

# **Beyond Hydrodynamics: Macroscopic transport equations for rarefied gas flows**

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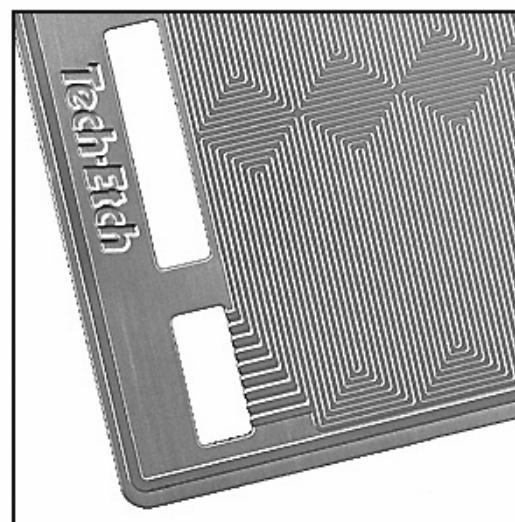
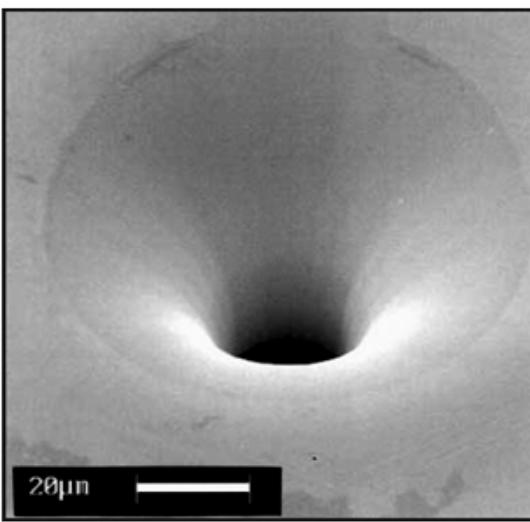
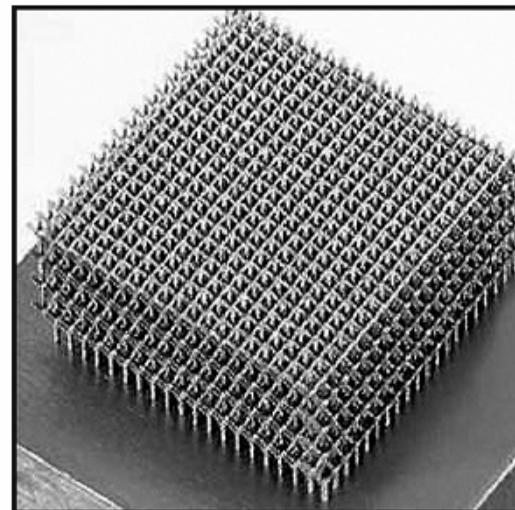
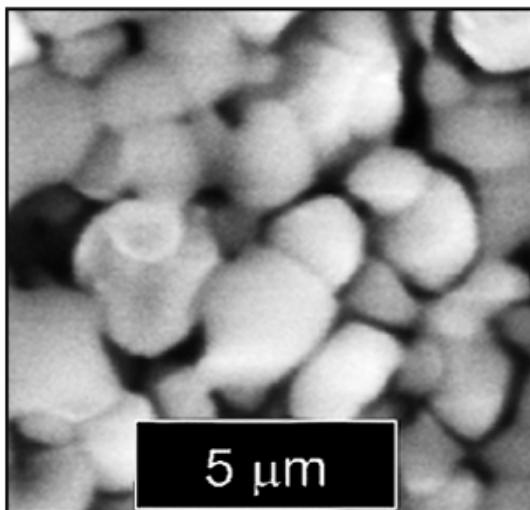
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# Gas-Microflows



porous material

micro heat exchanger

micro nozzle

micro fuel cell

# The task of finding continuum approximations

**conservation laws** for mass, momentum, energy

$\implies$  5 equations for  $\rho, v_i, \theta = RT$

$$\frac{D\rho}{Dt} + \rho \frac{\partial v_k}{\partial x_k} = 0$$

$$\rho \frac{Dv_i}{Dt} + \rho \frac{\partial \theta}{\partial x_i} + \theta \frac{\partial \rho}{\partial x_i} + \left[ \frac{\partial \sigma_{ik}}{\partial x_k} \right] = 0$$

$$\frac{3}{2} \rho \frac{D\theta}{Dt} + \rho \theta \frac{\partial v_k}{\partial x_k} + \left[ \frac{\partial q_k}{\partial x_k} + \sigma_{kl} \frac{\partial v_k}{\partial x_l} \right] = 0$$

## closure problem

find additional equations for pressure deviator  $\sigma_{ij}$  and heat flux  $q_i$

# Boltzmann equation and moments

## Boltzmann equation

$$\frac{\partial f}{\partial t} + c_k \frac{\partial f}{\partial x_k} = \frac{1}{\text{Kn}} \mathcal{S}(f) \quad \text{e.g. BGK-model: } \mathcal{S}(f) = -\frac{1}{\tau}(f - f_M)$$

## some moments

mass density	$\rho = m \int f d\mathbf{c}$
momentum density	$\rho v_i = m \int c_i f d\mathbf{c}$
energy density	$\rho u = \frac{3}{2}\rho\theta = \frac{m}{2} \int C^2 f d\mathbf{c}$
pressure tensor	$p_{ij} = p\delta_{ij} + \sigma_{ij} = m \int C_i C_j f d\mathbf{c}$
heat flux vector	$q_i = \frac{m}{2} \int C^2 C_i f d\mathbf{c}$
general moments	$u_{i_1 \dots i_n}^a = m \int C^{2a} C_{\langle i_1} \dots C_{i_n \rangle} f d\mathbf{c}$

ideal gas law:  $p = \rho\theta$

peculiar velocity:  $C_i = c_i - v_i$

equilibrium phase density (Maxwell):  $f|_E = \frac{\rho}{m} \sqrt{\frac{1}{2\pi\theta}}^3 \exp\left[-\frac{C^2}{2\theta}\right]$

$\text{Kn} = \frac{\text{mean free path } l_0}{\text{macroscopic length scale } L}$ : Knudsen number  $\hat{=}$  smallness parameter

## Generic moment equation

**multiply Boltzmann equation with  $mC^{2a}C_{\langle i_1 \dots C_{i_n} \rangle}$ , integrate over velocity**

$$\begin{aligned}
 & \frac{Du_{i_1 \dots i_n}^a}{Dt} + 2au_{i_1 \dots i_n k}^{a-1} \left[ \frac{Dv_k}{Dt} - G_k \right] + \frac{n(2a+2n+1)}{2n+1} u_{\langle i_1 \dots i_{n-1}}^a \left[ \frac{Dv_{i_n \rangle}}{Dt} - G_{i_n \rangle} \right] \\
 & + \frac{\partial u_{i_1 \dots i_n k}^a}{\partial x_k} + \frac{n}{2n+1} \frac{\partial u_{\langle i_1 \dots i_{n-1}}^{a+1}}{\partial x_{i_n \rangle}} + 2au_{i_1 \dots i_n k l}^{a-1} \frac{\partial v_k}{\partial x_l} + 2a \frac{n+1}{2n+3} u_{\langle i_1 \dots i_n}^a \frac{\partial v_{k \rangle}}{\partial x_k} \\
 & + 2a \frac{n}{2n+1} u_{k \langle i_1 \dots i_{n-1}}^a \frac{\partial v_k}{\partial x_{i_n \rangle}} + nu_{k \langle i_1 \dots i_{n-1}}^a \frac{\partial v_{i_n \rangle}}{\partial x_k} + u_{i_1 \dots i_n}^a \frac{\partial v_k}{\partial x_k} \\
 & + \frac{n(n-1)}{4n^2-1} (2a+2n+1) u_{\langle i_1 \dots i_{n-2}}^{a+1} \frac{\partial v_{i_{n-1}}}{\partial x_{i_n \rangle}} = \mathcal{P}_{i_1 \dots i_n}^a
 \end{aligned}$$

**infinte coupled system for central moments  $u_{i_1 \dots i_n}^a$  (includes conservation laws)**

## Generic moment equation

multiply Boltzmann equation with  $mC^{2a}C_{\langle i_1 \dots i_n \rangle}$ , integrate over velocity

$$\begin{aligned} \frac{Du_{i_1 \dots i_n}^a}{Dt} + 2au_{i_1 \dots i_n k}^{a-1} \left[ \frac{Dv_k}{Dt} - G_k \right] + \frac{n(2a+2n+1)}{2n+1} u_{\langle i_1 \dots i_{n-1} \rangle}^a \left[ \frac{Dv_{i_n \rangle}}{Dt} - G_{i_n \rangle} \right] \\ + \frac{\partial u_{i_1 \dots i_n k}^a}{\partial x_k} + \frac{n}{2n+1} \frac{\partial u_{\langle i_1 \dots i_{n-1} \rangle}^{a+1}}{\partial x_{i_n \rangle}} + 2au_{i_1 \dots i_n k l}^{a-1} \frac{\partial v_k}{\partial x_l} + 2a \frac{n+1}{2n+3} u_{\langle i_1 \dots i_n \rangle}^a \frac{\partial v_k}{\partial x_k} \\ + 2a \frac{n}{2n+1} u_{k \langle i_1 \dots i_{n-1} \rangle}^a \frac{\partial v_k}{\partial x_{i_n \rangle}} + nu_{k \langle i_1 \dots i_{n-1} \rangle}^a \frac{\partial v_{i_n \rangle}}{\partial x_k} + u_{i_1 \dots i_n}^a \frac{\partial v_k}{\partial x_k} \\ + \frac{n(n-1)}{4n^2-1} (2a+2n+1) u_{\langle i_1 \dots i_{n-2} \rangle}^{a+1} \frac{\partial v_{i_{n-1} \rangle}}{\partial x_{i_n \rangle}} = \mathcal{P}_{i_1 \dots i_n}^a \end{aligned}$$

infinite coupled system for central moments  $u_{i_1 \dots i_n}^a$  (includes conservation laws)

## Moment methods

- use finite moment number  $N$  (but which???)
- find constitutive equations for higher moments (but how??)

## The R13 equations

Cercignani, 1970:

*... on the other hand, if we consider higher-order approximations of the Chapman–Enskog method, we obtain differential equations of higher order (the so-called Burnett and super-Burnett equations), about which nothing is known, not even the proper boundary conditions. These higher-order equations have never achieved any noticeable success in describing departures from continuum fluid mechanics ...*

# Bulk reduction methods

$$Kn = \frac{\text{mean free path}}{\text{macroscopic length scale}}$$

**goal:** Replace Boltzmann eq with simplified models for Knudsen number  $Kn < 1$

- **Chapman-Enskog expansion** in powers of  $Kn$

- ⇒ Euler, Navier-Stokes-Fourier [Enskog 1917, Chapman 1916/17]
- ⇒ Burnett, super-Burnett (*unstable*) [Burnett 1935, Bobylev 1981]
- ⇒ augmented Burnett (*stable*) [Zhong et al. 1993]
- ⇒ hyperbolic Burnett (*stable*) [Bobylev 2007/08]

- **Grad's moment method** (choice of moments not related to  $Kn$ ) [Grad 1949]

- ⇒ Euler, 13 moments, 26 moments, etc. (*discontinuous shocks*)

- **Regularization of 13 moment equations** (based on  $Kn$  orders)

- ⇒ linear **R13 eqs** [Karlin et al. 1998]
- ⇒ Regularized Burnett [Jin & Slemrod 2001]
- ⇒ Consistent order ET [Müller et al. 2003]
- ⇒ **Combined Grad/CE ⇒ R13 eqs** [HS & MT 2003/04]
- ⇒ **Order of magnitude method** ⇒ **R13 eqs** [HS 2004]

## Regularized 13 moment equations [HS & MT 2003 - 2008]

- rational derivation from Boltzmann equation
- contain Burnett and super-Burnett equations in CE expansion
- third order in Knudsen number ( $\equiv$  super-Burnett)
- linearly stable
- phase speeds and damping of ultrasound waves agree to experiments
- smooth shock structures for all  $Ma$ , agree to DSMC for  $Ma < 3$
- H-theorem for linear case, including boundary conditions
- furnished with complete theory of boundary conditions
- Knudsen boundary layers in good agreement to DSMC
- accurate Poiseuille flow, second order slip conditions
- accurate thermal transpiration flow

# Order of magnitude method [HS 2004]

Base: expand infinite set of moment equations, not Boltzmann

Step 1:

Determine order of magnitude  $\lambda$  of all moments (in powers of Kn)

Step 2:

Construct moment set with minimum number of moments at order  $\lambda$

Step 3:

For order of accuracy  $\lambda_0$  delete all terms that lead to contributions of orders  $\lambda > \lambda_0$  in energy and momentum eqs.



- stable equations at any order
  - $\mathcal{O}(\text{Kn}^0)$  : Euler
  - $\mathcal{O}(\text{Kn}^1)$  : Navier-Stokes-Fourier
  - $\mathcal{O}(\text{Kn}^2)$  : Grad 13
  - $\mathcal{O}(\text{Kn}^3)$  : regularized 13 moment equations (R13)
- applicable to any molecular model

## Zeroth order: Euler

delete all terms of order

$\mathcal{O}(\text{Kn}^1)$  and higher

conservation laws

$$\frac{D\rho}{Dt} + \rho \frac{\partial v_k}{\partial x_k} = 0$$

$$\rho \frac{Dv_i}{Dt} + \rho \frac{\partial \theta}{\partial x_i} + \theta \frac{\partial \rho}{\partial x_i} = 0$$

$$\frac{3}{2} \rho \frac{D\theta}{Dt} + \rho \theta \frac{\partial v_k}{\partial x_k} = 0$$

equations for pressure deviator and heat flux

# First order: Navier-Stokes-Fourier

delete all terms of order

$$\mathcal{O}(\text{Kn}^2) \text{ and higher}$$

conservation laws

$$\frac{D\rho}{Dt} + \rho \frac{\partial v_k}{\partial x_k} = 0$$

$$\rho \frac{Dv_i}{Dt} + \rho \frac{\partial \theta}{\partial x_i} + \theta \frac{\partial \rho}{\partial x_i} + \text{Kn}^1 \left[ \frac{\partial \sigma_{ik}}{\partial x_k} \right] = 0$$

$$\frac{3}{2} \rho \frac{D\theta}{Dt} + \rho \theta \frac{\partial v_k}{\partial x_k} + \text{Kn}^1 \left[ \frac{\partial q_k}{\partial x_k} + \sigma_{kl} \frac{\partial v_k}{\partial x_l} \right] = 0$$

equations for pressure deviator and heat flux

$$0 = -\rho \theta \text{Kn}^1 \left[ \sigma_{ij} + 2\mu \frac{\partial v_{\langle i}}{\partial x_{j\rangle}} \right]$$

$$0 = -\frac{5}{2} \rho \theta \text{Kn}^1 \left[ q_i + \kappa \frac{\partial \theta}{\partial x_i} \right]$$

## 2nd order: Grad 13 moments

delete all terms of order

$$\mathcal{O}(\text{Kn}^3) \text{ and higher}$$

conservation laws

$$\frac{D\rho}{Dt} + \rho \frac{\partial v_k}{\partial x_k} = 0$$

$$\rho \frac{Dv_i}{Dt} + \rho \frac{\partial \theta}{\partial x_i} + \theta \frac{\partial \rho}{\partial x_i} + \text{Kn}^1 \left[ \frac{\partial \sigma_{ik}}{\partial x_k} \right] = 0$$

$$\frac{3}{2} \rho \frac{D\theta}{Dt} + \rho \theta \frac{\partial v_k}{\partial x_k} + \text{Kn}^1 \left[ \frac{\partial q_k}{\partial x_k} + \sigma_{kl} \frac{\partial v_k}{\partial x_l} \right] = 0$$

equations for pressure deviator and heat flux

$$\text{Kn}^2 \mu \left[ \frac{D\sigma_{ij}}{Dt} + \frac{4}{5} \frac{\partial q_{\langle i}}{\partial x_{j\rangle}} + 2\sigma_{k\langle i} \frac{\partial v_{j\rangle}}{\partial x_k} + \sigma_{ij} \frac{\partial v_k}{\partial x_k} \right] + = -\rho\theta \text{Kn}^1 \left[ \sigma_{ij} + 2\mu \frac{\partial v_{\langle i}}{\partial x_{j\rangle}} \right]$$

$$\begin{aligned} \text{Kn}^2 \kappa \left[ \frac{Dq_i}{Dt} + \frac{5}{2} \sigma_{ik} \frac{\partial \theta}{\partial x_k} - \sigma_{ik} \theta \frac{\partial \ln \rho}{\partial x_k} + \theta \frac{\partial \sigma_{ik}}{\partial x_k} + \frac{7}{5} q_i \frac{\partial v_k}{\partial x_k} + \frac{7}{5} q_k \frac{\partial v_i}{\partial x_k} + \frac{2}{5} q_k \frac{\partial v_k}{\partial x_i} \right] \\ = -\frac{5}{2} \rho \theta \text{Kn}^1 \left[ q_i + \kappa \frac{\partial \theta}{\partial x_i} \right] \end{aligned}$$

## 3rd order: R13 equations

delete all terms of order

$\mathcal{O}(\text{Kn}^4)$  and higher

conservation laws

$$\frac{D\rho}{Dt} + \rho \frac{\partial v_k}{\partial x_k} = 0$$

$$\rho \frac{Dv_i}{Dt} + \rho \frac{\partial \theta}{\partial x_i} + \theta \frac{\partial \rho}{\partial x_i} + \text{Kn}^1 \left[ \frac{\partial \sigma_{ik}}{\partial x_k} \right] = 0$$

$$\frac{3}{2} \rho \frac{D\theta}{Dt} + \rho \theta \frac{\partial v_k}{\partial x_k} + \text{Kn}^1 \left[ \frac{\partial q_k}{\partial x_k} + \sigma_{kl} \frac{\partial v_k}{\partial x_l} \right] = 0$$

equations for pressure deviator and heat flux

$$\text{Kn}^2 \mu \left[ \frac{D\sigma_{ij}}{Dt} + \frac{4}{5} \frac{\partial q_{\langle i}}{\partial x_{j\rangle}} + 2\sigma_{k\langle i} \frac{\partial v_{j\rangle}}{\partial x_k} + \sigma_{ij} \frac{\partial v_k}{\partial x_k} \right] + \text{Kn}^3 \mu \left[ \frac{\partial u_{ijk}^0}{\partial x_k} \right] = -\rho \theta \text{Kn}^1 \left[ \sigma_{ij} + 2\mu \frac{\partial v_{\langle i}}{\partial x_{j\rangle}} \right]$$

$$\begin{aligned} \text{Kn}^2 \kappa \left[ \frac{Dq_i}{Dt} + \frac{5}{2} \sigma_{ik} \frac{\partial \theta}{\partial x_k} - \sigma_{ik} \theta \frac{\partial \ln \rho}{\partial x_k} + \theta \frac{\partial \sigma_{ik}}{\partial x_k} + \frac{7}{5} q_i \frac{\partial v_k}{\partial x_k} + \frac{7}{5} q_k \frac{\partial v_i}{\partial x_k} + \frac{2}{5} q_k \frac{\partial v_k}{\partial x_i} \right] \\ + \text{Kn}^3 \kappa \left[ \frac{1}{2} \frac{\partial w_{ij}^1}{\partial x_k} + \frac{1}{6} \frac{\partial w^2}{\partial x_i} + u_{ikl}^0 \frac{\partial v_k}{\partial x_l} - \frac{\sigma_{ik}}{\rho} \frac{\partial \sigma_{kl}}{\partial x_l} \right] = -\frac{5}{2} \rho \theta \text{Kn}^1 \left[ q_i + \kappa \frac{\partial \theta}{\partial x_i} \right] \end{aligned}$$

+ higher moment equations for  $u_{ijk}^0$ ,  $w_{ij}^1 = u_{ij}^1 - \mu_1 \sigma_{ij}$ ,  $w^2 = u^2 - u_{|E}^2$

## R13 equations (non-linear) [HS & MT 2003, HS 2004]

(Euler / NSF / Grad13 / R13)

$$\frac{D\rho}{Dt} + \rho \frac{\partial v_k}{\partial x_k} = 0$$

$$\rho \frac{Dv_i}{Dt} + \rho \frac{\partial \theta}{\partial x_i} + \theta \frac{\partial \rho}{\partial x_i} + \left[ \frac{\partial \sigma_{ik}}{\partial x_k} \right] = \rho G_i$$

$$\frac{3}{2} \rho \frac{D\theta}{Dt} + \rho \theta \frac{\partial v_k}{\partial x_k} + \left[ \frac{\partial q_k}{\partial x_k} + \sigma_{kl} \frac{\partial v_k}{\partial x_l} \right] = 0$$

$$\left[ \frac{D\sigma_{ij}}{Dt} + \frac{4}{5} \frac{\partial q_{\langle i}}{\partial x_{j\rangle}} + 2\sigma_{k\langle i} \frac{\partial v_{j\rangle}}{\partial x_k} + \sigma_{ij} \frac{\partial v_k}{\partial x_k} \right] + \left[ \frac{\partial u_{ijk}^0}{\partial x_k} \right] = -\rho \theta \left[ \frac{\sigma_{ij}}{\mu} + 2 \frac{\partial v_{\langle i}}{\partial x_{j\rangle}} \right]$$

$$\begin{aligned} \left[ \frac{Dq_i}{Dt} + \frac{5}{2} \sigma_{ik} \frac{\partial \theta}{\partial x_k} - \sigma_{ik} \theta \frac{\partial \ln \rho}{\partial x_k} + \theta \frac{\partial \sigma_{ik}}{\partial x_k} + \frac{7}{5} q_i \frac{\partial v_k}{\partial x_k} + \frac{7}{5} q_k \frac{\partial v_i}{\partial x_k} + \frac{2}{5} q_k \frac{\partial v_k}{\partial x_i} \right] \\ + \left[ -\frac{\sigma_{ij}}{\varrho} \frac{\partial \sigma_{jk}}{\partial x_k} + \frac{1}{2} \frac{\partial w_{ik}^1}{\partial x_k} + \frac{1}{6} \frac{\partial w^2}{\partial x_i} + u_{ijk}^0 \frac{\partial v_j}{\partial x_k} \right] = -\frac{5}{2} \rho \theta \left[ \frac{q_i}{\kappa} + \frac{\partial \theta}{\partial x_i} \right] \end{aligned}$$

$$w^2 = -\frac{\sigma_{ij}\sigma_{ij}}{\rho} - 12 \frac{\mu}{p} \left[ \theta \frac{\partial q_k}{\partial x_k} + \frac{5}{2} q_k \frac{\partial \theta}{\partial x_k} - \theta q_k \frac{\partial \ln \rho}{\partial x_k} + \theta \sigma_{ij} \frac{\partial v_i}{\partial x_k} \right]$$

$$u_{ijk}^0 = -2 \frac{\mu}{p} \left[ \theta \frac{\partial \sigma_{\langle ij}}{\partial x_{k\rangle}} - \sigma_{\langle ij} \frac{\partial \ln \rho}{\partial x_{k\rangle}} + \frac{4}{5} q_{\langle i} \frac{\partial v_{j\rangle}}{\partial x_{k\rangle}} \right]$$

$$w_{ij}^1 = -\frac{4}{7} \frac{\sigma_{k\langle i} \sigma_{j\rangle k}}{\rho} - \frac{24}{5} \frac{\mu}{p} \left[ \theta \frac{\partial q_{\langle i}}{\partial x_{j\rangle}} + q_{\langle i} \frac{\partial \theta}{\partial x_{j\rangle}} - \theta q_{\langle i} \frac{\partial \ln \rho}{\partial x_{j\rangle}} + \frac{5}{7} \theta \left( \sigma_{k\langle i} \frac{\partial v_{j\rangle}}{\partial x_k} + \sigma_{k\langle i} \frac{\partial v_k}{\partial x_{j\rangle}} - \frac{2}{3} \sigma_{ij} \frac{\partial v_k}{\partial x_k} \right) \right]$$

**Chapman-Enskog expansion of R13**  $\Rightarrow$  Euler / NSF / Burnett / super-Burnett

## R13 equations (linear, dimensionless) [HS & MT 2003]

(Euler / NSF / Grad13 / R13)

$$\frac{\partial \rho}{\partial t} + \frac{\partial v_k}{\partial x_k} = 0$$

$$\frac{\partial v_i}{\partial t} + \frac{\partial \rho}{\partial x_i} + \frac{\partial \theta}{\partial x_i} + \frac{\partial \sigma_{ik}}{\partial x_k} = G_i$$

$$\frac{3}{2} \frac{\partial \theta}{\partial t} + \frac{\partial v_k}{\partial x_k} + \frac{\partial q_k}{\partial x_k} = 0$$

$$\frac{\partial \sigma_{ij}}{\partial t} + \frac{4}{5} \frac{\partial q_{\langle i}}{\partial x_{j\rangle} - 2Kn \frac{\partial}{\partial x_k} \frac{\partial \sigma_{\langle ij}}{\partial x_{k\rangle} + 2 \frac{\partial v_{\langle i}}{\partial x_{j\rangle} = - \frac{\sigma_{ij}}{Kn}$$

$$\frac{\partial q_i}{\partial t} + \frac{\partial \sigma_{ik}}{\partial x_k} - \frac{12}{5} Kn \frac{\partial}{\partial x_k} \frac{\partial q_{\langle i}}{\partial x_{k\rangle} - 2Kn \frac{\partial}{\partial x_i} \frac{\partial q_k}{\partial x_k} + \frac{5}{2} \frac{\partial \theta}{\partial x_i} = - \frac{2}{3} \frac{q_i}{Kn}$$

## Linear stability [HS & MT 2003]

**disturbance in space**  $k$  real,  $\Omega = \Omega_r(k) + i\Omega_i(k)$  complex

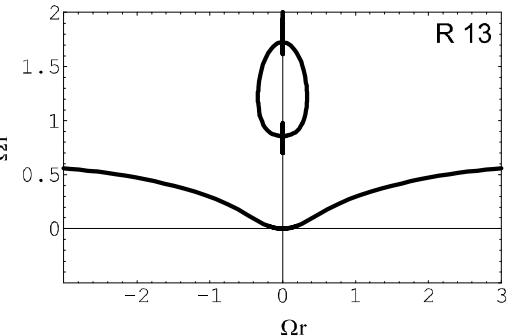
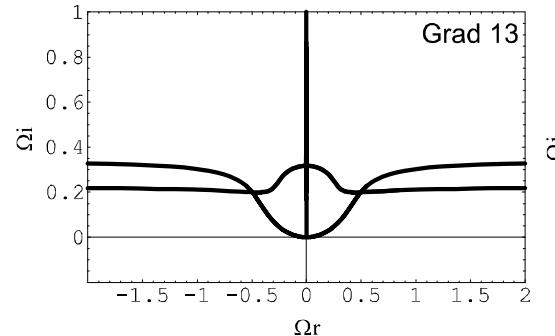
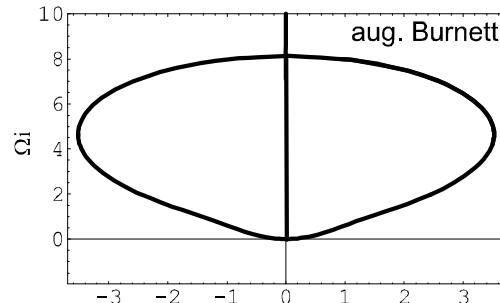
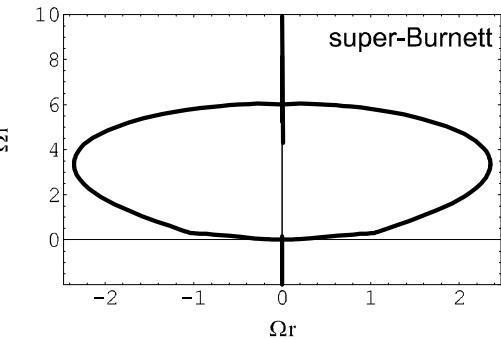
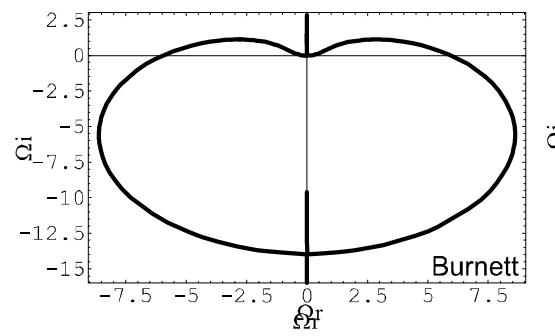
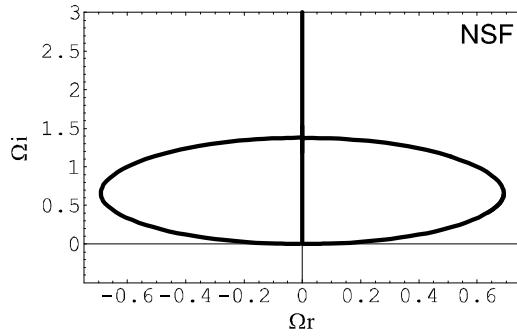
$$u_A = \tilde{u}_A \exp[-\alpha t] \exp[ik(v_{ph}t - x)]$$

**phase velocity and damping**

$$v_{ph} = \frac{\Omega_r(k)}{k} \quad \text{and} \quad \alpha = \Omega_i(k)$$

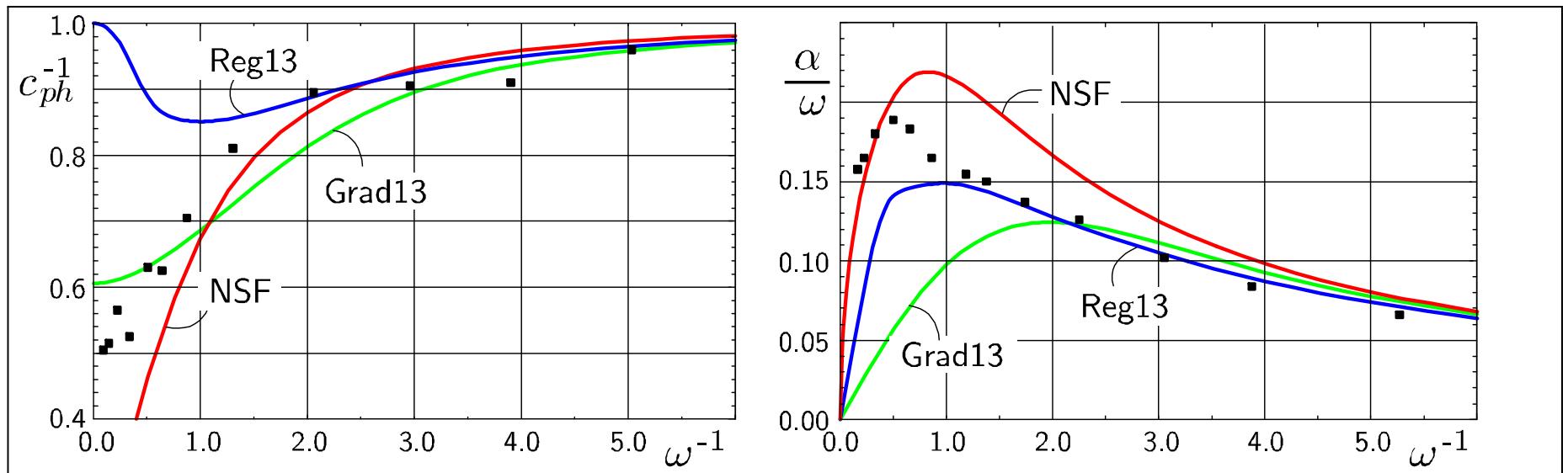
**stability**

$$\Omega_i(k) \geq 0$$



# Dispersion and Damping [HS & MT 2003]

phase speed and damping measured by Meyer and Sessler



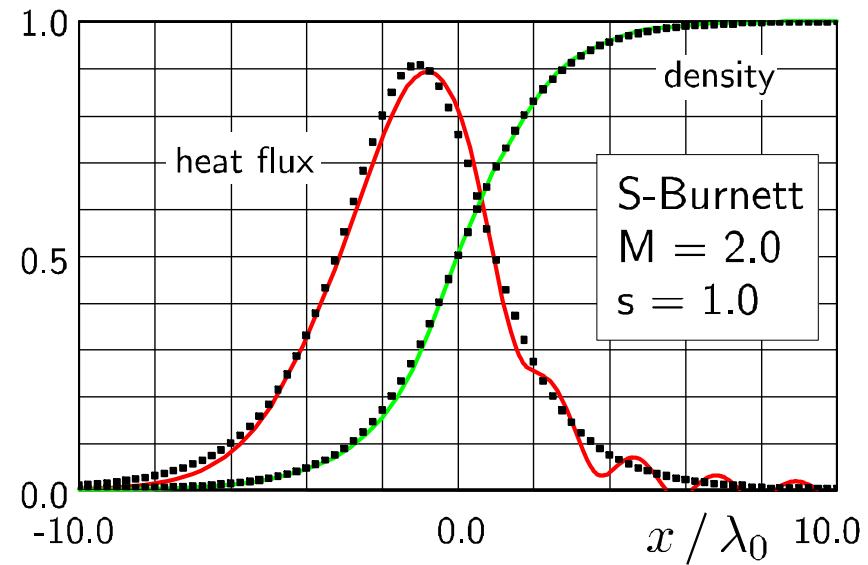
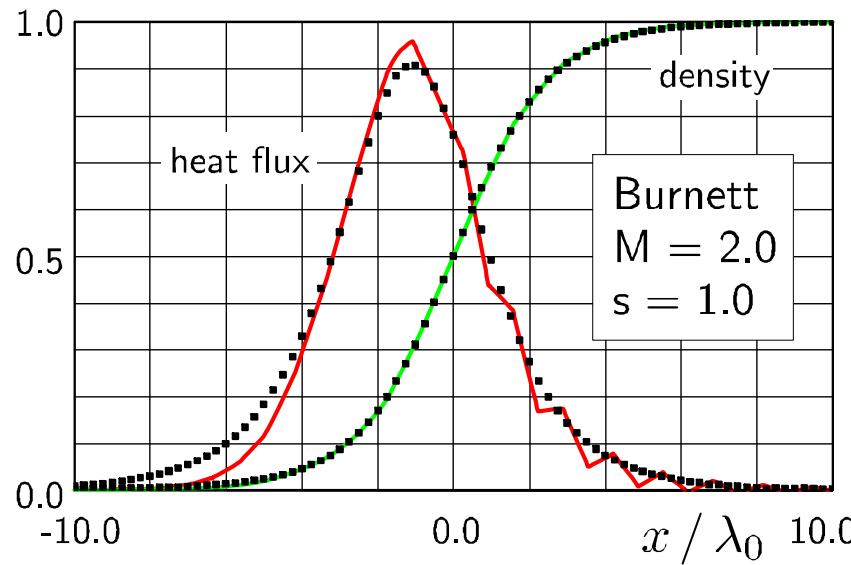
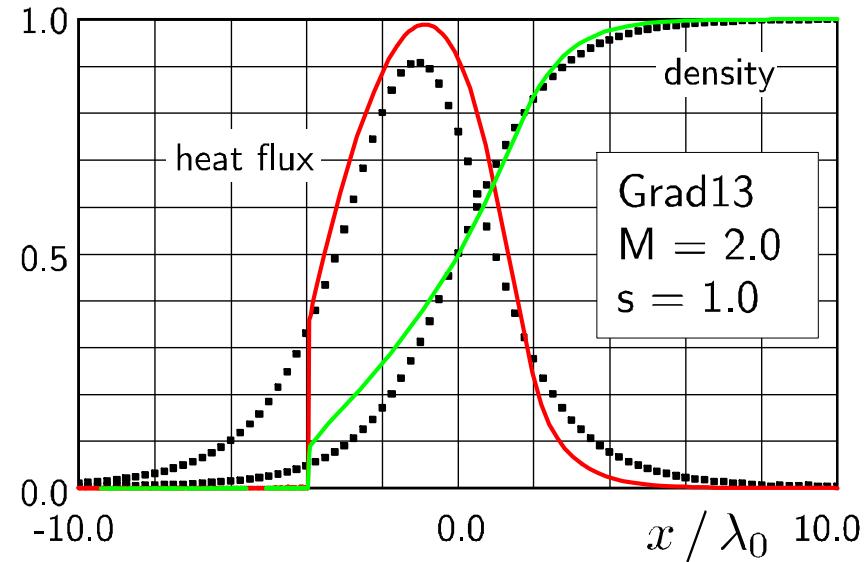
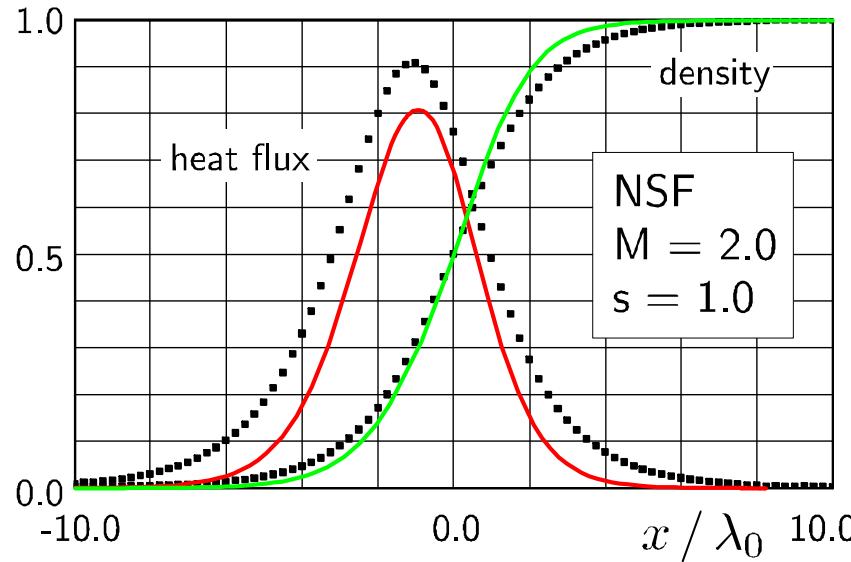
proper Knudsen number for oscillation

$$\text{Kn}_\Omega = \omega$$

$\Rightarrow$  R13 allows proper description close to natural limit  $\text{Kn}_\Omega = 1$

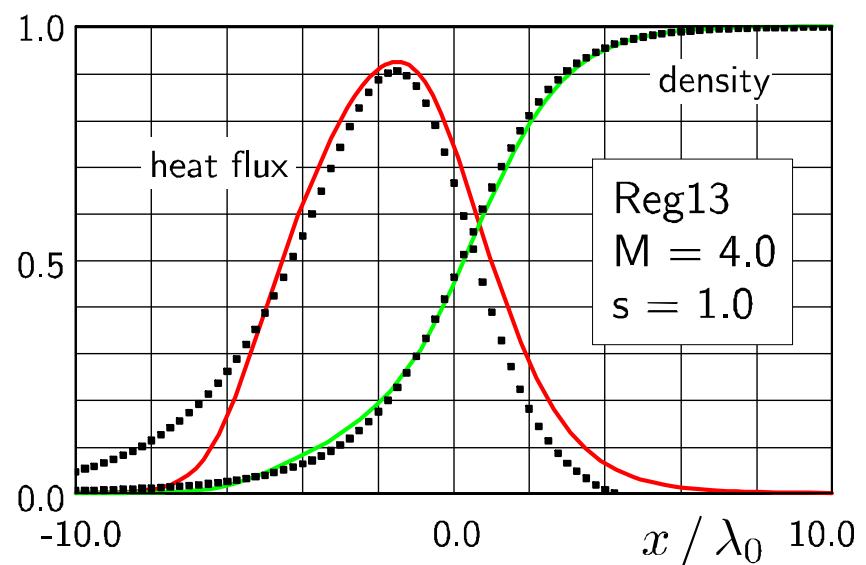
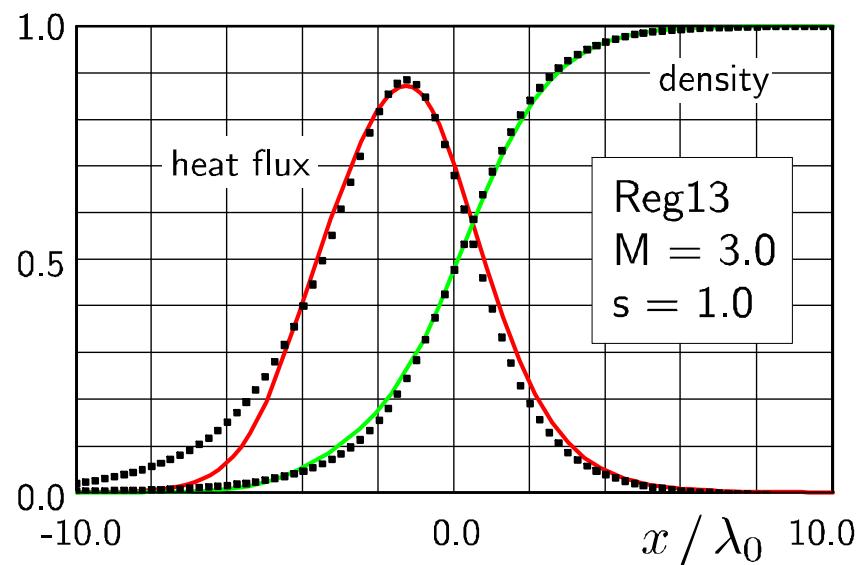
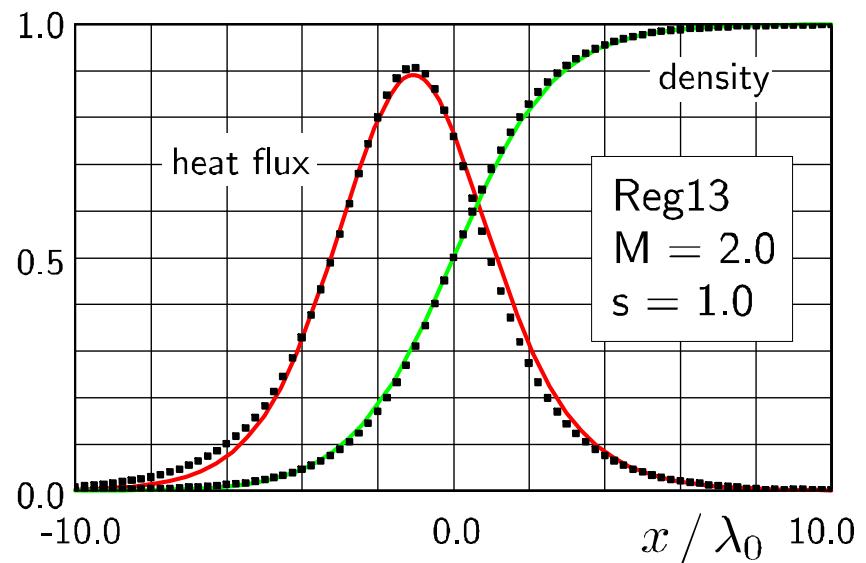
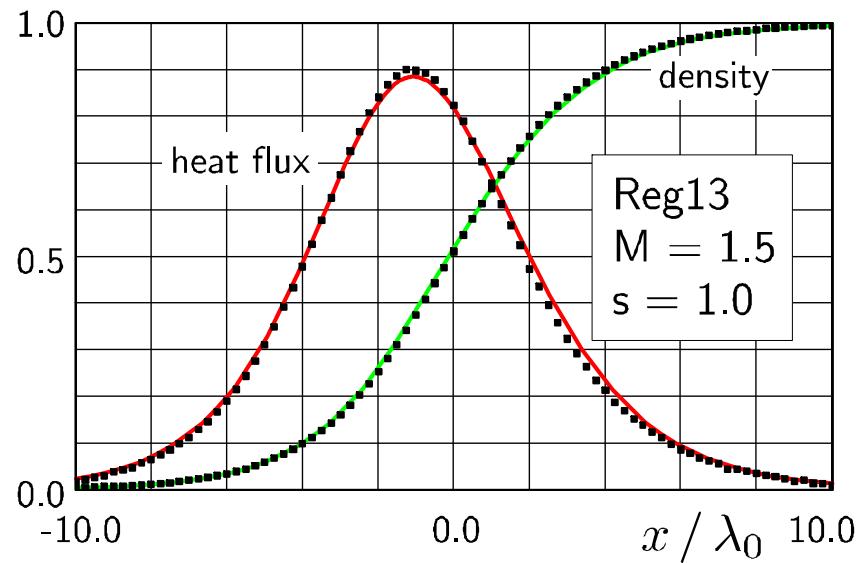
# Shocks: Comparison with DSMC results [MT & HS 2004]

Failure of NSF, Burnett, super-Burnett, and Grad13



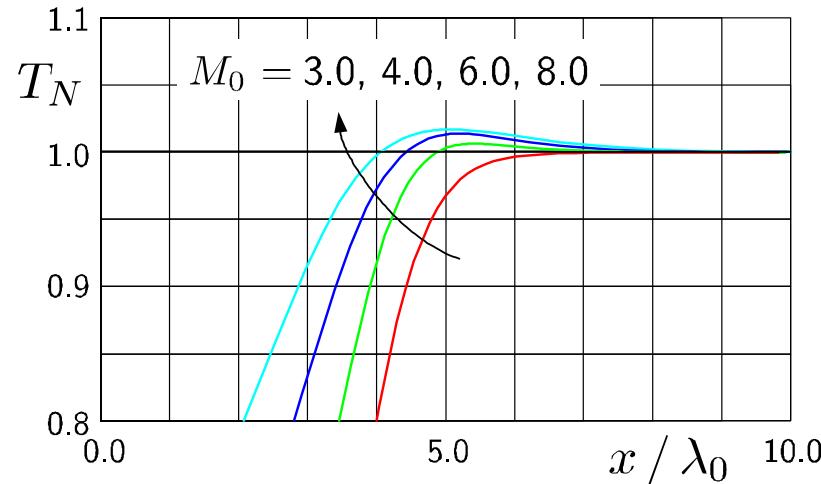
# Shocks: Comparison with DSMC results [MT & HS 2004]

## Success of R13



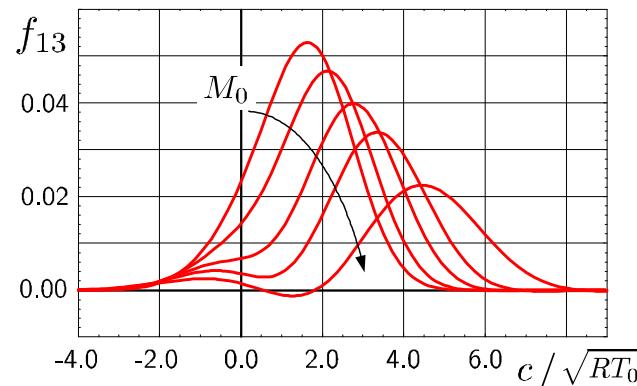
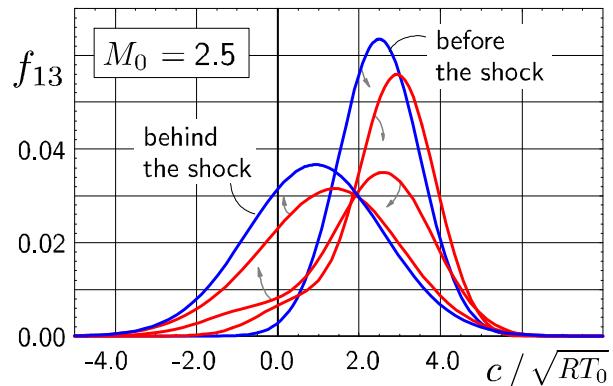
## Shocks: Temperature overshoot

R13: overshoot in agreement with DSMC, NSF: no overshoot



## Shocks: Positivity of distribution function

**Grad's distribution:**  $f_{13} = f_M \left( 1 + \frac{\sigma}{4\rho T^2} (3C_x^2 - C^2) - \frac{q}{\rho T^2} C_x \left( 1 - \frac{1}{5T} C^2 \right) \right)$



## H-Theorem for linear equations [HS & MT 2007]

**entropy balance**

$$\frac{D\eta}{Dt} + \frac{\partial\phi_k}{\partial x_k} = \Sigma \geq 0$$

**convex dimensionless entropy density** similar to [Bobylev 2007]

$$\eta = \eta_0 - \frac{1}{2}\rho^2 - \frac{1}{2}v_i v_i - \frac{3}{4}\theta^2 - \frac{1}{4}\sigma_{ij}\sigma_{ij} - \frac{1}{5}q_i q_i$$

**entropy flux**

$$\phi_k = -(\rho + \theta)v_k - v_i\sigma_{ik} - \theta q_k - \frac{2}{5}q_i\sigma_{ik} - \frac{1}{2}\sigma_{ij}u_{ijk}^0 - \frac{1}{5}q_i w_{ik}$$

**bulk entropy generation rate**

$$\Sigma = \frac{\sigma_{ij}\sigma_{ij}}{2Kn} + \frac{4}{15}\frac{q_i q_i}{Kn} - \frac{1}{2}u_{ijk}^0 \frac{\partial\sigma_{ij}}{\partial x_k} - \frac{1}{5}w_{ik} \frac{\partial q_i}{\partial x_k} \stackrel{!}{\geq} 0$$

**regularizing constitutive equations guarantee**  $\Sigma \geq 0$  **and linear stability**

$$w_{ij} = w_{ij}^1 + \frac{1}{3}w^2\delta_{ij} = -\frac{24}{5}Kn \frac{\partial q_{\langle i}}{\partial x_{j\rangle}} - 4Kn \frac{\partial q_k}{\partial x_k} \delta_{ij} , \quad u_{ijk}^0 = -2Kn \frac{\partial\sigma_{ij}}{\partial x_k}$$

## H-Theorem & boundary conditions [HS & MT 2007]

first and second law for solid wall at rest, temperature  $\theta_W$

$$c_v \frac{\partial \theta_W}{\partial t} + \frac{\partial q_k}{\partial x_k} = 0 \quad , \quad \frac{\partial \eta_W}{\partial t} + \frac{\partial \phi_k^W}{\partial x_k} = \Sigma_W$$

with  $\eta_W = \eta_W^0 - \frac{c_v}{2} \theta_W^2$ ,  $\phi_k^W = -\theta_W q_k$ ,  $\Sigma_W = -q_k \frac{\partial \theta_W}{\partial x_k}$

**entropy generation at wall:**  $\Sigma_W = (\phi_k^W - \phi_k) n_k \geq 0$

$$\begin{aligned} \Sigma_W = \bar{\sigma}_{ni} & \left[ v_i - v_i^W + \left( \frac{2}{5} - \alpha \right) \bar{q}_i + u_{inn}^0 \right] + \bar{q}_i \left[ \alpha \bar{\sigma}_{ni} + \frac{1}{5} w_{in} \right] \\ & + q_n \left[ \theta - \theta_W + \left( \frac{2}{5} - \beta \right) \sigma_{nn} + \frac{1}{5} w_{nn} \right] + \sigma_{nn} \left[ \beta q_n + \frac{3}{4} u_{nnn}^0 \right] + \frac{1}{2} \bar{\sigma}_{ij} u_{ijn}^0 \geq 0 \end{aligned}$$

**phenomenological boundary conditions guarantee**  $\Sigma_W \geq 0$

$$\begin{aligned} \bar{\sigma}_{ni} &= \gamma_1 \left[ v_i - v_i^W + \left( \frac{2}{5} - \alpha \right) \bar{q}_i + u_{inn}^0 \right] & \bar{q}_i &= \gamma_2 \left[ \alpha \bar{\sigma}_{ni} + \frac{1}{5} w_{ni} \right] \\ q_n &= \gamma_4 \left[ \theta - \theta_W + \left( \frac{2}{5} - \beta \right) \sigma_{nn} + \frac{1}{5} w_{nn} \right] & \sigma_{nn} &= \gamma_3 \left[ \beta q_n + \frac{1}{2} u_{nnn}^0 \right] & \bar{\sigma}_{ij} &= \gamma_5 \left[ \frac{1}{2} m_{ijn} \right] \end{aligned}$$

with phenomenological coefficients  $\gamma_1 - \gamma_5$ ,  $\alpha$ ,  $\beta$

# Kinetic boundary conditions

Maxwell's boundary condition for phase density:

$$\bar{f} = \begin{cases} \chi f_W + (1 - \chi) f_{gas} (-C_k^W n_k) & , C_k^W n_k \geq 0 \\ f_{gas} (C_k^W n_k) & , C_k^W n_k \leq 0 \end{cases}$$

$\chi$  – accommodation coefficient,  $n_k$  – wall normal,  $f_{gas}$  – incoming particles,  $C_k^W = c_k - v_k^W$

wall Maxwellian

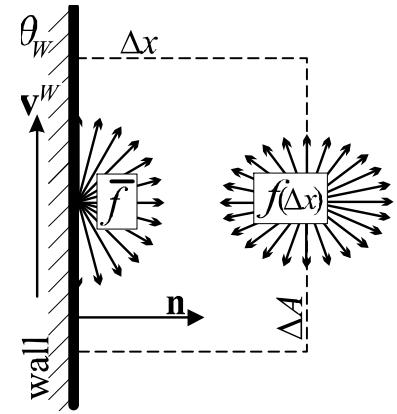
$$f_W = \frac{\rho_W}{m} \sqrt{\frac{1}{2\pi\theta_W}}^3 \exp \left[ -\frac{C_W^2}{2\theta_W} \right]$$

**kinetic BC for moments** continuity of fluxes

$$\bar{F}_{Ak} n_k = F_{Ak}^{gas} n_k$$

so that

$$F_{Ak} n_k = \frac{\chi}{1 - \chi} \int_{C_k^W n_k \geq 0} \Psi_A C_k^W n_k (f_W - f_{gas}) d\mathbf{c}$$



## Boundary conditions for moments [MT & HS 2008]

**Rule 1:**

**Continuity:** meaningful BC for all accommodation coefficients  $\chi \in [0, 1]$   
 $\Rightarrow$  only "odd fluxes" [Grad 1949]

**Rule 2:**

**Consistency:** kinetic BC only for fluxes that appear in equations

**Rule 3:**

**Coherence:** same number of BC for linearized and non-linear equations

**Rules 1 and 2** are straightforward

**Rule 3 requires algebraization:** e.g.,

$$u_{tnn}^0 = -\mu \left[ \frac{16}{15} \frac{\partial \sigma_{tn}}{\partial n} / \rho + \frac{32 q_n}{75 p} \frac{\partial v_t}{\partial n} \right] \Rightarrow u_{tnn}^0 = -\mu \left[ \frac{16}{15} \frac{\partial \sigma_{tn}}{\partial n} / \rho - \frac{32 q_n \sigma_{tn}}{75 p \mu} \right] + \mathcal{O}(Kn^3)$$

**Order of Magnitude in Kn is preserved!!**

## Boundary condition for moments [MT & HS 2008]

**kinetic BC** for odd fluxes (at left and right boundary)

$$\text{slip} \quad \sigma_{nt} = -\frac{\chi}{2-\chi} \sqrt{\frac{2}{\pi\theta}} \left[ PV_t + \frac{1}{5}q_t + \frac{1}{2}u_{tnn}^0 \right]$$

$$\text{jump} \quad q_n = -\frac{\chi}{2-\chi} \sqrt{\frac{2}{\pi\theta}} \left[ 2P(\theta - \theta_W) + \frac{5}{28}w_{nn} + \frac{1}{15}w_{kk} + \frac{1}{2}\theta\sigma_{nn} - \frac{1}{2}PV_t^2 \right]$$

$$w_{tn} = \frac{\chi}{2-\chi} \sqrt{\frac{2}{\pi\theta}} \left[ P\theta V_t - \frac{1}{2}\theta u_{tnn}^0 - \frac{11}{5}\theta q_t - PV_t^3 + 6P(\theta - \theta_W)V_t \right]$$

$$u_{nnn}^0 = \frac{\chi}{2-\chi} \sqrt{\frac{2}{\pi\theta}} \left[ \frac{2}{5}P(\theta - \theta_W) - \frac{1}{14}w_{nn} + \frac{1}{75}w_{kk} - \frac{7}{5}\sigma_{nn} - \frac{3}{5}PV_t^2 \right]$$

$$u_{tnn}^0 + \frac{1}{2}u_{nnn}^0 = -\frac{\chi}{2-\chi} \sqrt{\frac{2}{\pi\theta}} \left[ \theta \left( \sigma_{tt} + \frac{1}{2}\sigma_{nn} \right) + \frac{1}{14} \left( w_{tt} + \frac{1}{2}w_{nn} \right) - \frac{1}{2}PV_t^2 \right]$$

**bulk equation** (at left and right boundary)

$$u_{tnn}^0 = \frac{32}{45} \frac{\sigma_{tn}q_n}{p}$$

**mass conservation**

$$M = \int_{-L/2}^{L/2} \rho dx$$

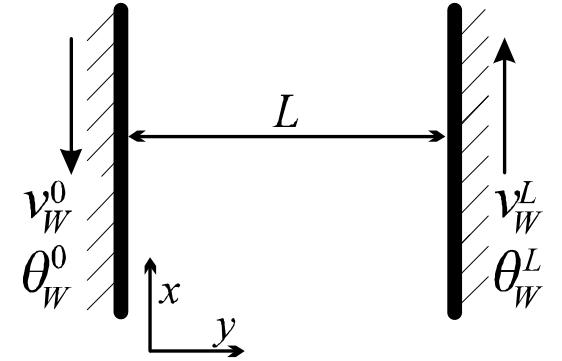
$$\text{with } V_t = v_t - v_t^W, \quad P = \left( \rho\theta + \frac{1}{2}\sigma_{nn} - \frac{1}{28}\frac{w_{nn}}{\theta} - \frac{1}{120}\frac{w_{kk}}{\theta} \right)$$

indices  $n, t$  indicate normal/tangential components

**Channel flow - 13 BC for 13 ODE's  $\implies$  well-posed problem!**

**kinetic BC for R13** pioneered by [Gu&Emerson 2007], **but too many BC lead to spurious wall layers**

## Couette flow with R13 [HS 2005, HS & MT 2008]



$\mathcal{O}(\text{Kn}^2)$  expansion of Grad13/R13/Burnett: bulk equations

$$\sigma_{12} = \text{const} , \quad p + \sigma_{22} = P_0 = \text{const} , \quad \frac{dq_2}{dy} = -\sigma_{12} \frac{dv}{dy}$$

$$\sigma_{12} = -\mu \frac{dv}{dy} , \quad q_2 = -\frac{15}{4}\mu \frac{d\theta}{dy} , \quad \sigma_{22} = -\frac{6}{5}\frac{\sigma_{12}\sigma_{12}}{P_0} , \quad q_1 = \frac{7}{2}\frac{\sigma_{12}q_2}{P_0}$$

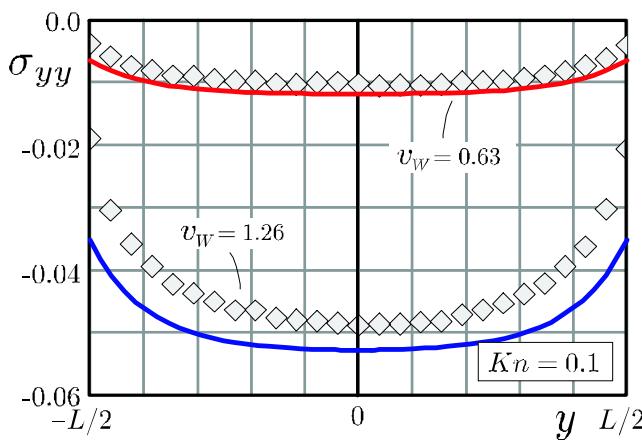
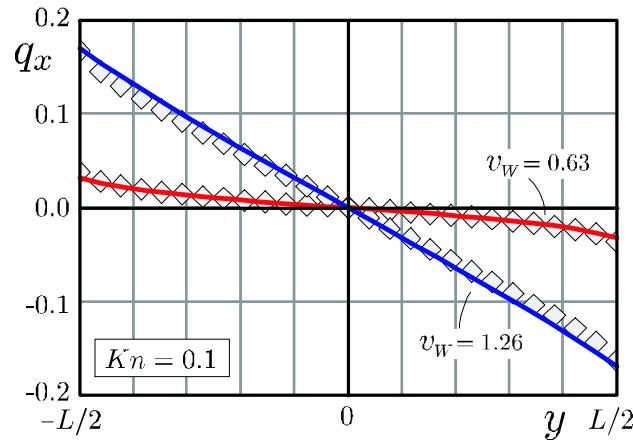
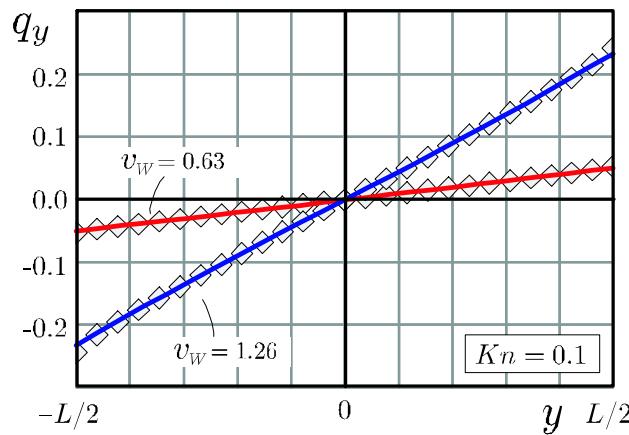
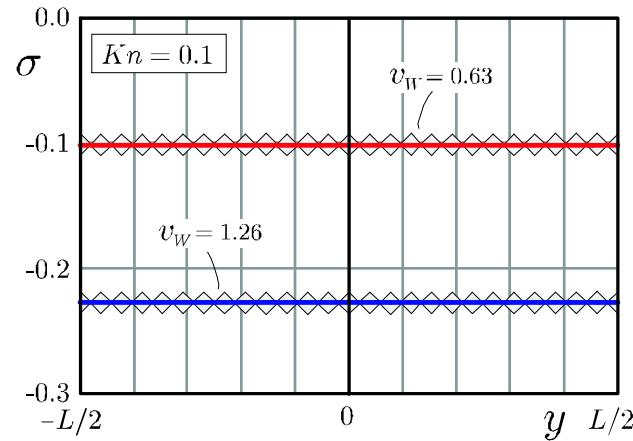
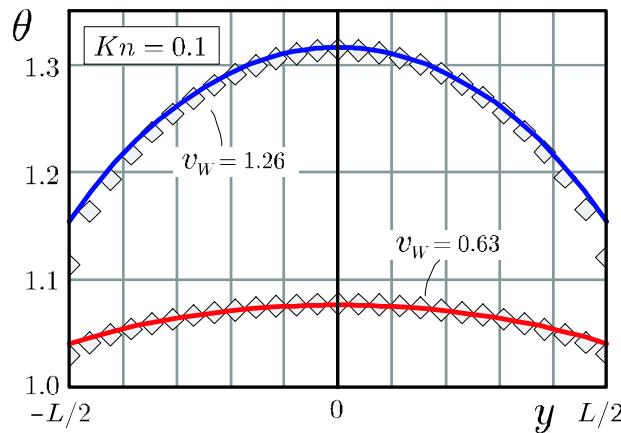
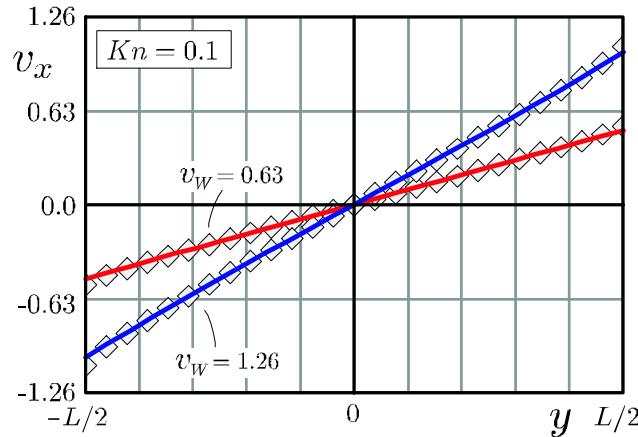
Linear R13 equations: Knudsen boundary layers

$$v(x) = v_0 - \sigma_{12} \frac{y}{\text{Kn}} - \frac{2}{5}q_1(y) \quad \text{with} \quad q_1(y) = A \sinh \left[ \sqrt{\frac{5}{9}} \frac{y}{\text{Kn}} \right] + B \cosh \left[ \sqrt{\frac{5}{9}} \frac{y}{\text{Kn}} \right]$$

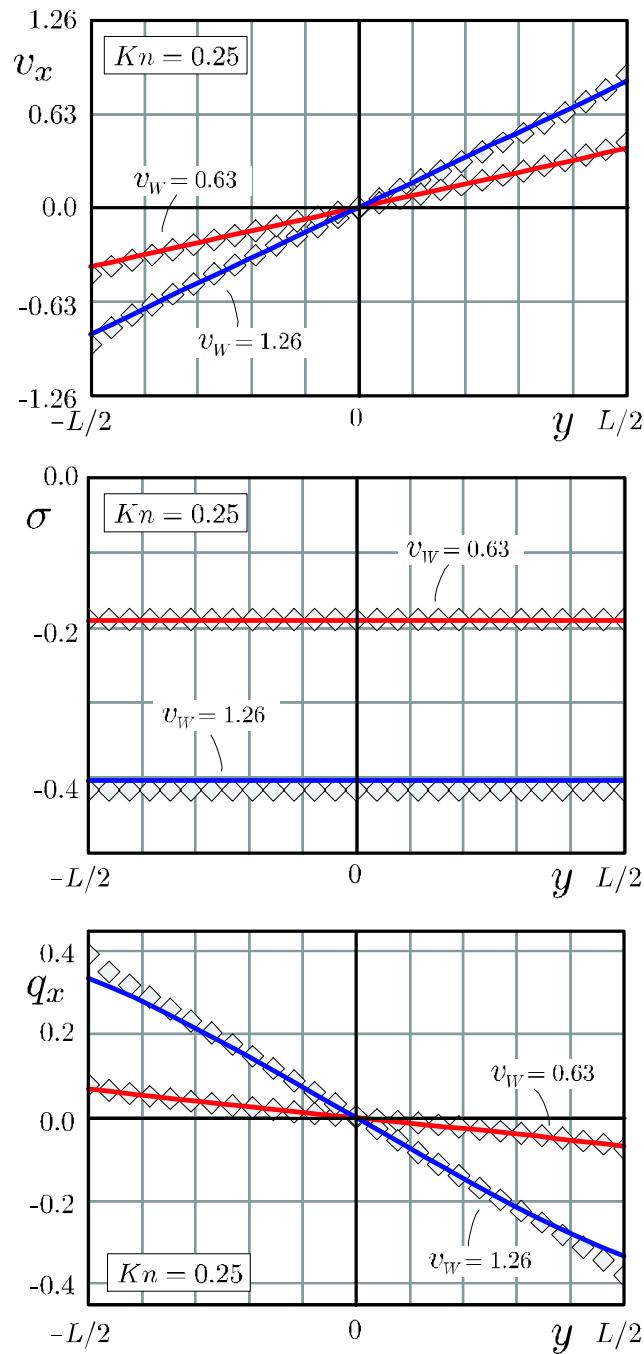
$$T(x) = T_0 - \frac{4q_1}{15} \frac{y}{\text{Kn}} - \frac{2}{5}\sigma_{22}(y) \quad \text{with} \quad \sigma_{22}(y) = C \sinh \left[ \sqrt{\frac{5}{6}} \frac{y}{\text{Kn}} \right] + D \cosh \left[ \sqrt{\frac{5}{6}} \frac{y}{\text{Kn}} \right]$$

analytical/numerical solutions are superpositions of bulk solutions and Knudsen layers

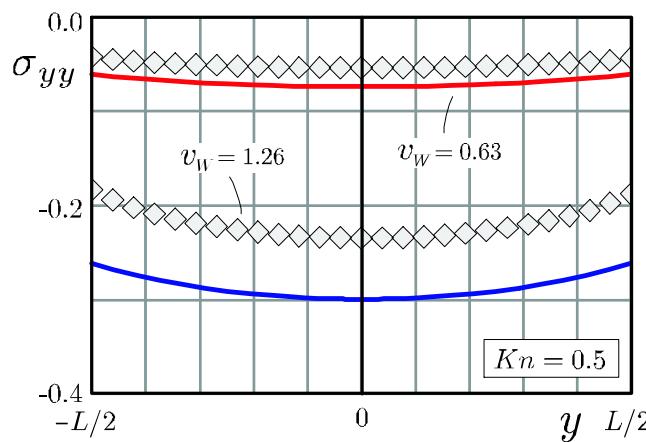
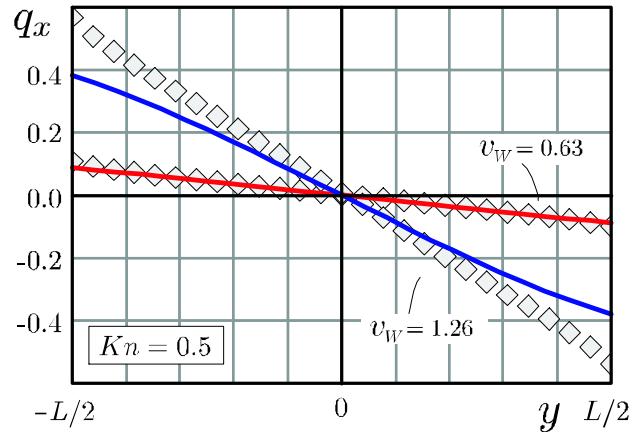
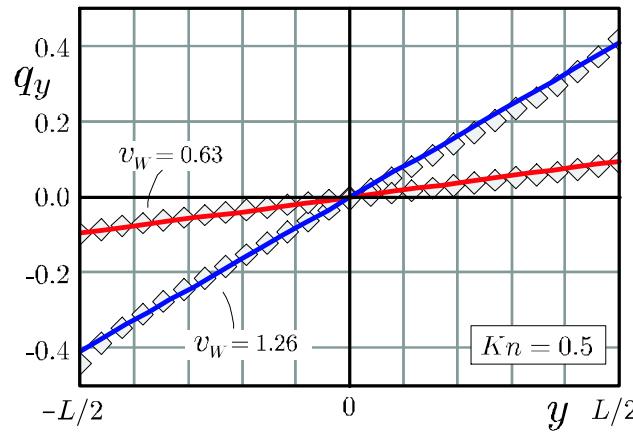
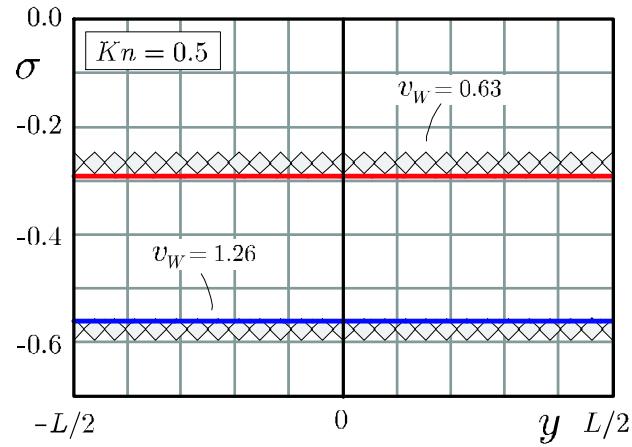
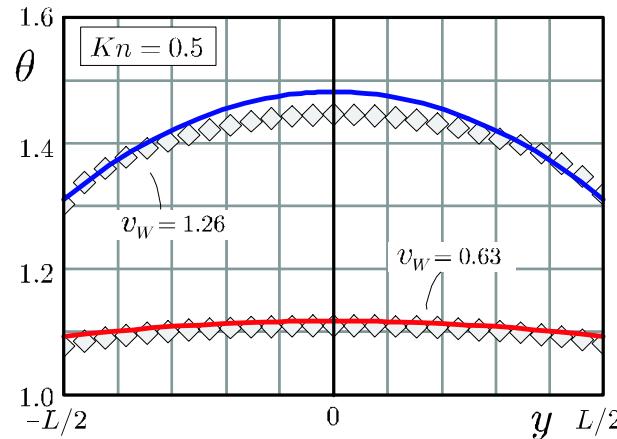
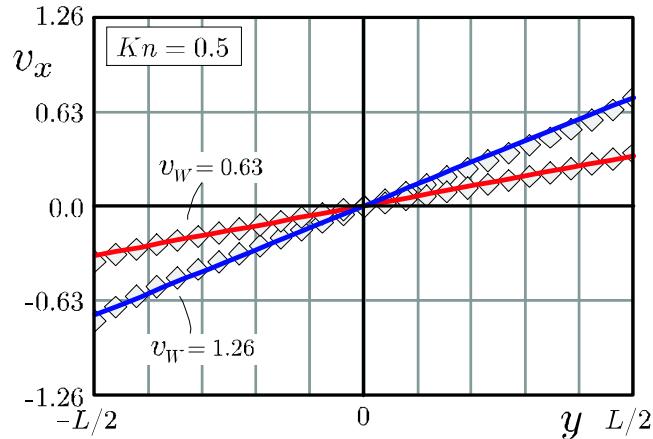
## Couette flow: $\text{Kn} = 0.1$ compared to DSMC [MT & HS 2008]



# Couette flow: $\text{Kn} = 0.25$ compared to DSMC [MT & HS 2008]



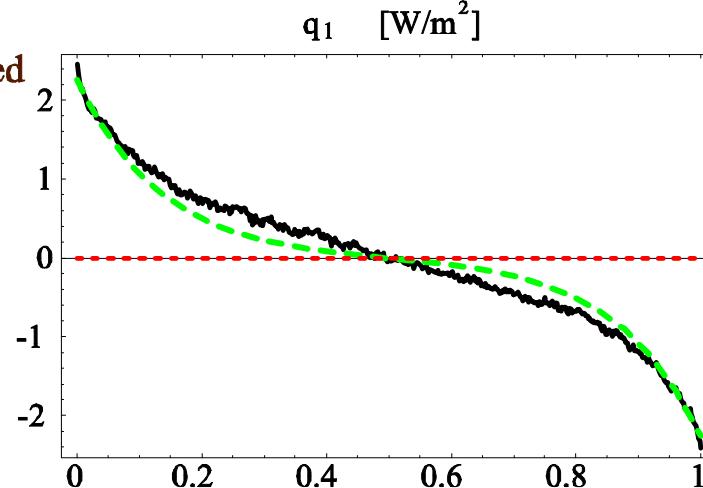
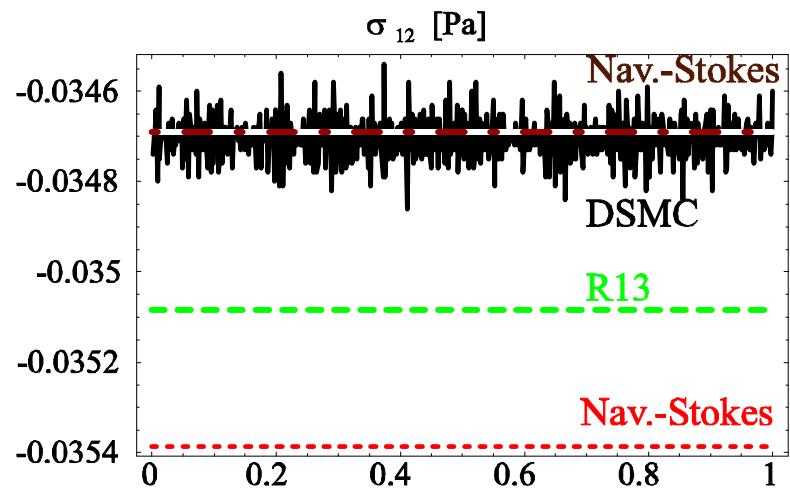
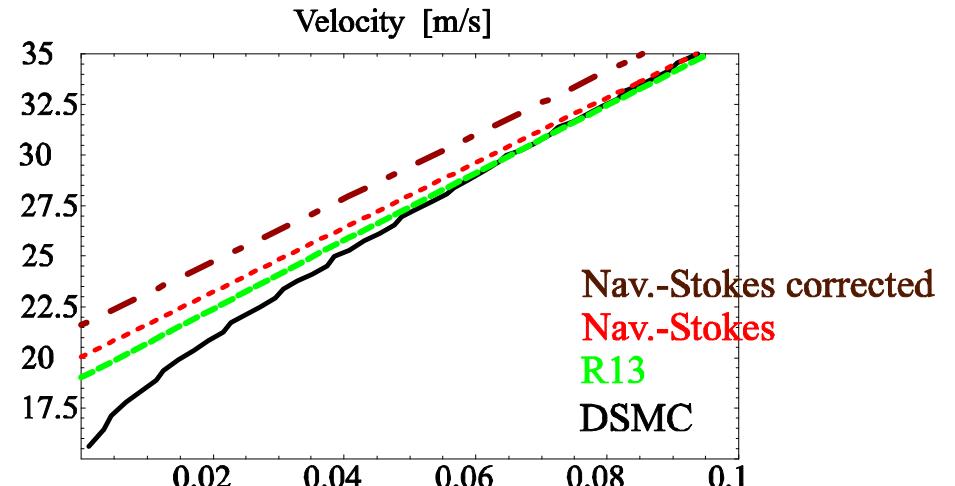
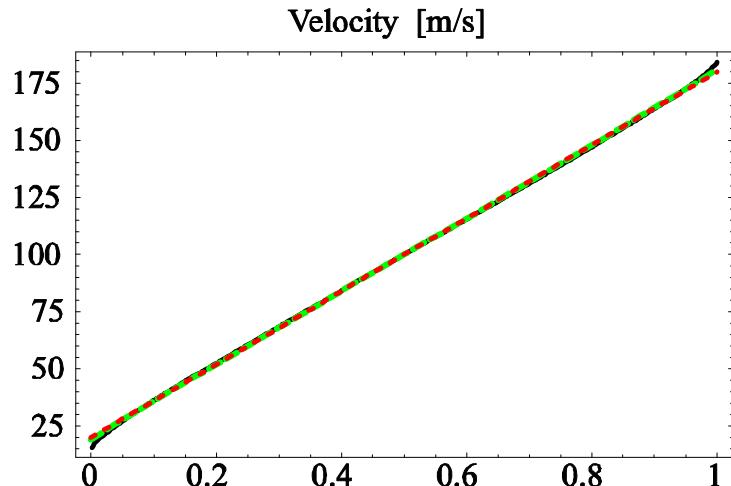
# Couette flow: $\text{Kn} = 0.5$ compared to DSMC [MT & HS 2008]



# Couette flow: $\text{Kn} = 0.1$ , DSMC, R13, Navier-Stokes [HS & MT 2008]

1st order jump condition for Navier-Stokes with Knudsen layer correction coefficient  $\alpha$

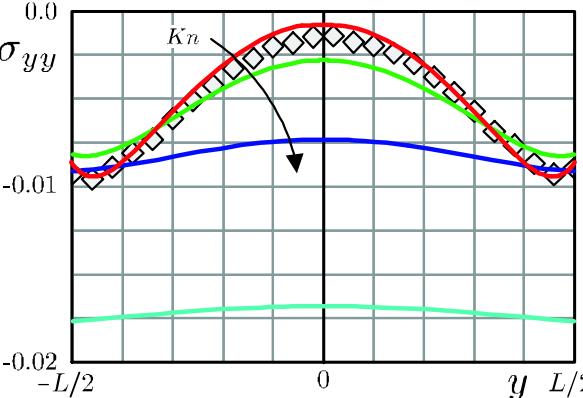
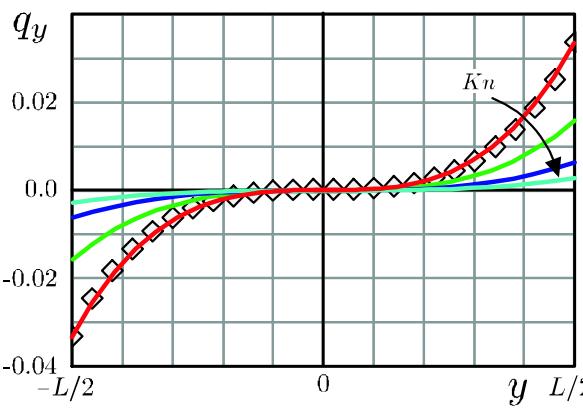
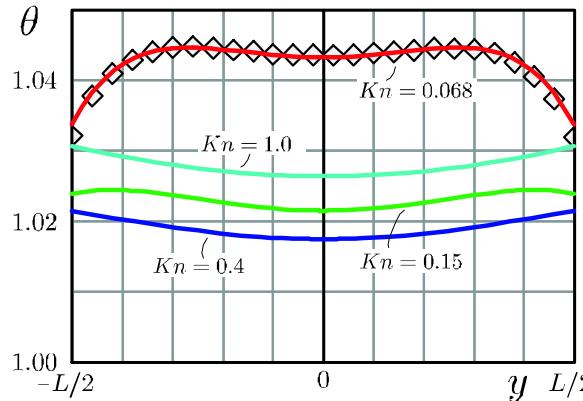
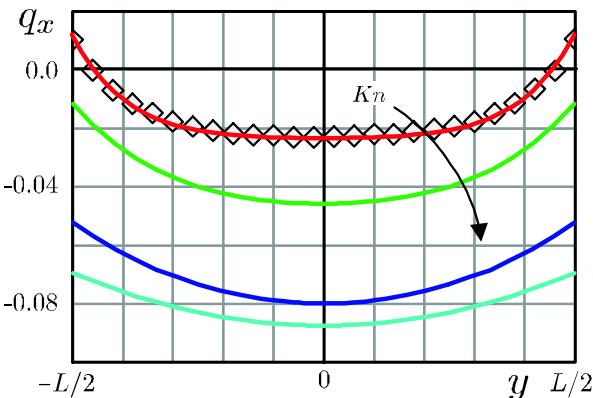
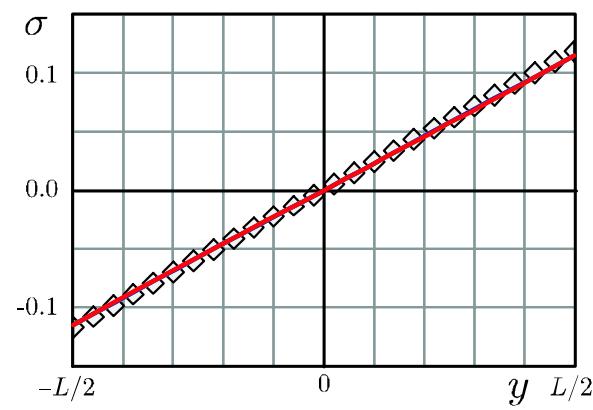
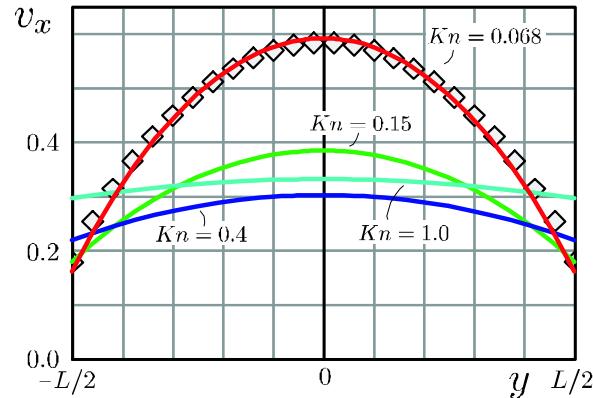
$$v - v_W = \alpha \frac{2 - \chi}{\chi} \text{Kn} \sqrt{\frac{\pi}{2}} \frac{\partial v}{\partial y} n_2$$



original Nav.-St.:  $\alpha = 1$  , corrected Nav.-St.:  $\alpha = 1.1$

# Force driven Poiseuille flow [PT, MT & HS 2008]

R13 equations exhibit temperature dip [Tij & Santos 1994/98, Xu 2003]



$$\begin{aligned} \theta = & C_8 - \frac{G_1^2}{Kn^2} \left[ \frac{y^4}{45} - \frac{488}{525} Kn^2 y^2 \right] \\ & + C_3 \frac{956}{375} G_1 Kn \cosh \left[ \frac{\sqrt{5}y}{3Kn} \right] \\ & + C_3 \frac{32}{35\sqrt{5}} \sigma_{12} \sinh \left[ \frac{\sqrt{5}y}{3Kn} \right] \\ & - C_6 \frac{2}{5} \cosh \left[ \frac{\sqrt{5}y}{\sqrt{6}Kn} \right] \end{aligned}$$

**superposition of  
bulk solution  
Knudsen layers**

# Force driven Poiseuille flow — Knudsen minimum [HS & MT 2008]

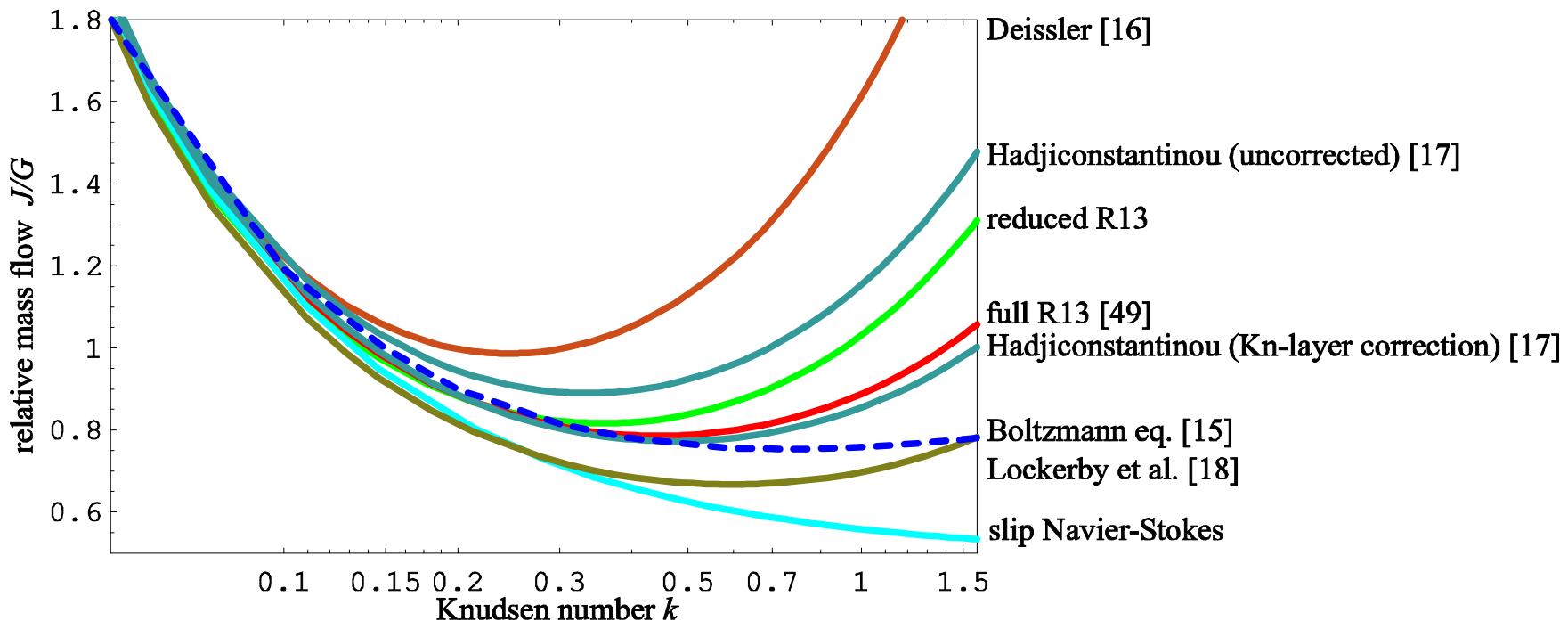
linearized Navier-Stokes with 2nd order slip (values for  $\alpha$  and  $\beta$  vary between authors)

$$\frac{\partial \sigma_{12}}{\partial y} = G_1 \quad , \quad \sigma_{12} = -\frac{\partial v}{\partial y} \quad , \quad v - v_W = \alpha \text{Kn} \sqrt{\frac{\pi}{2}} \frac{\partial v}{\partial y} n_2 - \beta \text{Kn}^2 \frac{\partial^2 v}{\partial y^2}$$

average mass flux  $J = \int v dy$

$$J_{NS} = \frac{G_1}{12 \text{Kn}} \left[ 1 + 6\sqrt{\frac{\pi}{2}} \alpha \text{Kn} + 12 \beta \text{Kn}^2 \right]$$

$$J_{R13} = \frac{G_1}{12 \text{Kn}} \left[ 1 + 6\sqrt{\frac{\pi}{2}} \left( 1 + \frac{\frac{1}{4}\sqrt{\frac{2}{5\pi}}}{1 + \frac{5\sqrt{5}}{12}} \right) \text{Kn} + 12 \frac{\frac{8}{15} + \frac{17\sqrt{5}}{36}}{1 + \frac{5\sqrt{5}}{12}} \text{Kn}^2 - \frac{18}{25} \text{Kn} \left( \frac{(1+5\text{Kn})^2}{1 + \frac{5\sqrt{5}}{12} \coth \frac{\sqrt{5}}{6\text{Kn}}} - \frac{1+10\text{Kn}}{1 + \frac{5\sqrt{5}}{12}} \right) \right]$$



comparison suggests  $\alpha = 1.046$  ,  $\beta = 0.823$

## Absorption heating (analog to Knudsen minimum) [HS & MT 2008]

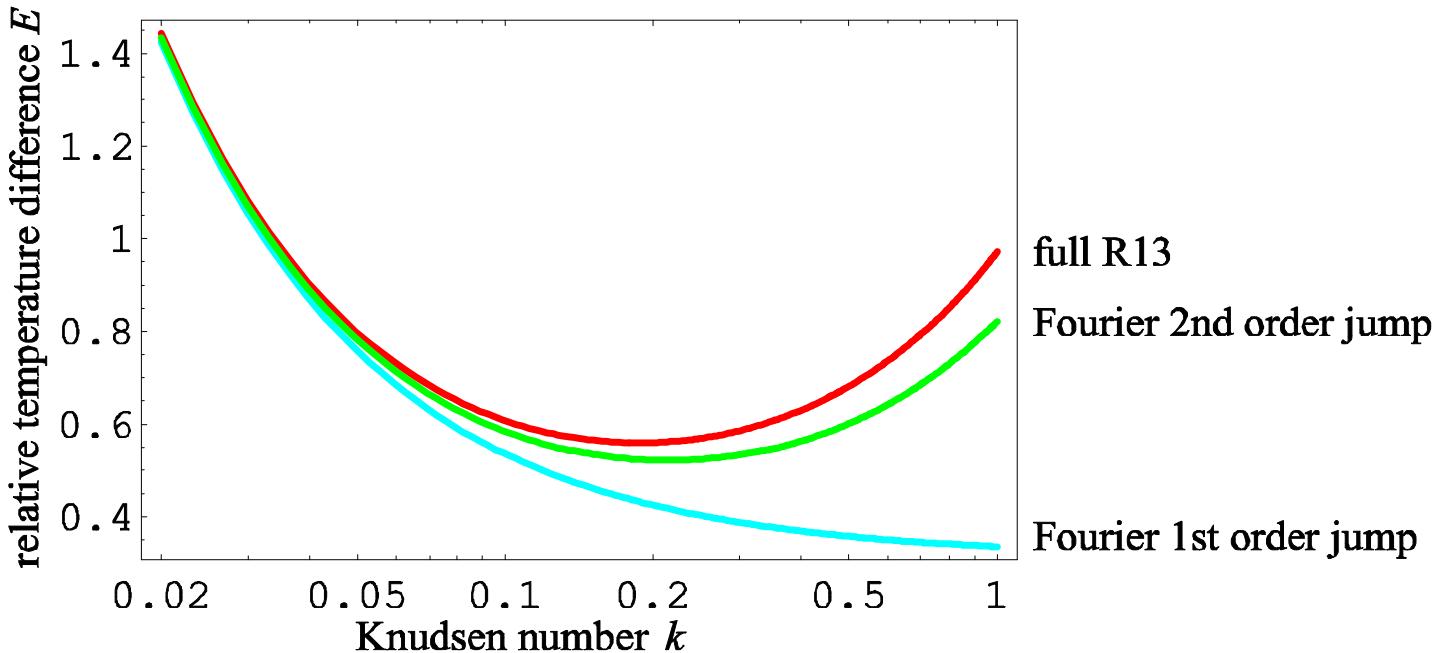
gas heated by radiation: gas at rest, walls at  $\theta_W$ , energy absorbed  $S$

average relative temperature  $E = \int \frac{\theta - \theta_W}{S} dy$

Fourier and R13 (second order jump condition)

$$E_F = \frac{1}{45} \frac{1}{Kn} + \frac{1}{4} \sqrt{\frac{\pi}{2}} + \frac{17}{35} Kn$$

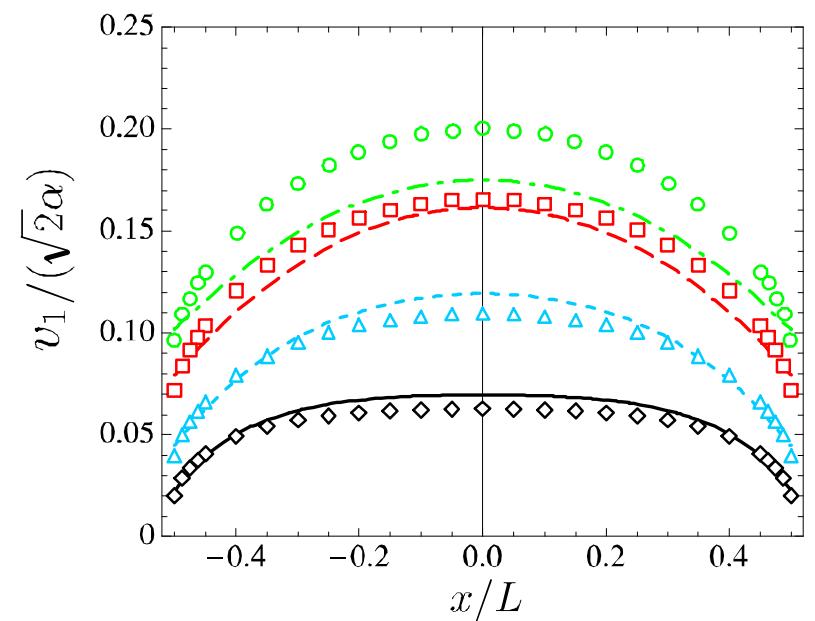
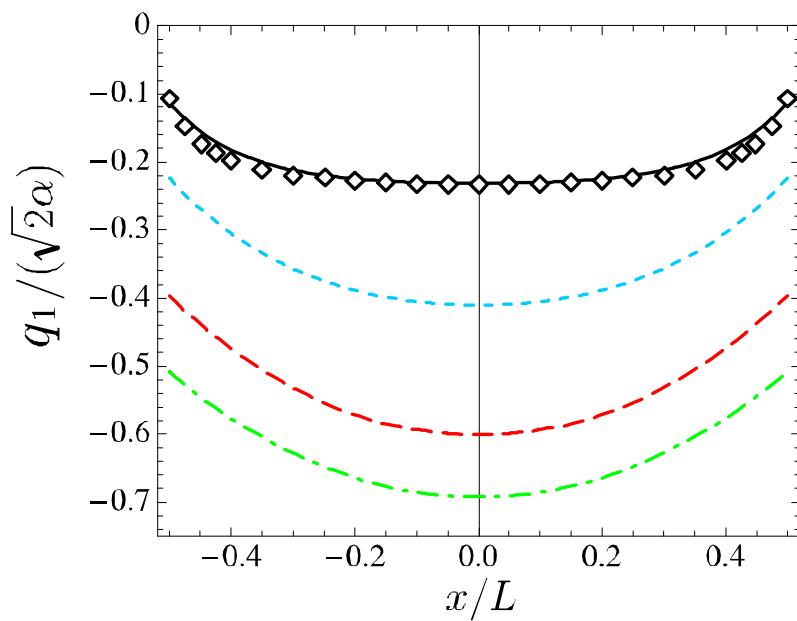
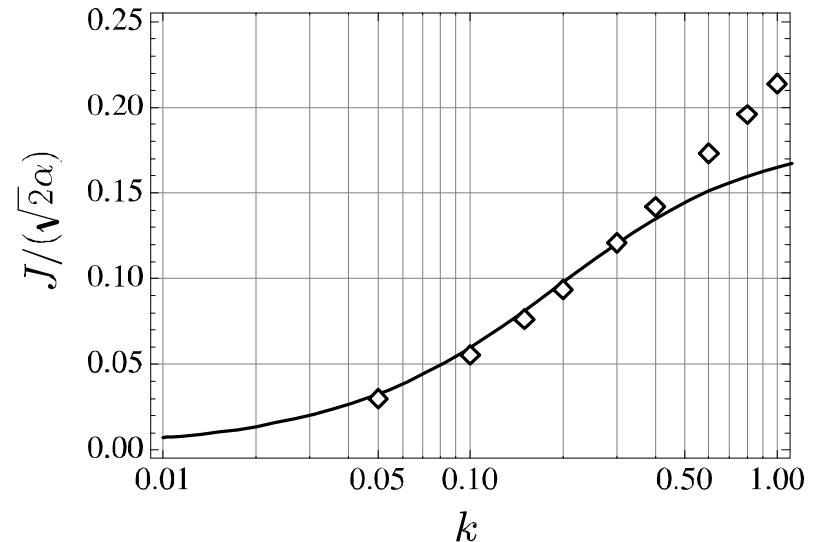
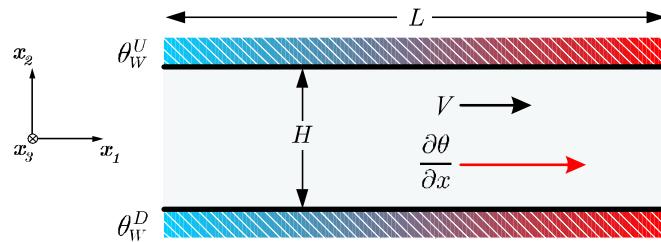
$$E_{R13} = \frac{1}{45} \frac{1}{Kn} + \frac{13}{50} \sqrt{\frac{\pi}{2}} + \frac{18}{25} Kn + \frac{\sqrt{\frac{6}{5}} (7\pi + 160Kn\sqrt{\frac{\pi}{2}} + 384Kn^2)}{140 \left( 15 \coth \left[ \sqrt{\frac{5}{6}} \frac{1}{2Kn} \right] + 2\sqrt{15\pi} \right)}$$



# Thermal transpiration flow [PT & HS 2008]

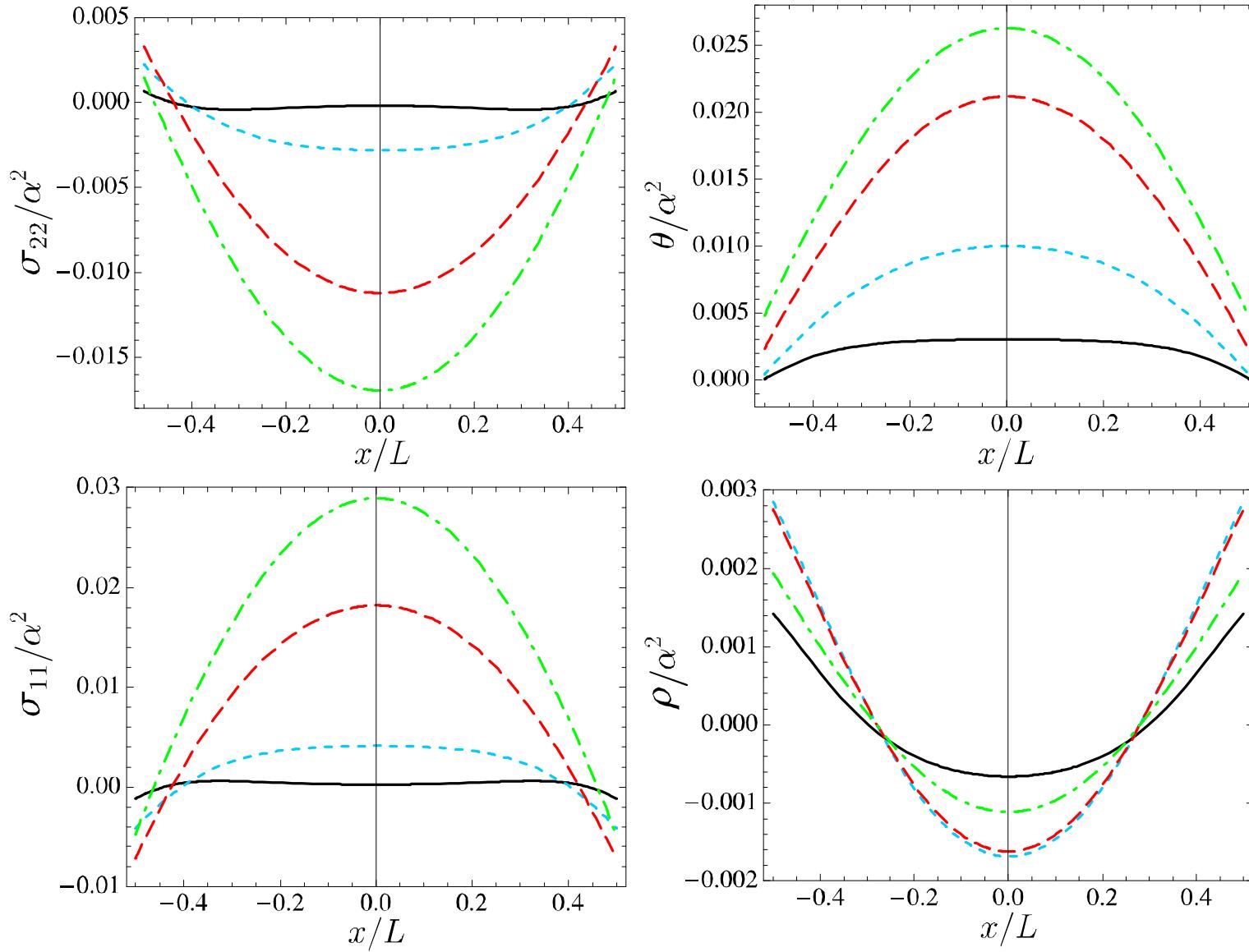
Flow driven by  $T$ -gradient in wall  $\text{Kn} = 0.09, 0.18, 0.35, 0.53$

mass flow, heat flux, velocity (R13, linear Boltzmann)



# Thermal transpiration flow [PT & HS 2008]

temperature profile and other non-linear effects (R13 prediction)



# Knudsen layers and moments [HS 2003, 2008]

heat transfer with many moments (simplified linear model )

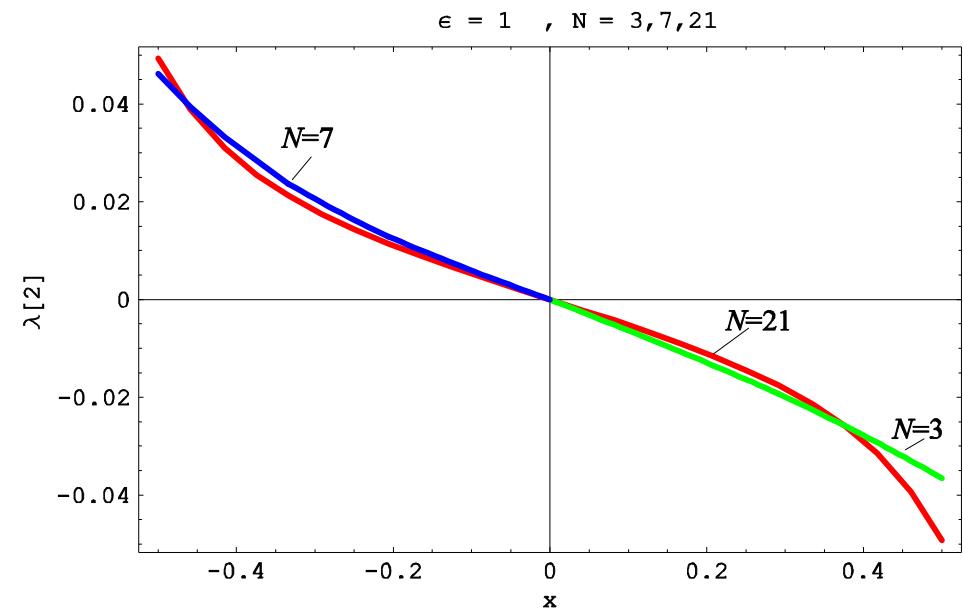
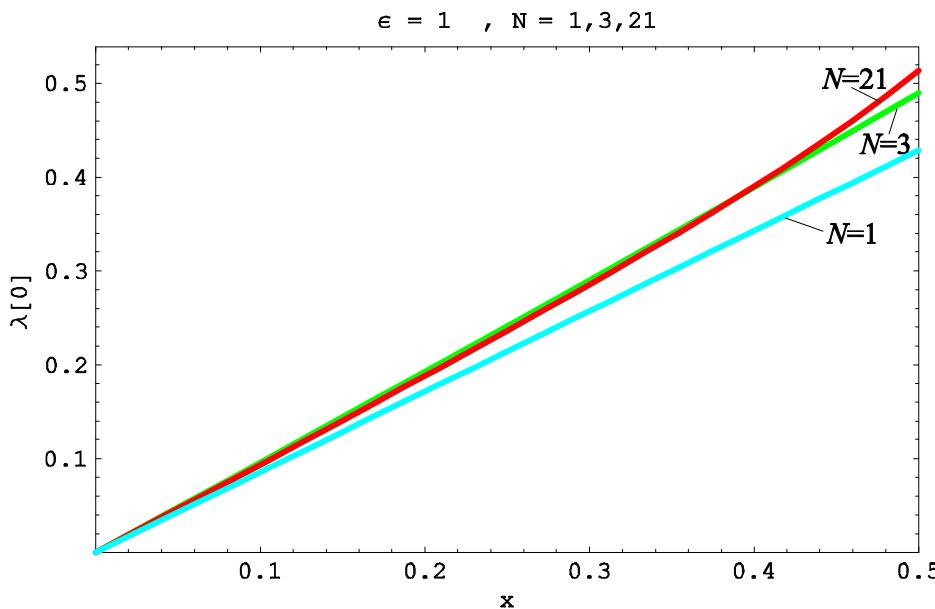
energy: linear plus Knudsen layers

$$q_0 = K - \frac{3}{\text{Kn}} \lambda_1 x - 2\lambda_2 \quad , \quad q_1 = \text{const.}$$

Knudsen layer moments ( $b^{(m)}$ ,  $\Phi_{nm}$  from eigenvalue problem)

$$\lambda_n = \sum_{m=2} \Phi_{nm} \Gamma_m^0 \exp \left[ -\frac{x}{\text{Kn} b^{(m)}} \right] \quad (n \geq 2)$$

energy density, second moment in transition regime  $\text{Kn} = 1$



marked Knudsen layers, already  $N = 3$  gives good agreement!!

$N = 1$  : no Knudsen layer, large deviation

# Knudsen layers and moments [HS 2003, 2008]

heat transfer with many moments (simplified linear model )

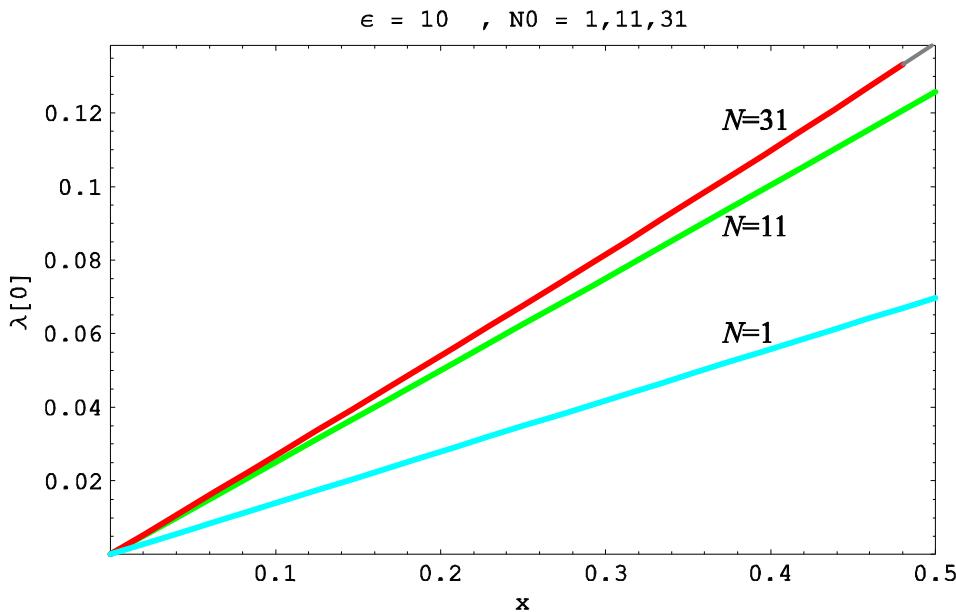
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Knudsen layer moments ( $b^{(m)}$ ,  $\Phi_{nm}$  from eigenvalue problem)

$$\lambda_n = \sum_{m=2} \Phi_{nm} \Gamma_m^0 \exp \left[ -\frac{x}{\text{Kn} b^{(m)}} \right] \quad (n \geq 2)$$

energy density, second moment in free molecular flow  $\text{Kn} = 10$



marked Knudsen layers, T-jump,  $N$  must be large ( $N \geq 31$ ) !!

## Knudsen layers and moments [HS 2003, 2008]

examination of equation, boundary conditions, solutions shows

- Knudsen layer amplitudes flow from BC
- Kn-expansion of equations (CE, order of magnitude, ...) not appropriate for Kn-layers
- Knudsen layers are 2nd order effects (amplitude  $\sim \text{Kn}^2$ )
- high resolution of Knudsen layers requires many moments (independent of CE-order!)
- equations with few Knudsen layers better than eqs. without

## Switching criteria for hybrid codes [D.Lockerby, J.Reese, HS 2009]

hybrid Boltzmann/NSF solvers:

use NSF for “small” Kn, Boltzmann for “large” Kn

requires local Knudsen number to distinguish domains

usual choice: gradient Knudsen number (mean free path  $\lambda$ )

$$\text{Kn}_G = \frac{\lambda}{\rho} \left| \frac{d\rho}{dx} \right|$$

not too bad: for strongly non-linear flow (steep gradients, shocks etc.)

problem:  $\text{Kn}_G \rightarrow 0$  for linear flow (microflows, ultrasound)

goal: local Knudsen number for linear and non-linear regime

# Switching criteria for hybrid codes [D.Lockerby, J.Reese, HS 2009]

Switch Boltzmann/R13  $\Rightarrow$  NSF

**Step 1:**

**compute**  $\rho, v_i, \theta, \sigma_{ij}, q_i$  **from Boltzmann/R13**

**Step 2:**

**compute**  $\sigma_{ij}^{(NSF)} = -\mu \frac{\partial v_{\langle i}}{\partial x_{j\rangle}, q_i^{(NSF)} = -\kappa \frac{\partial \theta}{\partial x_i}$  **from Boltzmann/R13**

**Step 3:**

**local Knudsen number as deviation from NSF**

$$\text{Kn}_\sigma = \frac{\left\| \sigma_{ij} - \sigma_{ij}^{(NSF)} \right\|}{\left\| \sigma_{ij}^{(NSF)} \right\|} \quad , \quad \text{Kn}_q = \frac{\left\| q_i - q_i^{(NSF)} \right\|}{\left\| q_i^{(NSF)} \right\|}$$

with

$$\|q_i\| = \sqrt{q_i q_i} = \sqrt{q_1^2 + q_2^2 + q_3^2}$$

$$\|\sigma_{ij}\| = \sqrt{\frac{1}{2} |\sigma_{ii}\sigma_{jj} - \sigma_{ij}\sigma_{ij}|} = \sqrt{\frac{1}{2} |\sigma_{ij}\sigma_{ij}|} = \sqrt{|\sigma_{11}^2 + \sigma_{11}\sigma_{22} + \sigma_{22}^2 + \sigma_{12}^2 + \sigma_{13}^2 + \sigma_{23}^2|}$$

## Switching criteria for hybrid codes [D.Lockerby, J.Reese, HS 2009]

Switch Boltzmann/R13  $\Rightarrow$  NSF

Example I: Shock structure with Burnett/R13

NSF and Burnett/R13 in shock (leading term)

$$\sigma_{11}^{(NSF)} = -\frac{4}{3}\mu \frac{dv}{dx}, \quad \sigma_{11}^{(B)} = \frac{A\mu^2}{p} \left(\frac{dv}{dx}\right)^2$$

local Knudsen number

$$Kn_\sigma^{(\text{shock})} = \frac{\sqrt{\frac{3}{4}}\sigma_{11}^{(B)}}{\sqrt{\frac{3}{4}}\sigma_{11}^{(NSF)}} = \left| \frac{\frac{A\mu^2}{p} \left(\frac{dv}{dx}\right)^2}{\frac{4}{3}\mu \left(\frac{dv}{dx}\right)} \right| = \left| \frac{3A}{4p} \mu \frac{dv}{dx} \right| = \alpha \text{Ma} \frac{\lambda}{\rho} \left| \frac{d\rho}{dx} \right| .$$

similar to gradient Knudsen number

## Switching criteria for hybrid codes [Lockerby, Reese, HS 2009]

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Example II: Nonlinear shear flow with second order hydrodynamics

R13/Burnett (to second order in Kn)

$$\sigma_{12} = -\mu \frac{dv}{dy}, \quad \sigma_{11} = \frac{8}{5} \frac{\sigma_{12}\sigma_{12}}{p}, \quad \sigma_{22} = -\frac{6}{5} \frac{\sigma_{12}\sigma_{12}}{p}, \quad q_1 = \frac{7}{2} \frac{\sigma_{12}q_2}{p}, \quad q_2 = -\frac{15}{4} \mu R \frac{dT}{dy}$$

local Knudsen numbers

$$Kn_{\sigma}^{(\text{shear})} = \sqrt{\frac{52}{25}} \left| \frac{\sigma_{12}}{p} \right| = \hat{\alpha} Ma \frac{\lambda}{v} \left| \frac{dv}{dy} \right|$$

$$Kn_q^{(\text{shear})} = \frac{7}{2} \left| \frac{\sigma_{12}}{p} \right| = \check{\alpha} Ma \frac{\lambda}{v} \left| \frac{dv}{dy} \right|$$

similar to gradient Knudsen number

# Switching criteria for hybrid codes [Lockerby, Reese, HS 2009]

Switch Boltzmann/R13  $\Rightarrow$  NSF

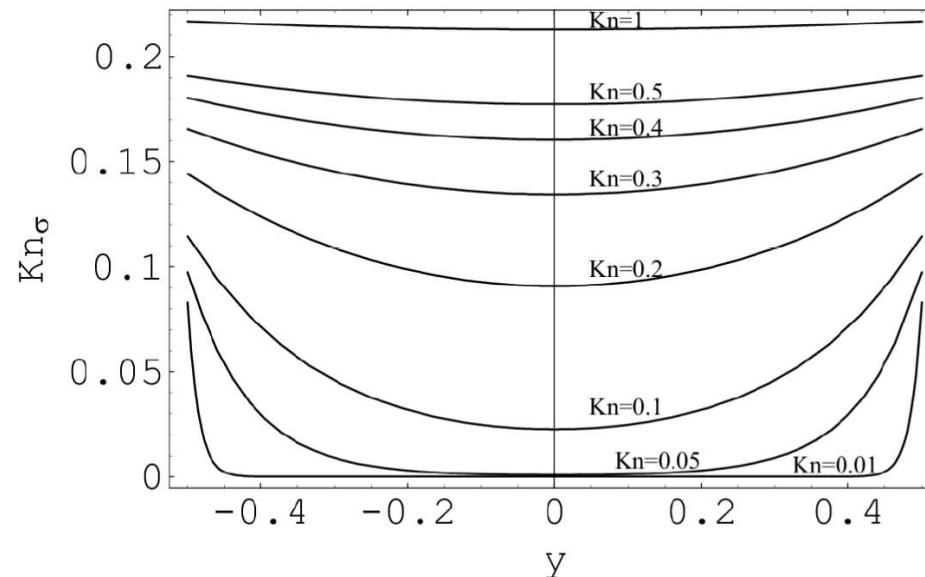
**Example III: Linear Poiseuille flow with the R13 equations**

**R13** (driving force  $F$ , global Knudsen number  $\text{Kn}$ )

$$\sigma_{12} = Fy \quad , \quad v = F \left[ \frac{1}{2\text{Kn}} \left( \frac{1}{4} - y^2 \right) + \frac{1}{2} \sqrt{\frac{\pi}{2}} + \frac{5}{6} \text{Kn} + \frac{\frac{3}{25} (1 + 5\text{Kn}) \left( \frac{1}{2} - \frac{\cosh \left[ \sqrt{\frac{5}{9}} \frac{y}{\text{Kn}} \right]}{\cosh \left[ \frac{\sqrt{5}}{6\text{Kn}} \right]} \right)}{1 + \frac{12}{5\sqrt{5}} \tanh \left[ \frac{\sqrt{5}}{6\text{Kn}} \right]} \right]$$

**local Knudsen number**

$$\text{Kn}_\sigma = \frac{\|\sigma_{ij} - \sigma_{ij}^{(NS)}\|}{\|\sigma_{ij}^{(NS)}\|} \quad \text{with} \quad \sigma_{12}^{(NSF)} = -\text{Kn} \frac{\partial v}{\partial y} = Fy + F \frac{\frac{1}{5\sqrt{5}} (1 + 5\text{Kn})}{1 + \frac{12}{5\sqrt{5}} \tanh \left[ \frac{\sqrt{5}}{6\text{Kn}} \right]} \frac{\sinh \left[ \sqrt{\frac{5}{9}} \frac{y}{\text{Kn}} \right]}{\cosh \left[ \frac{\sqrt{5}}{6\text{Kn}} \right]}$$



# Switching criteria for hybrid codes [D.Lockerby, J.Reese, HS 2009]

Switch NSF  $\Rightarrow$  Boltzmann/R13

Step 1:

compute  $\rho^{(NSF)}$ ,  $v_i^{(NSF)}$ ,  $\theta^{(NSF)}$ , and  $\sigma_{ij}^{(NSF)}$ ,  $q_i^{(NSF)}$  from NSF

Step 2:

insert NSF result into R13 to compute mismatch

$$\begin{aligned}\sigma_{ij}^{(R13)} &= -\frac{\mu}{p} \left[ 2p \frac{\partial v_{\langle i}}{\partial x_{j\rangle} + \frac{D\sigma_{ij}}{Dt} + \sigma_{ij} \frac{\partial v_k}{\partial x_k} + \frac{4}{5} \frac{\partial q_{\langle i}}{\partial x_{j\rangle} + 2\sigma_{k\langle i} \frac{\partial v_{j\rangle}}{\partial x_k} + \frac{\partial m_{ijk}}{\partial x_k} \right]^{(NSF)} \\ q_i^{(R13)} &= -\frac{3\mu}{2p} \left[ \frac{5}{2} p \frac{\partial \theta}{\partial x_i} + \frac{Dq_i}{Dt} + \frac{5}{2} \sigma_{ik} \frac{\partial \theta}{\partial x_k} + \theta \frac{\partial \sigma_{ik}}{\partial x_k} - \theta \sigma_{ik} \frac{\partial \ln \rho}{\partial x_k} + \frac{7}{5} q_k \frac{\partial v_i}{\partial x_k} + \dots \right]^{(NSF)}\end{aligned}$$

Step 3:

local Knudsen number as deviation from NSF

$$Kn_\sigma = \frac{\left\| \sigma_{ij}^{(R13)} - \sigma_{ij}^{(NS)} \right\|}{\left\| \sigma_{ij}^{(NS)} \right\|} \quad , \quad Kn_q = \frac{\left\| q_i^{(R13)} - q_i^{(F)} \right\|}{\left\| q_i^{(F)} \right\|}$$

identifies non-linear rarefaction effects

identifies linear bulk effects, can't identify Knudsen layers,

## Switching criteria for hybrid codes [Lockerby, Reese, HS 2009]

Switch NSF  $\Rightarrow$  Boltzmann/R13

Example: linear shear flow with driving force  $F$

NSF reduce to

$$\frac{d\sigma_{12}^{(NS)}}{dy} = F \quad , \quad \sigma_{12}^{(NS)} = -Kn \frac{dv}{dy}$$

R13 reduce to

$$\frac{d\sigma_{12}^{(R13)}}{dy} = F \quad , \quad \sigma_{12}^{(R13)} = -Kn \frac{dv}{dy} + \frac{52}{15} Kn^2 \frac{d^2\sigma_{12}}{dy^2} + \frac{9}{5} Kn^3 \frac{d^3v}{dy^3} - \frac{48}{25} Kn^4 \frac{d^4\sigma_{12}}{dy^4}$$

feed NSF into R13

$$\sigma_{12}^{(R13)} = -Kn \frac{dv}{dy} - \frac{5}{3} Kn^3 \frac{d^3v}{dy^3} + \frac{48}{25} Kn^5 \frac{d^5v}{dy^5} = \sigma_{12}^{(NS)} + \frac{5}{3} Kn^2 \frac{dF}{dy} - \frac{48}{25} Kn^4 \frac{d^3F}{dy^3}$$

local Knudsen number

$$Kn_\sigma = Kn^2 \frac{\left| \frac{5}{3} \frac{dF}{dy} - \frac{48}{25} Kn^2 \frac{d^3F}{dy^3} \right|}{\int F dy}$$

## Summary: Regularized 13 moment equations

- rational derivation from Boltzmann equation
- contain Burnett and super-Burnett in CE expansion
- linearly stable
- phase speeds and damping match experiments better than NSF, Grad13
- smooth shock structures for all  $\text{Ma}$ , accurate for  $\text{Ma} < 3$
- H-theorem for linear case, including boundary conditions !
- furnished with complete theory of boundary conditions
- just enough moments to exhibit Knudsen boundary layers
- excellent agreement to DSMC simulations for all rarefaction effects
- accessible to other moment sets: R20 [Mizzi-Gu-Emerson], R10 [McDonald-Groth]

## Future work

- 2-D/3-D/transient simulations
- increased understanding of BC for non-linear case
- RXY equations for polyatomic gases and mixtures

**So: How Many Moments Do We Need, Really?**

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**R13 is the minimum!**

- jump and slip
- Knudsen layers
- non-linear bulk effects
- smooth shocks

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**but . . . the more the merrier ?**