

# Lipschitz spheres in the Heisenberg group

Robert Young  
University of Toronto  
(joint with Stefan Wenger)

Apr. 2013

## The Heisenberg group

$$\mathbb{H} = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \mid x, y, z \in \mathbb{R} \right\}$$

## The Heisenberg group

$$\mathbb{H} = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \middle| x, y, z \in \mathbb{R} \right\}$$

$$\mathbb{H}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \middle| x, y, z \in \mathbb{Z} \right\}$$

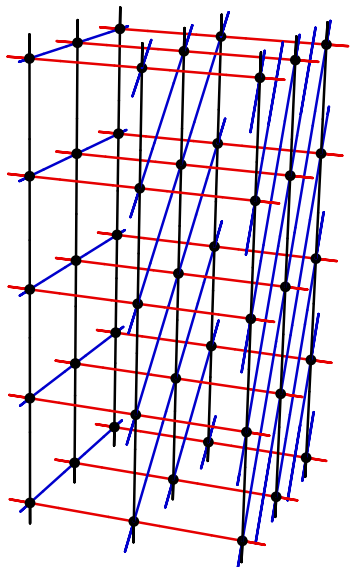
## The Heisenberg group

$$\mathbb{H} = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \middle| x, y, z \in \mathbb{R} \right\}$$

$$\mathbb{H}_{\mathbb{Z}} = \left\{ \begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \middle| x, y, z \in \mathbb{Z} \right\}$$

$$x = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, y = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}, z = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

# The Cayley graph of $\mathbb{H}_{\mathbb{Z}}$ (1)

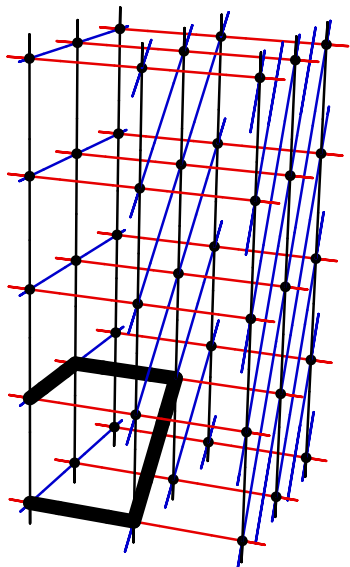


$$x = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$y = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}$$

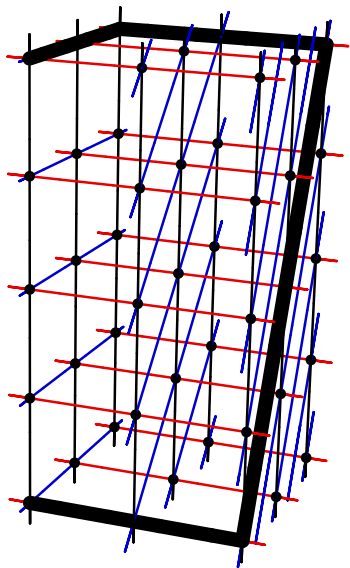
$$z = \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

## The Cayley graph of $\mathbb{H}_Z$ (2)



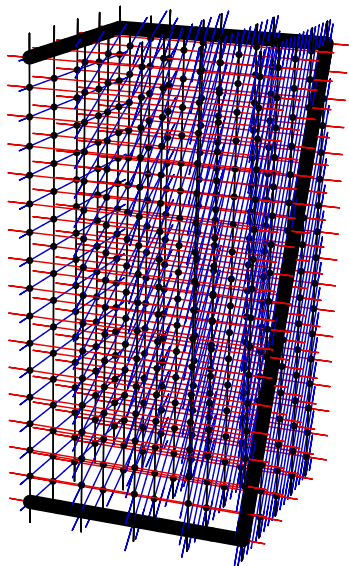
$$z = xyx^{-1}y^{-1}$$

# The Cayley graph of $\mathbb{H}_{\mathbb{Z}}$ (3)



$$z^4 = x^2 y^2 x^{-2} y^{-2}$$

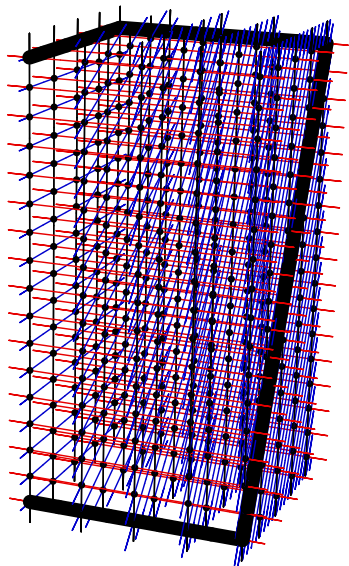
# The Cayley graph of $\mathbb{H}_{\mathbb{Z}}$ (4)



$$z^{n^2} = x^n y^n x^{-n} y^{-n}$$



## The Cayley graph of $\mathbb{H}_{\mathbb{Z}}$ (4)

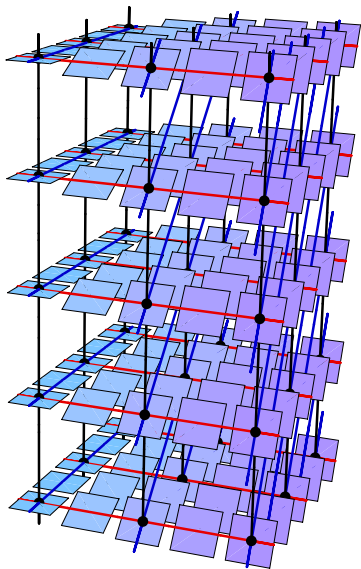


$$z^{n^2} = x^n y^n x^{-n} y^{-n}$$

$$\begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix} \mapsto \begin{pmatrix} 1 & tx & t^2 z \\ 0 & 1 & ty \\ 0 & 0 & 1 \end{pmatrix}$$

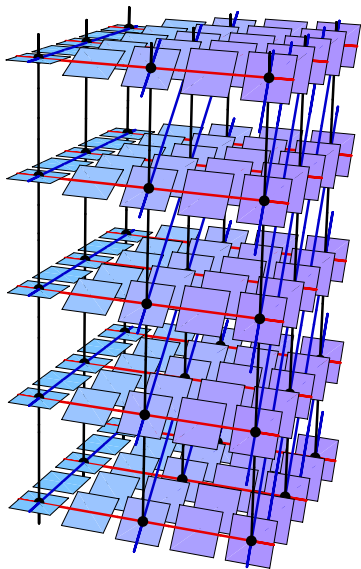
is an automorphism.

## From Cayley graph to sub-riemannian metric



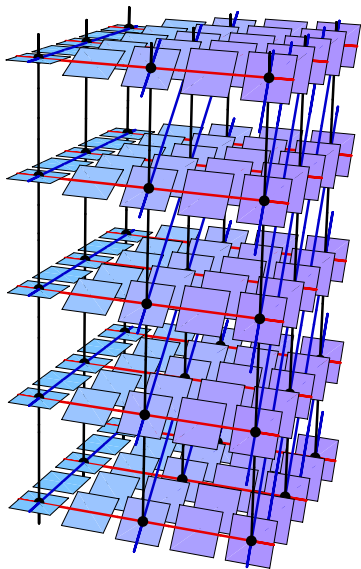
- ▶ Horizontal planes spanned by red and blue edges.

## From Cayley graph to sub-riemannian metric



- ▶ Horizontal planes spanned by red and blue edges.
- ▶ The length of a horizontal curve is the length of its projection.

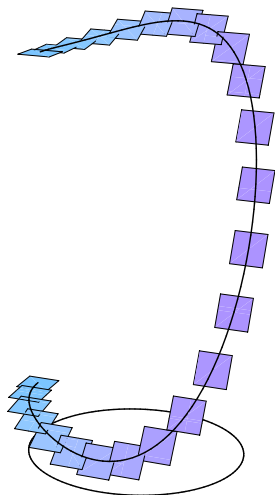
## From Cayley graph to sub-riemannian metric



- ▶ Horizontal planes spanned by red and blue edges.
- ▶ The length of a horizontal curve is the length of its projection.

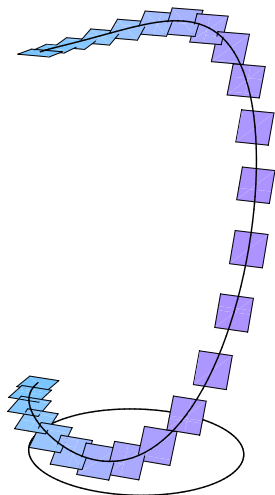
$$d(u, v) = \inf \{ \ell(\gamma) \mid \gamma \text{ is a horizontal curve from } u \text{ to } v \}$$

## Horizontal curves in $\mathbb{H}$



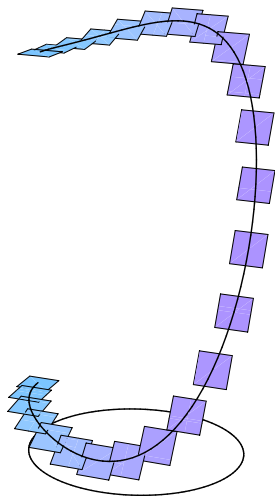
- ▶ Curves in the plane lift to horizontal curves in  $\mathbb{H}$ .

## Horizontal curves in $\mathbb{H}$



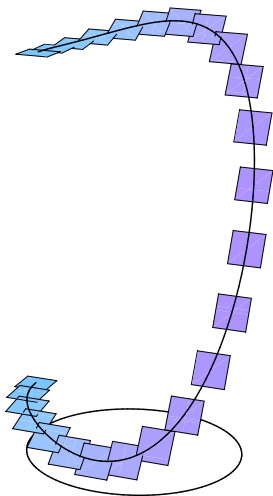
- ▶ Curves in the plane lift to horizontal curves in  $\mathbb{H}$ .
- ▶ The length of the lift is the same as the length of the original curve.

## Horizontal curves in $\mathbb{H}$



- ▶ Curves in the plane lift to horizontal curves in  $\mathbb{H}$ .
- ▶ The length of the lift is the same as the length of the original curve.
- ▶ The change in height along the lift of a closed curve is the signed area of the curve.

## Horizontal curves in $\mathbb{H}$



- ▶ Curves in the plane lift to horizontal curves in  $\mathbb{H}$ .
- ▶ The length of the lift is the same as the length of the original curve.
- ▶ The change in height along the lift of a closed curve is the signed area of the curve.
- ▶ Geodesics are lifts of circles.



## Horizontal surfaces in $\mathbb{H}^3$ ?

- ▶ The horizontal plane field is non-integrable, so there are no smooth horizontal surfaces in  $\mathbb{H}^3$ .

## Horizontal surfaces in $\mathbb{H}^n$ ?

- ▶ The horizontal plane field is non-integrable, so there are no smooth horizontal surfaces in  $\mathbb{H}^n$ .
- ▶ Even Lipschitz maps  $B^n \rightarrow \mathbb{H}^n$  are limited:

### Theorem (Pansu)

*Any Lipschitz map  $f : B^n \rightarrow \mathbb{H}^n$  from the  $n$ -ball is a.e. Pansu differentiable (differentiable, horizontal, and its differential is a homomorphism  $\mathbb{R}^n \rightarrow \mathfrak{h}$  of Lie algebras.)*

So any Lipschitz map  $f : B^n \rightarrow \mathbb{H}^n$  has derivative of rank 1 a.e.

## Horizontal surfaces in $\mathbb{H}$ ?

- ▶ The horizontal plane field is non-integrable, so there are no smooth horizontal surfaces in  $\mathbb{H}$ .
- ▶ Even Lipschitz maps  $B^n \rightarrow \mathbb{H}$  are limited:

### Theorem (Pansu)

*Any Lipschitz map  $f : B^n \rightarrow \mathbb{H}$  from the  $n$ -ball is a.e. Pansu differentiable (differentiable, horizontal, and its differential is a homomorphism  $\mathbb{R}^n \rightarrow \mathfrak{h}$  of Lie algebras.)*

So any Lipschitz map  $f : B^n \rightarrow \mathbb{H}$  has derivative of rank 1 a.e.

- ▶ In fact,

### Theorem (Wenger-Y.)

*If  $M$  is a simply-connected manifold, then any Lipschitz map  $f : M \rightarrow \mathbb{H}$  factors through an  $\mathbb{R}$ -tree.*

## Lipschitz homotopy groups

If  $X$  is a metric space, we define

$$\pi_n^{\text{Lip}}(X) = \{\text{Lipschitz maps } S^n \rightarrow X\} / \{\text{Lipschitz homotopies } S^n \times [0, 1] \rightarrow X\}$$

## Lipschitz homotopy groups

If  $X$  is a metric space, we define

$$\pi_n^{\text{Lip}}(X) = \{\text{Lipschitz maps } S^n \rightarrow X\} / \{\text{Lipschitz homotopies } S^n \times [0, 1] \rightarrow X\}$$

If  $X$  is a riemannian manifold or simplicial complex, then

$$\pi_n^{\text{Lip}}(X) = \pi_n(X).$$

## Lipschitz homotopy groups of $\mathbb{H}$

$\mathbb{H} \cong \mathbb{R}^3$ , so  $\pi_n(\mathbb{H}) = 0$  for all  $n$ , but:

## Lipschitz homotopy groups of $\mathbb{H}$

$\mathbb{H} \cong \mathbb{R}^3$ , so  $\pi_n(\mathbb{H}) = 0$  for all  $n$ , but:

- ▶  $\pi_0^{\text{Lip}}(\mathbb{H}) = 0$  ( $\mathbb{H}$  is geodesic)

## Lipschitz homotopy groups of $\mathbb{H}$

$\mathbb{H} \cong \mathbb{R}^3$ , so  $\pi_n(\mathbb{H}) = 0$  for all  $n$ , but:

- ▶  $\pi_0^{\text{Lip}}(\mathbb{H}) = 0$  ( $\mathbb{H}$  is geodesic)
- ▶  $\pi_1^{\text{Lip}}(\mathbb{H})$  is uncountably generated (lots of closed curves but no surfaces)



## Lipschitz homotopy groups of $\mathbb{H}$

$\mathbb{H} \cong \mathbb{R}^3$ , so  $\pi_n(\mathbb{H}) = 0$  for all  $n$ , but:

- ▶  $\pi_0^{\text{Lip}}(\mathbb{H}) = 0$  ( $\mathbb{H}$  is geodesic)
- ▶  $\pi_1^{\text{Lip}}(\mathbb{H})$  is uncountably generated (lots of closed curves but no surfaces)
- ▶  $\pi_n^{\text{Lip}}(\mathbb{H}) = 0$  for all  $n > 1$  (higher-dimensional spheres factor through  $\mathbb{R}$ -trees)

## Lipschitz homotopy groups of $\mathbb{H}$

$\mathbb{H} \cong \mathbb{R}^3$ , so  $\pi_n(\mathbb{H}) = 0$  for all  $n$ , but:

- ▶  $\pi_0^{\text{Lip}}(\mathbb{H}) = 0$  ( $\mathbb{H}$  is geodesic)
- ▶  $\pi_1^{\text{Lip}}(\mathbb{H})$  is uncountably generated (lots of closed curves but no surfaces)
- ▶  $\pi_n^{\text{Lip}}(\mathbb{H}) = 0$  for all  $n > 1$  (higher-dimensional spheres factor through  $\mathbb{R}$ -trees)

Question: What happens in higher-dimensional Heisenberg groups?

## Higher Heisenberg groups

$$\mathbb{H}_n = \left\{ \left( \begin{array}{ccccc} 1 & x_1 & \dots & x_n & z \\ 0 & 1 & \dots & 0 & y_1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & y_n \\ 0 & 0 & \dots & 0 & 1 \end{array} \right) \mid x_i, y_i, z \in \mathbb{R} \right\}$$

## Plenty of horizontal curves

- ▶ Any curve  $\gamma : [0, 1] \rightarrow \mathbb{R}^{2n}$  lifts to a curve in  $\mathbb{H}_n$  of the same length.

## Plenty of horizontal curves

- ▶ Any curve  $\gamma : [0, 1] \rightarrow \mathbb{R}^{2n}$  lifts to a curve in  $\mathbb{H}_n$  of the same length.
- ▶ The change in height along the lift of a closed curve  $\gamma$  is

$$\int_{\gamma} x_1 dy_1 + \cdots + x_n dy_n = \int_{\beta} dx_1 \wedge dy_1 + \cdots + dx_n \wedge dy_n,$$

where  $\beta$  is a disc with  $\partial\beta = \gamma$ . That is, the change in height is the symplectic area of  $\gamma$ .

## Plenty of low-dimensional horizontal surfaces

- ▶ Example: if  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is smooth, let  $g : \mathbb{R}^n \rightarrow \mathbb{H}_n$

$$g(\vec{x}) = \left( \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}, x_1, \dots, x_n, f(\vec{x}) \right).$$

This is horizontal.

## Plenty of low-dimensional horizontal surfaces

- ▶ Example: if  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is smooth, let  $g : \mathbb{R}^n \rightarrow \mathbb{H}_n$

$$g(\vec{x}) = \left( \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}, x_1, \dots, x_n, f(\vec{x}) \right).$$

This is horizontal.

- ▶ Any isotropic surface  $i : \mathbb{R}^k \rightarrow \mathbb{R}^{2n}$  lifts to a horizontal surface in  $\mathbb{H}_n$ .

## Plenty of low-dimensional horizontal surfaces

- ▶ Example: if  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is smooth, let  $g : \mathbb{R}^n \rightarrow \mathbb{H}_n$

$$g(\vec{x}) = \left( \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}, x_1, \dots, x_n, f(\vec{x}) \right).$$

This is horizontal.

- ▶ Any isotropic surface  $i : \mathbb{R}^k \rightarrow \mathbb{R}^{2n}$  lifts to a horizontal surface in  $\mathbb{H}_n$ .
- ▶ In fact,

### Theorem (Gromov)

*If  $k < n$ , then any Lipschitz sphere  $S^k \rightarrow \mathbb{H}_n$  can be extended to a Lipschitz map  $B^{k+1} \rightarrow \mathbb{H}_n$ .*



## Plenty of low-dimensional horizontal surfaces

- ▶ Example: if  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is smooth, let  $g : \mathbb{R}^n \rightarrow \mathbb{H}_n$

$$g(\vec{x}) = \left( \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}, x_1, \dots, x_n, f(\vec{x}) \right).$$

This is horizontal.

- ▶ Any isotropic surface  $i : \mathbb{R}^k \rightarrow \mathbb{R}^{2n}$  lifts to a horizontal surface in  $\mathbb{H}_n$ .
- ▶ In fact,

### Theorem (Gromov)

*If  $k < n$ , then any Lipschitz sphere  $S^k \rightarrow \mathbb{H}_n$  can be extended to a Lipschitz map  $B^{k+1} \rightarrow \mathbb{H}_n$ .*

(i.e.,  $\pi_k^{\text{Lip}}(\mathbb{H}_n) = 0$  if  $k < n$ .)

# Not many high-dimensional horizontal surfaces

But there aren't many  $(n + 1)$ -dimensional surfaces:

## Theorem (Pansu)

*Any Lipschitz map  $B^k \rightarrow \mathbb{H}_n$  is differentiable and horizontal a.e., and its derivative has rank  $\leq n$  a.e.*

# Not many high-dimensional horizontal surfaces

But there aren't many  $(n + 1)$ -dimensional surfaces:

## Theorem (Pansu)

*Any Lipschitz map  $B^k \rightarrow \mathbb{H}_n$  is differentiable and horizontal a.e., and its derivative has rank  $\leq n$  a.e.*

So there are lots of maps  $S^n \rightarrow \mathbb{H}_n$  that can't be extended.

# Not many high-dimensional horizontal surfaces

But there aren't many  $(n + 1)$ -dimensional surfaces:

## Theorem (Pansu)

*Any Lipschitz map  $B^k \rightarrow \mathbb{H}_n$  is differentiable and horizontal a.e., and its derivative has rank  $\leq n$  a.e.*

So there are lots of maps  $S^n \rightarrow \mathbb{H}_n$  that can't be extended.  
(i.e.  $\pi_n^{\text{Lip}}(\mathbb{H}_n)$  is uncountably generated)

## What happens in higher dimensions?

Suppose  $\alpha : S^n \rightarrow \mathbb{H}_n$  is a smooth embedding and  $\beta : S^k \rightarrow S^n$  is a nontrivial element of  $\pi_k(S^n)$ .

Can  $\alpha \circ \beta$  be extended to a map  $B^{k+1} \rightarrow \mathbb{H}_n$ ?

## What happens in higher dimensions?

Suppose  $\alpha : S^n \rightarrow \mathbb{H}_n$  is a smooth embedding and  $\beta : S^k \rightarrow S^n$  is a nontrivial element of  $\pi_k(S^n)$ .

Can  $\alpha \circ \beta$  be extended to a map  $B^{k+1} \rightarrow \mathbb{H}_n$ ?

**Theorem (DeJarnette-Hajłasz-Lukyanenko-Tyson)**

*There is no smooth horizontal extension.*

## What happens in higher dimensions?

Suppose  $\alpha : S^n \rightarrow \mathbb{H}_n$  is a smooth embedding and  $\beta : S^k \rightarrow S^n$  is a nontrivial element of  $\pi_k(S^n)$ .

Can  $\alpha \circ \beta$  be extended to a map  $B^{k+1} \rightarrow \mathbb{H}_n$ ?

**Theorem (DeJarnette-Hajłasz-Lukyanenko-Tyson)**

*There is no smooth horizontal extension.*

Can  $\alpha \circ \beta$  be extended to a Lipschitz map  $B^{k+1} \rightarrow \mathbb{H}_n$ ?

## What happens in higher dimensions?

Suppose  $\alpha : S^n \rightarrow \mathbb{H}_n$  is a smooth embedding and  $\beta : S^k \rightarrow S^n$  is a nontrivial element of  $\pi_k(S^n)$ .

Can  $\alpha \circ \beta$  be extended to a map  $B^{k+1} \rightarrow \mathbb{H}_n$ ?

**Theorem (DeJarnette-Hajłasz-Lukyanenko-Tyson)**

*There is no smooth horizontal extension.*

Can  $\alpha \circ \beta$  be extended to a Lipschitz map  $B^{k+1} \rightarrow \mathbb{H}_n$ ?

**Theorem (Wenger-Y.)**

*Sometimes!*



# Main Theorem

## Theorem (Wenger-Y.)

*If  $\alpha : S^n \rightarrow \mathbb{H}_n$  is a smooth embedding,  $\beta : S^k \rightarrow S^n$  is an element of  $\pi_k(S^n)$  which is a suspension (in particular, if  $k < 2n - 1$ ), and  $k \geq n + 2$ , then  $\alpha \circ \beta$  can be extended to a Lipschitz map  $B^{k+1} \rightarrow \mathbb{H}_n$ .*

# Main Theorem

## Theorem (Wenger-Y.)

*If  $\alpha : S^n \rightarrow \mathbb{H}_n$  is a smooth embedding,  $\beta : S^k \rightarrow S^n$  is an element of  $\pi_k(S^n)$  which is a suspension (in particular, if  $k < 2n - 1$ ), and  $k \geq n + 2$ , then  $\alpha \circ \beta$  can be extended to a Lipschitz map  $B^{k+1} \rightarrow \mathbb{H}_n$ .*

## Theorem (Wenger-Y.)

*If  $\beta : S^k \rightarrow S^n$  is an element of  $\pi_k(S^n)$  which is a suspension and  $k \geq n + 1$ , then  $\beta$  can be extended to a Lipschitz map  $B^{k+1} \rightarrow B^{n+1}$  whose derivative has rank  $\leq n$  almost everywhere.*

## Open questions

- ▶ What happens for other values of  $\beta$ ?
- ▶ What are the Lipschitz homotopy groups of  $\mathbb{H}_n$ ?