## The Promise of Foundation Models and Generative AI

Payel Das
Principal Researcher and Master Inventor
Generative AI Research Lead
Manager, Trustworthy AI
IBM Research
daspa@us.ibm.com
payel791@
https://www.linkedin.com/in/payeldas/

#### Foundation models are...



**Pre-trained** on unlabeled datasets of different modalities (e.g., language, time-series, tabular)



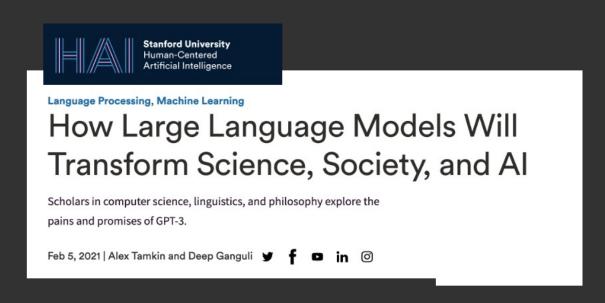
Leverage self-supervised learning



Learn **generalizable & adaptable data representations** which can be effectively used in **multiple downstream tasks** (e.g., text generation, machine translation, classification for languages)

Note: while transformer architecture is most prevalent in foundation models, definition not restricted by model architecture

### In recent years, Large Language Models (LLMs) have taken the field of AI by the storm





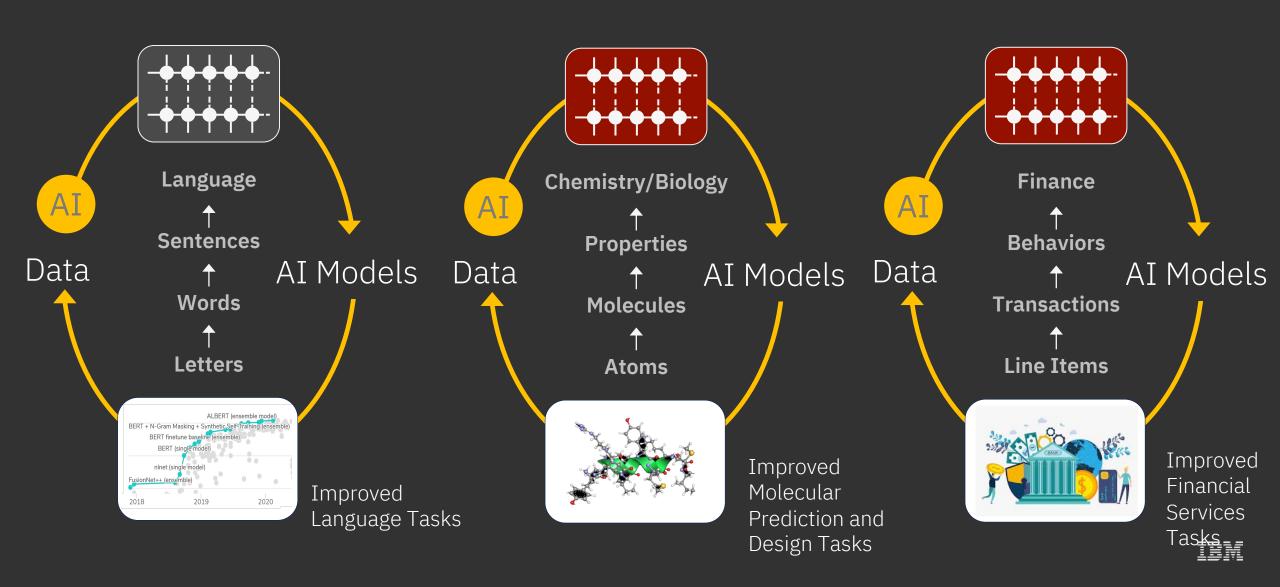
Large Language Models: A New Moore's Law?

Published October 26, 2021.

```
. Guisiate French
import openai
prompt = """English: I do not speak French.
French: Je ne parle pas français.
English: See you later!
 French: À tout à l'heure!
 English: Where is a good restaurant?
 French: Où est un bon restaurant?
 English: What rooms do you have available?
 French: Quelles chambres avez-vous de disponible?
English: We'll cross that bridge when we come to it
French:"""
openai.Completion.create(model="davinci",
prompt=prompt, stop="\n", temperature=0.5,
max_tokens=300)
                                   See cached respon
```

GPT-3 can translate language, write essays, generate code, and more — all with limited to no supervision.

The same AI breakthroughs happening in language are impacting other scientific and enterprise applications



#### Can molecular foundation models be useful?



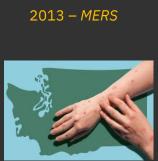


2014 - Ebola

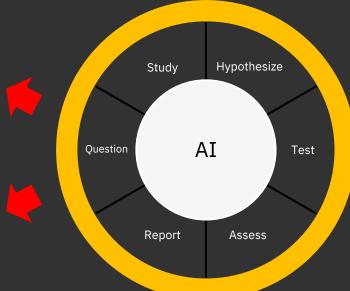


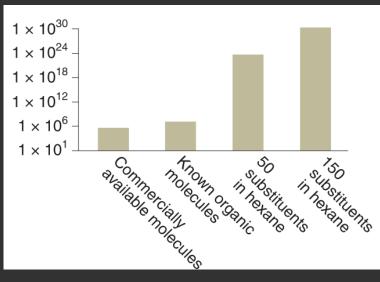


2003 *– SARS* 



2022 – Monkey Pox

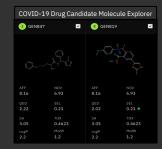




The perils of machine learning in designing new chemicals and materials.

Nat Mach Intell 4, 314–315 (2022).

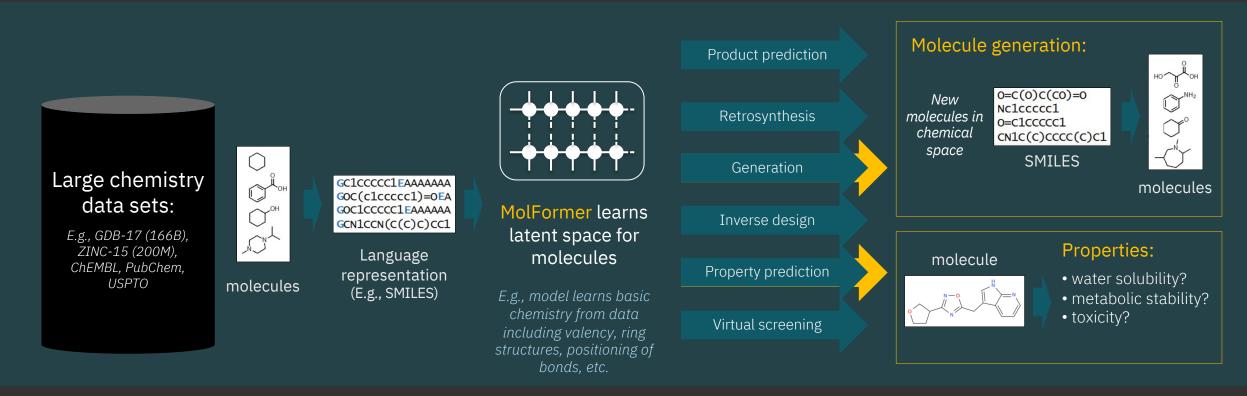
#### **COVID-19 Pandemic Response**



Science is the engine that will develop proven therapies

Foundation models for molecules – property prediction and generation

# Foundation Models learn the language of chemistry/biology from data and can power up a multitude of discovery tasks — We call them MoLFormer



IBM Research, CogMol: Target-Specific and Selective Drug Design for COVID-19 Using Deep Generative Models. NeurIPS, 2020.

IBM Research, Accelerating Antimicrobial Discovery with Controllable Deep Generative Models and Molecular Dynamics. Nature Biomed. Eng. 2021.

IBM Research, Augmenting Molecular Deep Generative Models with Topological Data Analysis Representations. ICASSP 2022.

IBM Research, Optimizing Molecules using Efficient Queries from Property Evaluations. Nature Machine Intelligence 2021.

IBM Research, Fold2Seq: A Joint Sequence(1D)-Fold(3D) Embedding-based Generative Model for Protein Design. ICML 2021.

IBM Research, Data-Efficient Graph Grammar Learning for Molecular Generation. ICLR 2022.

IBM Research, Biological Sequence Design with GFlowNets. ICML 2022.

IBM Research, Active learning of deep surrogates for PDEs... npj Comp Mat 2020.

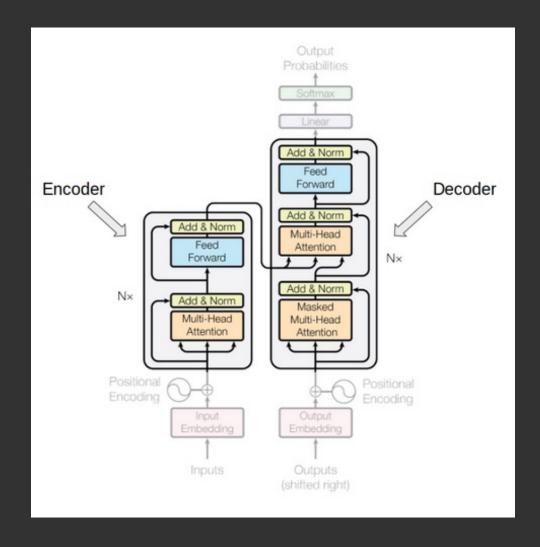
IBM Research, Protein Representation Learning by Geometric Structure Pretraining, ICLR 2023.



## MolFormer: Foundational transformer for chemistry/biology applications

#### MoLFormer-XL – a specific example from MoLFormer family

- Trained on up to over **a billion** molecular text strings (SMILES), with relatively limited hardware resources (16 V100 GPUs).
- Scalable and fast to train linear time attention transformers as encoders and decoders
- Relative position embeddings facilitate learning on SMILES
- State-of-the-art, universal chemical language model for wide ranges
   of 70+ molecular property prediction
- Shows emergent behavior, such as geometry, taste, etc.



## Molformer performs comparabiliy than existing GNNs and language models on quantum chemical property regression of QM9 benchmark

		1 I D	1		, D	1	CMILEG D. 1	
		Graph-Base	<u>a</u>	Geo	ometry-Ba	ased	SMILES-Based	
Measure	A-FP	123-gnn	GC	$\mathbf{C}\mathbf{M}$	DTNN	MPNN	Molformer-XL	ChemBERTa
$\alpha$	0.492	0.27	1.37	0.85	0.95	0.89	0.3327	0.8510
$C_{oldsymbol{v}}$	0.252	0.0944	0.65	0.39	0.27	0.42	0.1447	0.4234
G	0.893	0.0469	3.41	2.27	2.43	2.02	0.3362	4.1295
$\operatorname{gap}$	0.00528	0.0048	0.01126	0.0086	0.0112	0.0066	0.0038	0.0052
H	0.893	0.0419	3.41	2.27	2.43	2.02	0.2522	4.0853
$\epsilon_{homo}$	0.00358	0.00337	0.00716	0.00506	0.0038	0.00541	0.0029	0.0044
$\epsilon_{lumo}$	0.00415	0.00351	0.00921	0.00645	0.0051	0.00623	0.0027	0.0041
$\mu$	0.451	0.476	0.583	0.519	0.244	0.358	0.3616	0.4659
$\langle R^2 \rangle$	26.839	22.90	35.97	46.00	<b>17.00</b>	28.5	17.0620	86.150
$\dot{U}_{f 0}$	0.898	0.0427	3.41	2.27	2.43	2.05	0.3211	3.9811
U	0.893	0.111	3.41	2.27	2.43	2.00	$\boldsymbol{0.2522}$	4.3768
ZPVE	0.00207	0.00019	0.00299	0.00207	0.0017	0.00216	0.0003	0.0023
Avg MAE	2.6355	1.9995	4.3536	4.7384	2.3504	3.1898	1.5894	8.7067
Avg std MAE	0.0854	0.0658	0.1683	0.1281	0.1008	0.1108	0.0567	0.1413

## Comparison of MoLFormer with existing baselines on classification and regression benchmarks

Dataset	BBBP	Tox21	ClinTox	HIV	BACE	SIDER
Tasks	1	12	<b>2</b>	1	1	27
RF	71.4	76.9	71.3	78.1	86.7	68.4
SVM	72.9	81.8	66.9	<b>79.2</b>	86.2	68.2
MGCN [56]	85.0	70.7	63.4	73.8	73.4	55.2
D-MPNN [57]	71.2	68.9	<b>90.5</b>	75.0	85.3	63.2
Hu, et al. [58]	70.8	78.7	78.9	80.2	85.9	65.2
N-Gram [44]	91.2	76.9	85.5	83.0	87.6	63.2
MolCLR [24]	73.6	79.8	93.2	80.6	89.0	68.0
MoLFormer-XL	93.7	84.7	94.8	82.2	88.21	69.0

Dataset	QM9	QM8	ESOL	FreeSolv	Lipophilicity
GC	4.3536	0.0148	0.970	1.40	0.655
A-FP	2.6355	0.0282	0.5030	0.736	0.578
MPNN	3.1898	0.0143	0.58	1.150	0.7190
MoLFormer-XL	1.5894	0.0102	0.2787	0.2308	0.5289

#### **DEMONSTRATIONS**

#### Real time inference from MoLFormer-XL

IBM Research

#### **IBM Research Molecular Explorer**

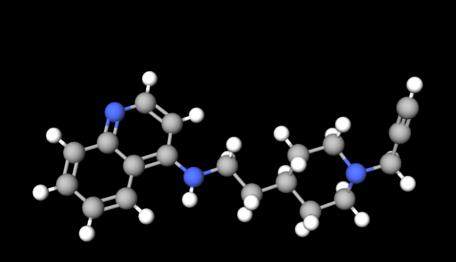
#### **Cloud Based Real Time Molecular Screening Platform with MolFormer**

To help researchers virtually navigate the chemical space and screen molecules of interest, here we present a cloud-based real-time platform enabled by our large-scale chemical language model, MolFormer.

The platform leverages molecular embedding inferred from MolFormer and retrieves nearest neighbors from PubChem for a list of input chemicals. To assist with automating chemistry, drug discovery and material design tasks, we also show in the platform the molecular attributes of the retrieved nearest neighbors as metadata, such as physicochemical properties (estimated using RDKIT), bioactivities (Enamine BioActivity), odor (Olfactionbase), and ease of synthesis (Enamine Real).

Results are for research use only.



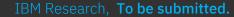


MolGPT: Foundational transformer for molecule generation

- A large-scale and efficient molecular language generator
- Efficient training on over a billion molecular text strings (SMILES)
- Scalable and fast to train linear time attention transformers
- Distributed training using Pytorch Lightning
- Enjoys fast inference due to operating on text

	Validity	Uniqueness	Novelty
MolGPT (ours)	0.95	0.99	0.99
MegaMolBert (Nvidia)	0.75	0.85	0.51

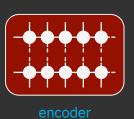
0.1% Possible classes: 'c1ccccc1' All Chemical fragments 'c1ccncc1' FFNN + Softmax DECODER DECODER DECODER 'c1ccncc1'



#### Large-scale unsupervised pretraining, novel sampling, and optimization methods enable controllable generation of novel artifacts with desired properties

Large chemistry data sets:

E.g., GDB-17 (166B), ZINC-15 (200M). ChEMBL, PubChem.

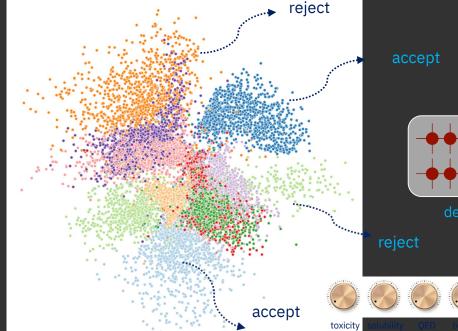


Molformer models learn latent space of

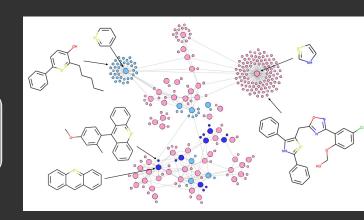
molecules that preserves important

structural information and learns

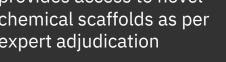
similarity between datapoints



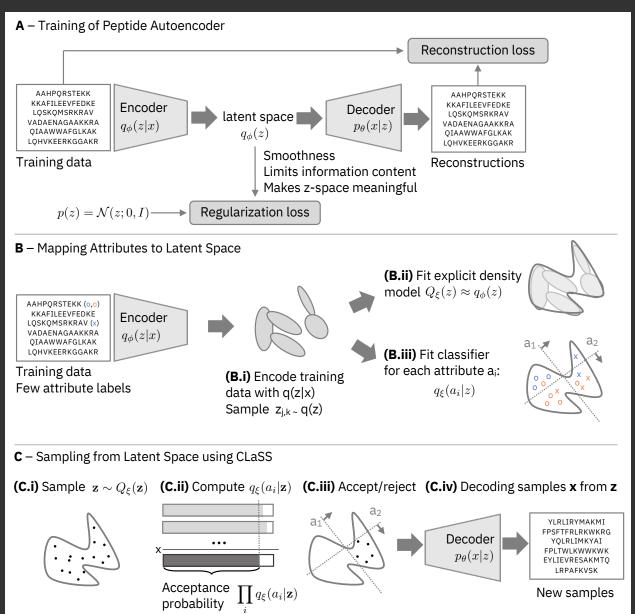
Highly-controlled sampling of the latent space



results in valid generations. provides access to novel chemical scaffolds as per expert adjudication



### Conditional Latent (attribute) Space Sampling -CLaSS



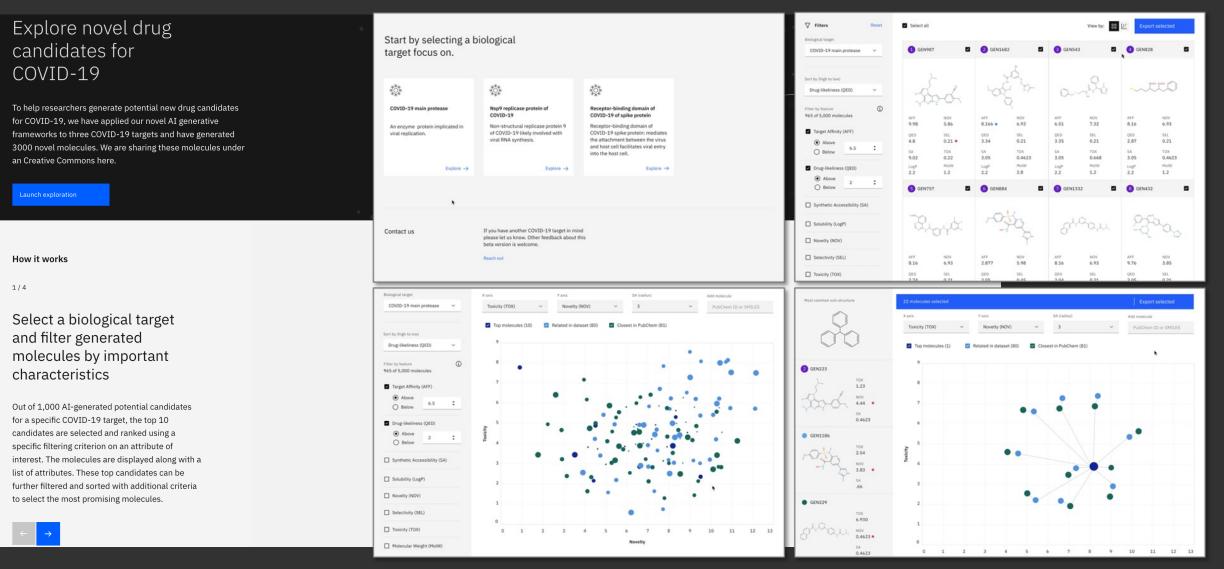
Adding Property Controls On A Generative Foundation Model

$$p(\mathbf{x}|\mathbf{a}) = \int \mathrm{d}z \, p(\mathbf{z}|\mathbf{a}) p(\mathbf{x}|\mathbf{z})$$
 $p(\mathbf{z}|\mathbf{a}) = \frac{p(\mathbf{a}|\mathbf{z})q_{\phi}(\mathbf{z})}{p(\mathbf{a})}$ 
 $= \frac{q_{\phi}(\mathbf{z})\prod_{i}p(a_{i}|\mathbf{z})}{p(\mathbf{a})}$ 

DEMONSTRATIONS

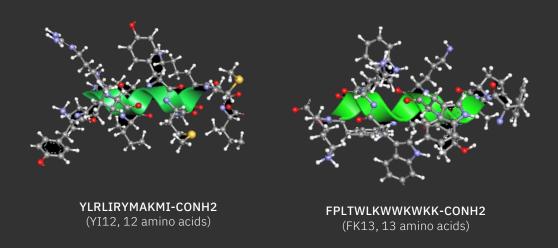
#### Generative AI for Molecular Generation (COVID-19)

#### https://covid19-mol.mybluemix.net/

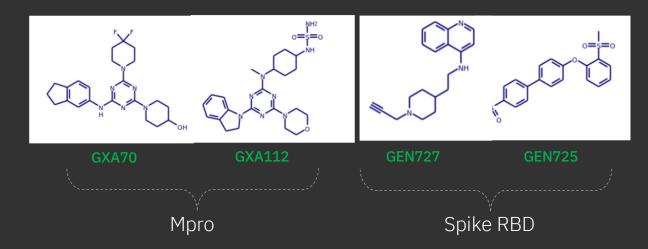


## Evaluation of our foundation models for chemistry and biology have resulted in groundbreaking molecular discovery

(A) Two novel AI-designed antimicrobials with high broad-spectrum potency, low toxicity, and low resistance onset, validated in wet lab.



(B) Four novel drug-like inhibitor molecules against two distinct SARS-CoV-2 targets, the main protease (Mpro) and the receptor binding domain (RBD) of the spike protein.



**4-6 weeks and 10-50% success rate** with generative AI, compared to 2-4 years and <1% success rate with existing methods.

IBM Research, Accelerating Antimicrobial Discovery with Controllable Deep Generative Models and Molecular Dynamics.

Nature Biomed. Eng., March 2021.

IBM Research, Accelerating Inhibitor Discovery for Multiple SARS-CoV-2 Targets with a Single, Sequence-Guided Deep Generative Framework.

(Under Review)

Emergent behavior of FMs due to data and neural scaling

## Emergent Behavior in Foundation Models : Case study – MoLFormer-XL

Dataset	BBBP	HIV	BACE	SIDER	Clintox	Tox21
10% ZINC + 10% PubChem	91.5	81.3	86.6	68.9	94.6	84.5
10% ZINC + 100% PubChem	92.2	79.2	86.3	69.0	94.7	84.5
100% ZINC	89.9	78.4	87.7	66.8	82.2	83.2
MoLFormer-Base	90.9	77.7	82.8	64.8	61.3	43.2
Molformer-XL	93.7	82.2	88.2	69.0	94.8	84.7

Dataset	QM9	QM8	ESOL	FreeSolv	Lipophilicity
10% Zinc + 10% Pub	1.7754	0.0108	0.3295	0.2221	0.5472
10% Zinc + 100% Pub	1.9093	0.0102	0.2775	0.2050	0.5331
100% Zinc	1.9403	0.0124	0.3023	0.2981	0.5440
MoLFormer-Base	2.2500	0.0111	0.2798	0.2596	0.6492
Molformer-XL	1.5984	0.0102	0.2787	0.2308	0.5298

### MoLFormer appears compatible to geometric GNNs or better

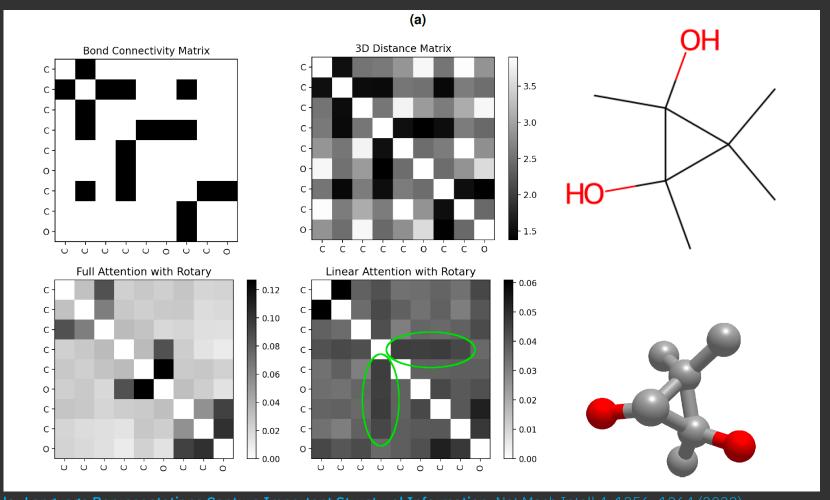
QM9 Task	SchNet <sup>41</sup>	DimeNet <sup>37</sup>	MoLFormer-XL
$U_0$ _atom	0.0140	0.0080	0.0827
U_atom	0.0190	0.0079	0.0974
H_atom	0.0140	0.0081	0.0947
G_atom	0.0140	0.0089	0.0888

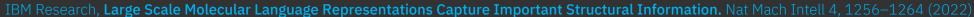
Task	DimeNet <sup>37</sup>	GeomGCL <sup>36</sup>	GEM <sup>38</sup>	MoLFormer-XL
ESOL (RMSE)	0.633	0.575	0.798	0.2787
FreeSolv (RMSE)	0.978	0.866	1.877	0.2308
Lipophilicity (RMSE)	0.614	0.541	0.660	0.5289

### Through lens of MoLFormer attention visualization – correlation with spatial distances

Distance-Category	Attention	1	3	5	7	9	11
Short	Full (√ Rotary)	0.615	0.604	0.603	0.615	0.601	0.598
	Linear (✓ Rotary)	0.596	0.597	0.602	0.597	0.600	0.594
Medium	Full (√ Rotary)	0.716	0.724	0.724	0.716	0.727	0.727
	Linear (✓ Rotary)	0.729	0.728	0.724	0.727	0.726	0.730
Long	Full (√ Rotary)	0.204	0.207	0.208	0.205	0.208	0.210
	Linear (✓ Rotary)	0.211	0.210	0.210	0.211	0.209	0.210

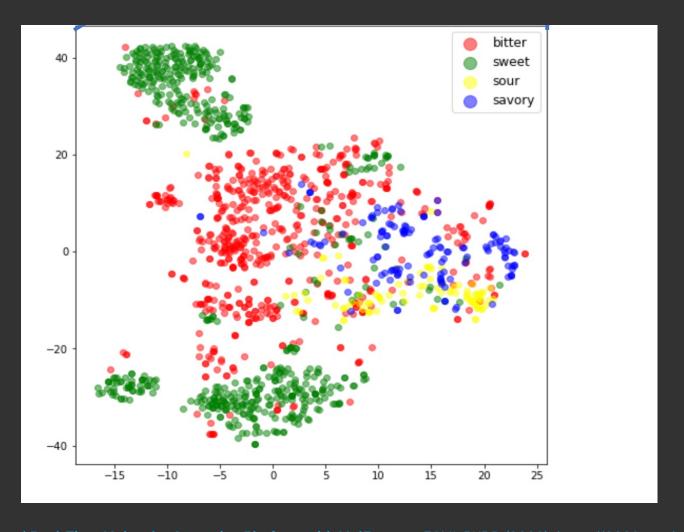
#### Molformer indeed captures sufficient structural information





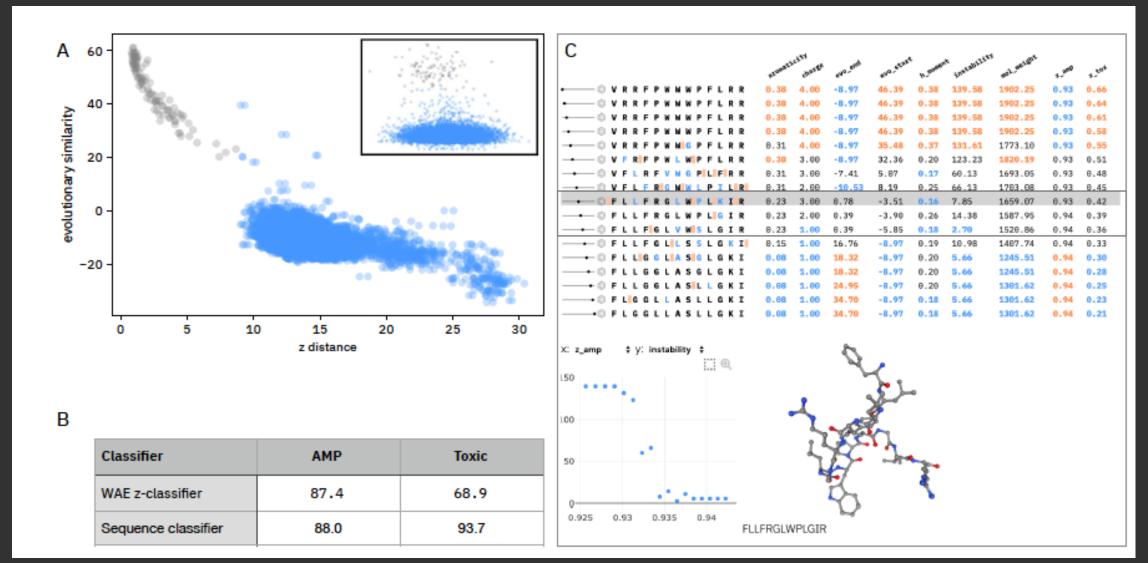


#### MoLFormer Learns molecular taste without labels

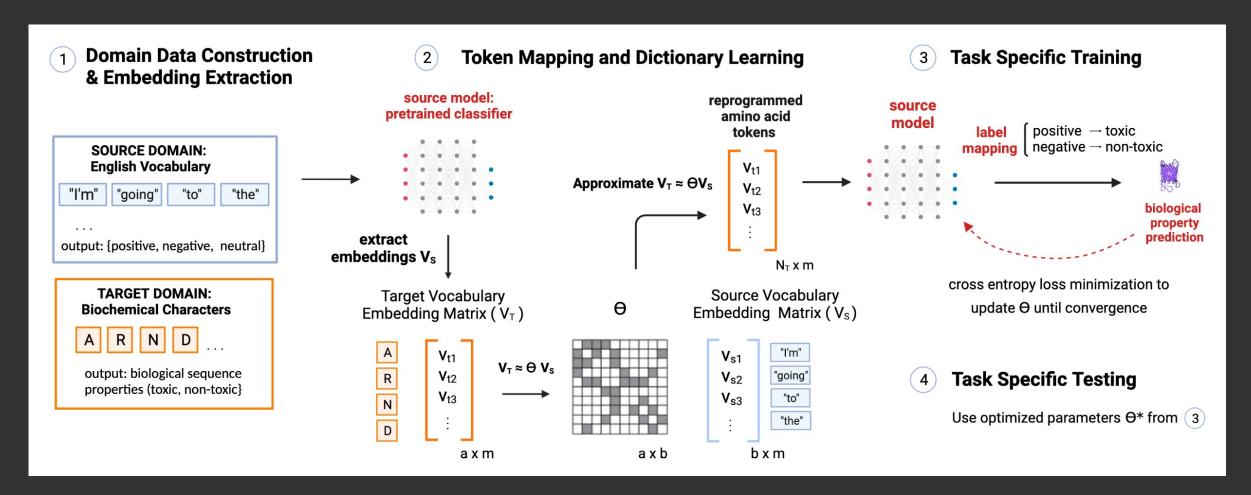




### Emergent Behavior in Foundation Models : Case study: Peptide Generative AE

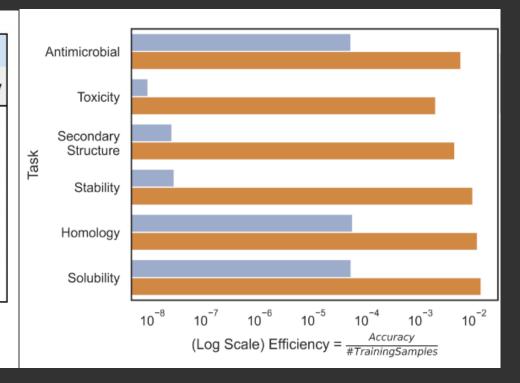


## Emergent behavior leads to domain-specific model reprogramming From natural language to biology

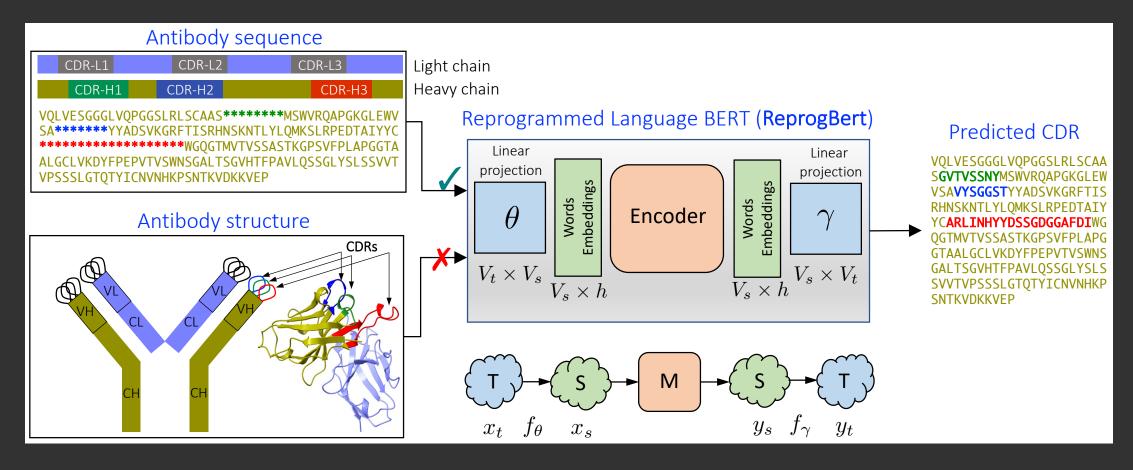


### Reprogrammed FMs are accurate, data-efficient, and robust

Protein		R2DL			Pretrainin	g	8	Supervised		
Downstream Task	Training Samples	Accuracy	Efficiency	Training Samples	Accuracy	Efficiency	Training Samples	Accuracy	Efficiency	
Secondary Structure	8678	0.841	9.70E-05	3.10E+07	0.801	2.58E-08	8678	0.623	7.18E-05	
Stability	21446	0.849	3.96E-05	3.10E+07	0.738	2.38E-08	21446	0.660	3.08E-05	
Homology	12312	0.241	1.96E-05	3.10E+07	0.265	8.56E-09	12312	0.245	1.99E-05	
Solubility	16253	0.943	5.80E-05	1.70E+06	0.872	5.13E-07	16253	0.856	5.27E-05	
Antibody Affinity	4000	0.9456	2.36E-04	-	-	-	4000	0.928	2.32E-04	
Antimicrobial	6489	0.900	1.39E-04	1.70E+06	0.883	5.19E-07	6489	0.874	1.35E-04	
Toxicity	8153	0.961	1.18E-04	1.70E+06	0.937	5.51E-07	8153	0.689	8.45E-05	



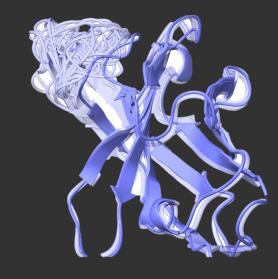
#### Efficient CDR design via sequence infilling with FM reprogramming



- We introduce additional amino acid embeddings (target domain), together with the linear matrices  $\theta$  and  $\gamma$  to project from one domain to another.
- During CDR infilling training, only the  $\theta$  and  $\gamma$  and protein embeddings are fine-tuned, the source English language model remains unmodified.

#### Performance on antibody heavy-chain CDR design

				S	abDab CDR-	Н3				
	PPL	PPL-ProGen	RMSD	RMSD-AF	RMSD-IF	TM-AF	TM-IF	AAR	AAR>30%	DIV
LSTM	9.20	2 <b>—</b> 2	_	-	_	_	_	_	_	_
AR-GNN	9.44	_	3.63	_	_	_	_	_	_	_
Refine-GNN	8.38	7.2	2.50	5.62	3.43	85.0	94.0	28.2	no	25.7
ProtBert	_	6.8	_	5.40	3.39	85.2	94.0	41.5	yes	14.5
EnglishBert	_	5.9	_	5.53	3.26	84.9	94.0	35.6	yes	59.8
ReprogBert	_	5.4	_	5.54	3.44	85.1	94.0	32.6	yes	67.4



Refine-GNN: Jin, et al, Iterative refinement graph neural network for antibody sequence-structure co-design. ICLR 2022

ReprogBert model upholds structural integrity, sequence recovery, and naturalness.

High novelty and diversity of the generated sequences are achieved.

Can handle multiple CDR infilling at once.

Generated antibodies also show antigen specificity and improved virus neutralization in silico

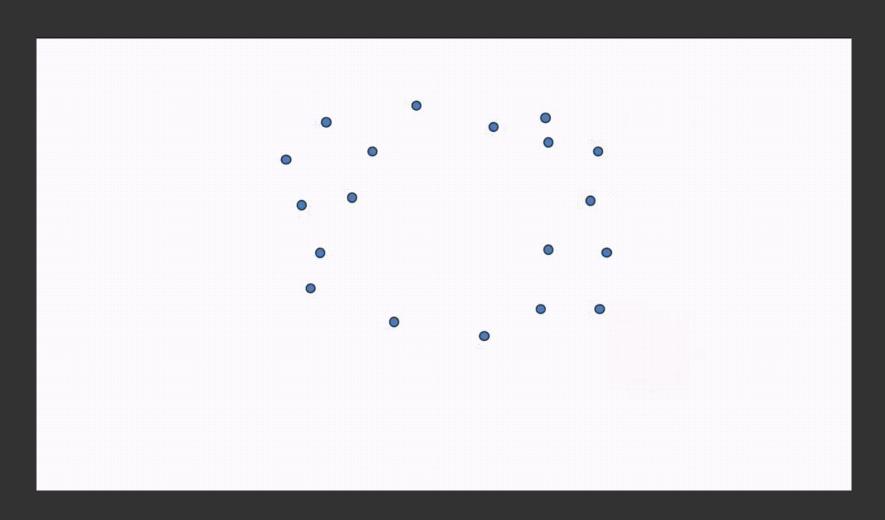
Lightweight training, while leveraging information from large out-of-domain language pretraining.

	Neutralization Sco								
Model	CoV-AbDab	CoV-AbDab + SabDab							
Original	_	69.3							
LSTM	_	72.0							
<b>AR-GNN</b>	_	70.4							
Refine-GNN	_	75.2							
<b>ProtBert</b>	72.7	74.7							
<b>EnglishBert</b>	70.5	71.0							
ReprogBert	75.6	76.7							

How can we explicitly include geometry in molecular FMs?

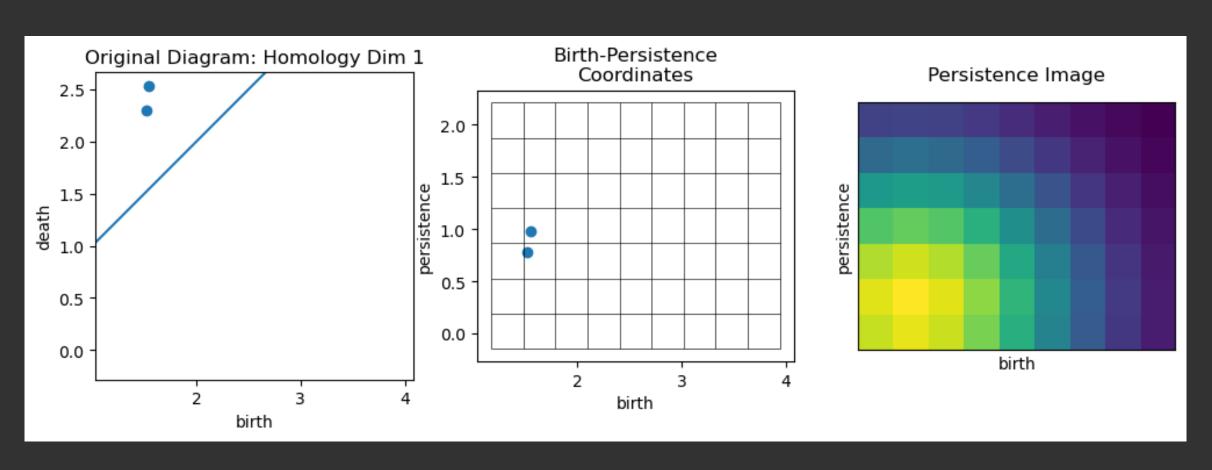
### Capturing molecular geometry with topological information

Background: Topological Data Analysis (TDA)

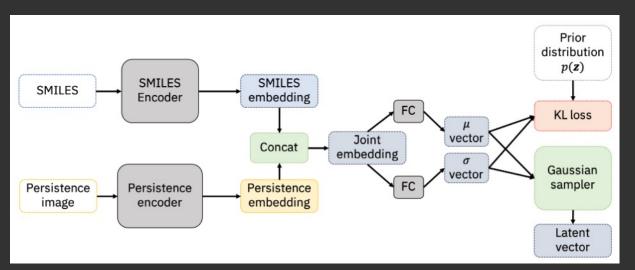


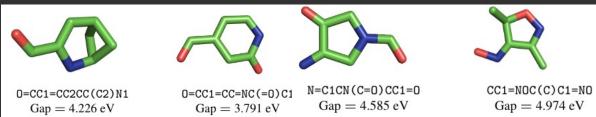
#### Augmenting molecular generative models with geometric (TDA) information

#### Background: Persistence images



### Augmenting molecular generative models with geometric (TDA) information

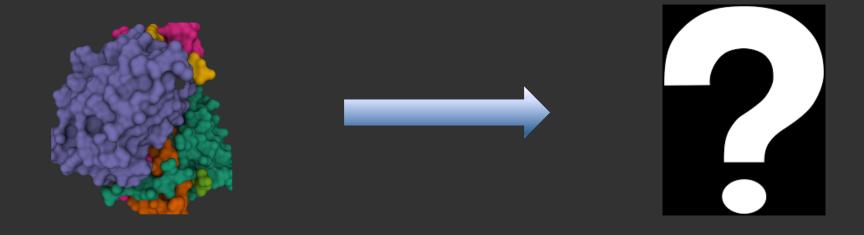




persistent homology information for robust modeling the global geometry -- invariant to translation, rotation, and node label permutation.

	QM9   SMILES	3D	3D + q   GVAE*	CGVAE <sup>†</sup>	MPGVAE*	MolGAN*	G-SchNet <sup>†</sup>
Validity	1.000   0.819	0.840	0.852   0.810	1.000	0.91	0.98	0.771
Ring size							
R3	0.470 0.479	0.462	0.470 0.560	0.430	0.552	0.385	0.623
R4	0.586 0.490	0.561	0.582 0.333	0.692	0.647	0.247	0.657
R5	0.495 0.409	0.482	0.483 0.218	0.902	0.526	0.325	0.430
R6	0.158 0.169	0.155	0.157 0.110	0.649	0.104	0.115	0.133
Sum	1.709   1.600	1.731	1.734   1.222	2.673	1.828	1.072	1.843
$\chi^2$	- 0.003	0.000	0.000 0.040	0.056	0.005	0.017	0.008

#### Inverse Folding



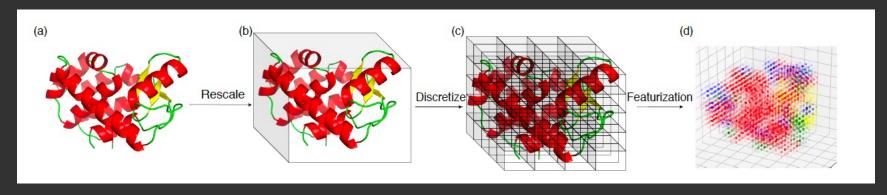
"How can we find "good" amino acid sequences (i) that fold to a desired "target" structure as a native conformation of lowest accessible free energy and (ii) that will not simultaneously fold to many other conformations of the same free energy?" Yue & Dill, PNAS 1992.

Physics-based models are expensive.

ML/DL models focus on high recovery with respect to input and does not handle conformational flexibility.

The goal is to sample diverse sequences --- overlooked in most ML studies.

#### 3D Geometry-Aware Diverse and Novel Protein Sequence Design



- (a) Consider a one hot-encoding  $t_j \in \{0,1\}^4$  of four types of secondary structures : 1. helix, 2. beta strands, 3. loop, 4. turn
- (b) Scale in/out the structure into a fixed size box with ratio  ${\bf r}$  .
- (c) Discretize the cubic space into 2Å×2Å×2Å voxels.
- (d) For each voxel i, we sum up the contributions from all residues as:

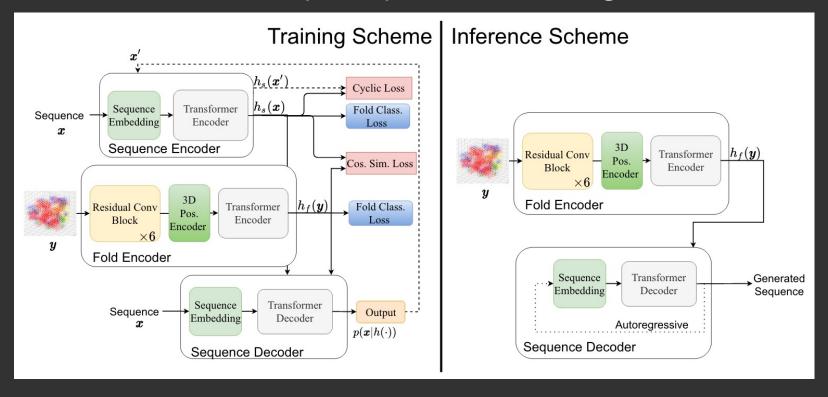
$$y_i = \sum_{j=1}^{N} \exp(-\frac{||c_j r - v_i||_2^2}{\sigma^2}) \cdot t_j$$

Where  $c_i$  is the coordinate of residue j, and  $v_i$  is the coordinate of the center of voxel i.



#### 3D Geometry-Aware Diverse and Novel Protein Sequence Design

Goal: Learn a joint sequence-fold embedding



Two Intra-modal losses: fold classification: FC<sub>f</sub> and FC<sub>s</sub>

Two reconstruction losses: fold2seq and seq2seq: RE<sub>f</sub> and RE<sub>s</sub>

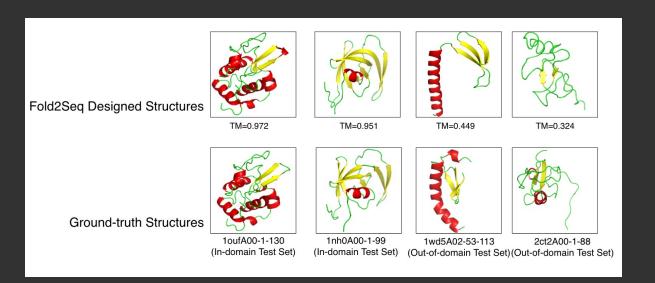
One Inter-modal loss: cosine similarity: CS

One cyclic sequence loss CY

Full loss objective:  $L = \lambda_1 RE_f + \lambda_2 RE_s + \lambda_3 FC_f + \lambda_4 FC_s + \lambda_5 (CY - CS)$ 

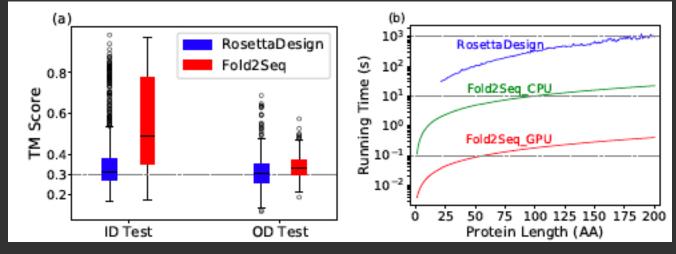


#### Fold2Seq Performance on Geometry-Aware Protein Sequence Design



Fold2Seq works in real-world settings – with inputs such as incomplete structure, low-resolution structure, or NMR structural ensemble.

Maintains fold consistency, while providing broad sequence diversity



	ID Test		OD Test		
Subset	$ \mathcal{S}_i  \leqslant 3$	$ \mathcal{S}_i  > 3$	$ \mathcal{S}_i  \leqslant 3$	$ \mathcal{S}_i  > 3$	
$\#cov_{\text{fold}}^{\text{f}}(i) > cov_{\text{fold}}^{\text{g}}(i)$	104	53	13	8	
Total #folds	118	78	18	10	
Ratio	0.88	0.68	0.72	0.80	

Ingraham, et al, Generative models for graph-based protein design. NeurIPS 2019.



#### Take Home

Large pre-trained models are emerging as a promising tool to be integrated in molecular prediction & design workflows.

While designing those models and methods, integration of domain knowledge and physics at each stage can help boost performance and efficiency.

Benchmarks and metrics are good for consistency and reproducibility, but we need to go beyond what currently exist and work with the community to create and validate new ones that are more realistic and relevant.

Emergent behavior with data and neural scaling – new paradigm of learning

Geometry can be implicitly and/or explicitly included in FMs efficiently with proper coarse-graining.



#### Acknowledgement

IBM Team: Aleksandra Mojsilovic, Pin-Yu Chen, Cicero Dos Santos, Enara Vijil, Tom Sercu, Inkit Padhi, Kahini Wadhawan, Flaviu Cipcigan, Jason Crain, Matteo Manica, Youssef Mroueh, Hendrik Strobelt, Brian Quanz, Ben Hoover, Kar Wai Lim, Karthik Shanmugam, Hamid Dadkhahi, Jesus M Rios, Igor Melnyk, Jim Hedrick, Yue Cao, Ria Vinod, Oscar Chang, Thanh Ngyuen, Joey Tatro, Eleni Litsa, Devleena Das, Amit Dhurandhar, Samuel Hoffman, Aurelie Lozano, Pierre Dognin, Brian Belgadore

Collaborators: Yoshua Bengio (MILA), Jian Tang (MILA), Giuseppe Romano (MIT), Lydia Kavraki (Rice U), John Dordick (RPI), Steven Johnson (MIT), S Subramanian (ANL), Yi-Yan Yang (A\*Star, Singapore), Sansom group (Oxford, UK), Walsh and Stuart (Diamond UK), Weinstein & Schwartz (Weill Cornell), Yang Shen (TAMU), Rongie Lai (RPI)



### Realizing the Value of Foundation Models

#### End to End Cloud Native and Customizable Stack

