



# Quantum algorithms for Dynamics Simulation: Hamiltonian Simulation and Linear Differential Equations

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# Outline

- 1 Hamiltonian Simulation Problem
  - Motivations
  - Expected cost
- 2 Hamiltonian Simulation Algorithms
  - Trotterization
  - Block-encoding, Truncated Taylor series, Optimal Ham Sim by QSVT

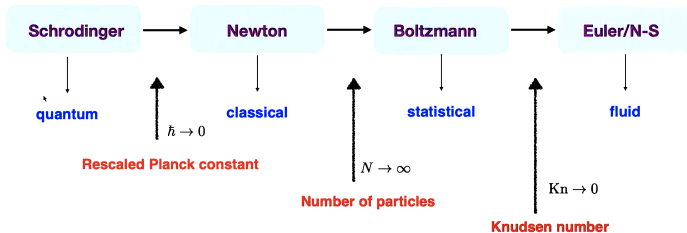
# Part 1: Hamiltonian Simulation (time-independent case)

# Different Levels of Physics

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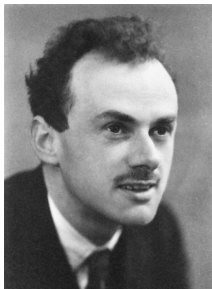
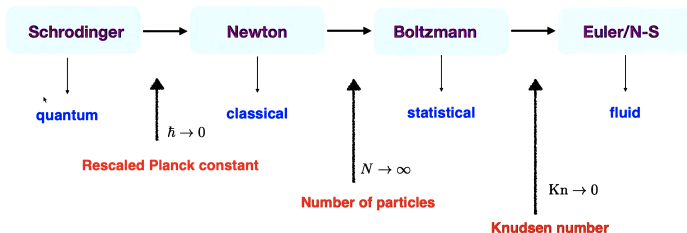
multiscale physics fig by Prof. Qin Li

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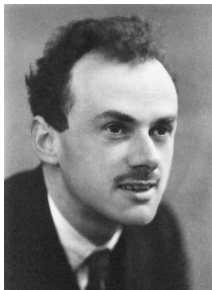
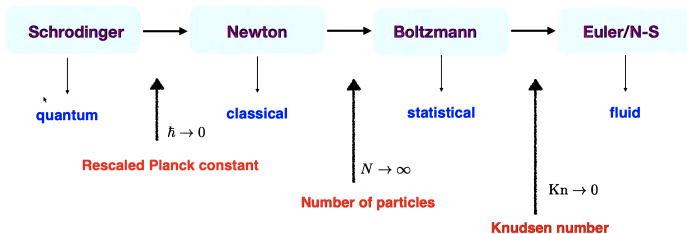
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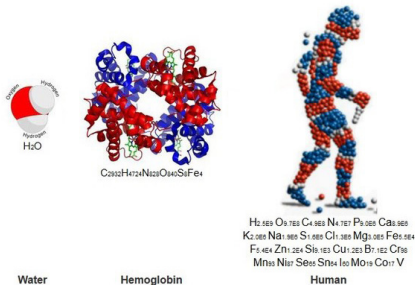
# Different Levels of Physics



“the underlying physical laws necessary for the mathematical theory of a large part of physics and the whole of chemistry are thus completely known, and the **difficulty** is only that the exact application of these laws leads to equations much **too complicated to be soluble.**”

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# Schrödinger equation for Molecular Dynamics



To describe its behaviour: ( $x$ : nuclei coordinates,  $y$ : electronic coordinates,  $M$ : mass of a nucleus,  $m$ : mass of an electron.)

$$\hat{H}_{\text{total}} = -\frac{\hbar^2}{2M}\Delta_x - \frac{\hbar^2}{2m}\Delta_y + V(x, y), \quad x \in \mathbb{R}^d, y \in \mathbb{R}^n$$

$$i\hbar\partial_t\psi = \hat{H}_{\text{total}}\psi$$



# Quantum Computing 101



“... nature isn't classical, dammit, and if you want to make a simulation of nature, you'd better make it quantum mechanical, and by golly it's a wonderful problem, because it doesn't look so easy.”

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$$i\partial_t |\psi(t)\rangle = H(t) |\psi(t)\rangle, \quad |\psi(0)\rangle = |\psi_0\rangle.$$

To simulate  $\mathcal{T}e^{-i\int_0^t H(s) ds}$  for  $H$  of very high dimension!

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**Examples of  $H$ :** many-body Hamiltonian

$$H = \sum_{E \in SC\{I, X, Y, Z\}^{\otimes n}} \lambda_E E,$$

$k$ -local Hamiltonian (TFIM, Heisenberg models, etc), etc.

## Error Metrics: Specific case v.s. Worst case

- Taking into account **initial conditions**: consider *vector norm*, instead of operator norm.

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[Sahinoglu-Somma 2021], [An-Fang-Lin 2020], [Tres-Fang 2022], [BornWeil-Fang 2022], [Zhao-Zhou-Shaw-Li-Childs 2022], etc

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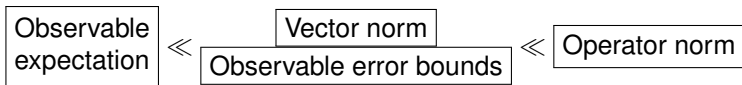
- Taking into account **both** and consider *observable expectation*  
 $\left| \langle \psi_0 | \mathcal{U}_{\text{app}}^\dagger O \mathcal{U}_{\text{app}} | \psi_0 \rangle - \langle \psi_0 | e^{iHt} O e^{-iHt} | \psi_0 \rangle \right|$

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“Spectrum” of various error measurements:



## Specific case v.s. Worst case

Taking into account the specific instance, the error (and hence the cost) can be improved. <sup>1</sup>

In this lecture, we only focus on the worst case, i.e. error in the operator norm of unitaries.

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<sup>2</sup>[Feymann 1985]

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  - (A) **Best-known** Classical Alg. has complexity  $\geq e^{\text{poly}(n)}$
  - (B) Show that the task is BQP-hard or BQP-complete
  - (**Any** Classical Alg. under reasonable complexity conjectures)

**Hamiltonian Simulation is BQP-hard.**

(Any quantum circuit can be efficiently implemented by the dynamics of a local Hamiltonian. <sup>2</sup>)

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# Hamiltonian Simulation Algorithms

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1st-order Trotter formula (Lie-Trotter) for  $H = H_1 + H_2$

$$e^{-iHt} \approx \left( e^{-iH_2t/L} e^{-iH_1t/L} \right)^L$$

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The number of Trotter steps  $L = \mathcal{O}(\|[H_1, H_2]\|t^2/\epsilon)$



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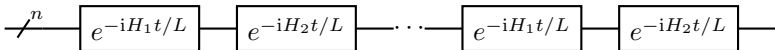
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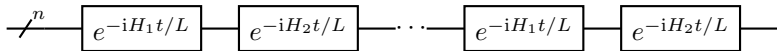
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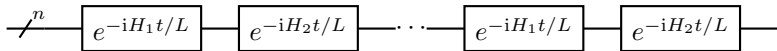
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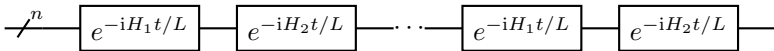


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High order ( $p$ -th): query complexity  $\mathcal{O}(\alpha_H t^{1+1/p}/\epsilon^{1/p})$ . <sup>3</sup>

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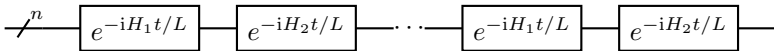
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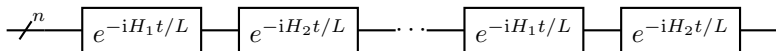
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**Upshot:**  $\Rightarrow \mathcal{O}(t \log(t/\epsilon))$

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**Upshot:**  $\Rightarrow \mathcal{O}(t \log(t/\epsilon)) \Rightarrow$  **Even better, say,  $\mathcal{O}(t + \log(1/\epsilon))$ ?**

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## Block-Encoding – Definition

Let  $A$  be a general  $2^n \times 2^n$  matrix.

Idea:

$$U_A = \begin{pmatrix} A & * \\ * & * \end{pmatrix} \rightarrow \text{ancilla qubits}$$

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### Definition (Block-encoding)

$U_A$  is an  $(\alpha, m, \epsilon)$ -block-encoding of  $A$ , if

$$\|A - \alpha (\langle 0^m | \otimes I_n) U_A (|0^m\rangle \otimes I_n)\| \leq \epsilon,$$

for some  $\alpha \geq \|A\|$ ,  $m > 0$  and  $\epsilon > 0$ . Here  $\alpha$  is called the *subnormalization* factor and  $m$  is the number of ancilla qubits, and  $n$  is the number of system qubits. When  $\epsilon = 0$ , it is also called an  $(\alpha, m)$ -block-encoding.

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Understanding:  $U_A : 2^{m+n} \times 2^{m+n}$ .

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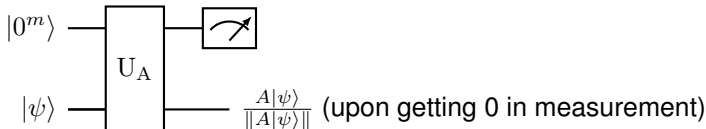
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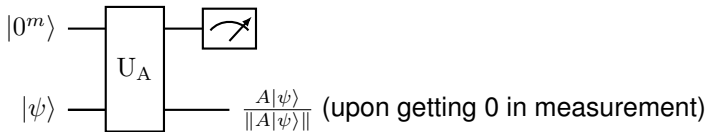
$U_A$  is an  $(\alpha, m, \epsilon)$ -block-encoding of  $A$ , if

$$\|A - \alpha (\langle 0^m | \otimes I_n) U_A (|0^m\rangle \otimes I_n)\| \leq \epsilon,$$

for some  $\alpha \geq \|A\|$ ,  $m > 0$  and  $\epsilon > 0$ .

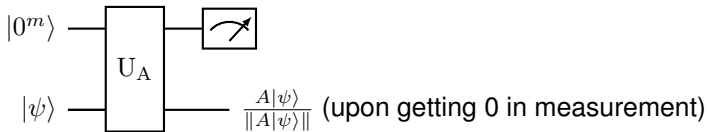


# Block-Encoding – Definition cont'd



$$|0, \psi\rangle = |0\rangle \otimes |\psi\rangle = \begin{pmatrix} |\psi\rangle \\ 0 \end{pmatrix}, \quad U_A |0, \psi\rangle = \begin{pmatrix} \tilde{A} & * \\ \alpha & * \\ * & * \end{pmatrix} \begin{pmatrix} |\psi\rangle \\ 0 \end{pmatrix} = \begin{pmatrix} \tilde{A}|\psi\rangle \\ * \end{pmatrix}.$$

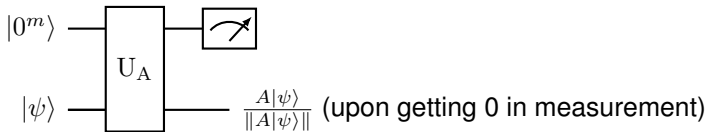
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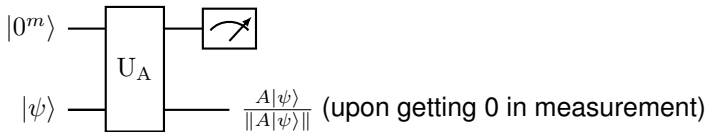


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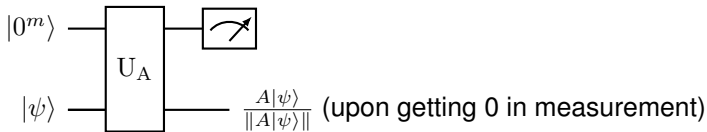
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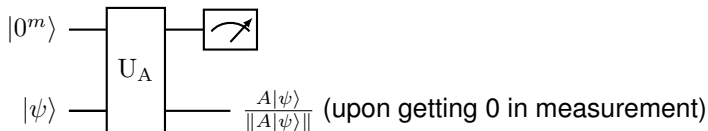


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$$\begin{aligned}
 U_A &:= \begin{pmatrix} W & 0 \\ 0 & I_n \end{pmatrix} \begin{pmatrix} \Sigma & \sqrt{I_n - \Sigma^2} \\ \sqrt{I_n - \Sigma^2} & -\Sigma \end{pmatrix} \begin{pmatrix} V^\dagger & 0 \\ 0 & I_n \end{pmatrix} \\
 &= \begin{pmatrix} A & W\sqrt{I_n - \Sigma^2} \\ \sqrt{I_n - \Sigma^2}V^\dagger & -\Sigma \end{pmatrix}
 \end{aligned}$$

---

References: Lecture notes by Lin Lin, QSVT [Gilyen-Su-Low-Wiebe 2018/2019], see also QSP [Low-Chuang 2017], qubitization [Low-Chuang 2016]



# Sparse Input Model

**Question:** Efficient to construct?

---

[Gilyen-Su-Low-Wiebe 2018], see also [Berry-Childs 2012], [Low-Chuang 2017]



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**Upshot:** sparse matrix (Hamiltonian) is ok!

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## Sparse Input Model

**Question:** Efficient to construct?

Upshot: **sparse matrix (Hamiltonian) is ok!**

We assume that  $H$  is a  $s$ -sparse matrix with  $\|H\|_{\max} \leq 1$ . The information of  $H$  is given through the following oracles:

$$\begin{aligned}
 U_{\text{row}} |j, s\rangle &= |j, \text{row}(j, s)\rangle, \\
 U_{\text{col}} |j, s\rangle &= |j, \text{col}(j, s)\rangle, \\
 U_{\text{val}} |j, k, z\rangle &= |j, k, z \oplus H_{jk}(t)\rangle.
 \end{aligned} \tag{1}$$

Here  $\text{row}(j, s)$  is the row index of the  $s$ th nonzero element in the  $j$ th column,  $\text{col}(j, s)$  is the column index of the  $s$ th nonzero element in the  $j$ th row.

A  $(s, n + 3, \epsilon)$ -block-encoding of  $H$  can be constructed via  $\mathcal{O}(1)$  queries to above oracles and  $\mathcal{O}(n + \log^{5/2}(s/\epsilon))$  primitive gates.

---

[Gilyen-Su-Low-Wiebe 2018], see also [Berry-Childs 2012], [Low-Chuang 2017]



# Block-Encoding – Properties

**Properties:** Let  $U_A$  be an  $(\alpha, a, \epsilon)$ -BE of  $A$ ;  $U_B$  be a  $(\beta, b, \delta)$ -BE of  $B$

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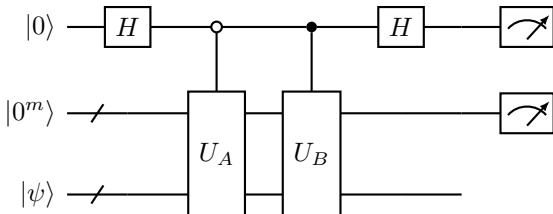
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The following circuit constructs a  $(2, m, \delta + \epsilon)$ -BE of  $A + B$ .



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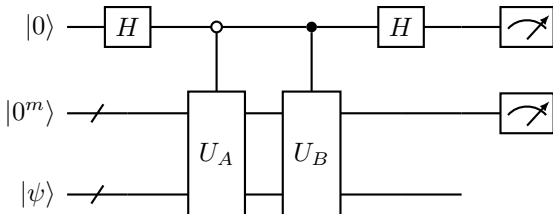
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More generally, linear combination of block-encodings can be constructed via [Linear Combination of Unitaries \(LCU\) Lemma](#).



# LCU Lemma

**LCU Lemma:**  $T = \sum_{j \in [L]} c_j U_j$  for unitaries  $U_j$ .  $\|c\|_1 = \sum_{j \in [L]} |c_j|$ .

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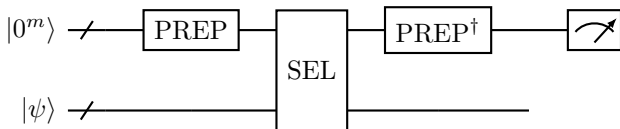
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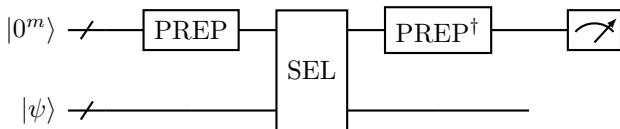
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**General LCBE:**  $\max_j m_j + \lceil \log_2 L \rceil$  ancillas

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**Truncated Taylor Series**  $\|H\| \leq \alpha$ .

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Number of time steps  $L = t/\Delta t = \mathcal{O}(\alpha t)$ ;  $K = \mathcal{O}\left(\frac{\log(t/\epsilon)}{\log \log(t/\epsilon)}\right)$

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**Question: Can we do better?**



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**Question: Can we do better? Yes! 1 additional ancilla is sufficient!**

Quantum Singular Value Transformation (QSVT) / Quantum Signal Processing (QSP)

# QSVT

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**Theorem** (QSVT with odd real polynomial)

Let  $U_A$  be a  $(1, m)$ -block-encoding of  $A \in \mathbb{C}^{2^n \times 2^n}$ . Given an odd polynomial  $P_{\Re}(x) \in \mathbb{R}[x]$  of odd degree  $d$  satisfying

$$|P_{\Re}(x)| \leq 1, \forall x \in [-1, 1].$$

We can find a sequence of phase factors  $\Phi \in \mathbb{R}^{d+1}$  and construct a  $(1, m+1)$ -block-encoding of  $P_{\Re}^\diamond(A)$  that uses  $U_A, U_A^\dagger$ ,  $m$ -qubit controlled NOT, and single qubit rotation gates for  $\mathcal{O}(d)$  times.

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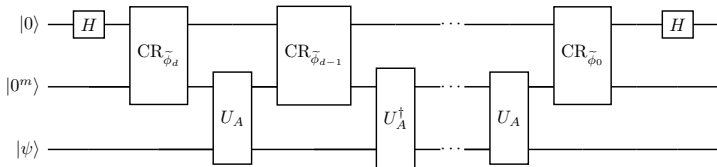
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# QSVT (Hermitian matrix + arbitrary parity)

**Theorem** (QSVT for Polynomial eigenvalue transformation)

Let  $U_A$  be a  $(\alpha, m, \epsilon)$ -block-encoding of a **Hermitian** matrix  $A \in \mathbb{C}^{2^n \times 2^n}$ . Given a  $d$ -degree polynomial  $P_{\Re}(x) \in \mathbb{R}[x]$  satisfying

$$|P_{\Re}(x)| \leq 1/2, \forall x \in [-1, 1].$$

Then for  $\delta \geq 0$ , there is a quantum circuit that constructs a  $(1, m + 2, 4d\sqrt{\epsilon/\alpha} + \delta)$ -block-encoding of  $P_{\Re}^{\diamond}(A/\alpha)$  that uses a single application of controlled- $U_A$ , and  $d$  applications of  $U_A, U_A^{\dagger}$ , and  $\mathcal{O}((m + 1)d)$  other one- and two-qubit gates.

# Optimal Hamiltonian Simulation by QSVT

Given  $U_H$ : an  $(\alpha, m, 0)$ -block-encoding of  $H$ .

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- Jacobi-Anger expansion on  $[-1, 1]$ :

$$\cos(tx) = J_0(t) + 2 \sum_{k=1}^{\infty} (-1)^k J_{2k}(t) T_{2k}(x),$$

$$\sin(tx) = 2 \sum_{k=0}^{\infty} (-1)^k J_{2k+1}(t) T_{2k+1}(x).$$

$J_\nu(t)$  denotes Bessel functions of the first kind.

# Optimal Hamiltonian Simulation by QSVT

Given  $U_H$ : an  $(\alpha, m, 0)$ -block-encoding of  $H$ .

Goal: an algorithm that makes  $\mathcal{O}(t + \log(1/\epsilon))$  queries to  $U_H$ .

- $e^{iHt} = e^{i\frac{H}{\alpha}\alpha t}$ . WLOG, assume  $\alpha = 1$ .  $e^{itx} = \cos(tx) + i \sin(tx)$
- Jacobi-Anger expansion on  $[-1, 1]$ :

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- This series converges rapidly. Truncating it with

$$r = \Theta \left( t + \frac{\log(1/\epsilon)}{\log(e + \log(1/\epsilon)/t)} \right)$$

terms gives a polynomial approximation (with precision  $\epsilon$  and degree  $2r + 1$ ) of  $\cos(tx) + i \sin(tx) = e^{itx}$ .

# Optimal Hamiltonian Simulation by QSVT

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**Query Complexity:** ( $\alpha \geq \|H\|$ .)

$$\mathcal{O}\left(\alpha t + \frac{\log(1/\epsilon)}{\log(e + \log(1/\epsilon)/(\alpha t))}\right).$$

# Optimal Hamiltonian Simulation by QSVT cont'd

Given  $U_H$ : an  $(\alpha, m, 0)$ -block-encoding of  $H$ .

Goal: an algorithm that makes  $\mathcal{O}(t + \log(1/\epsilon))$  queries to  $U_H$ .

- We now have block-encodings of both  $\cos(tH)$  and  $i \sin(tH)$  and use LCU.

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<sup>4</sup>[Berry-Childs-Cleve-Kothari-Somma 2014/2015]

## Optimal Hamiltonian Simulation by QSVT cont'd

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- We now have block-encodings of both  $\cos(tH)$  and  $i \sin(tH)$  and use LCU. Or equivalently, directly use QSVT for polynomial eigenvalue transformation with arbitrary parity. We have a  $(1, m + 2, \epsilon/6)$  of  $e^{itH}/2$ .

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- Last step: We want to turn  $e^{itH}/2$  to  $e^{itH}$ .

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- Last step: We want to turn  $e^{itH}/2$  to  $e^{itH}$ . Notice this can be done by QSVT with  $P(x) = 3x - 4x^3$ .

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# Optimal Hamiltonian Simulation by QSVT cont'd

Given  $U_H$ : an  $(\alpha, m, 0)$ -block-encoding of  $H$ .

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- Last step: We want to turn  $e^{itH}/2$  to  $e^{itH}$ . Notice this can be done by QSVT with  $P(x) = 3x - 4x^3$ .

## Oblivious Amplitude Amplification (OAA)<sup>4</sup>

Given a block-encoding  $U_A$  of  $A$  (and  $A$  is close to unitary):

$A = \alpha(\langle 0|_m \otimes I_n)U_A(|0\rangle_s \otimes I_n)$ . Define  $R := I_m - 2|0\rangle_m \langle 0|_m$  and

$$W = -U_A(R \otimes I_n)U_A^\dagger(R \otimes I_n)U_A.$$

$$(\langle 0|_m \otimes I_n)W(|0\rangle_m \otimes I_n) = \frac{3}{\alpha}A - \frac{4}{\alpha^3}AA^\dagger A \approx \left(\frac{3}{\alpha} - \frac{4}{\alpha^3}\right)A.$$

<sup>4</sup>[Berry-Childs-Cleve-Kothari-Somma 2014/2015]



# Summary of Hamiltonian Simulation

- Hamiltonian simulation: motivation; set-up
- Expected cost: No-fast-forwarding theorem and BQP-hardness
- Algorithms
  - Trotterization
  - Revisit of Block-encoding; truncated Taylor series
  - Optimal Hamiltonian Simulation via QSVT

## Summary of time-independent Ham Sim cont'd

- **Trotterization:**  
1st-order Trotter formula

$$e^{-iHt} = \left( e^{-iH_1 t/L} e^{-iH_2 t/L} \right)^L + \mathcal{O}(\|[H_1, H_2]\| t^2/L)$$

High order ( $p$ -th):  $\mathcal{O}(\|\text{Comm}\|^{1/p} \frac{t^{1+1/p}}{\epsilon^{1/p}})$

Randomized product formula, e.g., qDRIFT:  $\mathcal{O}(\alpha^2 t^2/\epsilon)$ .  
(*weak convergence* wrt the diamond norm of Quantum channels)

- **LCU, e.g. Truncated Taylor series:**

$$\mathcal{O}\left(\alpha t \frac{\log(t/\epsilon)}{\log \log(t/\epsilon)}\right).$$

- **QSP/QSVT:**  $\mathcal{O}\left(\alpha t + \frac{\log(1/\epsilon)}{\log(e + \log(1/\epsilon)/(\alpha t))}\right)$

## Helpful References

- Lecture notes on Quantum Algorithms for Scientific Computations by Lin Lin (UC Berkeley) [arXiv:2201.08309]
- Lecture notes on Quantum Algorithms by Andrew Childs (U Maryland)
- A. Gilyen, Y. Su, G.H. Low, N. Wiebe. Quantum singular value transformation and beyond: exponential improvements for quantum matrix arithmetics, [arXiv 1806.01838]

Thank you for your attention!

