

*Weyl's Laplacian eigenvalue asymptotics  
for the measurable Riemannian structure  
on the Sierpiński gasket*

**Naotaka Kajino (Kobe University)**

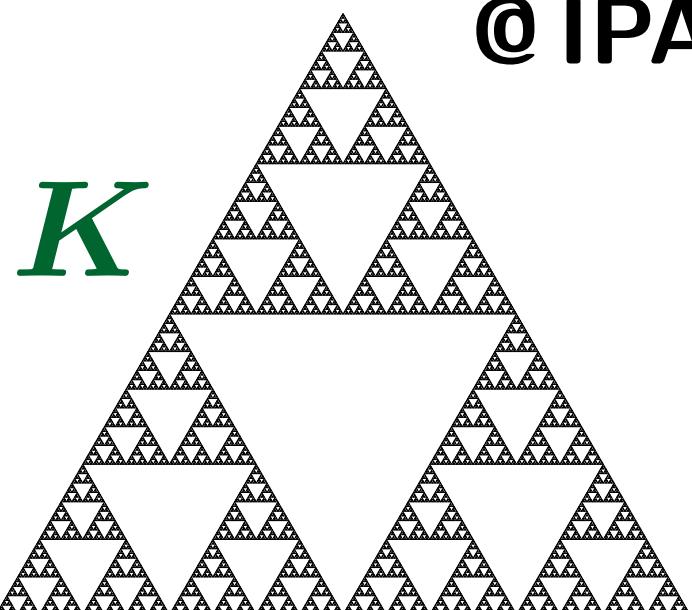
<http://www.math.kobe-u.ac.jp/HOME/nkajino/>

**IAG Workshop I: Analysis on Metric Spaces**

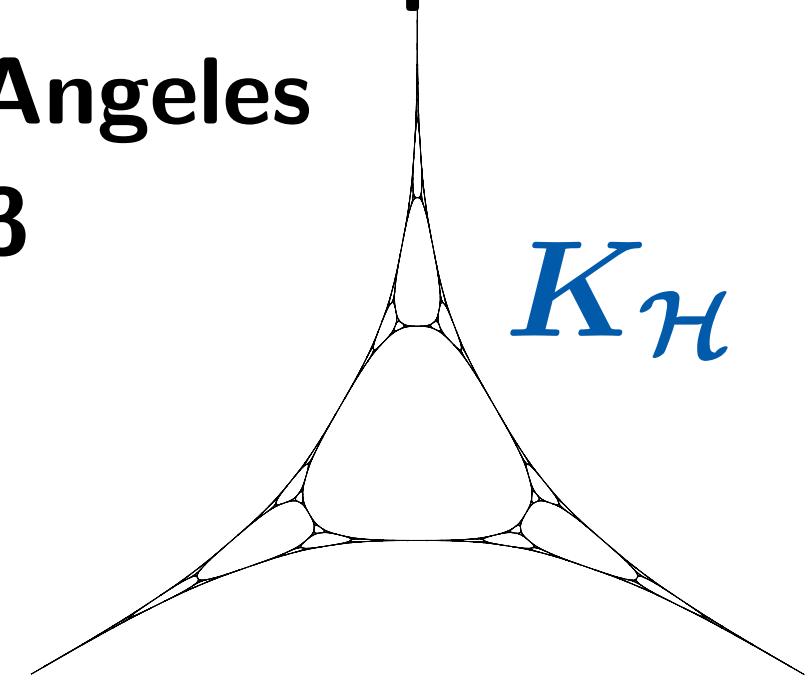
**@ IPAM, UCLA, Los Angeles**

**March 22, 2013**

**10:30–11:00**



**$K$**

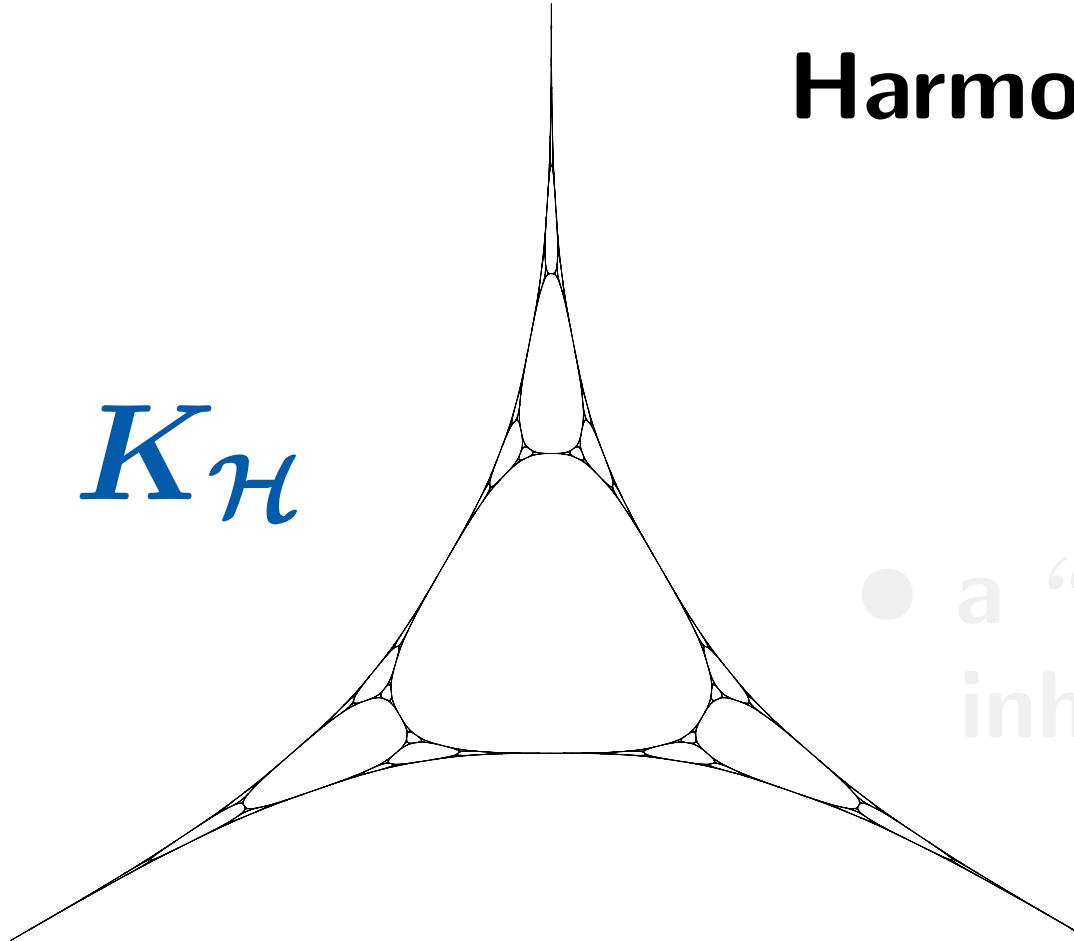


**$K_{\mathcal{H}}$**

# 0 Introduction

## Harmonic Sierpiński gasket $K_{\mathcal{H}}$

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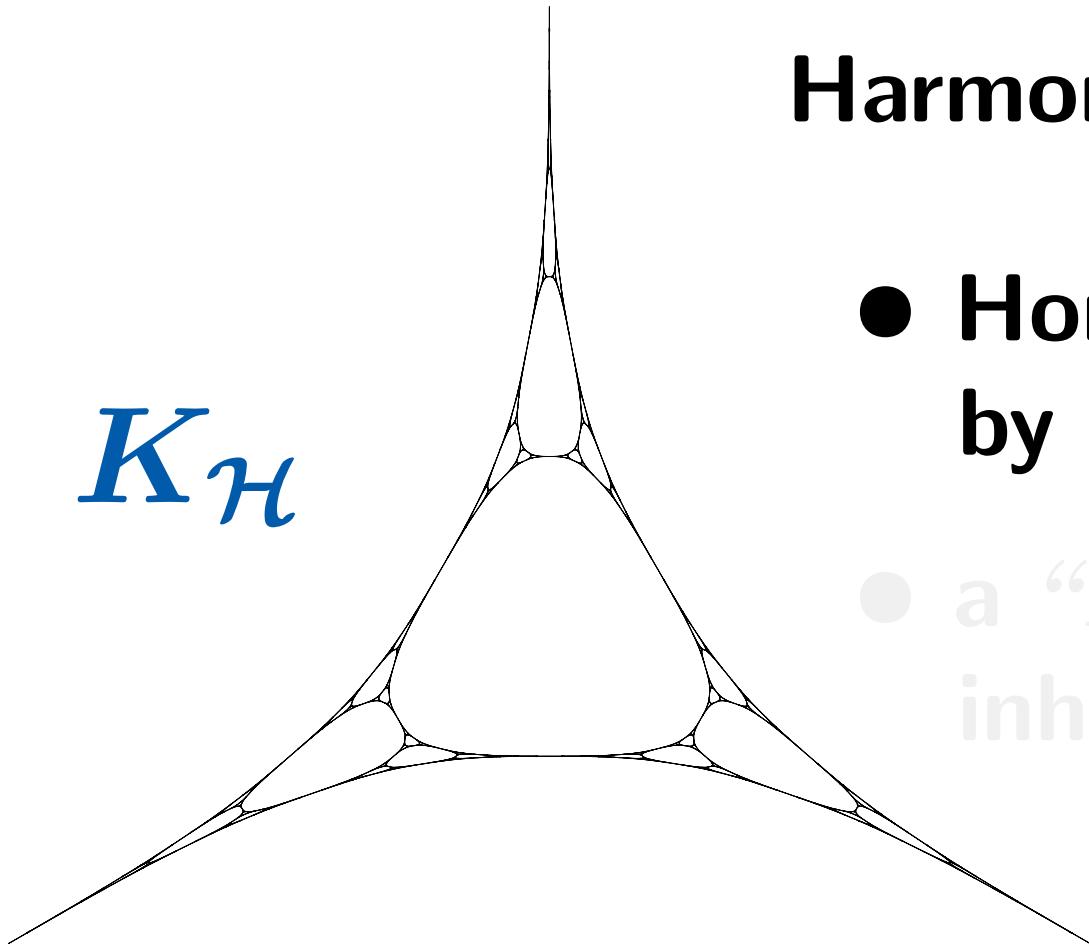


- a “Riemannian structure” inherited from  $\mathbb{R}^2$
- (Kigami '08) GAUSSIAN bound for  $p_t^{\mathcal{H}}(x, y)$
- (K.) Varadhan's asymp.:  $4t \log p_t^{\mathcal{H}}(x, y) \xrightarrow{t \downarrow 0} -\rho_{\mathcal{H}}(x, y)^2$
- Q. Asymp. of Laplacian eigenvalues  $\{\lambda_n^{\mathcal{H}}\}_{n \in \mathbb{N}}$ ?

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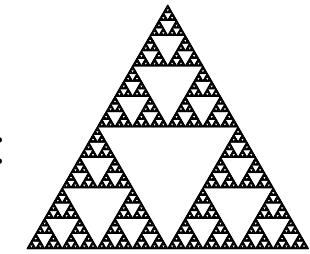
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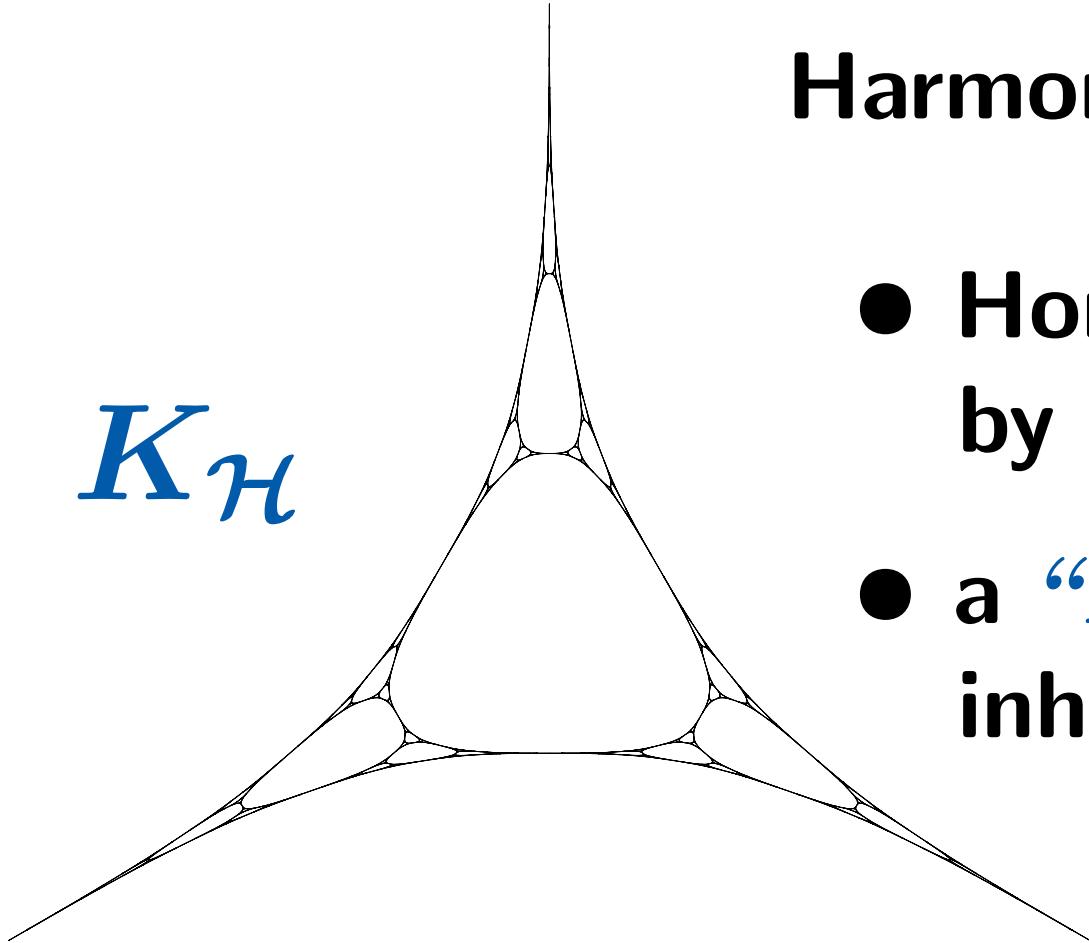


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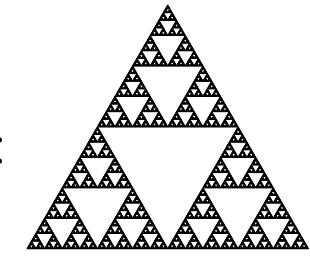
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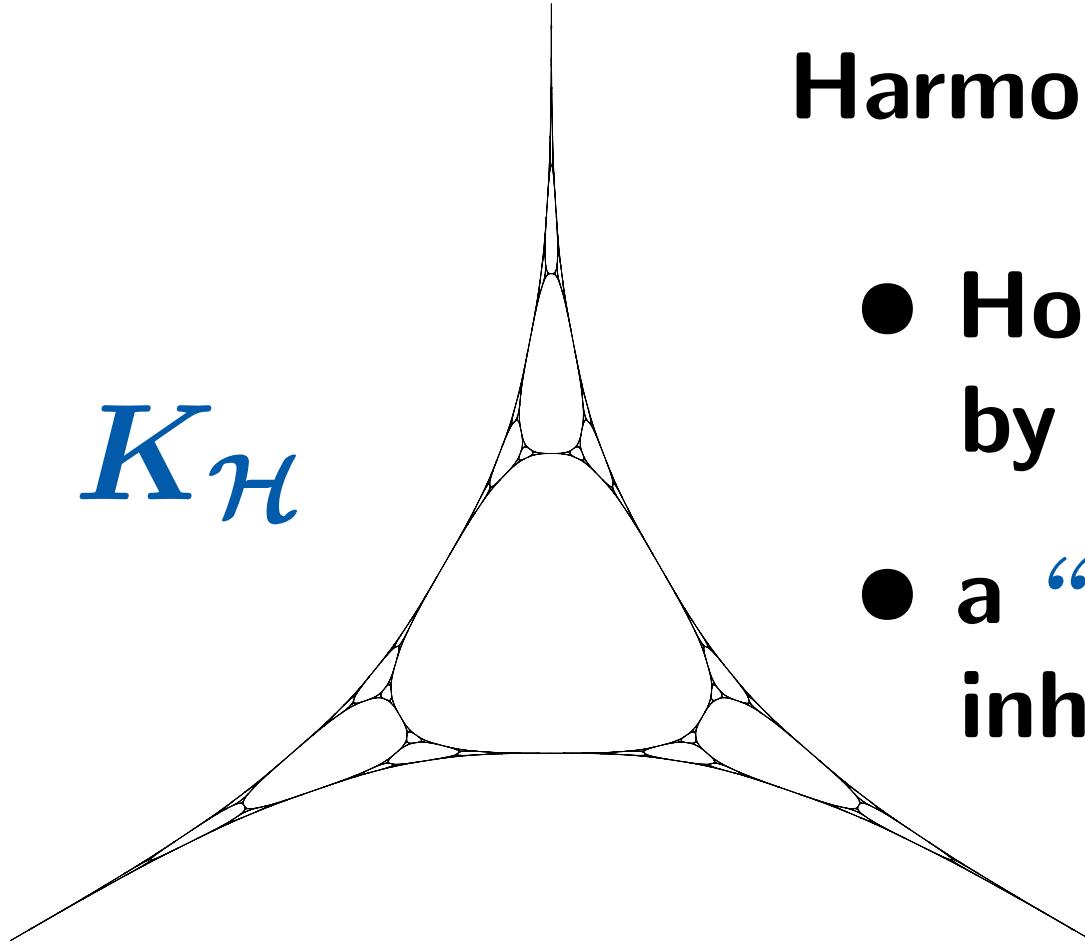
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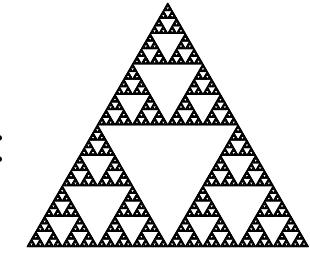
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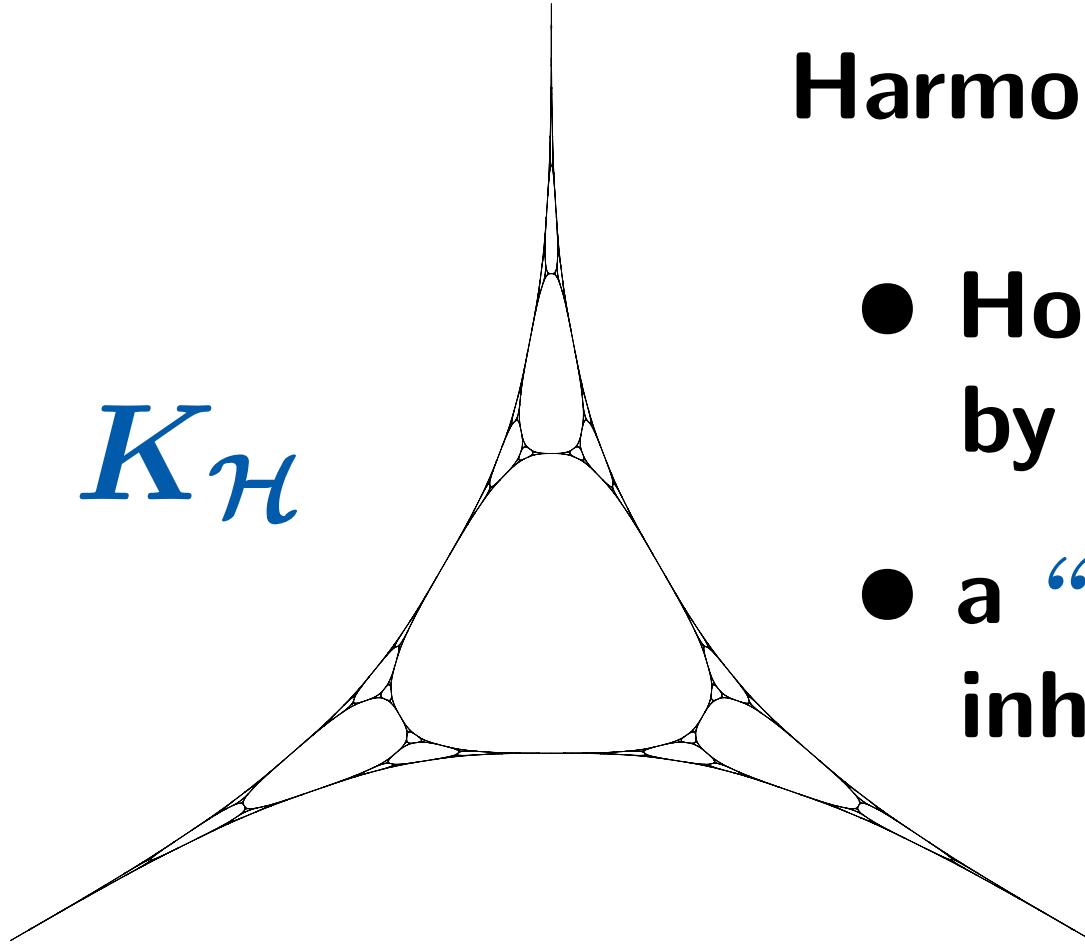


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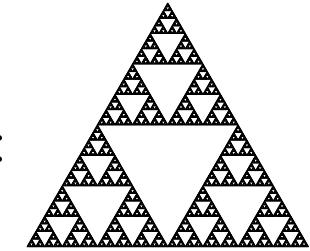
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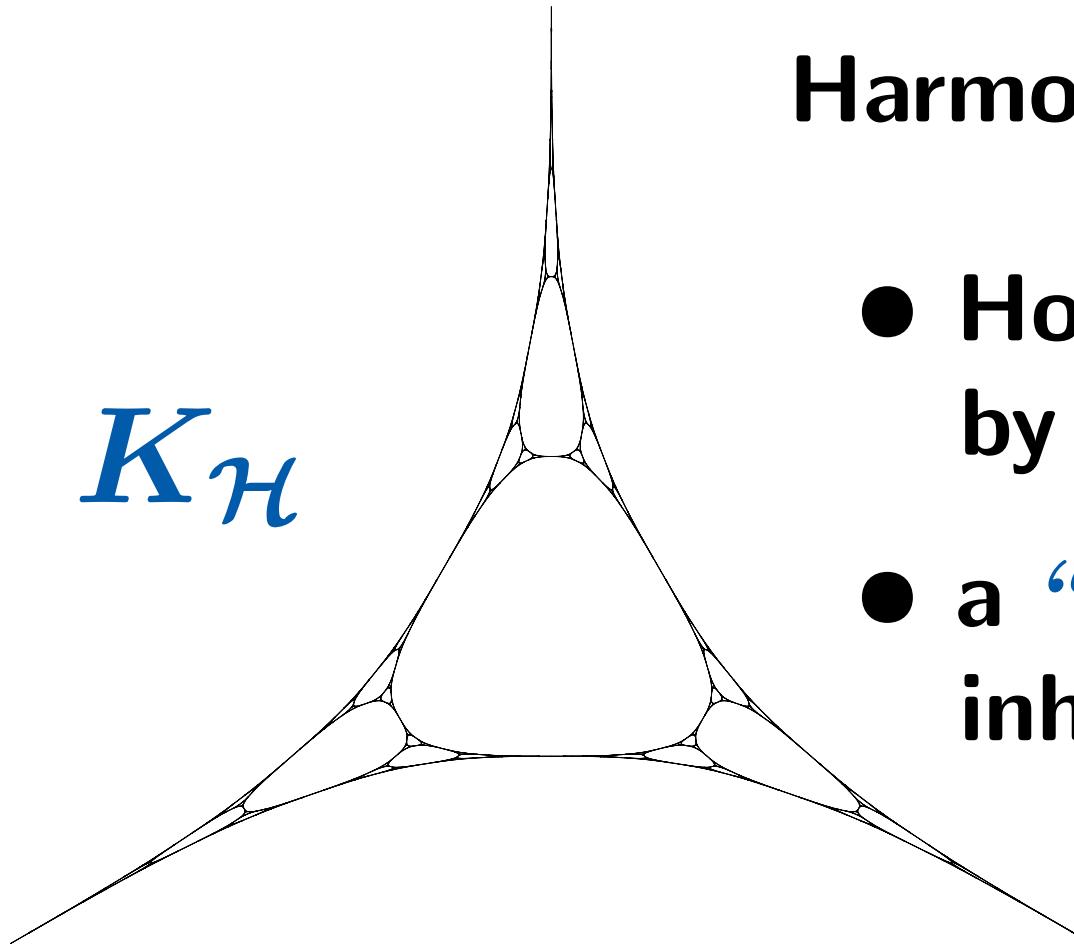
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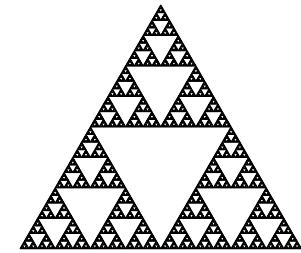
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*cf. Weyl's Laplacian eigenvalue asymp. for  $U \subset \mathbb{R}^d$*

▷  $\{\lambda_n^U\}_{n \in \mathbb{N}}$ : the eigenvalues of  $-\Delta_U^{\text{Dirichlet}}$

▷  $N_U(\lambda) := \#\{n \in \mathbb{N} \mid \lambda_n^U \leq \lambda\}$ ,

$Z_U(t) := \sum_{n \in \mathbb{N}} e^{-t\lambda_n^U} = \int_U p_t^U(x, x) dx$

Thm (Weyl 1912).  $N_U(\lambda) \xrightarrow{\lambda \rightarrow \infty} c_d \text{Vol}_d(U) \lambda^{d/2}$ .

Equivalently,  $Z_U(t) \stackrel{t \downarrow 0}{\sim} (4\pi)^{-d/2} \text{Vol}_d(U) t^{-d/2}$ .

$p_t^U(x, x) \stackrel{t \downarrow 0}{\sim} (4\pi)^{-d/2} t^{-d/2} + \text{"$\leq$"} \text{ (some uniformity)}$

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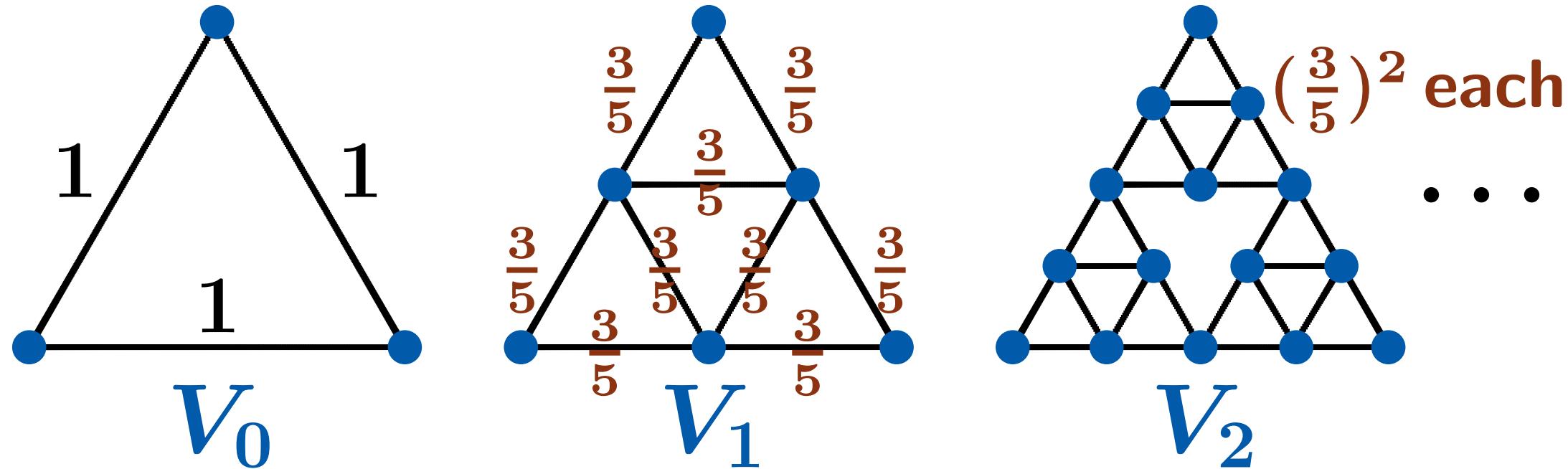
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# 1 Measurable Riemannian structure on the S.G.

▷  $(\mathcal{E}, \mathcal{F})$ : Standard Dirich. form on  $K$  ( $\mathcal{F} \subset C(K)$ )

$$\text{“}\mathcal{E}(u, v) = \int_{\mathbb{R}^d} \langle \nabla u, \nabla v \rangle dx\text{”}$$

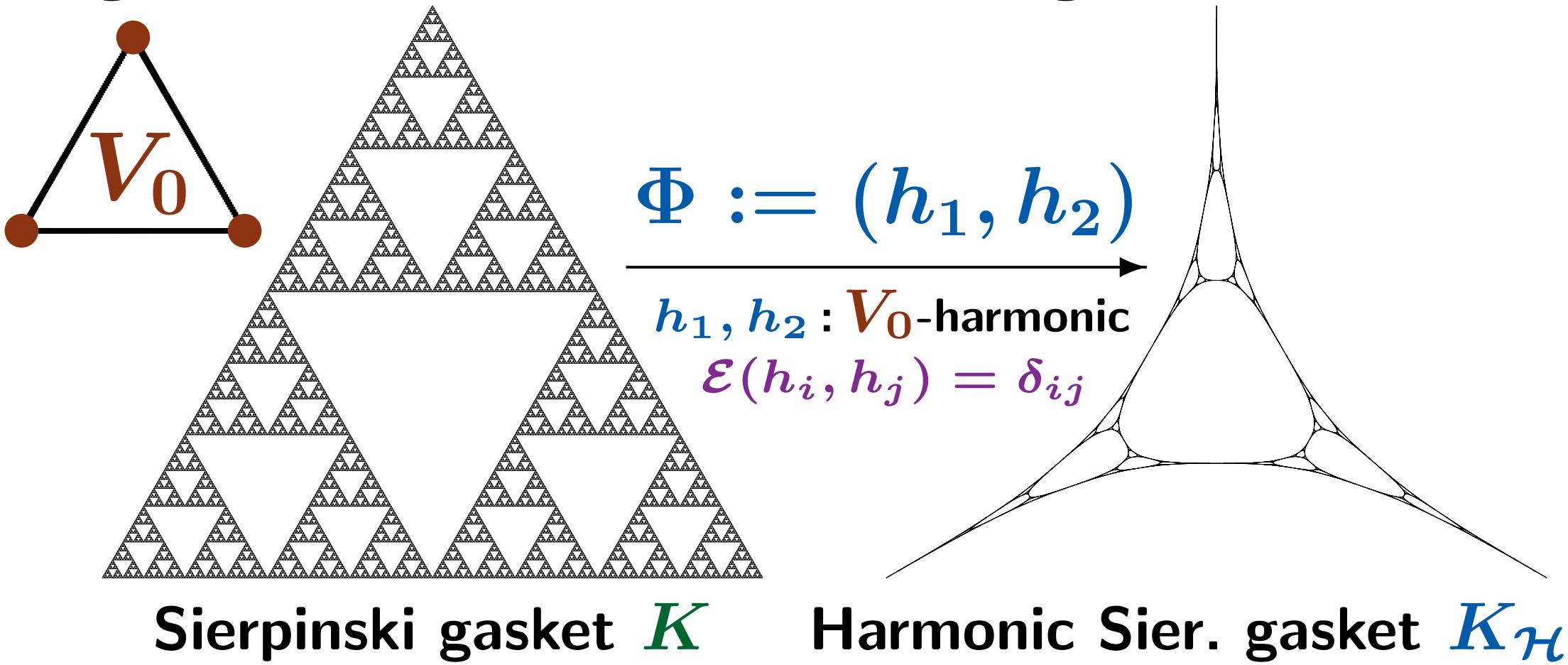


$$(\mathcal{E}_m, \mathbb{R}^{V_m}) \xrightarrow{m \rightarrow \infty} (\mathcal{E}, \mathcal{F})$$

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Kigami '93: Harmonic embedding  $\Phi : K \rightarrow K_{\mathcal{H}}$



# Energy measures $\mu_{\langle u \rangle}$ , $u \in \mathcal{F}$

$$\int_K f d\mu_{\langle u \rangle} = \mathcal{E}(fu, u) - \frac{1}{2}\mathcal{E}(f, u^2), \quad \forall f \in \mathcal{F}.$$

“ $d\mu_{\langle u \rangle} = |\nabla u|^2 dx$ ”

▷  $\mu := \mu_{\langle h_1 \rangle} + \mu_{\langle h_2 \rangle}$ : Kusuoka measure  
(Energy of the “embedding”  $\Phi$ )

Thm (Kusuoka '89, Kigami '93).

$\exists Z : K \rightarrow \mathbb{R}^{2 \times 2}$  Borel,  $Z^2 = Z^* = Z$ ,  $\text{rank } Z = 1$ ,

$$d\mu_{\langle u \rangle} = |Z \nabla u|^2 d\mu, \quad \mathcal{E}(u, u) = \int_K |Z \nabla u|^2 d\mu$$

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for  $u = v \circ \Phi$ ,  $v \in C^1(\mathbb{R}^2)$ , where  $\nabla u := (\nabla v) \circ \Phi$ .

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•  $T_x K := \text{Im } Z_x$ : 1-dim. tangent sp. with Riem. metric

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- $Z\nabla u$ : “gradient vector field” of  $u \in C^1(K_{\mathcal{H}})$

- $(\mathcal{E}, \mathcal{F})$ : associated Dirichlet form (“ $H^1$ -Sobolev sp.”)

▷  $\Delta_{\mu}$ : Laplacian for  $(K, \mu, \mathcal{E}, \mathcal{F})$ , that is,

$$\mathcal{E}(u, v) = - \int_K v \Delta_{\mu} u d\mu$$

▷  $p_t^{\mathcal{H}}(x, y)$ : fundamental solution for  $\frac{\partial u}{\partial t} = \Delta_{\mu} u$   
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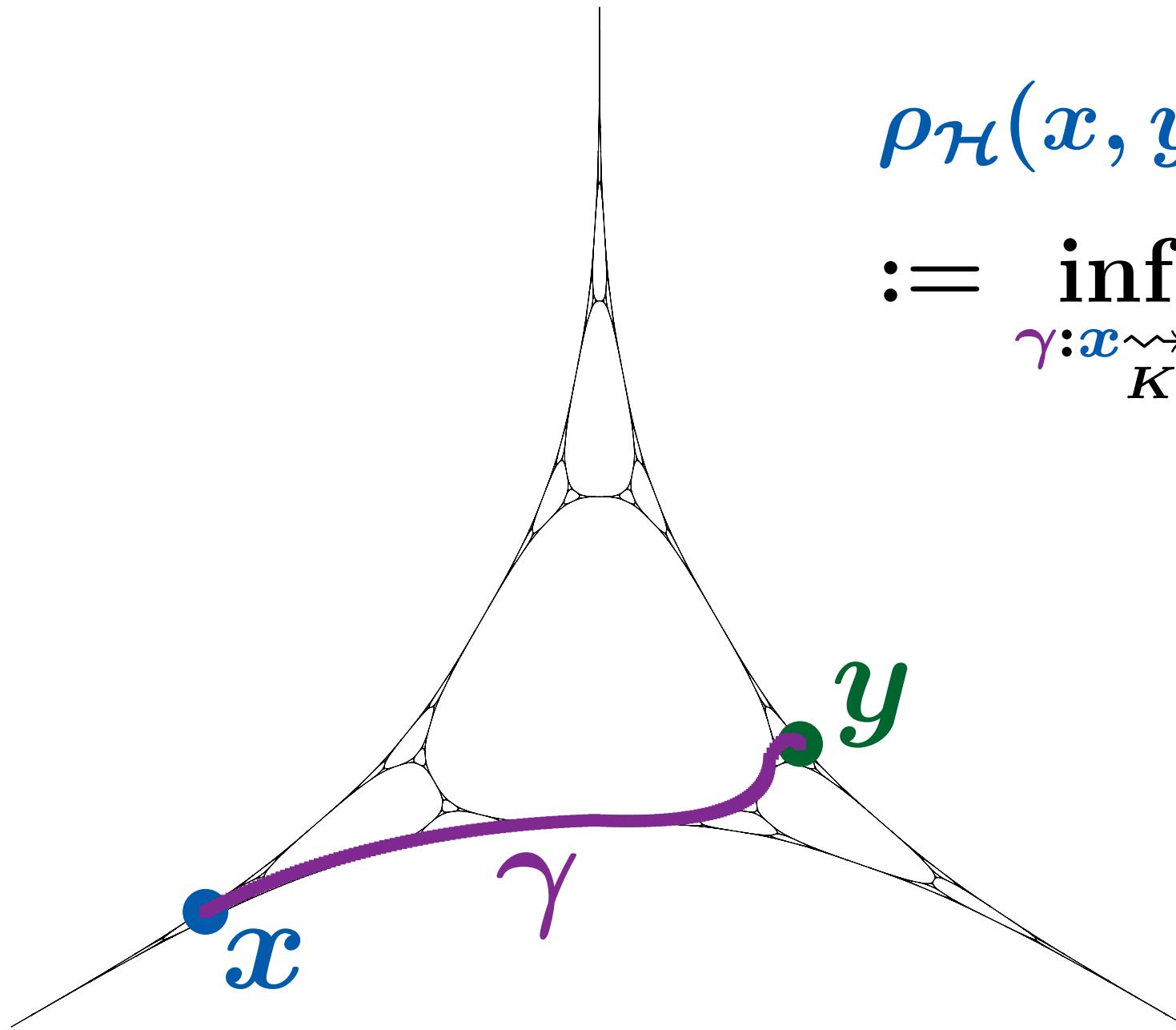
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# $\rho_{\mathcal{H}}(x, y)$ : Geodesic metric in $K_{\mathcal{H}}$

(Kigami '08)

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$$:= \inf_{\substack{\gamma: x \rightsquigarrow y \\ K}} \ell_{\mathbb{R}^2}(\Phi \circ \gamma)$$

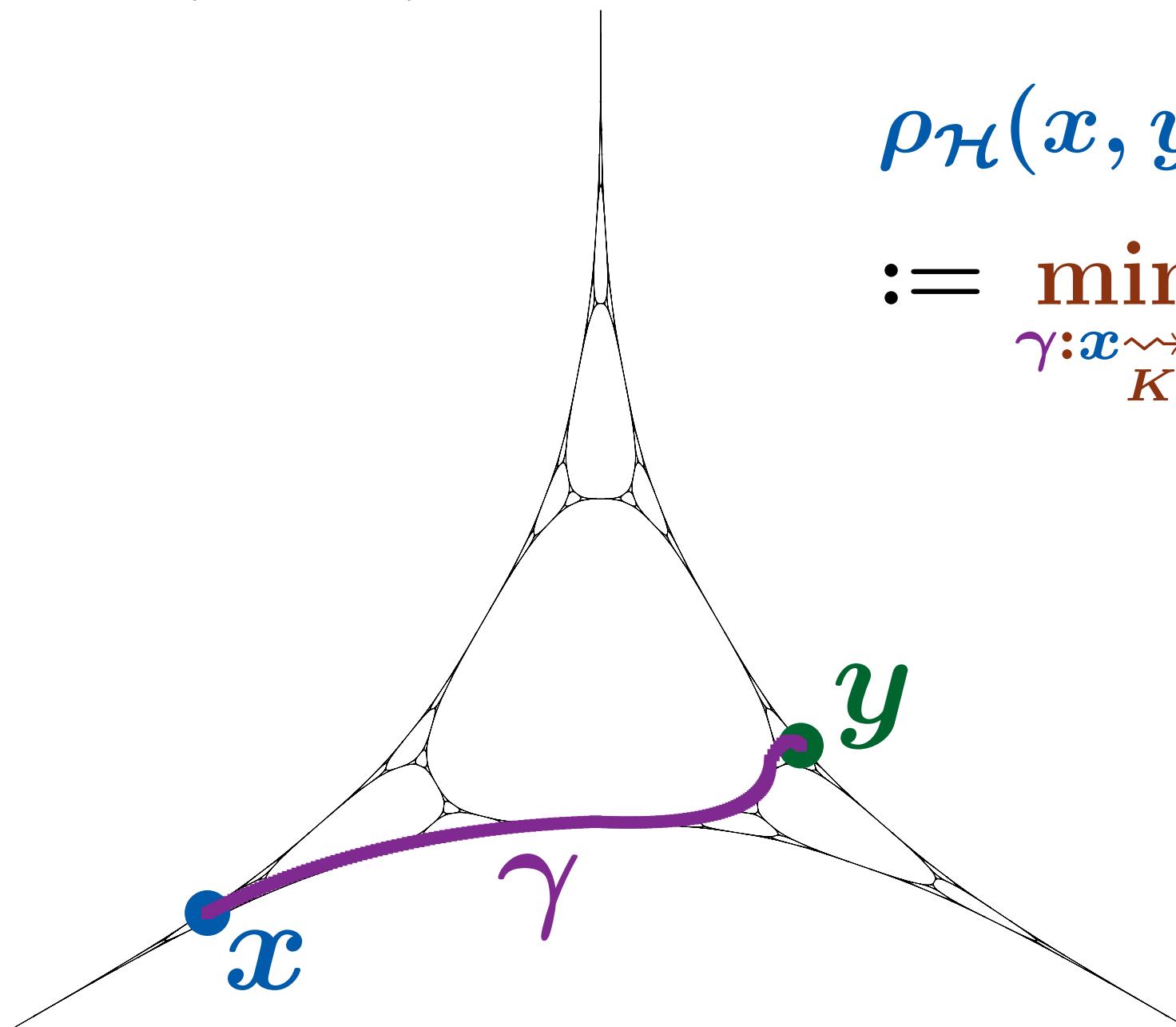


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# Gaussian heat kernel bound and Varadhan's asymp.

**Thm (Kigami '08).** For  $t > 0$ ,  $x, y \in K$ ,

$$p_t^{\mathcal{H}}(x, y) \asymp \frac{c_1}{\mu(B_{\sqrt{t}}(x, \rho_{\mathcal{H}}))} \exp\left(-\frac{\rho_{\mathcal{H}}(x, y)^2}{c_2 t}\right).$$

**Thm (K. '12).** For any  $x, y \in K$ ,

$$\rho_{\mathcal{H}}(x, y) = \sup\{u(x) - u(y) \mid u \in \mathcal{F}, \mu_{\langle u \rangle} \leq \mu\}.$$

**Cor (Thm + Ramírez '01).** For any  $x, y \in K$ ,

$$(Vrd) \quad \lim_{t \downarrow 0} 4t \log p_t^{\mathcal{H}}(x, y) = -\rho_{\mathcal{H}}(x, y)^2.$$

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# Gaussian heat kernel bound and Varadhan's asymp.

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$$p_t^{\mathcal{H}}(x, y) \asymp \frac{c_1}{\mu(B_{\sqrt{t}}(x, \rho_{\mathcal{H}}))} \exp\left(-\frac{\rho_{\mathcal{H}}(x, y)^2}{c_2 t}\right).$$

**Thm (K. '12).** For any  $x, y \in K$ ,

$$\rho_{\mathcal{H}}(x, y) = \sup\{u(x) - u(y) \mid u \in \mathcal{F}, \mu_{\langle u \rangle} \leq \mu\}.$$



**Cor (Thm + Ramírez '01).** For any  $x, y \in K$ ,

$$(\text{Vrd}) \quad \lim_{t \downarrow 0} 4t \log p_t^{\mathcal{H}}(x, y) = -\rho_{\mathcal{H}}(x, y)^2.$$

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▷  $d := \dim_{\mathbb{H}}(K, \rho_{\mathcal{H}}) \in (1.17, 1.52)$

Prop (K.).  $\mathcal{H}_{\rho_{\mathcal{H}}}^d(B_r(x, \rho_{\mathcal{H}})) \asymp r^d$ ,  $r \in (0, 1]$ ,  $x \in K$ .

Thm (K.).  $\exists c_N > 0$ ,  $\forall U \subset K$  open,  $\mathcal{H}_{\rho_{\mathcal{H}}}^d(\partial U) = 0$ ,

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( $\mathcal{H}_{\rho_{\mathcal{H}}}^d$ :  **$d$ -dim. Hausdorff meas. w.r.t.  $\rho_{\mathcal{H}}$** )

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**Proof.** To follow Kigami-Lapidus' method, we use Kesten's renewal thm for Markov chains [Ann. Prob. '74].

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**Q.** How are  $\mu = \mu_{\langle h_1 \rangle} + \mu_{\langle h_2 \rangle}$  and  $\mathcal{H}_{\rho_{\mathcal{H}}}^d$  related?

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**Thm (K.).**  $\mu \perp \mathcal{H}_{\rho_{\mathcal{H}}}^d$ .

# Singularity of measure $\mathcal{H}_{\rho_{\mathcal{H}}}^d$ appearing in the limit

Prop (K. '12).  $1 < {}^\exists d^{\text{loc}} \leq d$ , for  $\mu$ -a.e.  $x \in K$ ,

$$\lim_{t \downarrow 0} \frac{2 \log p_t^{\mathcal{H}}(x, x)}{-\log t} = \lim_{r \downarrow 0} \frac{\log \mu(B_r(x, \rho_{\mathcal{H}}))}{\log r} = d^{\text{loc}}.$$

Thm (K.).  $d^{\text{loc}} < d$ .

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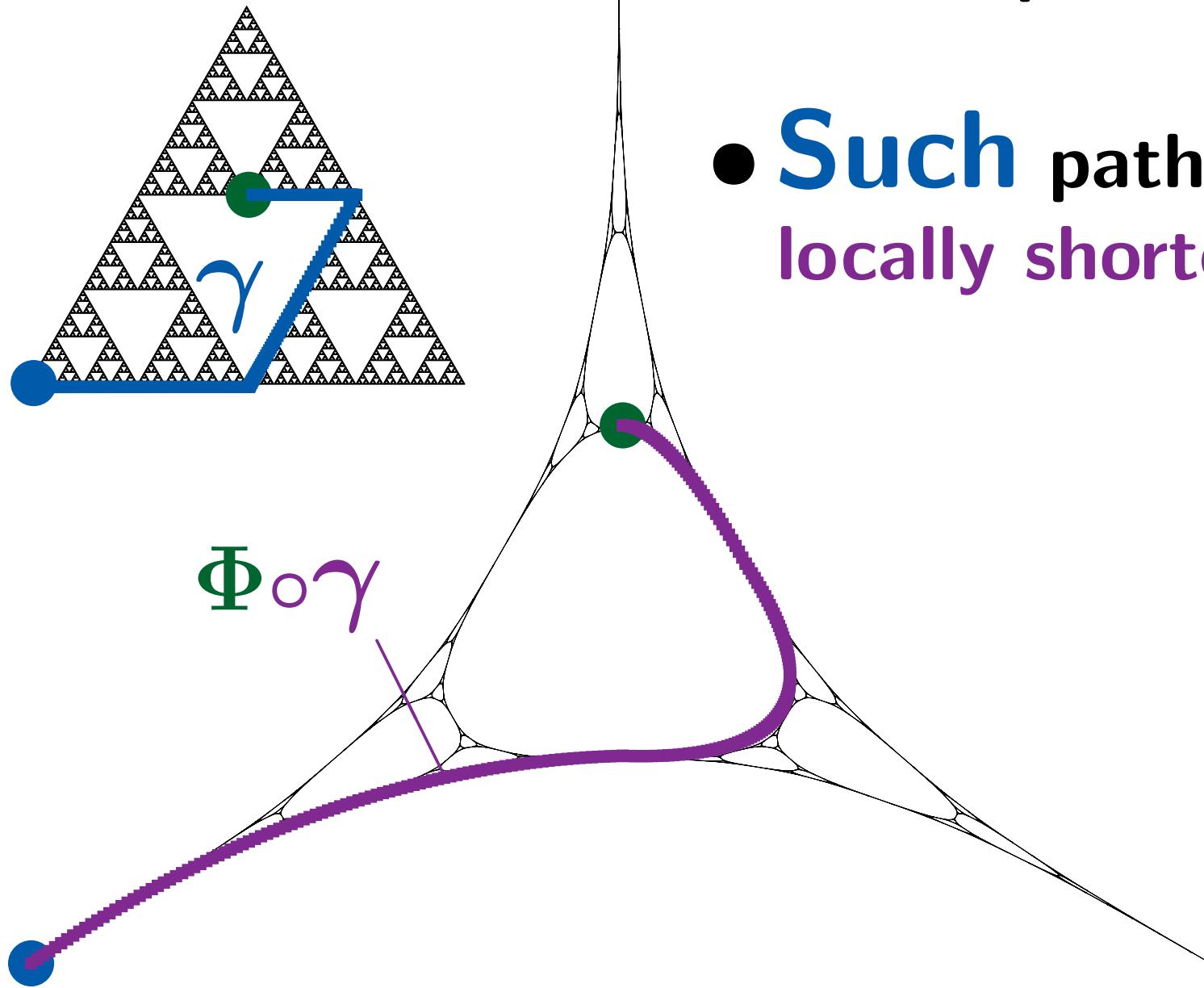
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$$\therefore \dim_{\mathbf{H}, \rho_{\mathcal{H}}} \left( \left\{ x \in K \mid \lim_{r \downarrow 0} \frac{\log \mu(B_r(x, \rho_{\mathcal{H}}))}{\log r} = d^{\text{loc}} \right\} \right) = d^{\text{loc}}.$$

  $\mu$ -full by Prop.,  $\mathcal{H}_{\rho_{\mathcal{H}}}^d$ -zero by  $d^{\text{loc}} < d$

### 3 Connections to theories on metric meas. spaces

Characterization of shortest paths in  $K_{\mathcal{H}}$  (K. '13)

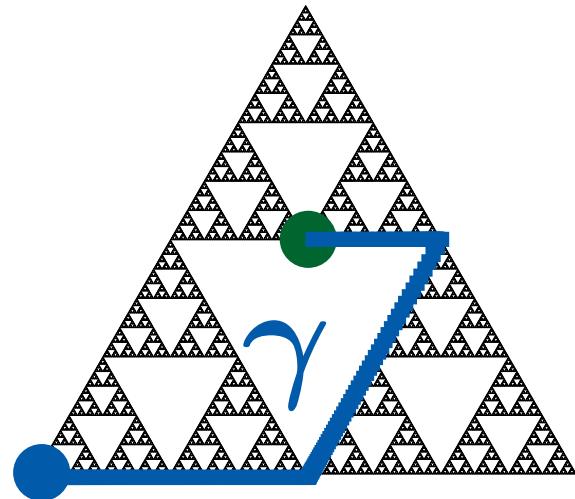


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**Cor (K.).** For  $(K, \rho_{\mathcal{H}}, \mu)$ ,  $k \in \mathbb{R}$ ,  $N \in [1, \infty]$ ,

- **CD( $k, N$ )** (Sturm '06', Lott-Villani '07, '09) fails.
  - **MCP( $k, N$ )** (Sturm '06', Ohta '07) fails,  $N < \infty$ .
- ▷ **CD( $k, N$ ), MCP( $k, N$ )**: metric-measure paraphrase of

$$\text{Ric}_g \geq kg \quad \text{and} \quad \dim M \leq N.$$

# Rademacher's thm for “Riemannian structure”

**Thm (Koskela-Zhou '12, cf. Hino '10).** Let  $u \in \mathcal{F}$ .

Then for  $\mu$ -a.e.  $x \in K$ ,  $\exists^1 \tilde{\nabla} u(x) \in T_x K$  s.t.

$$\lim_{y \rightarrow x} \frac{u(y) - u(x) - \langle \tilde{\nabla} u(x), \Phi(y) - \Phi(x) \rangle}{\rho_{\mathcal{H}}(y, x)} = 0.$$

Moreover  $d\mu_{\langle u \rangle} = |\tilde{\nabla} u|^2 d\mu$ ,  $\mathcal{E}(u, u) = \int_K |\tilde{\nabla} u|^2 d\mu$ .

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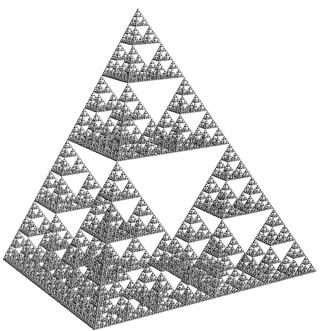
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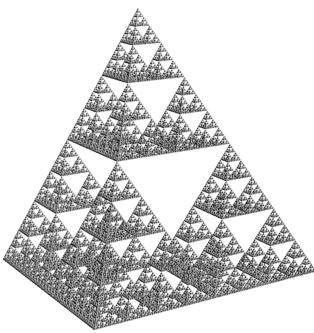
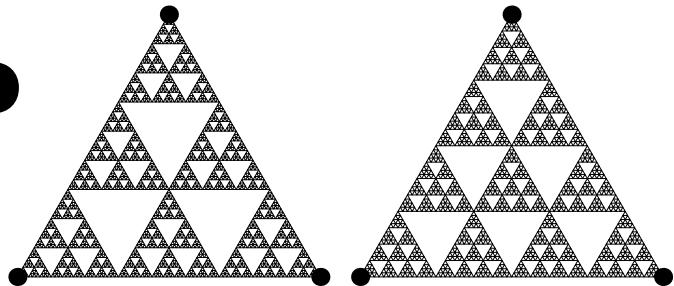
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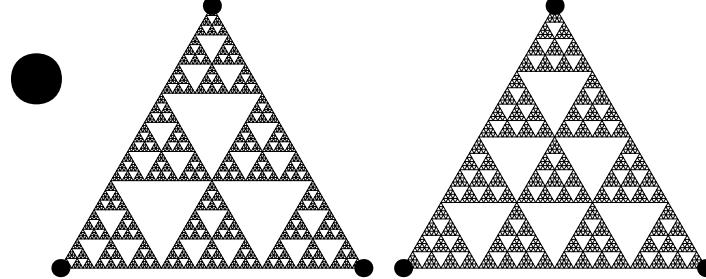
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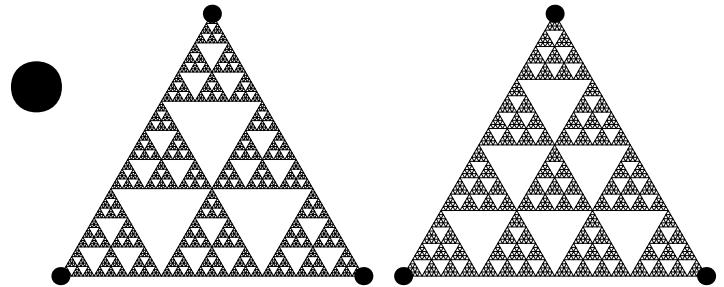
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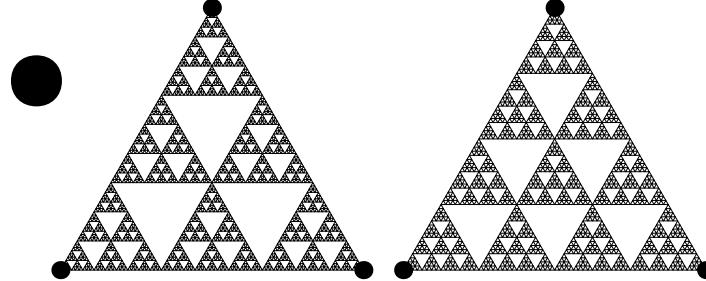


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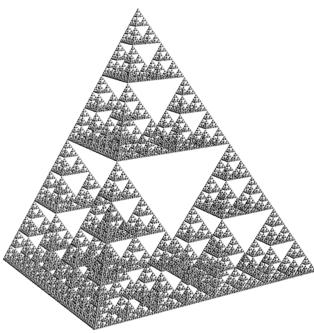
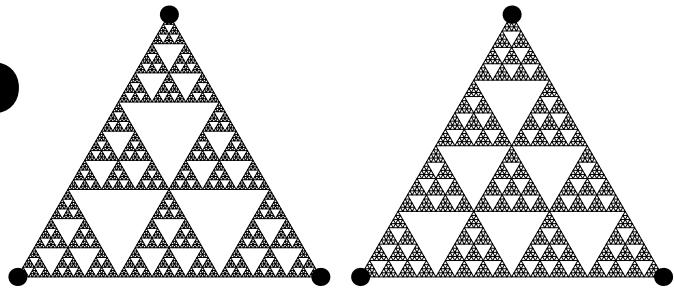
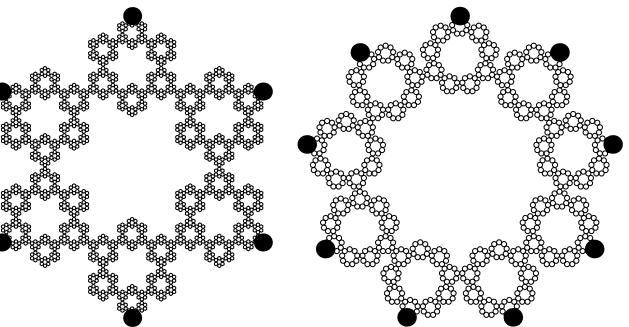
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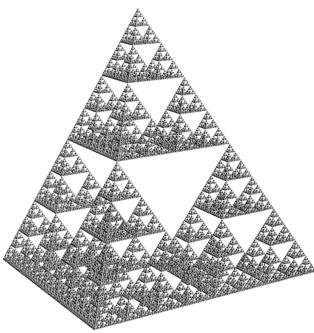
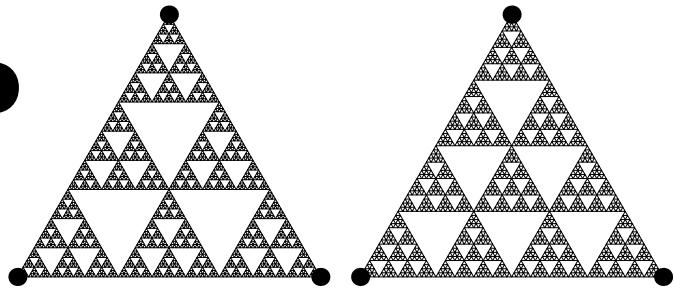
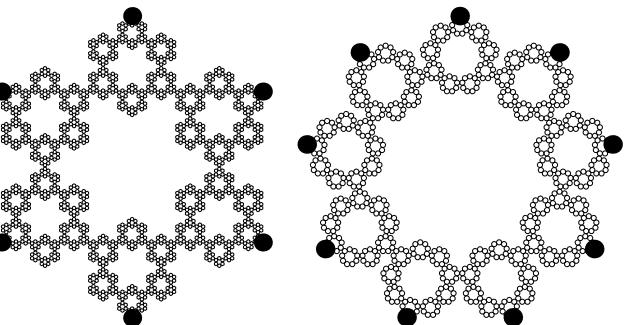
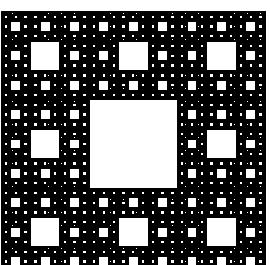
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