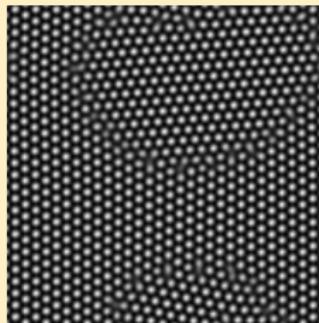
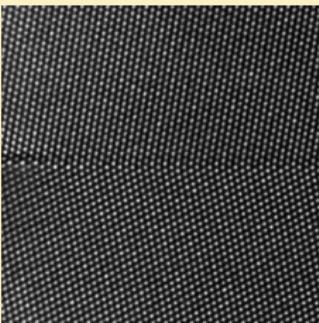


Extracting Macroscopic Data from Microscopic Images -

grain boundaries and macroscopic deformations from images on atomic scale

Martin Rumpf, Bonn University

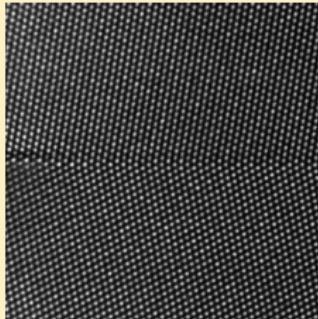


■ Extracting macroscopic data from microscopic images

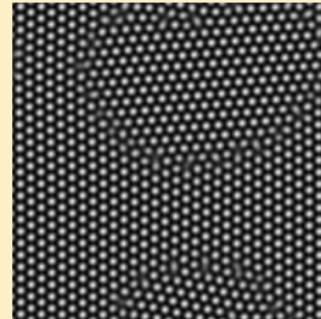
joint work with:

B. Berkels, O. Nemitz (Bonn),
A. Rätz, A. Voigt (Dresden)

■ macroscopic parameters from microscopic observations

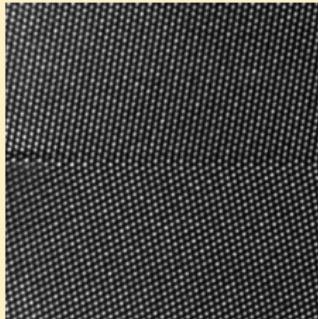


transmission electron microscopy
(courtesy G.H. Campell,
Lawrence Livermore Nat. Lab.)

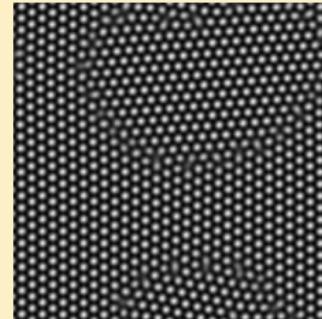


phase field cristal simulation
[Rätz, Voigt '06]

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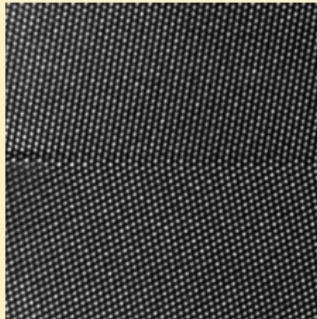
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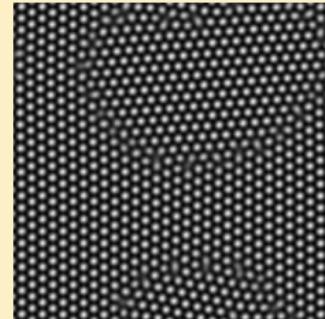
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aim: identification of grain boundary contours,
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→ a generalized Mumford Shah approach

■ Recall: Mumford Shah free discontinuity problem

Given $g : \Omega \rightarrow \mathbb{R}$ find an set $S \subset \Omega$ and a piecewise smooth $u : \Omega \setminus S \rightarrow \mathbb{R}$ such that

$$E[u, S] = \int_{\Omega} (u - g)^2 \, dx + \mu \int_{\Omega \setminus S} |\nabla u|^2 \, dx + \nu \mathcal{H}^{d-1}(S)$$

is minimized.

[Mumford, Shah '86]

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Shape optimization perspective

Suppose Ω is partitioned into domains \mathcal{O}_i ($i = 1, \dots, m$) with $\Omega = \bigcup_{i=1}^m \mathcal{O}_i$, $\mathcal{O}_i \cap \mathcal{O}_j = \emptyset$ and consider

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Then we ask for a minimizing partition $(\mathcal{O}_i)_{i=1,\dots,m}$ of

$$E[(\mathcal{O}_i)_{i=1,\dots,m}] = E[(u[\mathcal{O}_i], \mathcal{O}_i)_{i=1,\dots,m}]$$

■ General structure of the Mumford Shah functional

$$E[(u_i, \mathcal{O}_i)_i] = \sum_i (E_{\text{fid}}[u_i, \mathcal{O}_i] + E_{\text{prior}, u}[u_i, \mathcal{O}_i] + E_{\text{prior}, \mathcal{O}}[\mathcal{O}_i])$$

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in our generalized Mumford Shah approach:

- a **macroscopic** orientation parameter describes the **microscopic** lattice anisotropy
- a **macroscopic** deformation influences the lattice pattern

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- unsupervised texture segmentation using markov random fields [[Manjunath, Chellappa '91](#)]

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■ An energy based on local pattern classification

- description of the lattice:
-
-
-
-

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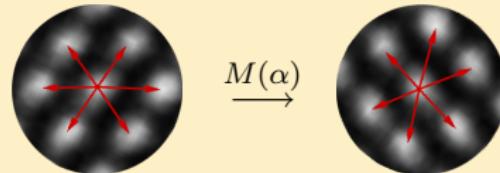
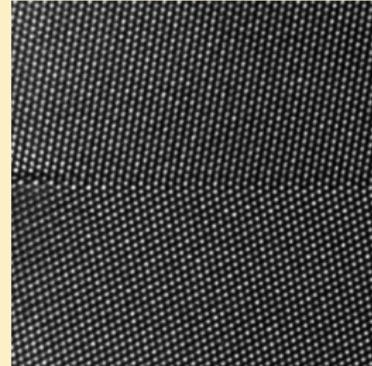
■ description of the lattice:

x atom position

$x + M(\alpha)q_i$ neighbour locations
($i = 1, \dots, m$)

$$M(\alpha) := \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix}$$

[e.g. $q_i := d \left(\cos \left(i \frac{\pi}{3} \right), \sin \left(i \frac{\pi}{3} \right) \right)$]



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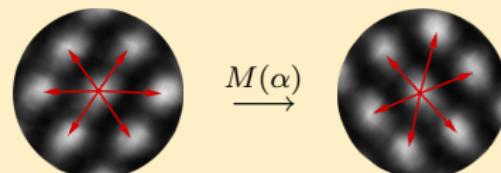
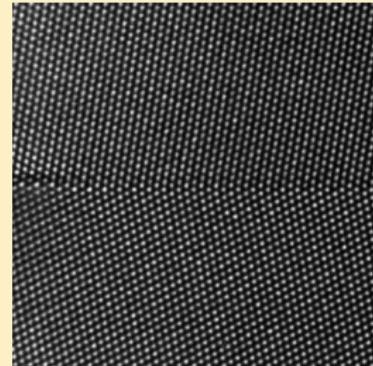
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indicator function for atomic dots:

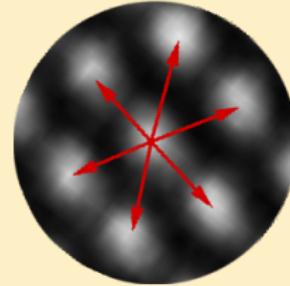
$$\chi_{[u>\theta]}(x) := \begin{cases} 1; & u(x) > \theta \\ 0; & \text{else} \end{cases}$$

■ An energy based on local pattern classification (cont.)

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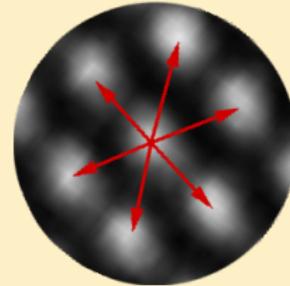
local lattice classification function:

■ An energy based on local pattern classification (cont.)

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local lattice classification function:

$$f[\alpha](x) = \frac{d^2}{m r^2} \chi_{[u > \theta]}(x) \sum_{i=1}^m (1 - \chi_{[u > \theta]}(x + M(\alpha)q_i)),$$

where d distance between atom dots,
 m number of neighbouring dots,
 r dot radius.

■ An energy based on local pattern classification (cont.)

- macroscopic lattice orientation function:

$$\alpha = \sum_{j=1, \dots, n} \alpha_j \chi_{\mathcal{O}_j}$$

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Mumford Shah type functional E_{grain} acting on
lattice orientations α_j and grain domains \mathcal{O}_j :

$$E_{\text{grain}}[(\alpha_j, \mathcal{O}_j)_{j=1, \dots, n}] = \sum_{j=1, \dots, n} \left(\int_{\mathcal{O}_j} f[\alpha_j](x) dx + \frac{\nu}{2} \mathcal{H}^1(\partial \mathcal{O}_j) \right),$$

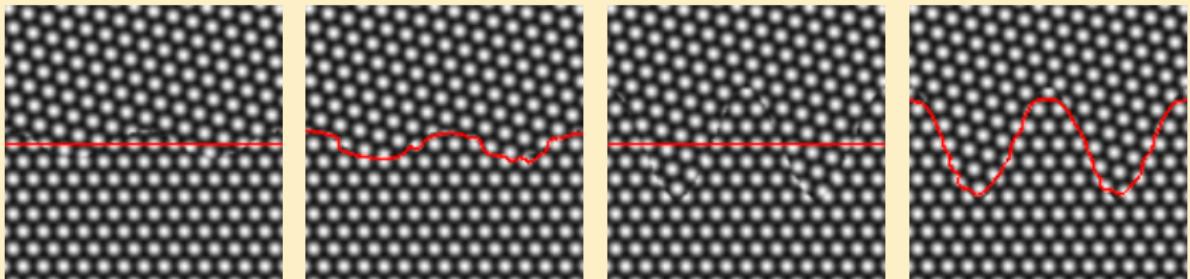
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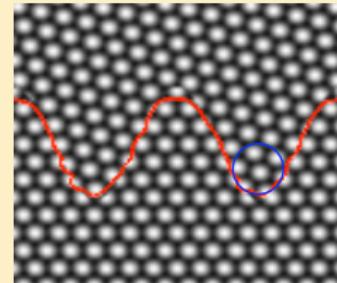
■ An energy based on local pattern classification (cont.)

■ direct consequences of the variational approach:

- relation between interface curvature on $\mathcal{O}_j \cap \mathcal{O}_k$ and fidelity term:

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$$0 \leq f[\alpha] \leq \frac{d^2}{r^2} \Rightarrow |\kappa| \leq \frac{d^2}{\nu r^2}$$



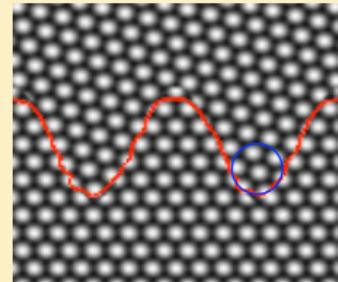
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- Young's law at triple points, i.e. three grains always meet at equal angles of $\frac{2}{3}\pi$.

- numerical algorithm for grain boundary extraction
- Recall: Chan-Vese approximation

Recall: Chan-Vese approximation

- for the original Mumford Shah functional

- $$E[u, S] = \int_{\Omega} (u - g)^2 \, dx + \mu \int_{\Omega \setminus S} |\nabla u|^2 \, dx + \nu \mathcal{H}^{d-1}(S)$$

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$$\begin{aligned} E[u_1, u_2, \phi] = & \int_{\Omega} H(\phi)((u_2 - g)^2 + \mu|\nabla u_2|^2) + \\ & (1 - H(\phi))((u_1 - g)^2 + \mu|\nabla u_1|^2) \, dx + \nu |D H(\phi)|(\Omega) \end{aligned}$$

cf. [Vese, Chan '99]

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where $H_{\delta}(s) = \frac{1}{2} + \frac{1}{\pi} \arctan\left(\frac{x}{\delta}\right)$.

cf. [Vese, Chan '99]

■ Grain boundary extraction by a Chan-Vese approach

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- regularization parameter ϵ for the microscopic interfaces
 $(\epsilon \leq \delta)$

Numerical relaxation with regularized gradient descent

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■ for fixed $\Phi^k \in \mathcal{V}_h$ update α_1^k, α_2^k via a discrete version of

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■ Numerical relaxation with regularized gradient descent

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Algorithm (in the two grain case):

- for fixed $\Phi^k \in \mathcal{V}_h$ update α_1^k, α_2^k via a discrete version of

$$\alpha_1^{k+1} = \alpha_1^k - \tau \int_{\Omega} H_{\delta}(\phi) \partial_{\alpha_1} f_{\epsilon}[\alpha_1^k]$$

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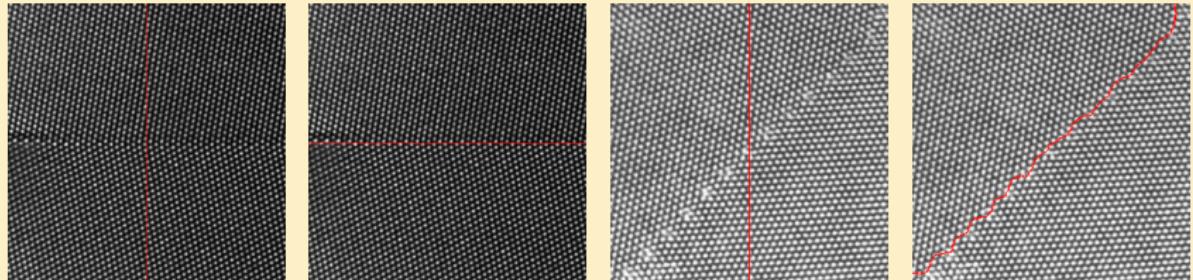
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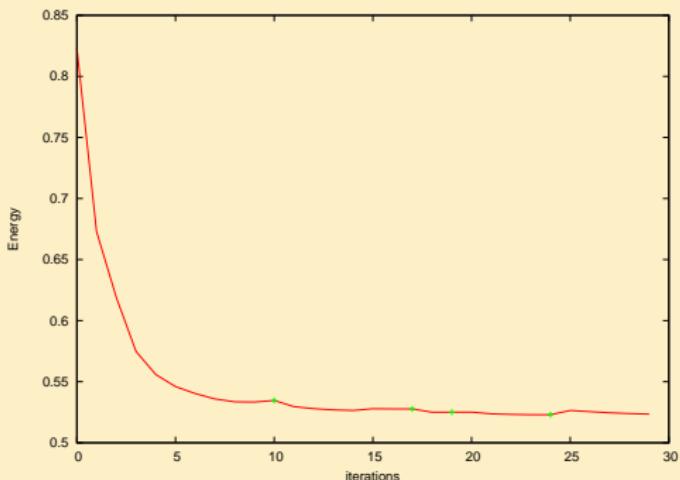
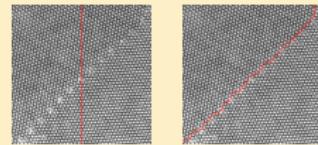
■ Grain boundary extraction on TEM images



[Berkels, Rätz, R., Voigt '06]

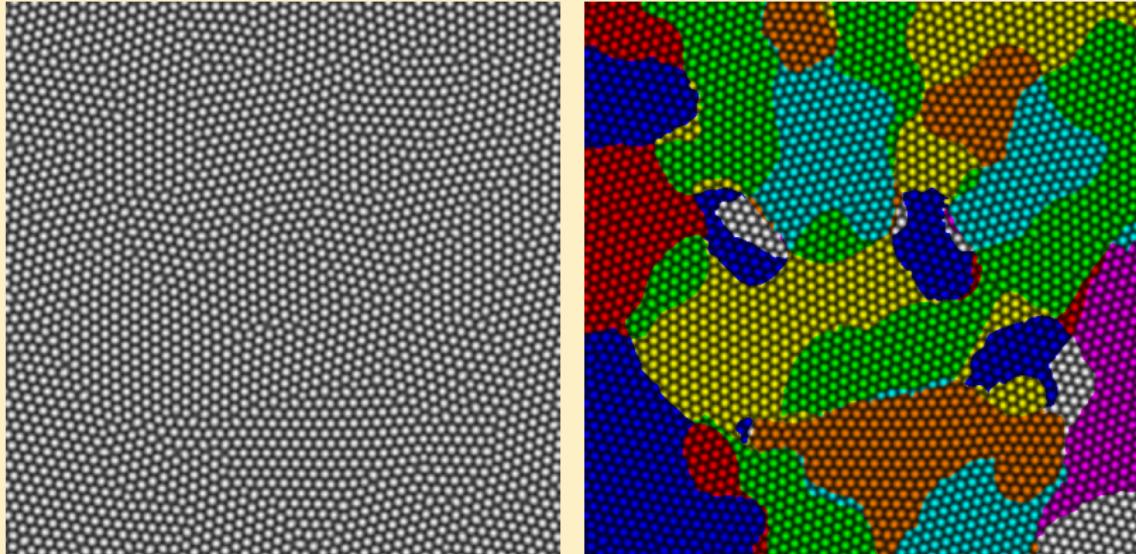
■ Grain boundary extraction on TEM images (cont.)

■ energy decay plot:



The **crosses** mark refinements of the scale parameter σ .

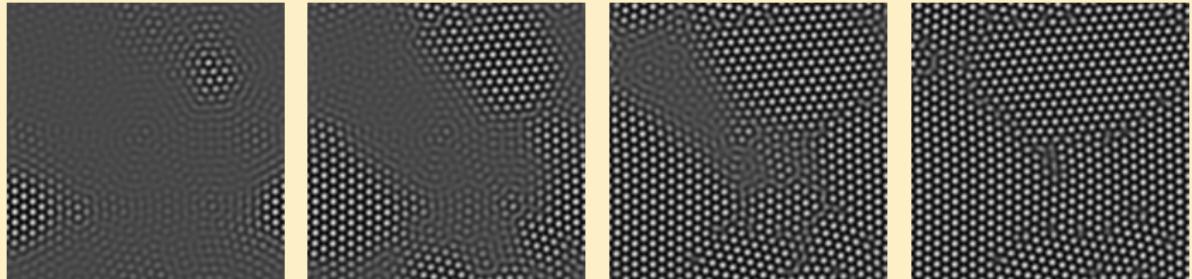
Multi-phase grain boundary extraction



Segmentation with multiple grains using three level set functions

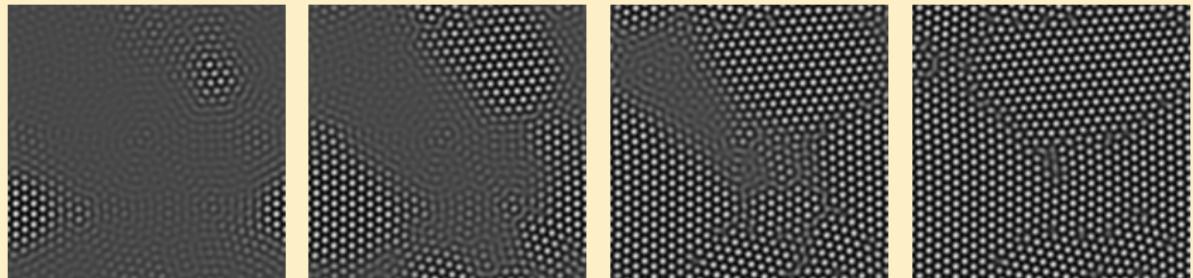
[Vese, Chan '02]

An additional liquid–solid interface



liquid / crystal and grain interface evolution [Rätz, Voigt '06]

■ An additional liquid–solid interface

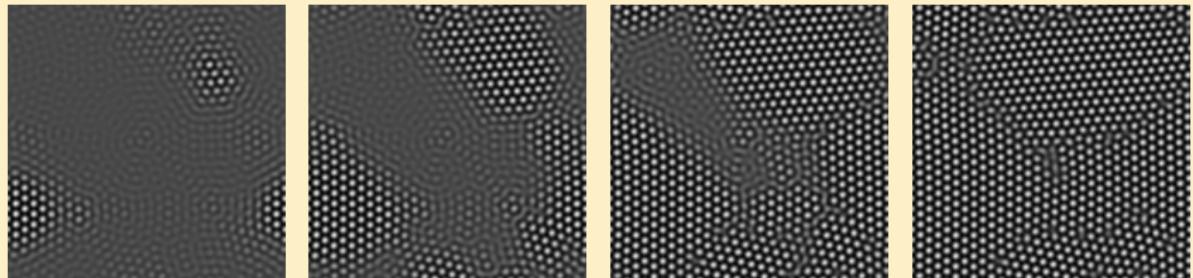


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local classification based on the image:

description of the liquid phase: $u(x) \in [\theta_1, \theta_2]$ and $|\nabla u(x)| < \gamma$

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■ **Incorporating a liquid–solid interface (cont)**

local liquid classification function:

$$g(x) = 1 - \chi_{[u > \theta_1]}(x) \chi_{[u < \theta_2]}(x) \chi_{[|\nabla u| < \gamma]}(x)$$

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Mumford Shah type functional E_{phase} acting on liquid domain \mathcal{O}_L :

$$E_{\text{phase}}[\mathcal{O}_L] = \int_{\mathcal{O}_L} 1 - g(x) \, dx + \int_{\Omega \setminus \mathcal{O}_L} g(x) \, dx + \nu \mathcal{H}^1(\mathcal{O}_L)$$

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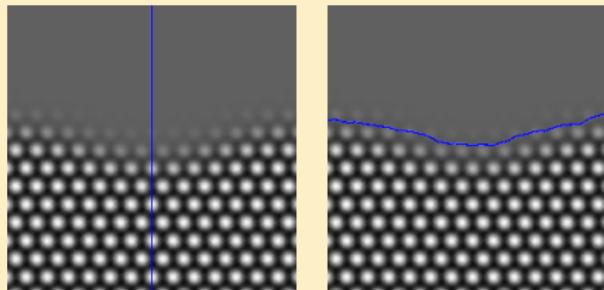
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Application for a test case



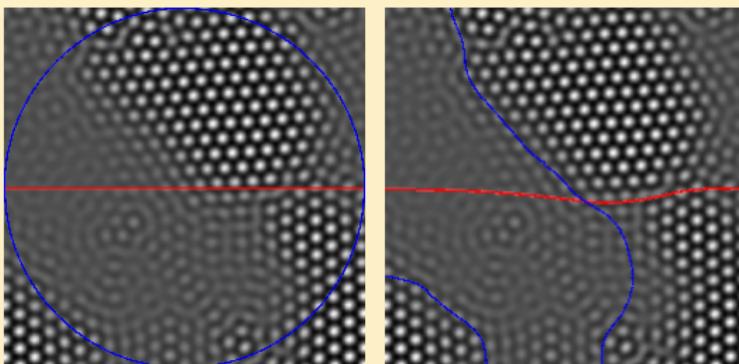
■ **Combined model**

$$\begin{aligned} E[\mathcal{O}_L, (\alpha_j, \mathcal{O}_j)_j] = & \int_{\mathcal{O}_L} g(x) \, dx + \int_{\Omega \setminus \mathcal{O}_L} (1 - g(x)) \, dx + \nu \mathcal{H}^1(\partial \mathcal{O}_L) \\ & + \eta \sum_{j=1, \dots, n} \left(\int_{\mathcal{O}_j} f[\alpha_j](x) \, dx + \frac{\nu}{2} \mathcal{H}^1(\partial \mathcal{O}_j) \right). \end{aligned}$$

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Result on PFC data



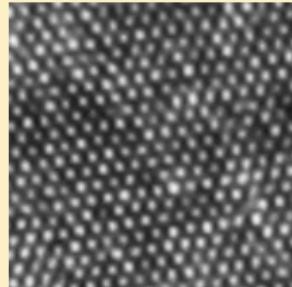
Elastically stressed lattices

description of a deformed lattice:

$\psi(x)$ atom position

ψ elastic deformation

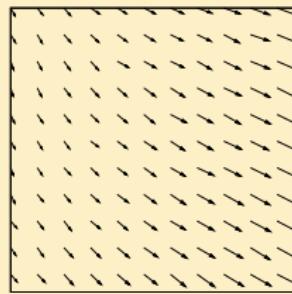
$\psi(x + M(\alpha)q_i)$ neighbor position



lattice pattern

local lattice classification function:

$$f[\alpha, \psi](x) = \frac{d^2}{m r^2} \chi_{[u > \theta]}(\psi(x)) \cdot \sum_{i=1}^m (1 - \chi_{[u > \theta]} \psi(x + M(\alpha)q_i))$$



underlying deformation

■ The single crystal case

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$$E_{\text{single}}[\alpha, \psi] = \int_{\Omega} f[\alpha, \psi](x) dx + \mu E_{\text{elast}}[\psi],$$

$$\text{with } E_{\text{elast}}[\alpha, \psi] = \frac{1}{2} \int_{\mathcal{D}} |D\psi(x) + D\psi(x)^T - 2 \mathbb{1}|^2 dx$$

under the constraint for the angular momentum

$$\int_{\Omega} \psi_2(x)x_1 - \psi_1(x)x_2 dx = 0.$$

[Berkels, Rätz, R., Voigt '07]

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For the orientation α :

$$0 = \sum_{i=1}^m M'(\alpha)q_i \cdot \int_{[u \circ \psi = \theta]} H(u \circ \psi(\cdot - M(\alpha)q_i) - \theta) D\psi^T \nabla u \circ \psi \, d\mathcal{H}^1,$$

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Regularization and numerical relaxation

regularized lattice classification function:

$$f_\epsilon[\alpha, \psi] = \frac{d^2}{mr^2} H_\epsilon(u \circ \psi - \theta) \sum_{i=1}^m (1 - (H_\epsilon(u(\psi(\cdot + M(\alpha)q_i)) - \theta)))$$

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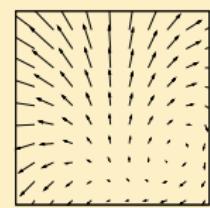
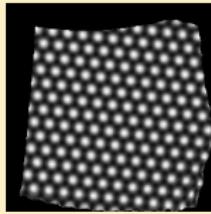
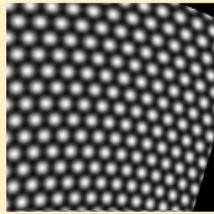
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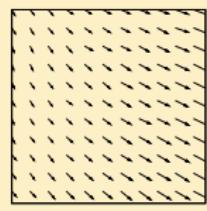
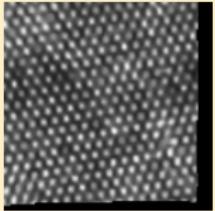
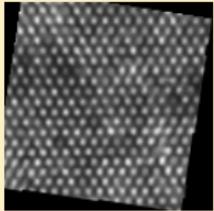
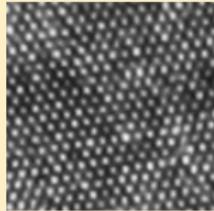
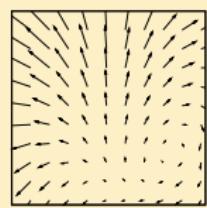
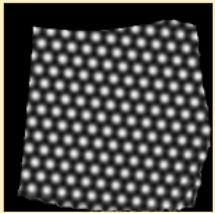
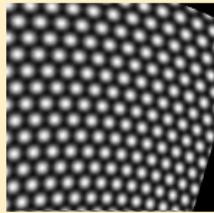
Application for the single grain functional

test case (first row) and real data:



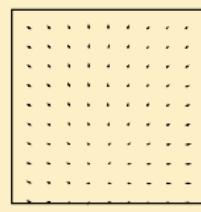
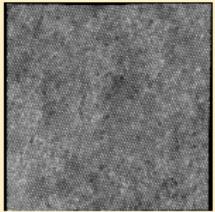
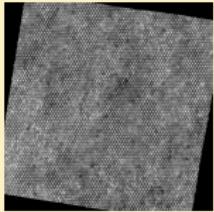
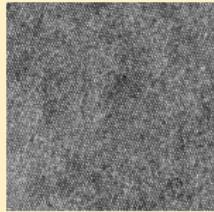
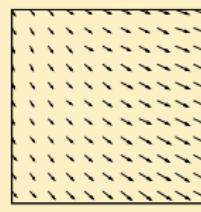
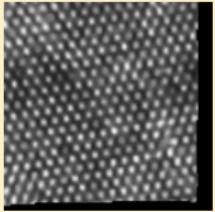
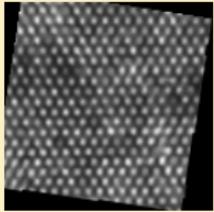
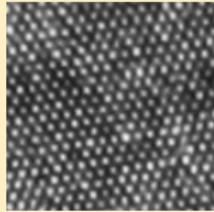
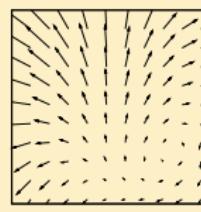
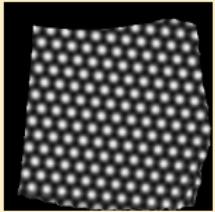
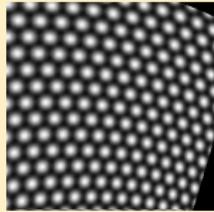
Application for the single grain functional

test case (first row) and real data:



Application for the single grain functional

test case (first row) and real data:



courtesy: N. Schryvers (Antwerpen University)

Combined model for elastically deformed grains

joint functional for $(\alpha_j, \mathcal{O}_j)_{j=1,\dots,n}$ and ψ :

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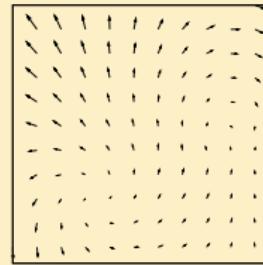
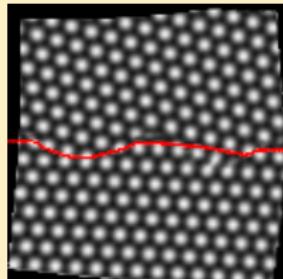
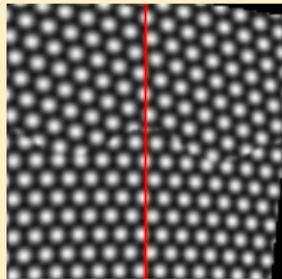
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regularized functional in the two grain case:

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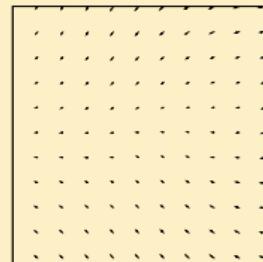
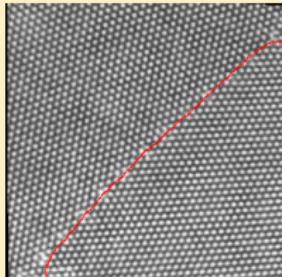
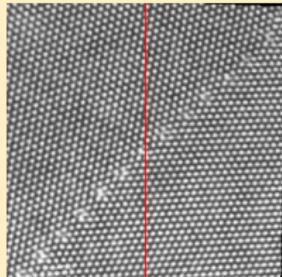
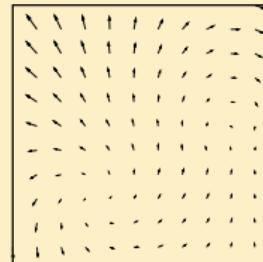
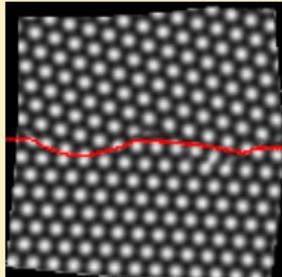
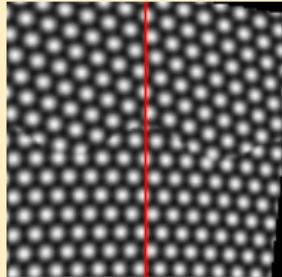
■ Combined model for elastically deformed grains (cont.)

■ Applications for a test case and for real data:



■ Combined model for elastically deformed grains (cont.)

■ Applications for a test case and for real data:



courtesy: N. Schryvers (Antwerpen University)

- generalization for elastically stressed lattices
- **Outlook**

- Improving the model:

■ **Improving the model:**

- considering the proper anisotropic elastic regularization:

$$C_{ijkl}(\alpha) = \sum_{\beta, \gamma, \delta, \eta} C_{ijkl}^{ref} M(\alpha)_{i\beta} M(\alpha)_{j\gamma} M(\alpha)_{k\delta} M(\alpha)_{l\eta},$$

where the C_{ijkl}^{ref} 's are priori known material parameters.

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■ **studying the dynamics of grain boundaries**■ **joining image aquisition and image processing**

■ A related two scale problem

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Given: image u_0 dominated by right angle structures



u_0

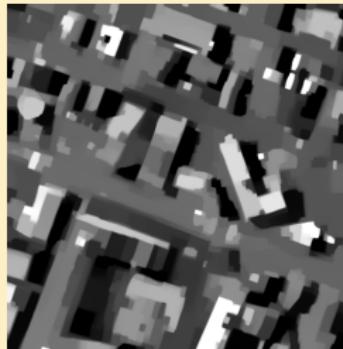
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- Given: image u_0 dominated by right angle structures
we ask for a cartoon u and an anisotropic classification α .

 u_0

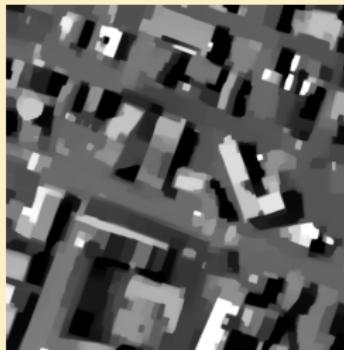
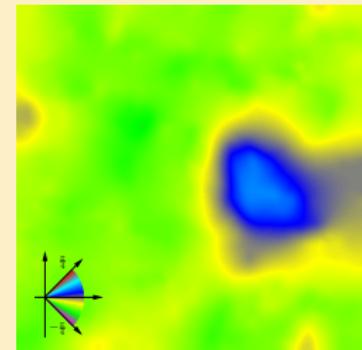
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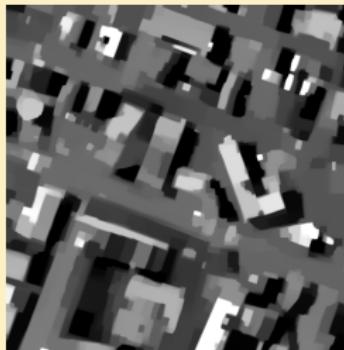
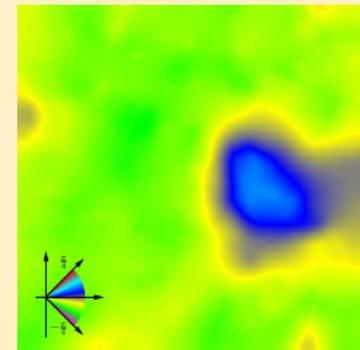
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→ Joint extraction and orientation classification

■ Recall: the classical ROF model

- Minimizing

$$E[u] := \frac{\lambda}{2} \int_{\Omega} (u_0 - u)^2 \, dx + \int_{\Omega} |\nabla u|_2 \, dx$$

gives a cartoon of u_0 . Here $|x|_2 = \sqrt{x_1^2 + x_2^2}$.

[Rudin, Osher, Fatemi '92]

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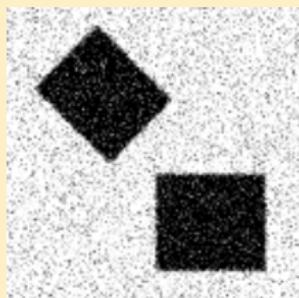
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Example



Original



Reconstruction

■ The anisotropic ROF model

Given an anisotropy γ , minimizing

$$E_\gamma[u] := \frac{\lambda}{2} \int_{\Omega} (u_0 - u)^2 \, dx + \int_{\Omega} \gamma(\nabla u) \, dx$$

[Clarenz, Dziuk, R. '02], [Esedoglu, Osher '03]

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Example



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→ let γ depend on a coarse scale orientation α

■ Defining the anisotropic energy

$$E_\gamma[u, \alpha] := \frac{\lambda}{2} \int_{\Omega} |u_0(x) - u(x)|^2 \, dx + \int_{\Omega} |M(\alpha(x)) \nabla u(x)|_1 \, dx,$$

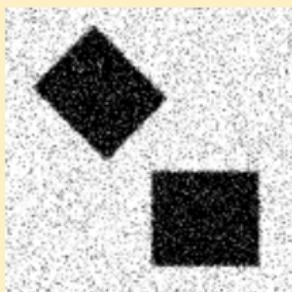
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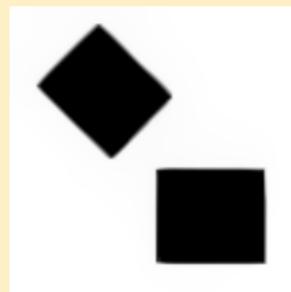
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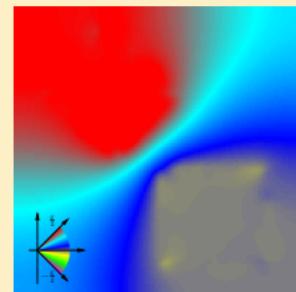
Sample result of the final method



u_0



u



α

■ **Recall:** The method shall be able to reconstruct corners

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→ corners are co-dimension two objects

■ Regularization energy

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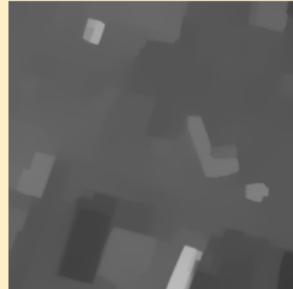
$$E_\alpha[\alpha] := \frac{1}{2} \int_{\Omega} (\mu_1 |\nabla \alpha|^2 + \mu_2 |\Delta \alpha|^2) \, dx.$$

Final model:

$$E[u, \alpha] = \int_{\Omega} \frac{\lambda}{2} |u_0 - u|^2 + |M(\alpha) \nabla u|_1 \, dx + E_\alpha[\alpha].$$

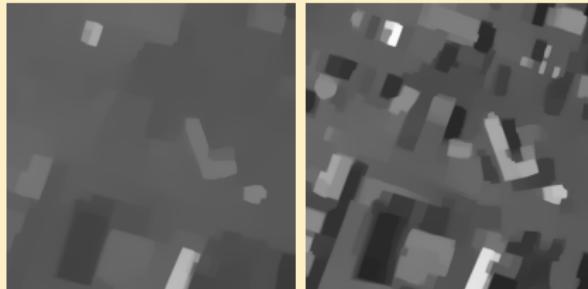
■ Postprocessing by Bregman iteration

- Reconstruction with zero to two Bregman iterations:



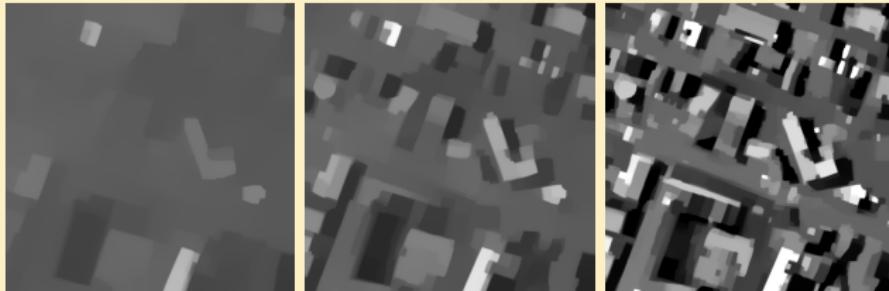
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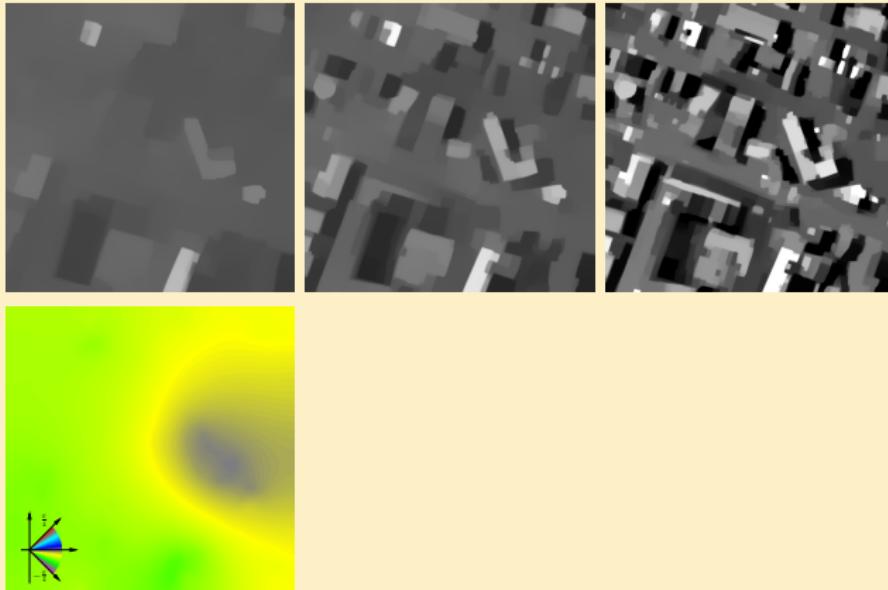
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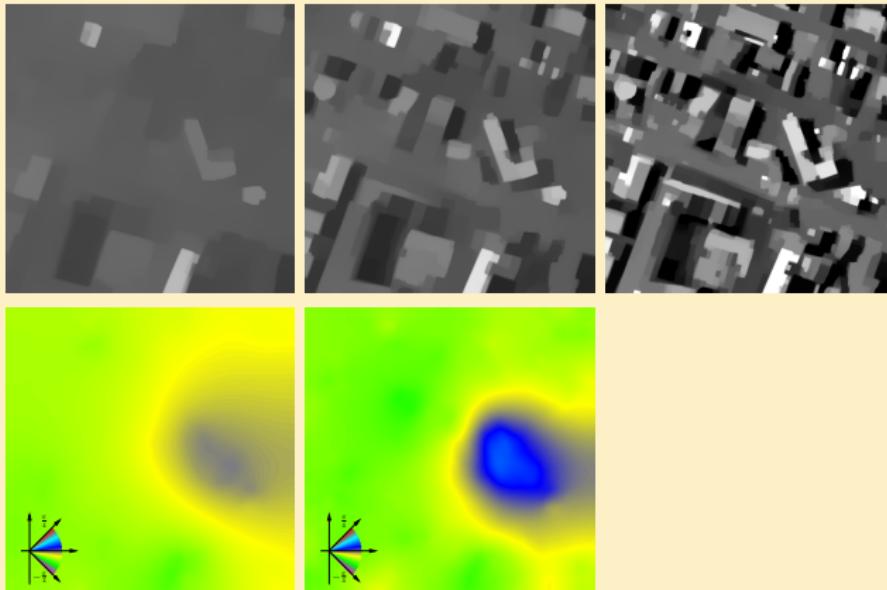
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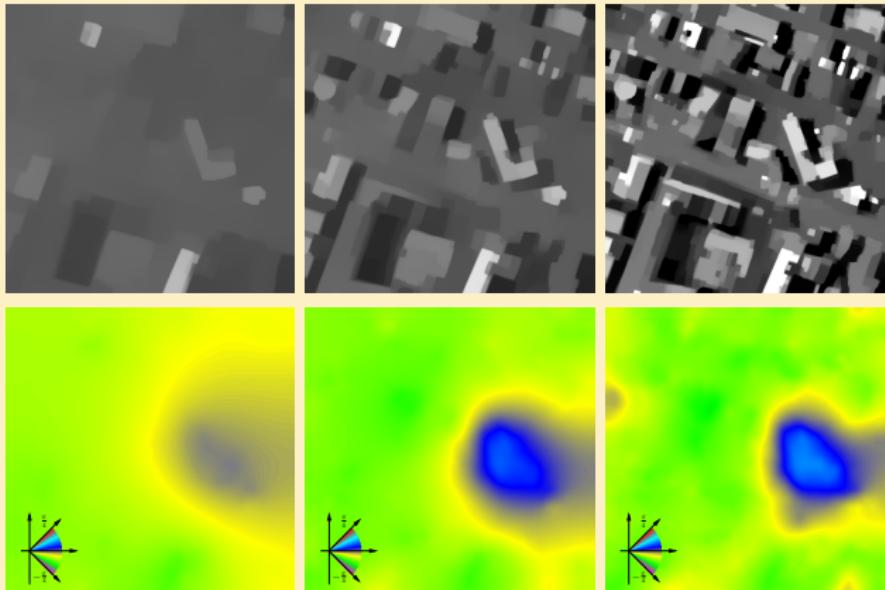
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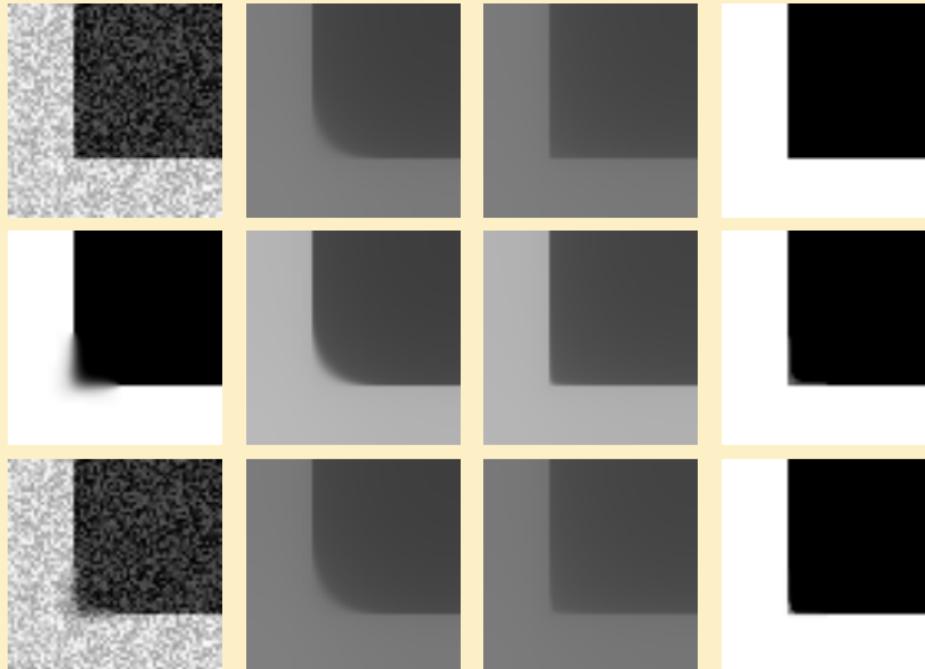


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Reconstruction with zero to two Bregman iterations:

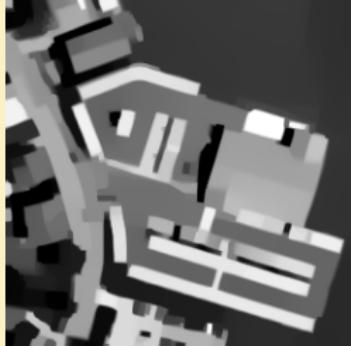
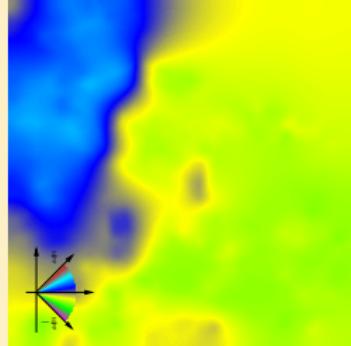
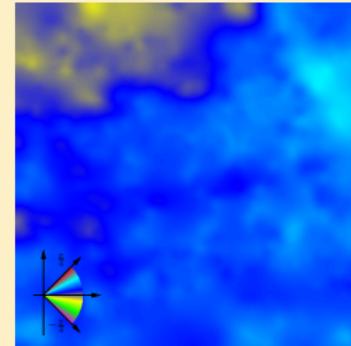
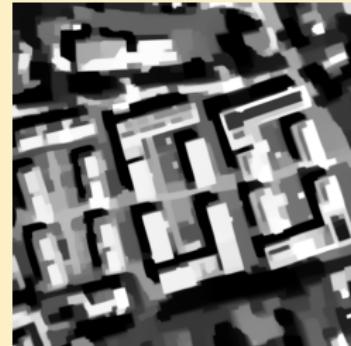


■ Reconstruction of a corner test data set

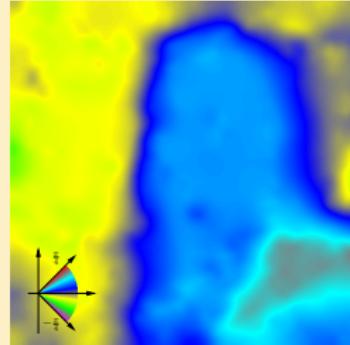
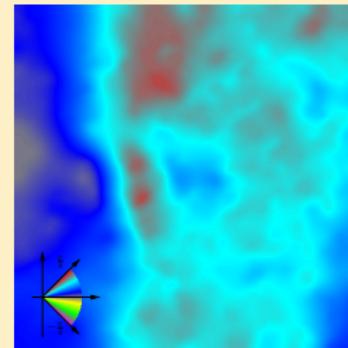
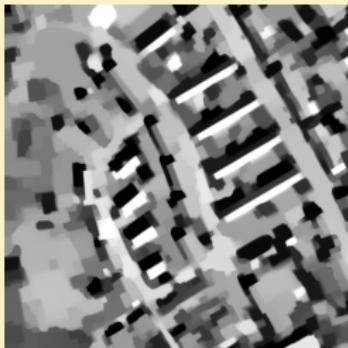


From left to right: Original images, isotropic reconstruction, anisotropic reconstruction with zero/two Bregman iterations

■ Application on aerial images

 u_0  u  α 

■ Application on aerial images (cont.)

 u_0  u  α 

■ Generalization to orientation and shear

- include a shearing transformation:

$$M_S(\beta) = \begin{pmatrix} \frac{\cos \beta}{\sin \beta} & 1 \\ \frac{1}{\sin \beta} & 0 \end{pmatrix}$$

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$$\begin{aligned} M(\alpha, \beta) &= M(\alpha)M_S(\beta) \\ &= \begin{pmatrix} \frac{\cos \alpha \cos \beta + \sin \alpha}{\sin \beta} & \cos \alpha \\ \frac{\cos \alpha - \sin \alpha \cos \beta}{\sin \beta} & -\sin \beta \end{pmatrix} \end{aligned}$$

generalized model:

$$\begin{aligned} E[u, \alpha, \beta] &= \int_{\Omega} \frac{\lambda}{2} |u_0 - u|^2 + |M(\alpha, \beta) \nabla u|_1 \, dx \\ &\quad + E_\alpha[\alpha] + E_\beta[\beta]. \end{aligned}$$

■ Generalization to orientation and shear (cont.)

first numerical results:

