



# Some Novel Directions In Metamaterials Engineering

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### **Definition: artificial effectively-homogeneous** EM structure with unusual & useful properties:

<u>negative</u> & less-than-1 <u>refractive index (LH)</u>, dispersion, nonlinearity, bi-anisotropy, coord.-transformed GRIN (cloaking), nano-localization, quantum effects, etc.























### ARTIFICIAL DIELECTRICS = MTMS

- Bose, 1889: twisted jute
- Lindman, 1914: chiral helixes
- Kock, Cohn, 1940-60: dielectric lenses/radoms

### PRECURSORS OF LH MTMS:

- Mandelshtam, 1944: NRI
- Brillouin, 1946: BWD waves in periodic structures
- Pierce, 1950: BWD TWT

### 'FATHER' OF 'LH' MTMS:

Victor Veselago, 1967: fundamentals of LH MTMs

### **'MODERN' NRI MTMS**

- Pendry, 1998-9:  $\epsilon$  < 0 wires &  $\mu$  < 0 split ring resonators
- Smith et al., 2000-1: 1st demo of LH MTM
- Caloz & Itoh, Eleftheriades, Oliner, 2002: nonresonant TL

time







C. Caloz, H. Okabe, T. Iwai, and T. Itoh, *IEEE AP-S USNC/URSI*, June 2002.



# Unusual $\lambda_g(\omega)$ Behavior







# **Composite Right/Left-Handed (CRLH)<sup>‡</sup> Metamaterials**

ÉCOLE POLYTECHNIQUE





<sup>‡</sup>C. Caloz and T. Itoh, *IEEE MTT-S Int. Microwave Symp. Dig.*, June 2003, pp. 195-198.









**Resonant Particle MTMs: e-Drude & m-Lorentz** 





Transmission Line MTMs: 2x Drude







C. Caloz, Materials Today, March 2009.



## **Overview of Applications**





#### **GUIDED WAVES**

- Enhanced-bandwidth
  - components
- 4 Multi-band (2,3,4) devices
- Tight broadband couplers
- 4 UWB filters
- Small/high-Q resonators
- Beam-forming networks
- Distributed amplifiers
- 4 Transceivers
- Impulse-regime devices
- Analog signal processors



### **RADIATED WAVES**

- Leaky-wave antennas (LWA)
- Backfire-to-endfire scanning
- **4** Conical beam 2D antennas
- 'Smart' Reflectors
- Compact/high-gain antennas
- 4 Multi-band antennas
- Low-profile monopoles
- Active digital beam-shaping
- Direction of Arrival
- MIMO
- Real-Time Spectrum Analysers



#### **REFRACTED WAVES**

- TL distributed NRI slab
- 4 3D isotropic NRI MTM
- Perfect parabolic refractor
- **4** Endfire antennas
- Surface plasmon waveguide
- NRI meta-interface
- Anisotropic spatial filters
- Artificial plasmas
- Artificial dielectrics
- **4** Ferromagnetic nanowires
- 4 Meta-substrates













A. Oliner and D. R. Jackson, "Leaky-wave Antennas," in Antenna Engineering Handbook, 4<sup>th</sup> ed., J. L. Volakis, Ed. McGraw-Hill, 2007, ch. 11.



# **CRLH Backfire-to-Endfire Leaky-Wave Antennas**















- Any aperture distribution
  - ⇒ beam shaping
- tunable amplifiers (VGA)

 $\rightarrow$  real-time scanning & shaping

- 'aperture digitization'
- real-time DSP: DOA & MIMO



F. P. Casares, C. Camacho, and C. Caloz, *IEEE T-AP*, vol. 54, no. 8, pp. 2292-2300, Aug. 2006. S. Abielmona, H. V. Nguyen, F. P Casares, and C. Caloz, *IEEE AP-S*, June 2007, 5593-5596.







H. V. Nguyen, A. Parsa and C. Caloz, IEEE T-MTT, to be published.









### **Amplitude & Phase Conditions**

$$V_2^- = S_{21}V_1^+ + S_{23}V_3^+ = 0$$

$$a = \sqrt{1 - \eta_0} \qquad b = \sqrt{\eta_0}$$
$$\theta = -\frac{\phi}{2} + \frac{3\pi}{4} + m\pi,$$

## **Rat-race implementation benefits**

- Isolation between 2 input ports
- $\odot$  Arbitrary power coupling ratio  $P_f/P_i$
- $\odot$  Termination port  $\Rightarrow$  power regulation

during transient regime

H. V. Nguyen, A. Parsa and C. Caloz, *IEEE T-MTT*, to be published.H. V. Nguyen, S. Abielmona, A. Parsa and C. Caloz, *patent filed*.





# **Open-loop LWA**

• 3-dB LWA  $\rightarrow \eta_o$  = 50% (lossless)

•  $P_2 = P_1/2$ 



# **Power-recycling LWA**

• 3-dB feedback LWA system

•  $P_2 = 0$ 



$$\eta_s = \eta_0 \left( 1 + \frac{P_f}{P_i} \right)^{P_f = P_i} = 2\eta_0^{\eta_0 = 50\%} = 100\%$$





# **Open-loop LWA**



### **Power-recycling LWA**



	Conventional LWA ( $\eta = \eta_0$ )		Power Recycling LWA $(\eta = \eta_s)$		
	FW	Measured	FW	Measured	
G	3.68 dB	3.70 dB	6.73 dB	5.77 dB	
D	7.84 dB	7.88 dB	7.85 dB	7.42 dB	
η	38.36%	38.00%	77.27%	68.45%	













H. V. Nguyen, S. Abielmona and C. Caloz, *IEEE AWPL*, vol. 8, pp. 441-444, March 2009.







H. V. Nguyen, S. Abielmona, A. Parsa and C. Caloz, patent filed.





#### Full-wave Results

N	G	D	HPBW		$\eta_{array}$
	( <b>dB</b> )	(dB)	$\phi=0^{\rm o}$	$\phi=90^{\rm o}$	
1	6.84	11.15	30°	96°	37.60%
3	10.72	12.53	31°	62°	65.89%
5	12.45	13.51	31°	45°	78.29%



(b) zx-plane cut at broadside frequency.

0°

15°

30°

-15°

-30°

### **Full-wave and Measurement Comparison (***N* **= 5)**

	G	D	HPBW		$\eta_{array}$	-45°
	( <b>dB</b> )	( <b>dB</b> )	$\phi = 0^{\rm o}$	$\phi=90^{\rm o}$		-60°
FW	12.45	13.51	31.00°	45.00°	78.29%	-75° FW
Measure	12.35	14.57	31.06°	36.42°	60.06%	simulation







### **Two modes** of operation:

- Analog : Power detectors
  - $\rightarrow$  max Rx power
- o Digital : MUSIC
  - $\rightarrow$  S/N decomposition

### Full-space electronically-scanned CRLH LWA















**Differential Power Measurement** 





# Analog DoA Estimation using CRLH LWA





S. Abielmona, H. V. Nguyen, and C. Caloz, *IEEE T-AP*, to be published.





#### **"Search and Lock"** → **Real-time calibration**

of best channel  $\rightarrow$  Smart MIMO

#### Capacity





J.-F. Frigon, C. Caloz and Y. Zhao, in Proc. IEEE RWS, Jan. 2008.











### **Magnitude Engineering**

superheterodyne receiver (1918)





#### Impulse-Narrowband radio ⇒ filters

μwave filters Matthaei Young G. Matihael L. Young L.M.T. Jones 196



Long history of "magnitude engineering" → FILTERS



- Little "phase engineering", except all-pass filters ( $\tau_a$  equalization)
- No systematic exploration for exploiting richer dispersion ۲

 $\rightarrow$  <u>analog signal processing</u> devices & systems

e.g. millimeter-wave analog signal processors









# **Technologies for Analog Signal Processing (ASP)**







**BW + Delay**  $\uparrow$  :  $\odot$ ; **Ripples** :  $\odot$ ;  $f_o \uparrow$  :  $\odot$ ; **Design** :  $\odot$ **Fixed profile** :  $\odot$ ; **Size**  $\uparrow$  :  $\odot$ 



BW + Delay ↑ : ⓒ ; Ripples: ☺ Engineerable: ☺; Size : ☺

**All-pass network DDL** 





• 2-Port transmission (reflection) phase:

$$\Phi(\omega)\Big|_{\omega=\omega_0} = \Phi_0 + \dot{\Phi}_1(\omega-\omega_0) + \frac{1}{2}\ddot{\Phi}_2(\omega-\omega_0)^2 + \dots$$
Phase delay Group delay Dispersion
PARAMETER PARAMETER PARAMETER

• Transfer function & impulse response for a signal envelope in a retarded frame:

$$H(\omega) = |H(\omega)| \exp\left[j\frac{1}{2}\ddot{\Phi}_{2}\omega^{2}\right] \quad h(t) \propto \exp\left[j\frac{1}{2\ddot{\Phi}_{2}}t^{2}\right]$$
  
INPUT 
$$\int \ddot{\Phi}_{2} > 0$$

• For effective medium (e.g. CRLH)

$$\beta(\omega)\Big|_{\omega=\omega_0} = \frac{\omega}{\omega_R} - \frac{\omega_L}{\omega}\Big|_{\omega=\omega_0} = \beta_0 + \beta_1(\omega - \omega_0) + \frac{1}{2}\beta_2(\omega - \omega_0)^2 + \dots$$
$$\beta_0 = \left(\frac{\omega_0}{\omega_R} - \frac{\omega_L}{\omega_0}\right), \quad \beta_1 = \frac{1}{\nu_g} = \frac{1}{\omega_R} + \frac{\omega_L}{\omega_0^2} = \frac{\tau_g}{\ell}, \quad \beta_2 = -\frac{2\omega_L}{\omega_0^3} < 0 \text{ (anomalous)}$$





Phase Velocity Parameter: β <sub>0</sub>	GROUP VELOCITY PARAMETER: β <sub>1</sub>	GROUP VELOCITY DISPERSION PARAMETER: 62
Multi-band components	Tunable delay line	Real-time Fourier Transformer (RTFT)
Bandwidth enhancement	Dispersion compensator	Frequency discriminator
Coupling enhancement	Pulse position modulator	Real-time spectrum analyzer (RTSA)
Flexible combiner/divider	Tunable pulse generator	Temporal Talbot Effect
Direction of Arrival (DoA)	True time delayer	Spatio-Temporal Talbot Effect
Active systems		Convolvers and correlators
•••		Solitons/Shock Waves







S. Abielmona, S. Gupta, and C. Caloz, *IEEE MWCL*, vol. 17, no. 12, pp. 864-6, Dec. 2007.



H. V. Nguyen, and C. Caloz, *IEEE MWCL*, vol. 18, no. 6, pp. 527-529, Aug. 2008.







H. V. Nguyen, and C. Caloz, *IEEE MWCL*, vol. 18, no. 6, pp. 527-529, Aug. 2008.







S. Abielmona, S. Gupta, and C. Caloz, *IEEE T-MTT*, vol. 57, no. 11, pp. 2617-2618, Nov. 2009. 39



**Real-Time Frequency Discriminator (RTFT + Mixer Inv.)** 





S. Abielmona, S. Gupta, and C. Caloz, *IEEE T-MTT*, no. 11, vol. 57, Nov. 2009, to be published. 40







S. Abielmona, S. Gupta, and C. Caloz, *IEEE T-MTT*, no. 11, vol. 57, pp. 2617-2618, Nov. 2009.







S. Abielmona, S. Gupta, and C. Caloz, *IEEE T-MTT*, no. 11, vol. 57, Nov. 2009.







S. Gupta, S. Abielmona and C. Caloz, *T-MTT*, vol. 57, no. 12, Dec. 2009.













- $Z_T$  $\Delta x$  $Z_T/2$  $Z_T/3$ LWA LWA LWA "Time Signal" "Time Signal" "Time Signal"  $\Delta x$ 
  - Spatial Talbot self-imaging localised in time
  - Pulse radiation interference due to spectral-spatial decomposition property of the LWA
  - Formation of Talbot zones due to impulse operation  $z_T = 2X^2/\lambda_0 \cos^3 \theta$
  - Carrier frequency Tunable Talbot distance



# Spatio-Temporal Talbot Effect (2/2)



 $z = z_T$ 



Experimental SetupSelf-imaging exists for backward, broadside and forward radiations.

J. S. Gómez-Díaz, A. Alvarez-Melcon, S. Gupta, and C. Caloz, "Novel spatiotemporal Talbot phenomenon using metamaterial composite right/left-handed leaky-wave antennas "*J. App. Phys.*, vol. 104, pp. 104901:1-7, Nov. 2008.



**Broadside Radiation** 









# **Multiscale "Ingredients" for Future Metamaterials**



#### $\mu$ -Scale









n-Scale Carbon Nano-Tubes



### **Nano-Polymers**



### **Magnetic Nano-Wires**



### a-Scale

#### Quantum Dots



Spins



### **Anisotropic Materials**



#### **Bi-anisotropic Substances**













H<sub>dc</sub>

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#### Magnetic properties tuned via:

- wire diameter: 10 100 nm
  - material composition: NiFe and CoFeB alloys
  - nano-disk thicknesses: 5 nm 500  $\mu m$







- 2 peaks associated with the 2 magnetization states ( $\uparrow$  and  $\downarrow$ )
- Applications: dual-band microwave devices

L.-P. Carignan, C. Caloz, and D. Ménard, IMS (2009).





# Landau-Lifshifz-Gilbert (LLG) equation for $\mathbf{M}_{\uparrow}$ & $\mathbf{M}_{\downarrow}$ Maxwell-Garnett mixing rule $\frac{\partial \mathbf{M}_{\uparrow,\downarrow}}{\partial t} = -\mathbf{M}_{\uparrow,\downarrow} \times \left( \underline{\mu_0} | \boldsymbol{\gamma} | \mathbf{H}_{w\uparrow,\downarrow} - \frac{\alpha}{M_s} \frac{\partial \mathbf{M}_{\uparrow,\downarrow}}{\partial t} \right)$ $\langle \mathbf{b} \rangle = P[f_{\uparrow} \langle \mathbf{b}_{w\uparrow} \rangle + f_{\downarrow} \langle \mathbf{b}_{w\downarrow} \rangle] + (1 - P) \langle \mathbf{b}_{m} \rangle$ effective field IOSS **Matrix** $\mathbf{H}_{w\uparrow,\downarrow} = \mathbf{H}_{w0} + \mathbf{h}_{w\uparrow,\downarrow} = (H_0 - \Delta P M_s)\hat{\mathbf{z}} + \mathbf{h}_{\text{loc}} - \frac{1}{2}\mathbf{m}_{\uparrow,\downarrow}$ $\langle \mathbf{b} \rangle = \hat{\mu}_{eff} \langle \mathbf{h} \rangle$ DC bias field AC field $\frac{\widetilde{\mu}_{\text{eff}}}{\mu_0} = \widetilde{I} + P[\widetilde{\eta}_w^{-1} - P\widetilde{N}_w]^{-1}$ DC inter-wire field AC demagnetizing field $\mathbf{M}_{\uparrow,\downarrow} = \pm M_s \hat{\mathbf{z}} + \mathbf{m}_{\uparrow,\downarrow}$ $\vec{\eta}_w = f_\uparrow \vec{\eta}_{w\uparrow} + f_\downarrow \vec{\eta}_{w\downarrow}$ LLG equations $\mathbf{m}_{\uparrow,\downarrow} = \hat{\eta}_{w\uparrow,\downarrow} \mathbf{h}_{\text{loc}}$ MG and LLG equations $\hat{\mu}_{\text{eff}} = \mu_0 (\hat{I} + \hat{\chi}_{\text{eff}}) = \begin{pmatrix} \mu_{\text{eff}} & -i\mu_{\text{eff},t} & 0\\ i\mu_{\text{eff},t} & \mu_{\text{eff}} & 0\\ 0 & 0 & \mu_0 \end{pmatrix} \quad \Delta P = \Delta f P = P_{\uparrow} - P_{\downarrow}$ poles of $\mu_{\text{eff}}$ $\omega_{\text{res}\pm} = \frac{\omega_M}{2} \left\{ \left[ 1 - P + \left(\frac{\Delta P}{2}\right)^2 \right]^{\frac{1}{2}} \mp \frac{\Delta P}{2} \right\} \pm \omega_H \qquad \begin{array}{l} \Delta P = P_{\uparrow} - \dot{P}_{\downarrow} \\ \omega_M = \mu_0 |\gamma| M_s \\ \omega_M = \mu_0 |\gamma| M_s \end{array} \right\}$ $= \mu_0 |\gamma| (H_0 - \Delta P M_s)$

V. Boucher, L.-P. Carignan, T. Kodera, C. Caloz, A. Yelon, D. Ménard, *Phys. Rev. B*, vol. 80, 224402 1:11., Dec. 2009. 53



# **FMNW** Double FMR: Experimental Demonstration





L.-P. Carignan, V. Boucher, T. Kodera, C. Caloz, A. Yelon, and D. Ménard, Appl. Phys. Lett., vol. 52, pp. 062504-1:3, Aug. 2009.







# Good agreement between experiment and model

L.-P. Carignan, V. Boucher, T. Kodera, C. Caloz, A. Yelon, and D. Ménard, Appl. Phys. Lett., vol. 52, pp. 062504-1:3, Aug. 2009.







L.-P. Carignan, C. Caloz, and D. Ménard, IMS Conference, Session TH3B-1, May 2010.



# **CRLH Ferrite Waveguide Leaky-Wave Antenna**





T. Kodera and C. Caloz, "Uniform ferrite-loaded open waveguide structure with CRLH response and its application to a novel backfire-to-endfire leaky-wave antenna," *IEEE T-MTT*, vol.57, No.4, pp.784-795, April 2009.



T. Kodera and C. Caloz, "Low-profile leaky-wave electric monopole loop antenna using the regime of a ferrite-loaded open waveguide," *IEEE T-AP, to be published.* 



T. Kodera and C. Caloz, IMS2009, June 2009.

T. Kodera and C. Caloz, IEEE T-AP, sub.











- $\Box \quad 2000 \rightarrow 2010: \text{ from fiction to reality } !$
- □ Novel concepts & applications
  - with 1D, 2D & 3D MTMs
- **TL MTMs**  $\equiv$  low-loss:
  - Smart antennas
- □ TL MTMs ≡ broad-band & dispersive:
  - Analog signal processors
- Nostructured MTMs:
  - FMNW composites
- Future: multiscale
  - & multiphysics MTMs









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