

IPAM Tutorial: The Role of Materials and Microstructures in Electrochemical Energy Storage Part 2

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Any questions from last time?



The Role of Materials and Microstructures in Electrochemical Energy Storage

Lesson Plans

Part 1: Electrochemical Dynamics in Batteries

- How batteries work
- Different components of batteries
- Governing equation for electrochemical dynamics
- Key material properties & how they are measured/calculated

Part 2: Electrochemical Dynamics in Batteries

- How microstructure affects electrochemical dynamics
- Additional highlights from simulation results
- Examples of stochasticity

Hands-On Session (next Tuesday)

Where we left off: How are parameters measured?

- Open circuit voltage: Charge/discharge a battery, ideally with a reference electrode, VERY SLOWLY (~C/30).
- Diffusivity in the active materials: PITT (potentiostatic intermittent titration technique), GITT (galvanostatic intermittent titration technique), or EIS (electrochemical impedance spectroscopy) and fit. But different techniques often give contradictory values.
- Exchange current density: Potential sweep to obtain I-V curves to obtain a Tafel plot, and then extrapolate to the equilibrium potential. Challenge: not the entire electrode is active; the active area is not known.
- Conductivity: I-V curve on individual component.

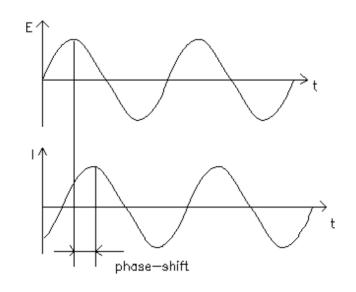
Various electrochemical characterization techniques

- Potential sweep
- EIS

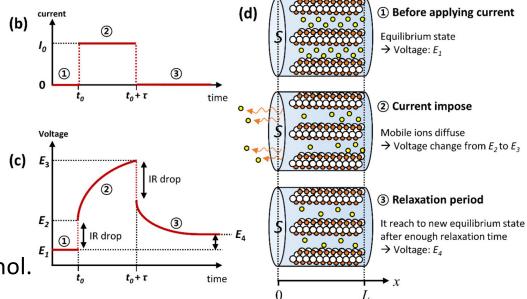
Ohm's Law: R=E/I

Generalized for AC: Z=E/I

https://www.gamry.com/applicationnotes/EIS/basics-of-electrochemical-impedancespectroscopy/



 PITT/GITT: step change in applied potential or current



J. Kim, et al. J. Electrochem. Sci. Technol. 2022;13(1):19-31

Where we left off: How are parameters measured?

- Open circuit voltage: Charge/discharge a battery, ideally with a reference electrode, VERY SLOWLY (~C/30).
- **Diffusivity in the active materials**: PITT (potentiostatic intermittent titration technique) or EIS (electrochemical impedance spectroscopy) and fit. But different techniques often give contradictory values.
- Exchange current density: Potential sweep to obtain I-V curves to obtain a Tafel plot, and then extrapolate to the equilibrium potential. Challenge: not the entire electrode is active; the active area is not known.
- Conductivity: I-V curve on individual component.
- But sometimes these quantities can be obtained via lower-scale (e.g., atomistic) simulations!

Example of multiscale model coupling

Thermodynamics: Open circuit voltage

Diffusion kinetics: Diffusivity or mobility

Electrochemistry: Reaction rate

Figure credit: Anton Van der Ven (UCSB)

Example of multiscale model coupling

Thermodynamics: Open circuit voltage Diffusion kinetics: Diffusivity or mobility

Electrochemistry: Reaction rate

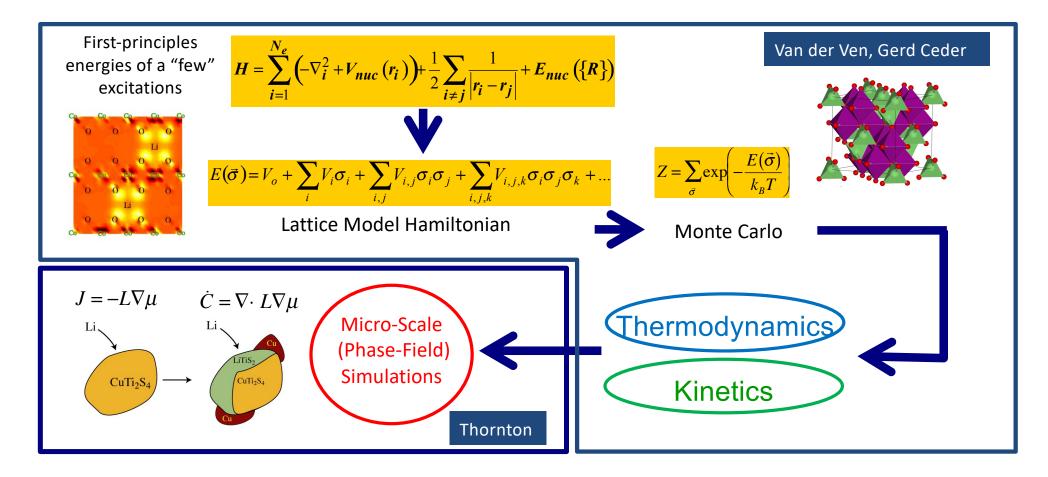


Figure credit: Anton Van der Ven (UCSB)

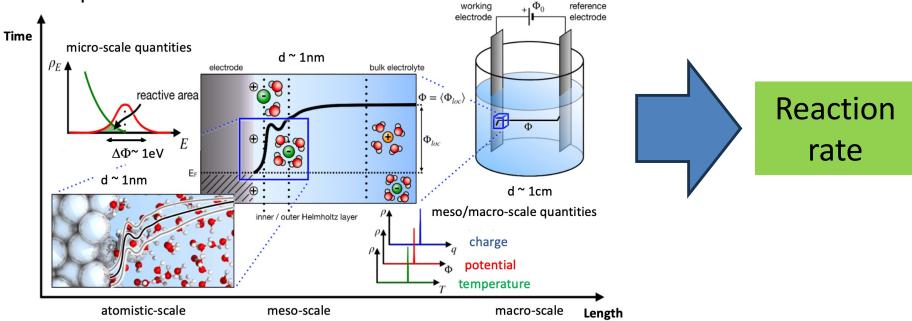
An IPAM focus & impact

Thermodynamics: Open circuit voltage Diffusion kinetics: Diffusivity or mobility

Electrochemistry: Reaction rate

From Jörg's talk

Revised picture



Realistic atomic scale simulations need to include stochastic fluctuations in charge, density, potential, etc.

Wippermann, Todorova, JN, Nature Review Chemistry (2025)

When all fails: Parameter optimization

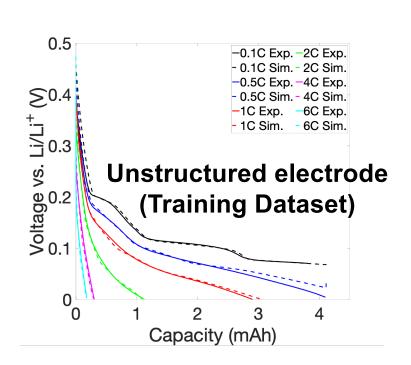
 Selected experiments + ML can be used to "determine" the likely value of missing/uncertain material parameters.

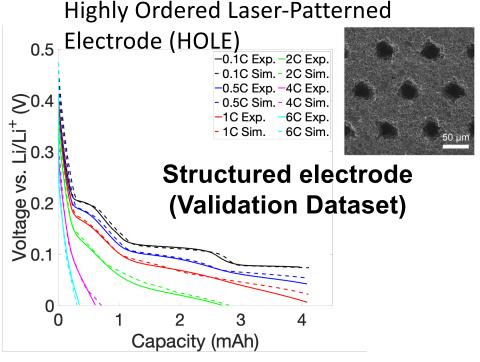
 $k_h(W/(m \cdot K))$ Example: Thermal profile of a sample 230 0.4 0.35 220 in a thermal gradient furnace 10 15 10 15 10 15 Start Iteration Iteration Iteration Iteration Assign each Repeat 2.5 $P_1(W)$ $P_2(W)$ $P_3(W)$ parameter 1.2 $d_{ps}(mm)$ with a range Update the range for each parameter 10 15 10 15 10 15 Latin Iteration Iteration Iteration Iteration hypercube sampling Heating Element P4(W) $P_5(W)$ Evaluate mean and standard deviation Sample powder Run simulations of each parameter 10 15 and based on 10% data Iteration Iteration calculate errors Heating Element **企** Wire No Plot histograms Principle Simulation based on Stopping component Experiment Yes criteria analysis (PCA) and parameters that vield to the lowest satisfied? local minimum 10% errors detection 400 Huang ... Thornton, Comp. Mat. Sci. (2021) 200 x position / mm

10

ML-based model parameterization for battery modeling

- For coarse-grained (device scale) models, there are several parameters that are difficult to determine
 - Intrinsic properties modified by (implicit) microstructure
- Three-electrode measurements can be used to parameterize the model via particle swarm optimization
- Excellent agreement across the range of rates examined





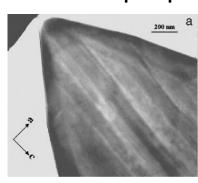
A quick review & question break

- Material properties needed for electrochemical dynamics modeling
- Parameterization via lower-scale modeling
 - DFT + Monte Carlo
 - Ab initio MD, etc. for interfacial phenomena
- Parameterization optimization (ML)
- Questions?

Up next: Microstructure effect (ex. LFP)

Role of microstructure: LiFePO₄ (LFP)

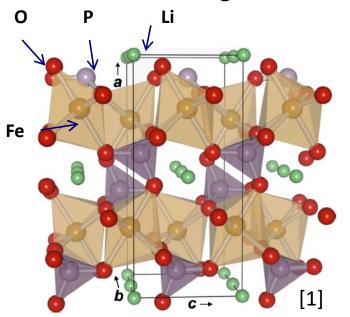
- Li intercalation compound
- FePO₄ is earth abundant (unlike cobalt)
- Considered not a promising candidate due to low electronic conductivity
- After nanosizing and coating with carbon, it was shown to be practical
- Olivine structure highly anisotropic properties



Two-phase reaction (Chen G, et al. 2006)

Highly anisotropic crystal

Li intercalation along b channels



Olivine structure

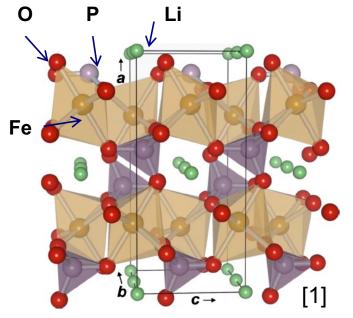
[1] T. Maxisch & G Ceder, *Phys Rev B* (2006)

- Anisotropic mobility
- Anisotropic interfacial energy
- Anisotropic mechanical properties
- Anisotropic electron conduction

Role of microstructure: LiFePO₄ (LFP) DFT+ MC

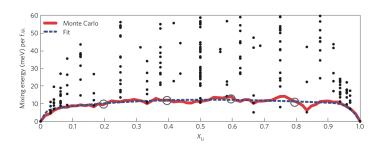
Highly anisotropic crystal

Li intercalation along b channels

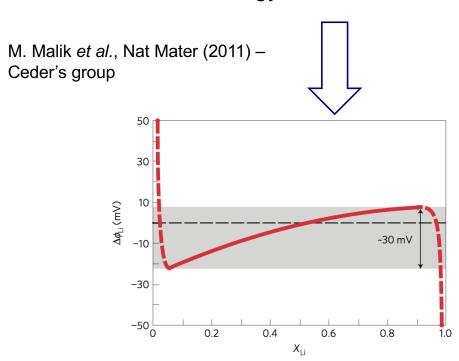


Olivine structure

- Anisotropic mobility
- Anisotropic interfacial energy
- Anisotropic mechanical properties
- Anisotropic electronic conductivity

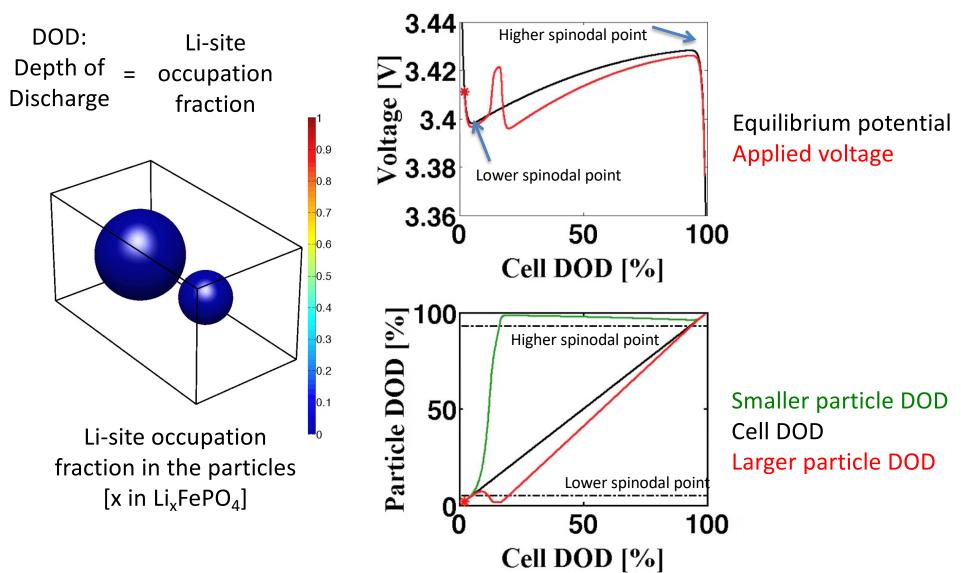


Free energy of LFP



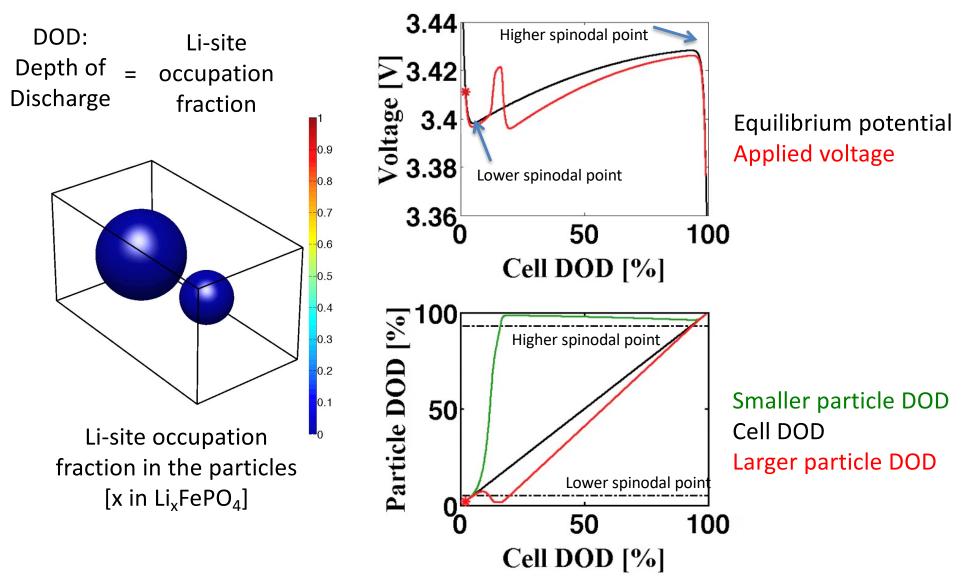
Nonmonotonic Li chemical potential amplifies the stochasticity!

Particles with different sizes: sequential transformation



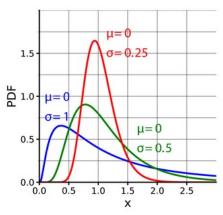
Orvananos ... Thornton, 2015, J. Electrochem. Soc. 162 A965.

Particles with different sizes: sequential transformation

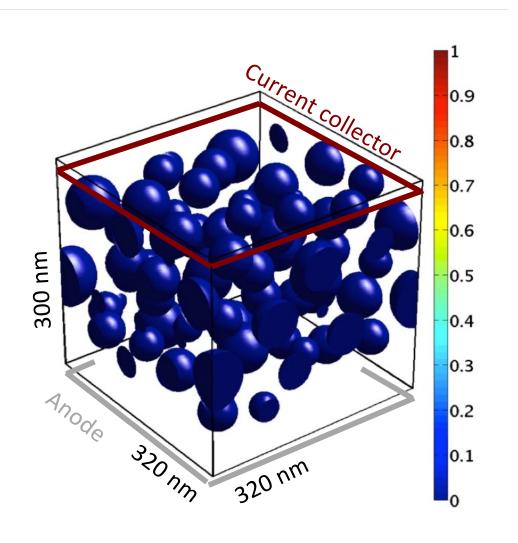


Insights gained applies for many particles

65 spherical particles with a log-normal size distribution, randomly positioned in a 300nm×320nm×320nm domain



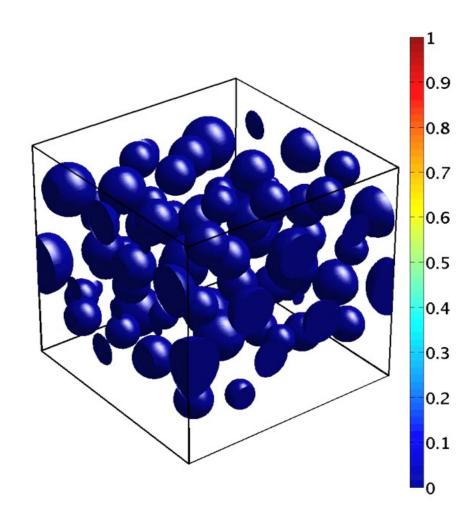
Log-normal distribution



Orvananos, B., ... Thornton, 2014, Electrochimica Acta, 137, 245.

Insights gained applies for many particles

- "Cell" discharged at C/11.1 rate
- Particles lithiate in groups
- Four sequential lithiation groups observed

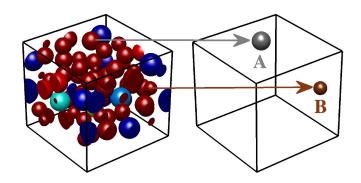


Li site fraction Li_xFePO₄

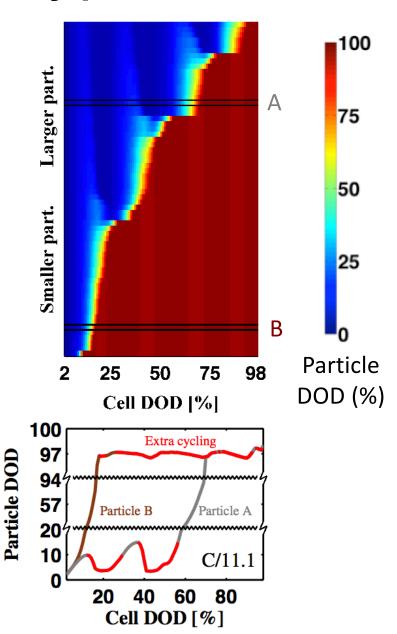
Orvananos, B., ... Thornton, 2014, Electrochimica Acta, 137, 245.

DOD of the particles ordered by particle size

- When the smaller particles undergo rapid lithiation, they extract lithium from the larger particles
- When the larger particles phase transform, they extract lithium from the smaller ones, but to a lesser degree

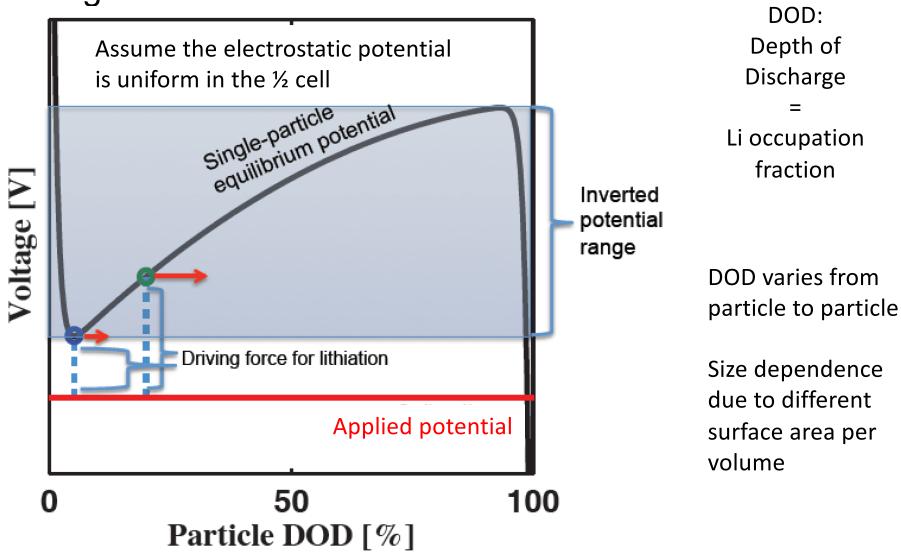


Orvananos, B., ... Thornton, 2014, Electrochimica Acta, 137, 245.



What's the mechanism behind this behavior?

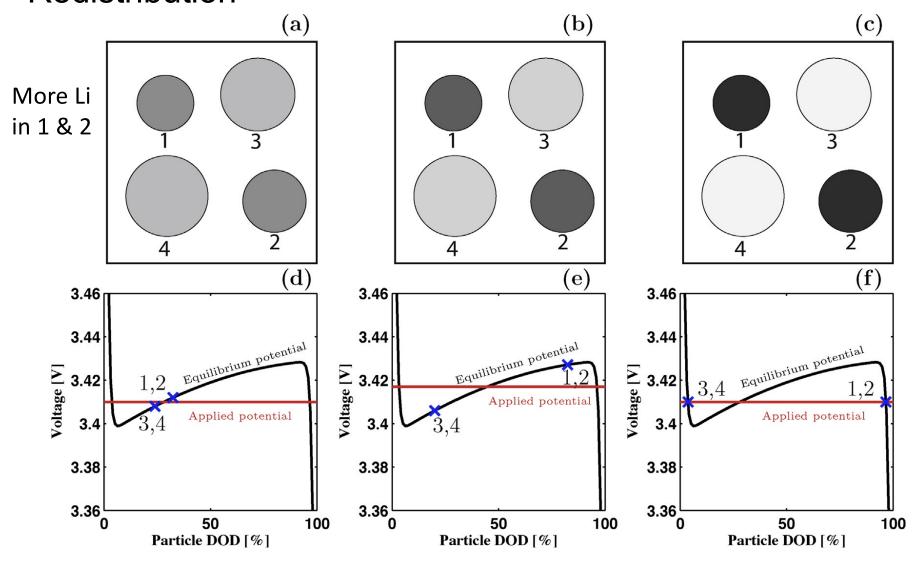
Driving force for lithiation



What's the mechanism behind this behavior?

Redistribution

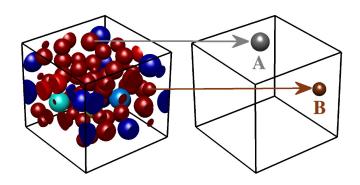
Orvananos, B., ... Thornton, 2014, Electrochimica Acta, 137, 245.



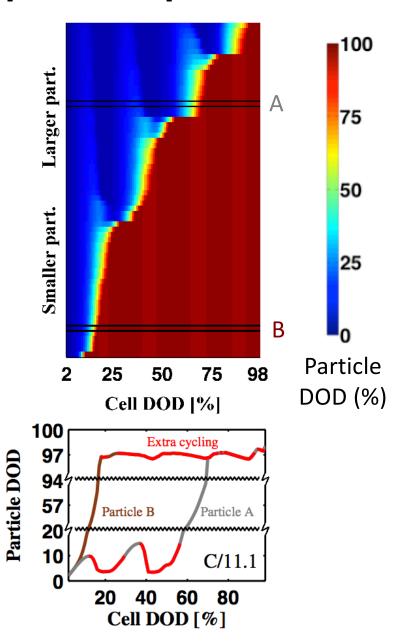
(Li occupation fraction)

Consequence of interpaticle phase separation

- When the smaller particles undergo rapid lithiation, they extract lithium from the larger particles
- When the larger particles phase transform, they extract lithium from the smaller ones, but to a lesser degree

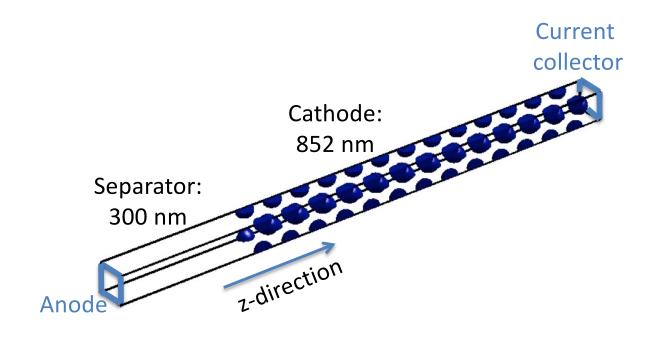


Orvananos, B., ... Thornton, 2014, Electrochimica Acta, 137, 245.

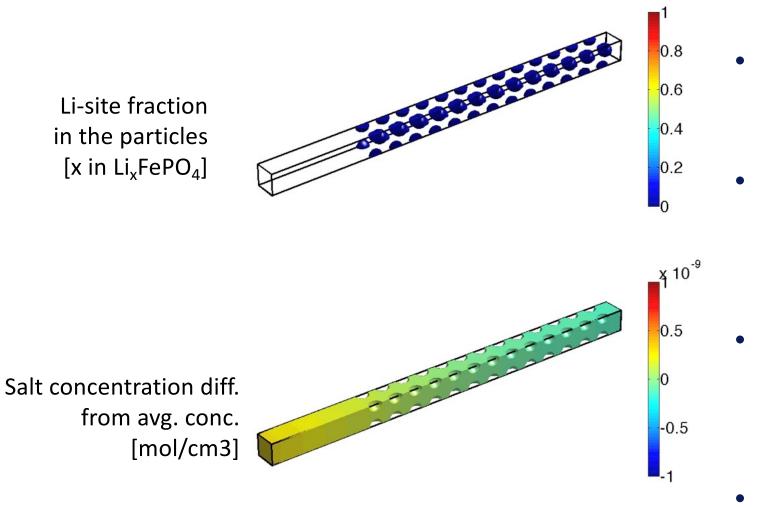


How about the potential gradient over the cell?

- 26 particles with a 40 nm diameter in BCC arrangement
- Periodic boundary conditions in x-z and y-z planes
- Minimum distance between particles: 15.43 nm



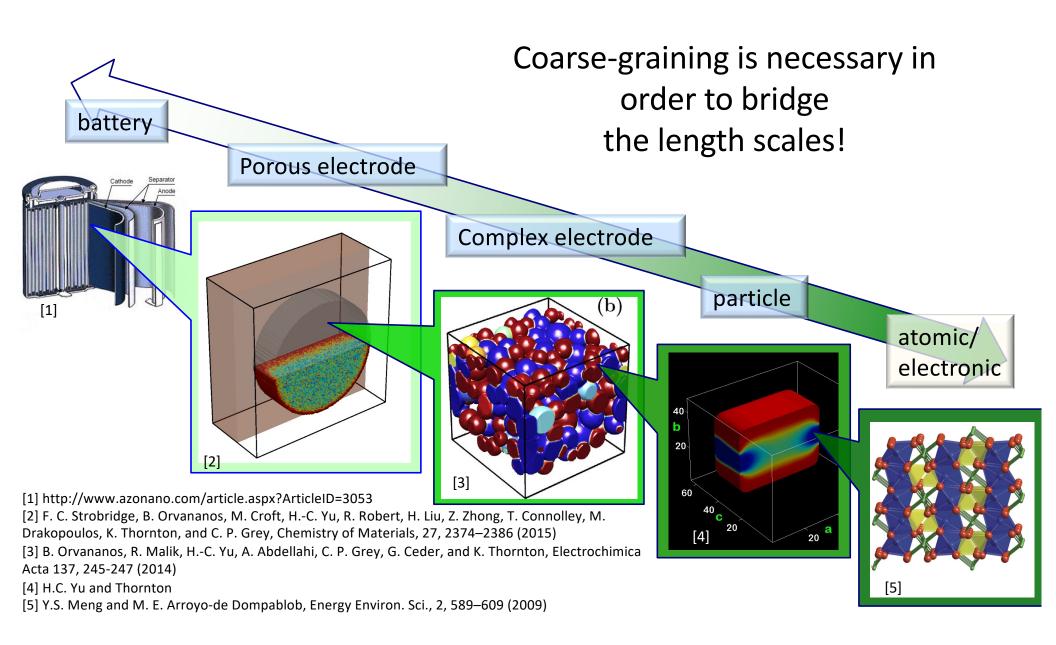
Discharge (Li intercalation) at C/12 Rate



- Initial uniform concentration buildup
- Followed by a rapid lithiation closer to the separator
- The reaction front moves in an intermittent manner
- ~5 waves

Orvananos, ... Thornton, J. Electrochem. Soc., 161, A535-A546 (2014)

Electrochemical Simulations at Multiple Scales



Approach: Coarse-Graining

- 1. Develop tools for accurate prediction with direct simulation
- Can be used to develop understanding of the effect of microstructures
- Complex phenomena such as anisotropy can be captured

3. Application to electrode/cell performance simulations

- 2. Develop accurate mean-field description
- Examples
 - Effective properties based on the geometric features of the complex microstructures
 - Tortuosity expression
 - Dimensionless parameter that correlates with performance

Coarse-grained governing equations – Parameters "accounting for" microstructures

Mass transport in the active material:

$$\frac{\partial c_{p,\psi}}{\partial t} = \frac{1}{r^2} \frac{\partial}{\partial r} \left(D_{p,\psi} r^2 \frac{\partial c_{p,\psi}}{\partial r} \right)$$

Mass transport in the electrolyte:

$$\varepsilon_{\psi} \frac{\partial c_{e,\psi}}{\partial t} = \nabla \cdot \left(\mathbf{D}_{eff,\psi} \nabla c_{e,\psi} \right) + \left(1 - t_{+,\psi}^{0} \right) a_{\psi}^{p} J_{\psi} - \frac{i_{e,\psi} \cdot \nabla t_{+,\psi}^{0}}{F}$$

Electrostatic potential of electrolyte:

$$-\nabla \cdot \left(\frac{\kappa_{eff,\psi}}{F} \nabla \phi_{e,\psi} + \frac{2RT}{F} \frac{\kappa_{eff,\psi}}{F} \left(1 + \frac{\partial \ln(f_{\pm,\psi})}{\partial \ln(c_{e,\psi})} \right) \left(1 - t_{+,\psi}^0 \right) \nabla \ln c_{e,\psi} \right) = a_{\psi}^p F J_{\psi}$$

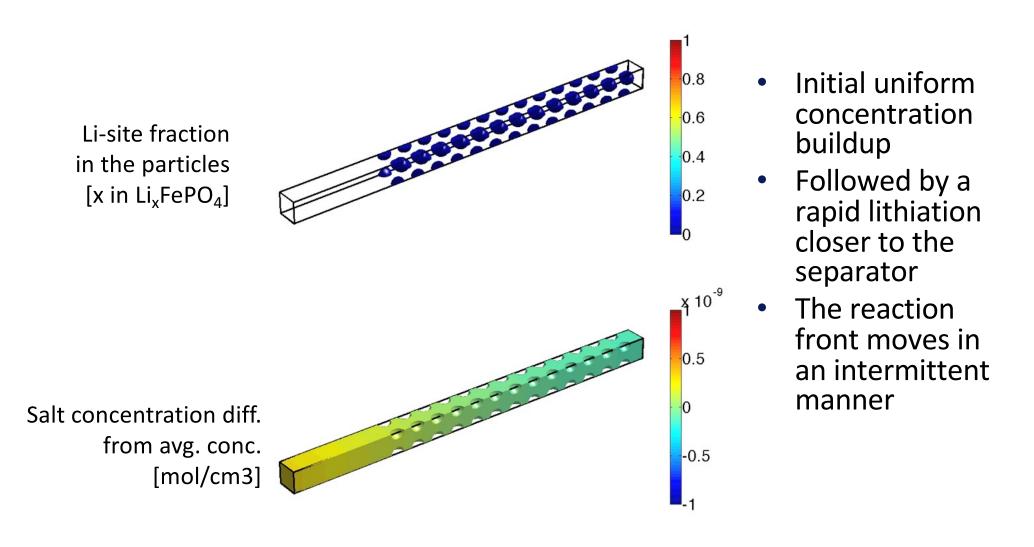
Electrostatic potential of solid:

$$\nabla \cdot \left(\sigma_{eff,\psi} \nabla \phi_{sp,\psi} \right) = a_{\psi}^{p} F J_{\psi}$$

Electrochemical reaction:

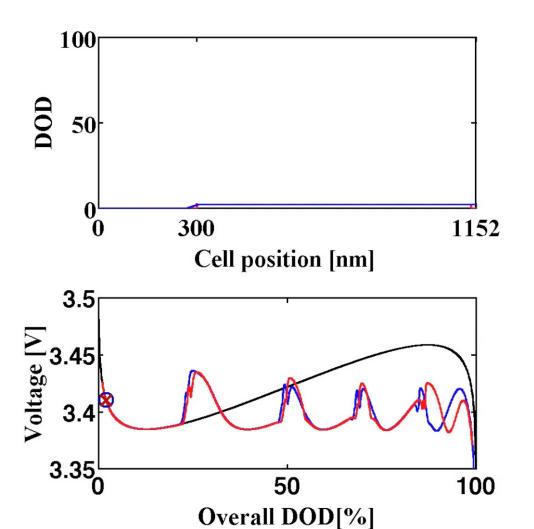
$$J_{\psi} = k_{\psi} \left(c_{p,\psi}^{max} - c_{p,\psi}^{surf} \right)^{1/2} \left(c_{p,\psi}^{surf} \right)^{1/2} \left(\frac{c_{e,\psi}}{c_{e,ref}} \right)^{1/2} \left(\exp\left(\frac{0.5F}{RT} \eta_{\psi} \right) - \exp\left(\frac{-0.5F}{RT} \eta_{\psi} \right) \right)$$

Discharge (Li intercalation) at C/12 Rate



Orvananos, ... Thornton, J. Electrochem. Soc., 161, A535-A546 (2014)

Comparison to porous electrode simulations



Red curve: Particlelevel simulation Blue curve: Porous electrode simulation

Black curve: Single particle equilibrium potential

Particle size distribution not included

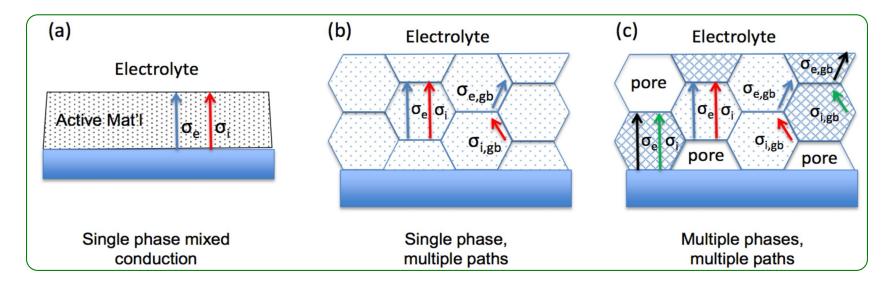
A quick review & question break

- Intro to LFP
 - Highly anisotropic
 - Tendency for phase separation
- Interpaticle phase separation
 - Due to their size distribution
 - Due to spatial position (electrostatic potential difference)
- Questions?

Up next: Microstructure effect on transport

Transport in Electrode: Mesoscale to Microscale

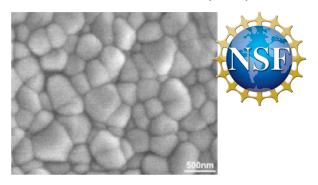
Focus: To examine the impact of mesoscale transport on macroscale performance of battery electrodes



- Establish the relationship between morphological features and effective transport behavior.
- Elucidate the impact of tortuous grain boundary transport in electrode structures.
- Develop design rules for transport of ions and electrons in complex electrode structures (multiple phases and paths) to enable synthesis of electrode particles and fabrication of electrodes with optimal performance.

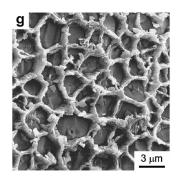
Enhanced/Hindered Grain Boundary Diffusion

Solid Oxide Fuel Cells Yttria-Stabilized Zirconia (YSZ)



J. Obare, et al. Scr. Mater. 2013, 68, 111

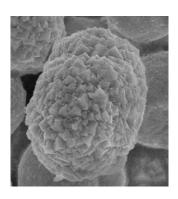
Solid State Battery Electrolyte Li6.25Al0.25La3Zr2O12 (LLZO)



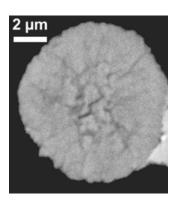
E.J. Cheng, et al. Electrochem. Acta 2017, 223, 85

Battery Cathode Particles Nickel Mangenese Cobalt oxide (NMC)

Nickel Mangenese Cobalt oxide (NMC Nickel Cobalt Aluminum oxide (NCA)



Y. Fang, et al. *J. Alloys Compd.* **2018**, 743, 707



Courtesy of Ping-Chun Tsai, Bohua Wen, Yet-Ming Chiang MIT

Other applications:

- Impurities in solar cells (e.g., CdTe)
- Structural materials (change in GB characteristics







Approaches

- 1. Develop tools for accurate prediction with direct simulation
- Can be used to develop understanding of the effect of microstructures on transport.
- Complex cases such as orientation-dependence can be captured.
- 3. Application to electrode/cell performance simulations

- 2. Develop accurate meanfield description
- An expression capable of universally predicting the transport behavior based on the geometric features of the complex microstructures.
- Enable better prediction of the transport behavior in microstructures with varying degrees of anisotropy without the use of computationally intensive simulations.

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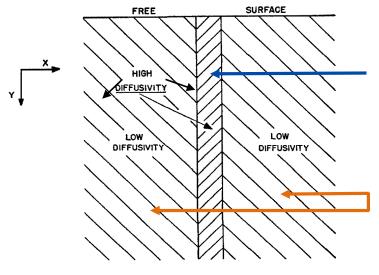
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Modeling GB Diffusion: Sharp Interface Description



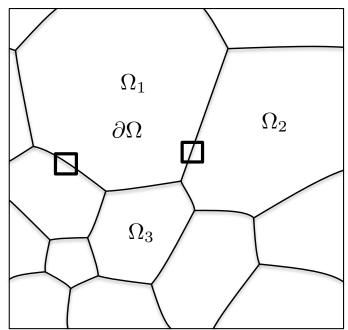
Grain Boundary Diffusion (1D)

$$\frac{\partial C}{\partial t} = D_{gb} \left(\frac{\partial^2 C}{\partial y^2} \right) + \left(\frac{2}{\xi} \right) D_b \left(\frac{\partial C}{\partial x} \right)_0$$

Bulk Diffusion

$$\frac{\partial C}{\partial t} = D_b \nabla^2 C$$

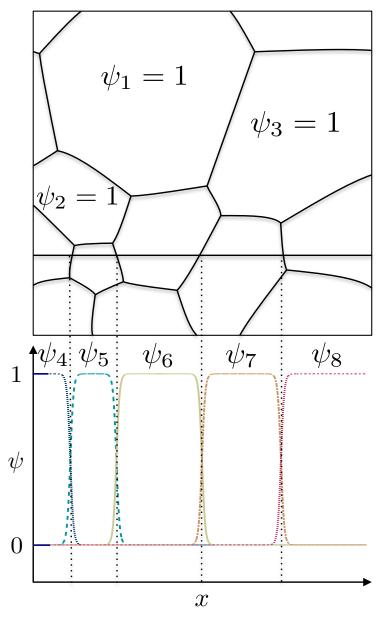
J. C. Fisher, J. Appl. Phys. 22, 74 (1951).



Grain Boundary Diffusion (2D/3D)

- The bulk of the domain (i.e. grains) are defined by Ω
- The domain boundaries (i.e. grain boundaries) are denoted by $\partial\Omega$, where the interface has zero thickness
- Meshing can become cumbersome

Modeling GB Diffusion: Diffuse Interface Description



- A continuous domain parameter $\psi(x)$ is used to distinguish the different grains and boundaries
- \blacksquare The bulk of the grains are defined in regions which $\psi=1$
- The domain of one grain smoothly transitions from zero to one at the boundary so that $0 < \psi < 1$
- Easily extendable to 3D

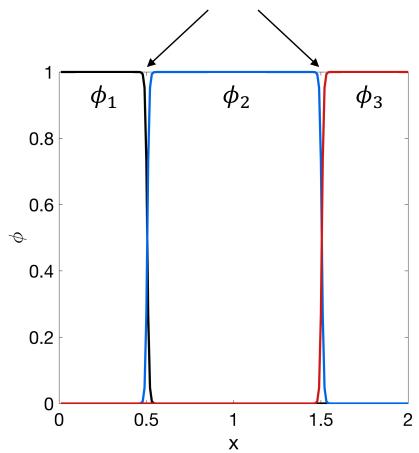
SBM Coupled Bulk and Boundary Diffusion:

$$\frac{\partial C}{\partial t} = \frac{1}{1 + \frac{\lambda_g}{2} \sum_{q=1}^{Q} |\nabla \psi_q|} \left[\nabla \cdot D_b \nabla C - \frac{1}{2} \left(\sum_{q=1}^{Q} |\nabla \psi_q| \lambda_g D_g \nabla_{\Gamma}^2 C \right) \right]$$

SBM converges to the conventional equations ✓

Hindered Grain Boundary Diffusion

Grain Boundaries



Degree of hindrance κ :

Interface thickness δ :

 D_{bulk} : Bulk diffusivity

Grain boundary diffusivity D_{gb} :

Sharp Interface

$$\frac{\partial C}{\partial t} = D_{bulk} \frac{\partial^2 C}{\partial x^2}$$

$$J_{gb} = -\frac{1}{\kappa} \Delta C_{gb}$$

Diffuse Interface (SBM)

$$\frac{\partial C_q}{\partial t} = D_{bulk} \frac{1}{\phi_q} \frac{\partial}{\partial x} \phi_q \frac{\partial C_q}{\partial x} + \frac{1}{\phi_q} \left| \frac{\partial \phi_q}{\partial x} \right| \left[-\frac{1}{\kappa} \Delta C_{gb} \right]$$

$$\Delta C_{gb} = C_q - \frac{\sum_{i \neq q}^Q \phi_i C_i}{\sum_{i \neq q}^Q \phi_i}$$

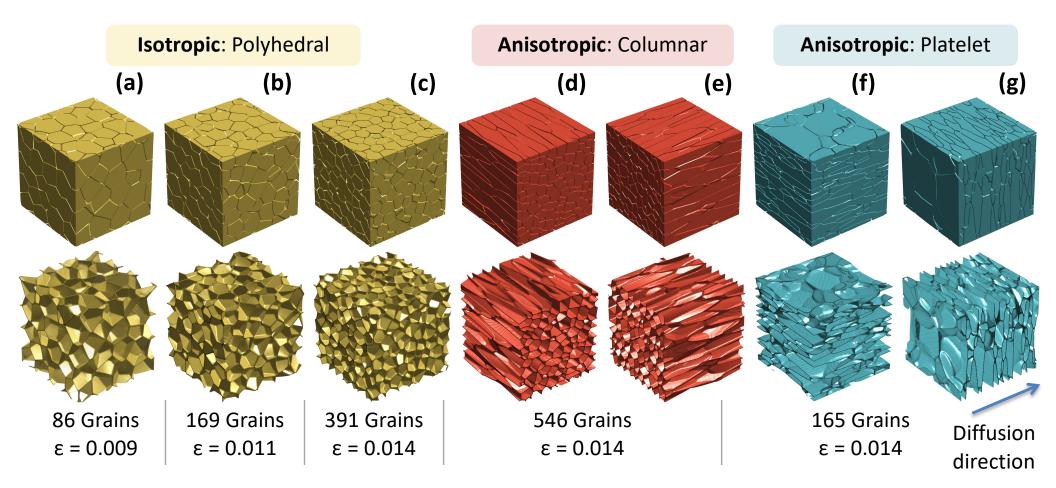
$$\Delta C_{gb} = C_q - \frac{\sum_{i \neq q}^{Q} \phi_i C_i}{\sum_{i \neq q}^{Q} \phi_i}$$

SBM Term

$$\kappa = \delta \left(\frac{1}{D_{gb}} - \frac{1}{D_{bulk}} \right)$$

Polycrystalline Microstructures

Varying Degrees of Anisotropy in Grain Morphologies



Renderings, using DREAM.3D, of the bulk and grain boundary network of (a-g) various microstructures with isotropic (polyhedral grains; yellow) and anisotropic grain morphologies (columnar and platelet grains; red and teal). The grain boundary volume fraction is denoted as ε .

DREAM.3D: http://dream3d.bluequartz.net

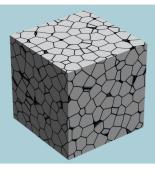
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Effective Diffusivities

$$D_{eff}^{Flux} = -J_{avg} \frac{L}{\Delta C}$$



Calculated from steady-state solutions from polycrystalline simulations.

$$D_{eff}^{Hart} = D_g \varepsilon + D_b (1 - \varepsilon)$$

Hart's prediction of effective diffusivity assumes uniformly oriented grains parallel to main diffusion direction.

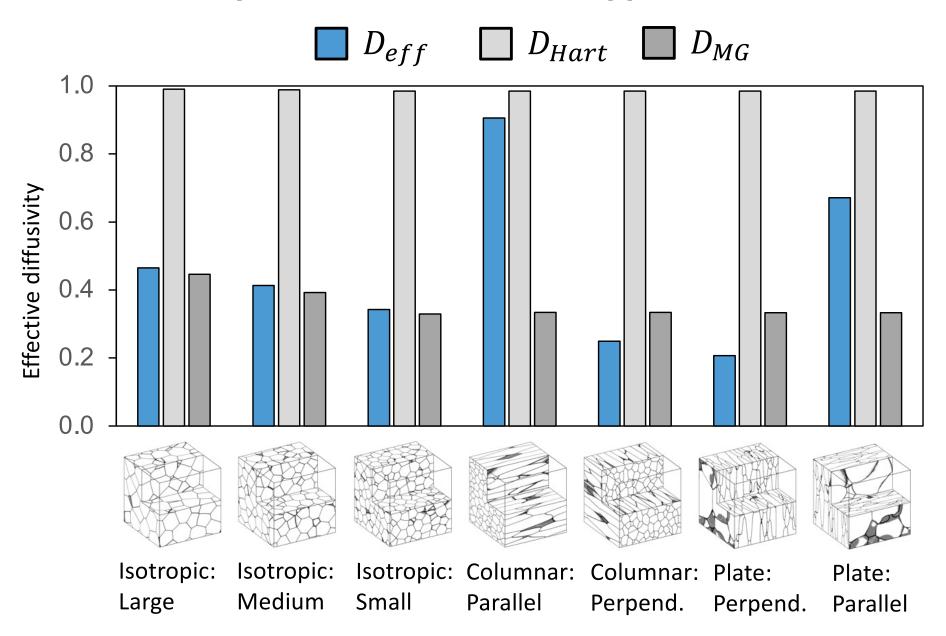
Hart, Acta Metallurgica, 5, 597 (1957)

$$D_{eff}^{MG} = \frac{D_g \left((3 - 2\varepsilon)D_b + 2\varepsilon D_g \right)}{\varepsilon D_b + (3 - \varepsilon)D_g}$$

Maxwell Garnett's prediction of effective diffusivity assumes isotropic spherical grains.

Garnett, Philos. Trans. R. Soc. 205, 397 (1905); Jamnik, et al. Phys. Chem. Chem. Phys. 8, 1310 (2006)

Hindered GB Diffusion: Comparisons to Mean Field Approximations



Predicting Transport Behavior from Geometric Features of the Microstructure

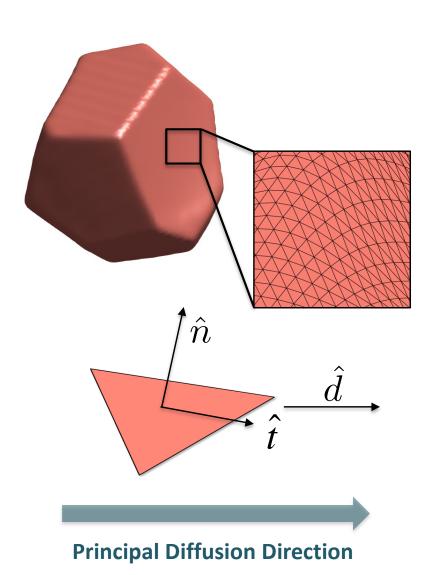
$$f_{act} = \frac{\sum_{i} A_{i} (\hat{t}_{i} \cdot \hat{d})^{2}}{\sum_{i} A_{i}}$$

Fraction of active area

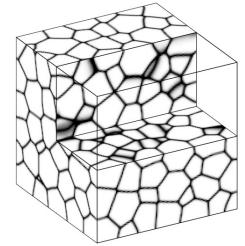
The grain boundary network can be projected in the main diffusion direction to yield the active fraction of the grain boundary network that enhances transport.

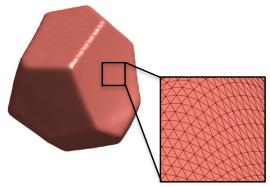
$$\begin{split} \boldsymbol{\varepsilon}_{act} &= \boldsymbol{\varepsilon} \boldsymbol{f}_{act} \\ D_{e\!f\!f}^{proj} &= D_g \boldsymbol{\varepsilon}_{act} + D_b (1 - \boldsymbol{\varepsilon}_{act}) \end{split}$$

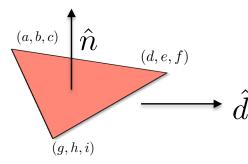
Hart's original equation can be rewritten to account for the active grain boundary fraction.



Coarse-Grained Effective Diffusivity (Enhanced & Hindered)







$$f_{\perp} = \frac{\sum_{i} A_{i} (\hat{n}_{i} \cdot \hat{d})^{2}}{\sum_{i} A_{i}}$$

$$f_{\parallel} = 1 - f_{\perp}$$

"Fraction of area" perpendicular to diffusion direction

$$D_{\parallel} = \frac{f_{\parallel} \varepsilon D_{gb} + (1 - \varepsilon) D_{bulk}}{f_{\parallel} \varepsilon + (1 - \varepsilon)}$$

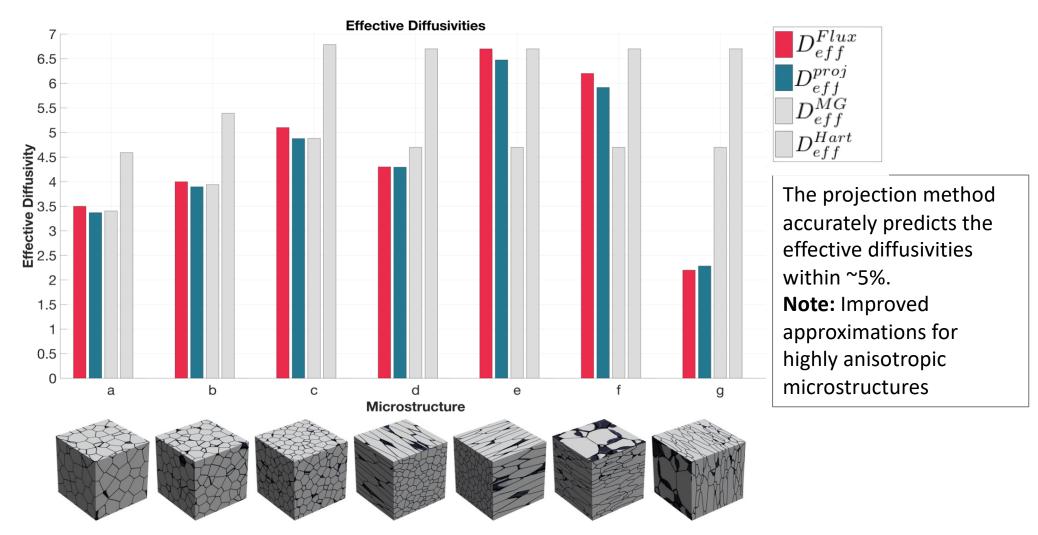
Parallel contribution

$$D_{proj} = \frac{1}{\frac{f_{\perp} \varepsilon}{D_{gb}} + \frac{f_{\parallel} \varepsilon + (1 - \varepsilon)}{D_{\parallel}}}$$

Harmonic mean of hindering and parallel contributions

 V_{ab} : grain boundary volume fraction

Enhanced GB Diffusion: Comparisons



Effective diffusivities calculated from **Simulations**, **Grain Boundary Projection**, **Maxwell-Garnett**, and **Hart** predictions, respectively. This illustrates the shortcomings of classical mean field approaches, which inadequately capture the complexity of microstructures.

Extending the Model: Diffusivity Tensors

$$f_{act} = \frac{\sum_{i} A_{i} (\hat{t}_{i} \cdot \hat{d})^{2}}{\sum_{i} A_{i}} \qquad \vec{P}_{i} = \vec{I} - \hat{n} \otimes \hat{n} = \begin{bmatrix} 1 - n_{1}n_{1} & -n_{1}n_{2} & -n_{1}n_{3} \\ -n_{2}n_{1} & 1 - n_{2}n_{2} & -n_{2}n_{3} \\ -n_{3}n_{1} & -n_{3}n_{2} & 1 - n_{3}n_{3} \end{bmatrix}_{i}$$
Secometric factor corresponds to

Geometric factor corresponds to diagonal term of projection matrix P

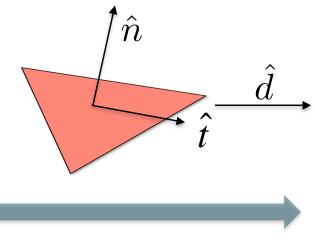
$$\vec{f}_{act} = \frac{\sum_{i} A_{i} \vec{P}_{i}}{\sum_{i} A_{i}}$$

$$\vec{\varepsilon}_{act} = \varepsilon \vec{f}_{act}$$

$$\vec{D}_{\rm eff}^{\rm proj} = D_{\rm g} \vec{\varepsilon}_{\rm act} + D_{\rm b} (\vec{I} - \vec{\varepsilon}_{\rm act})$$

(For enhanced GB diffusion)

Using the entire projection matrix, we can predict the entire diffusivity tensor



Principal Diffusion Direction

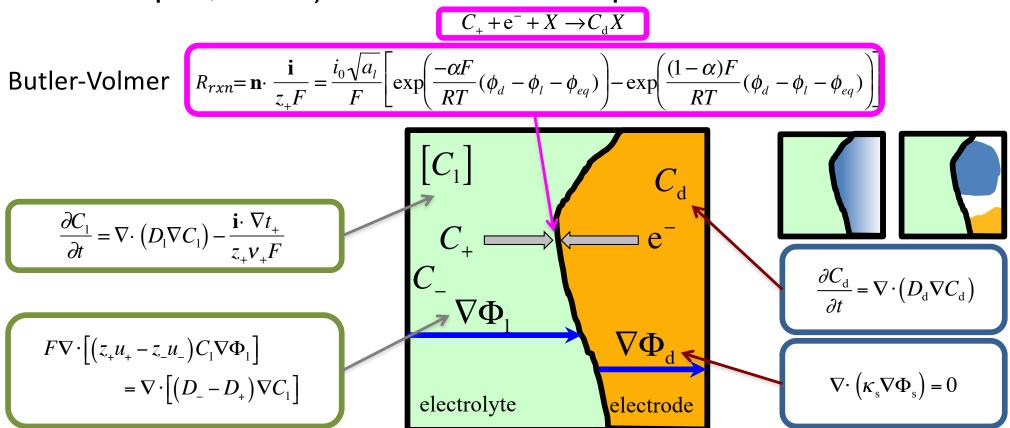
A quick review & question break

- General approach
- Transport in polycrystalline materials
 - Sharp interface model for simple geometries
 - Diffuse interface model for complex morphologies (SBM)
 - Comparisons
- Projection method
- Questions?

Up next: Final thoughts

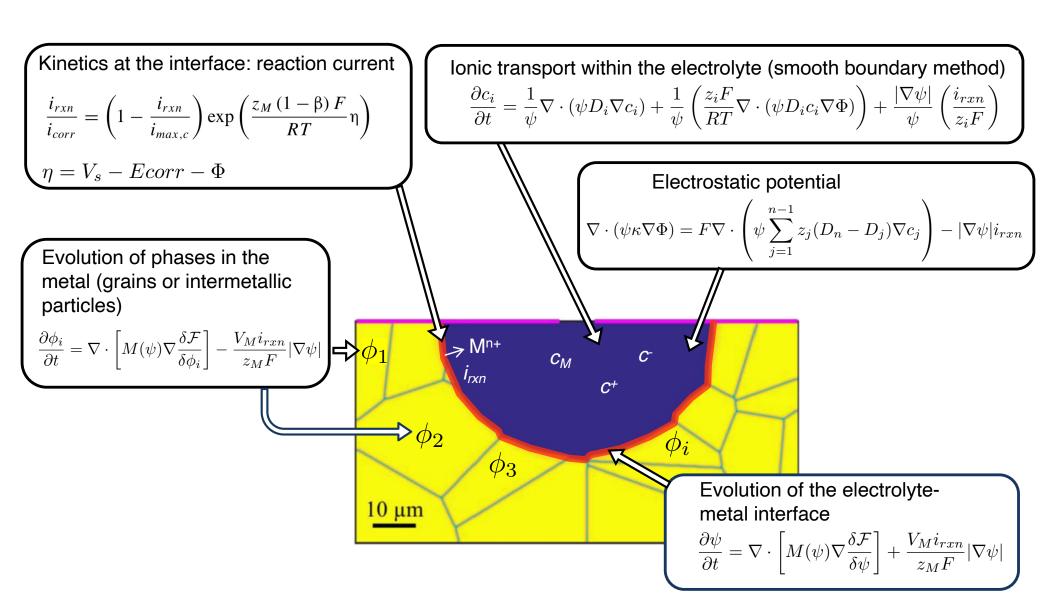
Example: an electrochemical dynamics model of an electrode (part of a ½ cell)

• **Five equations:** 1) diffusion in solid, 2) transport in liquid, 3) current continuity in solid, 4) current continuity in liquid, and 5) reaction at solid-liquid interface



John Newman and Karen E. Thomas-Alyea. Electrochemical Systems. Wiley Inter-Science, third edition, 2004.

Phase Field Model for Localized Corrosion



[1] A. Chadwick, J. Stewart, R. A. Enrique, S. Du, and K. Thornton, J. Electrochem. Soc., 165 (10) C633 (2018).

An IPAM focus & impact

Thermodynamics: Open circuit voltage Diffusion kinetics: Diffusivity or mobility

Electrochemistry: Reaction rate

atomistic-scale

From Jörg's talk Revised picture reference Time micro-scale quantities d ~ 1nm electrode bulk electrolyte $\Phi = \langle \Phi_{loc} \rangle$ reactive area Reaction rate **ΔФ~ 1eV** d ~ 1cm meso/macro-scale quantities inner / outer Helmholtz layer charge potential

Realistic atomic scale simulations need to include stochastic fluctuations in charge, density, potential, etc.

meso-scale

Wippermann, Todorova, JN, Nature Review Chemistry (2025)

temperature

macro-scale

Summary

- It is important to connect models at different length scales!
- Electrochemistry can amplify the stochastic nature of microstructures
- Transport properties depend strongly on the microstructure
- Accurate electrochemical dynamics simulations require either explicit or implicit account of the microstructure and properties of the individual components
- The governing equations and concepts are similar for other electrochemical phenomena, including corrosion