

# Hilbert Transform on $C^{1+\epsilon}$ Families of Lines

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# Outline

- 1 The Background of the Main Theorem
  - Besicovitch Set
  - Zygmund Conjecture
- 2 Main Results
  - Main Theorem and Key Proposition
  - Key Proposition Implies Main Theorem
  - Corollary: Carleson's Theorem on Fourier Series

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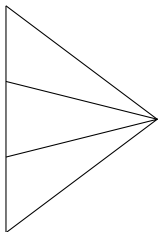
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- One constructs highly eccentric rectangles which have small union, but the translates along their long direction, their “reach,” are essentially disjoint.
- We briefly outline the construction of this set.

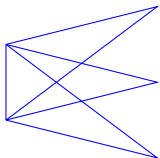
# Besicovitch Set Construction

The triangle contains unit length line segments in a full angle of directions.



# Besicovitch Set Construction

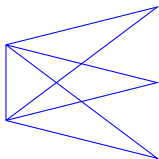
The thirds of the triangle are moved so that they share a common base.



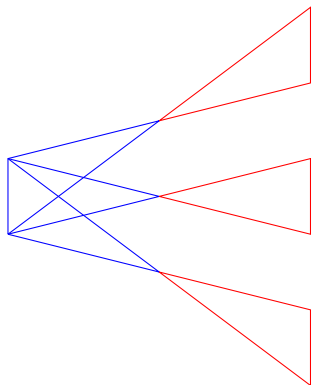


# Besicovitch Set Construction

Reflect the triangles about their vertices.

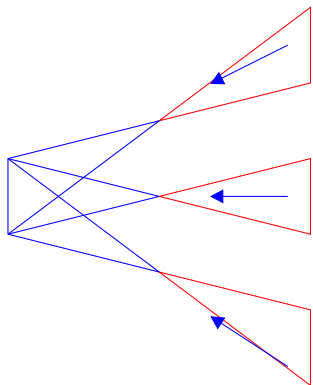


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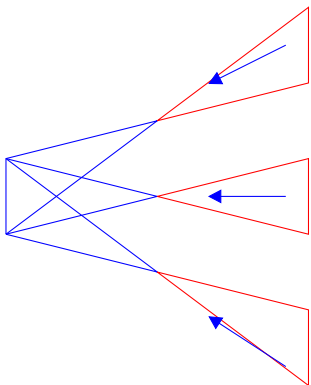
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The red triangles are essentially disjoint, and are called the “reach” of the set. A vector field, which points into the set, defined in the “reach” can be Hölder continuous, of any index strictly less than one. Conversely, if  $v$  is Lipschitz, then the “Besicovitch set” is has can't have zero Lebesgue measure.

## Zygmund Conjecture

If  $v$  is Lipschitz, then for all  $f \in L^2(\mathbb{R}^2)$ ,

$$f(x) = \lim_{\epsilon \downarrow 0} (2\epsilon)^{-1} \int_{-\epsilon}^{\epsilon} f(x - yv(x)) dy \quad \text{a.e.}(x)$$

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Both conjectures are open. They represent very subtle statements about the nature of the Besicovitch set.

# Main Results of Xiaochun Li and L.

## Theorem (L. & Li)

For all  $\epsilon > 0$ , if  $v$  has  $1 + \epsilon$  derivatives, then

$$\|H_v\|_2 \lesssim (1 + \log\|v\|_{C^{1+\epsilon}})^2.$$



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## Key Proposition (Scale Invariant Formulation)

If  $v$  is Lipschitz, then

$$\|H_v \lambda_k\|_2 \lesssim 1 + \log 2^{-k} \|v\|_{Lip}.$$

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- There is a rich and beautiful theory of Radon Transforms, as developed by Christ, Nagel, Stein, and Wainger.
- The main point is that these results are true in absence of (a) geometric conditions on  $v$  (b) minimal smoothness conditions.
- Genuinely two dimensional time frequency analysis.

# Key Proposition Implies Main Theorem

With  $1 + \epsilon$  smoothness, one can show this:

$$\|H_\nu \lambda_k * f - \lambda_{k'} * (H_\nu \lambda_{k'} * f)\|_2 \lesssim 2^{-\epsilon'|k-k'|} \|f\|_2$$

And this proves the Main Theorem from the Key Proposition.

## Important Obstacle in Extensions

3 This decouples  $\mathbb{R}^2$  scales in the crudest possible way. We need a far more sophisticated decoupling of 2-dim'l scales to significantly improve the Theorem.

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This orthogonality takes some care to formalize correctly.

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# Role of Carleson's Theorem

Our Main Theorem has an implication: Pointwise Convergence of Fourier Series in  $L^2(\mathbb{R})$ .

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## Carleson's Theorem

For all measurable functions  $N(x)$ , the operator below is bounded from  $L^2$  into itself.

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Assuming our Main Theorem, we can show that for smooth  $N(x)$ , the operator above is bounded, with norm independent of  $\|N\|_{C^2}$ .

# Construction of $v$

Calculate the symbols of both operators where

$$\sigma(\xi) = \int_{-1}^1 e^{ix\xi} dy/y.$$

$$H_v f(x) = \int_{\mathbb{R}^2} \sigma(\xi \cdot v(x)) \widehat{f}(\xi) e^{ix \cdot \xi} d\xi$$

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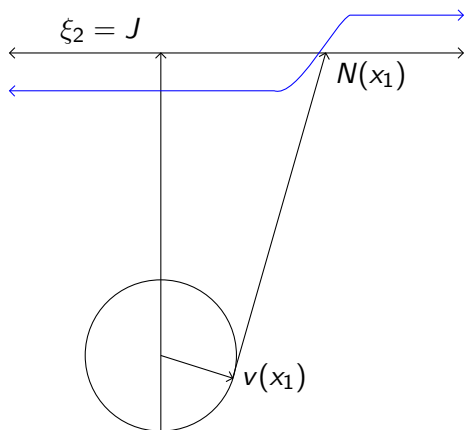
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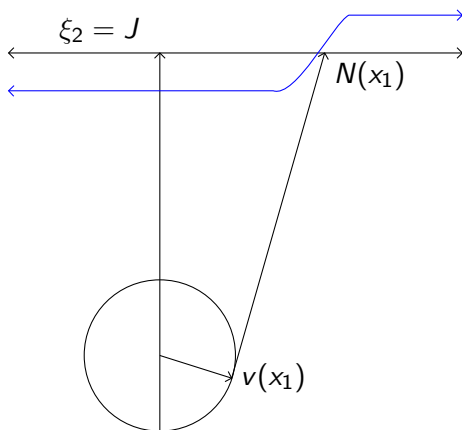
View  $g \in L^2(\mathbb{R})$  as being on the frequency line  $\xi_2 = J$  on the plane, where  $J$  is large constant.

Then you choose  $v(x_1, x_2) \simeq (1, N(x_1)/J)$ , so that

$$\xi \cdot v(x) = x_1 \xi_1 - N(x_1) \quad \text{on the line } \xi_2 = J.$$

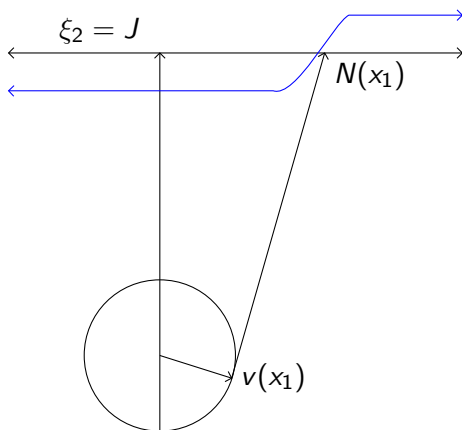
The Picture for  $v$ 

- The Blue line is the function  $\sigma(\xi_1 - N(x_1))$ .

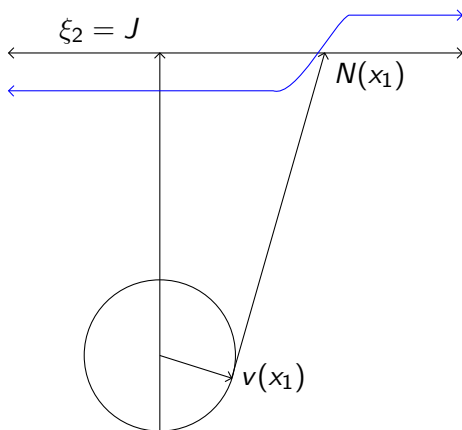
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- Note that small oscillations give oscillations in frequency, that increase as frequency increases.

# The Proof of Main Theorem

- 3 Lemma Related To Carleson's Theorem
  - The Weak  $L^2$  estimate is Sharp
  
- 4 Annular Tiles
  - The Functions associated to a Tile

# Lemma Related to Carleson's Theorem

Using the methods of Carleson's Theorem, as proved in [7], one can show that

## Lemma for Measurable Vector Fields

If  $v$  is measurable, one has

$$\begin{aligned}\|H_v \lambda_0\|_{2 \rightarrow 2, \infty} &< \infty, \\ \|H_v \lambda_0\|_p &< \infty, \quad 2 < p < \infty.\end{aligned}$$

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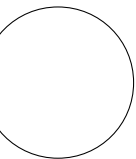
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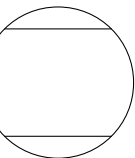
- The  $L^2$  to weak  $L^2$  estimate is optimal.
- And these estimate are critical to an interpolation argument that we use to prove the Key Proposition.

# Weak $L^2$ estimate Is Sharp



Consider the radial vector field  $v$ , and a smooth bump function  $\varphi$ .

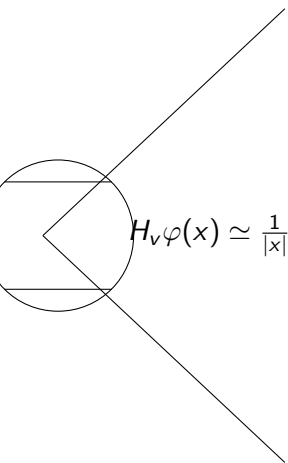
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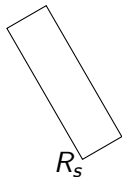
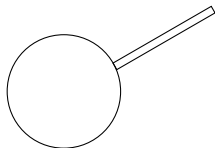
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- The proof breaks down completely below  $L^2$ , as it requires essentially the boundedness of the Kakeya maximal function.
- A key innovation is to replace the Kakeya maximal function by a variant associated to the vector field  $v$ .

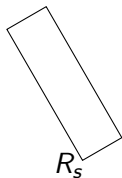
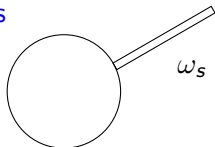
# Annular Tiles

A Tile is a product of *dual rectangles*.



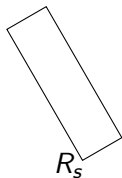
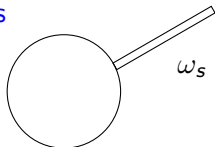
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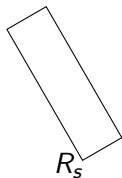
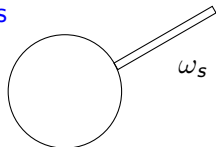
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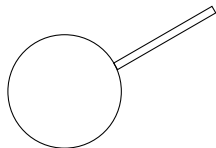
# Annular Tiles

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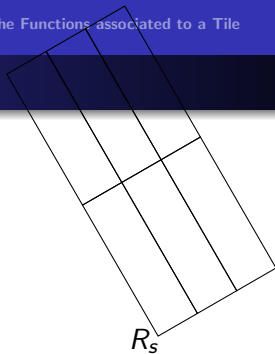




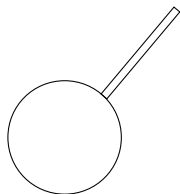
# More Pictures of Tiles



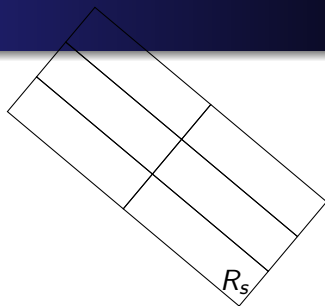
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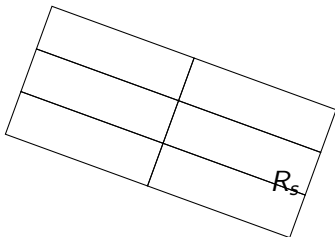
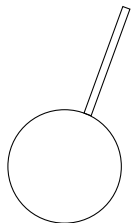
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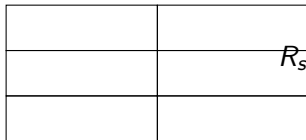
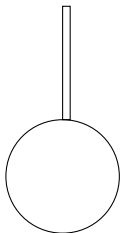


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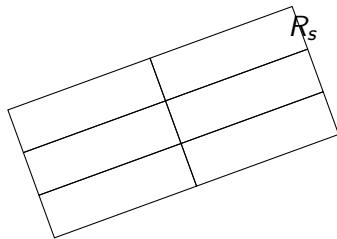
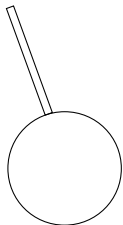
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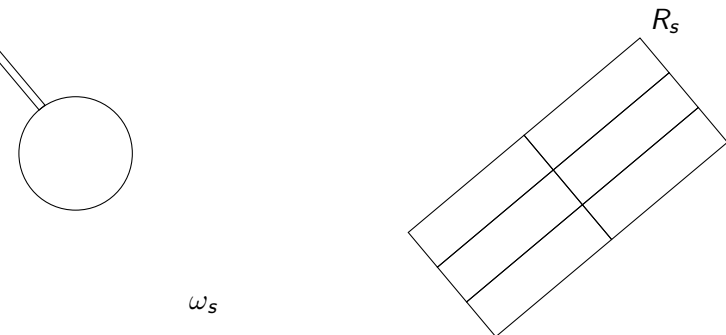
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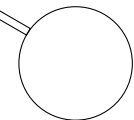


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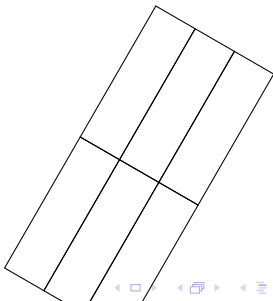


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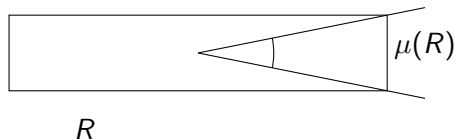


$\omega_s$

$R_s$



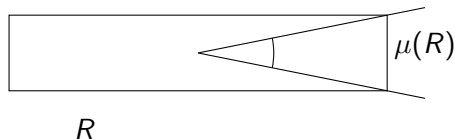
# The Uncertainty Intervals



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$$\phi_s = \mathbf{1}_{\mu(R_s)}(v(x)) \int_{\mathbb{R}} \varphi_s(x - yv(x)) \text{scl}(s) \psi(\text{scl}(s)y) dy$$

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The Functions Associated to a Tile  $s$ 

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## The Main Lemma for Sums Over Tiles

For a measurable vector field, we have the estimate

$$\left\| \sum_{s \in \mathcal{AT}} \langle f, \varphi_s \rangle \phi_s \right\|_{2, \infty} \lesssim \|f\|_2$$

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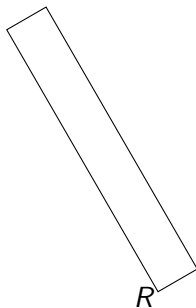
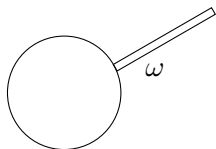
$$\left\| \sum_{s \in AT} \langle f, \varphi_s \rangle \phi_s \right\|_{2, \infty} \lesssim \|f\|_2$$

We also have the  $L^p$  inequality for  $p > 2$ . If in addition  $\|v\|_{Lip} < \infty$ , then we have the  $L^2$  inequality

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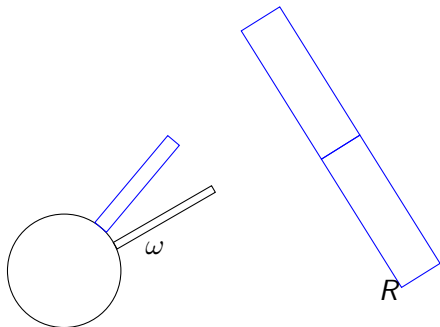


# The 1-Trees



A 1-tree has frequency intervals that progress, counterclockwise, around the circle, at a regular rate.

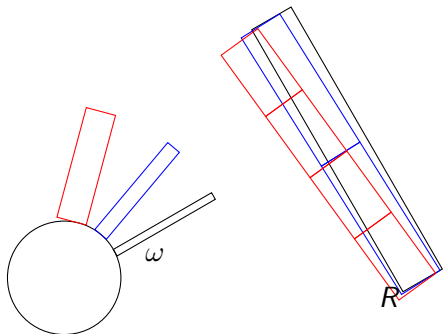
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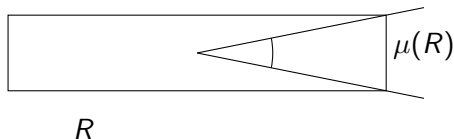
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This looks like a singular integral computed in the direction  $v$ .

# Outline

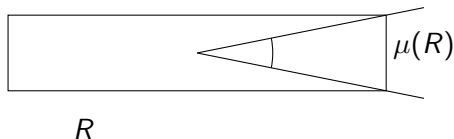
- 5 Uncertainty and Density of Rectangles
- 6 Maximal Function Lemma
  - Two Lemmas on Lipschitz vector fields
- 7 The Covering Lemma Statement
  - Selection of  $\mathcal{R}'$

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Interval of uncertainty associated with rectangle  $R$  is a sub arc of the unit circle. Its center is the long direction of the rectangle. Its length is the width of  $R$  divided by length of  $R$ .

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## A rectangle and three vectors in the angle of uncertainty





## Definition of the Maximal Function

Let  $\mathcal{R}$  be a collection of rectangles with  $\text{dense}(R) > \delta$  for all  $R \in \mathcal{R}$ . and they **all have the same width**, and lengths at most  $\|v\|_{Lip}/100$ . Let

$$M_{\mathcal{R}} f = \sup_{R \in \mathcal{R}} \frac{\mathbf{1}_R}{|R|} \int_R f(y) dy$$

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- Here, it is essential that the estimate be *independent of eccentricity*.

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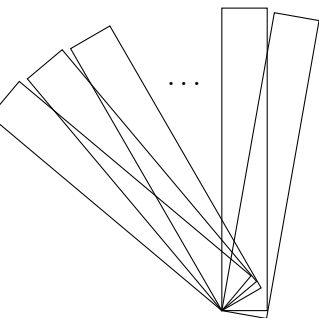
- We need the lemma for **some**  $1 < p < 2$ .
- And a norm estimate of  $\delta^{-N}$  for **any**  $N < \infty$ .
- The method of proof is a careful analysis, in the style of Fefferman and Cordoba.

# First Lemma on Lipschitz vector fields

**Lemma 1:** Suppose there are  $R_1, \dots, R_n \in \mathcal{R}$ , all containing a common point. Then,  $n < \delta^{-1}$ .



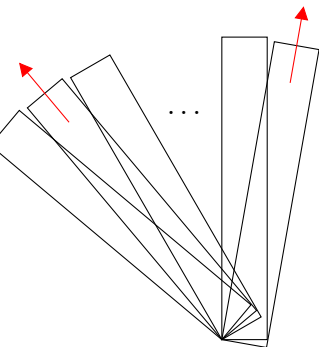
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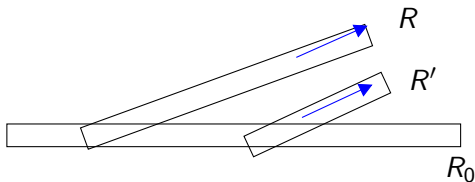


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At these two points, vector field is nearly radial. But these two points are very close together. Less than  $\text{angle} \times \text{length}$ . That is a contradiction.

## Second Lemma on Lipschitz



### Lemma 2

Consider three rectangles,  $R_0$ ,  $R$ , and  $R'$  as pictured. Key assumption is that there is a point  $x \in R$  with  $v(x) \in \mu(R)$ , and  $x' \in \mu(R')$ , which share the same projection onto  $R_0$ . Then the uncertainty intervals  $\mu(R)$  and  $\mu(R')$  are very close.

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Standard Arguments then prove the Maximal Function Estimate.

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Let  $M_{100}$  be a maximal function computed in 100 uniformly distributed directions of the plane. This operator maps  $L^1(\mathbb{R}^2)$  to weak  $L^1$ .



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The main point to prove is

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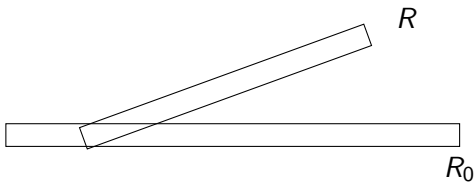
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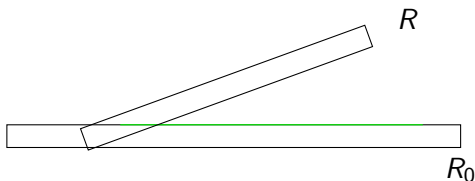
We will need to select a distinguished subset of  $\mathcal{R}_0$ .



# Selecting a Distinguished Subset of $\mathcal{R}_0$ , Part 1

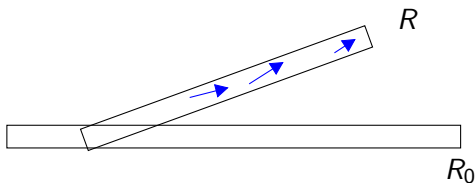


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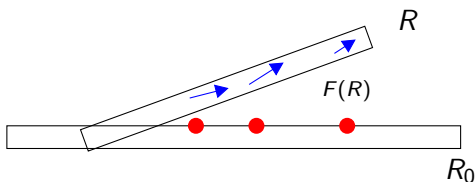
$I(R)$  is the projection of all of  $R$  onto the top side of  $R_0$ .  $I_0$  is the top side of  $R_0$ .

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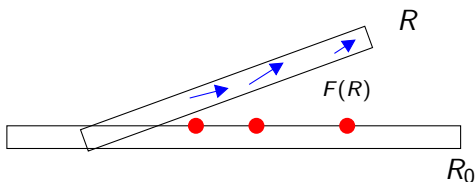
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This is essentially immediate from the disjointness of the sets  $F(R)$  for  $R \in \mathcal{R}_1$ .

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This is essentially a *BMO* estimate, so it implies the higher moments condition we need.

# The Essential Geometric Observation

Suppose that there is an interval  $I \subset I_0$  and a choice of  $R_1 \in \mathcal{R}_1$  such that

$$\sum_{\substack{R \in \mathcal{R}(R_1) \\ \text{length}(R) \geq 4|I|}} |R \cap I \times J| \geq 10^3 \delta^{-1} |I \times J|.$$

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Then, for either  $\varepsilon = +1$  or  $\varepsilon = -1$ , there can be no  $R' \in \mathcal{R}(R_1)$  with  $2\text{length}(R') < |I|$  and  $R'$  intersects  $\frac{1}{2}(I + \varepsilon|I|) \times J$ .



# The Essential Geometric Observation

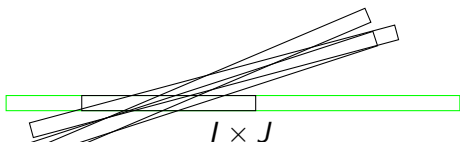
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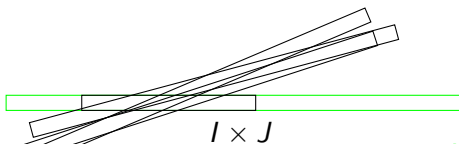


# The Proof of the Essential Geometric Observation



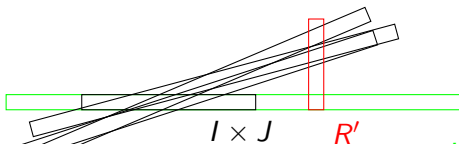
Select  $I$  so that  $I \times J$  is covered more and  $10^3 \delta^{-1}$  times by longer intervals.

# The Proof of the Essential Geometric Observation



Remember that all the rectangles that cover  $I \times J$  have to have angles of uncertainty that are very close.

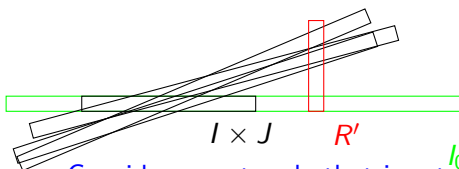
# The Proof of the Essential Geometric Observation



Consider a rectangle that is rotated by  $90^\circ$ , has width the same as all other rectangles, and is translated by about  $|I|$ .

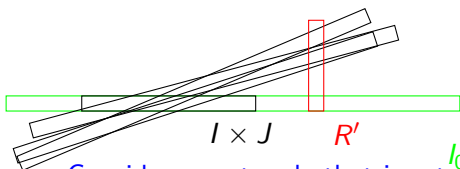
$I_0 \times J$

# The Proof of the Essential Geometric Observation



Consider a rectangle that is rotated  $90^\circ$ , has width the same as all other rectangles, and is translated by about  $|I|$ . And height approximately  $\text{angle} \times |I|$ .

# The Proof of the Essential Geometric Observation



Consider a rectangle that is rotated by  $90^\circ$ , has width the same as all other rectangles, and is translated by about  $|I|$ . And height approximately  $\text{angle} \times |I|$ .

**This rectangle is contained in the removed set.** A contradiction. This proves the Essential Geometric Observation.

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