# Background reduction in searches for gravitational-wave signals from supernovae: A machine learning approach

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#### **GRAVITATIONAL-WAVE TRANSIENT CATALOG-1**





#### The next big thing could be a core-collapse supernova

The last supernova seen by human eyes in the Milky Way was in 1604 (Kepler's supernova).

The last supernova known to have occurred in the Milky Way was ~ 300 years ago (Cassiopeia A).

Last notable supernova in the vicinity of the Milky Way was in 1987 (SN 1987A)







ALMA (ESO/NAOJ/NRAO)/A. Angelich

#### TYPE I SUPERNOVAE:



This type of nova takes place in binary star systems, with one of the stars classified as a white dwarf.



The dwarf accretes material from its larger counterpart, accumulating mass as a result. This eventually incites a chain nuclear reaction..



culminating in the star reaching critical density, when it explodes in a supernova. Beams of gamma radiation can also be emitted.

#### **TYPE II SUPERNOVAE:**



After losing the abilty to stably fuse heavy elements, the star can no longer retain a gravitational equilibrium, thus the core collapses in on itself.



The core rebounds in quick succession, subsequently releasing the outerlayers of gas off into space — forming a nebula.



After the dust settles, a neutron star or black hole is left behind (which one will hinge on the star's mass)

Image Credits (Clockwise, from top to bottom): Tod Strohmayer & Dana Berry, Celojums Kosmosa (Vimeo), NASA/Dana Berry/Skyworks Digital, NASA (taken by Chandra)., 'Daftopia' (Deviant Art), Argonne National Laboratory/Hongfeng Yu. (Infographic by' From Quarks to Quasars')

#### Supernova Rates

Approx. 1 supernova per day discovered
 Approx. 4 supernovae per year up to 20Mpc
 Approx. 12 SN per century (?) in Milky Way

 ~ 80% of all supernovae are core collapse supernovae (CCSN)



J. Gill et al, in preparation, courtesy of M. Szczepańczyk

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Before collapse: Iron core of size  $1000 \sim 2000$  km. > After collapse: Neutron core of size  $\sim 50$  km. Energy available:  $\sim 3 \times 10^{46}$  J ( $\sim 0.15$  M<sub>SUN</sub>c<sup>2</sup>). > Energy observed:  $\sim 3 - 10 \times 10^{44}$  J.

Neutrinos, GWs!

#### Gravitational waves from CCSN

$$h_{jk}^{TT}(t,\vec{x}) = \left[\frac{2}{c^4}\frac{G}{|\vec{x}|}\ddot{I}_{jk}(t-\frac{|\vec{x}|}{c})\right]^{TT}$$

Aspherical mass-energy energy outflow can be produced by:

 Magnetic stresses, 3D MHD instabilities.
 Rotating core collapse and bounce, pulsation of proto-neutron star, convection in protoneutron star, black hole formation.
 Turbulent convection, anisotropic neutrino emission,

Standing Accretion Shock Instability (SASI).



Moesta, TAPIR, California Institute of Technology

#### **Detector range: Initial LIGO**

B. P. ABBOTT et al.



#### Detector range: Current and future detectors

- Center of Milky Way: 8.5 kpc.
- Large Magellanic Cloud: 50 kpc.
- Andromeda: 780 kpc.
- Virgo Cluster: ~ 15 25 Mpc.
- 2G detectors: ~ 10x detector sensitivity.
- 3G detectors: ~ 100x detector sensitivity.

It is only a matter of time until we detect the first GW signal from a CCSE. We should be prepared to confidently claim detection and extract as much information as possible.



# Maximizing detection chances

In the first Advanced LIGO run (O1), about 40% of the data were collected in single interferometer (single-IFO) mode.



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In the first Advanced LIGO run (O1), about 40% of the data were collected in single interferometer (single-IFO) mode.

- In the next few years we expect ~ 20% of the data to be single-IFO data
- We would like to be able to search data and claim a galactic CCSN detection even with only one instrument.
- However: GW searches of CCSN are (essentially) unmodelled. Single-IFO data are espected to have a large population of loud glitches, which affect detection confidence.
- Optical CCSN counterpart and clever analysis techniques may allow us to do so.

## Improving single-IFO detection

- We want to develop a <u>method that integrates with current LIGO-Virgo search pipelines</u>. We focus on LIGO because of the higher detector sensitivity.
- Perform a two interferometer strategy search, where data from a LIGO interferometer is an exact copy of the data from the second. This allows for an <u>immediate deployment in existing</u> <u>pipelines</u> [coherent WaveBurst – cWB, S. Klimenko, et. al, Class. Quantum Grav. 25 (2008) 114029].
- Even for the most realistic emission models in the currently adopted pool for LIGO-Virgo searches, a galactic center detection is out of reach at 3σ c.l. with the current implementation of cWB.
- Explore the possibility of reducing the population of background glitches with machine learning. <u>Goals</u>: (1) 3σ c.l. detection; (2) Remove background events without decreasing efficiency curves.

#### **CCSN** waveforms: Basic properties

Waveforms generally exhibit:

- Broadband and long duration signal
- Strong high-frequency component
- Non deterministic shape

Very complex problem





#### CCSN waveforms: Basic properties



Courtesy of http://mercury.pr.erau.edu/~quinonep/yakunin.html

#### CCSN waveforms in real data



# cWB pipeline

- Wavelet-based burst-detection method that combines all data streams into one coherent statistic [S. Klimenko et. al, Class. Quantum Grav. 25 (2008) 114029].
- Detection is based on the maximum likelihood ratio statistic which represents the total signal-to-noise ratio of the GW signal detected in the network.
- It allows waveform reconstruction and parameter extraction.



Courtesy of M. Szczepanczyk

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#### Implementation

- We use cWB with two identical LIGO interferometers.
- Background triggers and CCSN simulation triggers in real LIGO-Virgo data.
- Two days of background data (second observing run).
- Inject different waveform models (Dimmelmeier, Yakunin,...) at <u>specific</u> galactic distances.<sup>(\*)</sup>
- Rather than using waveforms and/or scalograms (time consuming) we use only <u>cWB extracted parameters</u>.

(\*) From the CCSN optical counterpart we should know distance and localization.

#### cWB parameters

- $\succ$  cWB ranking statistics (effectively SNR).
- Number of wavelet time-frequency pixels composing the events.
- Energy-weighted duration estimated in time-frequency domain.
- Difference between event stop and start.
- Central frequency of the event computed from the reconstructed waveform.
- Energy-weighted central frequency estimated in time-frequency domain.
- Minimum frequency associated to the time-frequency map pixels.
- Maximum frequency associated to the time-frequency map pixels.
- Energy-weighted bandwidth (frequency resolution) estimated in time-frequency domain.
- Difference between high and low bandwidth values.
- Norm Factor or ellipticity.

# Injections

#### Waveforms:

- Mueller-2012: arXiv:1210.6984 [astro-ph.SR].
- Yakunin-2015: arXiv:1505.05824 [astro-ph.HE].
- Ott-2013: arXiv:1210.6674 [astro-ph.HE].
- Scheidegger-2010: arXiv:1001.1570 [astro-ph.HE].
- Dimmelmeier-2008: arXiv:0806.4953 [astro-ph].

#### <u>Distances</u>:

1.0 kpc, 1.78 kpc, 3.16 kpc, 4.22 kpc, 5.62 kpc.

#### Number of injections:

From ~ few hundreds to ~ one thousand each.
 Comparable number of background triggers.

#### Example:

All waveform models combined.
 Distance: 4.22 kpc

Distance: 4.22 kpc



M. Cavaglia et al, in preparation.

#### Background reduction

➢ We use a machine learning (ML) approach for background reduction.

We want to apply it to the cWB output. No fancy new method. <u>Easily</u> <u>deployable</u>.

Dataset is numerical (not images). No need for deep learning, convolutional neural networks,... Maybe in the future, for more refined results.

#### Genetic Programming.

Method can be straightforwardly extended to include information from auxiliary channels or to the multi-interferometer case.

#### Brief introduction to Machine Learning

When the dimensionality and/or volume of data is too great for humans, we need computer algorithms to help us discover and understand the data trends.



Courtesy of K. Staats

Training vs. testing vs. real world



Courtesy of K. Staats.

# Genetic Programming

- $\triangleright$  Idea dates back to Alan Turing. Developed by John Koza et al. in the 1980s.
  - A supervised, evolutionary algorithm.
  - Uses random mutation, selection, a fitness function, and multiple generations of evolution to resolve a user-defined task.
  - Produces human-readable correlations between features (variables) in datasets.
  - Can perform <u>classification and regression</u>.
    - Applications: Predictive modeling, data mining, financial modeling, image processing,...

### Basics of evolutionary algorithm

#### Generate an initial, stochastic population of individuals.

#### Evolve the individuals:

- Evaluate each individual in the current population against the dataset and evaluate its fitness.
- Randomly select a number of individuals and compare their fitness scores.
- Apply genetic operators to the leading individual (reproduction, mutation, crossover).
- Evaluate all individuals in each new generation.
- Repeat until the user-defined termination criteria are met.

In GP, each individual is a program. Generations are composed of a population of individual programs. Each program is a mathematical function that when executed against the given data, produces a value.

# **Genetic operators**



M. Cavaglia, K. Staats and T. Gill, Commun. Comput. Phys., 25 (2019), 963-987.

#### Our problem

#### For our analysis we implement the "Karoo GP" code (K. Staats: <u>https://github.com/kstaats/karoo\_gp</u>).

Tree 11 yields (sym): a305 + a306\*a78\*r119 + a43\*f49 + a62 - f112 + f12 + f217 Tree 12 yields (sym): -a18 + f190\*r284 - q151\*r284\*r75/r188 - q155 Tree 13 yields (sym): -a18 - a234\*r12\*r284 + f190\*r284 - q155 + q31 Tree 14 yields (sym): -a18 - a234\*q151\*r284 + f190\*q266 - q155 + q31 Tree 15 yields (sym): a306\*a78\*r119 + a43\*f49 + a62 + f102\*f82 + f12 - q187 + r20 Tree 16 yields (sym): a154\*a41\*f82 - a198\*q291\*r111\*r206/q76 Tree 17 yields (sym): a43\*f49 + a62 - f112 + f12 + f217 + f57 + q193\*r119 + r20 Tree 18 yields (sym): a258 + f131\*f272\*q41 - q251 + a229/(a125\*q121) Tree 19 yields (sym): -a18 - f124\*q151\*r284 + f19 + f190\*r284 - q155 Tree 20 yields (sym): -a234\*q151\*r284 + f190\*r284 - q155 + q31 - r90 Tree 21 yields (sym): -a18 - a234\*r115\*r284 + f190\*r284 - q155 + q31 yields (sym): -a18 - a234\*g151\*r284 + f190\*r284 + g31 - r289 /ields (sym): a151/f28 + f134 + f245 + f5 + q270 - r164 - r318 ields (sym): -a172 + f131\*f272\*q41 + f68\*f96\*q169/f182 a154\*a41\*f36 a306\*a78\*r119 + a43\*f49 + a62 - f112 + f217 + r20 + r57/a99 a161 + a162/(f225\*r245) - a287\*f146\*q9\*r0 + f258\*f337\*q117 - f324 + q282 + r274 + f32/f318 -a18 - a234\*q151\*r284 + f190\*r284 - q155 + q162 /ields (sym): -a18 - a234\*q151\*r284 + a65\*r284 - q155 + q31 /ields (sym): -**a266/a76 + a274 + a85 + f206 - q160\*r57 - q295** f245 + q270 - r164 + r284 - r318a151\*f190/a338 - a18 - a234\*g151\*r284 + f134 + f5 - g155 + g31 a306\*a78\*r119 + a43\*f49 + a62 - f112 + f12 + f217 + r318 a109\*r115 + a277\*a306\*q125\*r87/q29 - a40\*r20/q175 + f18\*f241\*q268 - f282 + q75 + r247 A<sup>1</sup>Classification run a161 - a287\*f146\*f82\*g9 + f258\*f337\*g117 + f32/r138 - f324 + g282 + r274 a102\*a162\*q291\*r206/q76 + a154\*a41\*r0 - f123 a234 + a306\*a78\*r119 + a62 - f112 + f12 + f217 + q31 + r20 Depth: 10 -a18 - a43\*f49\*q151\*r284 + f190\*r284 - q155 ields (svm) a274 + a85 - f131\*f272\*r57 + f206 - g295 - f267/a76 ields (svm): Operators: +, -, \*, / q160\*q41 - q251 + f68\*f96\*q169/f182 a154\*a41\*f82 - f190\*q291\*r111\*r206\*r284/q76 ields (svm): a102 - a18 - a234\*q151\*r284 - f123 - q155 + q31 Population: 300 ields (svm): -a18 - a234\*q151\*r284 + a78\*f190 - q155 + q31 vields (svm): a306\*r119\*r284 + a43\*f49 + a62 - f112 + f12 + f217 + r20 vields (svm) Generations: 100-150 f272\*q41\*r284 - q251 + f68\*f96\*q169/f182 45 vields (svm): Tree 46 yields (sym): -a18 - a234\*f131\*q151 + f190\*r284 - q155 + q31 Tree 47 yields (sym): -a102 + a274 + a85 - f123 + f206 - q295 - f267/a76 Selection: Tournament (10%) Tree 48 yields (sym): a154\*a41\*f82 - q160\*q291\*r111\*r206\*r57/q76 Tree 49 yields (sym): f131\*f272\*q41 + q151 - q251 Total runs: 160 Tree 50 yields (sym): -a18 - a234\*f68\*f96\*q169\*r284/f182 + f190\*r284 - q155 + q31 Tree 51 yields (sym): -a18 - a234\*q151\*r284 + f272 - q155 + q31 Tree 52 yields (sym): f131\*f190\*q41\*r284 - q251 + f68\*f96\*q169/f182 Tree 53 yields (sym): -a102\*q291\*r111\*r206/q76 + a154\*a41\*f82 - f123

Tree: -a1129 + 19\*a1258 + a1262 + a1263 + a1272 + 4\*a1279 + 5\*a1359 - 5\*a1757 - a20896\*a2197 + a2200 - 2\*a2233 + 2\*a2235 + 6\*a2359 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a299 + 5\*a3041 - 3\*a3492 + a2757 - a2856 - a2957 + a2757 - a2856 - a2957 + a2757 + a27577 + a2757 + a2757a483

#### Classification report:

	precision	recall	f1-score	suppor
0.0	0.93	0.98	0.96	223
1.0	0.98	0.93	0.96	234
vg / total	0.96	0.96	0.96	457

Confusion	matrix:			
	other	glitch		
0 other	219	4		
1 glitch	16	218		

We run the code multiple times for better statistics.

Courtesy of K. Staats.

# Machine learning lingo

		True cor	ndition					
	Total population	Condition positive	Condition negative	$\frac{\text{Prevalence}}{\sum \text{Condition positive}}$ = $\frac{\Sigma \text{ Condition positive}}{\Sigma \text{ Total population}}$	$\frac{Accuracy (ACC) =}{\frac{\Sigma \text{ True positive } + \Sigma \text{ True negative}}{\Sigma \text{ Total population}}$			
Predicted condition	Predicted condition positive	True positive, Power	False positive, Type I error	Positive predictive value (PPV), Precision = $\Sigma$ True positive $\overline{\Sigma}$ Predicted condition positive	False discovery rate (FDR) = $\Sigma$ False positive $\Sigma$ Predicted condition positive			
	Predicted condition negative	False negative, Type II error	True negative	False omission rate (FOR) = $\Sigma$ False negative $\Sigma$ Predicted condition negative	Negative predictive value (NPV) = $\Sigma$ True negative Σ Predicted condition negative			
		True positive rate (TPR), Recall, Sensitivity, probability of detection $= \frac{\Sigma \text{ True positive}}{\Sigma \text{ Condition positive}}$	False positive rate (FPR), Fall-out, probability of false alarm $= \frac{\Sigma \text{ False positive}}{\Sigma \text{ Condition negative}}$	Positive likelihood ratio (LR+) = $\frac{TPR}{FPR}$	Diagnostic odds ratio	F <sub>1</sub> score =		
		False negative rate (FNR), Miss rate = $\frac{\Sigma \text{ False negative}}{\Sigma \text{ Condition positive}}$	True negative rate (TNR), Specificity (SPC) = $\frac{\Sigma \text{ True negative}}{\Sigma \text{ Condition negative}}$	Negative likelihood ratio (LR-) = FNR TNR	$(DOR) = \frac{LR+}{LR-}$	$\frac{2}{\frac{1}{\text{Recall}} + \frac{1}{\text{Precision}}}$		

Condition Positive (P): the number of real positive cases in the data (signals)

- Condition Negative (N): the number of real negative cases in the data (glitches)
- True Positive (TP): hit, i.e., signal correctly identified
- True Negative (TN): correct rejection, i.e., glitch correctly identified
- False Positive (FP): false alarm or Type I error, i.e., glitch misidentified as a signal
- False negative (FN): miss or Type II error, i.e., signal misidentified as a glitch

Credit: wikipedia

# Machine learning lingo

- For best background recognition we want to <u>maximize Specificity</u> (or TNR), i.e., recognize the maximum number of glitches and <u>minimize FNR</u> (or Miss Rate), i.e., the false negative alarm rate.
- For best signal recognition we want to maximize <u>Recall</u> (or TPR or Sensitivity), i.e., recognize the maximum number of signals and <u>minimize Fall-out</u> (or FPR), i.e., the false positive alarm rate.

sensitivity, recall, hit rate, or true positive rate (TPR)  $TPR = \frac{TP}{P} = \frac{TP}{TP \perp FN} = 1 - FNR$ specificity, selectivity or true negative rate (TNR)  $TNR = \frac{TN}{N} = \frac{TN}{TN + FP} = 1 - FPR$ precision or positive predictive value (PPV)  $PPV = \frac{TP}{TP + FP}$ negative predictive value (NPV)  $NPV = \frac{TN}{TN + FN}$ miss rate or false negative rate (FNR)  $FNR = \frac{FN}{P} = \frac{FN}{FN + TP} = 1 - TPR.$ fall-out or false positive rate (FPR)  $FPR = \frac{FP}{N} = \frac{FP}{FP \perp TN} = 1 - TNR$ false discovery rate (FDR)  $FDR = \frac{FP}{FP + TP} = 1 - PPV$ false omission rate (FOR)  $FOR = \frac{FN}{FN + TN} = 1 - NPV$ 

Credit: wikipedia

Dimmelmeyer waveforms at 5.62 kpc



#### Code statistic performance



Comparison at different distances



# Probability of a trigger to be background



# Follow-up

- Pre-selection cuts may further improve efficiency.
- Contaminations in training set.





M. Cavaglia et al, in preparation.

# Blind analysis

Random blind set	Actual	Anal	ysis a	ll models, 1kpc	Analy	sis all	models, 1.78kpc	Analy	/sis al	l models, 3.16kpc	Analy	sis all	models, 4.22kpc
		SIG	BKG	Prediction	SIG	BKG	Prediction	SIG	BKG	Prediction	SIG	BKG	Prediction
1137221362.849899_1	BKG	0	200	BKG	0	200	BKG	0	200	BKG	0	200	BKG
1137221296.450439_2	BKG	0	200	BKG	9	191	BKG	12	188	BKG	32	168	BKG
1137221270.478584_3	BKG	0	200	BKG	5	195	BKG	7	193	BKG	43	157	BKG
1137221270.315765_4	BKG	0	200	BKG	0	200	BKG	0	200	BKG	0	200	BKG
1137221256.461151_5	BKG	0	200	BKG	0	200	BKG	0	200	BKG	0	200	BKG
1137221254.992889_6	BKG	0	200	BKG	0	200	BKG	0	200	BKG	0	200	BKG
1137221206.790939_7	BKG	0	200	BKG	0	200	BKG	0	200	BKG	2	198	BKG
1137221187.891924_8	BKG	0	200	BKG	0	200	BKG	0	200	BKG	0	200	BKG
1137088411.819580_9	BKG	0	200	BKG	0	200	BKG	0	200	BKG	0	200	BKG
1137088400.326843_10	BKG	39	161	BKG	66	134	BKG	91	109	BKG	85	115	BKG
1137248070.587524_11	SIG: Yak 10kpc	1	199	BKG	2	198	BKG	26	174	BKG	73	127	BKG
1137123606.447540_12	SIG: Yak 3.16kpc	3	197	BKG	27	173	BKG	146	54	SIG	180	20	SIG
1137234559.739685_13	SIG: Yak 3.16kpc	61	139	BKG	155	45	SIG	188	12	SIG	192	8	SIG
1137250081.748009_14	SIG: Yak 3.16kpc	1	199	BKG	36	164	BKG	167	33	SIG	183	17	SIG
1137215815.308205_15	SIG: Yak 1kpc	173	27	SIG	187	13	SIG	188	12	SIG	191	9	SIG
1137240747.519287_16	SIG: Yak 1kpc	173	27	SIG	188	12	SIG	188	12	SIG	190	10	SIG
1137251495.131439_17	SIG: Yak 1kpc	173	27	SIG	189	11	SIG	188	12	SIG	192	8	SIG
1137232392.167053_18	SIG: Yak 1kpc	171	29	SIG	188	12	SIG	188	12	SIG	191	9	SIG
1137237558.365189_19	SIG: Yak 1kpc	172	28	SIG	187	13	SIG	186	14	SIG	187	13	SIG

#### Analysis with "all-model training" multivariate functions at different distances

Red: Classified incorrectly Orange: Classified correctly, but would need a follow-up Black: Classified correctly

M. Cavaglia et al, in preparation.

#### **Conclusions and future directions**

- The method seems to be <u>effective at reducing the background</u> up to ~ 90%.
- Rapid, easy ML implementation to current cWB pipeline.
- If implemented, this method could help detection of galactic CCSN at 3o c.l. even with current detectors.
- Not only single-IFO: The method can be used for <u>different network configurations</u>.
- Addition of non-astrophysical (instrumental/environmental) information can further improve results.

#### To do:

- Explore method at larger distances.
- Signal recovery/distance estimation.
- Can we distinguish between CCSN models?

# Thank you!

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Background Image Credit: NASA/ESA/Hubble Heritage Team