Compositional Approaches to Modelling Language and Concepts

Martha Lewis, Naturalistic Approaches to Intelligence Workshop, IPAM, UCLA, November 2024

Motivations



ChatGPT



Here is the image of seven teddy bears engaged in writing poetry. They are depicted in a cozy and creative setting, each bear with its own unique appearance and expression.

Here's an example code snippet:

```
🗂 Copy code
python
import matplotlib.pyplot as plt
# Data
categories = ['Category 1', 'Category 2', 'Category 3']
values = [10, 20, 30]
# Create bar chart
plt.bar(categories, values)
# Adding title and labels
plt.title('Example Bar Chart')
plt.xlabel('Categories')
plt.ylabel('Values')
# Show the chart
plt.show()
```



Motivations



BUSINESS JAN 10, 2024 7:00 AM KATE KNIBBS

Scammy Al-Generated Book Rewrites Are Flooding Amazon

Authors keep finding what appear to be Al-generated imitations and summaries of their books on Amazon. There's little they can do to rein in the rip-offs.



Plagiarised papers on arXiv





SUBSCRIE



Motivations Why are we interested in compositional approaches?

- Better at certain kinds of problem.
- Model aspects of human reasoning.
- Potentially more interpretable?
- Provide ways to interpret what large neural models are doing.

I.e., approaches that use symbols and compose them according to some rules

Outline

- Analogy
- (A couple of) Compositional Approaches to Language
- Vision+Language
- Ambiguity and Metaphor
- Future work



Analogy

Lewis and Mitchell, CogSci 2024, https://arxiv.org/abs/2402.08955



Emergent Analogical Reasoning in GPT-3? Webb, Holyoak, Lu, 2023

- Matrix reasoning
- Letterstring analogies
- Verbal analogies
- Story analogies





• If a b c d goes to a b c e what does i j k l go to?





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• If a b c d goes to a b c e what does i j k l go to?

• i j k m

• i j k e





Task types

- Extend sequence abcd:abcde::ijkl:
- Successor

abcd:abce::ijkl:

• Predecessor

bcde:acde::ijkl:

- Remove redundant
 abbcd:abcd:ijkkl:
- Fix alphabet

abqd:abcd::pjkl:

• Sort

bacd:abcd:ilkj:

Building counterfactual tasks

- Letterstring analogies with permuted alphabets.
- Alphabets with progressively more letters out of place: 2, 5, 10, 20.
- Symbol alphabet of 10 symbols.
- Counterfactual Comprehension Check (from Wu et al 2023)

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Completion Progress
Problem 1 of 16
Use the following alphabet to guess the missing piece.
a b c d m f g h i j k l e n o p q r s t u v w x y z
Note that the alphabet may be in an unfamiliar order. Complete the pattern using this order.
[mvghi] [mfghi] [fglij] [?]
Continue

Human experiments

- Gather data from 136 participants
- Participants complete 16 tasks:
 - 6 with two different numbers of letters permuted
 - 2 with symbol alphabets
 - 2 attention checks

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Continue



GPT experiments Counterfactual letterstring problems

- Best prompt from Hodel & West 2023
 - System: You are able to solve letter-string analogies.
 - User: Use this fictional alphabet: [a u c d e f g h i j k l m n o p q r s t b v w x y z]. $\Lambda Let's$ try to complete the pattern: $n[a \cup c d] [a \cup c e] n[i \mid k \mid]$
- We also tested a human-like prompt, and a minimal version of a human-like prompt.

Results Human data



GPT models are not robust to permuted alphabets ... unlike humans





Performance depends on the task



GPT makes different kinds of errors to humans **Error categorization**

- GPT-4: More incorrect rules, more wrong lmno:kmno::ijkl:gjkl (Predecessor) fghi:fghj::klmb:klmc (Successor)
- Humans: More alternate rule formation, more completely wrong (Fix Alphabet) linop:lmnop::odefg:ohefg Sort) mkljn:jklmn::uvwyx:????

Alternate rule formation; Incorrect rule applied; Wrong; Completely wrong



Conclusions

- We find that GPT models do not solve letter-string analogies in the way that humans do.
- Performance is worse than humans overall.
- Performance differs by task type.
- The types of errors made are characteristically different.
- Ongoing work into other types of analogical reasoning problems.

Integrating Neural and Symbolic Approaches to Language

Type-Logical Approach

- 'inverses' such that:
 - $x^l x < 1 < x x$
- Build complex types by concatenation
- If a string of types reduces to S, it is grammatical.



Coecke, Sadrzadeh, Clark, 2010 <u>https://arxiv.org/abs/1003.4394</u> Baroni & Zamparelli, 2010 https://aclanthology.org/D10-1115/

• Grammar with types N for noun, S for sentence. Each type has left and right

$$xx^r \le 1 \le x^r x$$



Type-Logical Approach "Nouns are vectors, adjectives are matrices" We get the meaning of the sentence by composing the meanings of the words.



Coecke, Sadrzadeh, Clark, 2010 https://arxiv.org/abs/1003.4394 Baroni & Zamparelli, 2010 https://aclanthology.org/D10-1115/



Role-filler bindings Smolensky, 1990

- View a symbolic structure (like a sentence) as a set of role-filler bindings. Dog chases kid $\mapsto \{ dog/r_{subi}, chas$ $\mapsto \overrightarrow{\log} \otimes \overrightarrow{r}_{subi} + \overrightarrow{c}$
- codes (Kanerva, 1994).

Benefits: compositionality, systematicity. Used in biologically realistic neural architectures.

ses/
$$r_{verb}$$
, kid/ r_{obj} } Symbolic
 $\overrightarrow{r}_{verb} \leftrightarrow \overrightarrow{r}_{verb} + \overrightarrow{kid} \otimes \overrightarrow{r}_{obj}$ Neural

Lots of variants! Holographic reduced representations (Plate, 1995), spatter

Applications

Lewis, Nayak, Yu, Yu, Merullo, Bach, Pavlick, EACL Findings https://aclanthology.org/2024.findings-eacl.101/ Wray, Pearson, Lewis MMFM Workshop at CVPR 2024

Vision+Language



VLMs are bad at encoding spatial concepts



A red cube in front of a green cylinder

ChatGPT



Here's the image of a cube positioned to the left of a sphere.

901



You

Please generate a photo of a cyclist left of an SUV, from the perspective that you are driving in a car and you are behind the SUV and cyclist.

< 3/3





I've generated the image as requested, depicting a scene with a cyclist on the left side of an SUV from the perspective of someone driving behind them on a city street.





Concept Binding Benchmark Single-object, Two-object, Relational



Yellow sphere, <u>grey sphere</u>, yellow cube, red cylinder, cyan cube

	Trai	in	Valida	tion	Generali	zation
Dataset	# Examples	# Classes	# Examples	# Classes	# Examples	# Classes
Single-object	5598	14	799	2	3195	8
Two-object	20000	14	20000	2	20000	8
Relational	40000	20	20000	2	20000	2

Johnson, Justin, et al. "CLEVR: A diagnostic dataset for compositional language and elementary visual reasoning." CVPR 2017





Cube left of cylinder, <u>cube right</u> of cylinder, cylinder left of cube, sphere left of cylinder, cylinder right of sphere



CLIP - Image Captioning





Image credit: <u>https://openai.com/research/clip</u>





Compositional Model





 $J(\theta) = -\log \sigma(\langle m, f(w_1, \dots, w_n) \rangle) - \sum \log \sigma(-\langle m, f(w_{\bar{p}1}, \dots, w_{\bar{p}n}) \rangle)$



Model	Train	Val	Gen
CLIP	94.23	97.75	92.39
CLIP-FT CSP	$\begin{array}{r} 98.98_{\ 1.02} \\ 94.98_{\ 0.45} \end{array}$	$\begin{array}{r} 89.06 \\ 5.84 \\ 84.58 \\ 0.16 \end{array}$	$78.54_{\ 4.41}\\88.74_{\ 0.34}$
Add Mult Conv TL RF	$\begin{array}{c} 99.77 \\ 0.03 \\ 43.27 \\ 13.9 \\ 41.10 \\ 14.3 \\ 99.98 \\ 0.02 \\ 98.87 \\ 0.11 \end{array}$	$\begin{array}{c} 44.98 \\ 1.32 \\ 4.48 \\ 4.08 \\ 7.33 \\ 2.90 \\ 1.08 \\ 0.44 \\ 59.52 \\ 6.12 \end{array}$	$\begin{array}{c} 85.16 \\ 0.96 \\ 5.38 \\ 2.66 \\ 4.11 \\ 1.53 \\ 0.92 \\ 0.24 \\ 80.64 \\ 1.36 \end{array}$



Model	Train	Val	Gen
CLIP	27.02	7.17	31.40
CLIP-FT CSP	$\begin{array}{r} 86.91 \\ 8.15 \\ 37.59 \\ {}_{1.54} \end{array}$	$\begin{array}{c} 6.31_{\ 3.31} \\ 20.98_{\ 0.22} \end{array}$	$\begin{array}{c} 0.25 \\ 0.10 \\ 11.15 \\ 2.03 \end{array}$
Add Mult Conv TL RF	$\begin{array}{c} 32.46 \\ 0.11 \\ 86.65 \\ 8.93 \\ 46.26 \\ 0.53 \\ 99.41 \\ 0.17 \\ 25.23 \\ 1.08 \end{array}$	$15.38_{0.89}$ $4.66_{1.35}$ $7.11_{2.18}$ $21.23_{4.08}$ $25_{13}_{2.00}$	$\begin{array}{c} 21.37 \\ 0.60 \\ 0.13 \\ 0.03 \\ 0.28 \\ 0.14 \\ 0.08 \\ 0.07 \\ 20 \\ 36 \\ 1 \\ 26 \end{array}$



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C	CSP	94.98 _{0.45}	84.58 _{0.16}	88.74 _{0.34}
A	Add	99.77 _{0.03}	$44.98_{\ 1.32}$	85.16 _{0.96}
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Conv TL	$46.26_{\ 0.53}$ 99.41 $_{0.17}$	$7.11_{\ 2.18}$ $21.23_{\ 4.08}$	$\begin{array}{c} 0.28 \\ 0.14 \\ 0.08 \\ 0.07 \end{array}$
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Results - Relational



	Model	Train	Val	Gen
	CLIP	26.80	14.99	0.00
	CLIP-FT	49.59 _{0.44}	$0.00_{-0.00}$	$0.00_{-0.00}$
	CSP	$30.40_{\ 0.11}$	$0.12_{\ 0.01}$	0.03 0.00
_	Add	25.41 _{0.13}	$26.03_{\ 0.07}$	25.47 _{0.18}
	Mult	$25.67_{\ 0.12}$	$25.95_{\ 0.09}$	$25.78_{\ 0.09}$
	Conv	$24.83_{\ 0.06}$	$26.36_{\ 0.55}$	$24.95_{\ 0.11}$
· · · ·	TL 99	67.19-0.26	$0.00_{-0.00}$	$0.00_{-0.00}$
	RF	$25.18_{\ 0.28}$	$24.89_{\ 0.73}$	$22.78_{\ 0.20}$

- CLIP performs well in the single-object setting.
- Concept binding tasks hard for CLIP but also for compositional models.
- Relational task particularly hard
- Patterns of errors show differing performance even when overall accuracy is similar.

Lewis, Nayak et al., EACL Findings 2024, https://aclanthology.org/2024.findings-eacl.101/



Text prompts are not adequately distinguished



Image embeddings can also be ambiguous

First two principal components - Frozen CLIP



First two principal components - Finetuned CLIP

sphere right cone sphere right cylinder sphere left cylinder sphere left cone sphere left cube cylinder right cube cylinder left cone cone left cylinder sphere right cube cylinder left cube

Comparing with Diffusion Classifier



Model	Single Object	Two-Object ZS	Two-Object GZS
Frozen CLIP	99.5	93.0	35.3
CLIP-FT	100.0	99.7	17.2
Frozen DC	55.5	90.5	41.0
DC-FT	100.0	97.5	70.5

- Training only on single-object images.
- Between 30-50 training examples per class (vs. 1000's)
- What are the representations here?

Pearson, Wray, Lewis, 2024



Summary and Further Work

- deep neural architectures.
- CLIP is unable to generalize to unseen label combinations in a concept binding scenario. Compositional models do better on the training set.
- Diffusion Classifier seems promising in the GZSL setup.

Developed novel methods to integrate type-logical and role-filler methods with

Ambiguity and Metaphor Words as density matrices

Meyer and Lewis CoNLL 2020 <u>https://aclanthology.org/2020.conll-1.21/</u> Owers, Shutova, Lewis, QPL 2024 https://arxiv.org/abs/2408.11846v1



How should we deal with ambiguity?



Chaplot, D. S., & Salakhutdinov, R. (2018). Knowledge-based word sense disambiguation using topic models. AAAI 18 Kartsaklis, D., & Sadrzadeh, M. (2013). "Prior disambiguation of word tensors for constructing sentence vectors." EMNLP 2013

Figure 2: An example of the all-word WSD task. Content words and their possible senses are labeled w_i and y_i^j , respectively.



Density matrices for word meaning

- A positive operator A on a (real) Hilbert space is a linear operator such that for any $|v\rangle$, the inner product $\langle v | A | v \rangle \ge 0$. A is self-adjoint and has positive eigenvalues.
- Given a word vector $|v\rangle$, we can lift it to the projection matrix $|v\rangle\langle v|$ associated with that vector.

cat)

$$bed = p_r |bed_{river}\rangle \langle bed_{river}| + p_s |bed_{sleep}\rangle \langle bed_{sleep}|$$

Words should disambiguate as they are composed in context.

$$\rangle \mapsto |cat\rangle \langle cat|$$

• Given multiple senses of a word, we can combine their sense vectors together.

Piedeleu et al., CALCO 2015



Neural density matrix embeddings



Image credit: https://lilianweng.github.io/posts/2017-10-15-word-embedding/

We learn multiple vectors for each word here

Task Description + Results Density matrix methods beat state-of-the-art

Data set	Format	High similarity example	Low similarity example	Resu	lts
ML2008	SV	value slump value decline	value slump value slouch	Glove Word2DM	0.397 0.328
GS2011	S <u>V</u> O	people buy house people purchase house	people buy house people bribe house	Glove Word2DM	0.304 0.365
GS2012	AS <u>V</u> AO	local family run small hotel local family operate small hotel	local family run small hotel local family move small hotel	BERT Word2DM	0.471 0.500
KS2013 -CoNLL	AS <u>V</u> AO	young woman file long nail young woman smooth long nail	young woman file long nail young woman register long nail	BERT Word2DM	0.314 0.345

Summary

- We can use density matrices to model ambiguity.
- We can learn representations using neural methods, and plug these into a compositional/symbolic structure.
- Ambiguity resolves when density matrices are composed, although metaphor is more difficult.
- Future work: Quantum-inspired, already implemented in simulation link with other QNLP techniques.

Future plans

Understanding limitations of foundation models ... and how to improve them











Exploring other forms of structured knowledge



Image credit: https://neo4j.com/developer-blog/turn-a-harry-potter-book-into-a-knowledge-graph/

- RESCAL (Nickel et al., ICML 2011) has strong similarities to the type-logical model of meaning.
- WIP is exploring graph embedding techniques with Transformers.



Biologically 'realistic' neural networks Nengo (Eliasmith, 2013)



- Drawbacks to role-filler models
- Mapping between role-filler and typelogical models (Lewis, <u>https://</u> <u>arxiv.org/abs/2401.06808</u>)
- Implemented a proof of concept in Nengo (video if time)

Summary

- Compositionality and flexibility are important aspects of human behaviour.
- At present, deep neural models are lacking.
- Compositional approaches may help in performance, and if not, may help in explainability and interpretability.
- We looked at analogical reasoning, visual reasoning, ambiguity.
- We looked at type-logical and role-filler models of composition.

Thank you for listening! I would love to hear questions or chat further! <u>marthaflinderslewis@gmail.com</u>] m.a.f.lewis@uva.nl

Thanks to students and collaborators!

Beth Pearson Mike Wray Nihal Nayak Steve Bach Ellie Pavlick Melanie Mitchell Katia Shutova Jay Owers **Francois Meyer**

