

# Wireless Network Information Flow

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# Network communication challenges

## Information flow over shared networks

- Unicast, multicast, multiple unicast (multicommodity flow).
- Significant progress for graphs (routing, network coding etc).
- Less understood for flows over wireless networks.

**Network data compression:** Motivation → sensor networks.

- Some successes in side-information coding: Slepian-Wolf, Wyner Ziv etc.
- Many unresolved questions: Distributed source coding, multiple description coding.

**Question:** How can we make progress to fundamentally characterize flow of information over networks?

Problem statement

Deterministic approach

Reliable transmission rate

Analysis

Discussion

Motivation

Wireless characteristics

State of knowledge

# Key distinctions between wired and wireless channels

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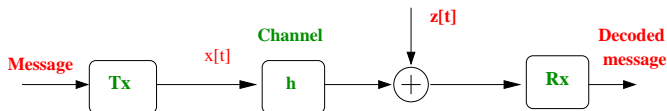
## Implications:

- Complex signal interactions at different signal levels.
- Interacting signals from nodes contain information (not to be treated as noise).

**Question:** Can we develop cooperative mechanisms to utilize signal interaction?



# Gaussian point-to-point channel



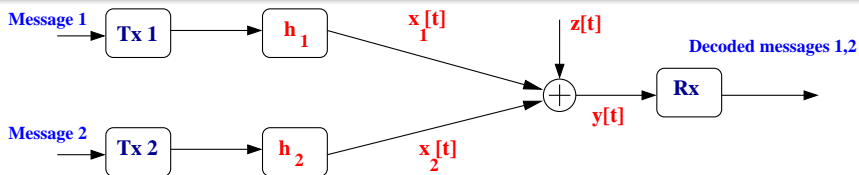
NOISY POINT-TO-POINT CHANNEL (SHANNON, 1948)

$$y[t] = hx[t] + z[t]$$

- Linear channel model and additive noise model.
- Transmit power constraint:  $\mathbb{E}[|x|^2] \leq P$
- Gaussian channel due to noise  $z[t] \sim \mathcal{N}(0, 1)$ .

**Capacity:**  $C = \frac{1}{2} \log(1 + |h|^2 P)$ .

# Gaussian multiple access channel



## MULTIPLE ACCESS CHANNEL: Ahlswede–Liao (1971)

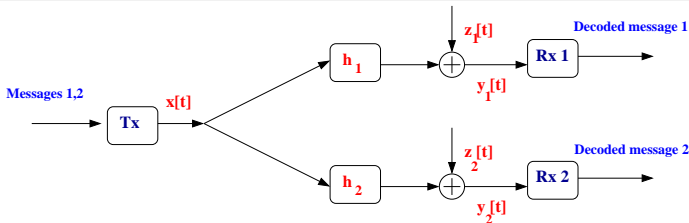
$$y[t] = h_1 x_1[t] + h_2 x_2[t] + z[t]$$

- Linear signal interaction model.
- Transmit power constraint:  $\mathbb{E}[|x_i|^2] \leq P_i$ ,  $i = 1, 2$
- Gaussian channel due to noise  $z[t] \sim \mathcal{N}(0, 1)$ .

$$R_1 \leq \frac{1}{2} \log(1 + |h_1|^2 P_1) \quad R_2 \leq \frac{1}{2} \log(1 + |h_2|^2 P_2)$$

$$R_1 + R_2 \leq \frac{1}{2} \log(1 + |h_1|^2 P_1 + |h_2|^2 P_2)$$

# Gaussian broadcast channel



**BROADCAST CHANNEL: Cover (1972)**

$$y_1[t] = h_1 x[t] + z_1[t], \quad y_2[t] = h_2 x[t] + z_2[t]$$

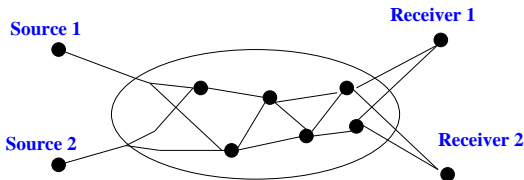
- Common transmit signal  $x[t]$ , different channels.
- Transmit power constraint:  $\mathbb{E}[|x|^2] \leq P$ .

$$R_1 \leq \frac{1}{2} \log\left(1 + \frac{|h_1|^2 \theta P}{1 + |h_1|^2 (1 - \theta) P}\right)$$

$$R_2 \leq \frac{1}{2} \log(1 + |h_2|^2 (1 - \theta) P)$$

Rate region region for  $|h_1| \geq |h_2|$

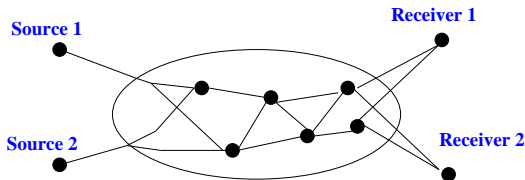
# Signal interaction: Gaussian wireless networks



$$\mathbf{y}_j[t] = \sum_i \mathbf{H}_{ij} \mathbf{x}_i[t] + \mathbf{z}_j[t]$$

- **Broadcast** because transmission  $\mathbf{x}_i$  is heard by all receivers.
- **Multiple access** because transmitted signals from all nodes mix linearly at the receiver  $j$ .
- **Dynamic range** depends on relative “strengths” of  $\mathbf{H}_{ij}$ .

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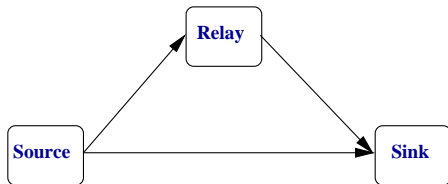


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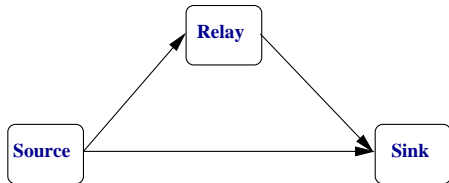
**Question:** Can we characterize capacity of such networks?

# Gaussian network capacity: unresolved

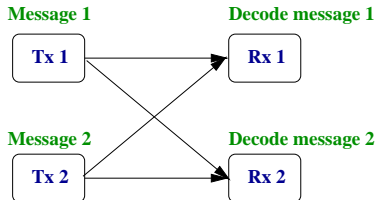


**RELAY CHANNEL: Cover, El-Gamal (1979)**

# Gaussian network capacity: unresolved

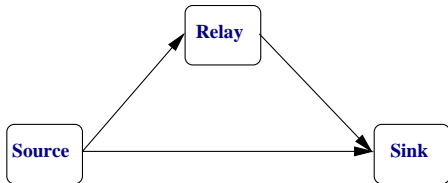


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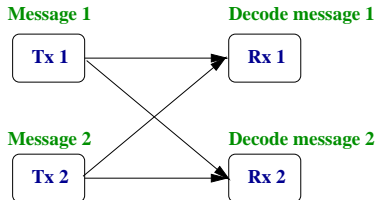


**INTERFERENCE CHANNEL:** Han-Kobayashi (1981)

## Gaussian network capacity: unresolved



RELAY CHANNEL: Cover, El-Gamal (1979)



INTERFERENCE CHANNEL: Han-Kobayashi (1981)

**Question:** Thirty years have gone by... How can we make progress from here?



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Focus on signal interaction not noise

**Observation:** Success of network coding was through examination of flow on wireline networks, a special deterministic channel.

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- Many wireless systems are interference rather than noise limited.
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## Idea:

- Many wireless systems are interference rather than noise limited.
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## Hope:

- Deterministic models more tractable.
- Use insight to obtain approximate characterizations for noisy (Gaussian) networks.

# Simplify the model

Focus on signal interaction not noise

**Observation:** Success of network coding was through examination of flow on wireline networks, a special deterministic channel.

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- Many wireless systems are interference rather than noise limited.
- Use **deterministic** channel model to focus on signal interaction and not noise.

**Hope:**

- Deterministic models more tractable.
- Use insight to obtain approximate characterizations for noisy (Gaussian) networks.

**Question:** Can we develop relevant models and analyze networks with deterministic signal interactions to get the insights?

## Approximate characterizations

**Philosophy:** Gain insight into central difficulties of problem by identifying underlying deterministic structures.

**Goal:** Use the insight of underlying problem to get (provable) approximate characterization for noisy problem.

- Underlying problem should be characterized exactly to give insight into solution structure for general case.
- **Universal approximation:** Approximation should depend *only* on the problem structure and *not* on parameters (like channel gains, SNRs etc.).

**Question:** Can we identify the appropriate underlying problems and use them to get provable (universal) approximations.

## Overall agenda

- Introduce deterministic channel model.
- Motivate the utility of deterministic model with examples.
- Develop achievable rates for general deterministic relay networks
- Characterizations for linear finite field deterministic models.

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- Introduce deterministic channel model.
- Motivate the utility of deterministic model with examples.
- Develop achievable rates for general deterministic relay networks
- Characterizations for linear finite field deterministic models.
- **Connection to wireless networks:** Use insights on achievability of deterministic networks to obtain *approximate* characterization of noisy relay networks.

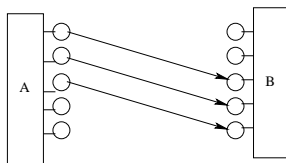
## Example 1: Point-to-point link

### Gaussian

$$y = 2^{\alpha/2}x + z$$

Capacity is  $\log(1 + 2^\alpha) \approx \alpha \log 2$   
assuming unit variance noise.

### Deterministic

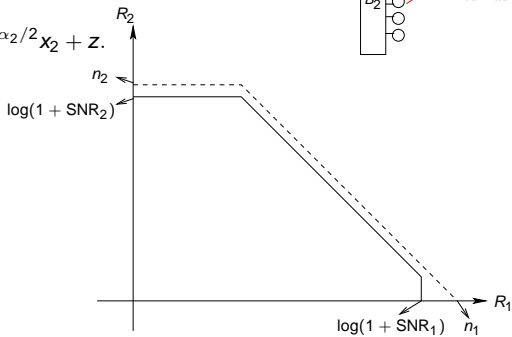
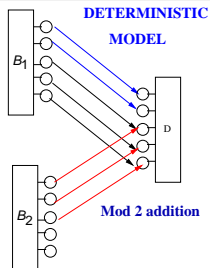
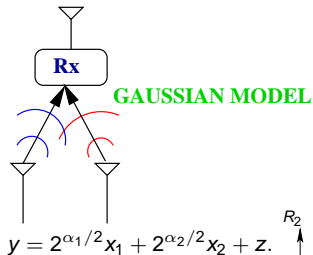


Receiver observes  $\alpha$  most significant bits of transmitted signal.

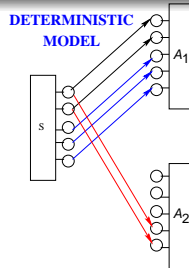
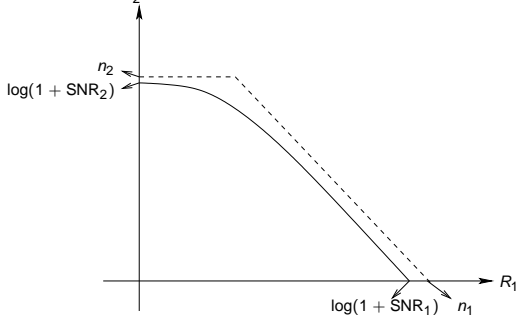
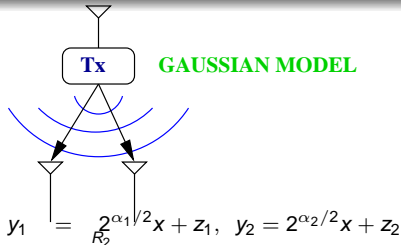
- Number of levels received shows scale of channel strength.
- Scale important when signals interact in broadcast and multiple access.



## Example 2: Multiple access channel



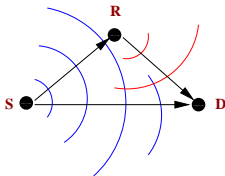
## Example 3: Scalar broadcast channel



**Approximation of 1 bit**

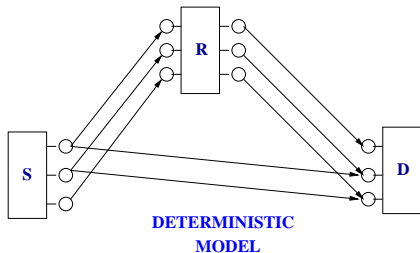
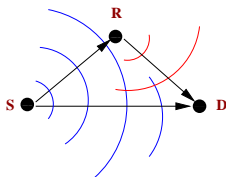
# Relay channel: deterministic approximation

GAUSSIAN RELAY CHANNEL



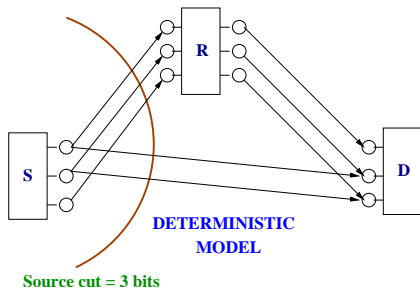
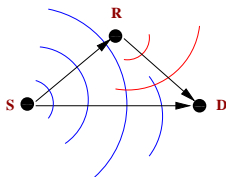
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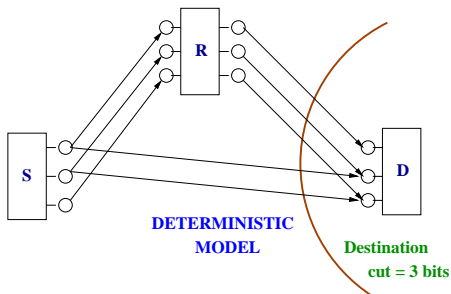
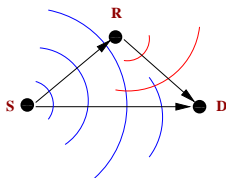
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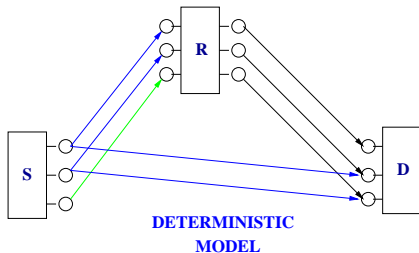
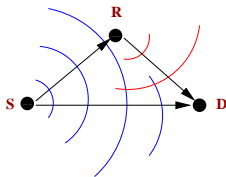
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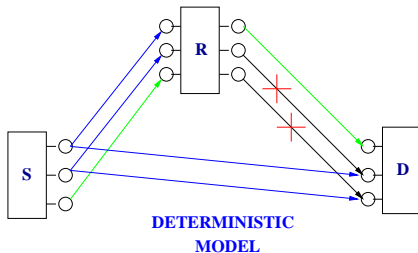
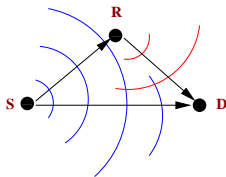
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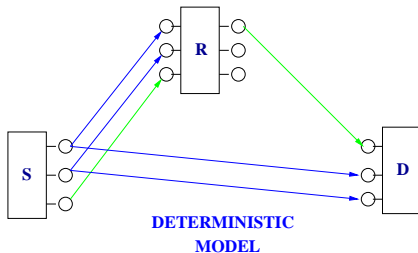
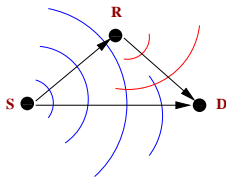
GAUSSIAN RELAY CHANNEL





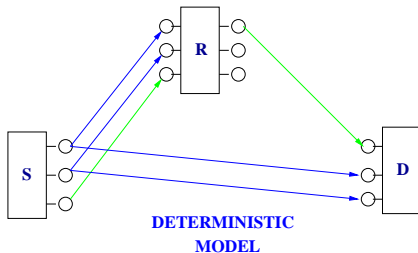
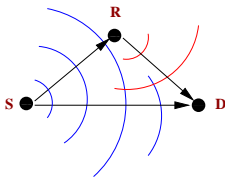
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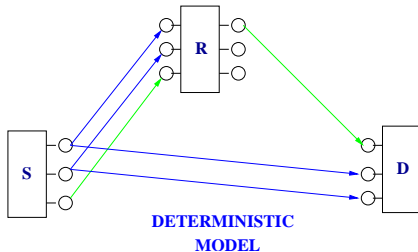
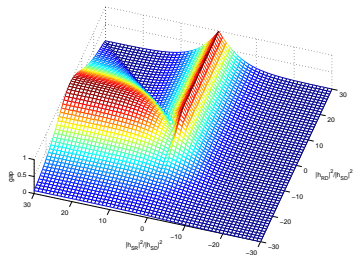
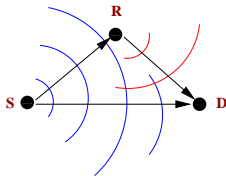
GAUSSIAN RELAY CHANNEL



Cut-set bound achievable.  
Decode and forward is optimal.

# Relay channel: deterministic approximation

## GAUSSIAN RELAY CHANNEL

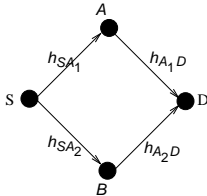


Cut-set bound achievable.  
Decode and forward is optimal.

**Result:** Gap from cut-set less than 1 bit, on average much less.

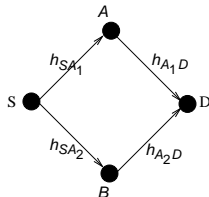
# Diamond network

## Gaussian



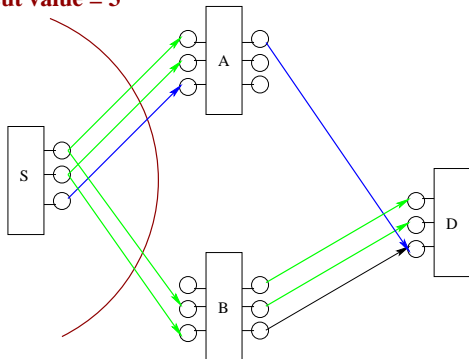
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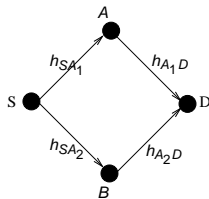
## Deterministic

Cut value = 3

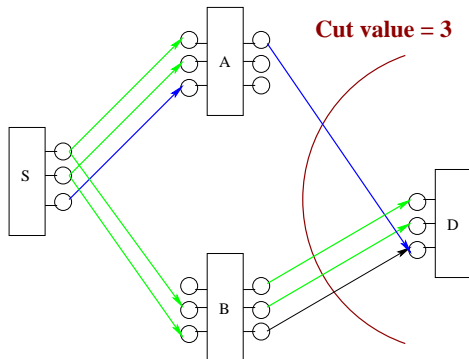


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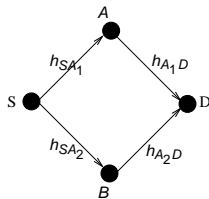


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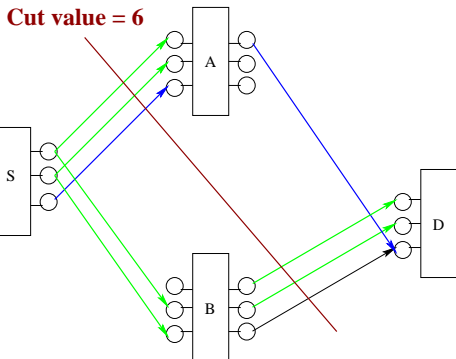


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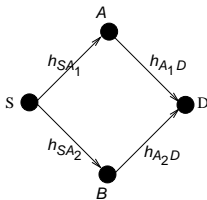


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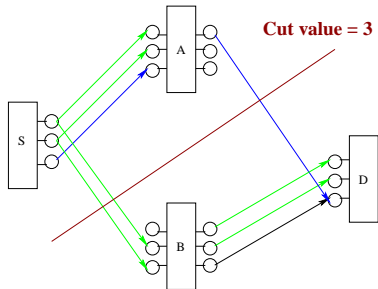


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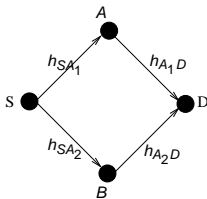
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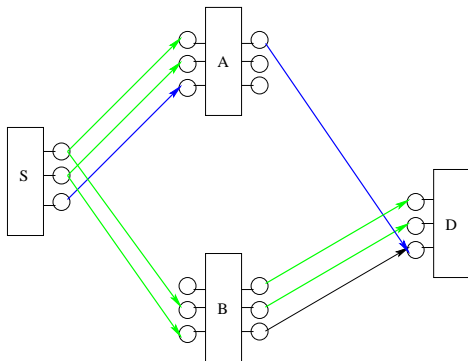
# Diamond network

## Gaussian



**Result:** Gap from cut-set  
less 1 bit.

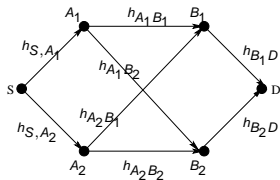
## Deterministic



Cut-set bound achievable.  
Partial decode-forward is optimal.

# Two-layer network

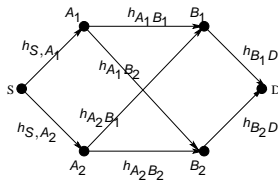
## Gaussian



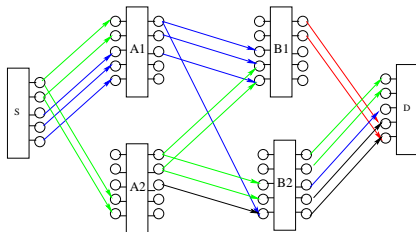
## Deterministic

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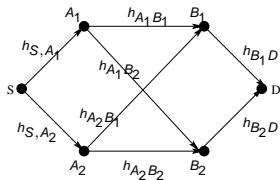


Cut-set bound achievable.

Linear map and forward is optimal.

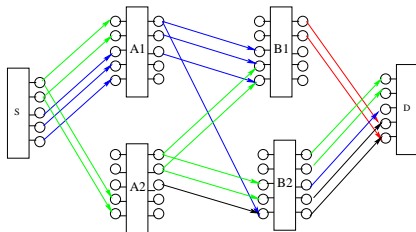
# Two-layer network

## Gaussian



**Result:** Gap from cut-set  
less than constant number  
of bits.

## Deterministic



**Cut-set bound achievable.**  
Linear map and forward is optimal.

## Questions

- Is the cut-set bound achievable for the deterministic model in arbitrary networks?

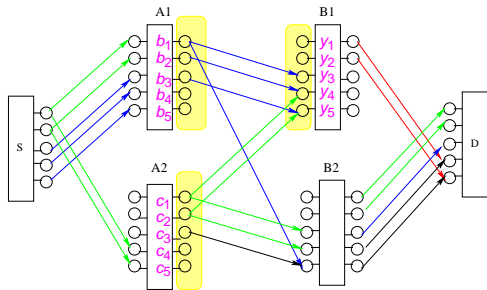
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- What is the structure of the optimal strategy?
- Can we use insight from deterministic analysis to get approximately optimal strategy for Gaussian networks?

# Algebraic representation



$$\mathbf{S} = \begin{bmatrix} 0 & 0 & \dots & 0 & 0 \\ 1 & 0 & 0 & \dots & 0 \\ 0 & 1 & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \end{bmatrix}$$

$\mathbf{S}$  is shift matrix of size  
 $q = \max_{i,j} n_{i,j}$ .

$$\mathbf{y}_{B_1} = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \\ y_5 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ b_1 \\ b_2 \\ b_3 \end{bmatrix} \oplus \begin{bmatrix} 0 \\ 0 \\ 0 \\ c_1 \\ c_2 \end{bmatrix} = \mathbf{S}^{5-3} \mathbf{x}_{A_1} \oplus \mathbf{S}^{5-2} \mathbf{x}_{A_2} = \mathbf{S}^{5-3} \mathbf{b} \oplus \mathbf{S}^{5-2} \mathbf{c}$$



# Generalizations

## Linear finite field model

- Channel from  $i$  to  $j$  is described by channel matrix  $\mathbf{G}_{ij}$  operating over  $\mathbb{F}_2$ .
- Received signal at node  $j$ :

$$\mathbf{y}_j[t] = \sum_{i=1}^N \mathbf{G}_{ij} \mathbf{x}_i[t]$$

- Special case: our model given in examples

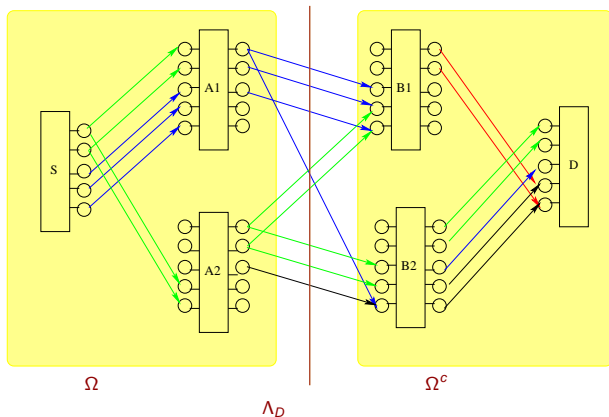
$$\mathbf{G}_{ij} = \mathbf{S}^{q-\alpha_{ij}}$$

### General deterministic network:

$$\mathbf{y}[t] = \mathbf{G}(\mathbf{x}_1[t], \dots, \mathbf{x}_N[t])$$

**Observation:** Wireline networks are a special case.

## Information-theoretic cut-set



**Cut:** Separates  $S$  from  $D$

**Cut transfer matrix  $\mathbf{G}_{\Omega, \Omega^c}$ :** Transfer function from nodes in  $\Omega$  to  $\Omega^c$ .

# Cutset upper bound

## General relay network:

$$C_{\text{relay}} \leq \bar{C} = \max_{p(\mathbf{X}_1, \dots, \mathbf{X}_N)} \min_{\Omega} I(\mathbf{X}_{\Omega}; \mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})$$

# Cutset upper bound

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$$C_{\text{relay}} \leq \bar{C} = \max_{p(\mathbf{X}_1, \dots, \mathbf{X}_N)} \min_{\Omega} I(\mathbf{X}_{\Omega}; \mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})$$

## General deterministic relay network:

$$C_{\text{relay}} \leq \bar{C} = \max_{p(\mathbf{X}_1, \dots, \mathbf{X}_N)} \min_{\Omega} H(\mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})$$

## Cutset upper bound

### General relay network:

$$C_{\text{relay}} \leq \bar{C} = \max_{p(\mathbf{x}_1, \dots, \mathbf{x}_N)} \min_{\Omega} I(\mathbf{X}_{\Omega}; \mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})$$

### General deterministic relay network:

$$C_{\text{relay}} \leq \bar{C} = \max_{p(\mathbf{x}_1, \dots, \mathbf{x}_N)} \min_{\Omega} H(\mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})$$

**Linear finite field network:** Optimal input distribution  $\mathbf{x}_1, \dots, \mathbf{x}_N$  independent and uniform

$$C_{\text{relay}} \leq \bar{C} = \min_{\Omega} \text{rank}(\mathbf{G}_{\Omega, \Omega^c})$$

where  $\mathbf{G}_{\Omega, \Omega^c}$  is the transfer matrix  $\mathbf{X}_{\Omega} \rightarrow \mathbf{Y}_{\Omega^c}$ .

## Main results: Deterministic relay networks

### Theorem (Avestimehr, Diggavi and Tse, 2007)

*Given a general deterministic relay network (with broadcast and multiple access), we can achieve all rates  $R$  upto*

$$\max_{\prod_i p(\mathbf{X}_i)} \min_{\Omega} H(\mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})$$

### Multicast information flow:

### Theorem (Avestimehr, Diggavi and Tse, 2007)

*Given a general deterministic relay network (with broadcast and multiple access), we can achieve all rates  $R$  from  $S$  multicasting to all destinations  $D \in \mathcal{D}$  up to,*

$$\max_{\prod_{i \in \mathcal{V}} p(x_i)} \min_{D \in \mathcal{D}} \min_{\Omega \in \Lambda_D} H(Y_{\Omega^c} | X_{\Omega^c})$$

# Application

## Linear deterministic models

### Corollary (Avestimehr, Diggavi and Tse, 2007)

*Given a linear finite-field relay network (with broadcast and multiple access), the capacity  $C$  of such a relay network is given by,*

$$C = \min_{\Omega \in \Lambda_D} \text{rank}(\mathbf{G}_{\Omega, \Omega^c}).$$

### Multicast information flow:

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*Given a linear finite-field relay network (with broadcast and multiple access), the multicast capacity  $C$  of such a relay network is given by,*

$$C = \min_{D \in \mathcal{D}} \min_{\Omega \in \Lambda_D} \text{rank}(\mathbf{G}_{\Omega, \Omega^c}).$$

## Consequences: Deterministic Relay Networks

**General deterministic networks:** Cutset upper bound was  
 $C_{\text{relay}} \leq \max_{p(\mathbf{x}_1, \dots, \mathbf{x}_N)} \min_{\Omega} H(\mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c}) \implies$  achievable if optimum  
was product distribution.

**Linear finite field model:** Cutset upper bound was  
 $C_{\text{relay}} \leq \min_{\Omega} \text{rank}(\mathbf{G}_{\Omega, \Omega^c}) \implies$  **cutset bound achievable**

For wireline graph model  $\text{rank}(\mathbf{G}_{\Omega, \Omega^c})$  is number of links crossing the cut.

**Observation:** We have a generalization of Ford-Fulkerson max-flow min-cut theorem to linear finite field relay networks with broadcast and multiple access.



## Main results: Gaussian relay networks

### Theorem (Avestimehr, Diggavi and Tse, 2007)

*Given a Gaussian relay network,  $\mathcal{G}$ , we can achieve all rates  $R$  up to  $\bar{C} - \kappa$ . Therefore the capacity of this network satisfies*

$$\bar{C} - \kappa \leq C \leq \bar{C},$$

*where  $\bar{C}$  is the cut-set upper bound on the capacity of  $\mathcal{G}$ , and  $\kappa$  is a constant independent of channel gains.*

### Theorem (Multicast information flow)

*Given a Gaussian relay network,  $\mathcal{G}$ , we can achieve all multicast rates  $R$  up to  $\bar{C}_{mcast} - \kappa$ , i.e., for  $\bar{C}_{mcast} = \min_{D \in \mathcal{D}} \bar{C}_D$ ,*

$$\bar{C}_{mcast} - \kappa \leq C \leq \bar{C}_{mcast}$$

# Ingredients and insights

## Main steps: Gaussian strategy

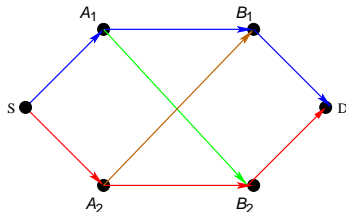
- **Relay operation:** Quantize received signal at noise-level.
- **Relay function:** Random mapping from received quantized signal to transmitted signal.
- Handle unequal (multiple) paths between nodes like “inter-symbol interference”.

## Consequences:

- With probabilistic method we demonstrate min-cut achievability for linear deterministic networks.
- Gaussian networks constant gap independent of SNR operating point.
- Engineering insight of (almost) optimal coding strategies.

# Achievability program: Deterministic networks

## Layered (equal path) networks

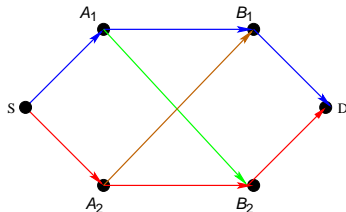


**Lengths of ALL paths from source to destination are the same.**

**Broadcast and multiple access for general deterministic functions.**

# Achievability program: Deterministic networks

## Layered (equal path) networks



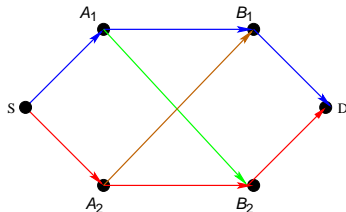
**Lengths of ALL paths from source to destination are the same.**

**Broadcast and multiple access for general deterministic functions.**

- Illustrate analysis through equal path network.

# Achievability program: Deterministic networks

## Layered (equal path) networks



**Lengths of ALL paths from source to destination are the same.**

**Broadcast and multiple access for general deterministic functions.**

- Illustrate analysis through equal path network.
- Extend to unequal path networks through time-expansion.

## Achievability: Deterministic networks

- Map each message into random codeword of length  $T$  symbols.
- Each relay randomly independently maps its received signal onto transmit codewords  $\implies$  **transmit distributions independent across relays.**

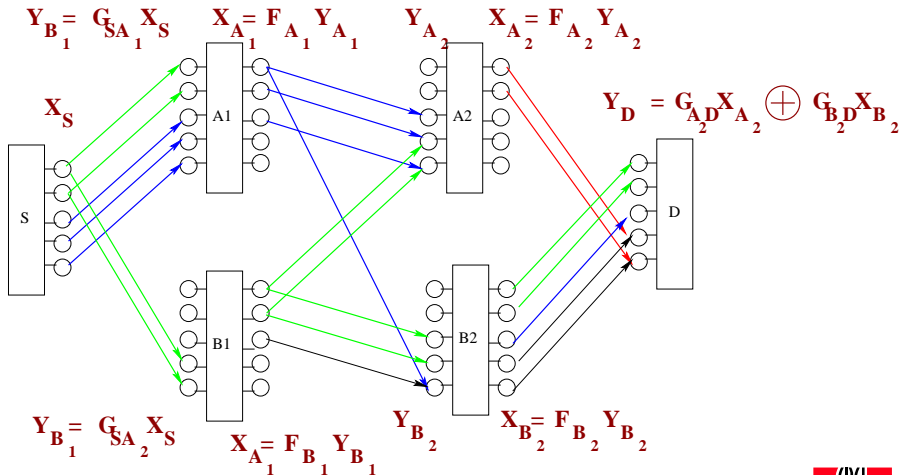
$$\mathbf{x}_j = \mathbf{f}_j(\mathbf{y}_j)$$

- Strategy similar to network coding for wireline graphs (Ahlsvede *et al* 2000).
- For linear deterministic network, simplification in relay function:

$$\mathbf{x}_j = \mathbf{F}_j \mathbf{y}_j$$

where  $\mathbf{F}_j$  is randomly chosen matrix.

# Achievability: Deterministic networks



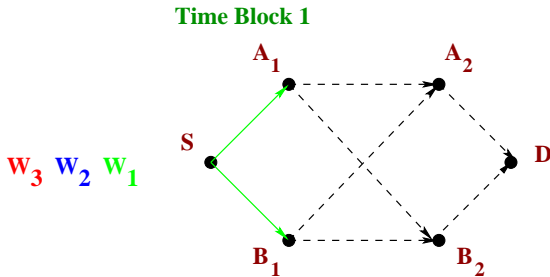
## Linear deterministic networks: relay strategy

**Key simplification for staged networks:** In equal path networks all nodes in a stage are transmitting information about *same* message.



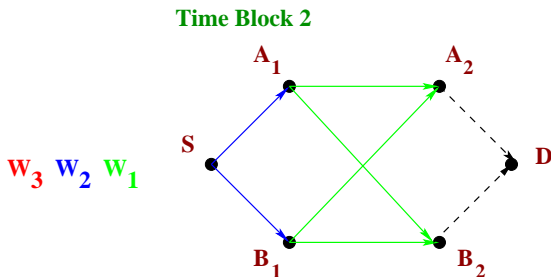
# Linear deterministic networks: relay strategy

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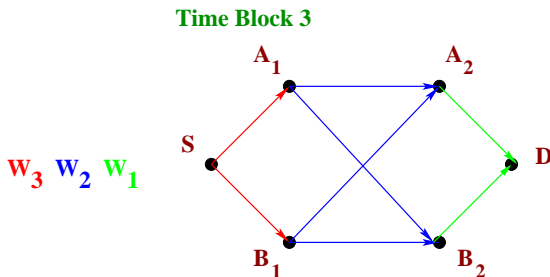
## Linear deterministic networks: relay strategy

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## Linear deterministic networks: relay strategy

**Key simplification for staged networks:** In equal path networks all nodes in a stage are transmitting information about *same* message.



**Implication:** Focus on message  $\mathbf{w} = \mathbf{w}_1$ , which passes through layer  $l$  at block time  $l$ .

## Analysis: Layered (equal path) deterministic networks

Focus on one message  $w$  of  $RT$  bits.

$$\mathbb{P}\{\text{error}\} \leq 2^{RT} \mathbb{P}\{w \rightarrow w'\}$$

**Distinguishability:** Nodes that receive distinct signals under  $w$  and  $w'$  can disambiguate between them  $\implies$  received signals under two message distinct or  $\mathbf{y}_j \neq \mathbf{y}'_j$  when  $j$  can distinguish.

$$\mathbb{P}\{w \rightarrow w'\} = \sum_{\Omega} \underbrace{\mathbb{P}\{\text{Nodes in } \Omega \text{ can distinguish } w, w' \text{ and nodes in } \Omega^c \text{ cannot}\}}_{\mathcal{P}}$$

where  $\Omega$  is a source-destination separation cut.

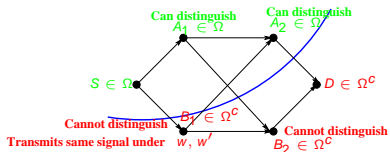
# Linear deterministic networks: Confusability analysis

**Key idea:** Nodes in  $\Omega^c(w, w')$ , will transmit *same* codeword/signal under both  $w, w'$ .

**Consequence:** Error event being analyzed when  $\mathbf{y}_{\Omega^c} = \mathbf{y}'_{\Omega^c}$ , *i.e.*,

$$\mathbf{G}_{\Omega, \Omega^c} \mathbf{x}_{\Omega} = \mathbf{G}_{\Omega, \Omega^c} \mathbf{x}'_{\Omega}$$

$$\mathbf{G}_{\Omega, \Omega^c} (\mathbf{x}_{\Omega} - \mathbf{x}'_{\Omega}) = \mathbf{0}$$



For  $\mathbf{x}_j = \mathbf{F}_j \mathbf{y}_j$  with  $\mathbf{F}_j$  uniform i.i.d. random matrix over  $\mathbb{F}_2$ , we need,

$$\mathbf{G}_{\Omega, \Omega^c} \begin{bmatrix} \vdots \\ \underbrace{\mathbf{F}_j (\mathbf{y}_j - \mathbf{y}'_j)}_{\mathbf{z}_j} \\ \vdots \end{bmatrix} = \mathbf{G}_{\Omega, \Omega^c} \mathbf{z} = \mathbf{0} \quad j \in \Omega.$$

## Confusability analysis: Linear deterministic networks

Since  $\mathbf{F}_j$  is a uniform i.i.d. matrix over  $\mathbb{F}_2$ ,  $\mathbf{z}_j = \mathbf{F}_j (\mathbf{y}_j - \mathbf{y}'_j)$  is uniform vector over  $\mathbb{F}_2^q$ , due to  $\mathbf{y}_j \neq \mathbf{y}'_j$  for  $j \in \Omega$ .

Therefore  $\mathbf{z}$  is a uniform vector over  $\mathbb{F}_2^{q|\Omega|}$  and we are calculating its probability of being in null space of  $\mathbf{G}_{\Omega, \Omega^c}$ .

This probability is:  $2^{-T_{\text{rank}}(\mathbf{G}_{\Omega, \Omega^c})}$ , i.e.,

$$\mathbb{P} \{ \Omega(w, w') = \Omega \} = 2^{-T_{\text{rank}}(\mathbf{G}_{\Omega, \Omega^c})}$$

Hence for linear deterministic layered networks taking union bound,

$$\mathbb{P} \{ \text{error} \} \leq 2^{RT} \sum_{\Omega} 2^{-T_{\text{rank}}(\mathbf{G}_{\Omega, \Omega^c})}$$

**Implication:**  $R < \min_{\Omega} \text{rank}(\mathbf{G}_{\Omega, \Omega^c})$  is achievable.

## Large deviations result

When  $\mathbf{y}_j = \mathbf{f}(\mathbf{x}_1, \dots, \mathbf{x}_N)$  for general deterministic functions, we need a more sophisticated error calculation.

**Basic large-deviations result:** If a  $T$ -length sequence is generated i.i.d. according to probability law  $q$  the probability that its empirical behavior is like that of sequence generated as  $p$  is given by:

$$\mathbb{P}(q \rightarrow p) \doteq 2^{-TD(p||q)},$$

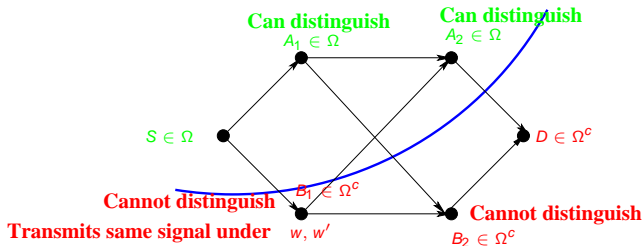
where  $D(p||q)$  is the relative entropy given by:

$$D(p||q) = \sum_u p(u) \log \frac{p(u)}{q(u)}$$

For  $q = p(x)p(y)$ ,  $p = p(x, y)$  this probability is  $2^{-TI(X;Y)}$  since

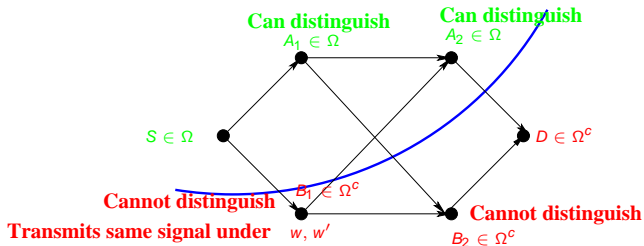
$$D(p||q) = \sum_u p(u) \log \frac{p(u)}{q(u)} = \sum_{x,y} p(x, y) \log \frac{p(x, y)}{p(x)p(y)} = \underbrace{I(X; Y)}_{\text{mutual information}}$$

# Confusability analysis: Deterministic networks





# Confusability analysis: Deterministic networks



**Consequence:** Error for e.g., when  $w'$  codewords generated like  $p(\mathbf{x}_{B_1}, \mathbf{y}_{B_2})p(\mathbf{x}_{A_1})$ , are jointly typical with  $\mathbf{y}_{B_2}$ , occurs with probability  $2^{-T\mathcal{E}}$

$$\begin{aligned} \mathcal{E} &= D(p(\mathbf{x}_{B_1}, \mathbf{y}_{B_2}, \mathbf{x}_{A_1}) || p(\mathbf{x}_{B_1}, \mathbf{y}_{B_2})p(\mathbf{x}_{A_1})) \\ &= I(\mathbf{X}_{A_1}; \mathbf{Y}_{B_2}, \mathbf{X}_{B_1}) = \underbrace{I(\mathbf{X}_{A_1}; \mathbf{X}_{B_1})}_0 + I(\mathbf{X}_{A_1}; \mathbf{Y}_{B_2} | \mathbf{X}_{B_1}) \end{aligned}$$

## Confusability analysis: Deterministic networks

Continuing this way we get

$$\mathcal{P} \leq 2^{-T[I(\mathbf{X}_S; \mathbf{Y}_{B_1}) + I(\mathbf{X}_{A_1}; \mathbf{Y}_{B_2} | \mathbf{X}_{B_1}) + I(\mathbf{X}_{A_2}; \mathbf{Y}_D | \mathbf{X}_{B_2})]} = 2^{-TH(\mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})}$$

because we have a layered network and using Markov relationship.

## Confusability analysis: Deterministic networks

Continuing this way we get

$$\mathcal{P} \leq 2^{-T[I(\mathbf{X}_S; \mathbf{Y}_{B_1}) + I(\mathbf{X}_{A_1}; \mathbf{Y}_{B_2} | \mathbf{X}_{B_1}) + I(\mathbf{X}_{A_2}; \mathbf{Y}_D | \mathbf{X}_{B_2})]} = 2^{-TH(\mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})}$$

because we have a layered network and using Markov relationship.

**Implication:** For layered networks an achievable rate is

$$R < \max_{\prod_i p(\mathbf{X}_i)} \min_{\Omega} H(\mathbf{Y}_{\Omega^c} | \mathbf{X}_{\Omega^c})$$

# General deterministic networks

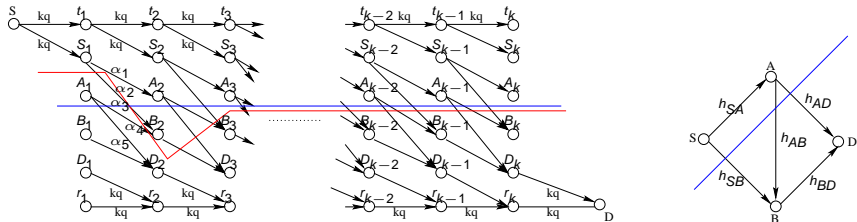
Unequal path networks  $\implies$  message synchronization lost.

## Approach:

- Consider time-expanded network over  $K$  blocks.
- **Observation:** Time-expanded network is an equal path network for (super) message of  $KRT$  bits.
- Convert multi-letter achievable rate to single-letter using submodularity of entropy.

**Observation:** Time-expansion was needed in network coding for cyclic networks, but in our case it is useful even in acyclic networks.

# General networks: Time expansion



- Create a virtual node for every time-block  $\implies$  new network is layered  $\implies$  previous results apply.
- Many cuts in time-expanded network, not a cut in original network.
- Only horizontal cuts matter using submodularity of entropy.

# Gaussian coding strategy

## Encoding

- Each relay quantizes received signal to “noise-level” distortion.
- Each relay independently randomly maps quantized signal to a Gaussian transmit signal satisfying power constraints.
- **Caution:** This is *not* a compress-forward strategy since we are *not* trying to reconstruct *any* of the quantized outputs.

## Decoding

- Destination  $D$  finds all the messages  $w$  that are jointly “typical” with received quantized sequence.
- **Note:** The relays do not decode any part of the message.

# Typicality and typical sequences

Important tool in information theory

**Typical sequence:** For i.i.d. generated sequence  $u_1, \dots, u_T$  is typical with respect to probability measure  $p$  if,

$$\frac{1}{T} \log p(u_1, \dots, u_T) = \frac{1}{T} \sum_t \log p(u_t) \xrightarrow{T \rightarrow \infty} -H(U)$$

**Jointly typical sequences:** Sequences  $\{(u_t, v_t)\}$  generated i.i.d. are jointly typical if individually they are typical and

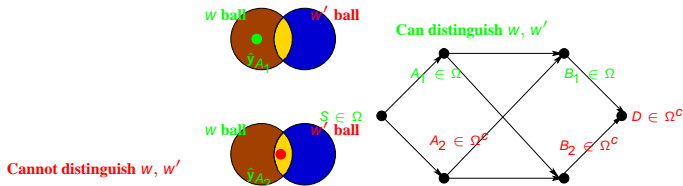
$$\frac{1}{T} \log p(u_1, \dots, u_T, v_1, \dots, v_T) = \frac{1}{T} \sum_t \log p(u_t, v_t) \xrightarrow{T \rightarrow \infty} -H(U, V)$$

## Facts:

- For i.i.d. generated sequences, the probability of getting atypical sequence is exponentially small.
- All typical sequences are asymptotically equally likely.

# Ingredients of analysis

Perturbation of deterministic case



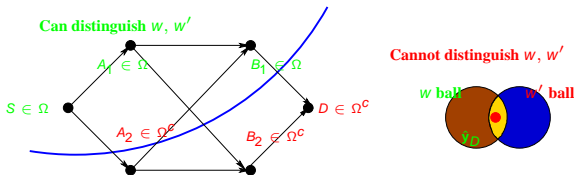
## Typicality

- Message  $w \rightarrow$  *multiple* transmitted signals.
- Message jointly typical (quantized) signal,  $\rightarrow$  *any* plausible sequence that is typical with it.



# Ingredients of analysis

Perturbation of deterministic case

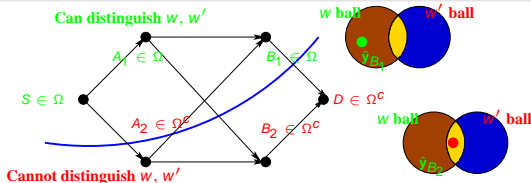


## Error events

- Destination  $D$  quantized signal typical with  $w$  and  $w' \implies$  cannot distinguish between them. signals.
- **Distinguishability:** Nodes that are not jointly typical with *both*  $w$  and  $w'$ .
- Divide network into nodes that can and cannot distinguish  $w, w' \implies$  defines a source-destination separation cut.

# Ingredients of analysis

## Perturbation of deterministic case



### Putting it together:

- For particular plausible signal under  $w'$  is confusable with probability:

$$\mathcal{P} \leq 2^{-T} \left[ I(\mathbf{X}_\Omega; \hat{\mathbf{Y}}_{\Omega^c} | \mathbf{X}_{\Omega^c}) \right]$$

- Union bound:

$$\mathcal{P} \leq \underbrace{|\mathcal{X}(w')|}_{\leq 2^{T\gamma}} 2^{-T} \left[ I(\mathbf{X}_\Omega; \hat{\mathbf{Y}}_{\Omega^c} | \mathbf{X}_{\Omega^c}) \right] \leq 2^{-T} \left[ I(\mathbf{X}_\Omega; \hat{\mathbf{Y}}_{\Omega^c} | \mathbf{X}_{\Omega^c}) - \gamma \right]$$

## Finishing touches

**Components of gap:**  $\kappa = \beta + \gamma + \delta$

- Lose  $\beta$  bits due to noise-level quantization.
- Lose  $\gamma$  bits due to transmit list.
- Lose  $\delta$  bits for independent distribution  $\rightarrow$  beamforming loss.

**Layered  $\rightarrow$  general networks:** Time expansion and single-letterization like in deterministic case.

**Implication:** For cut-set bound  $\bar{C}$ ,

$$\bar{C} - \kappa \leq C \leq \bar{C}$$

# Compound relay networks

**Compound model:** Channel realizations from a set  $h_{i,j} \in \mathcal{H}_{i,j}$ , unknown to sender.

## Observations:

- Relay strategy does not depend on the channel realization.
- Overall network from source to destination behaves like a compound channel.
- Utilize point-to-point compound channel ideas get approximate characterization for compound network.

## Theorem

Given a compound Gaussian relay network the capacity  $C_{cn}$  satisfies

$$\bar{C}_{cn} - \kappa \leq C_{cn} \leq \bar{C}_{cn},$$

where  $\bar{C}_{cn} = \max_{p(\{x_j\}_{j \in \mathcal{V}})} \inf_{h \in \mathcal{H}} \min_{\Omega \in \Lambda_D} I(Y_{\Omega^c}; X_{\Omega} | X_{\Omega^c})$ .

# Relay networks: Open questions and extensions

## Extensions:

- Outage set behavior for full duplex networks.
- Analysis of half-duplex systems with fixed transmit fractions.
- Ergodic channel variations.

## Open questions:

- D-M trade-off for channel dependent half-duplex systems.
- Tightening gap to cut-set bound.
- Use deterministic model directly to get Gaussian result.

## Extensions of deterministic approach

- **Interference channel:** Successfully used to generate approximate characterization (Bresler and Tse, 2007),
- **K-user interference channel:** Used to demonstrate new phenomenon of *interference alignment* (Bresler-Tse, 2007, Jafar 2007).
- **Relay-interference networks:** Extension of multiple unicast to wireless networks (Mohajer, Diggavi, Fragouli and Tse, 2008).
- **Wireless network secrecy:** Used to demonstrate secrecy over networks (Diggavi, Perron and Telatar, 2008).
- **Network data compression:** Identify correct multi-terminal lossless structures to get approximation to multiple-description data compression (Tian, Mohajer and Diggavi, 2007).

## Discussion

### Program:

- Focus on underlying deterministic coding problem.
- Obtain exact characterization  $\longrightarrow$  this is a central challenge.
- Use insight to obtain approximate characterization of noisy problem.

### Hope:

- The program will yield insight to network flow problems.
- Exposes the central difficulties, solution insights and new schemes?
- Approximations may be sufficient for engineering practice.

**In this talk:** Obtained approximate max-flow min-cut characterization for noisy relay networks.