

A generalization of  
Reifenberg's theorem in  $\mathbb{R}^3$

G. David, T. DePauw & T. Toro

## 1. Reifenberg's work

1960 - Plateau problem for  $m$ -dimensional surfaces in  $\mathbb{R}^k$ .

Question: Is the infimum of the area of surfaces with a given boundary attained?  
(What does *surface* mean?)

Let  $\Gamma \subset \mathbb{R}^k$  be a given set homeomorphic to  $S^{m-1}$

$$\mathcal{F} = \left\{ \Sigma \subset \mathbb{R}^k : \partial\Sigma = \Gamma, \Sigma \text{ is proper} \right. \\ \left. \Sigma \text{ is not a retraction of } \Gamma \right\}$$

**Theorem.** *There exists  $\Sigma_0 \in \mathcal{F}$  such that*

$$\mathcal{H}^m(\Sigma_0) = \inf_{\Sigma \in \mathcal{F}} \mathcal{H}^m(\Sigma).$$

*Moreover for  $\mathcal{H}^m$  a.e  $x \in \Sigma_0$  there exist a neighborhood of  $x$  in  $\Sigma_0$  which is a topological disk.*

## Key ideas:

1. Define

$$\theta(x, r) = \frac{\mathcal{H}^m(\Sigma \cap B(x, r))}{\omega_m r^m}.$$

2. Monotonicity : If  $\Sigma$  is a minimizer then for each  $x$ ,  $\theta(x, r)$  is an increasing function of  $r$ , and for a.e.  $x$ ,

$$\theta(x) = \lim_{r \rightarrow 0} \theta(x, r) \geq 1$$

3. Given  $\delta > 0$  there exists  $\epsilon > 0$  such that if  $1 \leq \theta(x_0, 2r_0) < 1 + \epsilon$  then  $\Sigma \cap B(x, r)$  is within  $\delta r$  of an  $m$ -plane through  $x$  whenever  $B(x, r) \subset B(x_0, r_0)$ .

4. Topological disk property.

1964 - The Epiperimetric inequality yields a rate of decay of  $\theta(x, r)$  toward 1, which implies that for

a.e  $x \in \Sigma$  there exists  $r_0 > 0$  such that for  $r < r_0$ ,

$\Sigma \cap B(x, r)$  is within  $r^{1+\alpha}$  of an  $m$ -plane through  $x$

Locally  $\Sigma$  is a  $C^{1,\alpha}$  submanifold, thus real analytic.

For a minimizer if  $\theta(x, r)$  is close to the density of the tangent cone then the set is close to the tangent cone in Hausdorff distance.

## **Applications of Reifenberg's ideas:**

1. Hardt - Lin show that the singular set of an energy minimizing harmonic map from  $B^4$  into  $\mathbb{S}^2$  is (locally) a finite set and a finite union of Hölder continuous curves.
2. Criteria for existence on biLipschitz parameterizations for subsets of Euclidean space
3. Construction of snowballs.

## 2. Taylor's work

1976 - Classification of the tangent cones in  $\mathbb{R}^3$  for Almgren (locally) almost-minimal sets ("size minimizers").

$E$  is (locally)  $(\alpha, \delta)$  almost-minimal if

$$\mathcal{H}^2(E \cap W_\varphi) \leq (1 + Cr^\alpha)\mathcal{H}^2(\varphi(E \cap W_\varphi)),$$

whenever  $\varphi : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is a Lipschitz mapping such that

$$\text{diam}(W_\varphi \cup \varphi(W_\varphi)) = r < \delta,$$

where

$$W_\varphi = \{x \in \mathbb{R}^3 : \varphi(x) \neq x\}.$$

$E$  is almost-minimal if  $\delta = \infty$ .

## Classification of Minimal cones in $\mathbb{R}^3$ : (Modulo rotation and translation)

- A 2-plane:  $P$  through the origin
- $Y = Y_0 \times \mathbb{R}$  where  $Y_0$  is the union of 3 half-lines in a plane, intersecting at the origin, and making equal angles of  $3\pi/2$ . The spine of  $Y$  is the line of intersection of the 3 half-planes that compose it.
- $T$  the cone over the 1-skeleton of the tetrahedron (spine), i.e a set composed of 6 angular sectors bounded by 4 half lines that start at the origin and make maximal equal angles.

**Theorem.** [Taylor] *An  $(\alpha, \delta)$  almost-minimal set is (locally) a  $C^{1,\beta}$  image of either  $P \cap B(0, 1)$ ,  $Y \cap B(0, 1)$  or  $T \cap B(0, 1)$ .*



## Tools:

1. Monotonicity formula

2. For a.e  $x \in E$ ,

$$\theta(x) \in \left\{ 1, 3/2, \frac{3}{\pi} \cos^{-1}(-1/3) \right\}.$$

Classification of the possible tangent cones.

3. The Epiperimetric inequality establishes the rate at which  $\theta(x, r)$  converges to  $\theta(x)$ .

If  $\theta(x, r)$  is close to the density of the tangent cone then the set is close to the tangent cone in Hausdorff distance.

### 3. Our results

For  $A, B$  closed sets in  $\mathbb{R}^3$  intersecting  $B(x, r)$  define

$$D_{x,r}(A, B) = \frac{1}{r} \sup_{z \in B \cap B(x,r)} \{\text{dist}(z, A)\} \\ + \frac{1}{r} \sup_{z \in A \cap B(x,r)} \{\text{dist}(z, B)\}$$

Let  $E \subset \mathbb{R}^3$  be a closed set.  $E$  is  $\epsilon$ -minimal if for each  $r \leq 2$

$$\inf_{x \in Z} D_{x,r}(E, Z) \leq \epsilon$$

where the infimum is taken over all sets  $Z$  of type  $P$ ,  $Y$ , and  $T$  containing  $x$

i.e. there exists a minimal cone  $Z(x, r)$  such that  $x \in Z(x, r)$  and satisfying

$$D_{x,r}(E, Z(x, r)) \leq \epsilon.$$

**Theorem.** *If  $E$  is  $\epsilon$ -minimal there exists  $A = A(\epsilon)$  so that for each  $x \in E$  there exists a map  $f : B(0, 1) \rightarrow \mathbb{R}^3$  with  $f(0) = x$  satisfying*

$$A^{-1}|y - z|^{1+C\epsilon} \leq |f(y) - f(z)| \leq A|y - z|^{1-C\epsilon}$$

$$B(x, r) \subset f(B(0, r)) \subset B(x, 2r)$$

$$E \cap B(x, r) \subset f(Z \cap B(0, r)) \subset E \cap B(x, 2r),$$

*where  $Z$  is either  $P$ ,  $Y$  or  $T$ .*

## 4. Preliminaries

Let  $E$  be  $\epsilon$  minimal and  $\epsilon < 10^{-4}$ .

$$a(x, r) = \inf \{D_{x,r}(E, P); x \in P\},$$

$$b(x, r) = \inf \{D_{x,r}(E, Y); x \text{ in the spine of } Y\},$$

and

$$c(x, r) = \inf \{D_{x,r}(E, T); T \text{ centered at } x\}.$$

Define

$$E_P = \{x \in E : a(x, r) \leq 2\epsilon\}$$

$$E_Y = \{x \in E : b(x, r) \leq 500\epsilon\}$$

$$E_T = \{x \in E : c(x, r) \leq 80\epsilon\}$$

## Claim 1:

$$E = E_P \cup E_Y \cup E_T,$$

$E_T$  is a discrete set and  $E_Y \cup E_T$  is a closed set.

## Proof:

- Minimal cones of different types are far away from each other in Hausdorff distance.
- If  $a(x, 2r) \leq 10^{-4}$  then  $E \cap B(x, r) \subset E_P$  (i.e.  $E_P$  is Reifenberg flat).
- For each  $x \in E$ ,  $E_T \cap B(x, 3/2)$  contains at most one point.
- If  $b(x, 2r) \leq 10\epsilon$  then  $B(x, r) \cap E_T = \emptyset$  and  $D_{x,r}(L, E_Y) \leq 750\epsilon$ , where  $L$  is the spine of  $Y = Z(x, 2r)$ .
- If  $x \notin E_P$  then  $x$  is very close to the spine of  $Z(x, r)$ .

**Claim 2:**  $E_Y$  is Reifenberg flat of dimension 1. Moreover near  $E_T$ ,  $E_Y$  is close to the spine of a tetrahedron.

Assume that  $0 \in E$ . Two distinct cases:

- $Z(0, 2) = Y$ . (\*)
- $Z(0, 2) = T$ .

## 5. Idea of the proof

*The parameterization  $f$  is constructed by successive deformations of the set  $Z(0, 2)$  near  $E \cap Z(0, 2)$ .*

- Hierarchical construction of  $f$ :  $E_Y$ ,  $E_P$ ,  $B(0, 2)$ .
- Technical ingredient: good choice of appropriate partitions of unity.

## Step 1:

1. Cover  $E_Y \cap B(0, 3/2)$  by balls of radius  $2^{-n-20}$  with centers in  $E_Y$  at distance at least  $2^{-n-20}$  from each other. Let  $V_Y$  be the union of these balls.
2. Cover  $E_P \cap B(0, 3/2) \setminus V_Y$  by balls of radius  $2^{-n-30}$  with centers in  $E_P$  at distance at least  $2^{-n-30}$  from each other. Let  $V_P$  be the union of these balls. (Note that  $E_Y$  is *far* from  $V_P$ .)
3. Build partitions of unity associated to these coverings.



## Step 2:

Let  $L$  be the spine of  $Y = Z(0, 2)$ . Since  $E_Y$  is Reifenberg flat closed set of dimension 1, Reifenberg's work yields a parameterization

$$f^* : \Gamma = L \cap B(0, 3/2) \rightarrow E_Y.$$

$f^*$  is the limit of mappings  $f_n^*$  defined on  $\Gamma$ .

For  $f_{n+1}^* = g_n^* \circ f_n^*$  with  $f_0^* = id$ , where  $g_n^*$  is defined near  $f_n^*(\Gamma) = \Gamma_n$  as a weighed sum of suitable deformations which push points closer to  $E_Y$ .

To show that  $f^*$  is biHölder note that

$$(1 - C\epsilon)|y - z| \leq |g_n^*(y) - g_n^*(z)| \leq (1 + C\epsilon)|y - z|$$

for  $y, z \in \Gamma_n$  and  $|y - z| \leq 2^{-n}$ .

### Step 3:

Build  $f$  as a limit of  $f_n$ 's defined on  $Y \cap B(0, 1)$  by  $f_{n+1} = g_n \circ f_n$  with  $f_0 = id$ , where  $g_n$  is defined near  $f_n(Y \cap B(0, 1))$  as a weighed sum of suitable deformations which push points closer  $E$ . Moreover  $g_n = g_n^*$  on  $\Gamma_n$ .

To show that  $f^*$  is biHölder we show that

$$(1 - C\epsilon)|y - z| \leq |g_n^*(y) - g_n^*(z)| \leq (1 + C\epsilon)|y - z|$$

for  $y, z \in f_n(Y \cap B(0, 1))$  and  $|y - z| \leq 2^{-n}$ .