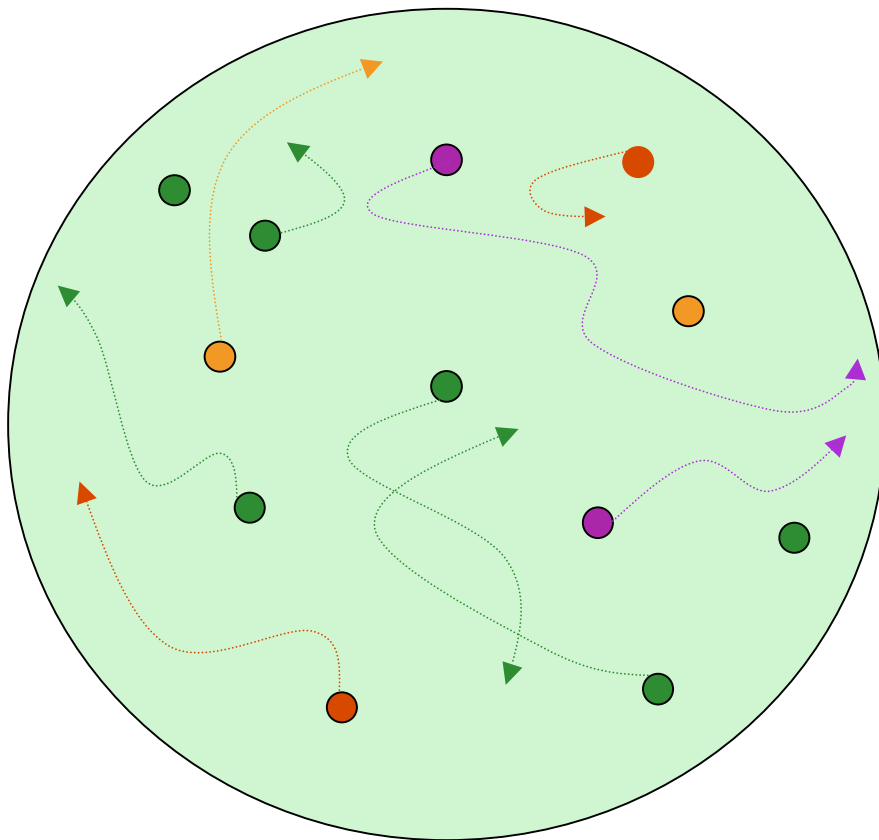
Each node  
sends a random linear  
combination of its  
previously received  
symbols

# Theoretical Results

$n$  nodes randomly placed on unit disk area.  
Each node has transmission radius  $r = \Theta\left(\frac{1}{\sqrt{n}}\right)$ .  
Discrete time



Nodes move uniformly at random on the unit area disk:  
at each time slot, a node has on the average a constant number of neighbors chosen uniformly at random.

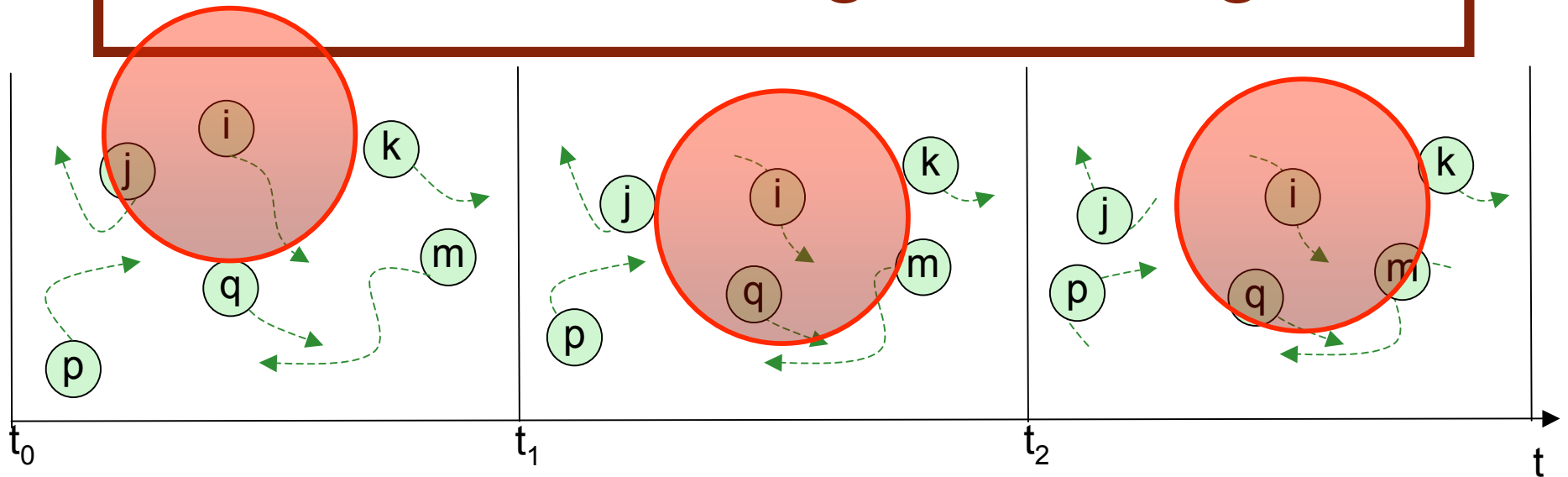


## Transmission strategy

**Forwarding:** each node broadcasts its own symbol.

**Network coding:** each node transmits a random combination of the symbols it has received.

# Network coding offers $\log n$

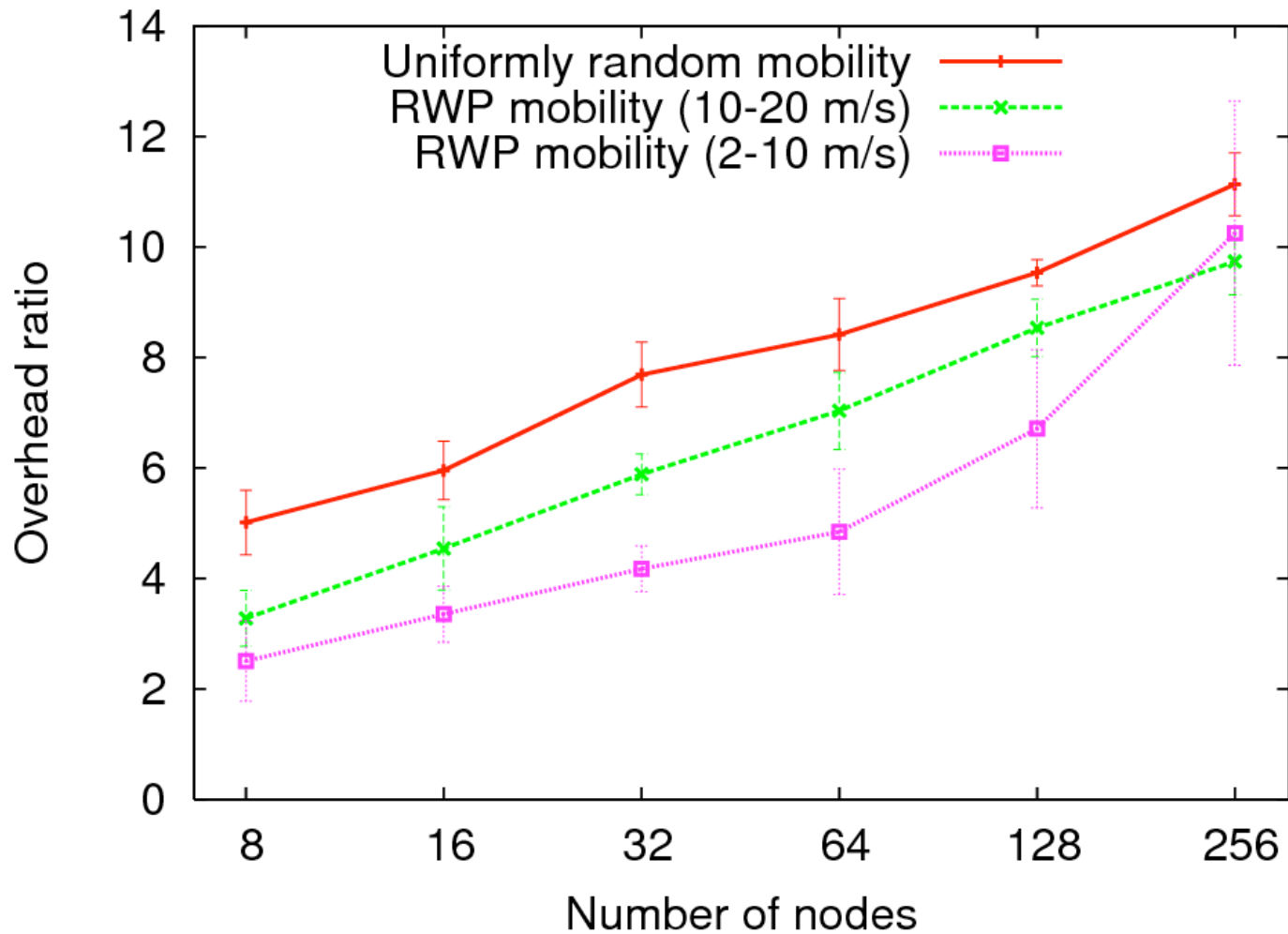


Reduce to a variation of the coupons collector problem:  
Each node at each timeslot receives the information from  
a constant (on the average) number of neighbors.

Forwarding:  
 $\Theta(n \log n)$  timeslots

Network coding:  
 $\Theta(n)$  timeslots

# Simulation Results





# Other applications of the coupons collector problem

## Vehicular Networks

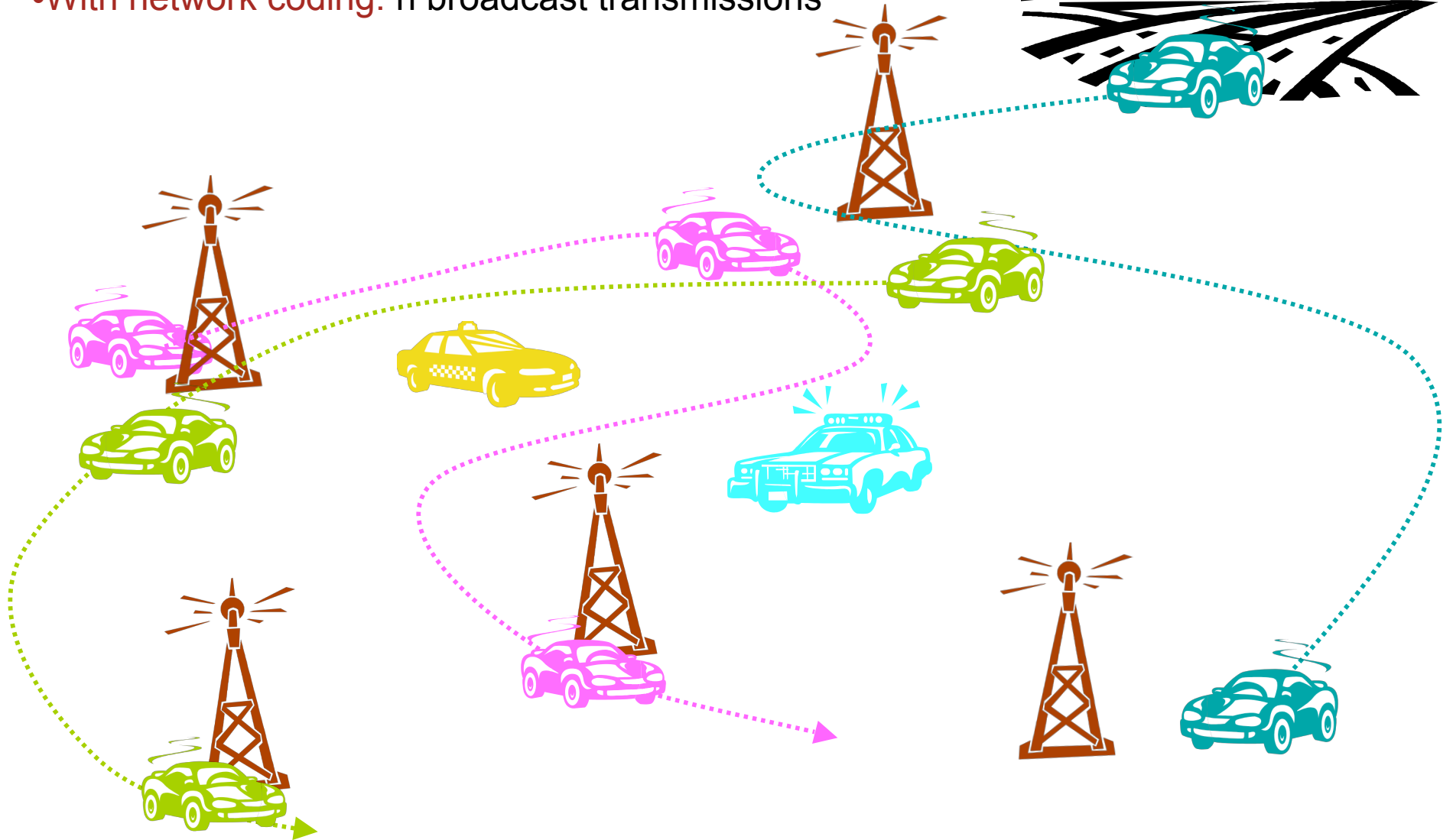
Vehicles communicate with each other and with roadside infrastructure to increase safety and optimize traffic.



Goal: Distribute updates.

To get  $n$  messages, each car will have to receive:

- Without network coding:  $n \log n$  broadcast transmissions
- With network coding:  $n$  broadcast transmissions



# Applications of Network Coding

- Ad-hoc wireless networks
- Content distribution in P2P networks
- Network tomography
- Sensor networks
- Security
- Chip design
- .....

# Content Distribution

Distribute content to millions of users, such as

- Software updates
- Music
- Films
- ....

# Traditional Approach

Contact collected in servers, clients connect to servers to download the information.

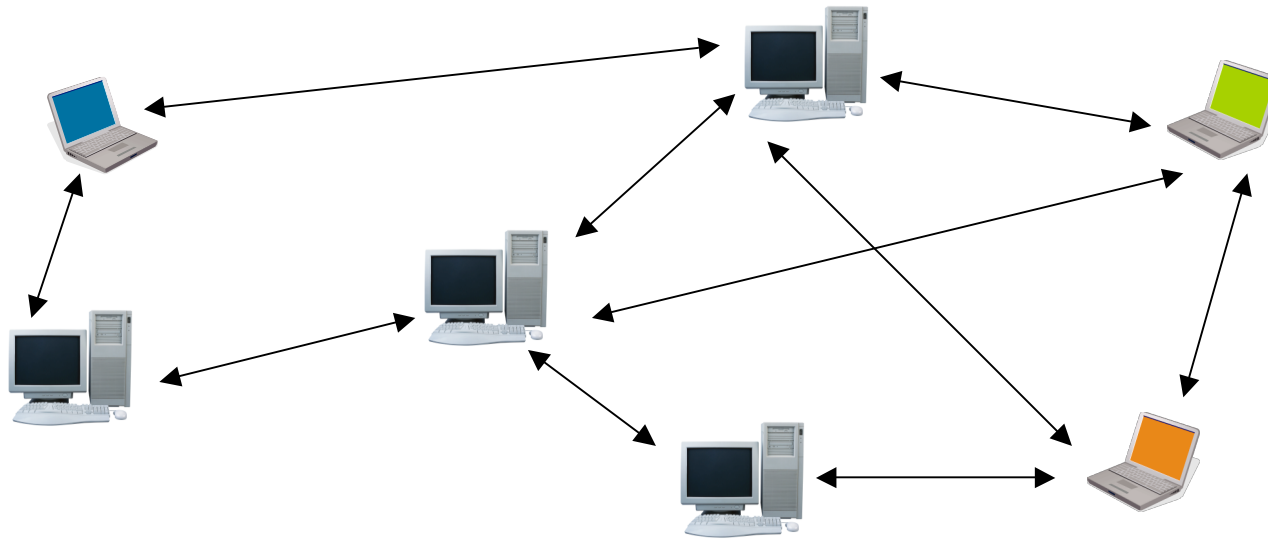
## Problems:

Not-scalable, expensive and slow  
(servers can crash)

## P2P networks:

Capacity and computational power of the network increases with the number of users.

- File divided into  $n$  packets
- Peers collect and forward packets from and to their neighbors

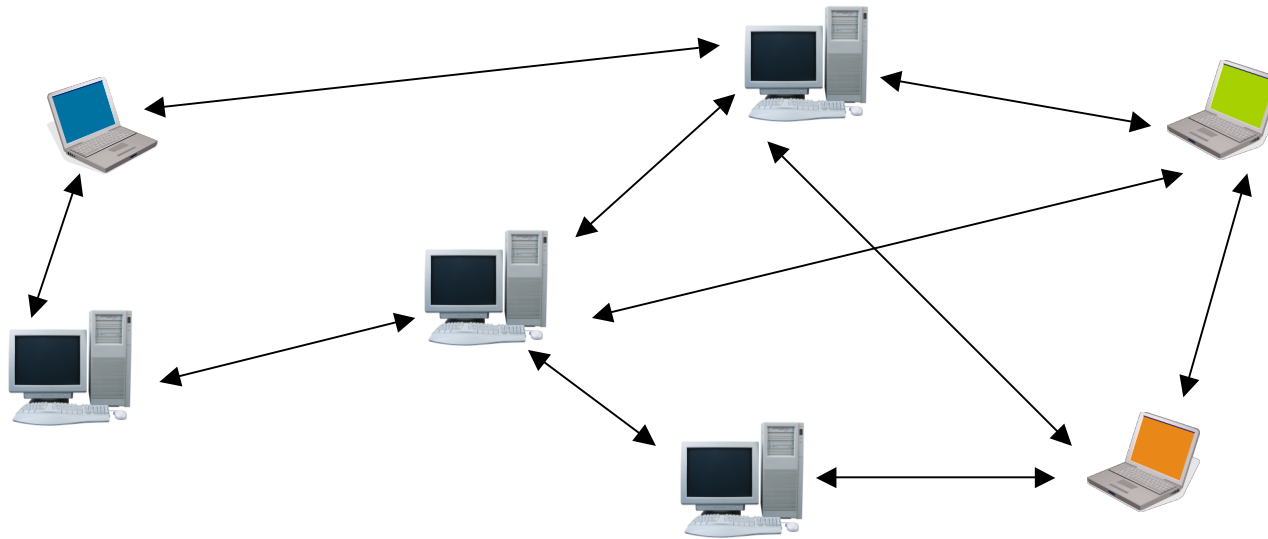


## P2P networks:

Capacity and computational power of the network increases with the number of users.

### Challenge:

how to optimally and securely route packets



## Challenge: how to optimally route packets

- Same packets may be send several times over bottleneck links
- Some packets become rare (users leave)
- New users arriving slow down old users
- Tit-for-tat incentive mechanisms slow down new users

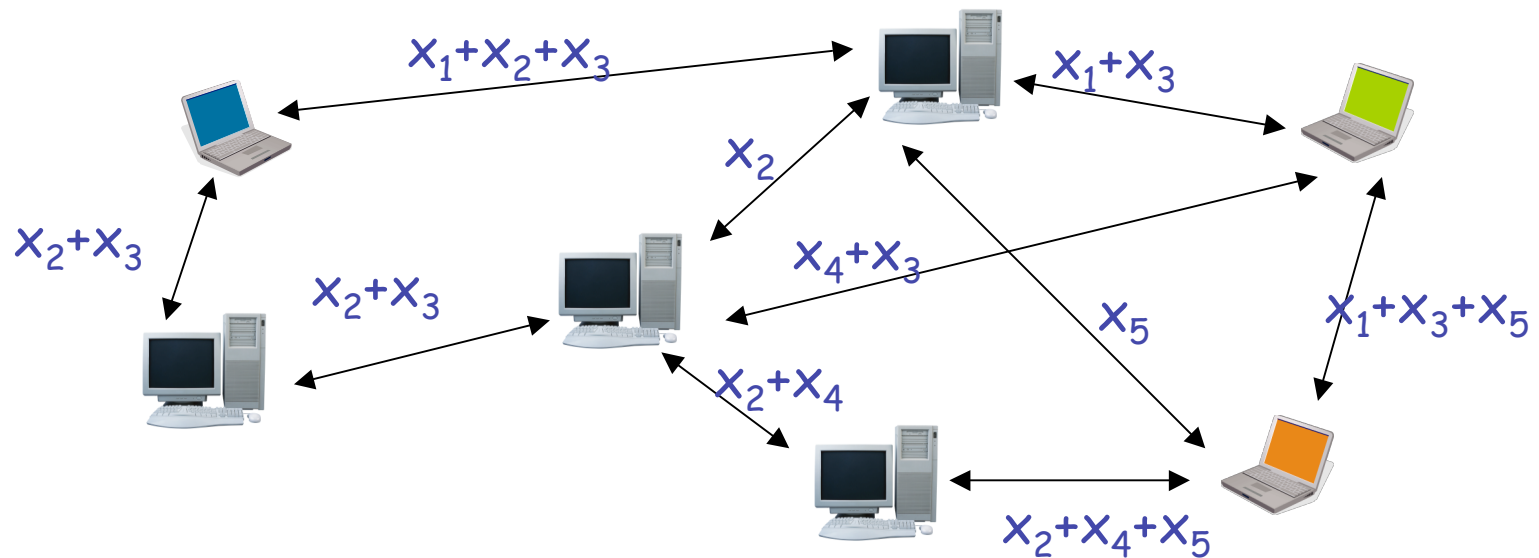


# Avalanche

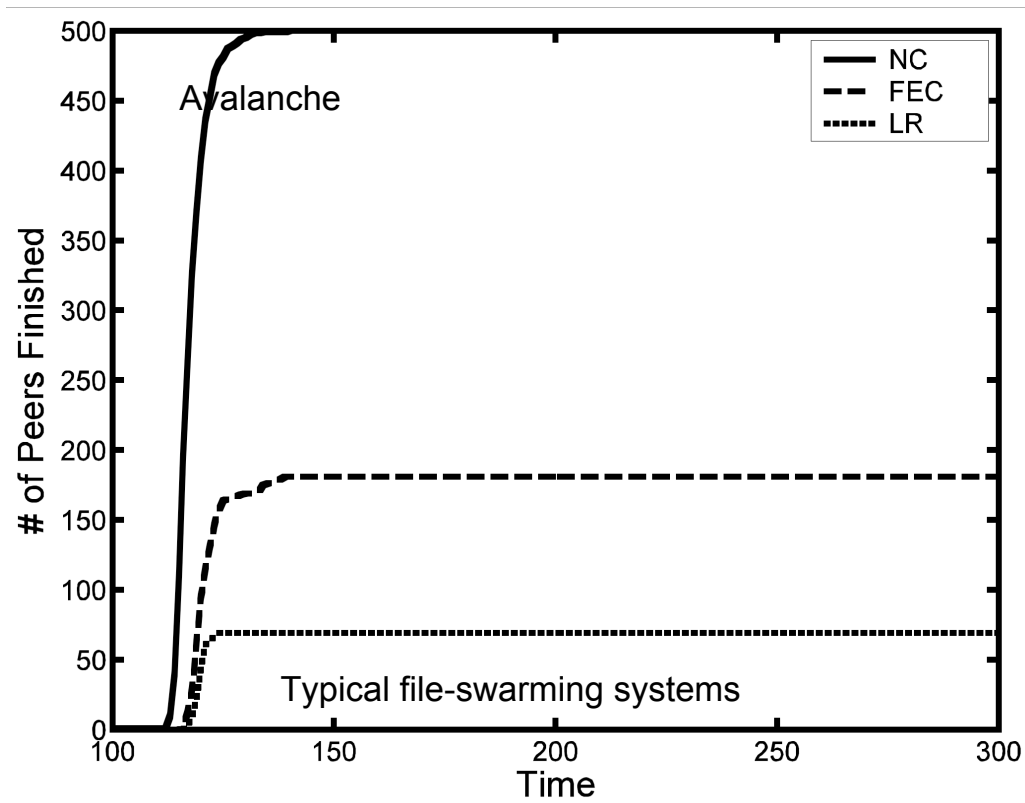
Microsoft®  
**Research**  
Cambridge

P. Rodriguez, C. Gkantsidis

Peers exchange random linear combinations of their received data.



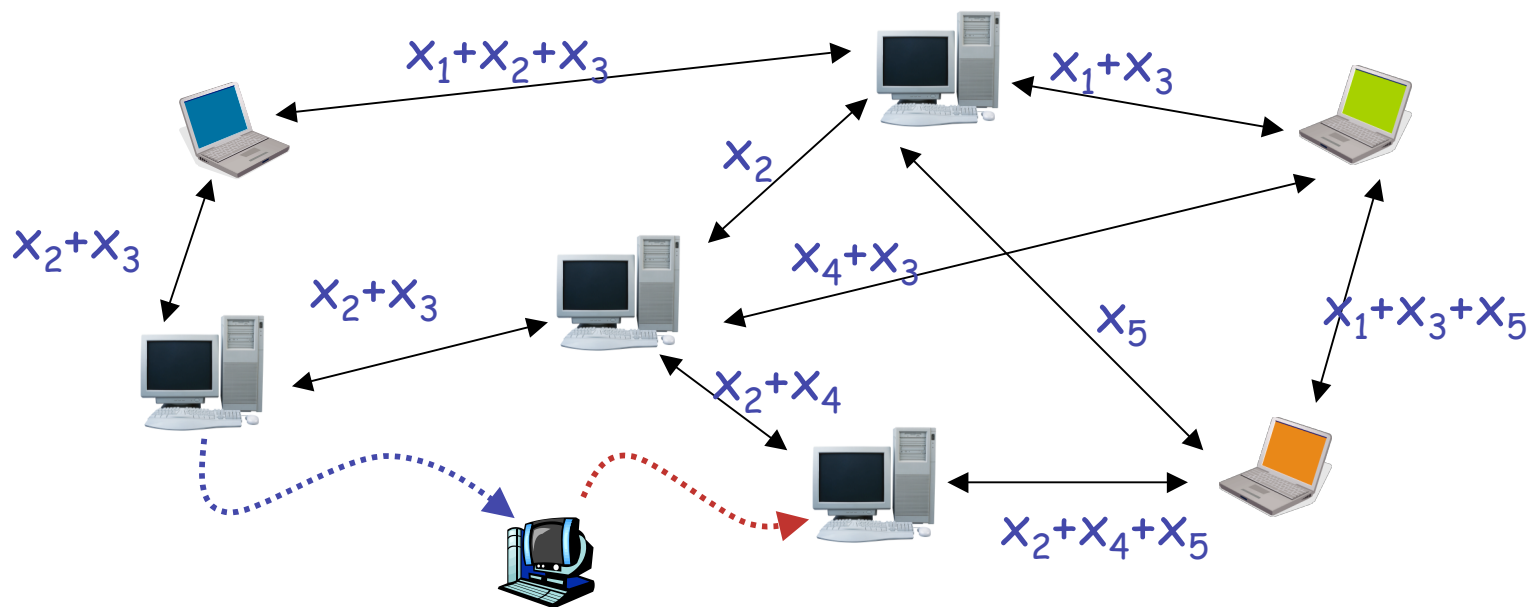
# Avalanche Robustness



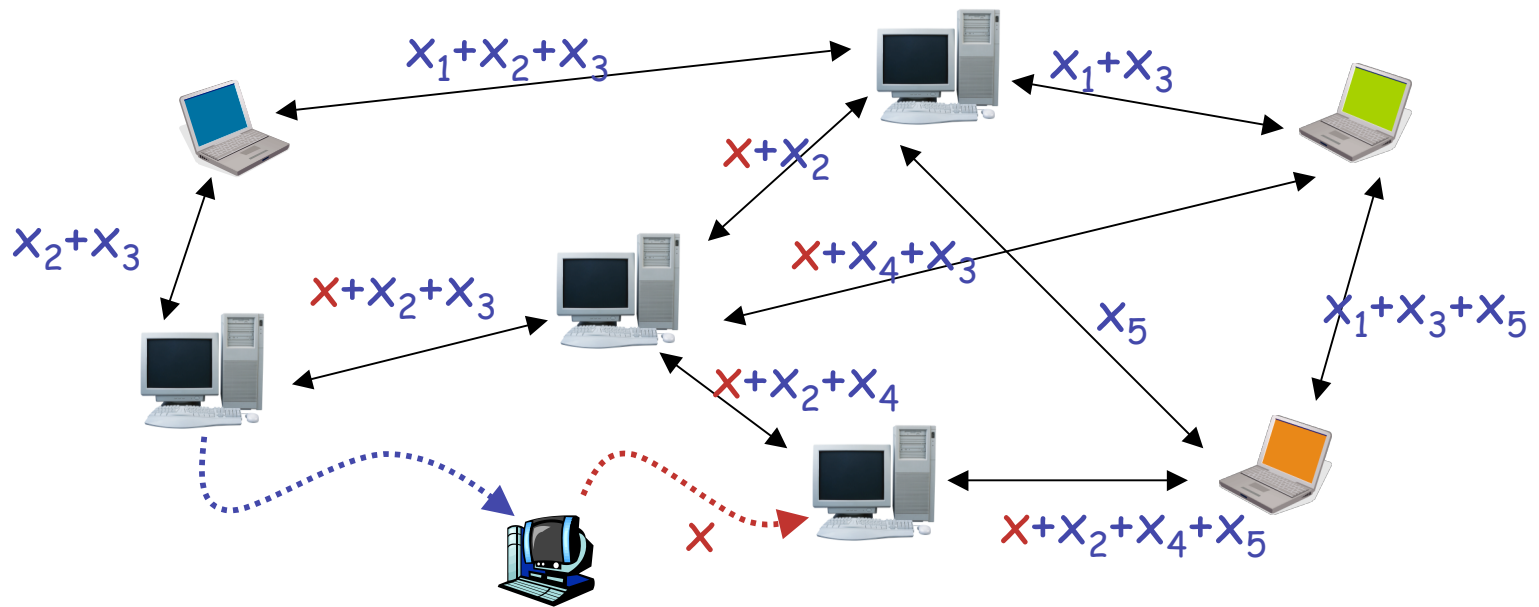
If source suddenly goes down (after serving the full file one), all Avalanche users are able to complete the download. Only 10% of users using typical file-swarming techniques are able to complete.

*Plot provided courtesy of  
P. Rodriguez and C. Gkantsidis*

# A new challenge: Byzantine Attacks



# Byzantine Attacks

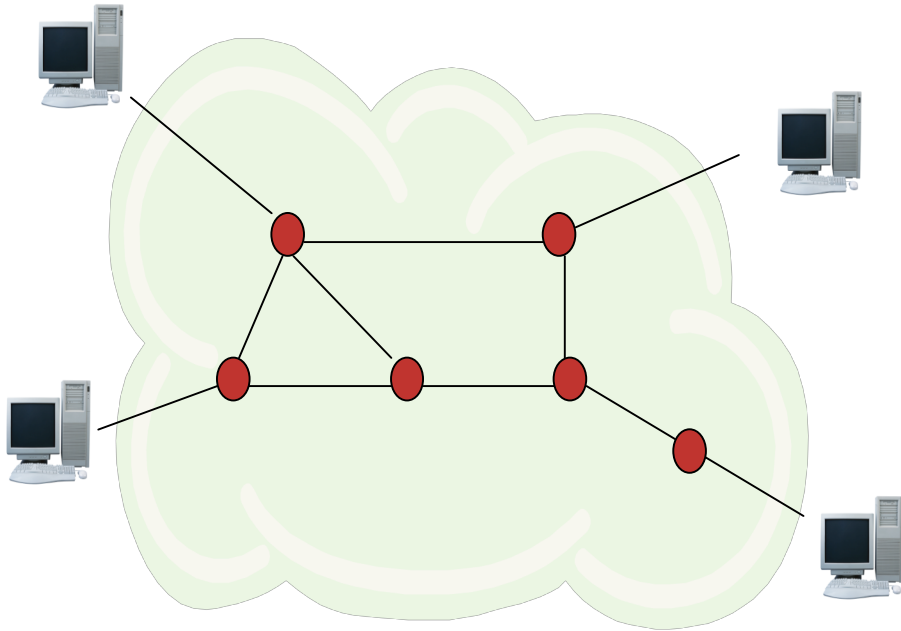


# Applications of Network Coding

- Ad-hoc wireless networks
- Content delivery in P2P networks
- Network tomography
- Sensor networks
- Security
- Chip design
- .....

# Network Tomography

**Goal:** Measure the Internet path characteristics such as loss and delay through active probing, to improve the robustness and reliability of the network



## Approach:

Combine probe packets

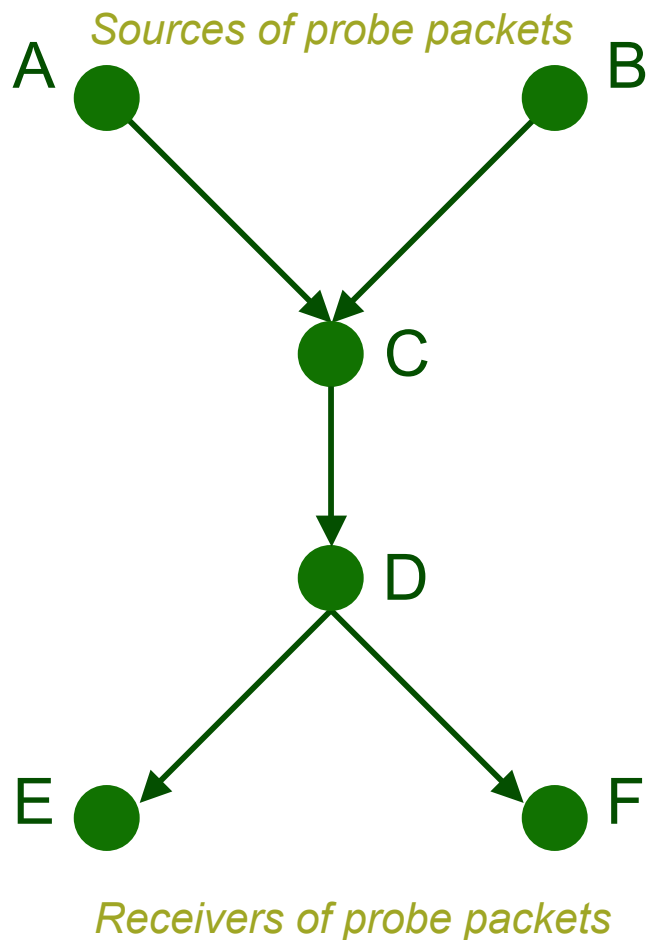
## Network coding benefits:

- 1) Bandwidth
- 2) Complexity
- 3) Identifiability

*(Allerton 2005, IZS 2006)*

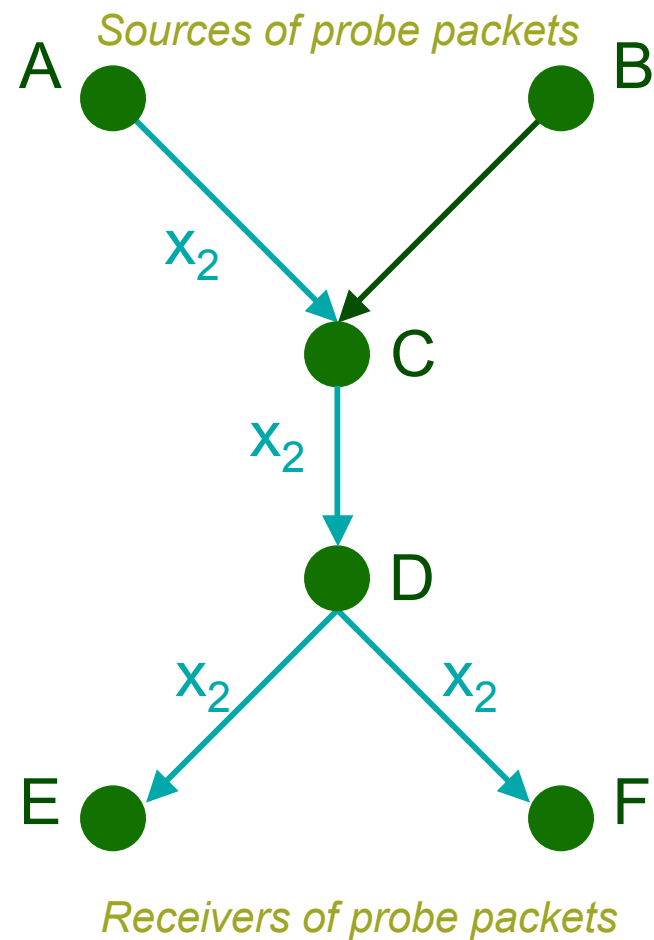
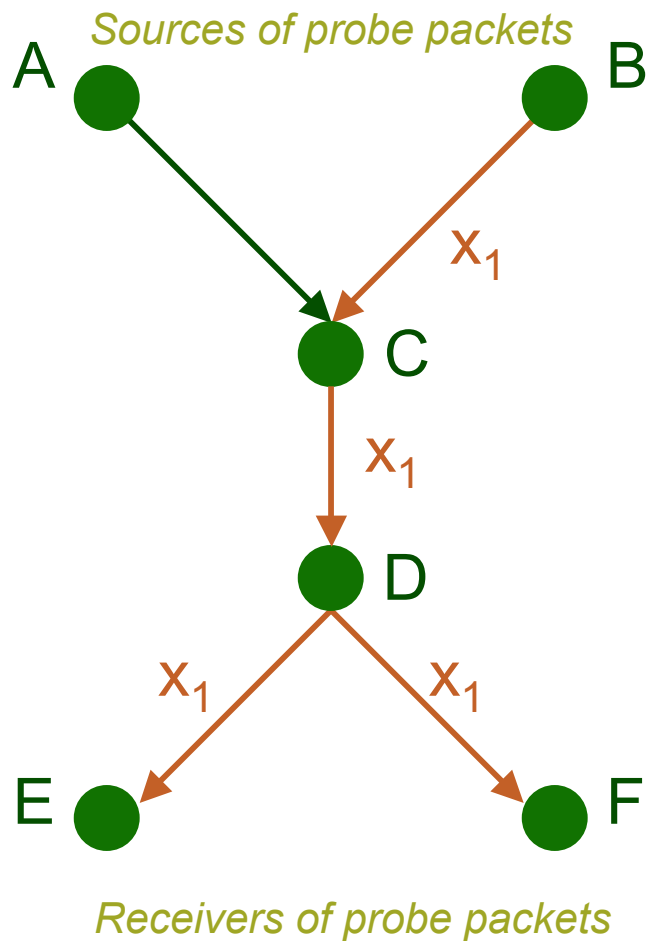
# Loss Inference w. Network Coding

## *Basic Example*



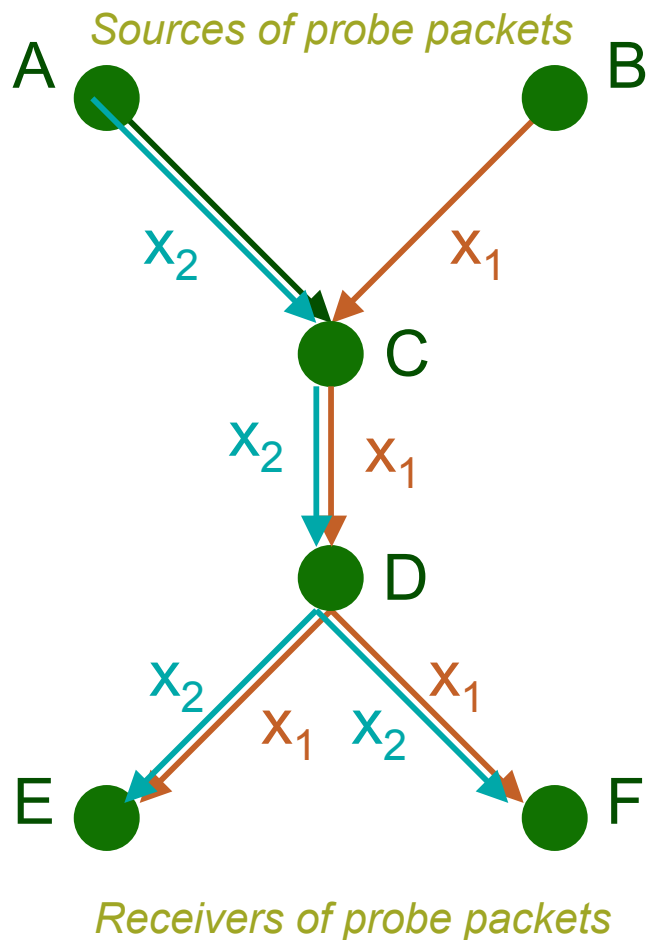
- We want to infer the link loss rates  $a_k$  on all links  $k \in \{AB, AC, CD, DE, DF\}$
- using end-to-end probes from  $\{A, B\}$ , to  $\{E, F\}$

# Traditional Approach: covering the graph with trees





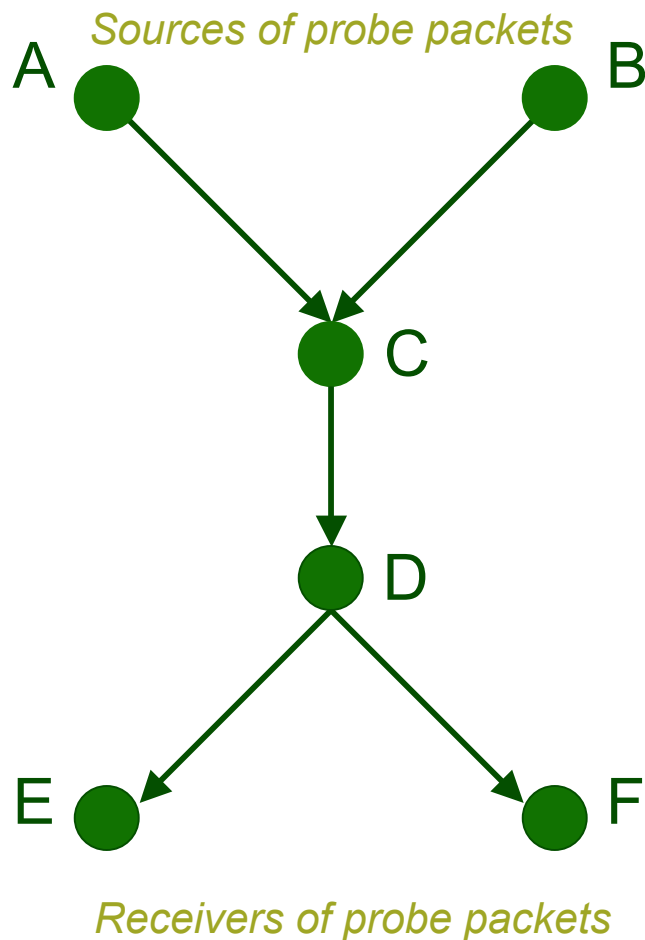
# Drawbacks



1. We cannot infer the loss rate for edge CD
2. Paths overlap from C and downstream
3. Minimum cost covering with multicast trees is NP-hard
4. Combining observations from 2 trees leads to suboptimal estimation

# Network coding approach

[C.Fragouli, A. Markopoulou Allerton 05]

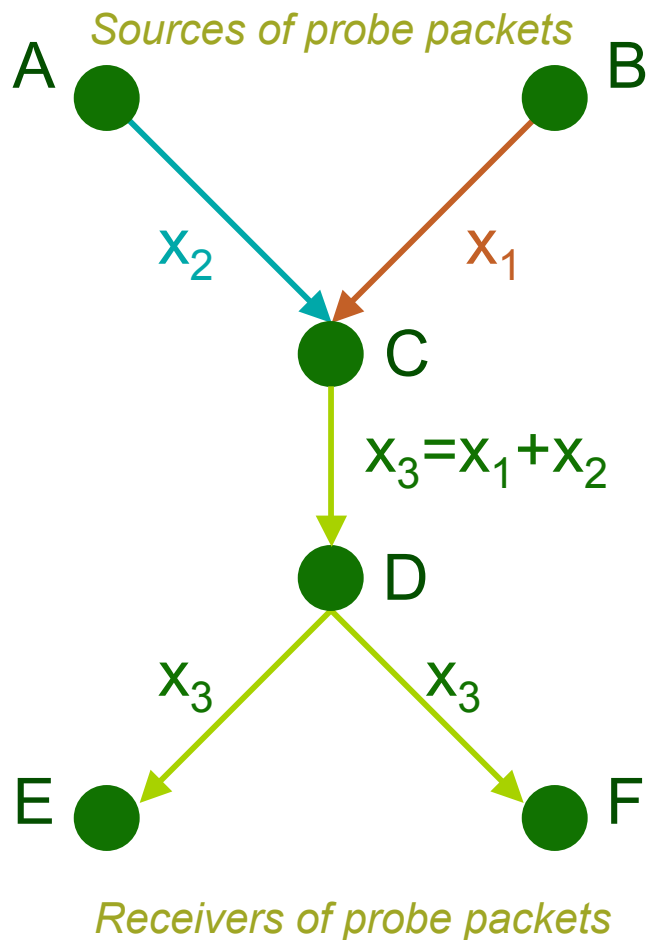


Intermediate node (C):

Within a time window

- if received 2 incoming packets,
  - XOR them and forward
- if received 1 incoming packet
  - just forward

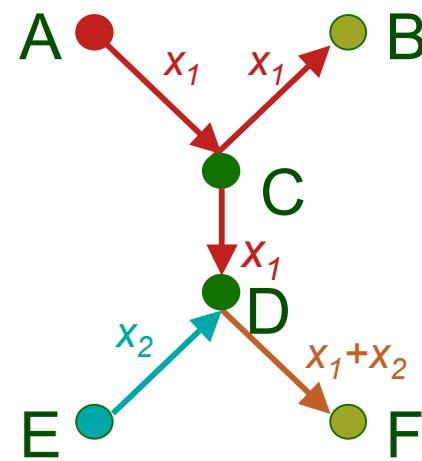
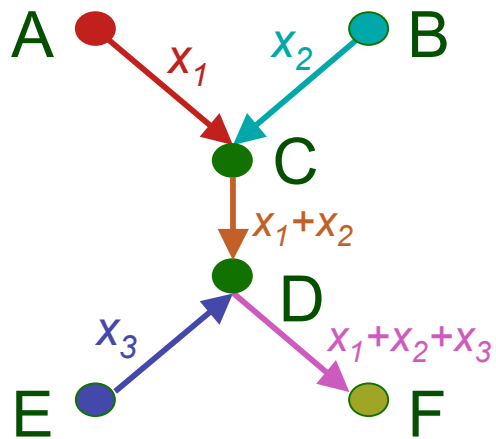
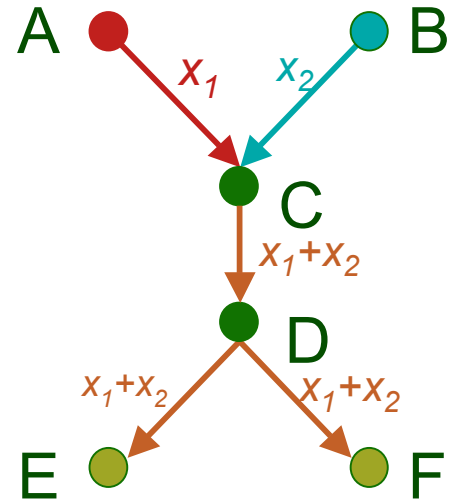
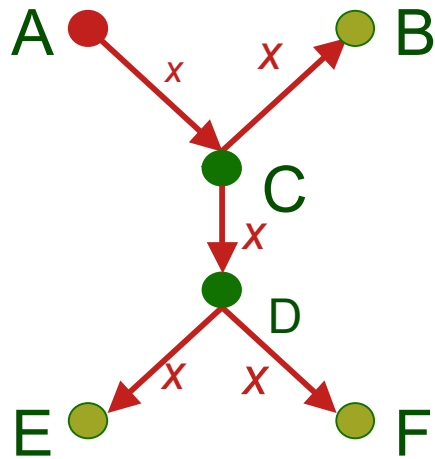
# Network Coding Approach



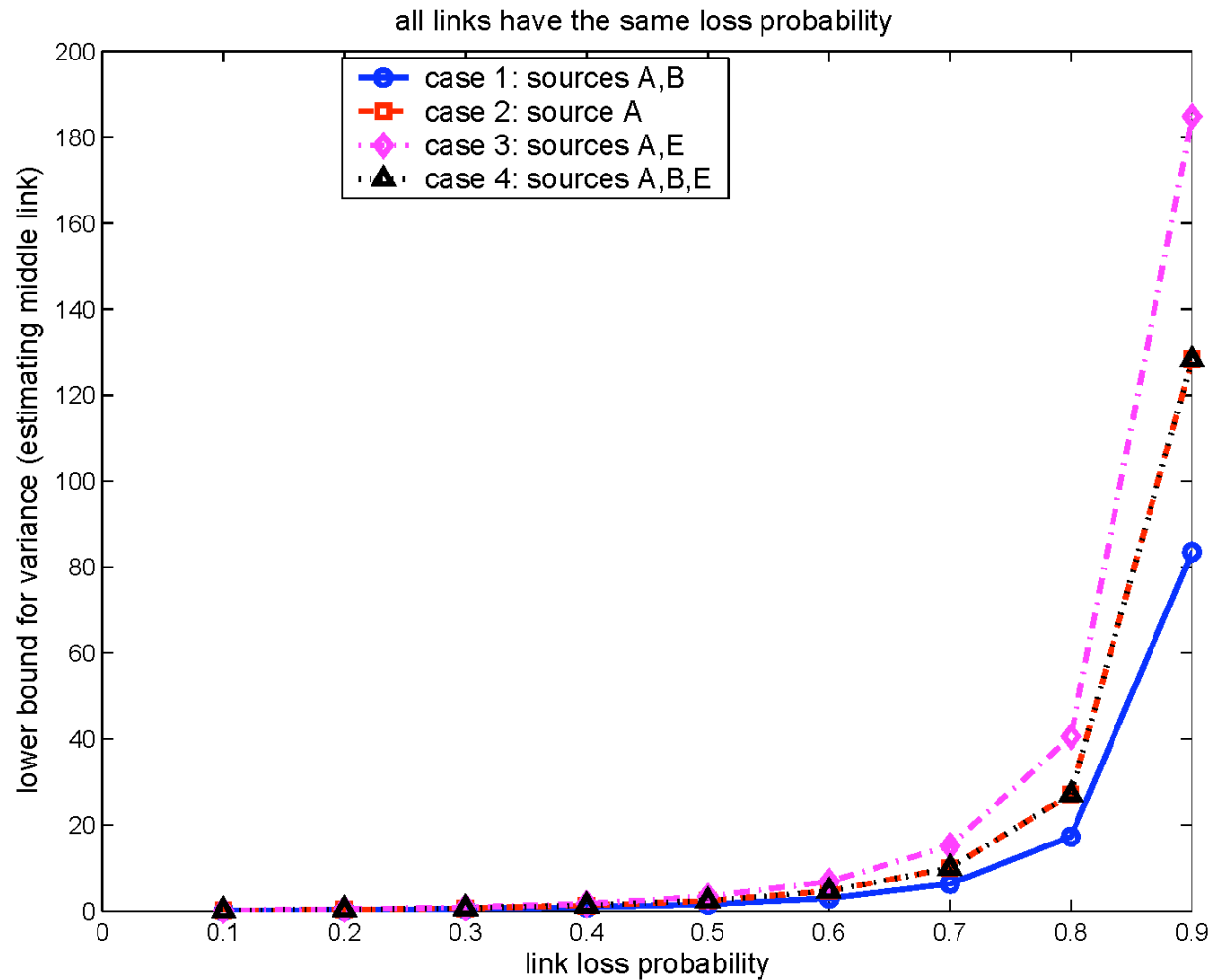
*Example:*

Nodes A and B send packets  
 $x_1 = [1 \ 0]$ ,  $x_2 = [0 \ 1]$

# Multiple choices for sources and receivers of probe packets



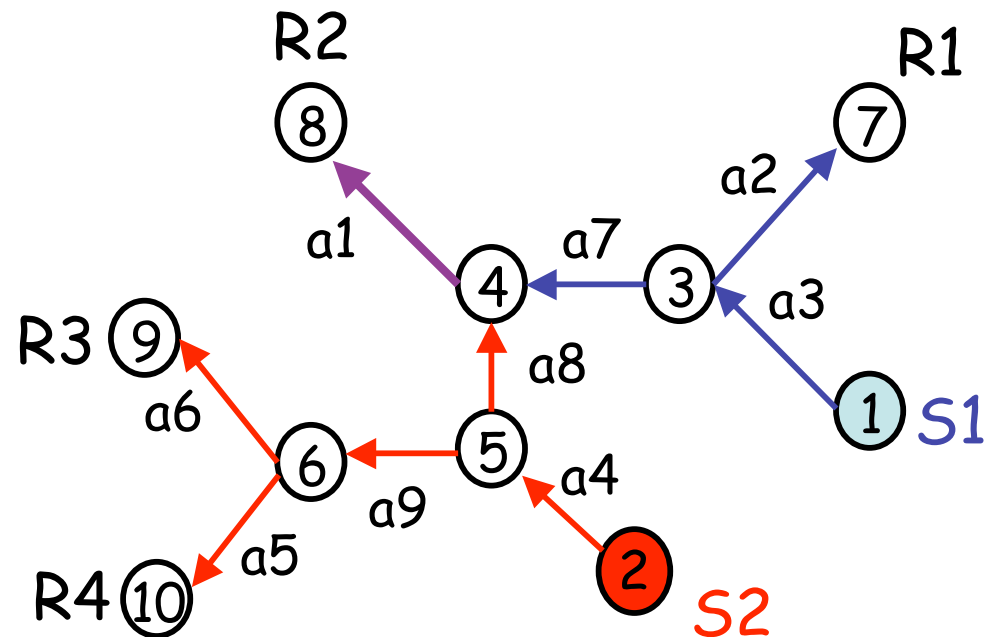
# Comparing all four cases (CR bound - same loss prob. on all links)



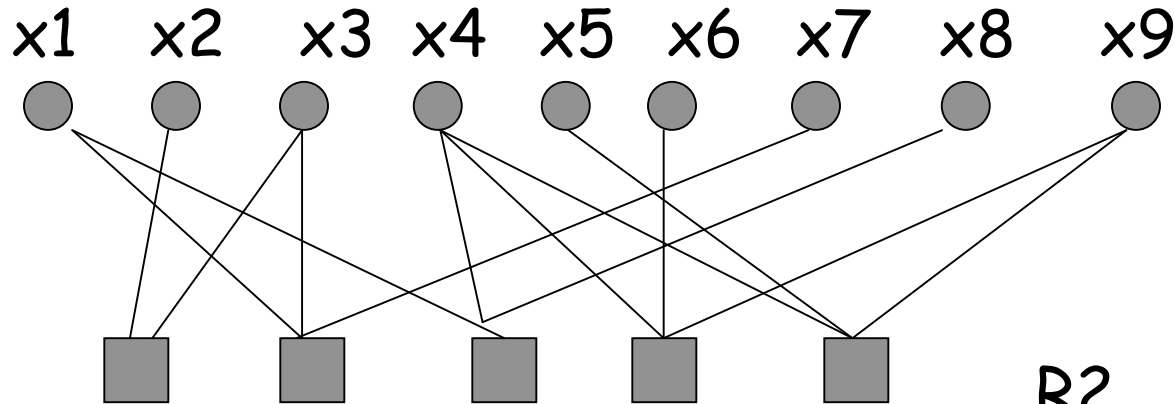
# Estimating all links in general topologies.

## Questions

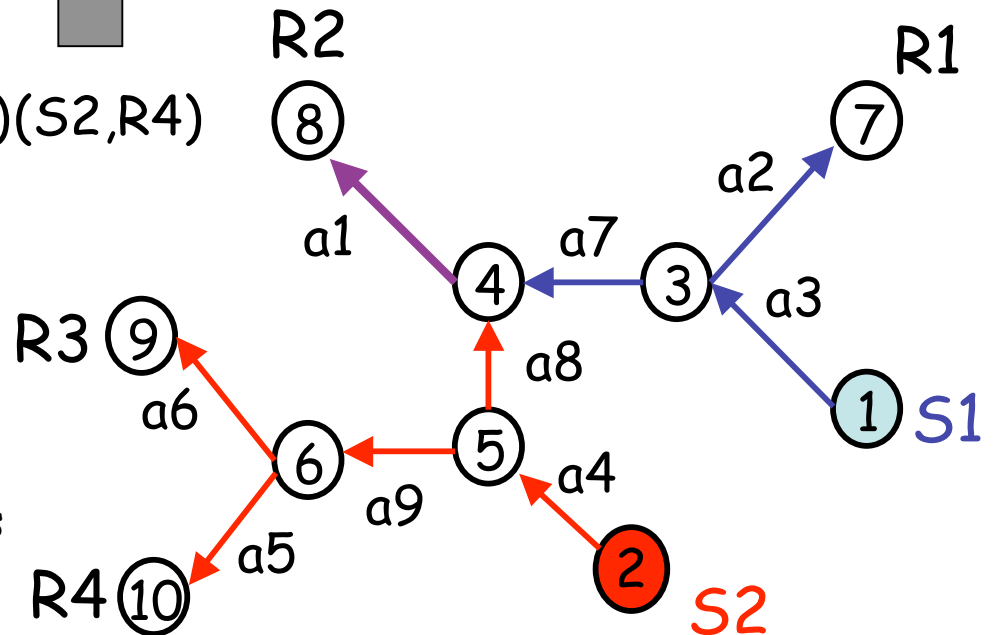
- How many sources (and receivers)?
- Where to place them?
- What estimator to use?



# Belief Propagation



$(S1,R1) (S1,R2)(S2,R2)(S2,R3)(S2,R4)$



[Mao, Kschischang, Li, Pasupathy,  
 ``A factor graph approach to link loss  
 monitoring in WSN'', JSAC 2005]

# Estimation Accuracy Metrics (all links E)

- Entropy Measure:

$$ENT = \sum_e \log E \left[ \left| \alpha_e - \hat{\alpha}_e \right|^2 \right]$$

- where

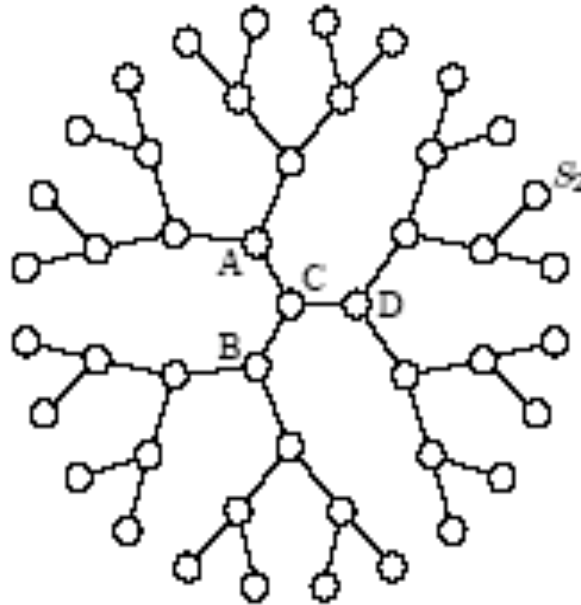
- $\alpha_e$  : link loss rate for link e
- $\hat{\alpha}_e$  : estimated link loss rate for link e



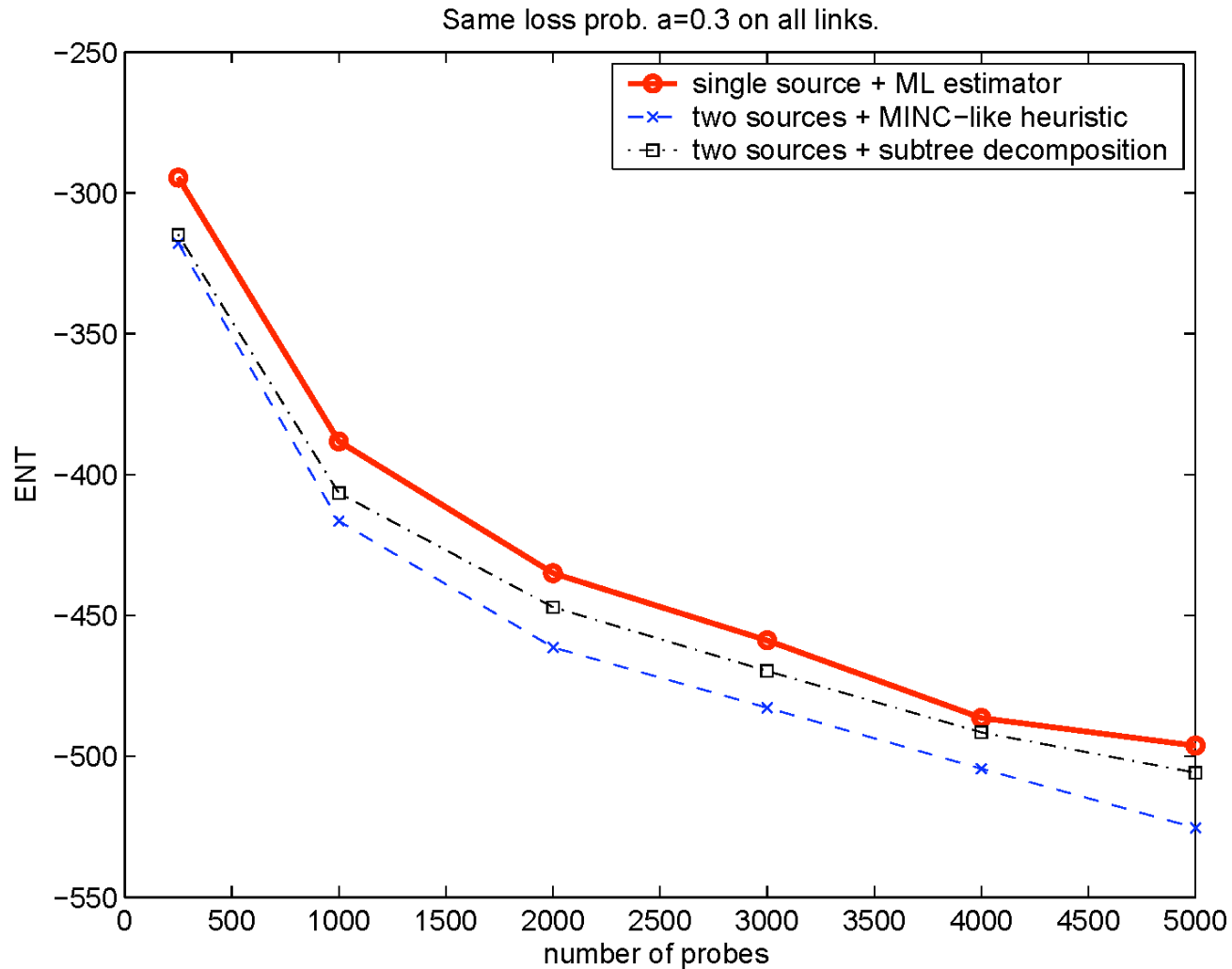
# Simulations Results

Compare:

- Single source (S1) multicast using MLE
- Two sources (S1,S2) and network coding (at C) using suboptimal estimation

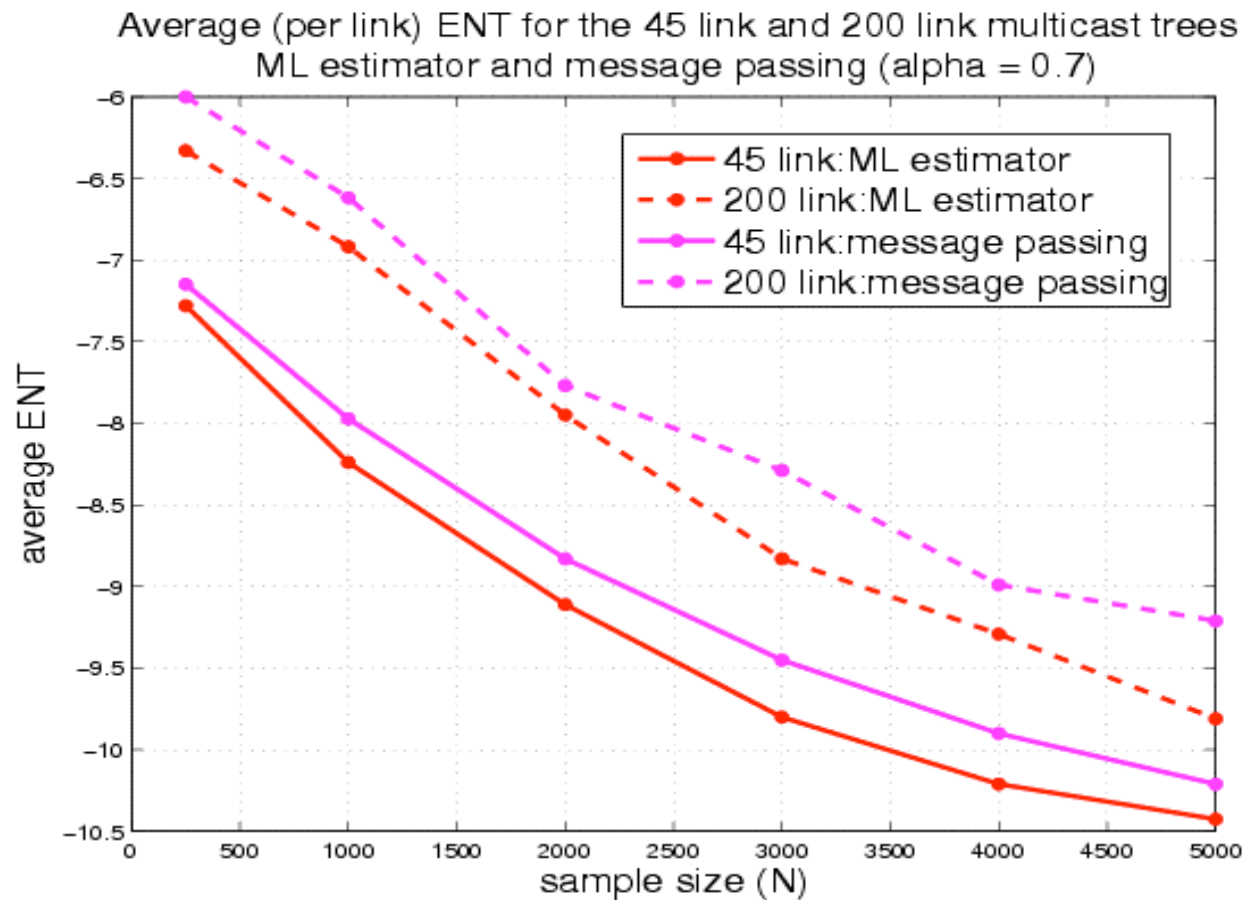


# Simulation Results



2 sources (with suboptimal estimation) do better than 1 source (with MLE)

# Simulation Results



BP approximates the MLE

# Applications of Network Coding

- Ad-hoc wireless networks
- Content delivery in P2P networks
- Network tomography
- Sensor networks
- Security
- Chip design
- .....

# Data collection in sensor networks

Sensor nodes are static.

Each sensor node observes an independent random variable.

# Data collection in sensor networks

Sensor nodes are static.

Each sensor node observes an independent random variable.

*Phase 1:* Each sensor node broadcasts  $m$  times.

*Phase 2:* A mobile collector queries  $k$  sensor nodes uniformly at random.

# Data collection in sensor networks

Sensor nodes are static.

Each sensor node observes an independent random variable.

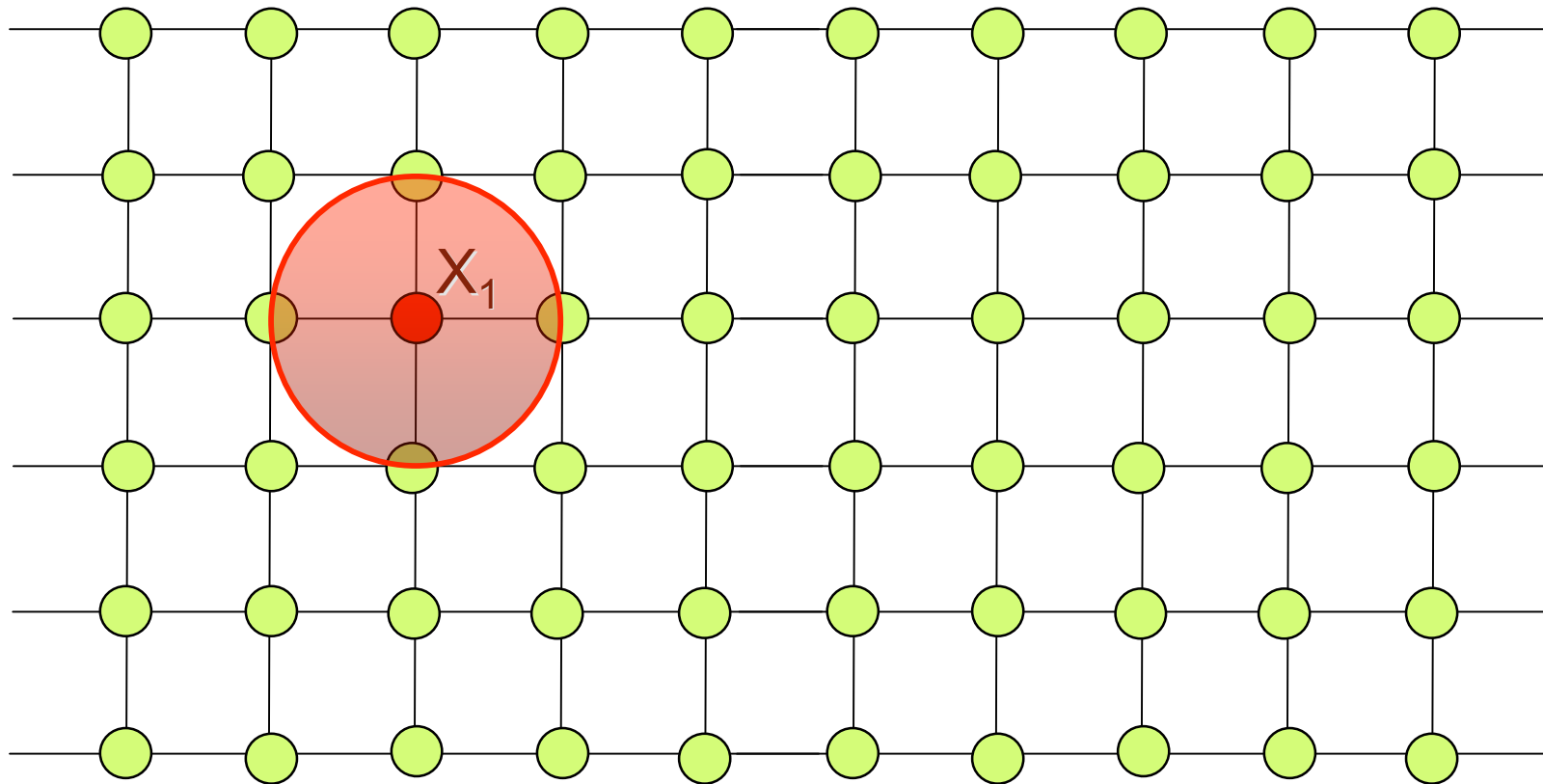
*Phase 1:* Each sensor node broadcasts  $m$  times.

*Phase 2:* A mobile collector queries  $k$  sensor nodes uniformly at random.

What value of  $k$  is necessary in order to collect all information

*Phase 1:*  
each node transmits  $m$  times

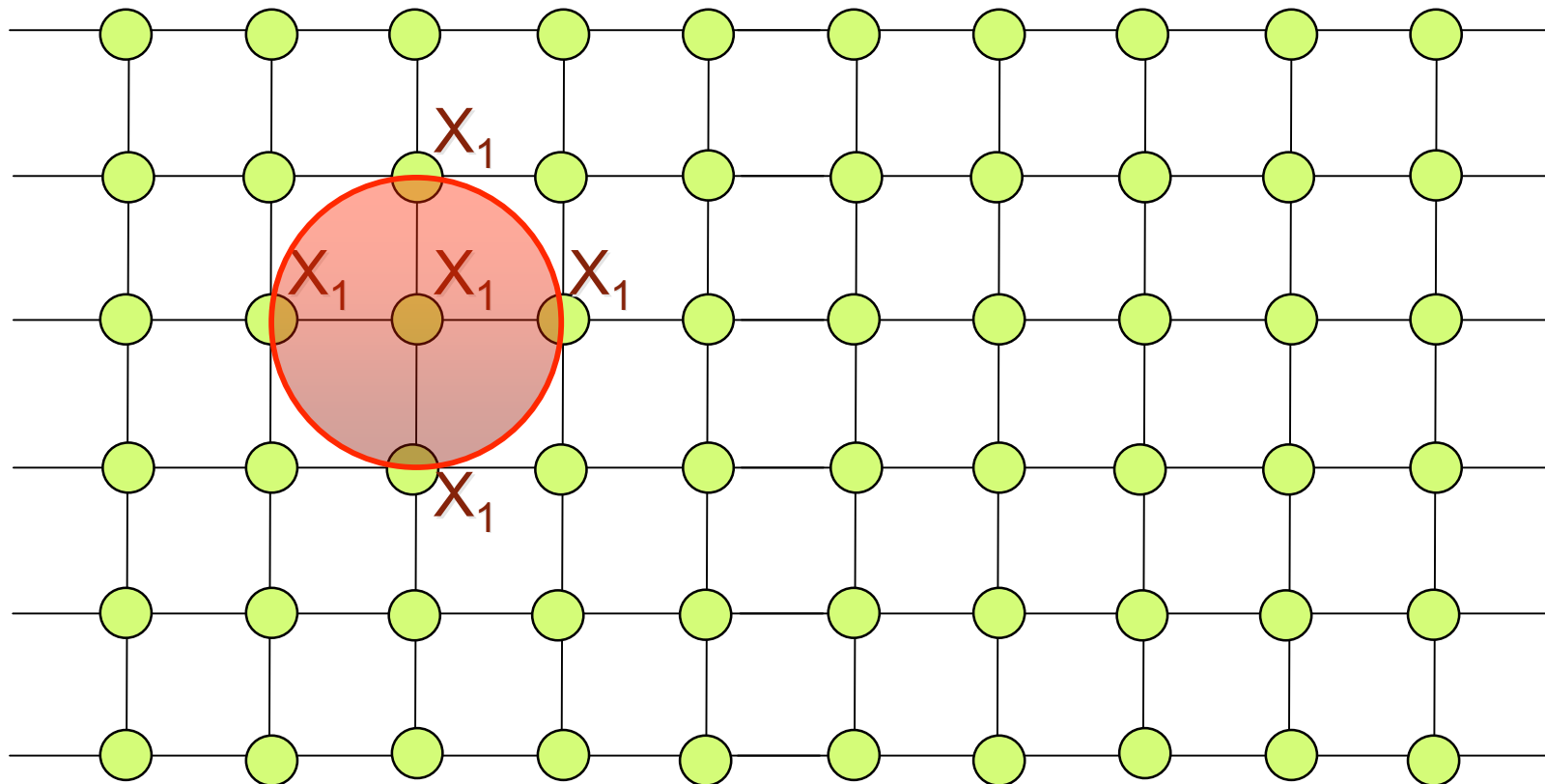
Forwarding:  
nodes randomly select and transmit  
one of the symbols they have collected.





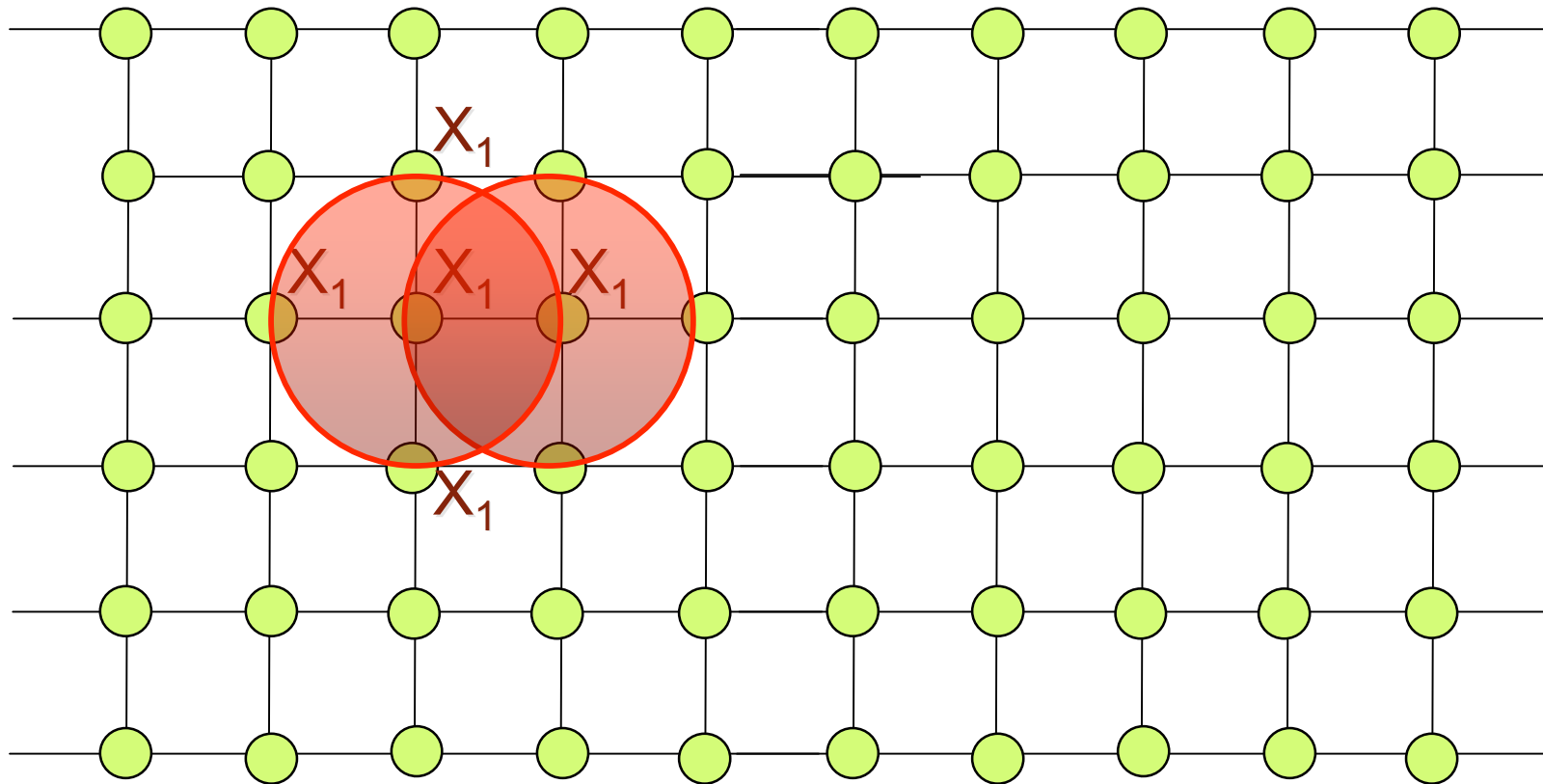
# Phase 1: each node transmits $m$ times

Forwarding:  
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# Phase 1: each node transmits $m$ times

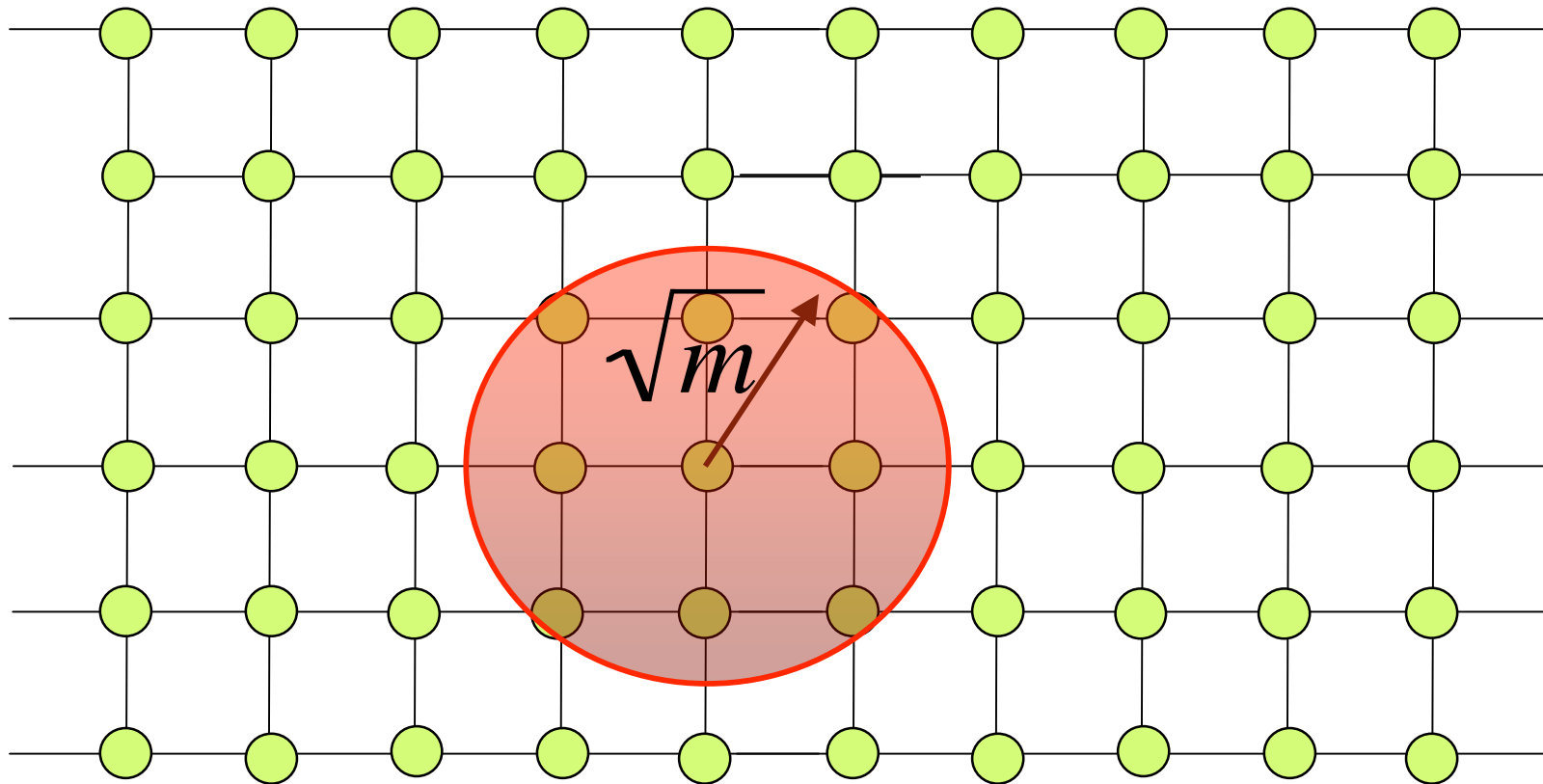
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# Phase 1: each node transmits $m$ times

Forwarding: nodes randomly select and transmit one of the symbols they have collected.

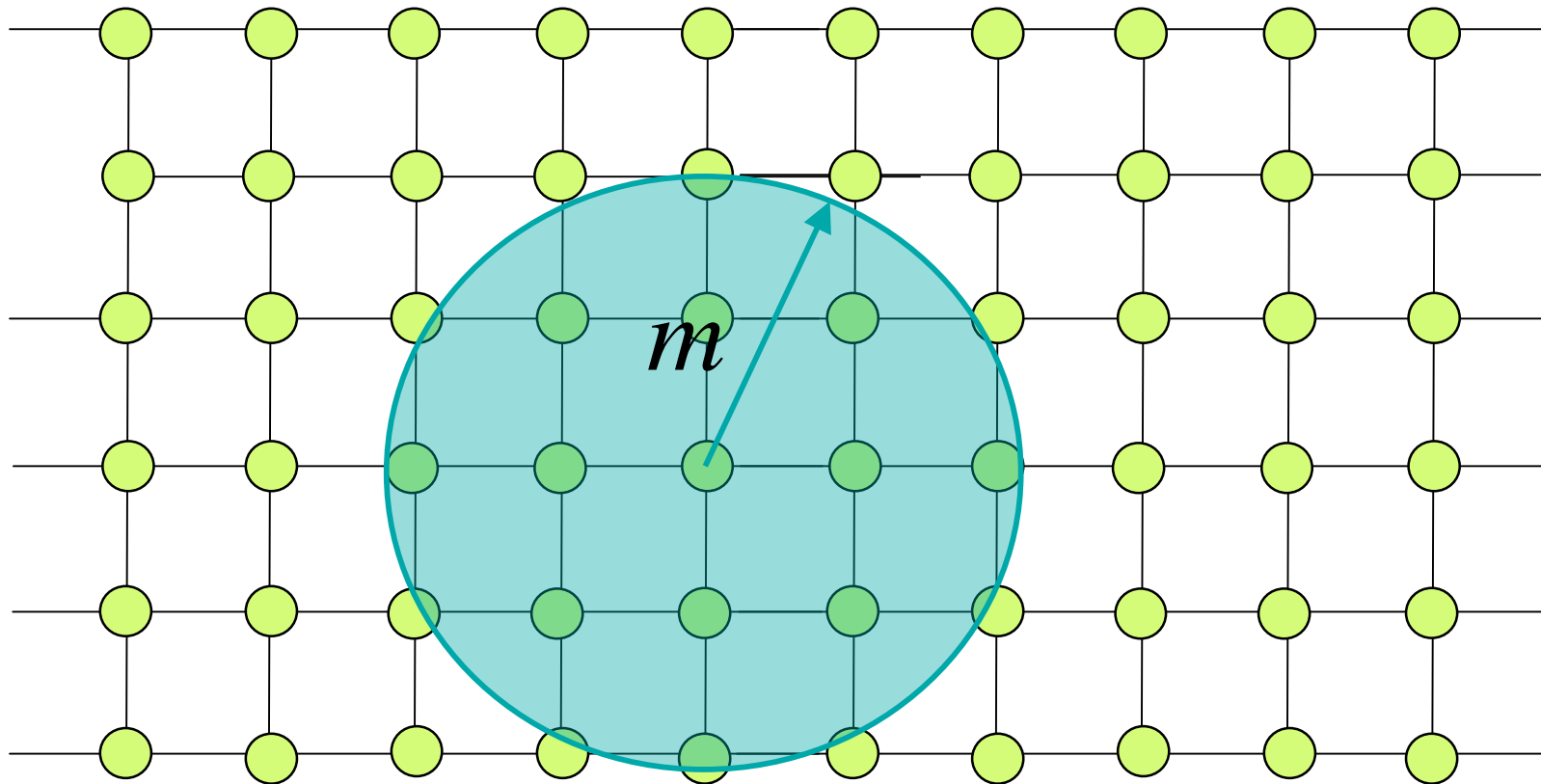
Each node receives all information from the  $m$  nodes within distance  $\Theta(\sqrt{m})$



# Phase 1: each node transmits $m$ times

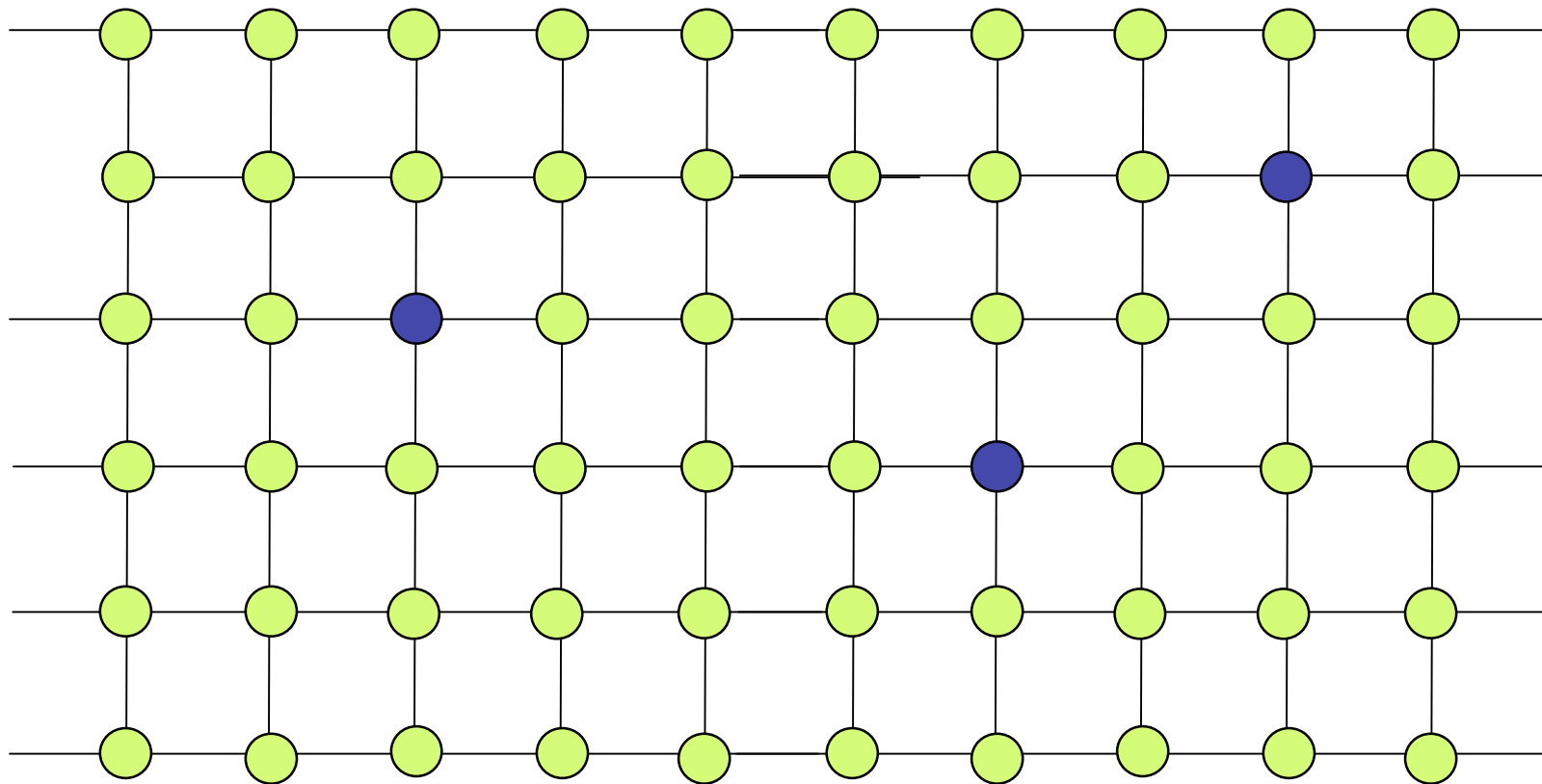
Network coding: nodes transmit a random linear combination of the previously received symbols.

Each node receives all information from the  $m^2$  nodes within distance  $\Theta(m)$



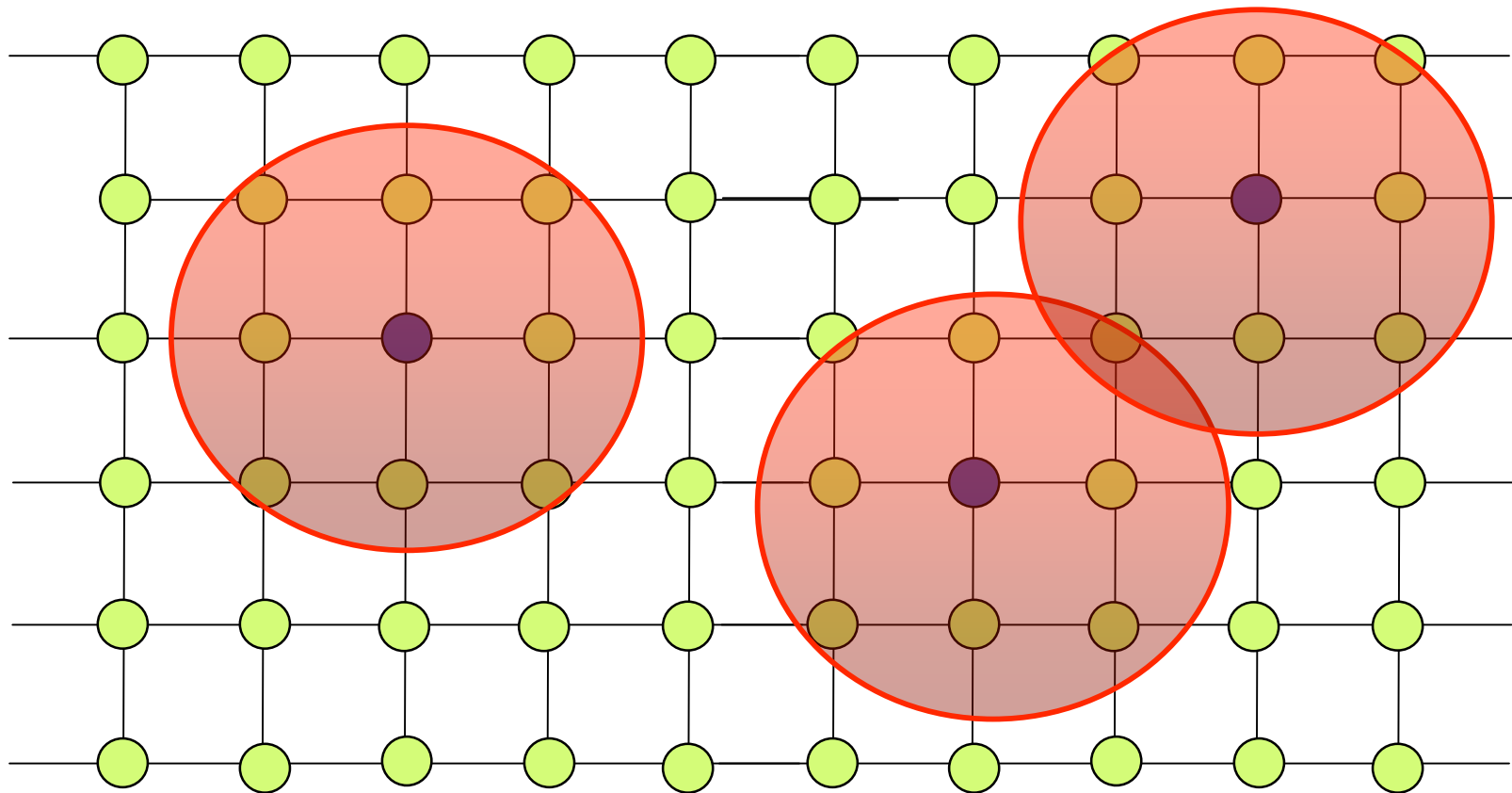
*Phase 2:*  
collector randomly queries  $k$  nodes

$$k \geq \frac{n}{4m + 1}$$



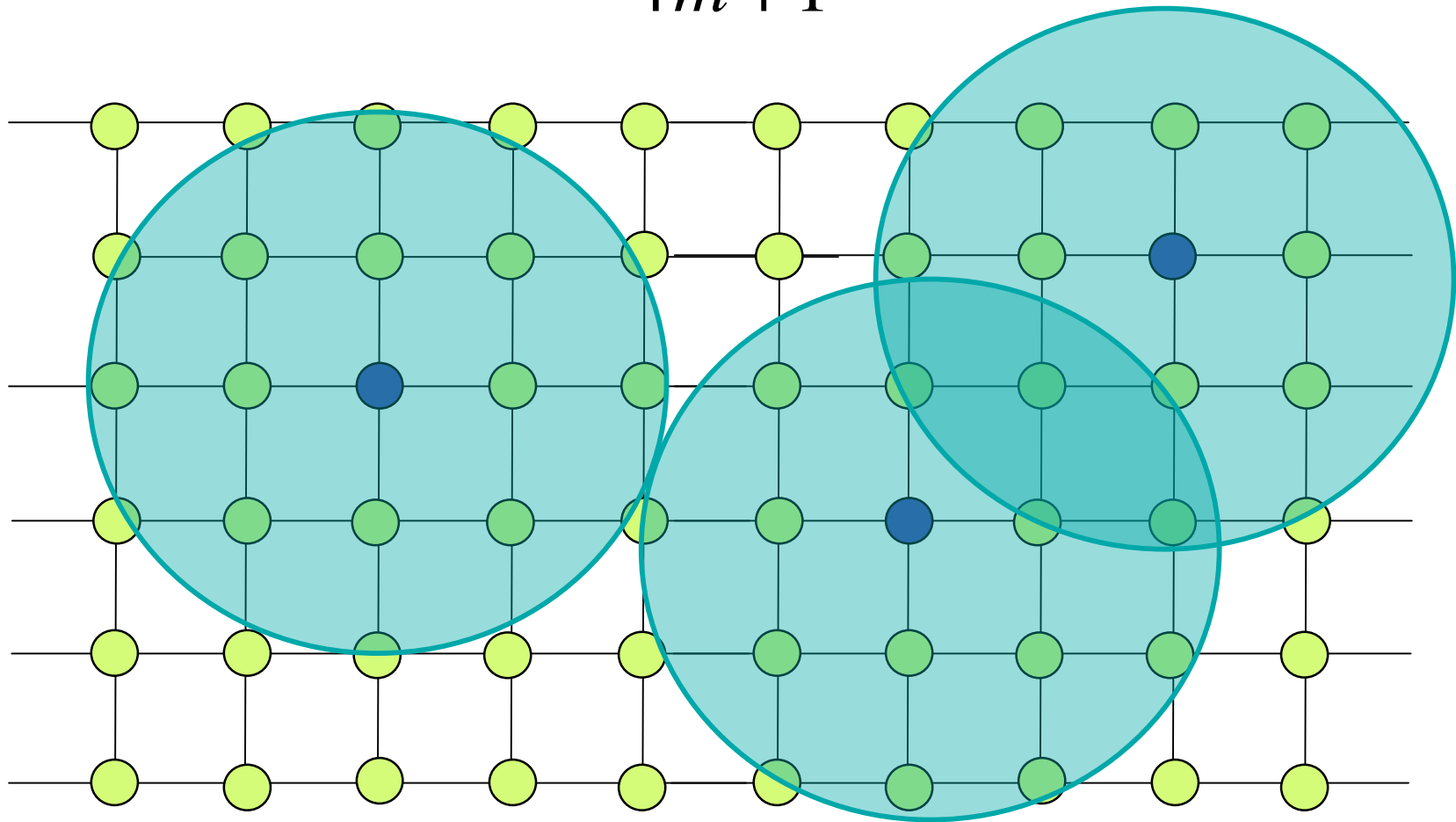
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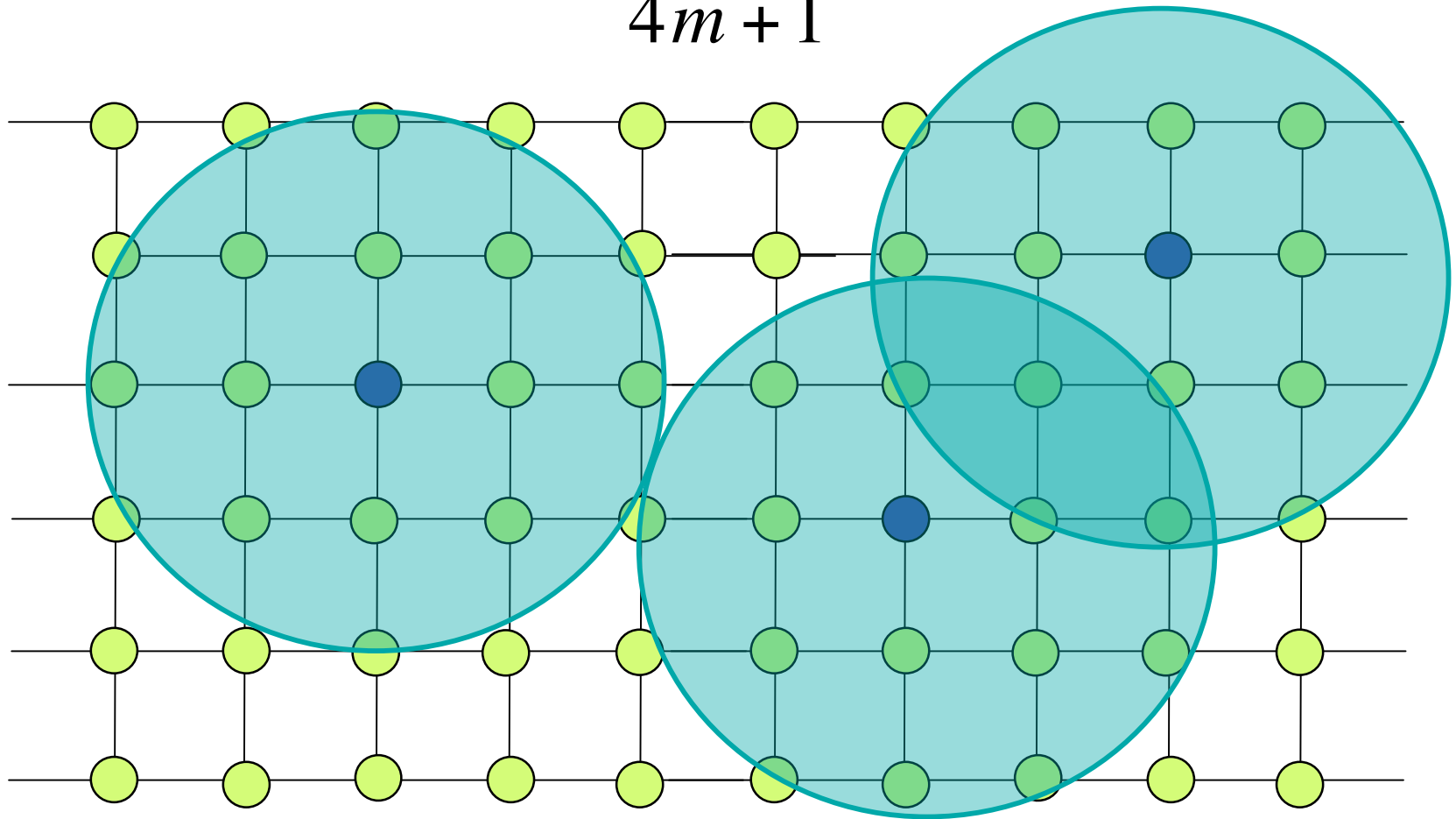
$$k \geq \frac{n}{4m+1}$$



Probability that node is not covered by a disk

$$\left(1 - \frac{r^2}{n}\right)^k \approx e^{-k \frac{r^2}{n}} \approx \frac{1}{n} \Rightarrow k \geq$$

$$k \geq \frac{n}{4m + 1}$$





# Simulation results: random network

