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QUANTUM APPROXIMATION ALGORITHMS

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Many-body Quantum Systems via Classical and Quantum Computation

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Classical approaches for quantum Hamiltonians Classical optimization (DMRG, mean-field methods, everything else) Quantum approaches for discrete optimization Quantum approaches for quantum Hamiltonians (AQC, QAOA for quantum Hamiltonians) (e.g. AQC, QAOA for quantum Hamiltonians) Quantum approaches for continuous optimization Classical Quantum Problem

WHAT IS QUANTUM OPTIMIZATION?

Classical

Quantum



Quantum optimization problems aren't worlds apart from classical ones

We should exploit connections between them for fun and profit







CLASSICAL SPIN ON A QUANTUM HAMILTONIAN

Transverse-field Ising Model:
$$H = \sum_{(u,v)\in E} Z_u Z_v - g \sum_{u\in V} X_u$$

Ground state is a classical distribution: $|\psi\rangle = \sum_{x \in \{0,1\}^n} \sqrt{p_x} |x\rangle$

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$$\left\langle \psi \right| \sum_{(u,v)} Z_u Z_v \left| \psi \right\rangle = \sum_{x \in \{0,1\}^n} \sqrt{p_x} \langle x | Z_u Z_v | x \rangle = \sum_{z \in \{-1,1\}^n} \sqrt{p_z} Z_u Z_v = \mathbb{E}_z [Z_u Z_v]$$

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$$\langle \psi | \sum_{u} X_{u} | \psi \rangle = \sum_{x, y \in \{0,1\}^{n}} \sqrt{p_{x} p_{y}} \langle x | \sum_{u} X_{u} | y \rangle = \sum_{x, y \text{ differ in 1 bit}} \sqrt{p_{x} p_{y}}$$



QUANTUM MAX CUT



A WELL UNDERSTOOD PROBLEM

Complexity: in P

What if G = cycle or complete graph?



Complexity: Does succinct description of G' help or hinder? How about only verifying the answer?

A HOME FOR SUCCINCT EIGENVALUE PROBLEMS





$$\begin{split} \lambda_{max}(L(G')) \geq b \\ & \textbf{OR} \\ \lambda_{max}(L(G')) \leq a \textbf{?} \end{split}$$

Input: Graph G & $a \le b$ with $b - a \ge \frac{1}{poly(|G|)}$

Implicitly represents exponentially larger G' Output: Decide above, promised one holds



Poly-time quantum verifier puts problem in QMA



https://en.wikipedia.org/wiki/BQP

EXAMPLE: QUANTUM SPIN ON CLASSICAL PROBLEM





Generalized Johnson Graph, G^k: Vertices of G^k are $S \subset V$ of size k

 $\{S, T\}$ is an edge iff $S\Delta T = \{i, j\}$ is an edge of G

Quantum Max Cut: Given G, compute

QMA Complete!

 $Max_{1 \leq k \leq n-1} \, \lambda_{max}(L\!\left(G^k\right))$

$$\mathbf{H} = \begin{bmatrix} \mathbf{L}(\mathbf{G}^1) \\ \mathbf{L}(\mathbf{G}^2) \end{bmatrix}$$

compute
$$\lambda_{max}(H)$$













7 edges cut



















Goal: find partition $f: V \to \{ \blacksquare, \blacksquare \}$ maximizing

$$\sum_{(\boldsymbol{u},\boldsymbol{v})\in\boldsymbol{E}}\mathbf{1}[\boldsymbol{f}(\boldsymbol{u})\neq\boldsymbol{f}(\boldsymbol{v})]$$

 $\boldsymbol{G} = (\boldsymbol{V}, \boldsymbol{E})$



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$$\sum_{(\boldsymbol{u},\boldsymbol{v})\in\boldsymbol{E}}\mathbf{1}[\boldsymbol{f}(\boldsymbol{u})\neq\boldsymbol{f}(\boldsymbol{v})]$$



$$\sum_{(u,v)\in E} \left(\frac{1-f(u)\cdot f(v)}{2}\right)$$




(Classical) Max-Cut



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NP-hard to solve exactly!

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NP-hard to solve exactly!

So instead look for **approximation algorithms**.



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 $\frac{\text{Value}(\text{Approximate}_I)}{\text{Value}(\text{Optimal}_I)} \geq \alpha$

Heuristics

- Guided by intuitive ideas
- Perform well on practical instances
- May perform very poorly in worst case
- Difficult to prove anything about performance

Approximation Algorithms

- Guided by worst-case performance
- May perform poorly compared to heuristics
- Rigorous bound on worst-case performance
- Designed with performance proof in mind

APPROXIMATION ALGORITHMS FOR MAX CUT

How farapproving of ion algorithms

 $0.87856 + \epsilon$ approximations are **NP-Hard!** (under Unique Games Conjecture)



Following slides courtesy of John Wright

$$H = \sum_{(u,v)\in E} h_{uv}$$

$$H = \sum_{(u,v)\in E} h_{uv} \text{, where } h = \frac{1}{4} \cdot (I - XX - YY - ZZ)$$

Special case of 2-local Hamiltonian:

$$H = \sum_{(u,v)\in E} h_{uv}, \text{ where } h = \frac{1}{4} \cdot (I - XX - YY - ZZ)$$

only depends on **G**

Special case of 2-local Hamiltonian:

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= min energy state of $\sum_{(u,v)\in E} (X_u X_v + Y_u Y_v + Z_u Z_v)$

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(antiferromagnetic) Heisenberg model

Dates back to [Heisenberg 1928]

Well-studied class of Hamiltonians

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Intuition

$$H_G = \sum_{(u,v)\in E} \frac{1}{4} \cdot (I - X_u X_v - Y_u Y_v - Z_u Z_v)$$





$$H_{G} = \sum_{(u,v)\in E} \frac{1}{4} \cdot (I - X_{u}X_{v} - Y_{u}Y_{v} - Z_{u}Z_{v})$$





$$H_G = \sum_{(u,v)\in E} \frac{1}{4} \cdot \left(I - X_u X_v - Y_u Y_v - Z_u Z_v\right)$$





$$H_G = \sum_{(u,v)\in E} \frac{1}{4} \cdot \left[I - X_u X_v - Y_u Y_v - Z_u Z_v \right]$$



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• **-1** if same (+ + or **-** -)



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Term 1: Does nothing

- Term 2: Measure in X basis
 - **-1** if same (+ + or **-** -)
 - +1 if different (+ or +)

Special case of 2-local Hamiltonian:

$$H_G = \sum_{(u,v)\in E} \frac{1}{4} \cdot (I - X_u X_v) - Y_u Y_v - Z_u Z_v)$$



Term 2: Measure in **X** basis

- -1 if same (+ + or -)
- +1 if different (+ or +)
 - want both different!

Special case of 2-local Hamiltonian:

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Intuition

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Term 2: Should be different in X basis

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 $|\psi\rangle$ (*n* qubits)

Intuition

Term 1: Does nothing

Term 2: Should be different in X basis

Term 3: Should be different in **Y** basis

Special case of 2-local Hamiltonian:

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Term 3: Should be different in Y basis

Term 4: Should be different in **Z** basis

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Term 2: Should be different in **X** basis

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Term 4: Should be different in **Z** basis

Like (classical) Max-Cut in X, Y, and Z bases!

Product states for QMax-Cut
States of the form $|\psi\rangle = \bigotimes_{u \in V} |\psi_u\rangle$

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[Brandao Harrow 2016]: The ground state is close to product if *G* is **high degree**.

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Like (classical) Max-Cut! There, $f: V \rightarrow \{\pm 1\} = S^0$.



Slide courtesy of Yeongwoo Hwang

First approximations for Max k-Local Hamiltonian **Classical approximation scheme for planar graphs:** [Bansal, Bravyi, Terhal 2007: arXiv 0705.1115] **First nontrivial general approximations:** [Gharibian, Kempe 2011: arXiv 1101.3884] **Classical approximation scheme for dense instances Near-optimal product-state approx for special cases:** [Brandao, Harrow 2013: arXiv 1310.0017] Uses semidefinite programming (SDP) for bounds Approximation w.r.t. number of terms and degree: [Harrow, Montanaro 2015: arXiv 1507.00739]

All of these results use product states

Recent approximations for Max 2-Local Hamiltonian



QMA-hard 2-LH problem class	NP-hard specialization	P approximation for NP-hard specialization	(Product-state) Approximation for QMA- hard 2-LH problem
Max traceless 2-LH: $\sum_{ij} H_{ij}$, H_{ij} traceless	Max Ising: Max $-\sum_{ij} z_i z_j$, $z_i \in \{-1,1\}$	$\Omega(1/\log n)$ [Charikar, Wirth '04]	Ω(1/log n) [Bravyi, Gosset, Koenig, Temme '18] 0.184 (bipartite, no 1-local terms) [P, Thompson '20]
Max positive 2-LH: $\sum_{ij} H_{ij},$ $H_{ij} \ge 0$	Max 2-CSP	0.874 [Lewin, Livnat, Zwick '02]	0.25 [Random assignment] 0.282 [Hallgren, Lee '19] 0.328 [Hallgren, Lee, P '20] 0.387 / 0.498 (numerical) [P, Thompson '20] 0.5 (best possible via product states) [P, Thompson '21]
Quantum Max Cut: $\sum_{ij} I - X_i X_j - Y_i Y_j - Z_i Z_j$ (special case of above)	Max Cut: Max $\sum_{ij} I - z_i z_j$, $z_i \in \{-1,1\}$	0.878 [Goemans, Williamson '95]	0.498 [Gharibian, P '19] 0.5 [P, Thompson '22] 0.53* [Anshu, Gosset, Morenz '20] 0.533* [P, Thompson '21] 0.562* [Lee '22] (also [King '22])
Max 2-Quantum SAT: $\sum_{ij} H_{ij},$ $H_{ij} \ge 0$, rank 3	Max 2-SAT	0.940 [Lewin, Livnat, Zwick '02]	0.75 [Random Assignment] 0.764 / 0.821 (numerical) [P, Thompson '20] 0.833 best possible via product states

See [P, Thompson.; arXiv:2012.12347] for table

* These results are not product-state based

Quantum Max Cut



maximize overlap with singlet on each edge

Instance of 2-Local Hamiltonian

Find max eigenvalue of $H = \sum H_{ij}$,

 $H_{ij} = (I - X_i X_j - Y_i Y_j - Z_i Z_j)/4$

Each term is singlet projector: $H_{ij} = |\Psi^-\rangle\langle\Psi^-|$ $|\Psi^-\rangle = (|01\rangle - |10\rangle)/\sqrt{2}$

Model 2-Local Hamiltonian?

Has driven advances in quantum approximation algorithms, based on generalizations of classical approaches

QMA-hard and each term is maximally entangled [Cubitt, Montanaro 2013]

Recent approximation algorithms [Gharibian and P. 2019], [Anshu, Gosset, Morentz 2020], [P. and Thompson 2021, 2021, 2022]

Evidence of unique games hardness [Hwang, Neeman, P., Thompson, Wright 2021]

Likely that approximation/hardness results transfer to 2-LH with positive terms [P., Thompson 2021, 2022]

Max Cut vs Quantum Max Cut

Relaxation(upper bound)Max $\sum_{ij \in E} (1 - v_i \cdot v_j)/2$ Max $\sum_{ij \in E} (1 - 3v_i \cdot v_j)/4$ $\|v_i\| = 1$, for all $i \in V$
 $(v_i \in \mathbb{R}^n)$ $\|v_i\| = 1$, for all $i \in V$
 $(v_i \in \mathbb{R}^n)$

Rounding

$$\boldsymbol{v}_i \in \mathbb{R}^n \longrightarrow \boldsymbol{\alpha}_i = rac{r^T \boldsymbol{v}_i}{|r^T \boldsymbol{v}_i|}$$

Approximability

0.878 Lasserre 1 (optimal under unique games conjecture) 0.498 Lasserre 1
0.5 Lasserre 2 (optimal using product states)
(0.533 using 1- & 2-qubit ansatz)

$$\boldsymbol{v}_i \in \mathbb{R}^{3n} \longrightarrow (\boldsymbol{\alpha}_i, \boldsymbol{\beta}_i, \boldsymbol{\gamma}_i) = \left(\frac{\boldsymbol{r}_x^T \boldsymbol{v}_i}{\parallel \boldsymbol{r}_x^T \boldsymbol{v}_i \parallel}, \frac{\boldsymbol{r}_y^T \boldsymbol{v}_i}{\parallel \boldsymbol{r}_y^T \boldsymbol{v}_i \parallel}, \frac{\boldsymbol{r}_z^T \boldsymbol{v}_i}{\parallel \boldsymbol{r}_z^T \boldsymbol{v}_i \parallel}\right)$$

To learn more about Quantum Max Cut...

Optimal product-state approximations:	[P., Thompson 2022: arXiv 2206.08342] (Sections 2,3)
Best-known Quantum Max Cut (QMC) approximations:	[Anshu, Gosset, Morenz-Korol 2020: arXiv 2003.14394] [P., Thompson 2021: arXiv 2105.05698] [Lee 2022: arXiv 2209.00789] [King 2022: arXiv 2209.02589]
Lasserre hierarchy in 2-LH approximations:	[P., Thompson 2021, 2022 above]
Prospects for unique-games hardness:	[Hwang, Neeman, P., Thompson, Wright 2021: arXiv 2111.01254] (Start here: intro and Section 7)
Connections in approximating QMC and 2-LH:	[P., Thompson 2022 above, 2020: arXiv 2012.12347] [Anshu, Gosset, Morenz-Korol, Soleimanifar: arXiv 2105.01193]
Optimal space-bounded QMC approximations: (no quantum advantage possible!)	[Kallaugher, P. 2022: arXiv 2206.00213]

Quantum Moment Matrices are Positive

Quantum Max Cut SDP Relaxation

Quantum Lasserre Hierachy



is called degree-k pseudo density

ClassicalNon-commutative/Quantum[Lasserre 2001][Navascués, Pironio, Acìn 2009 (2010 SIAM J Opt)][Parillo 2003]

Rounding Infeasible Solutions



 $Max Tr[H\tilde{\rho}]$ $Tr[\tilde{\rho}] = 1$ $Tr[\tilde{\rho} S^{\dagger}S] \ge 0, \forall \deg k S$

is called degree-k pseudo density

α -Approximation Algorithm -

Round optimal non-positive pseudo-density $\tilde{\rho}$ to suboptimal positive density ρ so that:

 $Tr[H\rho] \ge \alpha Tr[H\widetilde{\rho}] \ge \alpha \lambda_{max}(H)$

QUANTUM STREAMING ADVANTAGES



We would like algorithms that need very few bits/qubits



Ideally a number *sublinear* in the size of the input, e.g. $O(\sqrt{n})$ or $O(\log(n))$ for a size-n input

Why Space-Efficient Algorithms?

Two reasons, pointing to different kinds of algorithm:

Qubits are expensive

- Even under the most optimistic assumptions, qubits will continue to be much more expensive than classical bits
- Motivates algorithms that use very few *qubits*, but maybe many classical bits

Qubits can be exponentially more powerful than classical bits

- We know there are problems that require exponentially fewer qubits than bits
- This is provable! (unlike with time complexity)
- Motivates looking at algorithms that use very little total space (bits + qubits) (and impossibility results)

Our focus has been on the second case

Streaming Algorithms

When dealing with very small space algorithms, it matters how you receive the input dataset

Streaming

- Dataset is built up by a "stream" of small updates
- Answer is expected at the end of the stream



Examples

- Calculating traffic statistics on a router
- Estimating properties of a large social networking graph given as a sequence of friendships

QUANTUM STREAMING ADVANTAGES FOR GRAPH PROBLEMS

Exponential advantage for Boolean Hidden Matching [Gavinsky, Kempe, Kerenidis, Raz, and de Wolf 2008]

First natural problem: polynomial advantage for triangle counting [Kallaugher 2021]

No quantum advantage possible: Max Cut or Quantum Max Cut [Kallaugher, P 2022]

Exponential advantage for natural problem: Directed Max Cut [Kallaugher, P, Voronova 2023]

QUANTUM GENERALIZATIONS OF VERTEX COVER

VERTEX COVER



Goal: color minimum number of vertices, so each edge has at least 1 colored endpoint



VERTEX COVER



Goal: color minimum number of vertices, so each edge has at least 1 colored endpoint



VERTEX COVER



 $\boldsymbol{G} = (\boldsymbol{V}, \boldsymbol{E})$

Goal: color minimum number of vertices, so each edge has at least 1 colored endpoint


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 $\boldsymbol{G} = (\boldsymbol{V}, \boldsymbol{E})$

Goal: color minimum number of vertices, so each edge has at least 1 colored endpoint

6 vertices colored



 $\boldsymbol{G} = (\boldsymbol{V}, \boldsymbol{E})$

Optimal since each 5-cycle needed 3!

Goal: color minimum number of vertices, so each edge has at least 1 colored endpoint



NP-hard: one of Karp's original 21 problems

Several 2-approximations known e.g. [Bar-Yehuda, Bendel, Freund, Rawitz 2004]

Best possible under Unique Games Conjecture [Khot, Regev 2008]

Goal: color minimum number of vertices, so each edge has at least 1 colored endpoint

VERTEX COVER AS CONSTRAINED LOCAL HAMILTONIAN

 $\min_{|\psi\rangle} \langle \psi | \sum_{u} |1\rangle \langle 1|_{u} |\psi\rangle$

 $\langle \psi | | 00 \rangle \langle 00 |_{uv} | \psi \rangle = 0$ for all edges (u,v)





PUT A TRANSVERSE FIELD ON IT

$$\min_{|\psi\rangle} \langle \psi | \sum_{u} (I - Z_{u})/2 | \psi \rangle + \sum_{u} X_{u}$$
$$\langle \psi | (I + Z_{u})(I + Z_{v})/4 | \psi \rangle = 0 \text{ for all edges (u,v)}$$

Equivalent to PXP model (Rydberg blockade interactions)

We show Transverse Vertex Cover/PXP are **StoqMA**-complete

Simple $(2 + \sqrt{2})$ -approximation via quantum version of local ratio

[P, Rayudu, Thompson 2023]

QUANTUM SCARS





Thanks for staying awake to read this!