

# Shuffle algebras and lattice paths

**IPAM workshop “Vertex Models: Algebraic and Probabilistic Aspects of Universality”**

Alexandr Garbali, University of Melbourne, May 2024

Based on works with Paul Zinn-Justin and Ajeeth Gunna

## Overview

- Lattice paths
- Partition functions
- An algebraic tool
- Computation of partition functions
- Application to skew Macdonald polynomials

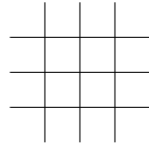
## Lattice paths

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Consider lattice paths on  
square lattice

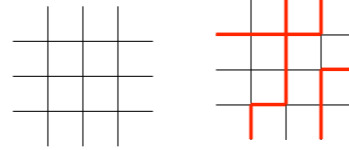
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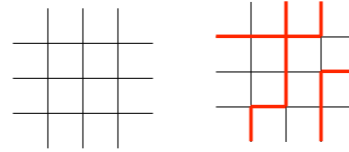
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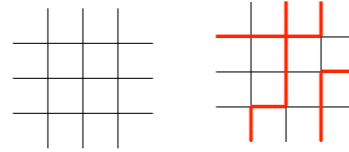


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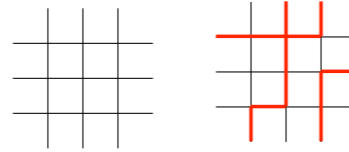
Use 0/1 to denote unoccupied/occupied boundary edges





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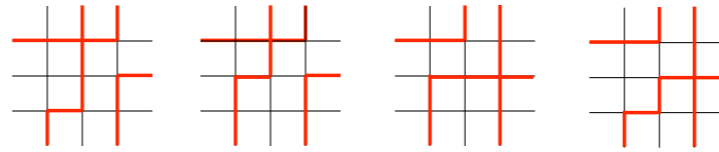
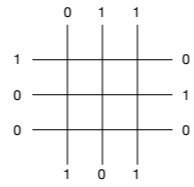


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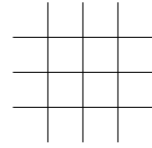
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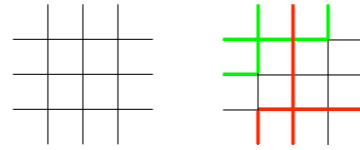
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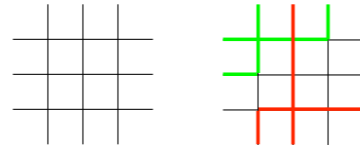
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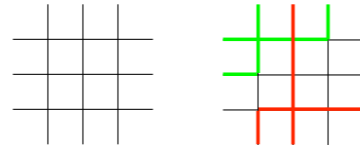


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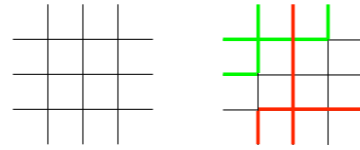
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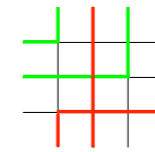
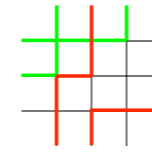
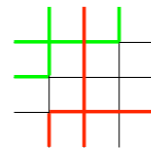
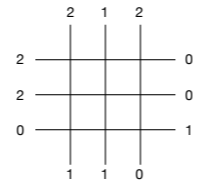


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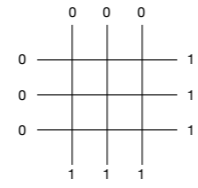


+ two more

**Lattice paths: special boundary conditions**

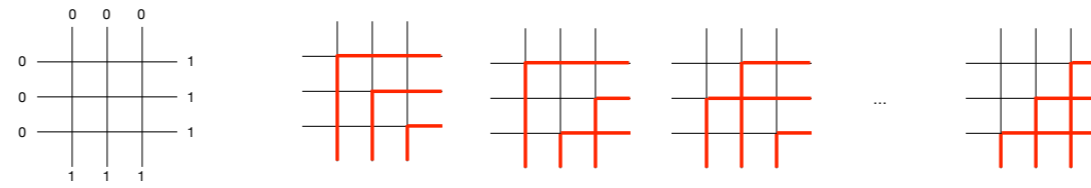
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Domain wall boundary conditions:



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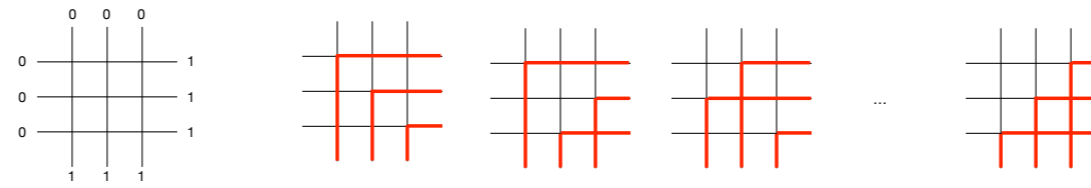
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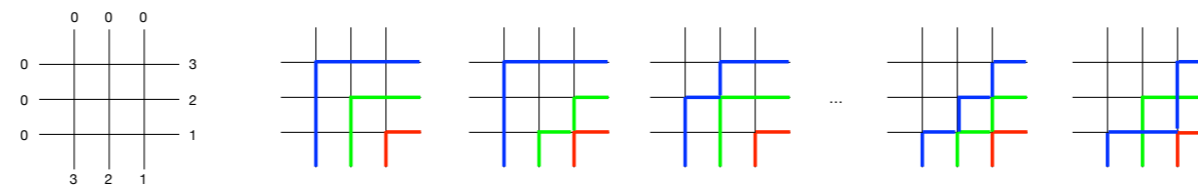


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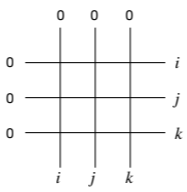
Rainbow domain wall boundary conditions:



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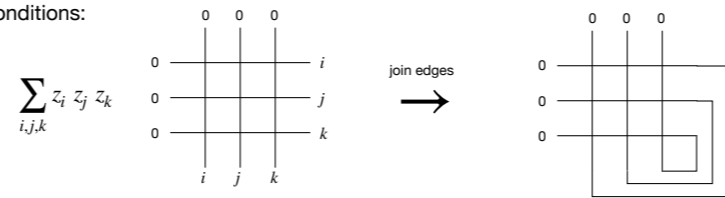
Trace boundary conditions:

$$\sum_{i,j,k} z_i z_j z_k$$




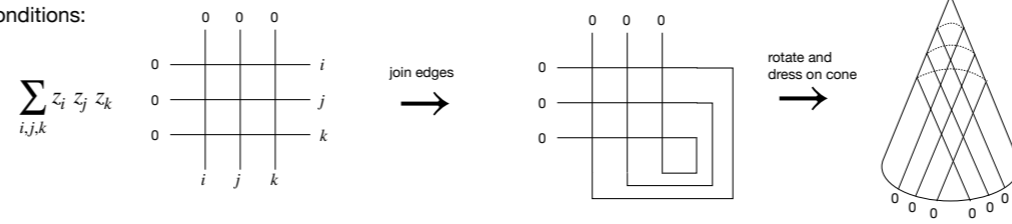
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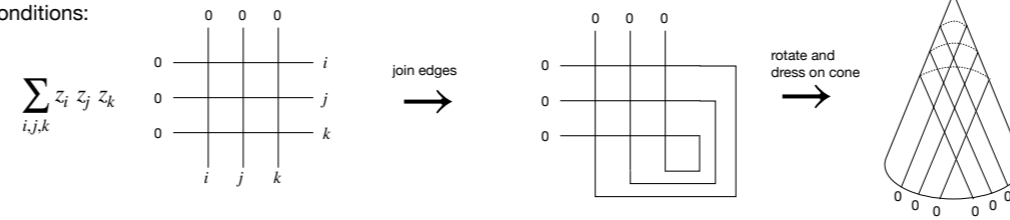
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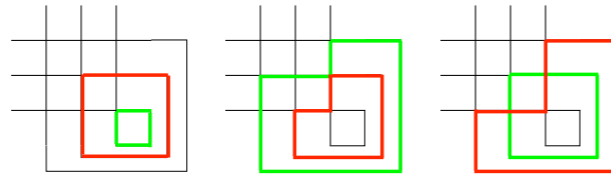


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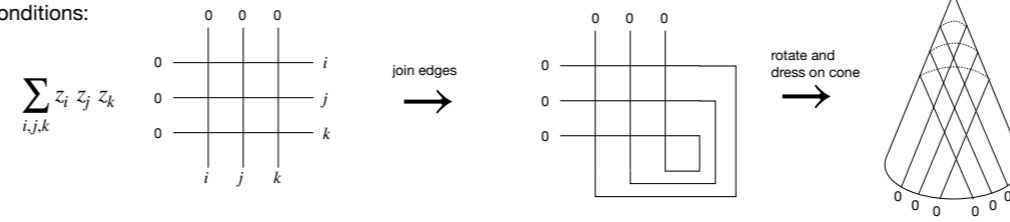


Picking coefficient of  $z_1 z_2$  gives 32 configurations among which:

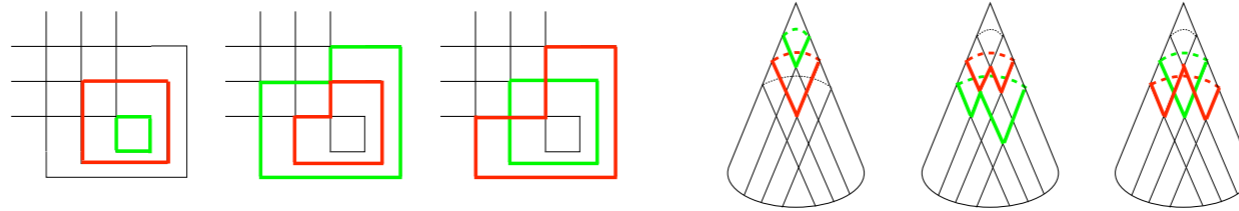


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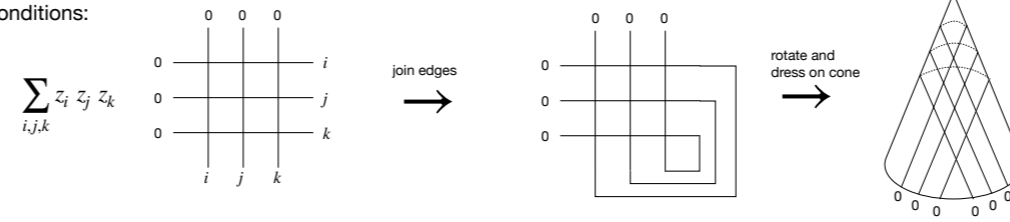


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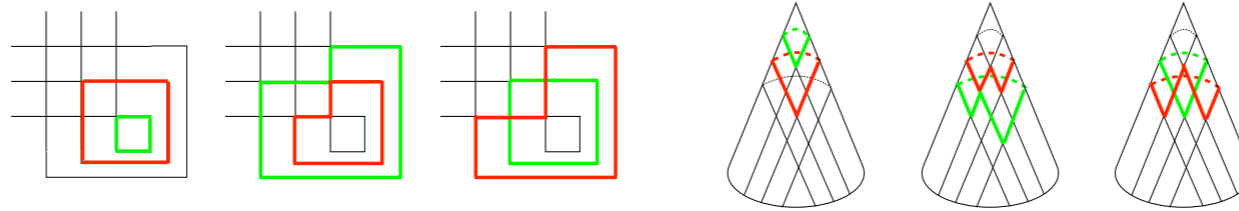


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The coefficients of  $z_i^3$  produce domain wall boundaries for paths of colour  $i$ .

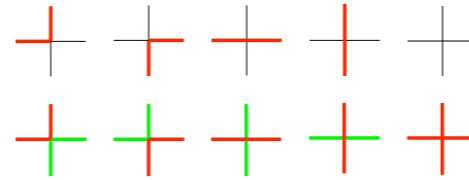
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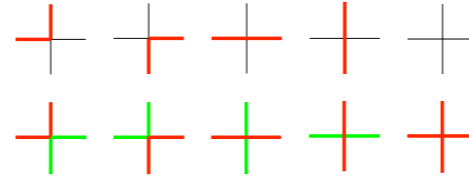
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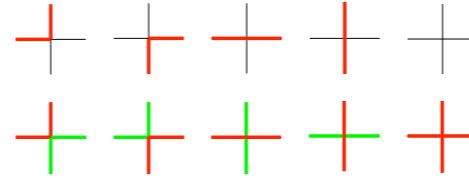
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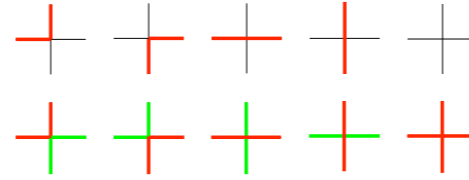


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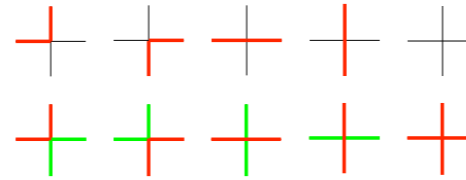
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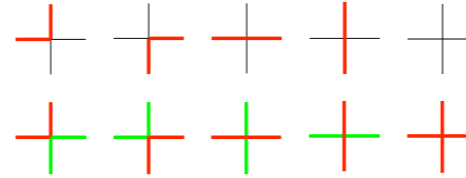
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 &= \frac{(1-t)x_1/y_1(1-x_2/y_1)t(1-x_1/y_2)(x_2/y_2-t)}{(1-tx_1/y_1)(1-tx_2/y_1)(1-tx_1/y_2)(1-tx_2/y_2)} + \frac{(1-t)^4x_1/y_1x_2/y_1x_1/y_2}{(1-tx_1/y_1)(1-tx_2/y_1)(1-tx_1/y_2)(1-tx_2/y_2)}
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$$\check{R}(x/y) = \leftarrow \begin{array}{c} y \\ | \\ x \\ | \\ \downarrow \end{array} = \sum_{a,b,c,d=0}^n a \leftarrow \begin{array}{c} b \\ y \\ | \\ x \\ | \\ d \\ | \\ \downarrow \\ c \end{array} | a, c \rangle \langle b, d |$$

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For  $n = 1$ :

$$\check{R}(x/y) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{(1-t)x}{y-tx} & \frac{y-x}{y-tx} & 0 \\ 0 & \frac{t(y-x)}{y-tx} & \frac{(1-t)y}{y-tx} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

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The  $R$ -matrix satisfies the *Yang–Baxter equation*:

$$\check{R}_1(z/y)\check{R}_2(z/x)\check{R}_1(y/x) = \check{R}_2(y/x)\check{R}_1(z/x)\check{R}_2(z/y)$$

where  $\check{R}_1(u) = \check{R}(u) \otimes I$  and  $\check{R}_2(u) = I \otimes \check{R}(u)$

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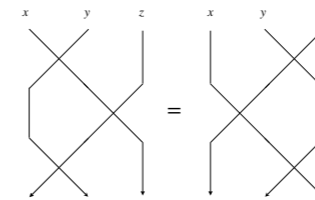
$$\check{R}(x/y) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{(1-t)x}{y-tx} & \frac{y-x}{y-tx} & 0 \\ 0 & \frac{t(y-x)}{y-tx} & \frac{(1-t)y}{y-tx} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \text{+} & 0 & 0 & 0 \\ 0 & \text{+} & \text{+} & 0 \\ 0 & \text{+} & \text{+} & 0 \\ 0 & 0 & 0 & \text{+} \end{bmatrix}$$

Role of arrows: order of matrix multiplication follows the flow of arrows.

The  $R$ -matrix satisfies the *Yang–Baxter equation*:

$$\check{R}_1(z/y)\check{R}_2(z/x)\check{R}_1(y/x) = \check{R}_2(y/x)\check{R}_1(z/x)\check{R}_2(z/y)$$

where  $\check{R}_1(u) = \check{R}(u) \otimes I$  and  $\check{R}_2(u) = I \otimes \check{R}(u)$



## Partition functions

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$$Z_N(x; y) := \check{R}_N(x_N/y_1) \check{R}_{N-1}(x_{N-1}/y_1) \check{R}_{N+1}(x_N/y_2) \cdots \check{R}_N(x_1/y_N)$$

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The diagram shows a grid of  $N$  columns and  $N$  rows. The columns are labeled at the top with  $\beta_1, \dots, \beta_N$ . The rows are labeled on the left with  $\alpha_1, \dots, \alpha_N$ . The bottom of the grid is labeled with  $\gamma_1, \dots, \gamma_N$ . The right side of the grid is labeled with  $\delta_1, \dots, \delta_N$ . To the right of the grid is the equation  $= \langle \alpha, \gamma | Z_N(x, y) | \beta, \delta \rangle$ .

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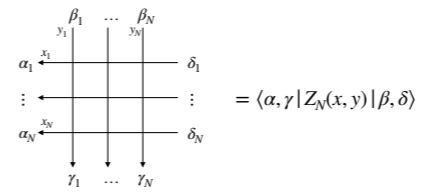
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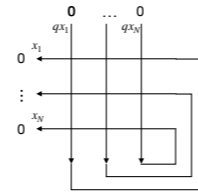
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**Theorem** [AG, A Gunna '23]:

$$T(v|x; z) = \exp_* \left( - \sum_{k>0} \frac{v^k}{k} \left( z_1^k + \dots + z_n^k + \frac{q^k - t^k}{1 - t^k} z_0^k \right) L_k(x_1 \dots x_k) \right)$$

where  $\exp_* A = 1 + A + 1/2! A * A + 1/3! A * A * A + \dots$  and  $L_k(x_1 \dots x_k)$  is another “small” conic partition function (with two types of paths) which has an explicit expression as a rational function in  $x_i$ .

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$$P(x_1 \dots x_k) = \frac{p(x_1 \dots x_k)}{\prod_{1 \leq i \neq j \leq k} (x_i - qt^{-1}x_j)} \quad \text{s.t.:} \quad p(\dots x, qt^{-1}x, t^{-1}x \dots) = p(\dots x, qt^{-1}x, qx \dots) = 0$$

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The commutative shuffle algebra is a subspace  $\mathcal{A}^\circ \subset \mathcal{A}$  such that:

$$\lim_{\epsilon \rightarrow 0} P(\epsilon^{\pm 1}x_1, \dots, \epsilon^{\pm 1}x_r, x_{r+1}, \dots, x_n) = \kappa < \infty$$

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The simplest elements of  $\mathcal{A}^n$  are the factorized elements:  
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Let  $(p, p', p'')$  be a permutation of  $(q, t^{-1}, tq^{-1})$ .

Another example of elements of  $\mathcal{A}^s$  is given by determinants:  
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$$H_k(x; p) := f(x) \det_{1 \leq i, j \leq k} \frac{1}{(x_i - p'x_j)(x_j - p''x_i)} \quad f(x) \text{ is composed of simple factors which fix the poles s.t.: } H_k \in \mathcal{A}^s$$

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Lemma: The generating functions of  $E_k(x; p), H_k(x; p)$  are equal to shuffle-exponentials:

$$E(v|p) = \sum_{k=0}^{\infty} v^k E_k(x; p) = \exp_* \left( \sum_{r>0} \frac{(-1)^{r+1}}{r} d_r v^r S_r(x) \right), \quad H(v|p) = \sum_{k=0}^{\infty} v^k H_k(x; p) = \exp_* \left( \sum_{r>0} \frac{1}{r} d_r v^r S_r(x) \right) \quad \begin{array}{l} \text{where:} \\ d_r = \frac{1-p^r (t-q)^r}{1-q^r (1-p)^r} \end{array}$$

Partition functions as elements of  $\mathcal{A}^\circ$



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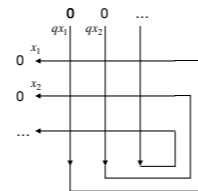
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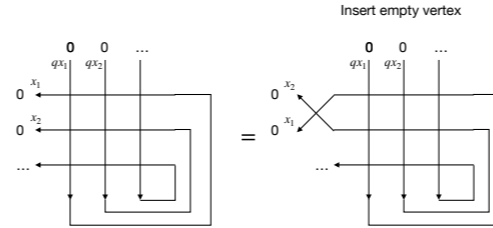
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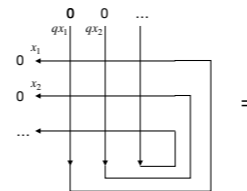
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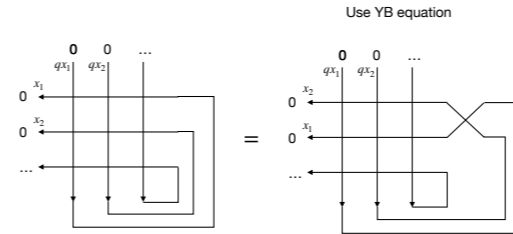
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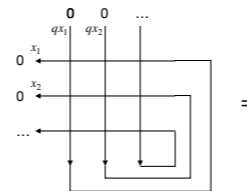
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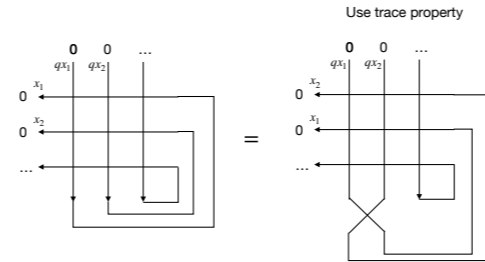
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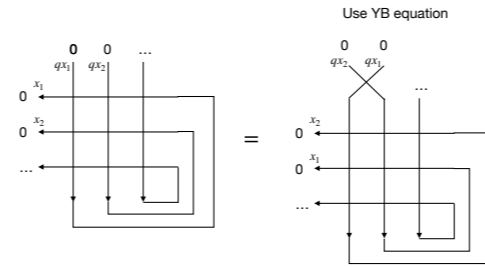
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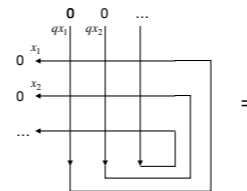
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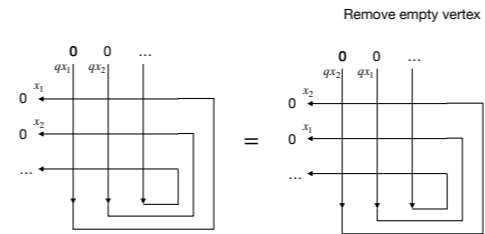
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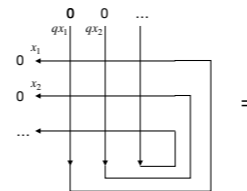
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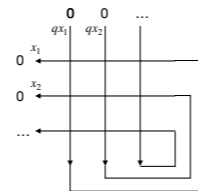
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Computing  $T_N$



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Consider the case of paths of single colour (six vertex case  $n = 1$ )

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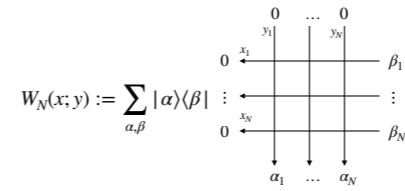
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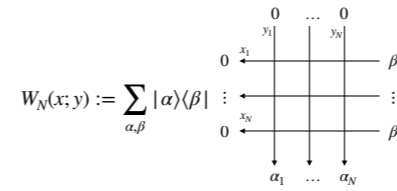
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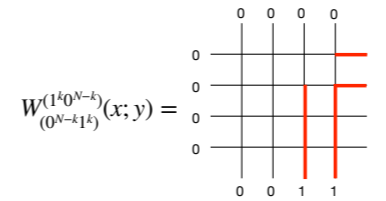
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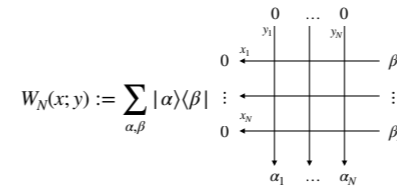
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After simple algebra we get:

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Including supersymmetric Boltzmann weights leads to a model with mixtures of “bosonic” and “fermionic” paths. In this case:

$$T(v|x; z) = \exp_* \left( - \sum_{k>0} \frac{v^k}{k} \left( -w_1^k - \dots - w_m^k + z_1^k + \dots + z_n^k + \frac{q^k - t^k}{1-t^k} z_0^k \right) L_k(x_1 \dots x_k) \right)$$

where  $w_i$  count fermionic paths.

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Proposition [AG, A Gunna '23]:

$$\text{ev}_{\mu\nu} (T(x; w)) = \text{const } P_{\mu\nu}(w)$$

**Example**

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$$\begin{aligned}
 P_{(2,1)/(1)}(w_1, w_2) &\propto \left( \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right) w_1^2 + \left( \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right) w_2^2 \\
 &+ \left( \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} + \begin{array}{c} 0 \quad 0 \\ | \quad | \\ 0 \text{---} \text{---} \\ | \quad | \\ 0 \end{array} \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right) w_1 w_2 \\
 &\propto w_1^2 + \frac{(1-t)(2+q+t+2qt)}{1-qt^2} w_1 w_2 + w_2^2
 \end{aligned}$$