

Exhausting the background approach for bounding the heat flux in Rayleigh Benard convection

Zijing Ding (Harbin Inst. of Tech., China)

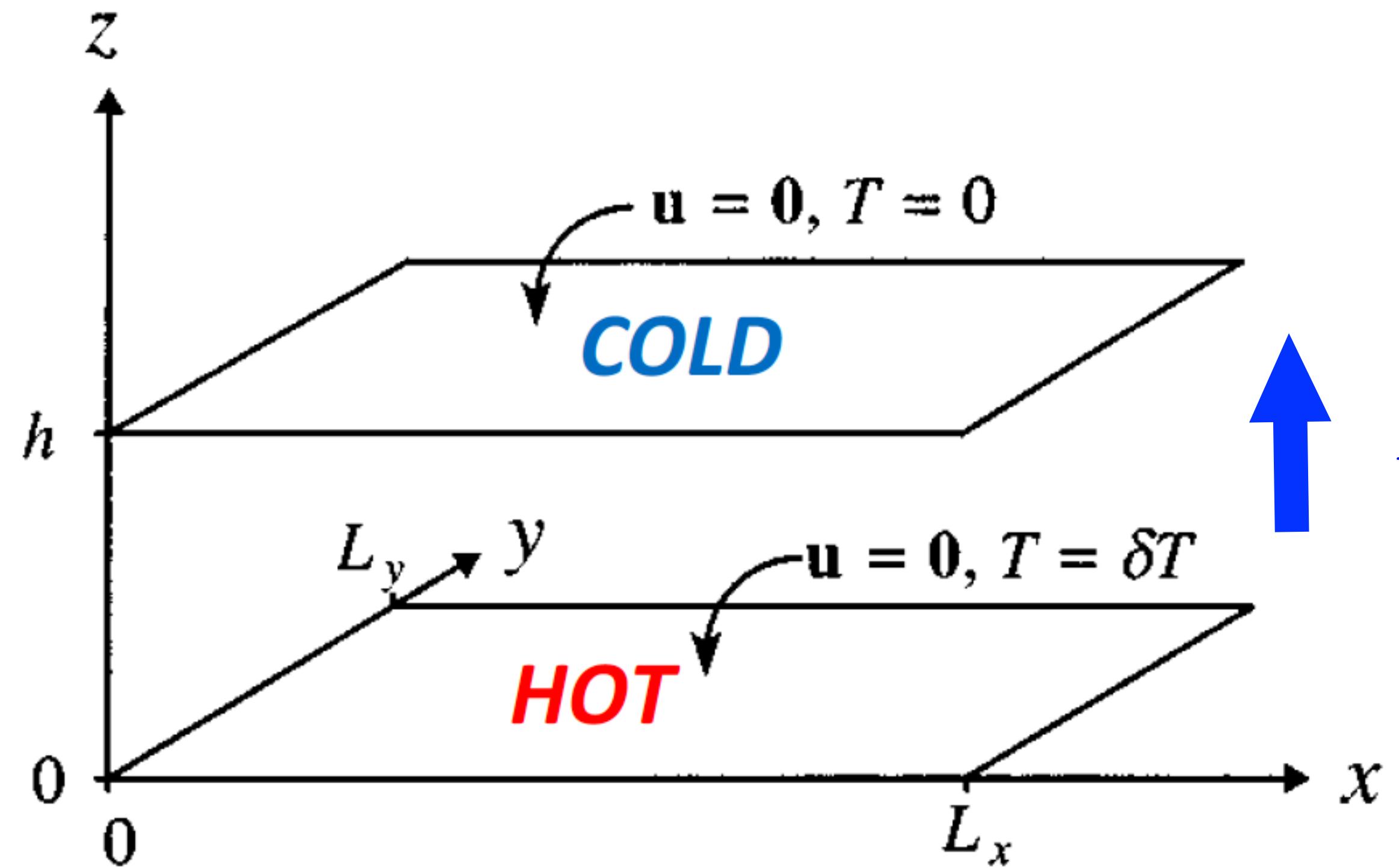
&

Rich Kerswell (Cambridge Univ., UK)

Rayleigh-Benard Convection

$$Ra = \delta T g \beta h^3 / \nu \kappa$$

$$\sigma = \nu / \kappa$$

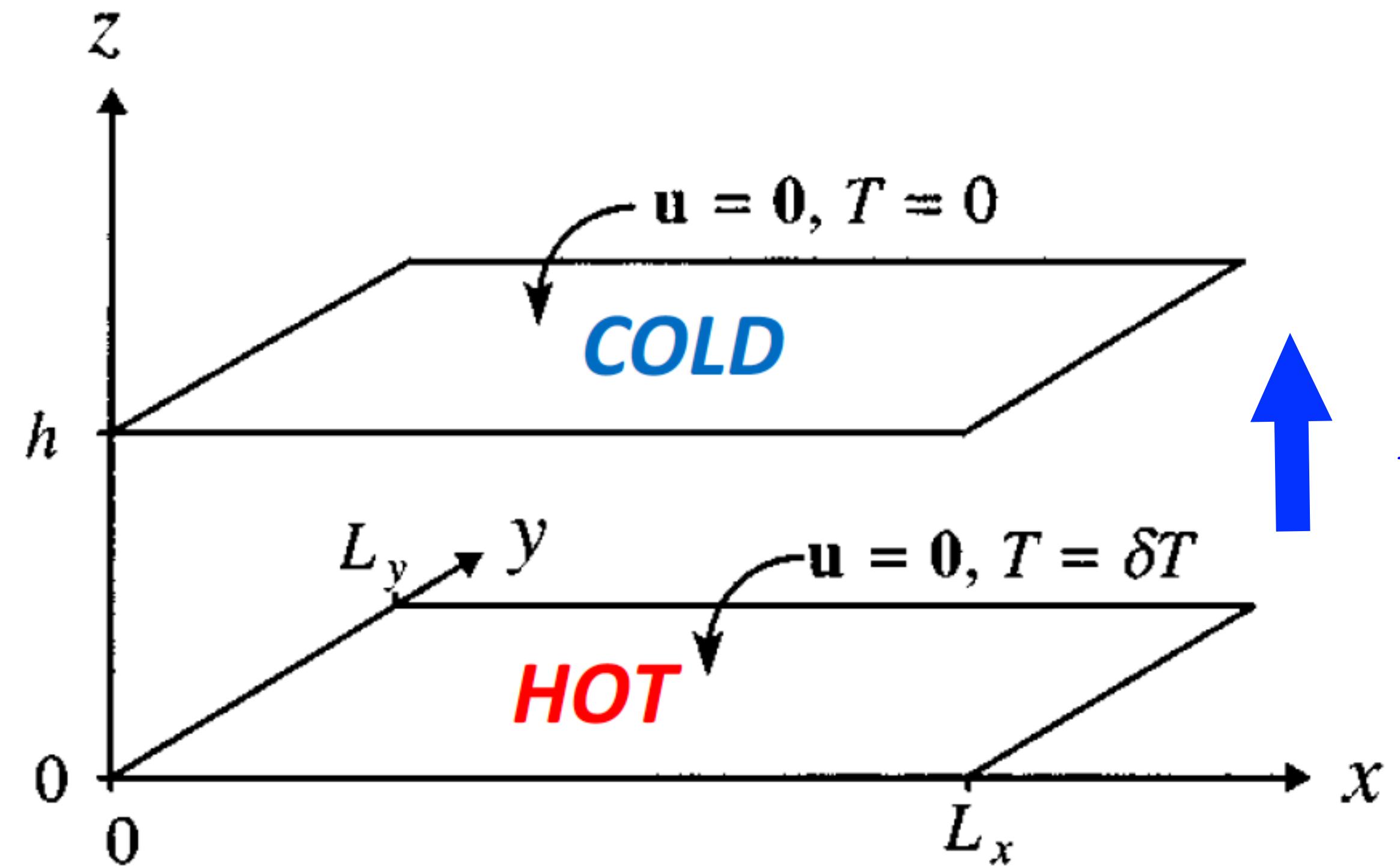


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$$Nu(Ra, \sigma) := \frac{\text{total heat flux}}{\text{conductive heat flux}}$$
$$\approx \underline{\text{const}} \times Ra^\alpha$$

as $Ra \rightarrow \infty$

Ultimate

$$Nu \sim Ra^{1/2}$$

Kraichnan (1962), Speigel (1963)

Ahlers et al. (2017), He et al. (2012)

Upper bounding: Malkus (1954), Howard (1963), Busse (1969) - *classical*

Doering & Constantin (1996) - *background method*

Classical

$$Nu \sim Ra^{1/3}$$

Malkus (1954), Priestley (1954), Howard (1964)

Iyer et al. (2020)

Waleffe et al. (2015)

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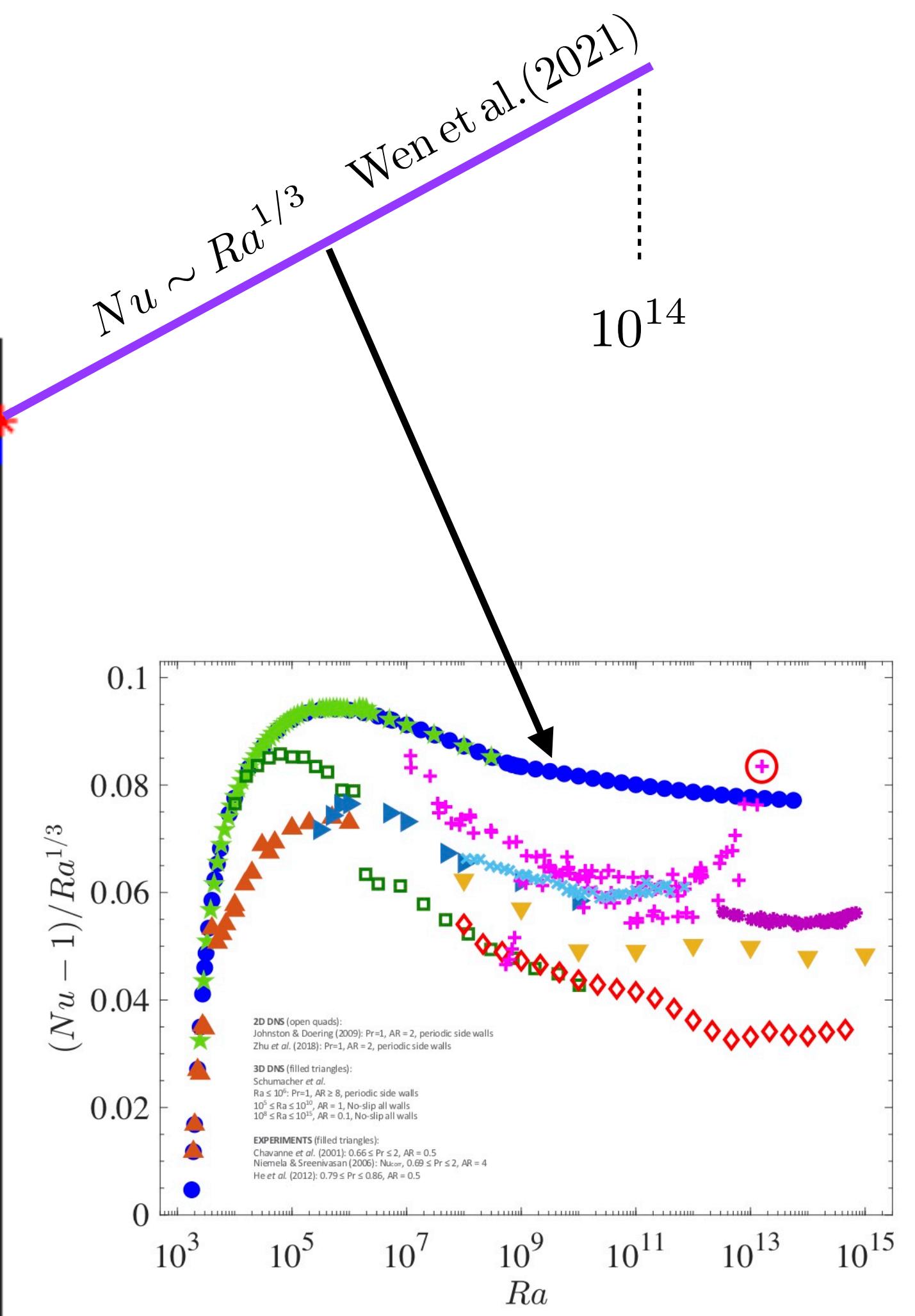
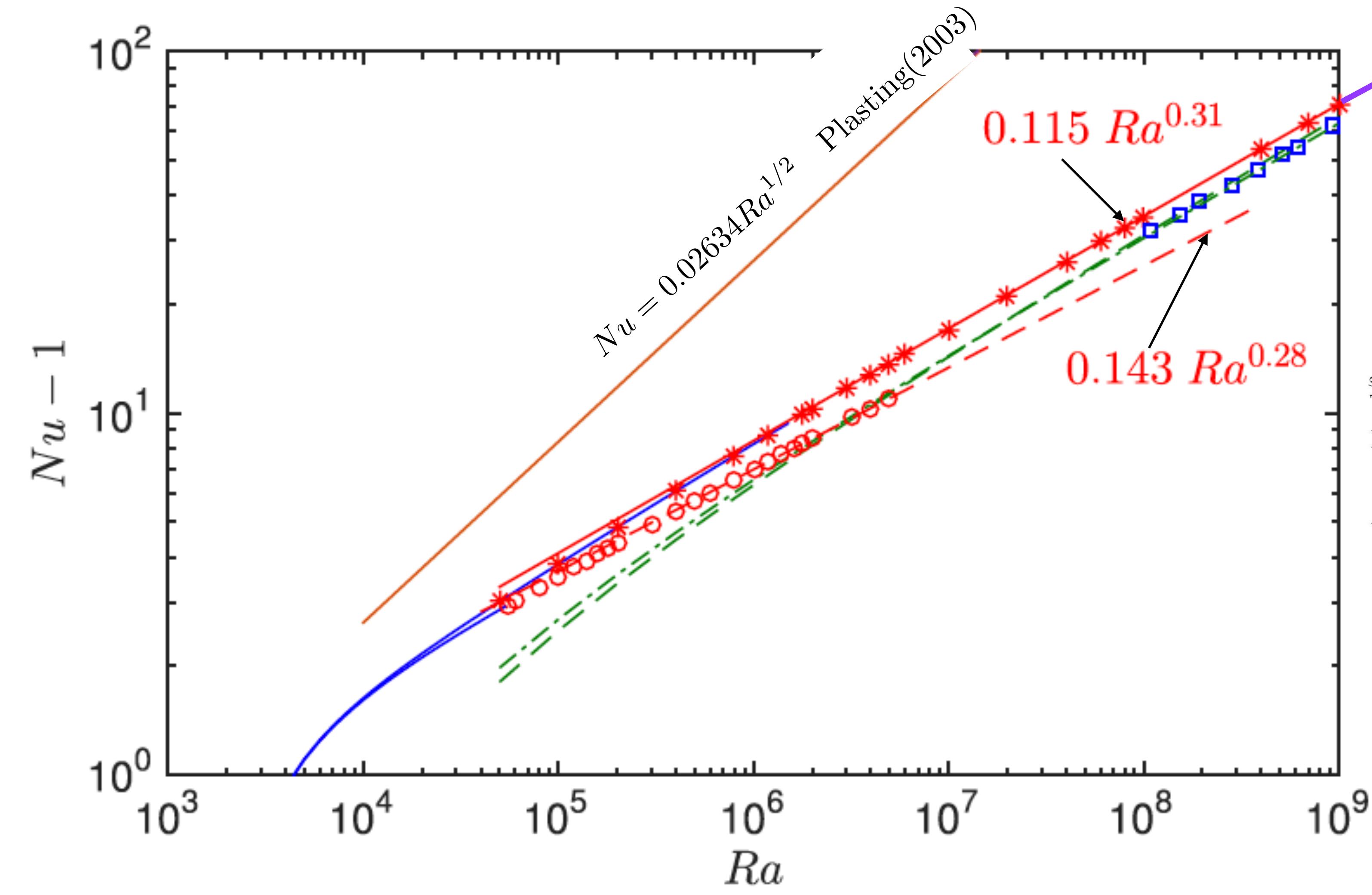
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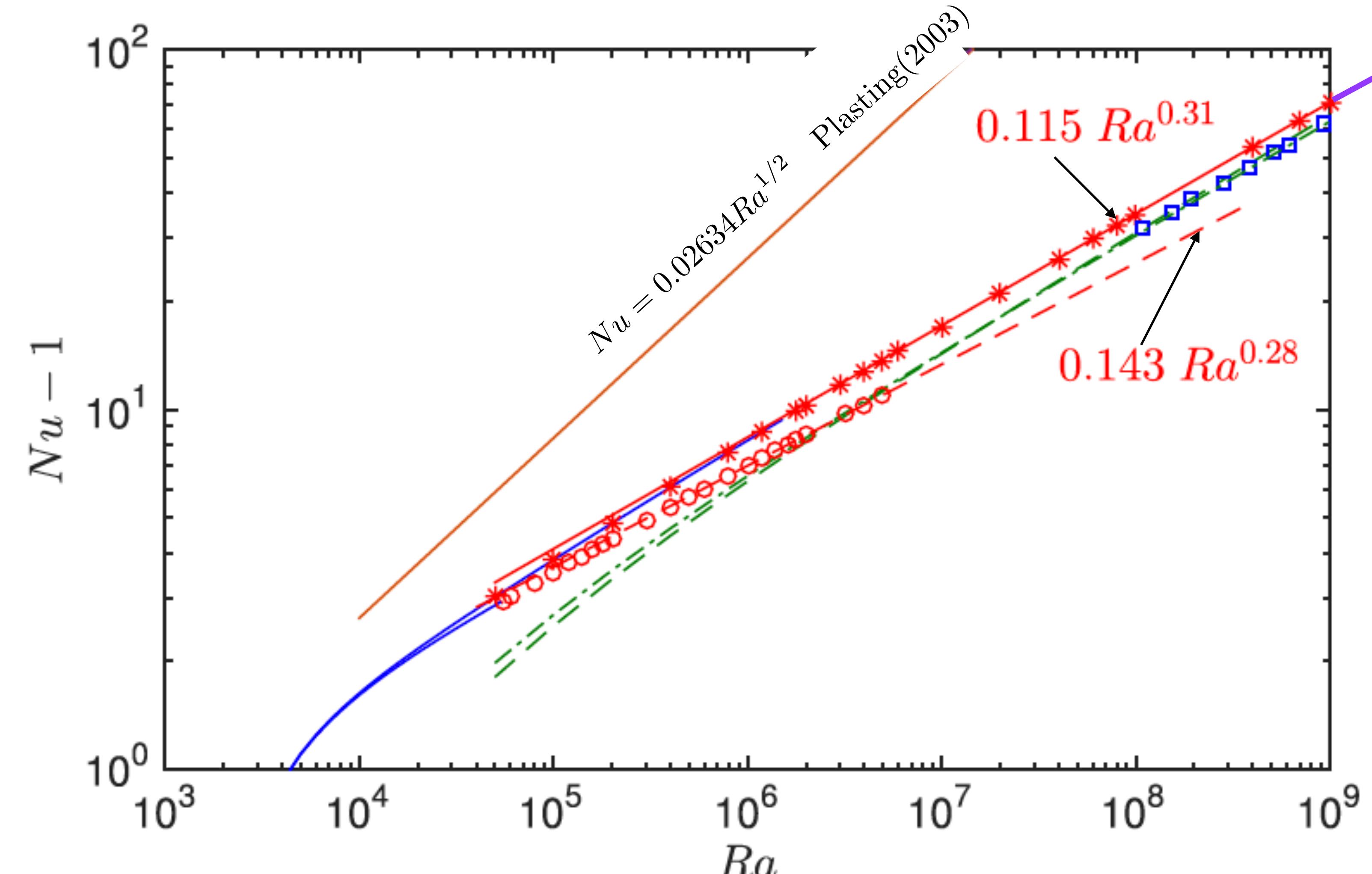
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Waleffe et al. (2015)

Simple 2D roll solutions



Simple 2D roll solutions



Waleffe et al (2015)

**Could 2D rolls solutions
be an upper bound?**

$Nu \sim Ra^{1/3}$ Wen et al.(2021)

Key Questions

1. Can the upper bound be lowered from ‘ultimate’ to ‘classical’ scaling by incorporating more constraints?

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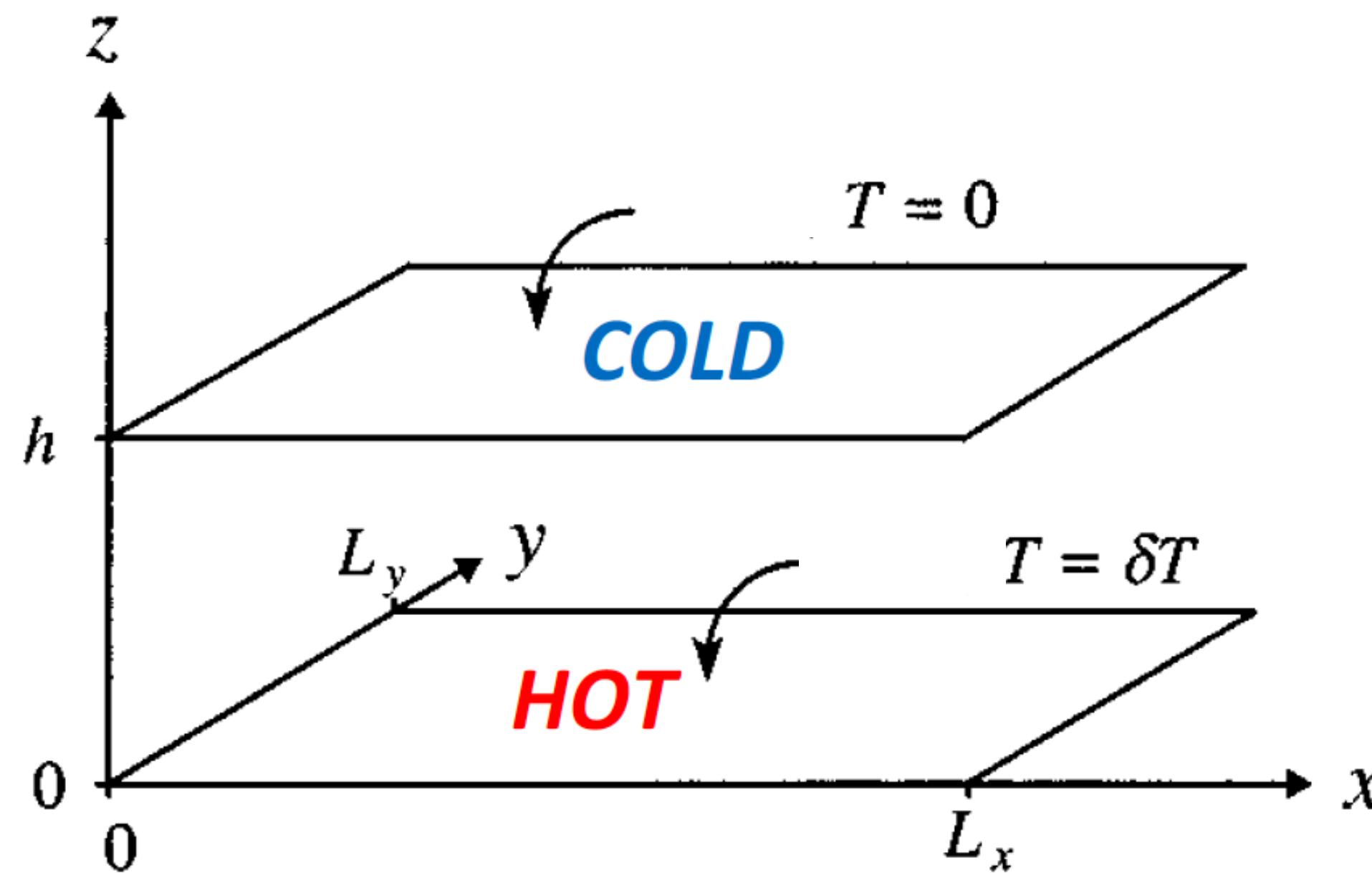
1. Can the upper bound be lowered from ‘ultimate’ to ‘classical’ scaling by incorporating more constraints?

2. If *all* (steady) dynamical constraints imposed, could the optimal be a steady Boussinesq solution?
 - i.e could 2D rolls ‘satisfy’ the spectral constraint?

Simplest problem:

consider 2D Rayleigh Benard Convection with stress-free b.c.s

Wall-to-Wall problem (Hassanzadeh et al. 2014
Tobasco & Doering 2017
Souza et al. 2020)



$$(\mathcal{N}):=\frac{\partial \textcolor{blue}{u}}{\partial t}+\textcolor{blue}{u}\cdot\nabla u+\nabla p-\sigma\nabla^2\textcolor{blue}{u}-\sigma RaT\hat{z}=\mathbf{0},$$

$$(\mathcal{H}):=\frac{\partial T}{\partial t}+\nabla\cdot(\textcolor{blue}{u}T-\nabla T)=0,$$

$$(\mathcal{N}) := \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + \nabla p - \sigma \nabla^2 \mathbf{u} - \sigma Ra T \hat{\mathbf{z}} = \mathbf{0},$$

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Background Approach

$$\mathcal{L} := \langle |\nabla T|^2 \rangle - \underbrace{\langle a \mathbf{v} \cdot (\mathcal{N}) \rangle}_{\text{---}} - \underbrace{\langle b \theta(\mathcal{H}) \rangle}_{\text{---}}$$

$$\begin{aligned}\nu(x, z, t) &:= \mathbf{u}(x, z, t) \\ \underline{\theta}(x, z, t) &:= T(x, z, t) - \underline{\tau(x, z)}\end{aligned}$$

Background field

$$(\mathcal{N}) := \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + \nabla p - \sigma \nabla^2 \mathbf{u} - \sigma Ra T \hat{\mathbf{z}} = \mathbf{0},$$

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Background Approach

$$\mathcal{L} := \langle |\nabla T|^2 \rangle - \underbrace{\langle a \mathbf{v} \cdot (\mathcal{N}) \rangle}_{\text{power}} - \underbrace{\langle b \theta(\mathcal{H}) \rangle}_{\text{heat eqn}}$$

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$$\Rightarrow \mathcal{L} = \langle |\nabla T|^2 \rangle - \underbrace{a \langle \mathbf{u} \cdot (\mathcal{N}) \rangle}_{\text{power}} - \overbrace{b \langle T(\mathcal{H}) \rangle}^{\text{entropy}} + \underbrace{b \langle \tau \mathcal{H} \rangle}_{\text{heat eqn}}$$

$$\Rightarrow \mathcal{L} = \langle |\nabla \tau|^2 \rangle - \mathcal{G}(\mathbf{u}, \theta; \tau)$$

where $\mathcal{G} := \underbrace{\langle \frac{a}{Ra} |\nabla \mathbf{u}|^2 + |\nabla \theta|^2 + 2\theta \mathbf{u} \cdot \nabla \tau \rangle}$

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$$\min \mathcal{G} = \begin{cases} 0 & \tau \in \Omega \\ -\infty & \tau \notin \Omega \end{cases} \quad \left\{ \begin{array}{l} (\mathbf{u}, \theta) = (\mathbf{0}, 0) \\ (\mathbf{u}, \theta) \neq (\mathbf{0}, 0) \end{array} \right. \quad \text{marginal modes}$$

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$$\frac{\delta \mathcal{L}}{\delta \tau} = -2\nabla^2 \tau - \frac{\delta \mathcal{G}(\mathbf{u}, \theta)}{\delta \tau}$$

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problem : $Nu \leq \min_{\tau \in \Omega} \max_{\mathbf{u}, \theta} \mathcal{L}$

$$\lambda \mathbf{u} = \frac{\delta \mathcal{G}}{\delta \mathbf{u}} = -\theta \nabla \tau - \nabla p + \frac{a}{Ra} \nabla^2 \mathbf{u}$$

$$\lambda \theta = \frac{\delta \mathcal{G}}{\delta \theta} = -\mathbf{u} \cdot \nabla \tau + \nabla^2 \theta$$

with $0 = \lambda_0 \leq \lambda_1 \leq \lambda_2 \dots$

(a) $\tau = \tau(z)$

Marginal modes are Fourier modes in x

$$k(j) \in K \quad j = 1, 2, \dots, n$$

$$(\mathbf{u}^*, \theta^*) = \sum_{j=1}^n (\mathbf{u}_j, \theta_j) e^{ik(j)x} + c.c.$$

$$\mathcal{G}(\mathbf{u}^*, \theta^*) = \sum_j \left\{ \mathcal{G}_j := \left\langle \frac{a}{Ra} |\nabla \mathbf{u}_j|^2 + |\nabla \theta_j|^2 + 2\theta_j \mathbf{u}_j \cdot \nabla \tau \right\rangle \right\}$$

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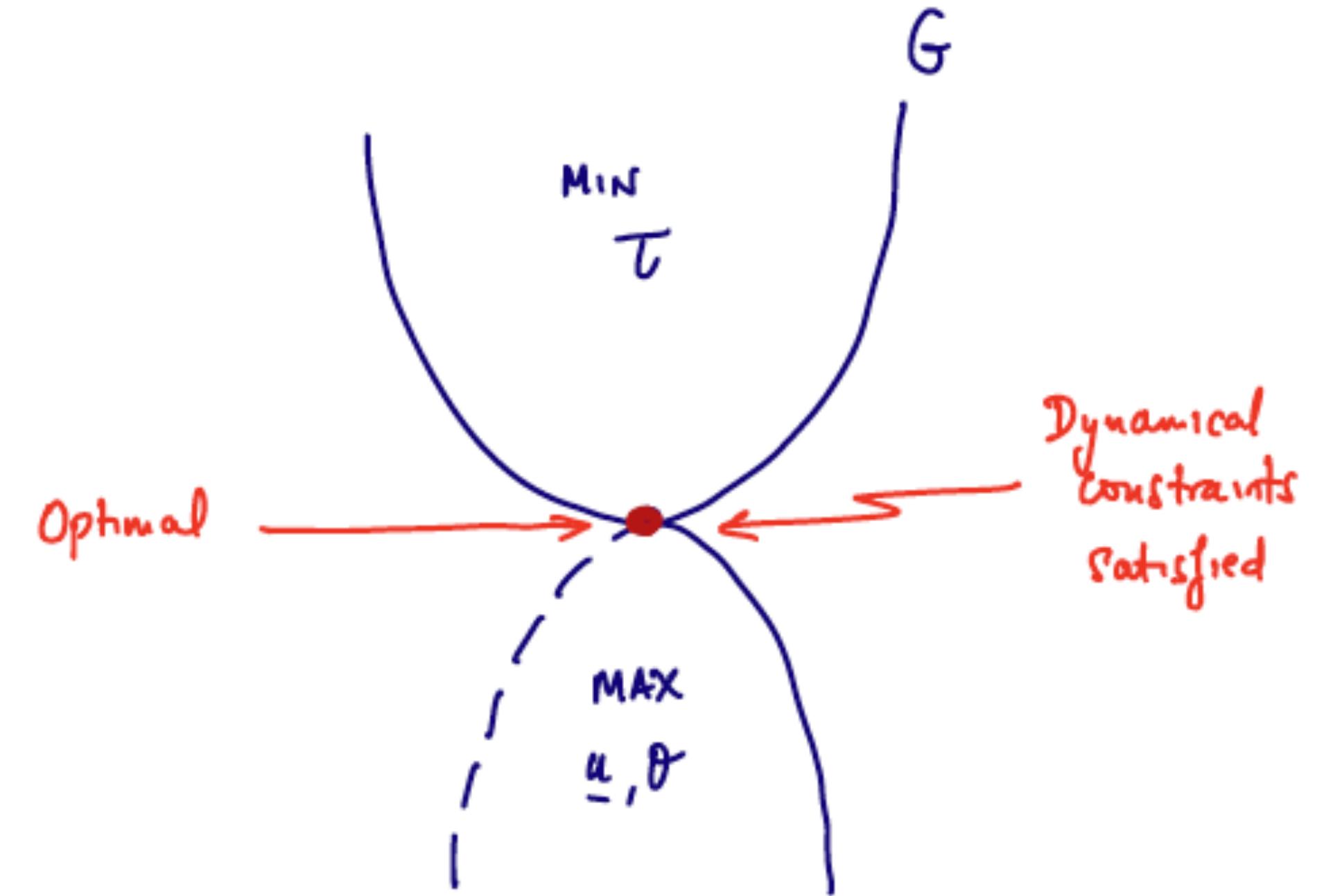
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Optimal satisfies constraining equations



$$\underline{(b)\tau = \tau(x,z)}$$

marginal modes $(\mathbf{u}_j, \theta_j)(x, z)$

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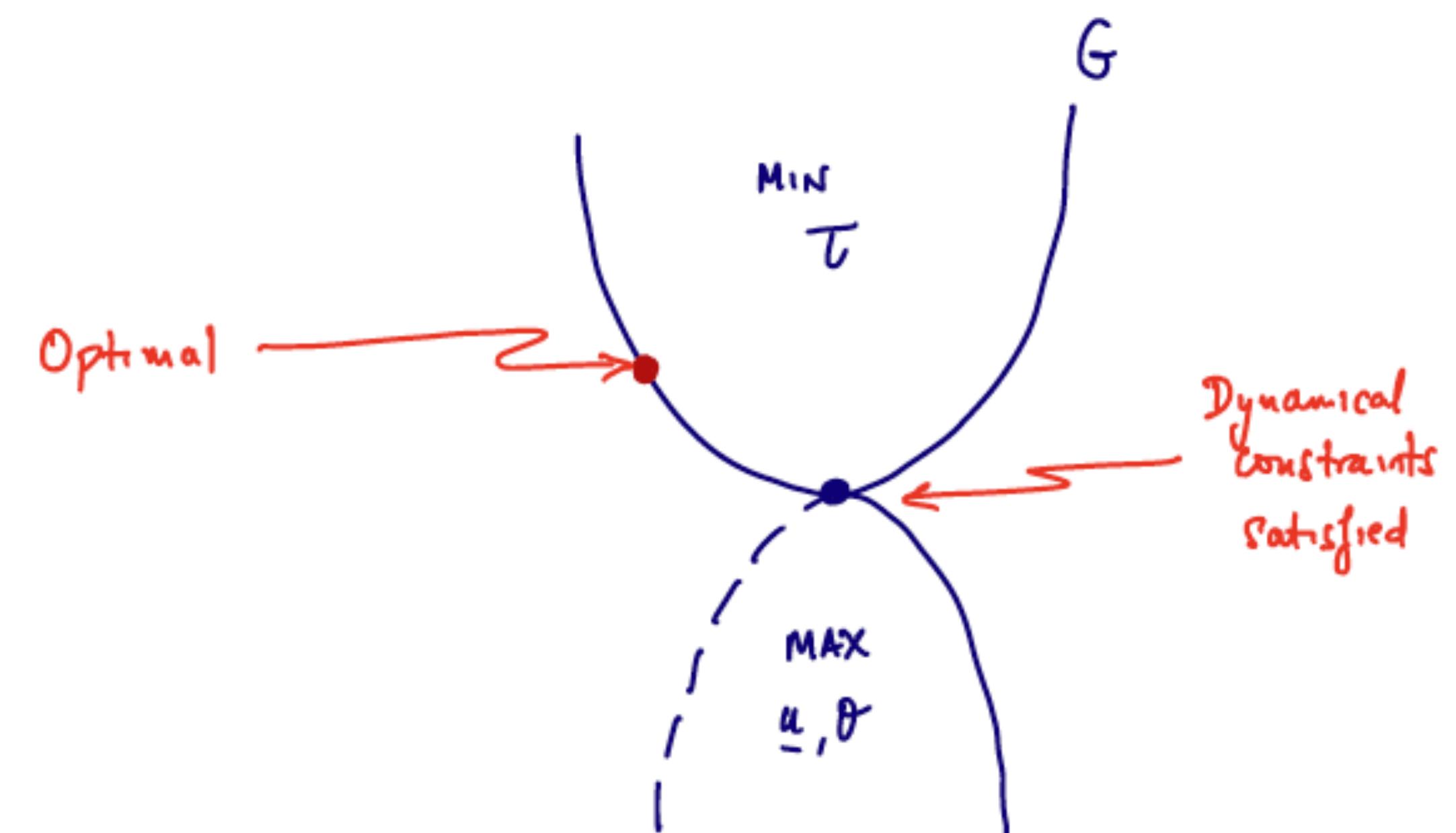
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Souza et al. 2020

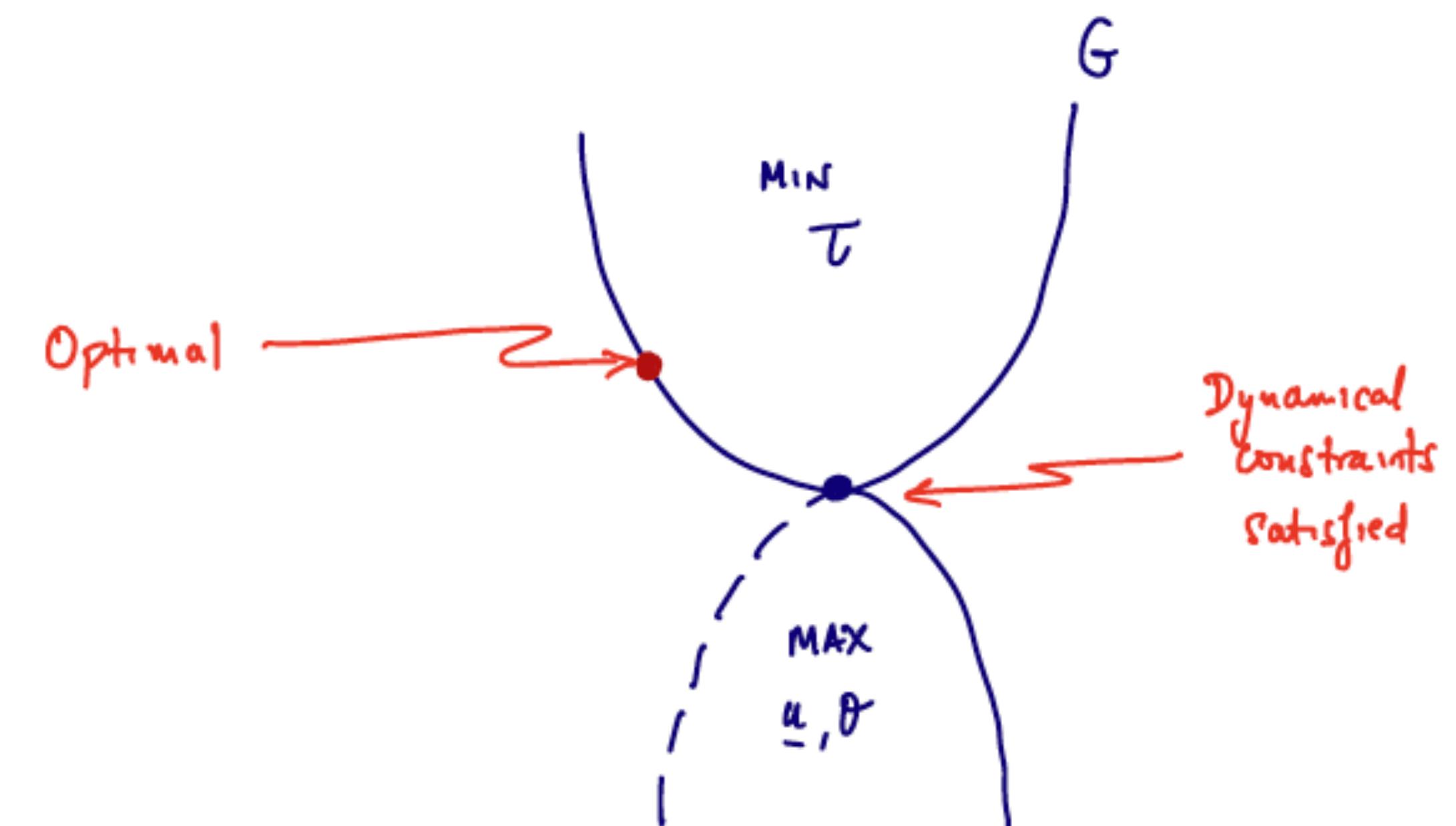
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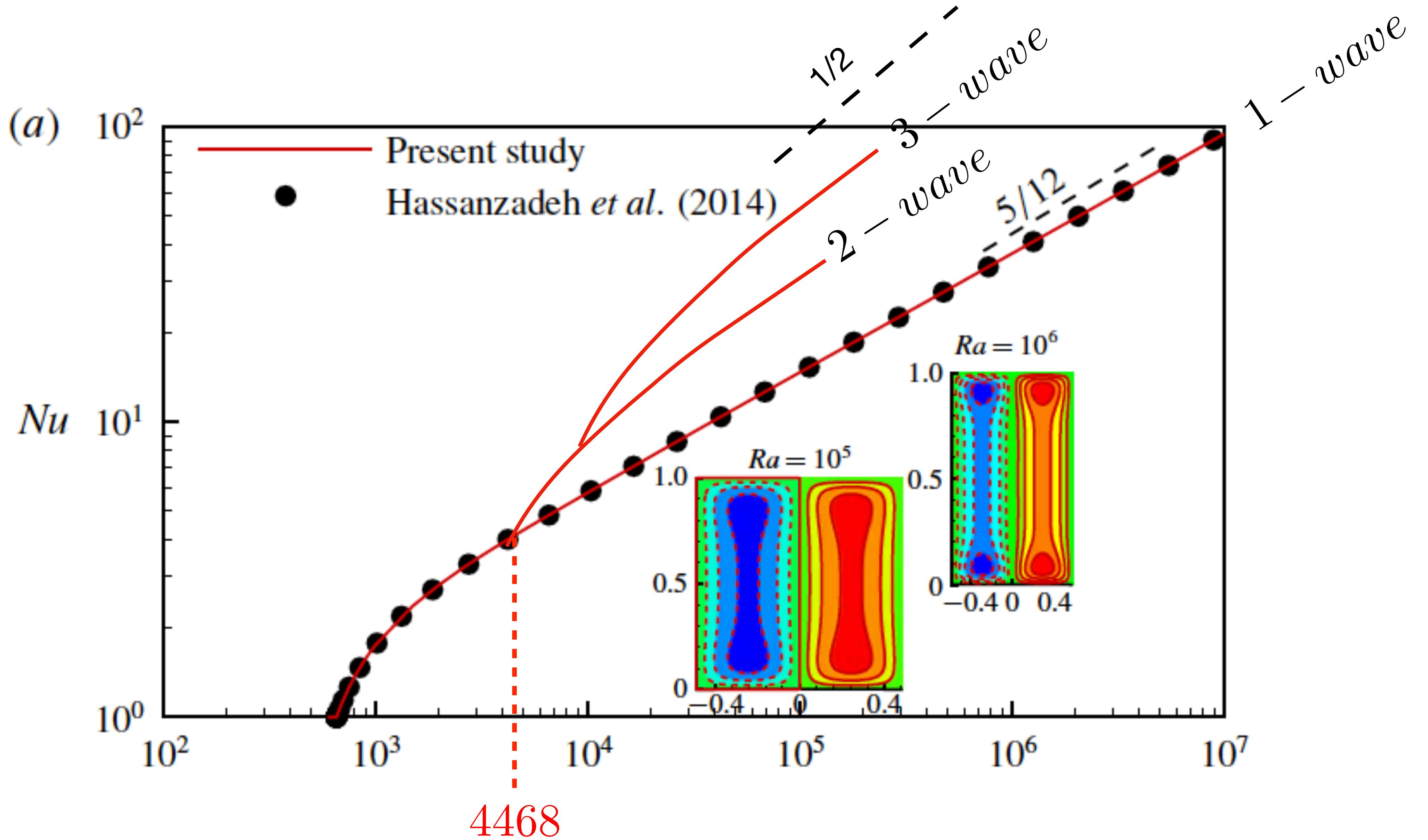
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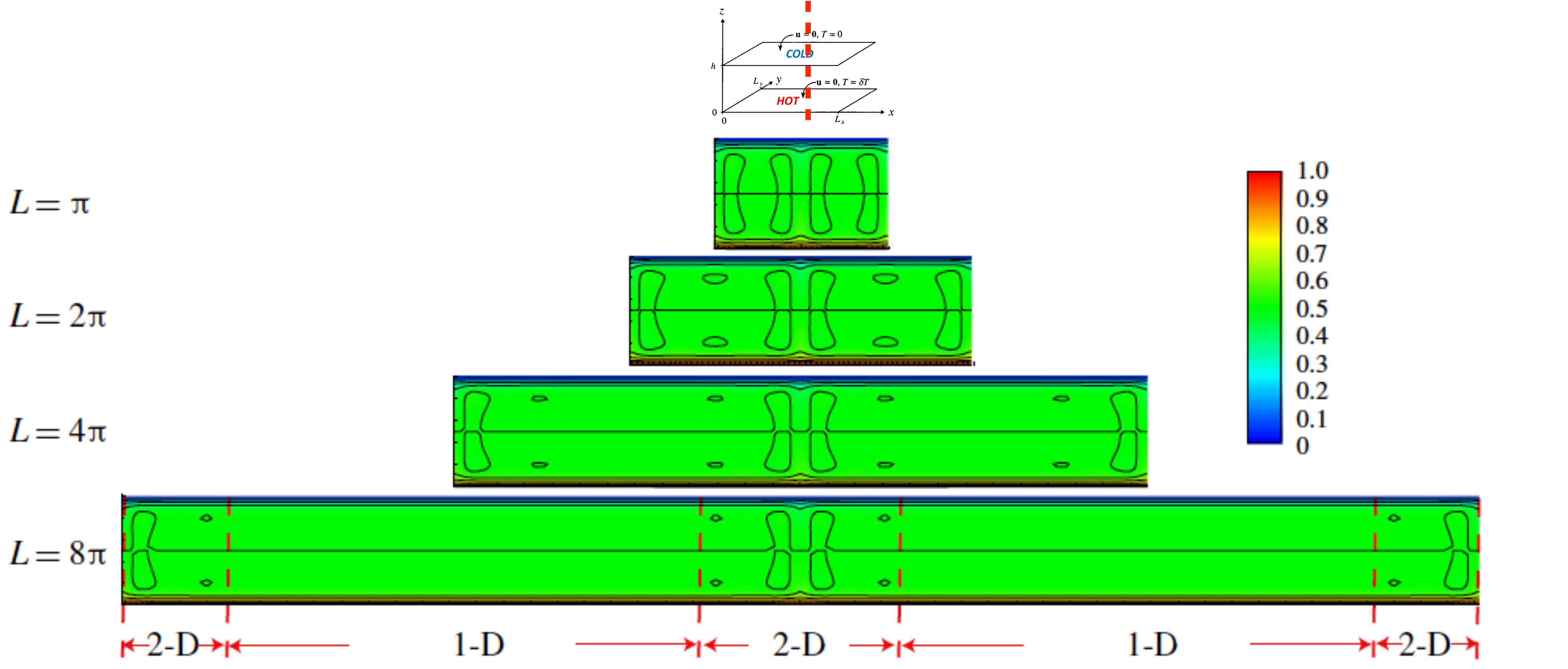
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A steady Boussinesq solution cannot be optimal - 2D rolls not upper (background) bound





$$\tau(x, z) \rightarrow \tau(z) \text{ as } L \rightarrow \infty$$

Convexity: Doering & Constantin (1996)

.....no Improvement on Bound...

What about a background velocity field?

$$\underline{\nu}(x, z, t) := \mathbf{u}(x, z, t)$$

$$\underline{\theta}(x, z, t) := T(x, z, t) - \underline{\tau}(x, z)$$

Now

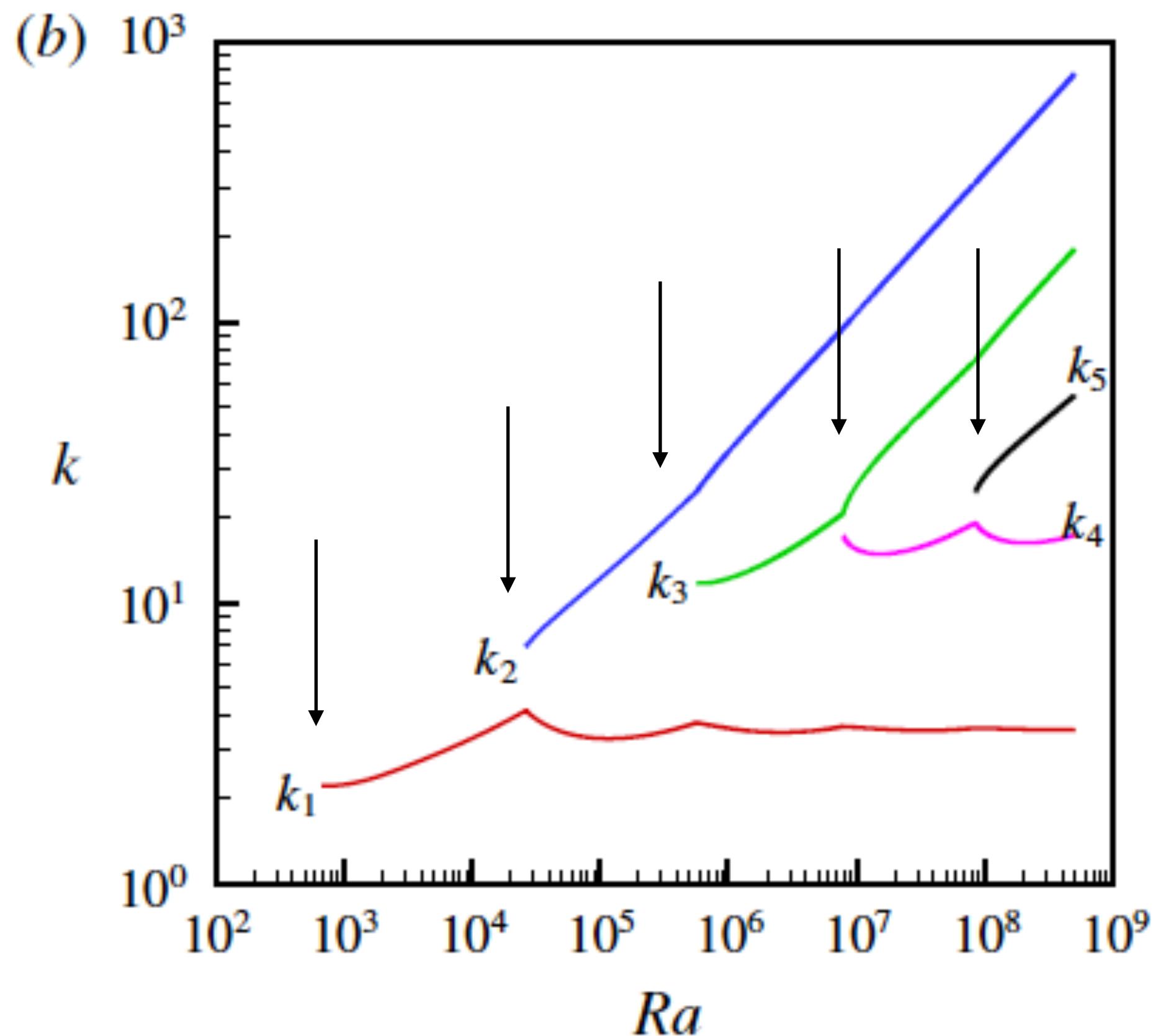
$$\nu(x, z, t) := \mathbf{u}(x, z, t) - \underline{\phi}(x, z)$$

$$\theta(x, z, t) := T(x, z, t) - \underline{\tau}(x, z)$$

Attempt an inductive bifurcation analysis:

If $\phi(x, z) = 0$ before the bifurcation then

$\phi(x, z) = 0$ afterwards



Conclusions

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(definitely for temperature, probably for velocity)*

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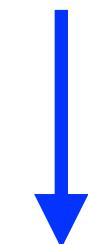
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** 3D optimal solution found (Motoki et al. 2018) which approaches $Nu \leq 0.02634 Ra^{1/2}$



3D Boussinesq multiscale solution (Motoki et al. 2021) $Nu \sim Ra^{1/3}$

* Extra enstrophy constraint possible for 2D stress-free convection

$$Nu \leq 0.106 Ra^{5/12} \quad \text{Wen et al. (2015)}$$

Inverse engineering...

$$\boldsymbol{\nu}(x, z, t) := \mathbf{u}(x, z, t) + c \nabla \times \nabla \times \mathbf{u}(x, z, t)$$

$$(\mathcal{N}) := \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + \nabla p - \sigma \nabla^2 \mathbf{u} - \sigma Ra T \hat{\mathbf{z}} = \mathbf{0},$$

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Hassanzadeh et al. 2014

$$\Theta(x, z) := T(x, z) - (1 - z)$$

$$\mathcal{L}_{\mathcal{H}} := \langle w \Theta \rangle - \underbrace{\langle \Phi(x, z) (\mathcal{H})_s \rangle}_{\text{problem : } \max_{\mathbf{v}, \Theta, \Phi, \mu} \mathcal{L}_{\mathcal{H}}} - \mu \langle |\nabla \mathbf{v}|^2 - Pe^2 \rangle$$



$$\text{problem : } \max_{\mathbf{v}, \Theta, \Phi, \mu} \mathcal{L}_{\mathcal{H}}$$

$$\langle \dots \rangle := \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T \frac{1}{V} \int \dots \mathrm{d}V \mathrm{d}t,$$