
Introduction to Image Segmentation:

*Part 1: binary image labeling
discrete (and other) methods*

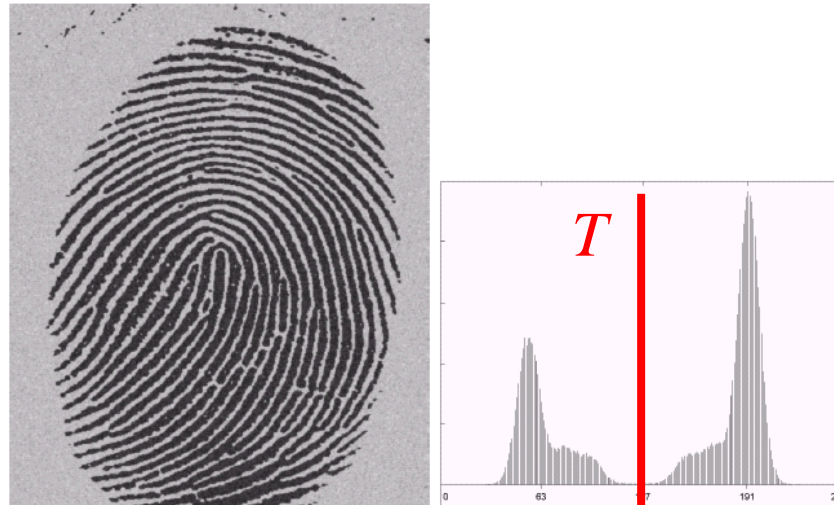
Yuri Boykov

University of Western Ontario

Introduction to Image Segmentation

- motivation for optimization-based approach
- active contours, level-sets, graph cut, etc.
- implicit/explicit representation of boundaries
- objective functions (energies)
 - physics, geometry, statistics, information theory
 - set functions and submodularity (graph cuts)
- *part II*: from binary to multi-label problems

Thresholding

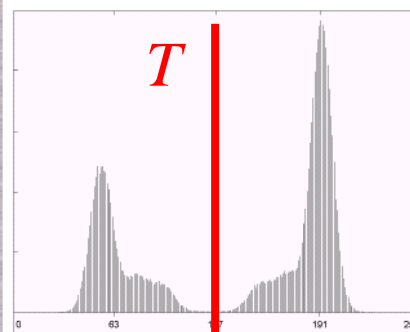


a b
c

FIGURE 10.29

(a) Original image. (b) Image histogram. (c) Result of segmentation with the threshold estimated by iteration. (Original courtesy of the National Institute of Standards and Technology.)

Thresholding



a b
c

FIGURE 10.29

(a) Original image. (b) Image histogram. (c) Result of segmentation with the threshold estimated by iteration. (Original courtesy of the National Institute of Standards and Technology.)



$$S = \{ p : I_p < T \}$$

Thresholding



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Threshold intensities above T

$$S = \{ p : I_p > T \} \longleftarrow \text{segment's region property}$$

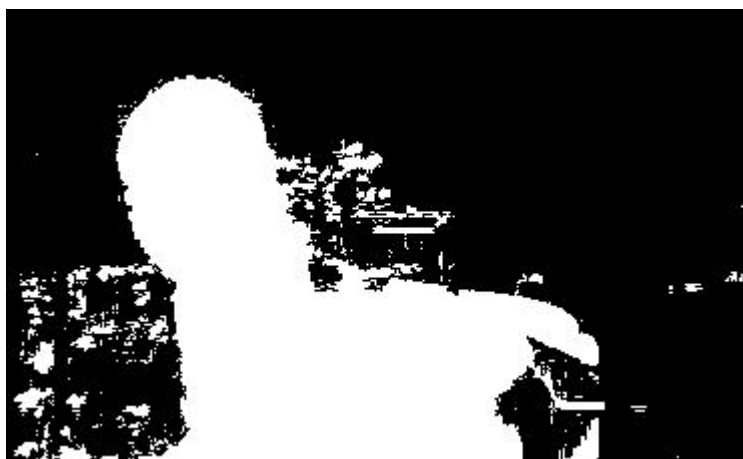
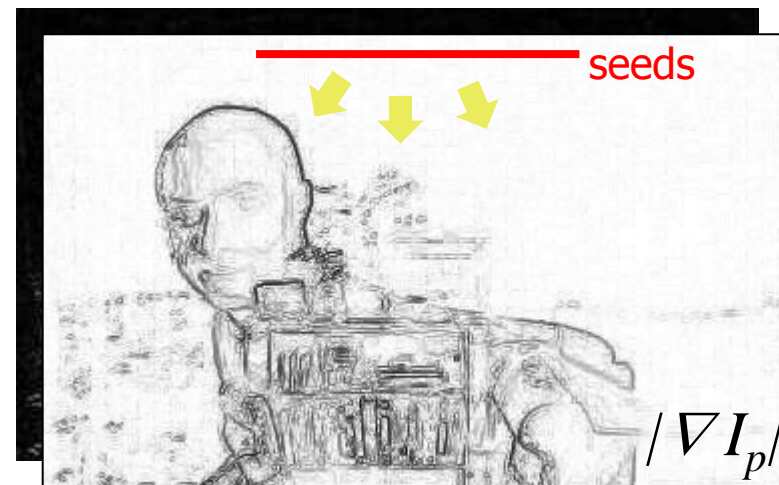
Region growing



-



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Breadth First Search (**seeds**) :

$$|\nabla I_p| < T$$

$$p \in \partial S \Rightarrow |\nabla I_p| > T \longleftarrow \text{segment's boundary property}$$

Region growing



Good segmentation S ?

- Objective function must be specified

Quality function

Cost function

Loss function

$$E(S) : 2^P \rightarrow \mathcal{R}$$

“Energy”

Regularization functional

Segmentation becomes an **optimization problem**: $S = \arg \min E(S)$

Good segmentation S ?

- Objective function must be specified

Quality function

Cost function

“Energy”

Regularization functional

$$E(S) = E_1(S) + \dots + E_n(S)$$

combining different constraints
e.g. on **region** and **boundary**

Common segmentation techniques

boundary-based

region-based

both region & boundary

region-growing

thresholding

geodesic

intelligent scissors
(live-wire)

active contours
(e.g. level-sets)

active contours
(snakes)

MRF
(e.g. graph-cuts)

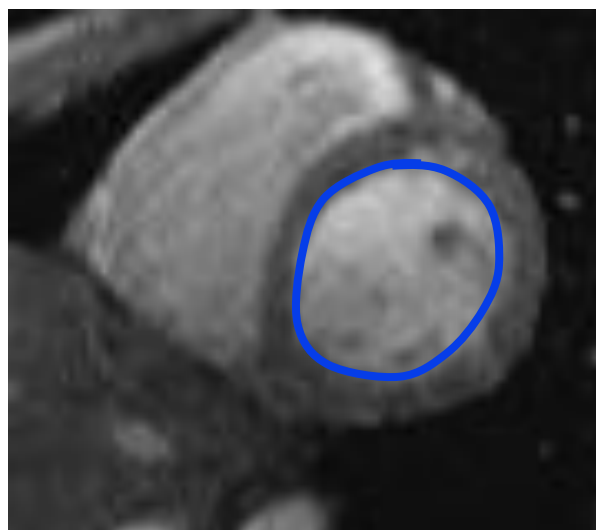
watersheds

random walker

optimization-based

Active contours - snakes

[Kass, Witkin, Terzopoulos 1987]



Given: initial contour (model) near desirable object

Goal: evolve the contour to fit exact object boundary

Tracking via active contours



Tracking Heart Ventricles

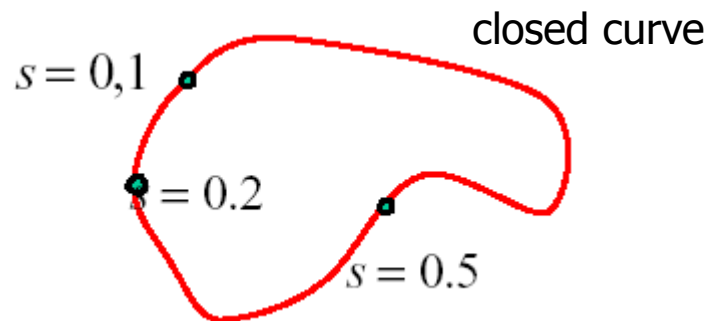
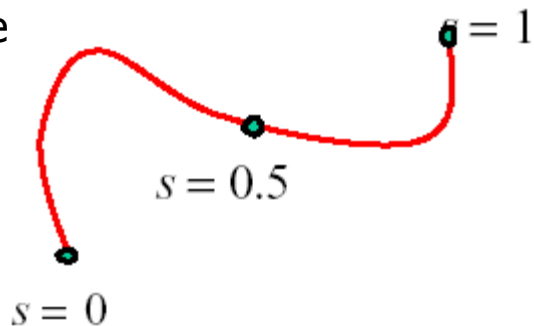
Active contours - snakes

Parametric Curve Representation (continuous case)

A curve can be represented by 2 functions

$$\mathbf{v}(s) = (x(s), y(s)) \quad \begin{matrix} \text{parameter} \\ 0 \leq s \leq 1 \end{matrix}$$

open curve



$$C = \{\mathbf{v}(s) \mid s \in [0,1]\} \in \mathfrak{R}^\infty$$

Snake Energy

$$E(C) = E_{in}(C) + E_{ex}(C)$$

internal energy encourages
smoothness or any particular shape

external energy encourages curve onto
image structures (e.g. image edges)

Active contours - snakes

(continuous case)

- *internal* energy (physics of elastic band)

$$E_{in}(C) = \alpha \cdot \int_0^l \left| \frac{d\mathbf{v}}{ds} \right|^2 ds + \beta \cdot \int_0^l \left| \frac{d^2 \mathbf{v}}{ds^2} \right|^2 ds$$

elasticity / stretching

stiffness / bending

- *external* energy (from image)

$$E_{ex}(C) = - \int_0^l |\nabla I(\mathbf{v}(s))|^2 ds$$

proximity to image edges

Active contours – snakes

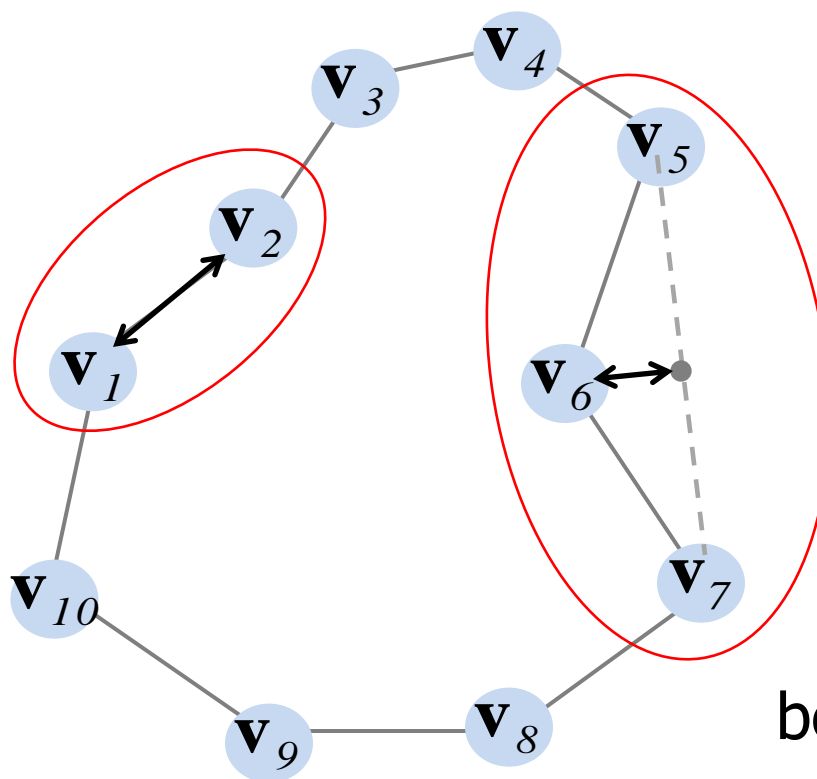
(discrete case)

$$\mathbf{C} = (\mathbf{v}_0, \mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{n-1}) \in \mathbb{R}^{2n}$$

$$\mathbf{v}_i = (x_i, y_i)$$

elastic energy
(elasticity)

$$\frac{d\mathbf{v}}{ds} \approx \frac{\mathbf{v}_{i+1} - \mathbf{v}_{i-1}}{2}$$



bending energy
(stiffness)

$$\frac{d^2\mathbf{v}}{ds^2} \approx (\mathbf{v}_{i+1} - \mathbf{v}_i) - (\mathbf{v}_i - \mathbf{v}_{i-1}) = \mathbf{v}_{i+1} - 2\mathbf{v}_i + \mathbf{v}_{i-1}$$

Basic Elastic Snake

$E = \alpha \cdot \int_0^1 \left \frac{dv}{ds} \right ^2 ds$	-	$\int_0^1 \left \nabla I(v(s)) \right ^2 ds$	continuous case $\mathbf{C} = \{ \mathbf{v}(s) / s \in [0,1] \}$
$E = \alpha \cdot \sum_{i=0}^{n-1} \left v_{i+1} - v_i \right ^2$	-	$\sum_{i=0}^{n-1} \left \nabla I(v_i) \right ^2$	discrete case $\mathbf{C} = \{ \mathbf{v}_i / 0 \leq i < n \}$

elastic smoothness term
(interior energy)

image data term
(exterior energy)

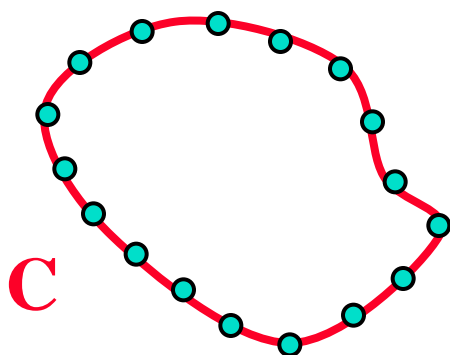
Snakes - gradient descent

$$E(\overbrace{x_0, \dots, x_{n-1}, y_0, \dots, y_{n-1}}^{\mathbf{C}}) = - \sum_{i=0}^{n-1} |I_x(x_i, y_i)|^2 + |I_y(x_i, y_i)|^2$$

here, *energy* is a function of $2n$ variables

$$+ \alpha \cdot \sum_{i=0}^{n-1} (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2$$

simple elastic snake energy



update equation for the whole snake

$$\mathbf{C}' = \mathbf{C} - \nabla E \cdot \Delta t$$

$$\begin{pmatrix} x'_0 \\ y'_0 \\ \dots \\ x'_{n-1} \\ y'_{n-1} \end{pmatrix} = \begin{pmatrix} x_0 \\ y_0 \\ \dots \\ x_{n-1} \\ y_{n-1} \end{pmatrix} - \begin{pmatrix} \frac{\partial E}{\partial x_0} \\ \frac{\partial E}{\partial y_0} \\ \dots \\ \frac{\partial E}{\partial x_{n-1}} \\ \frac{\partial E}{\partial y_{n-1}} \end{pmatrix} \cdot \Delta t$$

Snakes - gradient descent

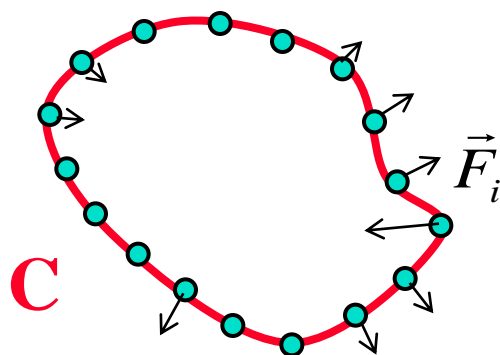
C

$$E(\overbrace{x_0, \dots, x_{n-1}, y_0, \dots, y_{n-1}}^C) = - \sum_{i=0}^{n-1} |I_x(x_i, y_i)|^2 + |I_y(x_i, y_i)|^2$$

here, *energy* is a function of $2n$ variables

$$+ \alpha \cdot \sum_{i=0}^{n-1} (x_{i+1} - x_i)^2 + (y_{i+1} - y_i)^2$$

simple elastic snake energy



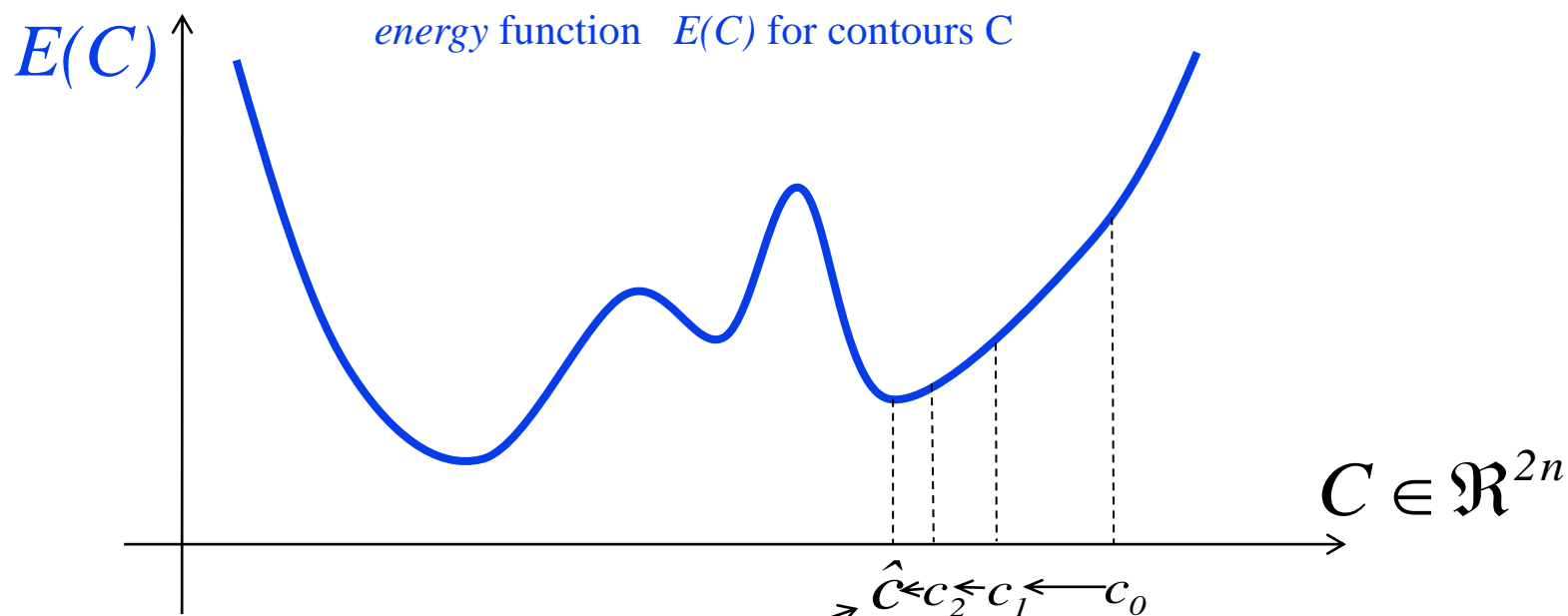
update equation for each node

$$\mathbf{v}'_i = \mathbf{v}_i + \vec{F}_i \cdot \Delta t$$

$$\vec{F}_i = - \begin{bmatrix} \frac{\partial E}{\partial x_i} \\ \frac{\partial E}{\partial y_i} \end{bmatrix}$$

$$\begin{pmatrix} x'_0 \\ y'_0 \\ \dots \\ x'_{n-1} \\ y'_{n-1} \end{pmatrix} = \begin{pmatrix} x_0 \\ y_0 \\ \dots \\ x_{n-1} \\ y_{n-1} \end{pmatrix} - \begin{pmatrix} \frac{\partial E}{\partial x_0} \\ \frac{\partial E}{\partial y_0} \\ \dots \\ \frac{\partial E}{\partial x_{n-1}} \\ \frac{\partial E}{\partial y_{n-1}} \end{pmatrix} \cdot \Delta t$$

Snakes - gradient descent



local minima
for $E(C)$

gradient descent steps

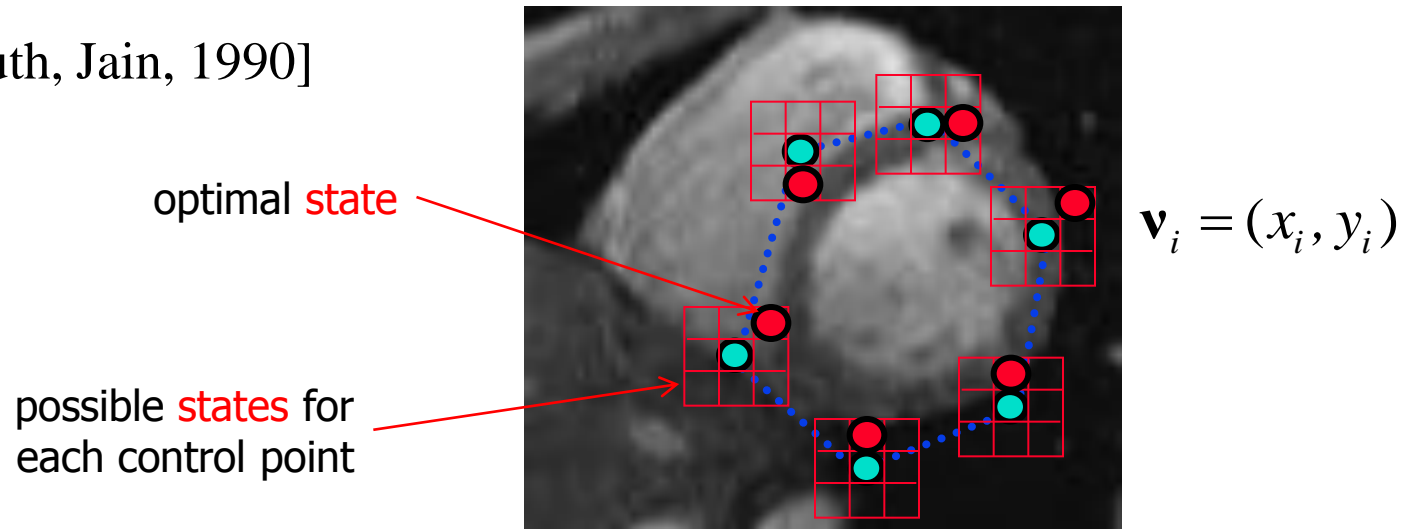
$$C_{i+1} = C_i - \Delta t \cdot \nabla E$$

step size
could be tricky

second derivative of
image intensities

Snakes – dynamic programming (DP)

[Amini, Weymouth, Jain, 1990]



Elastic energy - **pairwise interactions**

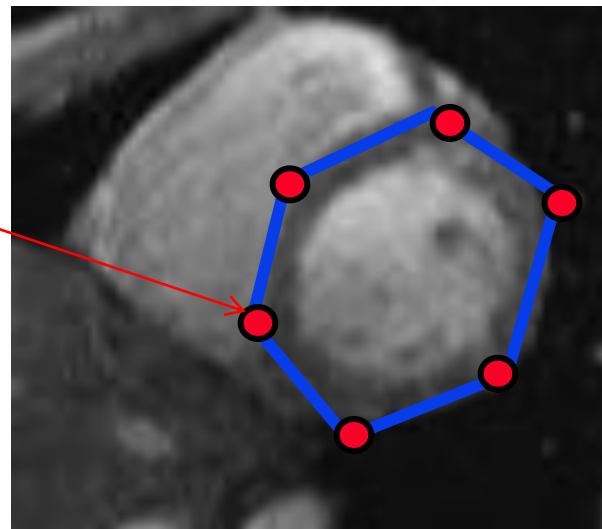
$$E(v_1, v_2, \dots, v_n) = E_1(v_1, v_2) + E_2(v_2, v_3) + \dots + E_{n-1}(v_{n-1}, v_n)$$

Energy E can be minimized via Dynamic Programming

Snakes – dynamic programming (DP)

[Amini, Weymouth, Jain, 1990]

optimal state



Advantages: no 2nd derivatives
explicit step size control

Elastic energy - **pairwise interactions**

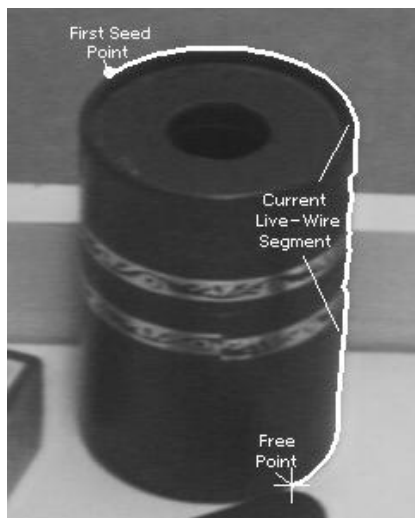
$$E(v_1, v_2, \dots, v_n) = E_1(v_1, v_2) + E_2(v_2, v_3) + \dots + E_{n-1}(v_{n-1}, v_n)$$

Energy E can be minimized via Dynamic Programming

Iterate... until optimal position for each point is the center of the box,
(local minimum condition)

Another example of DP

“Live wire” or “intelligent scissors”



[Barrett and Mortensen 1996]

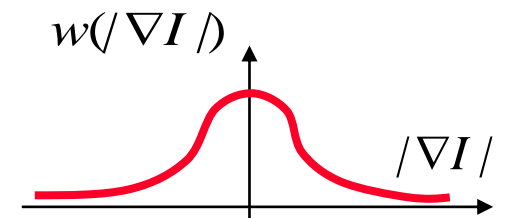
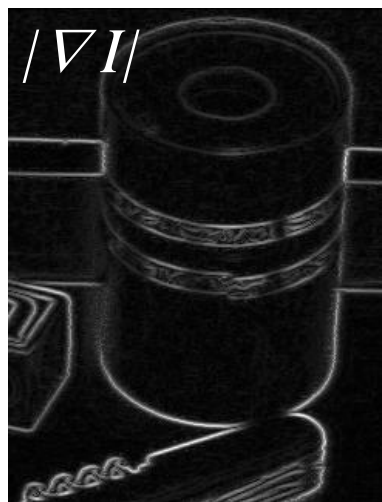
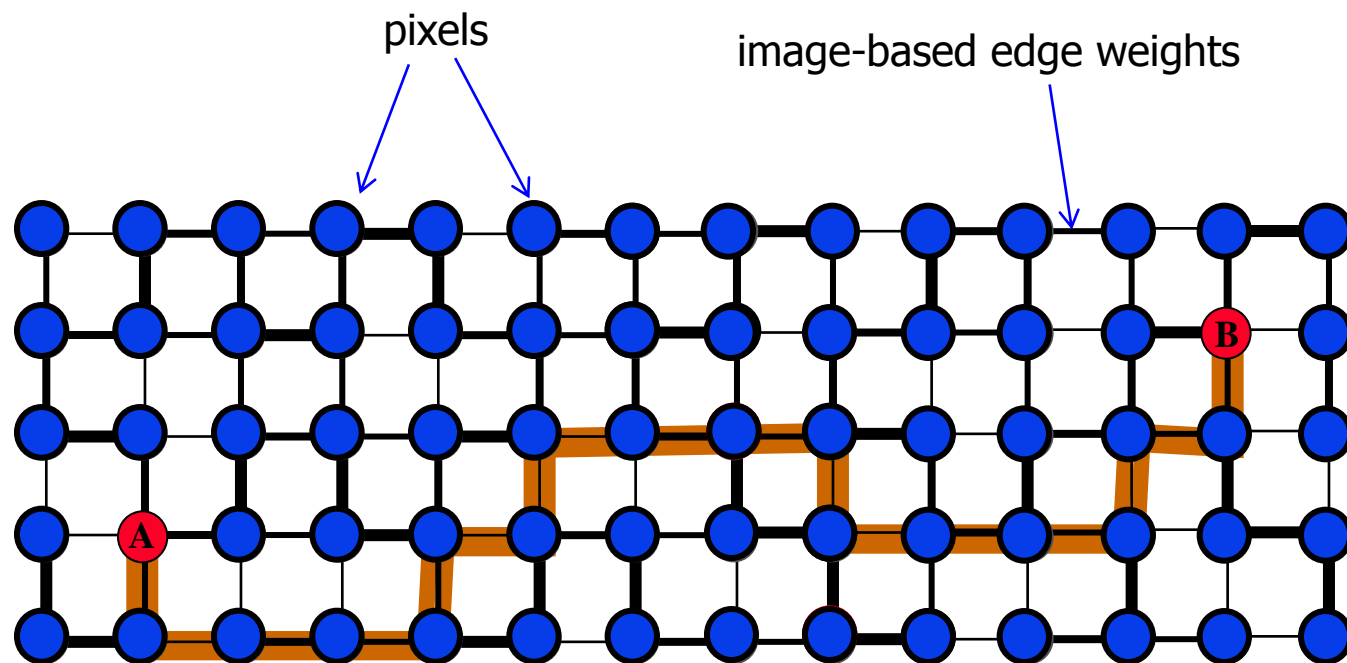


image-based edge weights

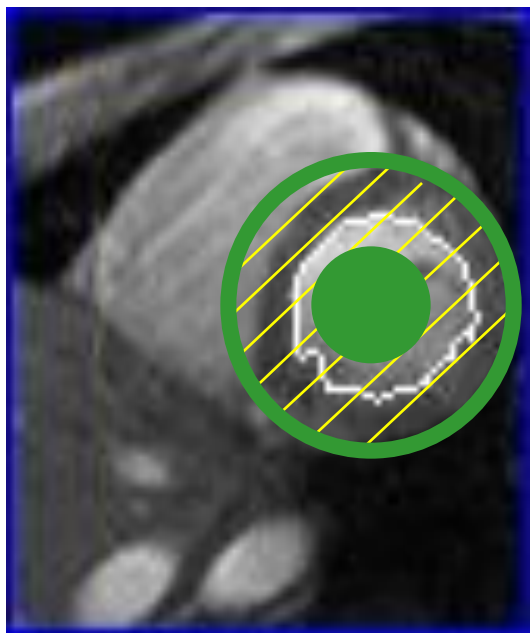


shortest path algorithm (Dijkstra)

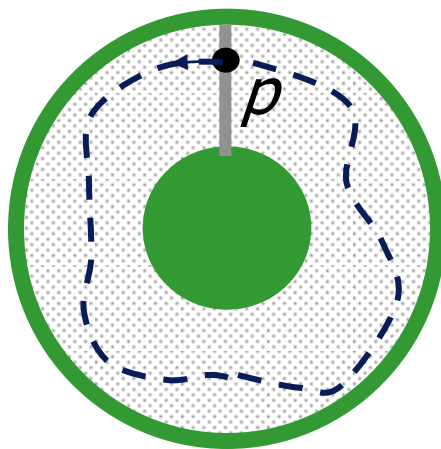
shortest path on a 2D graph \Leftrightarrow graph cut

Example:

find the shortest
closed contour in a given
domain of a graph

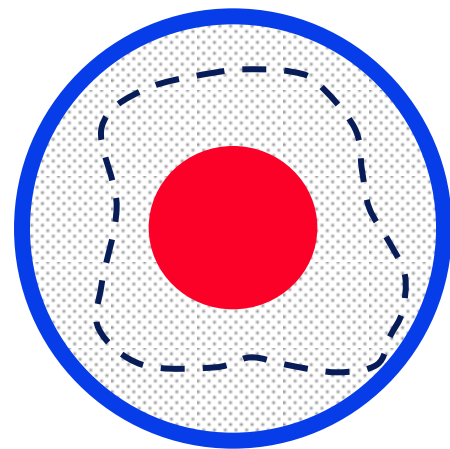


Shortest paths approach



Compute the *shortest path*
 $p \rightarrow p$ for a point p .
Repeat for all points on the
gray line. Then choose the
optimal contour.

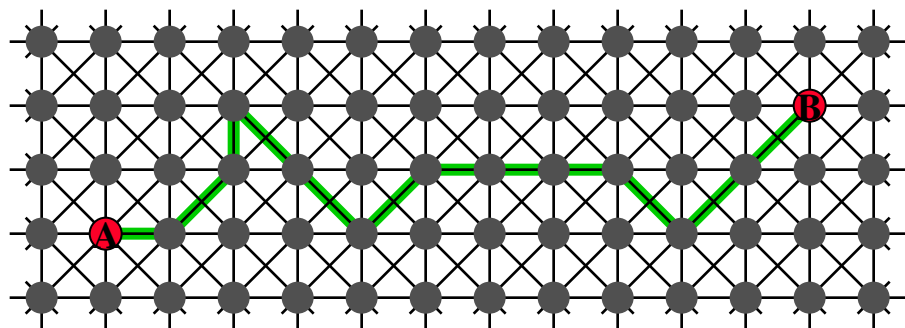
Graph Cuts approach



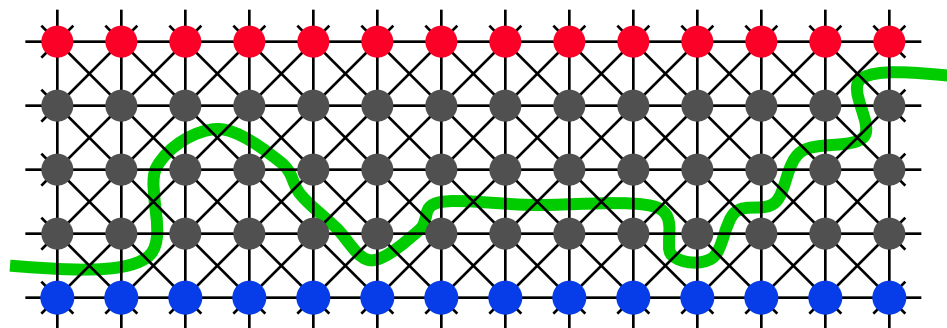
Compute the
minimum cut that
separates **red** region
from **blue** region

graph cuts vs. shortest paths

- On 2D grids *graph cuts* and *shortest paths* give optimal 1D contours.



A Path connects points

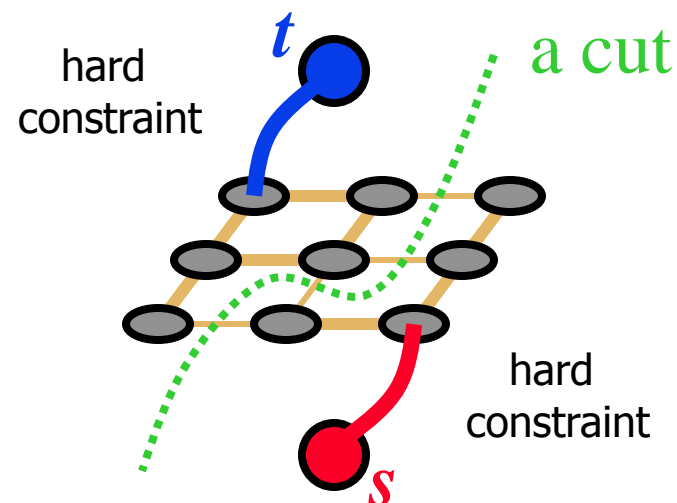
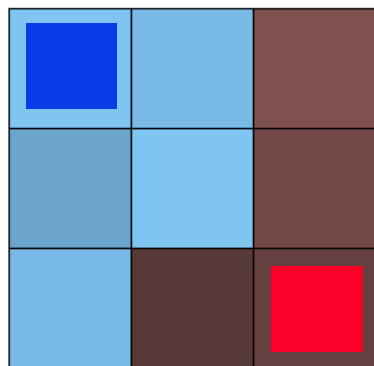


A Cut separates regions

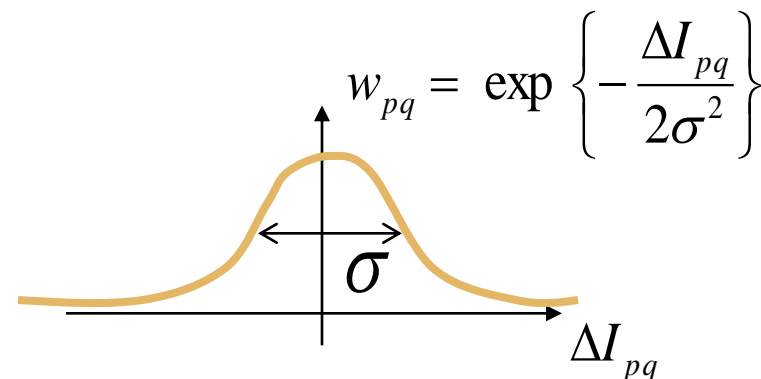
- *Shortest paths* still give optimal 1-D **contours** on N-D grids
- *Min-cuts* give optimal **hyper-surfaces** on N-D grids

Graph cut

[Boykov and Jolly 2001]



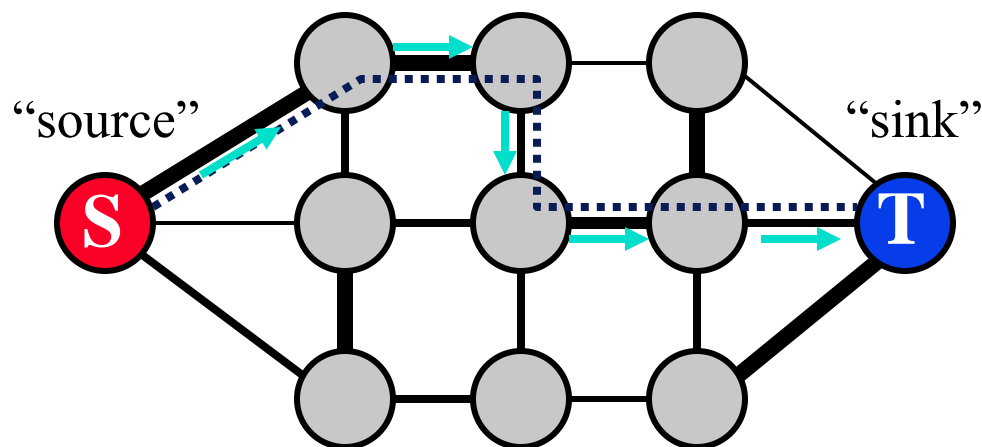
Minimum cost cut can be
computed in polynomial time
(max-flow/min-cut algorithms)



Minimum s - t cuts algorithms

- ❑ Augmenting paths [Ford & Fulkerson, 1962]
 - heuristically tuned to grids [Boykov&Kolmogorov 2003]
- ❑ Push-relabel [Goldberg-Tarjan, 1986]
 - good choice for denser grids, e.g. in 3D
- ❑ Preflow [Hochbaum, 2003]
 - also competitive

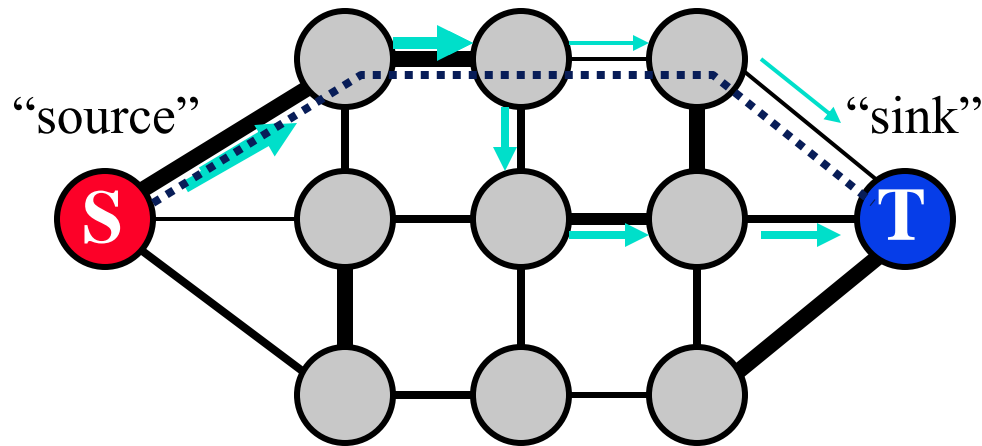
“Augmenting Paths”



A graph with two terminals

- Find a path from S to T along non-saturated edges
- Increase flow along this path until some edge saturates

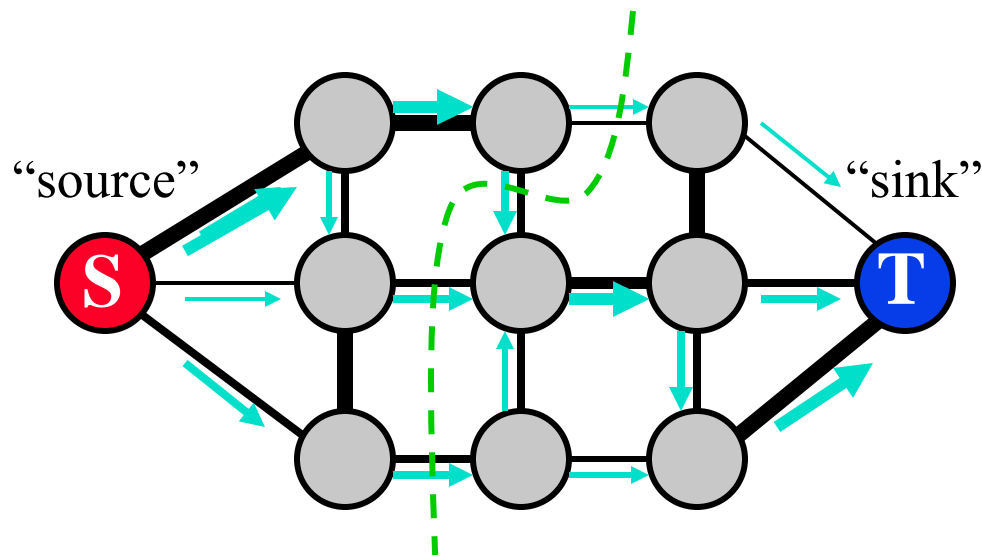
“Augmenting Paths”



A graph with two terminals

- Find a path from S to T along non-saturated edges
- Increase flow along this path until some edge saturates
- Find next path...
- Increase flow...

“Augmenting Paths”



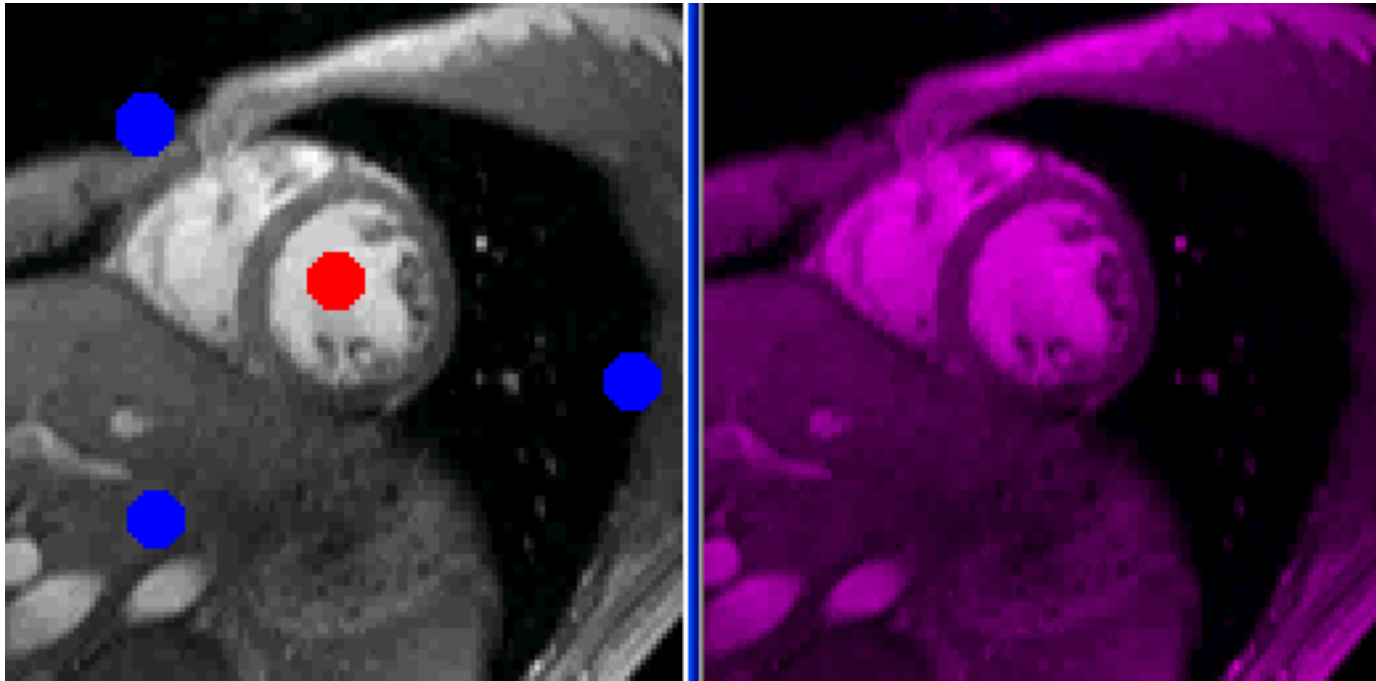
A graph with two terminals

MAX FLOW \Leftrightarrow **MIN CUT**

- Find a path from S to T along non-saturated edges
- Increase flow along this path until some edge saturates

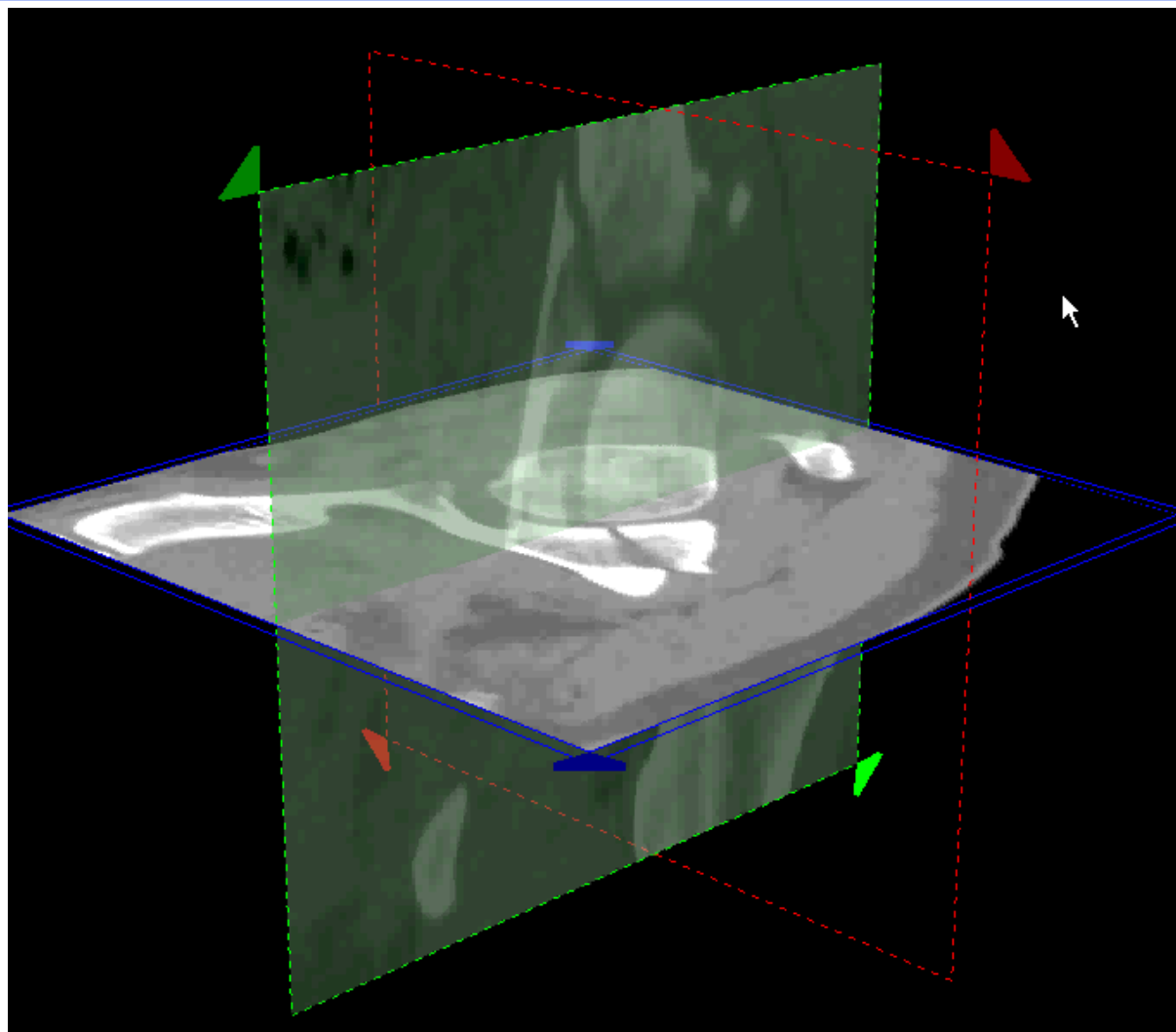
Iterate until ... all
paths from S to T have at
least one saturated edge

Optimal boundary in 2D



“max-flow = min-cut”

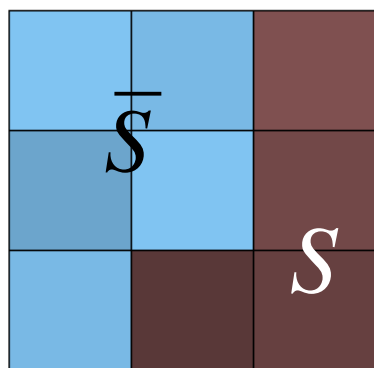
Optimal boundary in 3D



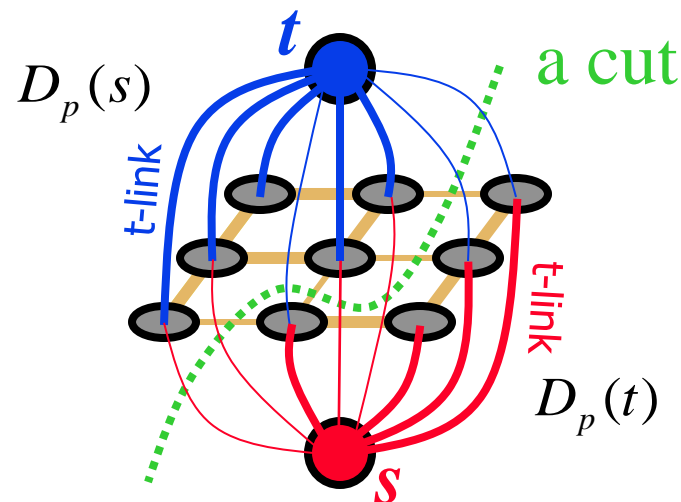
3D bone segmentation (real time screen capture)

Graph cut (region + boundary)

[Boykov and Jolly 2001]



segmentation



assume I^s and I^t are known
 “expected” intensities
 of **object** and **background**

$$D_p(s) = |I_p - I^s|$$

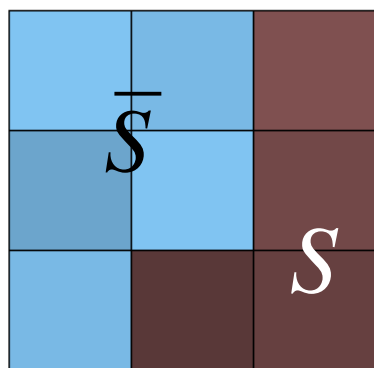
$$D_p(t) = |I_p - I^t|$$

example of soft regional constraints

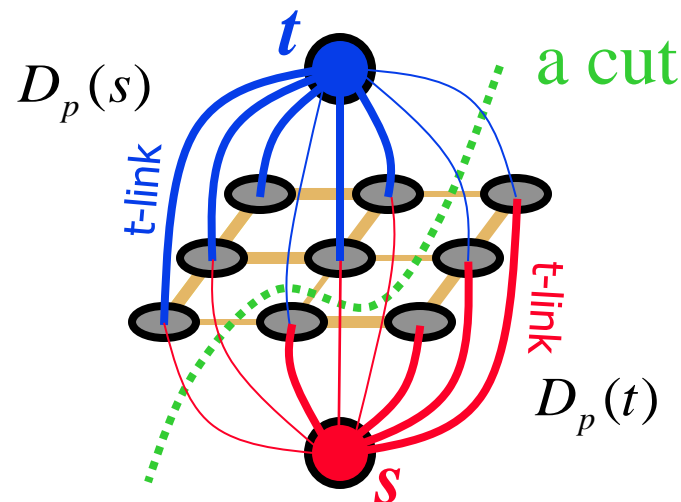
NOTE: seeds were hard constraints on segment's region

Graph cut (region + boundary)

[Boykov and Jolly 2001]



segmentation



in general, assume known
intensities distributions
of **object** and **background**

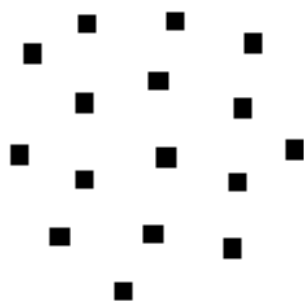
$$D_p(s) = -\ln \Pr(I_p / s)$$

$$D_p(t) = -\ln \Pr(I_p / t)$$

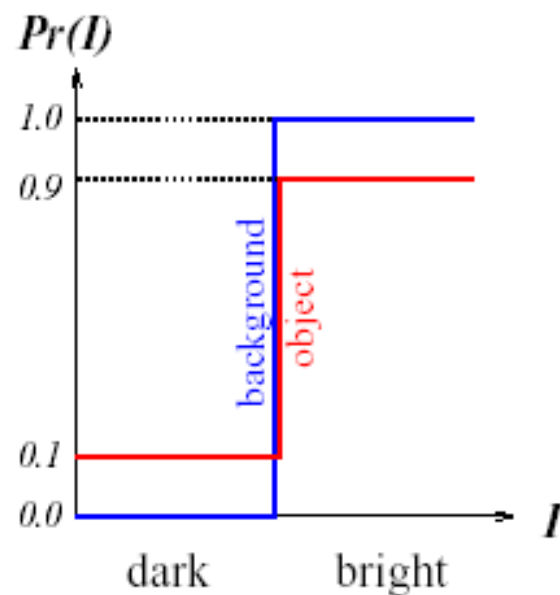
example of soft regional constraints

NOTE: seeds were hard constraints on segment's region

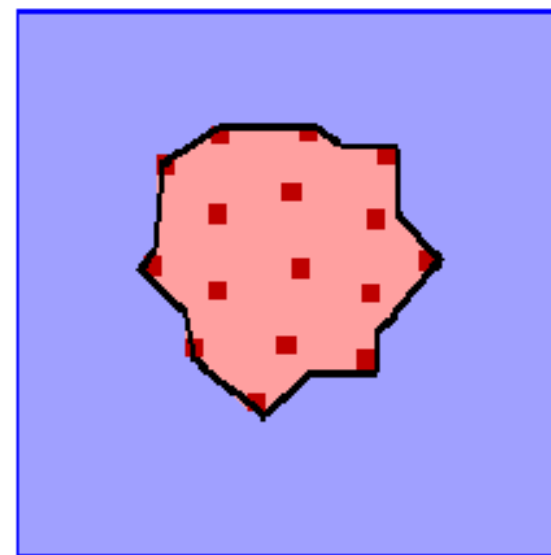
Graph cut (region + boundary)



(a) Original image



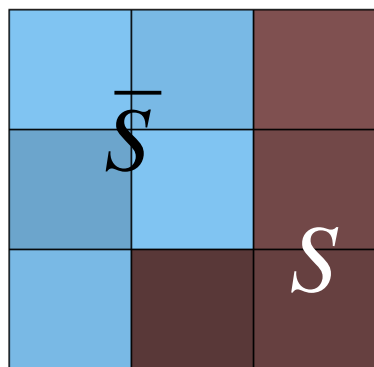
(b) Intensity histograms



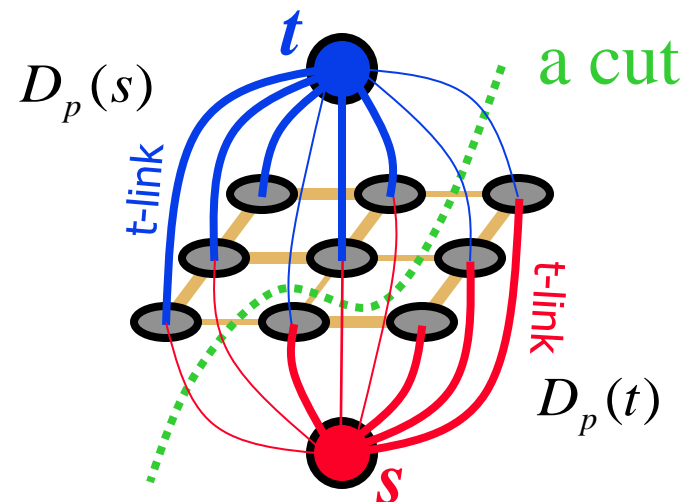
(c) Optimal segmentation

Graph cuts as energy optimization for S

[Boykov and Jolly 2001]



segmentation \Leftrightarrow cut
 $S_p \in \{0,1\}$



$$E(S) = \sum_p D_p(S_p)$$

cost of severed ***t-links***

unary terms

regional properties of S

+

$$\sum_{pq \in N} w_{pq} \cdot [S_p \neq S_q]$$

cost of severed ***n-links***

pair-wise terms

boundary smoothness for S

Unary potentials as linear term wrt. $S_p \in \{0,1\}$

unary terms

$$\sum_p D_p(S_p) = \sum_{p \in S} D_p(1) + \sum_{p \in \bar{S}} D_p(0)$$

$$= \sum_p \left(D_p(1) \cdot S_p + D_p(0) \cdot (1 - S_p) \right)$$

$$= \text{const} + \boxed{\sum_p \underbrace{(D_p(1) - D_p(0))}_{g(p)} \cdot S_p} = \langle \mathbf{g}, S \rangle$$

In general,...

k -arity potentials are k -th order polynomial

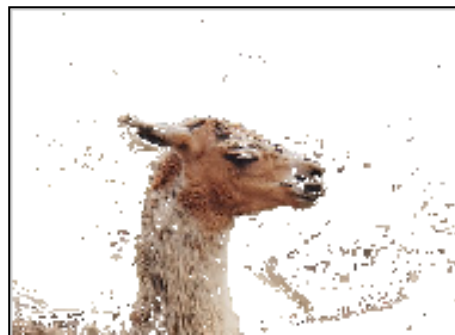
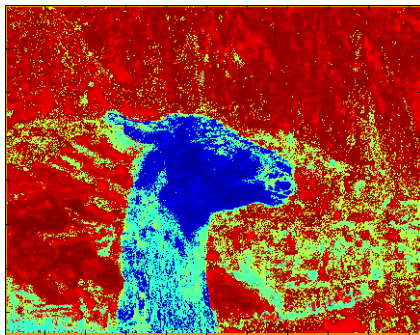
pair-wise terms

$$\sum_{pq \in N} w_{pq} \cdot [S_p \neq S_q] = \sum_{pq \in N} w_{pq} \cdot (S_p \cdot (1 - S_q) + (1 - S_p) \cdot S_q)$$

quadratic polynomial wrt. S_p

Graph cuts vs Thresholding

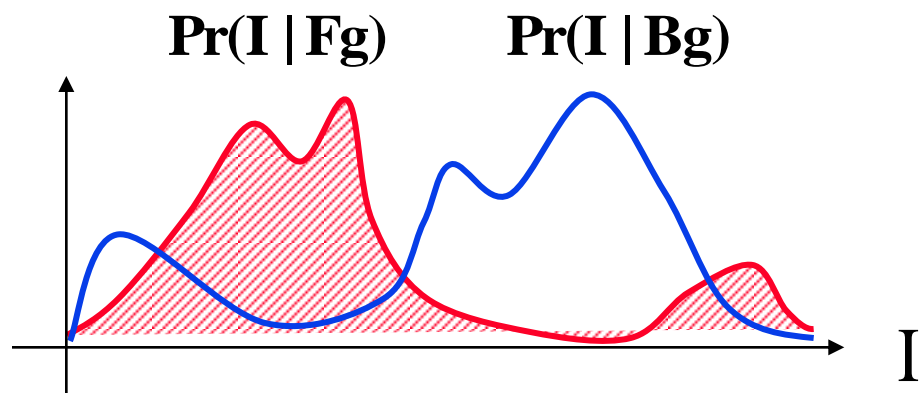
$$E(S) = \underbrace{\sum_{p \in S} g(p)}_{\sum_{p \in S} g(p)} + B(S)$$



thresholding

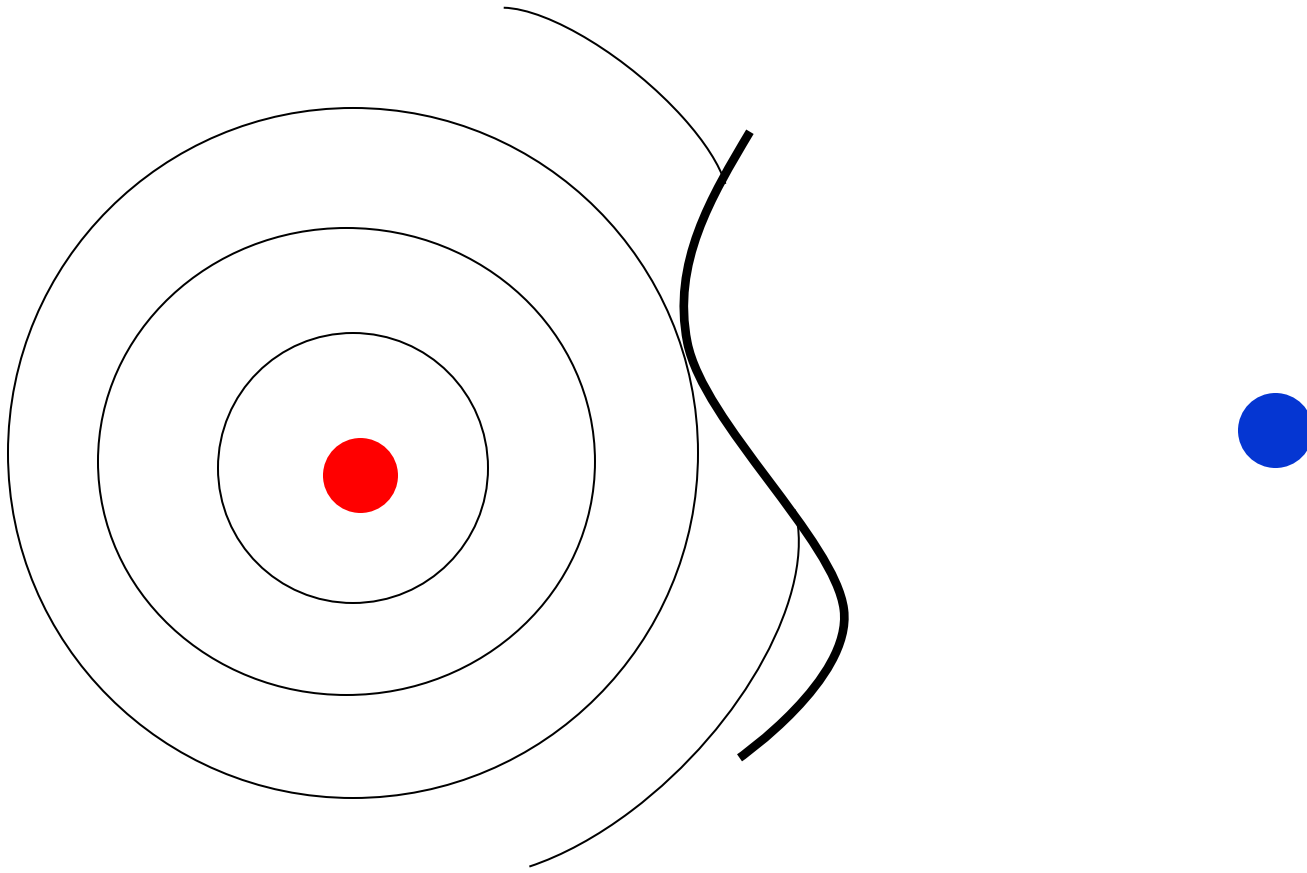


graph cut [BJ, 2001]



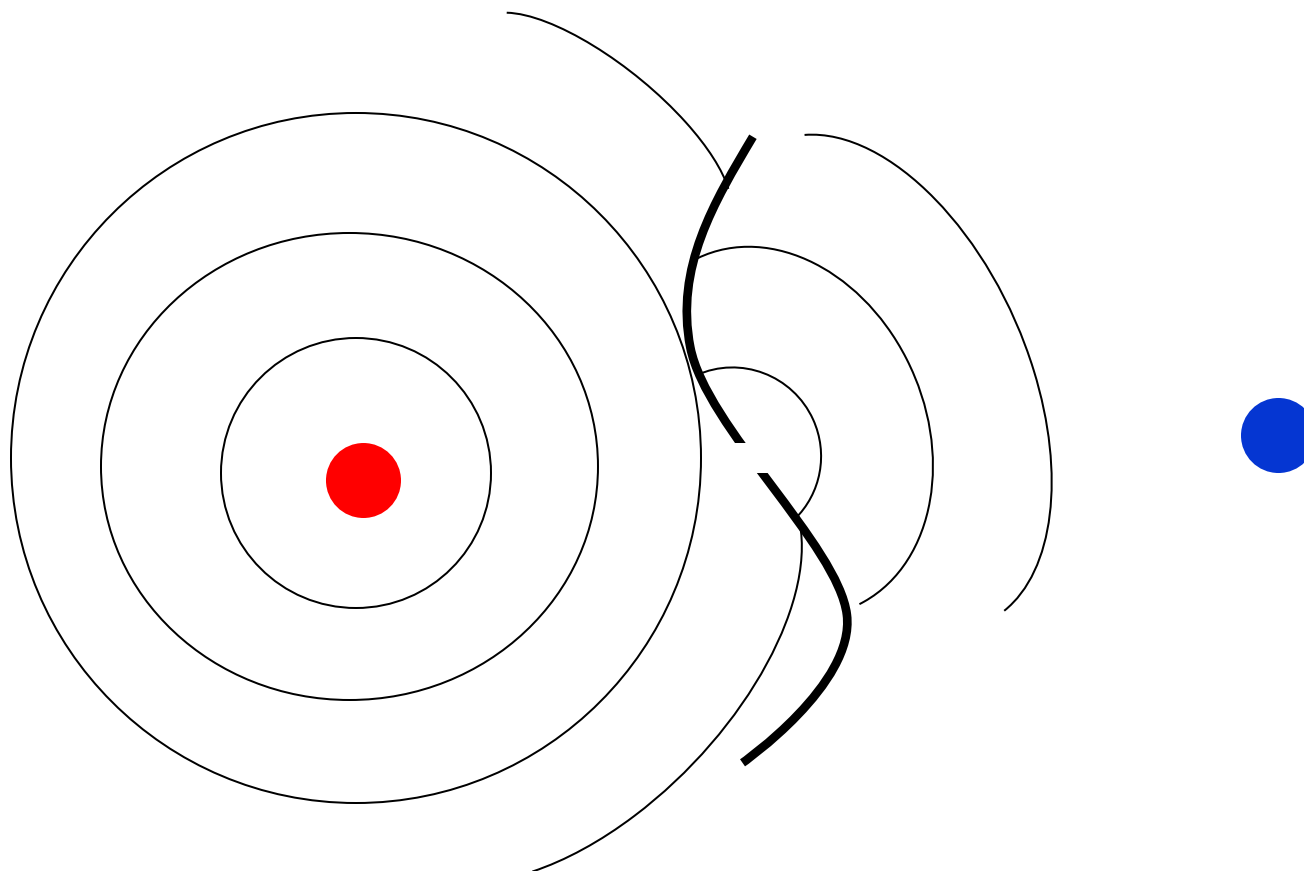
$$g(p) = -\ln \left(\frac{\Pr(I(p) | fg)}{\Pr(I(p) | bg)} \right)$$

Graph cuts vs Region Growing



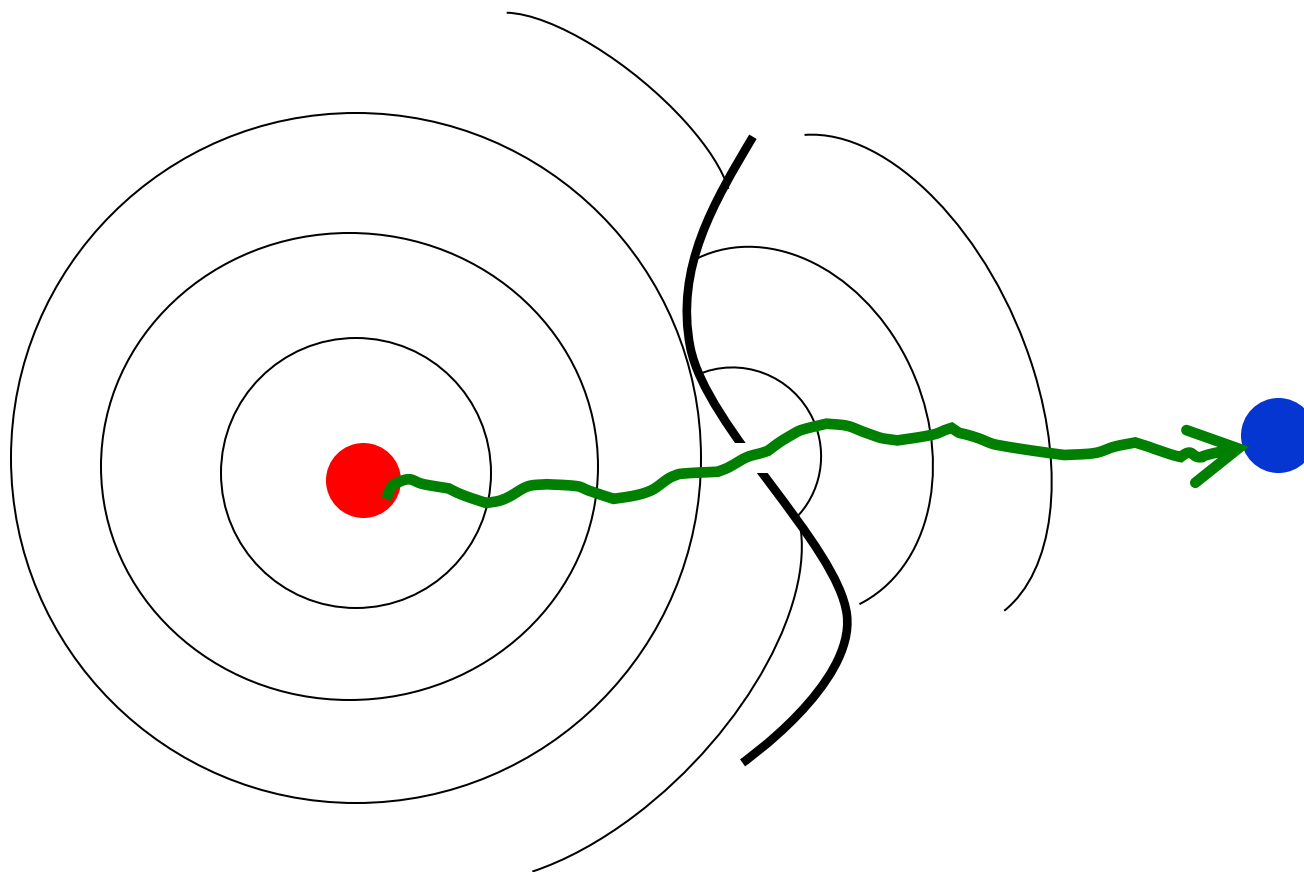
like "region growing"

Graph cuts vs Region Growing



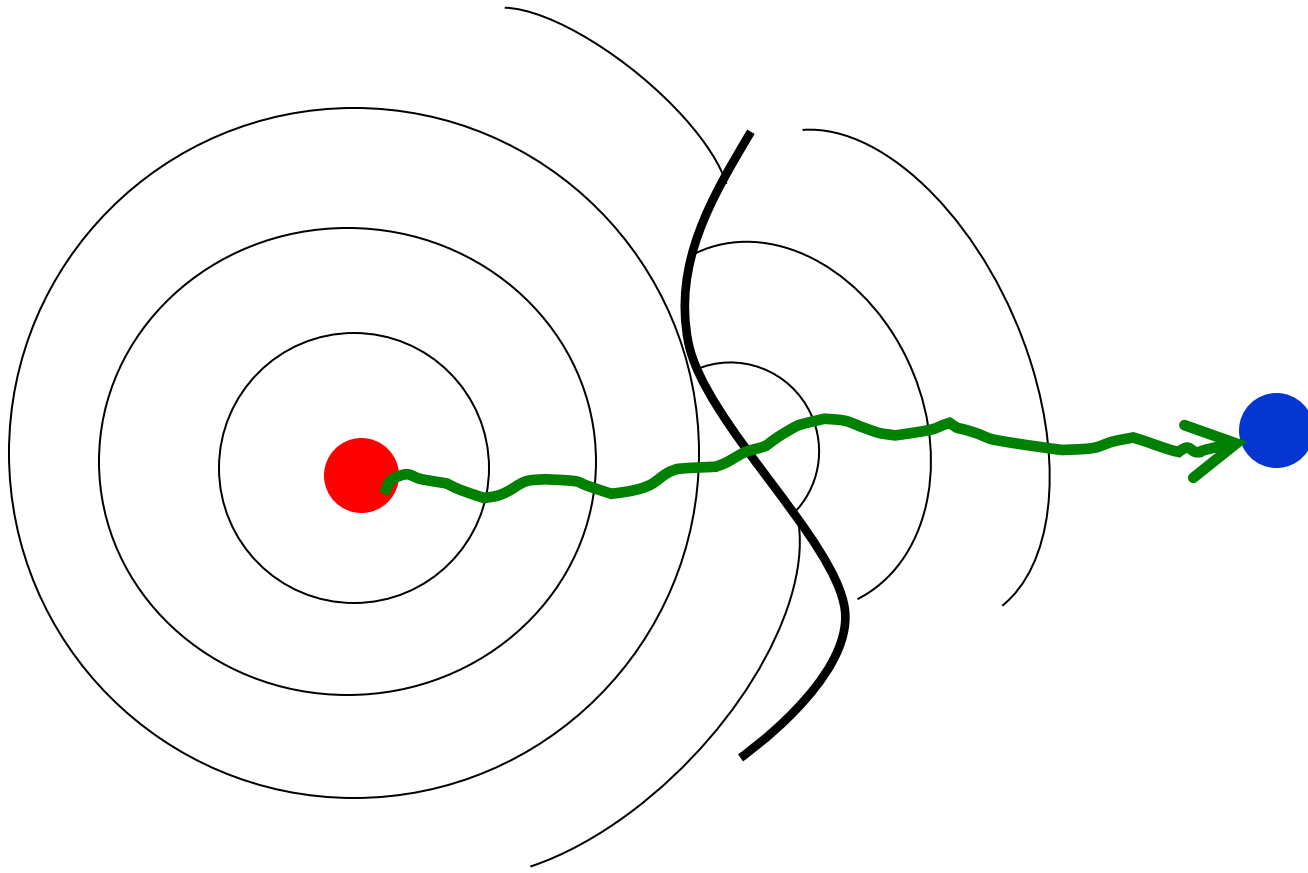
like "region growing"

Graph cuts vs Region Growing



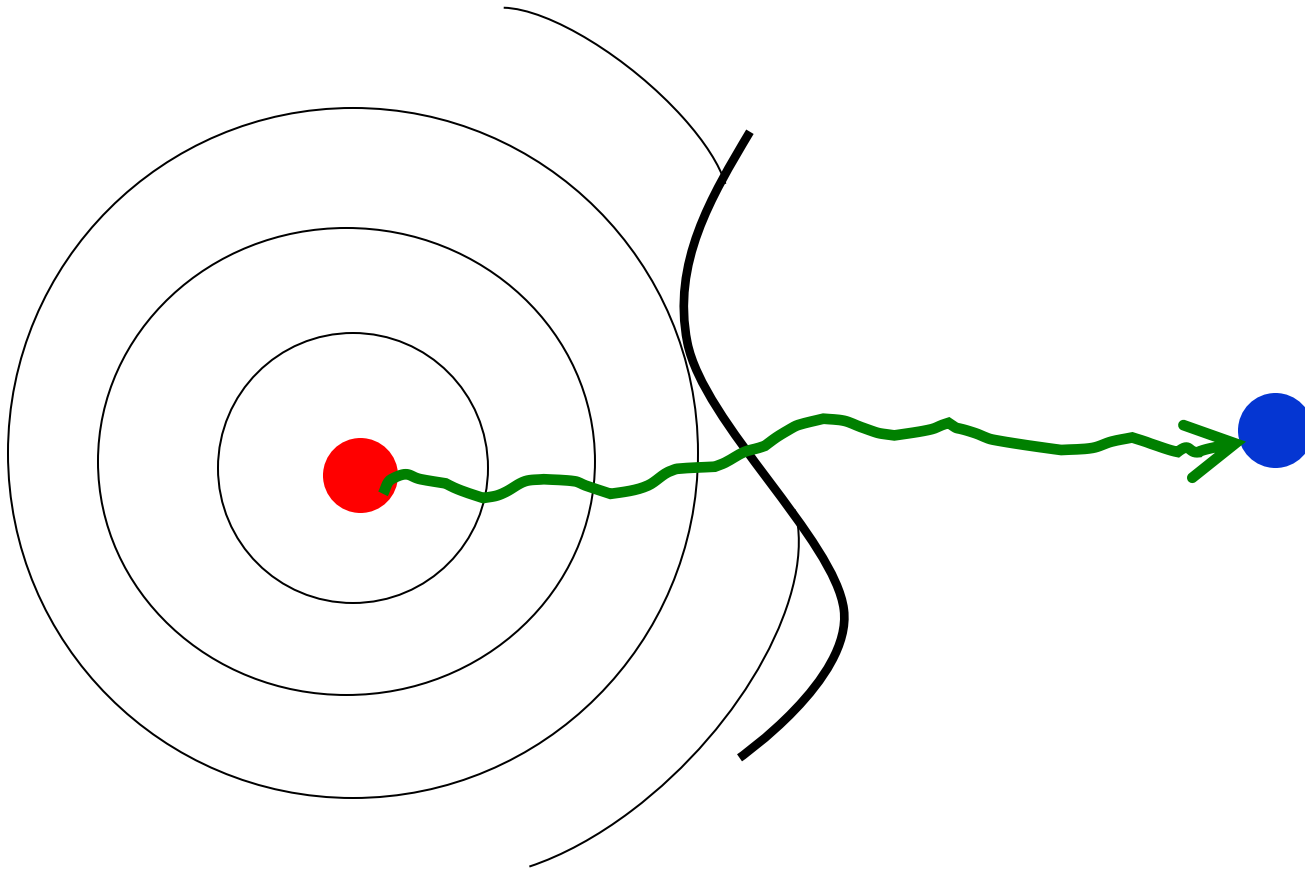
like "region growing"

Graph cuts vs Region Growing

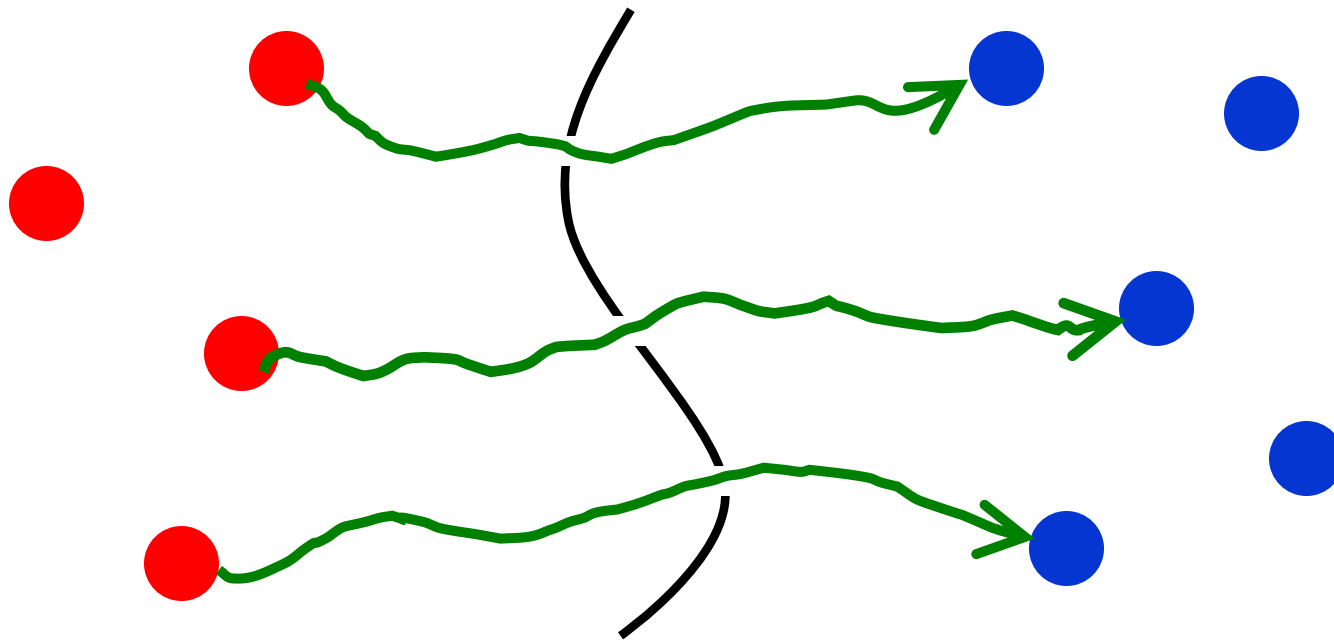


~~like "region growing"~~

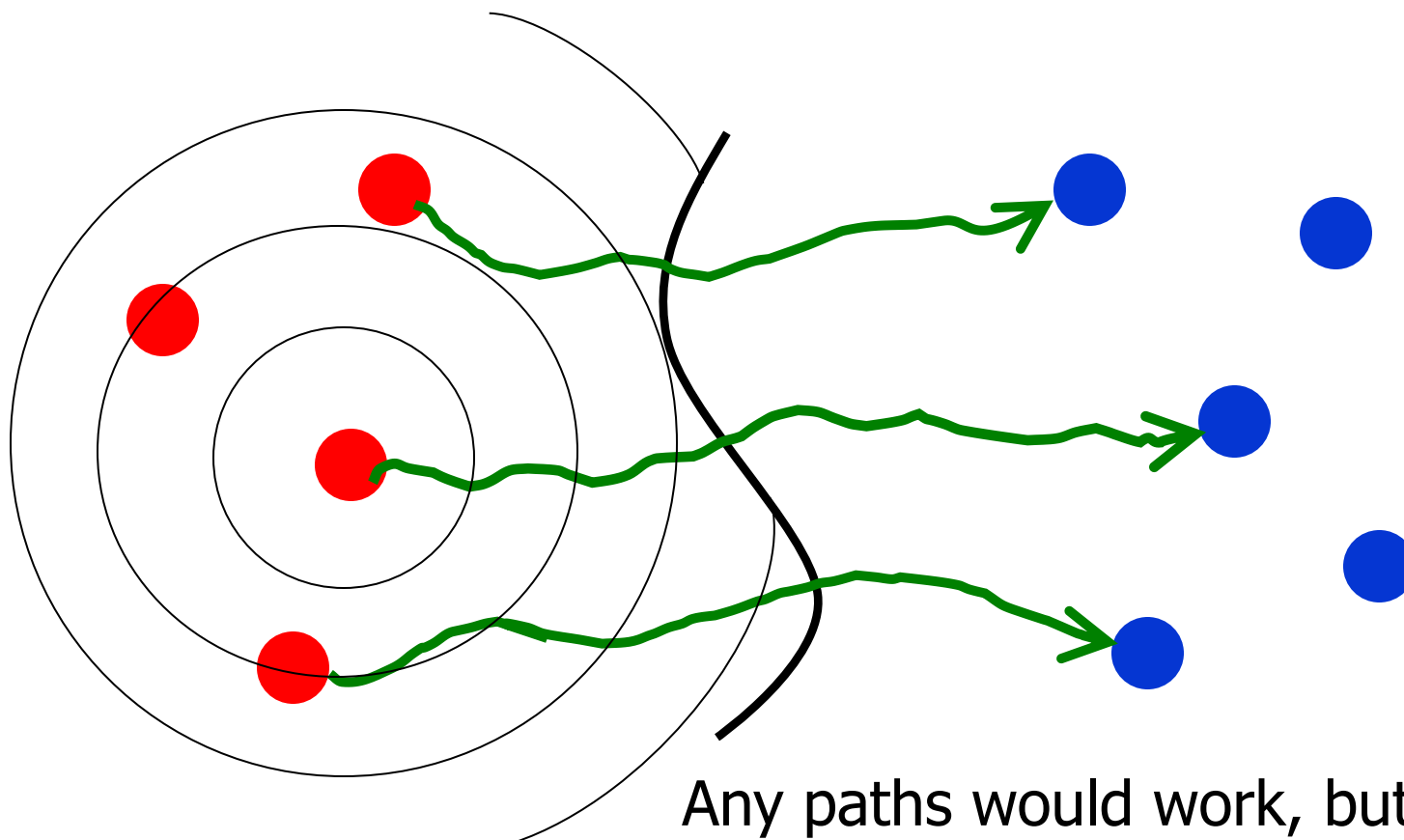
Graph cuts



Graph cuts 2

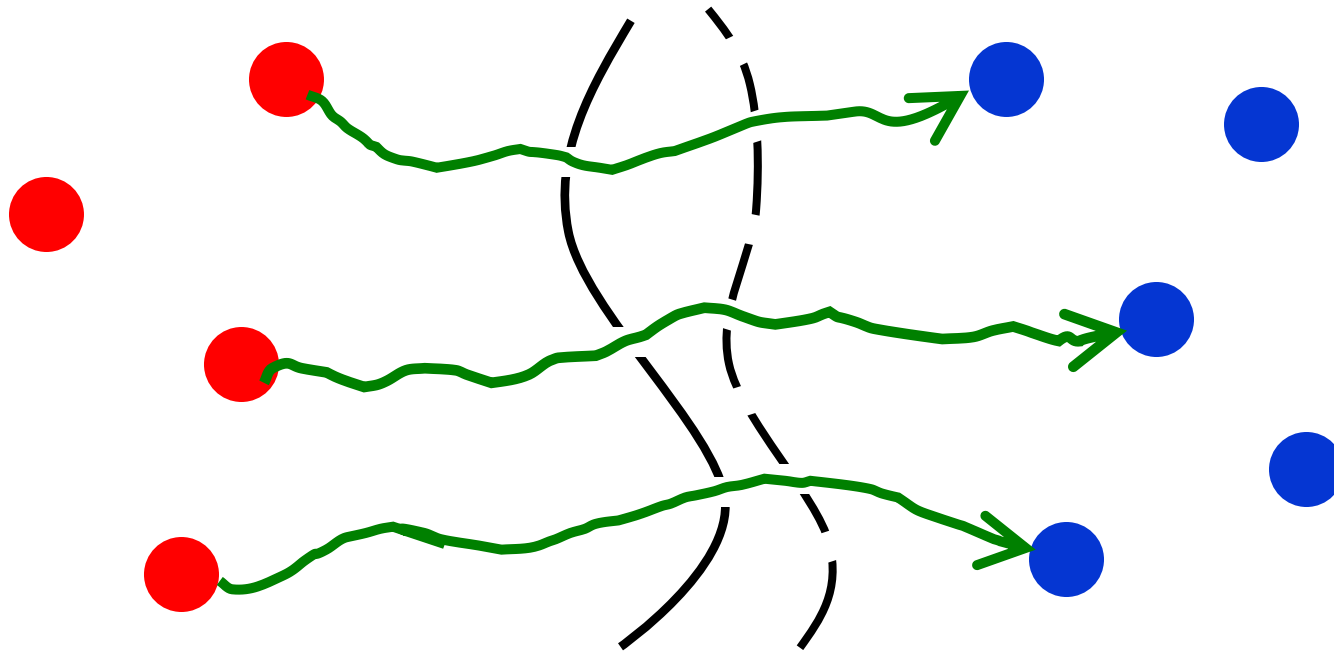


Graph cuts 2

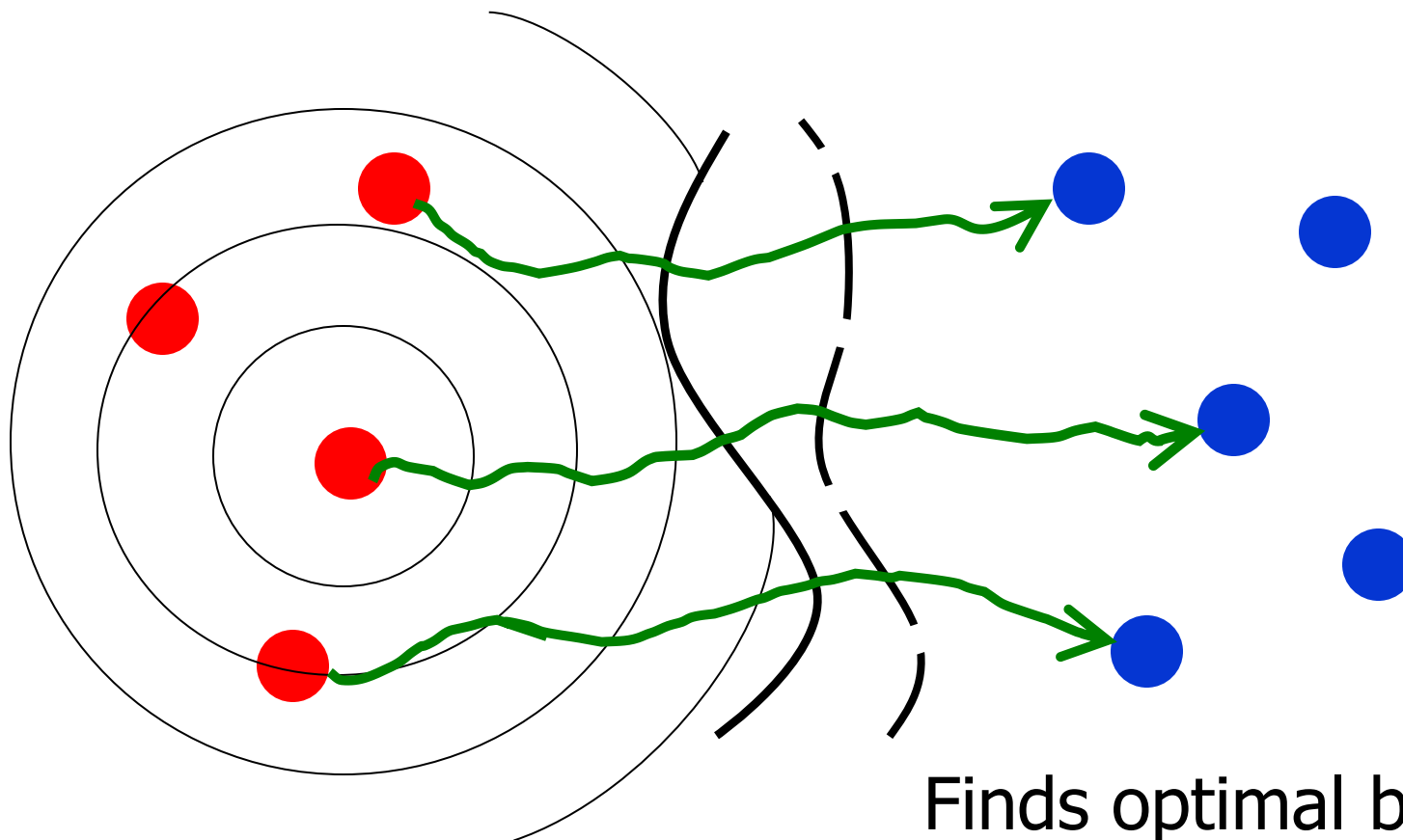


Any paths would work, but
shorter paths give faster algorithms
(in theory and practice)

Graph cuts 3



Graph cuts 3



Finds optimal boundary
(least number of *holes*)

=> **Energy minimization**

‘Smoothness’ of segmentation boundary

snakes (physics-based contours)

geodesic contours

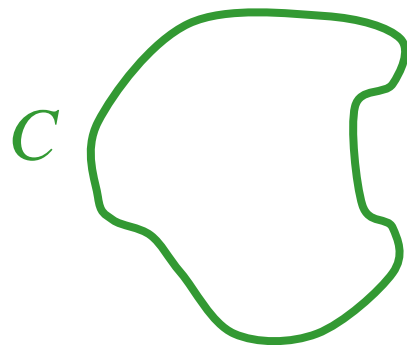
graph cuts

Note: many common distance-based methods do not optimize segmentation boundary directly
(fuzzy connectivity, geodesic Voronoi cells, random walker)

Discrete vs. continuous energies

Geodesic contours

$$E(S) = \int_S g(p) dp + \int_{\partial S} w_s ds$$

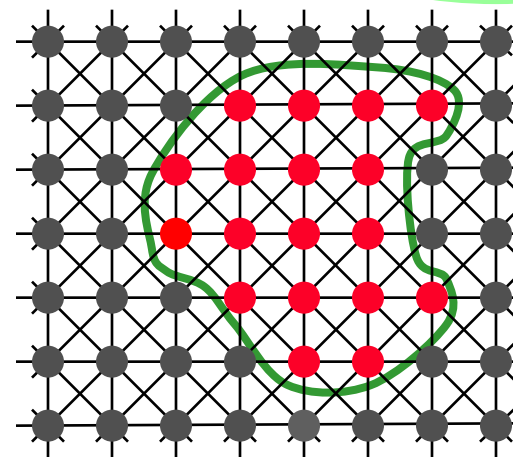


[Caselles, Kimmel, Sapiro, 1997] (level-sets)

[Chan, Esidoglu, Nikolova, 2006] (convex)

Graph cuts

$$E(S) = \sum_{p \in S} g_p + \sum_{p,q} w_{pq} \delta(S_p \neq S_q)$$



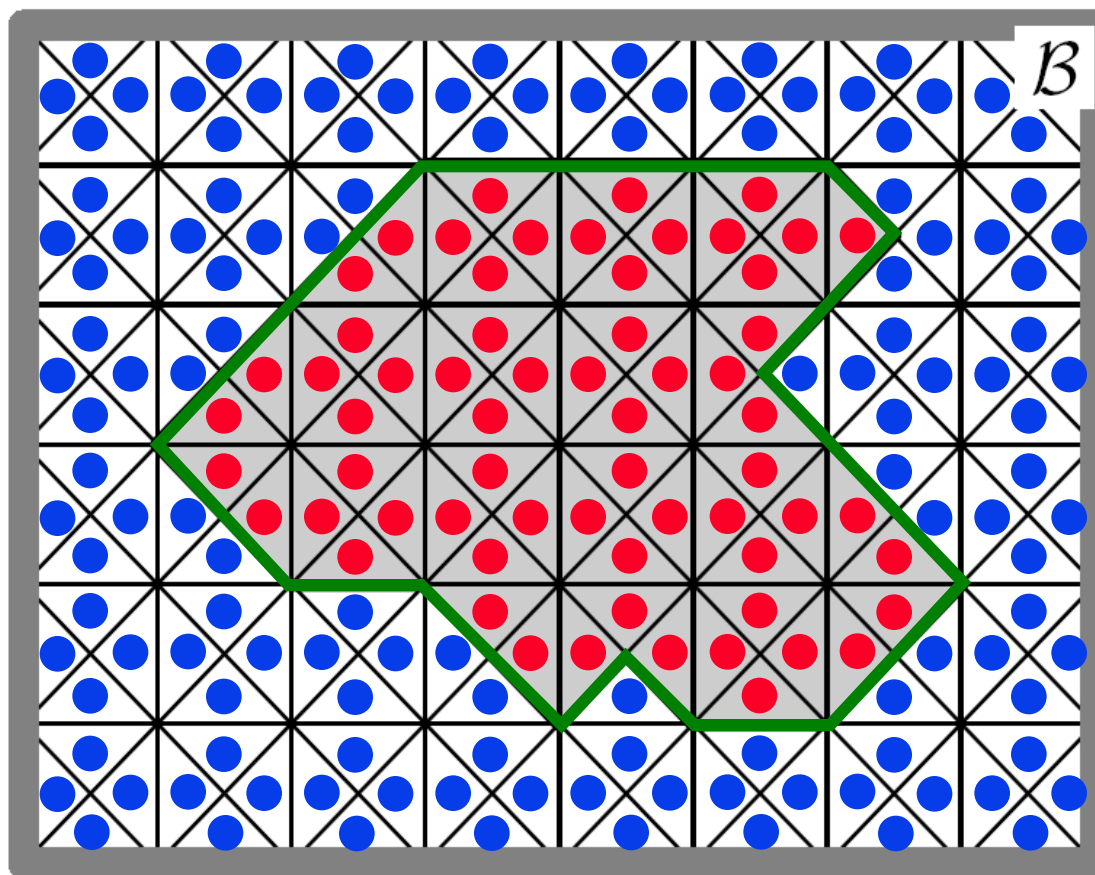
$S_p \in \{0,1\}$

[Boykov and Jolly 2001]

Both incorporate segmentation cues:

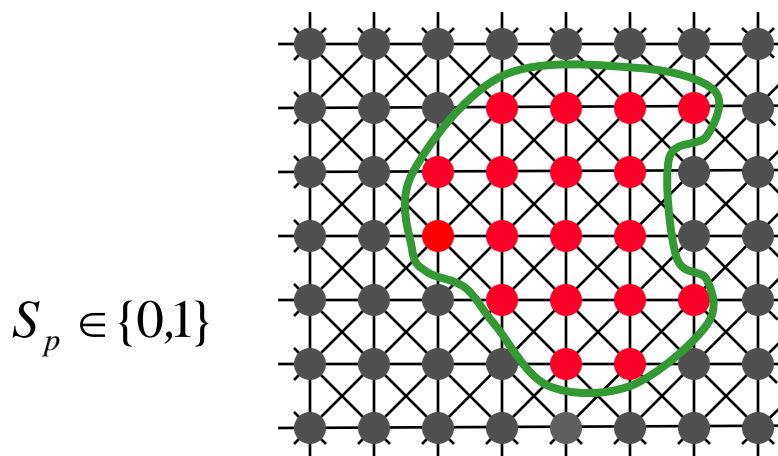
- Regional bias
- Boundary smoothness and alignment to image edges

Graph cuts on a complex and boundary of S



John Sullivan'90, Kirsanov&Gortler'04

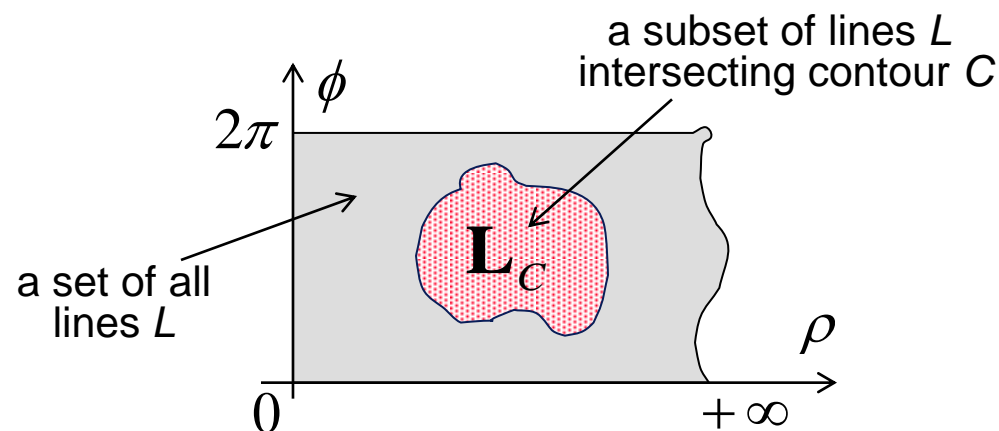
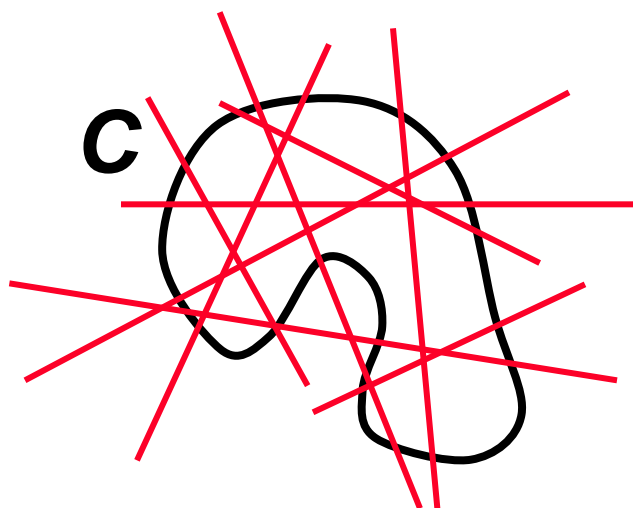
Graph cuts on a grid and boundary of S



$$B(S) = \sum_{e \in C} |e|$$

- Severed n-links can approximate geometric length of contour C
[Boykov&Kolmogorov, ICCV 2003]
- This result fundamentally relies on ideas of *Integral Geometry* (also known as *Probabilistic Geometry*) originally developed in 1930's.
 - e.g. Blaschke, Santalo, Gelfand

Integral geometry approach to *length*



Euclidean length of \mathbf{C} :

probability that a "randomly drawn" line intersects C

$$\| \mathbf{C} \|_{\varepsilon} = \frac{1}{2} \int n_L \cdot d\rho \cdot d\phi$$

Cauchy-Crofton formula

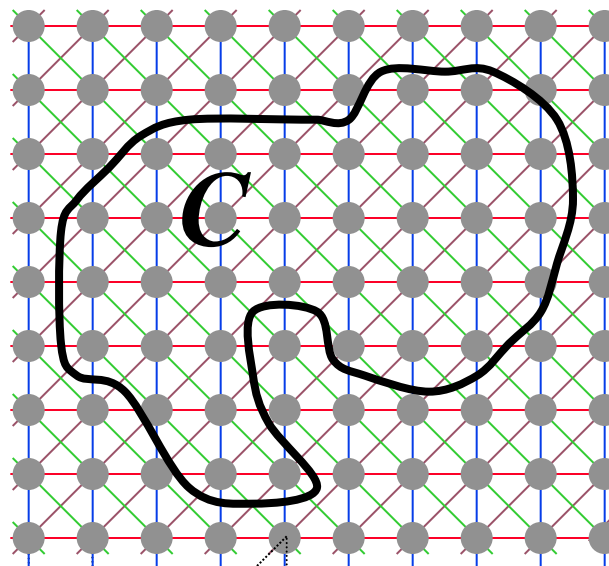
the number of times
line L intersects C

Graph cuts and integral geometry

Graph nodes are imbedded in \mathbb{R}^2 in a grid-like fashion

Edges of any regular neighborhood system generate families of lines

$\{ \text{—}, \text{—}, \text{—}, \text{—} \}$



$$\|C\|_{\varepsilon} \approx \frac{1}{2} \sum_k n_k \cdot \Delta\rho_k \cdot \Delta\phi_k = \|C\|_{gc}$$

Euclidean length

the number of edges of family k intersecting C

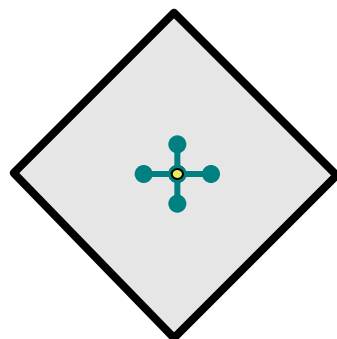
graph cut cost for edge weights:

$$w_k = \frac{\Delta\rho_k \cdot \Delta\phi_k}{2}$$

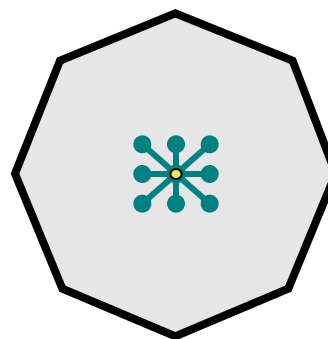
Length can be estimated without computing any derivatives

Metrication errors

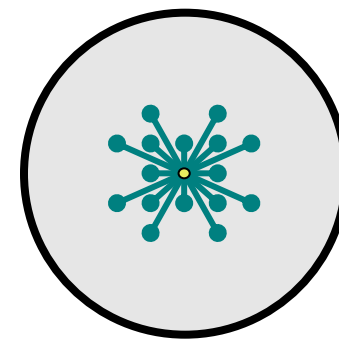
Euclidean metric



“standard”
4-neighborhoods
(*Manhattan* metric)

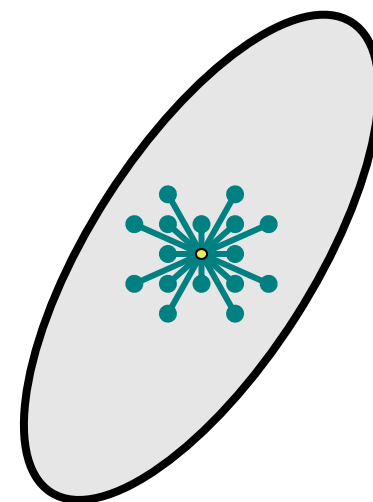
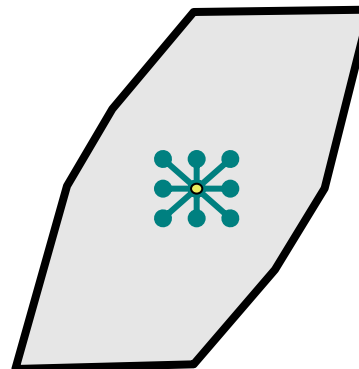
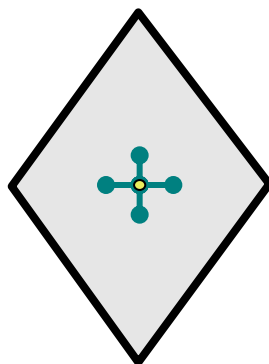


8-neighborhoods

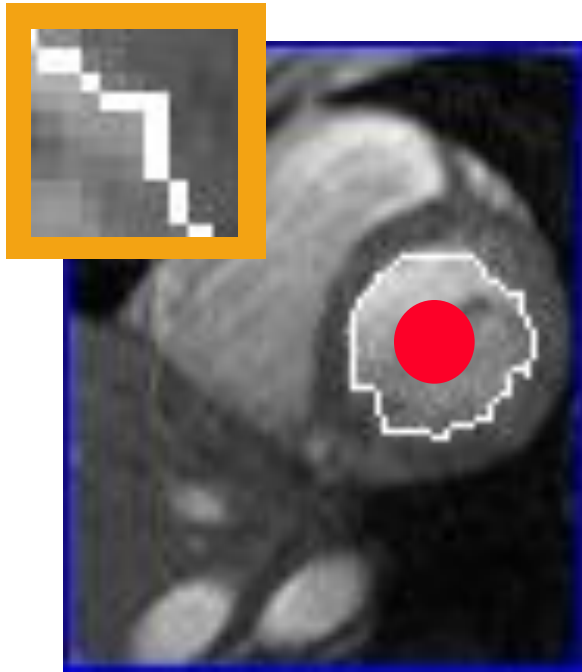


larger-neighborhoods

Riemannian metric



Metrication errors



4-neighborhood



8-neighborhood

Differential vs. integral approach to length

**Differential
geometry**

$$\| C \|_{\varepsilon} = \int_0^1 C'_t \cdot dt$$

Parametric
(explicit)
contour
representation

$$\| C \|_{\varepsilon} = \int_{\Omega} |\nabla u| dx$$

Level-set
function
representation

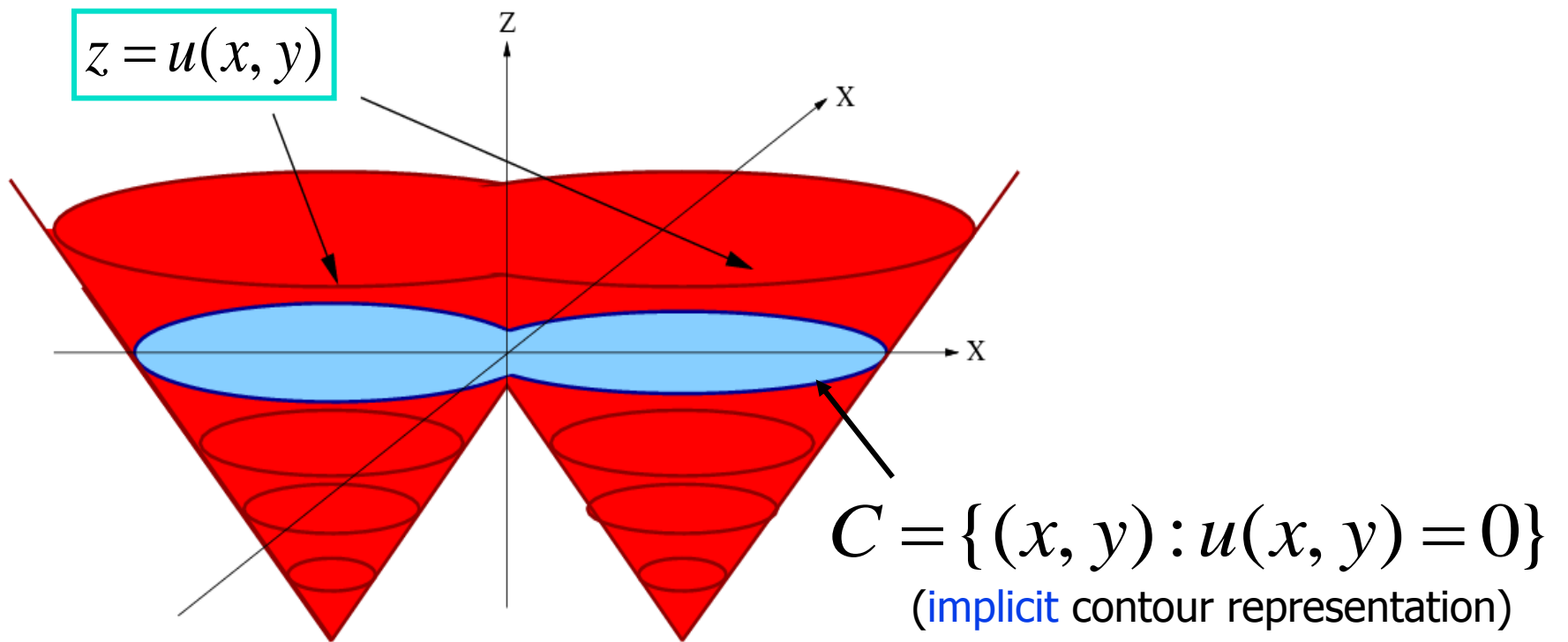
**Integral
geometry**

$$\| C \|_{\varepsilon} = \frac{1}{2} \int n_L \cdot d\rho \cdot d\phi$$

Cauchy-Crofton formula

implicit (region-based) representation of contours

Implicit (region-based) surface representation via *level-sets*



[Dervieux, Thomasset, 79, 81] [Osher, Sethian, 89]

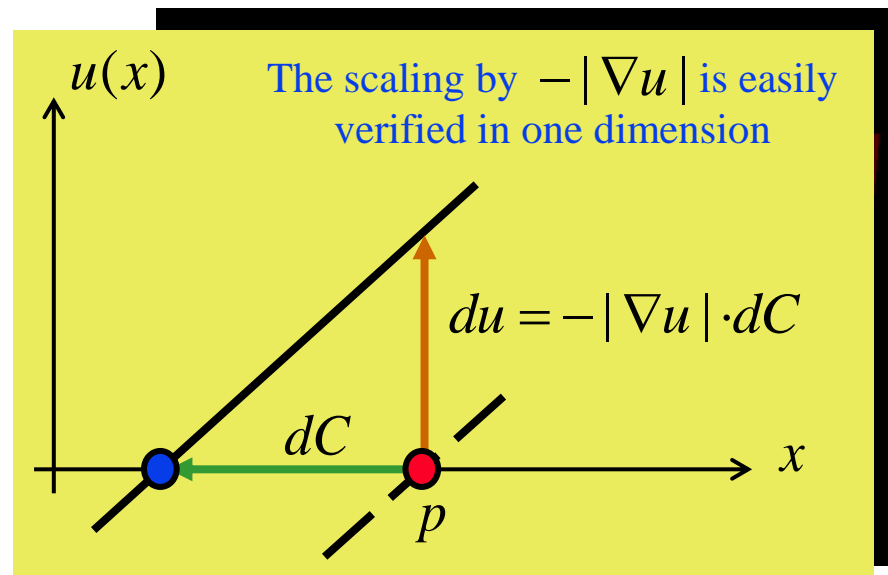
Note: 0.5-level set for $u : \mathbb{R}^n \rightarrow [0, 1]$ in convex formulations

[Chan, Esidoglu, Nikolova, 2006] (convex)

Implicit (region-based) surface representation via *level-sets*

$$d\vec{C} = \beta \cdot \vec{N} \quad \leftrightarrow \quad du_p = -\beta_p \cdot |\nabla u_p|$$



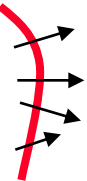
[Dervieux, Thomasset, 79, 81] [Osher, Sethian, 89]



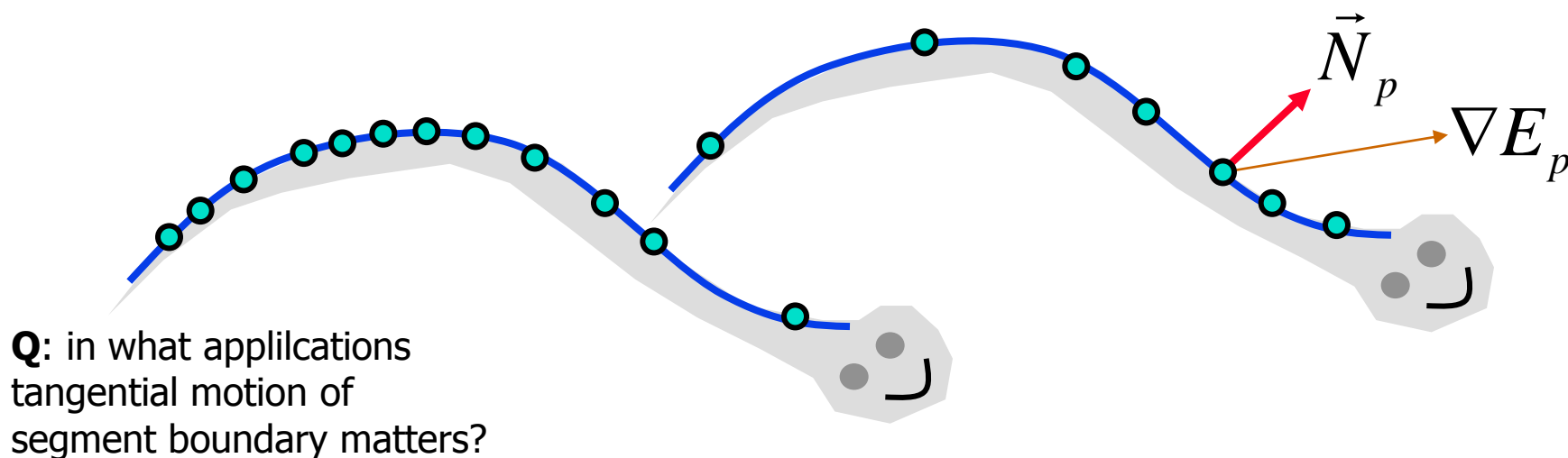
For example, *level sets* can compute contour's gradient descent $d\vec{C} = -dt \cdot \nabla E$
for **geometric energies** of contour C where ∇E is collinear with normal \vec{N}

Implicit (region-based) surface representation via *level-sets*

Geometric measures commonly used in segmentation

<u>Functional $E(C)$</u>		<u>gradient descent evolution</u> $dC = \beta \cdot \vec{N}$
	weighted length $E(C) = \int_C g(\cdot) ds$	$\beta \sim g \cdot \kappa - \langle \nabla g, \vec{N} \rangle$
	weighted area $E(C) = \iint_{\Omega} f da$	$\beta \sim f$
	alignment (flux) $E(C) = \int_C \langle \vec{v}, \vec{N} \rangle ds$	$\beta \sim \text{div}(\vec{v})$

**Note: physic-based energy of snake
depends on contour parameterization**

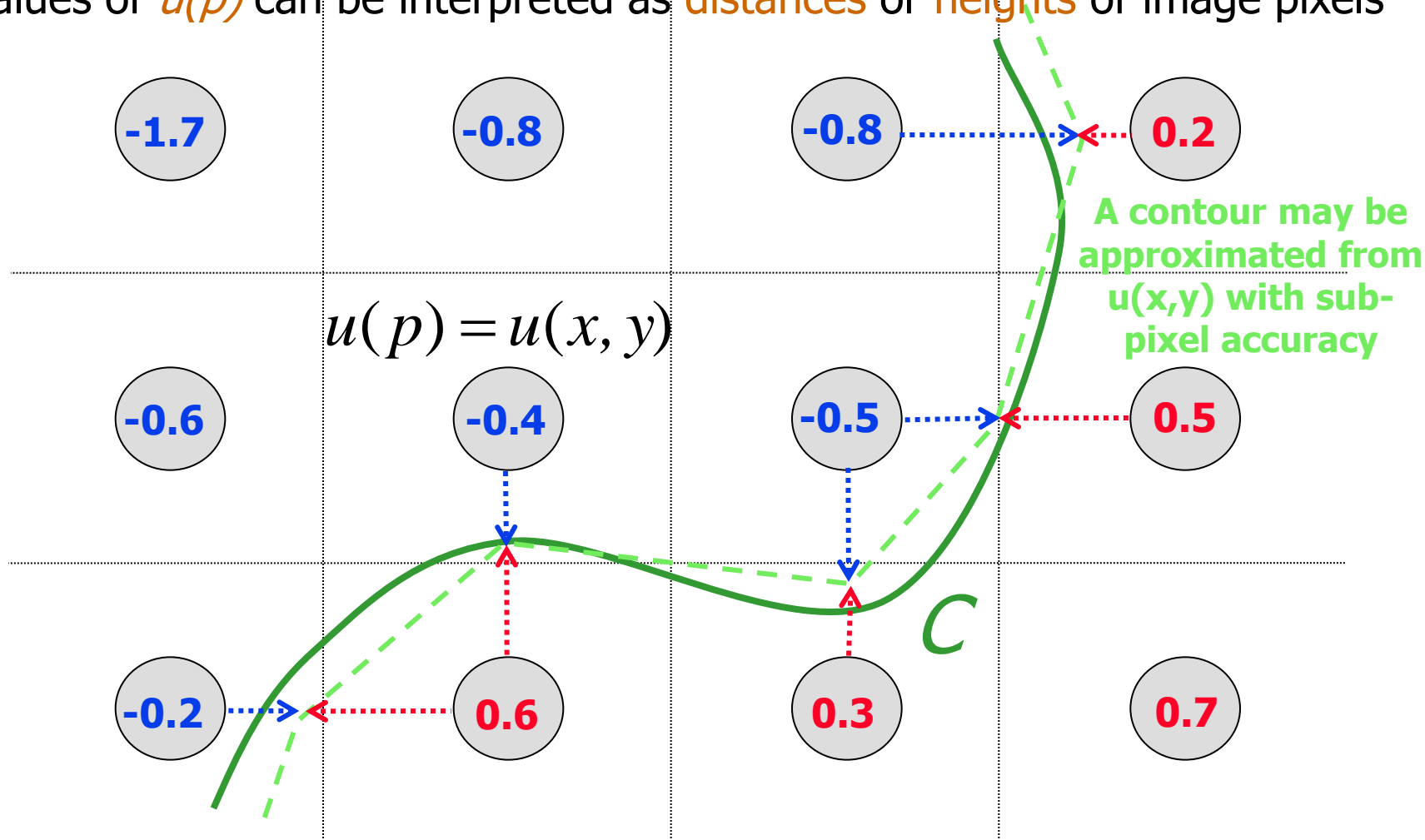


**Gradient descent of snake C produces
tangential motion, which is “invisible” in segmentation.**

In contrast, geometric energies give ∇E collinear with \vec{N}
can be minimized via **level-sets**

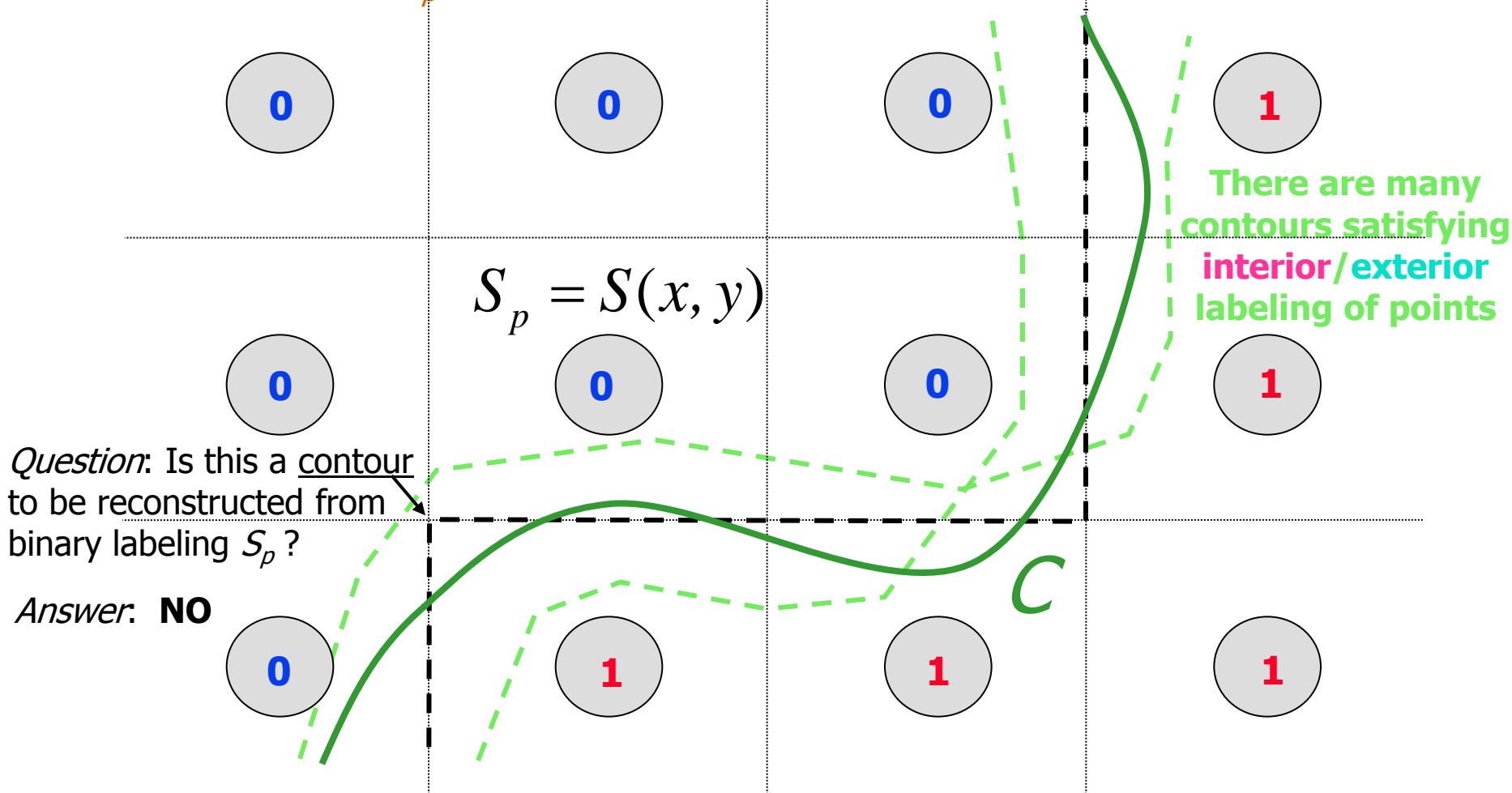
Implicit (region-based) surface representation via *level-sets*

- Level set function $u(p)$ is normally stored on image pixels
- Values of $u(p)$ can be interpreted as **distances** or **heights** of image pixels



Implicit (region-based) surface representation via *graph-cuts*

- Graph cuts represent surfaces via binary labeling S_p of each graph node
- Binary values of S_p indicate **interior** or **exterior** points (e.g. pixel centers)



Contour/surface representations

(summary)

Implicit (area-based)

Level sets
(geodesic active contours,
convex geometric energies)

Graph cuts
(grids or complexes)

Explicit (boundary-based)

Snakes
(physics-based band model)

Live-wire
(shortest paths on graphs)

Graph cuts on complexes

Different ways to look at energy of graph cuts

1: Posterior energy (MAP-MRF)

$$E(S) = \underbrace{\sum_p -\ln \Pr(D_p / S_p)}_{\text{log-likelihoods}} + \underbrace{\sum_{pq \in N} V_{pq}(S_p, S_q)}_{\text{log of prior}}$$

2: Approximating continuous surface functional

$$E(S) = \underbrace{\int_S g(\cdot) ds}_{\text{regional term}} + \underbrace{\int_{\partial S} \langle \vec{N}, \vec{v}_s \rangle ds}_{\text{flux}} + \underbrace{\int_{\partial S} w(s) ds}_{\text{boundary length}}$$

3: Submodular set function

$$E(S) = \sum_A E_A(S_A) \quad \text{for } S_A = \{S_p / p \in A\}$$

factors

Submodular functions

■ Edmonds 1970

Lattice $(\mathcal{L}, \wedge, \vee)$ - set of elements with *inf* and *sup* operations

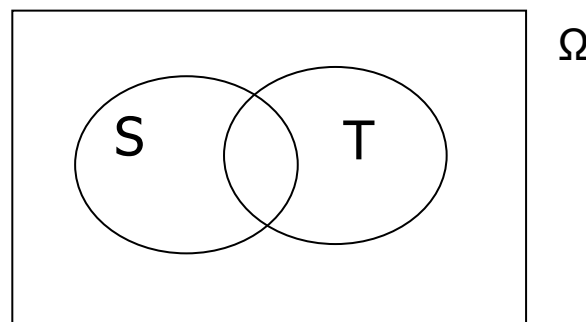
$$S, T \in \mathcal{L} \Rightarrow S \wedge T \in \mathcal{L} \quad S \vee T \in \mathcal{L}$$

Function $E: \mathcal{L} \rightarrow \mathbb{R}$ is called **submodular** if for any $S, T \in \mathcal{L}$

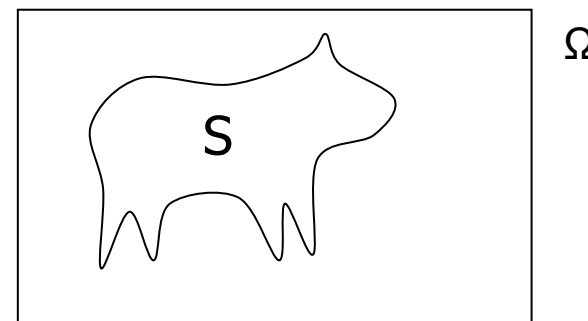
$$E(S \wedge T) + E(S \vee T) \leq E(S) + E(T)$$

Lattice of sets and set functions

Assume set Ω , then $(2^\Omega, \cap, \cup)$ is a lattice of subsets



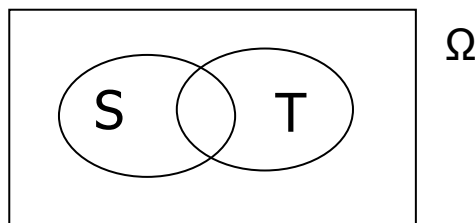
NOTE: if Ω is a set of pixels then
any (binary) segmentation energy $E(S)$
is a set function $E: 2^\Omega \rightarrow \Re$



Submodular set functions

Set function $E: 2^\Omega \rightarrow \mathbb{R}$ is **submodular** if for any $S, T \subseteq \Omega$

$$E(S \cap T) + E(S \cup T) \leq E(S) + E(T)$$



Significance: any submodular set function can be globally optimized in polynomial time
[Grotschel et al. 1981, 88, Schrijver 2000]

$$O(|\Omega|^9)$$

Submodular set functions

Sets are conveniently represented by binary indicator variables

$$S \subset \Omega \iff \{S_p \in \{0,1\} \mid p \in \Omega\} \quad \boxed{\begin{array}{c} \textcircled{S_p = 1} \\ S_p = 0 \end{array}}^\Omega$$

Thus, set functions $E: 2^\Omega \rightarrow \mathbb{R}$ can be represented as

$$E(S) = E(S_1, S_2, \dots, S_{|\Omega|})$$

Define $S_A = \{S_p \mid p \in A\}$, a *restriction* of S to any subset $A \subseteq \Omega$ and consider *projections* $E(S_A \mid S_{\Omega \setminus A})$ of energy E onto subsets A

Set function $E(S)$ is **submodular** iff for any pair $p, q \in \Omega$

$$E(\mathbf{0}, \mathbf{0} \mid S_{\Omega \setminus pq}) + E(\mathbf{1}, \mathbf{1} \mid S_{\Omega \setminus pq}) \leq E(\mathbf{1}, \mathbf{0} \mid S_{\Omega \setminus pq}) + E(\mathbf{0}, \mathbf{1} \mid S_{\Omega \setminus pq})$$

Graph cuts for minimization of submodular set functions

Assume set Ω and 2nd-order (quadratic) function

$$E(S) = \sum_{(pq) \in N} E_{pq}(S_p, S_q) \quad S_p, S_q \in \{0, 1\}$$

Indicator variables

Function $E(S)$ is **submodular** if for any $(p, q) \in N$

$$E_{pq}(\mathbf{0}, \mathbf{0}) + E_{pq}(\mathbf{1}, \mathbf{1}) \leq E_{pq}(\mathbf{1}, \mathbf{0}) + E_{pq}(\mathbf{0}, \mathbf{1})$$

Significance: submodular 2nd-order boolean (set) function can be globally optimized in polynomial time by **graph cuts**

[Hammer 1968, Pickard&Ratliff 1973] $O(|N| \cdot |\Omega|^2)$

[Boros&Hammer 2000, Kolmogorov&Zabih 2003]

Graph cuts for approximating continuous surface functionals

Submodular quadratic boolean functions on a grid can approximate continuous geometric functionals

$$E(S) = \boxed{\int_{\partial S} g(\cdot) ds} + \boxed{\int_{\partial S} \langle \vec{N}, \vec{v}_x \rangle ds} + \boxed{\int_S f(p) dp}$$

Geometric length
any convex,
symmetric metric g
e.g. Riemannian

Flux
any vector field \mathbf{v}

Regional bias
any scalar function f

[Boykov&Kolmogorov, ICCV 2003]

[Kolmogorov&Boykov, ICCV 2005]

Graph cuts for minimization of posterior energy (MRF)

Assume **Gibbs distribution** over binary variables $S_p \in \{0,1\}$

$$Pr(S_1, \dots, S_n) \propto \exp\left(-\sum_{\substack{A \\ \text{factors}}} E_A(S_A)\right) \quad S_A = \{S_p / p \in A\}$$

Theorem [*Boykov, Delong, Kolmogorov, Veksler* in unpublished book 2013?]

Any pair of random variables S_p and S_p are **positively correlated** iff function

boolean (set) function $E(S) = \sum_A E_A(S_A)$ is **submodular**

That is, submodularity implies MRF with “smoothness” prior

Graph cuts for minimization of posterior energy (MRF)

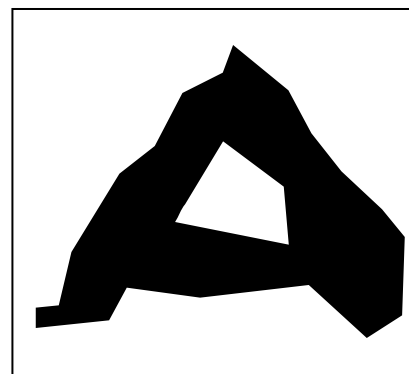
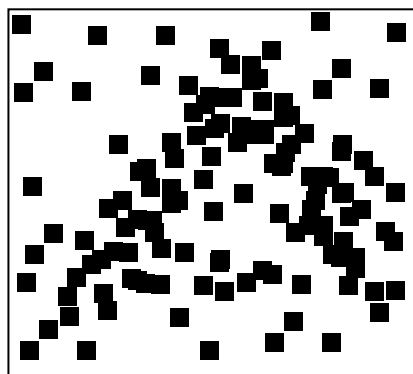
$$E(L) = \sum_p (I_p - L_p)^2 + \sum_{pq \in N} V_{pq}(L_p, L_q)$$

$$L_p \in \{0, 1\}$$

**Log-Likelihood
(data term)**

**Spatial prior
(regularization)**

$$I_p \in \{0, 1\}$$



binary image restoration

[Greig et al., IJRSSB, 1989]

Graph cuts for minimization of posterior energy (MRF)

$$E(L|\theta_0, \theta_1) = \sum_p -\ln \Pr(I_p | \theta_{L_p}) + \sum_{pq \in N} w_{pq} [L_p \neq L_q] \quad L_p \in \{0, 1\}$$

**Log-Likelihood
(data term)**

**Spatial prior
(regularization)**

assuming known

$I_p \in RGB$

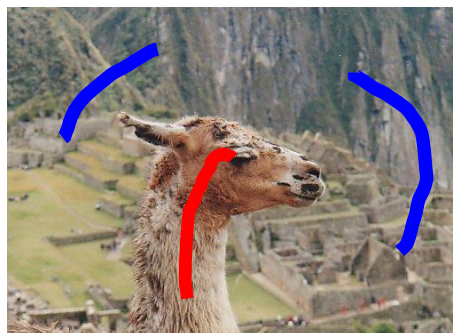


image segmentation, graph cut
[Boykov&Jolly, ICCV2001]

Beyond given appearance models

$$E(L, \theta_0, \theta_1) = \sum_p -\ln \Pr(I_p | \theta_{L_p}) + \sum_{pq \in N} w_{pq} [L_p \neq L_q] \quad L_p \in \{0, 1\}$$

extra variables

**Log-Likelihood
(data term)**

**Spatial prior
(regularization)**

$I_p \in RGB$



Models θ_0, θ_1
can be iteratively
re-estimated

iterative image segmentation, Grabcut
(block coordinate descent $L \leftrightarrow \theta_0, \theta_1$)

[Rother, et al. SIGGRAPH2006]

Beyond submodularity

- Many useful non-submodular set functions $E(S)$
 - in binary segmentation with learned interaction potentials
 - in the context of binary moves for multi-label (later)
- QPBO (partial optimality) [survey Kolmogorov&Rother, 2007]
- LP relaxations [Schlezinger, Komodakis, Kolmogorov, Savchinsky,...]
- Message passing, e.g. TRWS [Kolmogorov]
- active area of research...

Beyond submodularity

Deconvolution

image I blurred with mean kernel



$$E(L) = \sum_p \left(I_p - \frac{1}{|B|} \cdot \sum_{q \in B_p} L_q \right)^2 + \sum_{pq \in N} w[L_p \neq L_q]$$

non-submodular
quadratic term

submodular
quadratic term



Beyond loglikelihoods and length-based smoothness

$$E(S) = E_1(S) + \dots + E_n(S)$$

■ Shape bias

- star-shape (one click) [Veksler 2008]
- shape statistics [Cremers 2003]
- box prior [Lempitsky 2009]

■ Curvature of the boundary (like bending in snakes)

■ Cardinality constraints

■ Distribution constraints

■ Sparsity or MDL prior, label costs

■ Many others....

Beyond linear combination of terms

■ Ratios are also used

$$E(S) = \frac{E_1(S)}{E_2(S)}$$

- Normalized cuts [Shi, Malik, 2000]
- Minimum Ratio cycles [Jarmin Ishkawa, 2001]
- Ratio regions [Cox et al, 1996]
- Parametric max-flow applications [Kolmogorov et al 2007]

Segmentation principles

interactive

vs.

unsupervised

- Boundary seeds
 - Livewire (intelligent scissors)
 - Region seeds
 - Graph cuts (intelligent paint)
 - Distance (Voronoi-like cells)
 - Bounding box
 - Grabcut [Rother et al]
 - Center seeds
 - Star shape [Veksler]
 - Many other options...
- Normalized cuts [Shi Malik]
 - Mean-shift [Comaniciu]
 - MDL [Zhu&Yuille]
 - Entropy of appearance
 - Add enough constraints:
 - Saliency
 - Shape
 - Known appearance
 - Texture

Differences maybe minor

interactive

?

unsupervised

$$E(S, \theta_0, \theta_1) = \sum_p -\ln \Pr(I_p | \theta_{S_p}) + \sum_{pq \in N} w_{pq} [S_p \neq S_q]$$

Grabcut energy [Rother et al]



$$E(S) = \underbrace{|S| \cdot H(S)}_{\text{entropy}} + \underbrace{|\bar{S}| \cdot H(\bar{S})}_{\text{entropy}} + \sum_{pq \in N} w_{pq} [S_p \neq S_q]$$

unsupervised image segmentation energy

NOTE: Grabcut converges to a local minima near the initial box.

Summary

Covered basics of:

- Thresholding, region growing
- Snakes, active contours
- Geodesic contours
- Graph cuts (binary labeling, MRF)

Implicit surface representation
Global optimization is possible

Not-Covered:

- Ratio functionals
- Normalized cuts
- Watersheds
- Random walker
- Many others...

To be covered later:

- High-order models
- Multi-label segmentation
- Model fitting