

# Gravity Waves in Shear and Implications for Organized Convection

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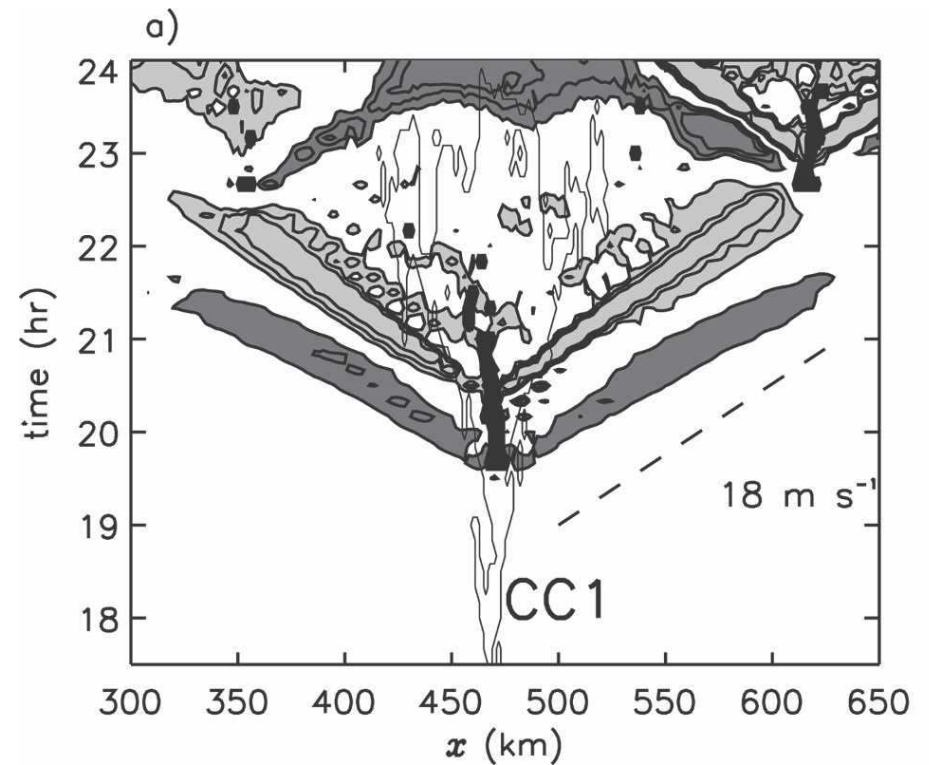
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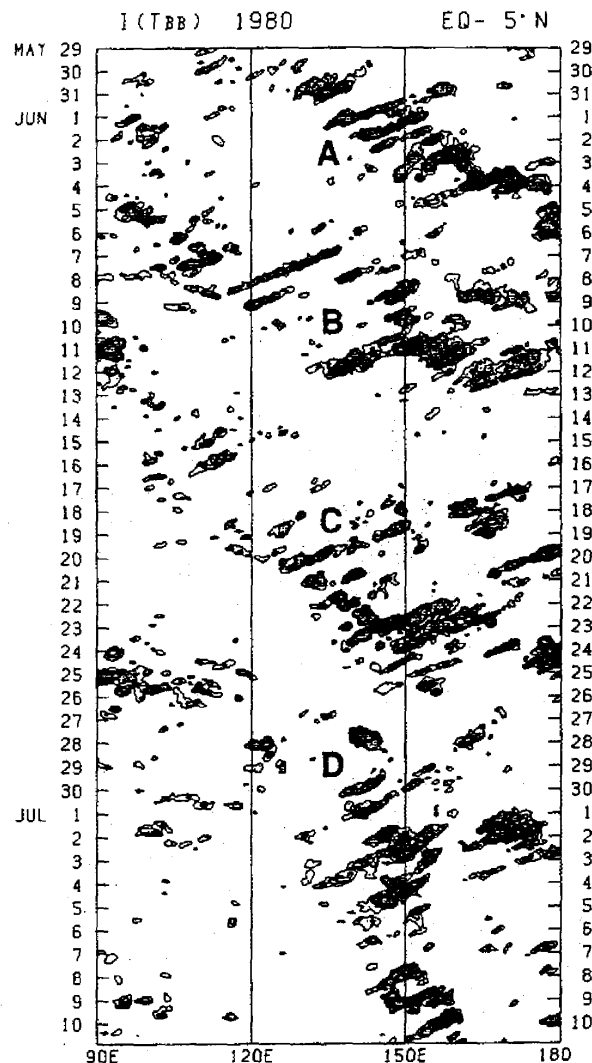
# Gravity waves and organized convection

- Convection can excite gravity waves
- Gravity waves can suppress or excite new convection



from Tulich and Mapes (2008)

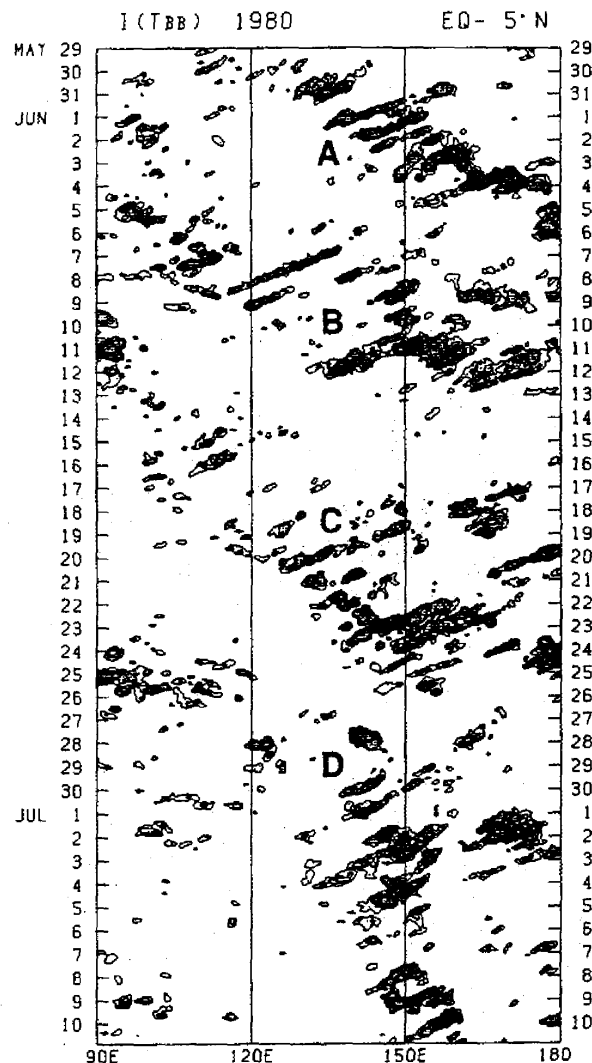
# Convectively coupled waves: Envelopes of mesoscale convective systems



- Embedded cloud systems propagate in opposite direction of wave envelope
- New cloud systems tend to form on a preferred side of preexisting cloud systems

from Nakazawa (1988)

# Convectively coupled waves: Envelopes of mesoscale convective systems



- What causes wave trains to form preferentially (rather than scattered convection)?
- What determines the preferred propagation direction of the convectively coupled wave?
- Hypothesis: interactions of gravity waves with wind shear

from Nakazawa (1988)

# Outline

- Designing a simple model with waves in shear
- Testing a simple model with waves in shear
- Application: preferred propagation direction of convectively coupled waves
- Application: formation of new convective cells in front of convective system

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# Gravity waves in the tropical atmosphere

Hydrostatic Boussinesq equations:

$$\frac{\partial U}{\partial t} + U \frac{\partial U}{\partial x} + W \frac{\partial U}{\partial z} + \frac{\partial P}{\partial x} = 0$$

$$\frac{\partial P}{\partial z} = g \frac{\Theta}{\theta_{ref}}$$

$$\frac{\partial \Theta}{\partial t} + U \frac{\partial \Theta}{\partial x} + W \frac{\partial \Theta}{\partial z} + W \frac{d\theta_{bg}}{dz} = 0$$

$$\frac{\partial U}{\partial x} + \frac{\partial W}{\partial z} = 0$$

$U$  = horizontal velocity

$P$  = pressure

$W$  = vertical velocity

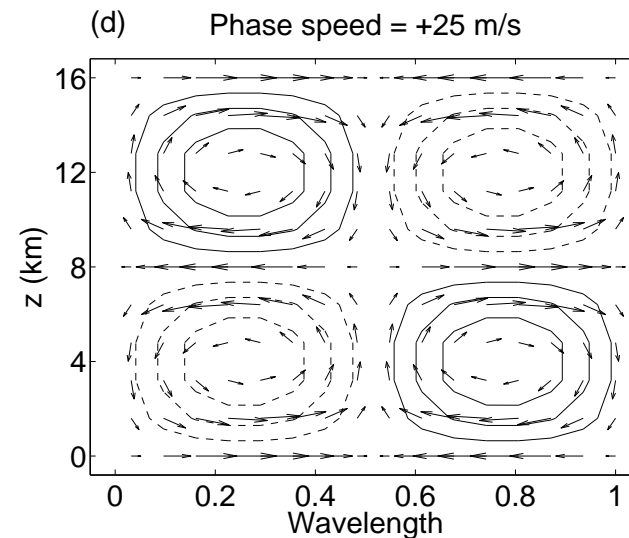
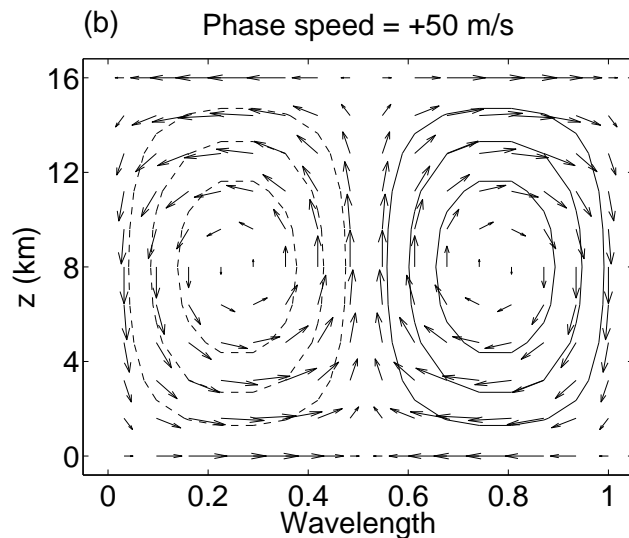
$\Theta$  = temperature

# Gravity waves in the tropical atmosphere

Linearized equations with trivial background state  $\bar{U}(z) = 0$ :

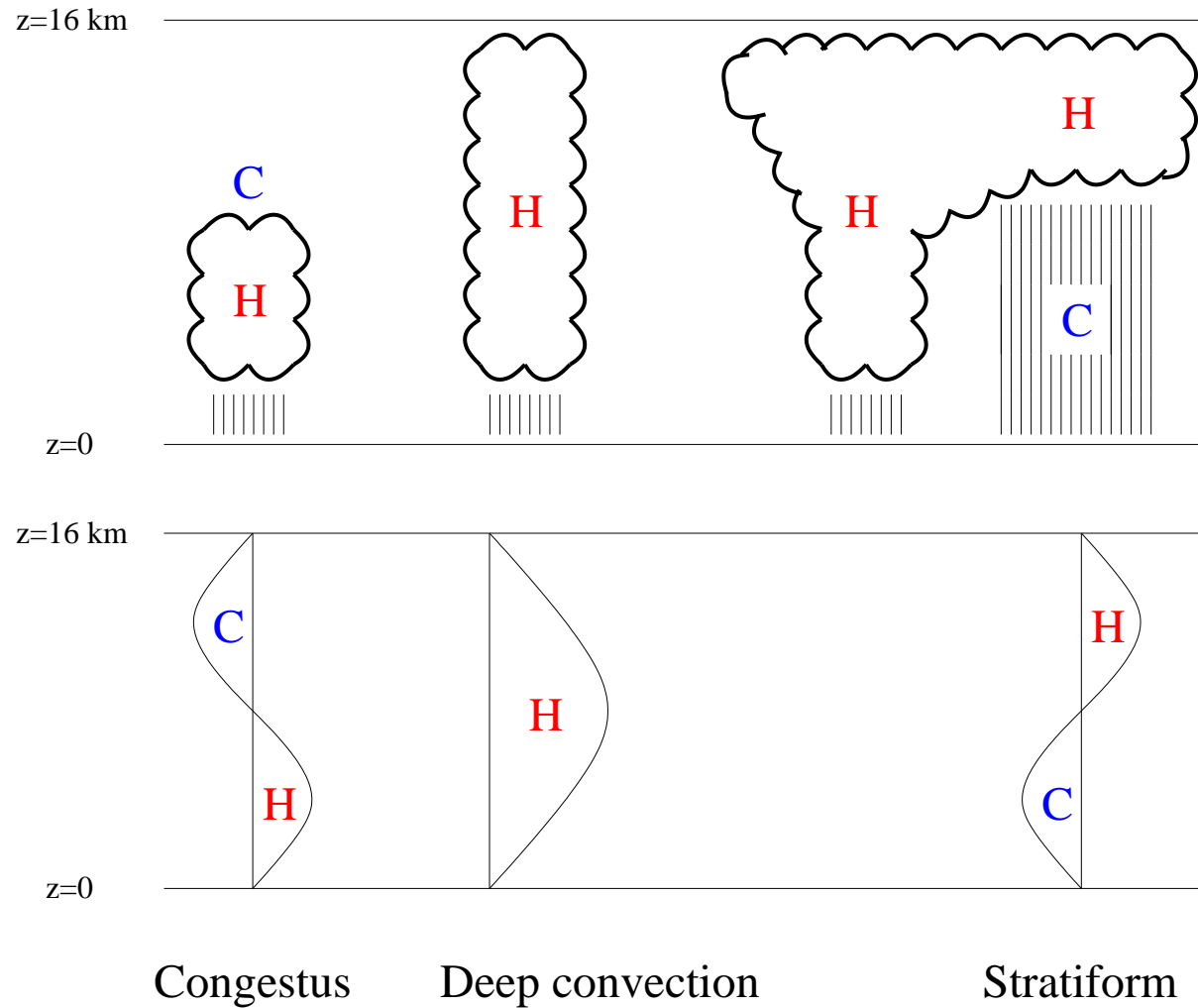
- Independent vertical modes:  $U'(x, z, t) = \sum_j u'_j(x, t) \cos jz$ , etc.
- Shallow water system for each vertical mode  $j$ :

$$\frac{\partial u'_j}{\partial t} - \frac{\partial \theta'_j}{\partial x} = 0$$
$$\frac{\partial \theta'_j}{\partial t} - \frac{1}{j^2} \frac{\partial u'_j}{\partial x} = 0$$



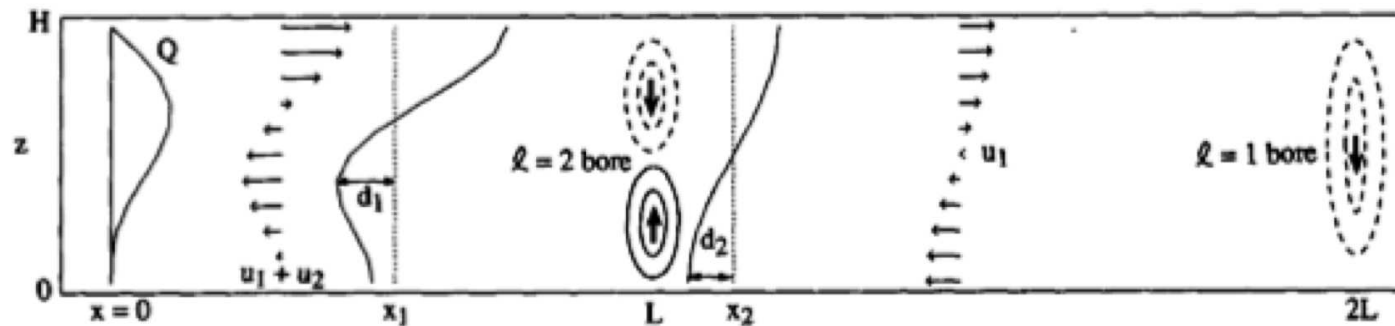


# Cloud types and vertical modes

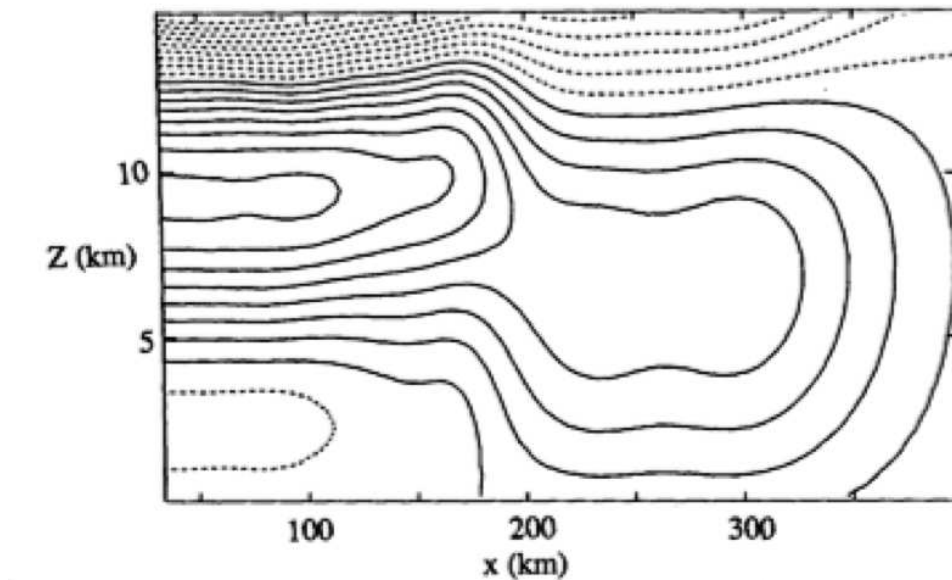


# Gravity waves and organized convection

Theory: the role of (1) deep convection and (2) stratiform heating



Buoyancy contours



from Mapes (1993)

# Gravity waves and organized convection

## Previous work:

### Simplified models without wind shear

- Nicholls et al (1991), Pandya et al (1993), Mapes (1993), Liu and Moncrieff (2004)

### Cloud resolving models with/without shear

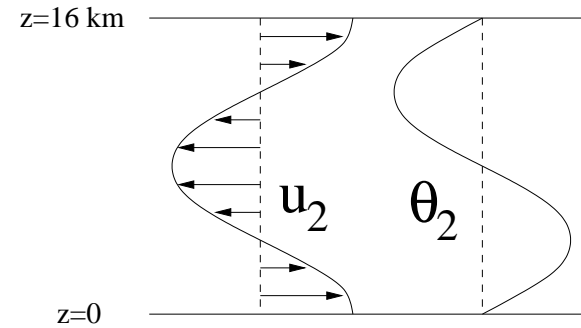
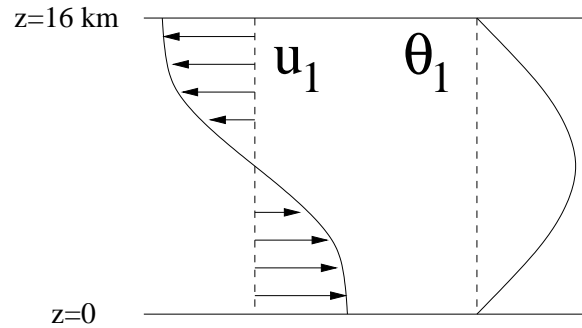
- Bretherton and Smolarkiewicz (1989), Oouchi (1999), Lane and Reeder (2001), Shige and Satomura (2001), Lac et al (2002), Tulich and Mapes (2008)

## Present work:

### Simplified nonlinear model with wind shear

- Stechmann and Majda (2009), Stechmann, Majda, Khouider (2008)

# A simple model with waves in shear



Project **nonlinear** equations

$$\partial_t U + U \partial_x U + W \partial_z U + \partial_x P = 0$$

onto vertical modes

$$U(x, z, t) = u_1(x, t) \sqrt{2} \cos \frac{\pi z}{H} + u_2(x, t) \sqrt{2} \cos \frac{2\pi z}{H}$$

The result is ...

## 2-Mode Shallow Water Equations

$$\left\{ \begin{array}{l} \frac{\partial u_1}{\partial t} - \frac{\partial \theta_1}{\partial x} = -\frac{3}{\sqrt{2}} \left[ u_2 \frac{\partial u_1}{\partial x} + \frac{1}{2} u_1 \frac{\partial u_2}{\partial x} \right] \\ \frac{\partial \theta_1}{\partial t} - \frac{\partial u_1}{\partial x} = -\frac{1}{\sqrt{2}} \left[ 2u_1 \frac{\partial \theta_2}{\partial x} + 4\theta_2 \frac{\partial u_1}{\partial x} - u_2 \frac{\partial \theta_1}{\partial x} - \frac{1}{2} \theta_1 \frac{\partial u_2}{\partial x} \right] \end{array} \right.$$

$$\left\{ \begin{array}{l} \frac{\partial u_2}{\partial t} - \frac{\partial \theta_2}{\partial x} = 0 \\ \frac{\partial \theta_2}{\partial t} - \frac{1}{4} \frac{\partial u_2}{\partial x} = -\frac{1}{2\sqrt{2}} \left[ u_1 \frac{\partial \theta_1}{\partial x} - \theta_1 \frac{\partial u_1}{\partial x} \right] \end{array} \right.$$

- Nonlinear, hydrostatic internal gravity waves **with effect of background shear**

# Outline

- Designing a simple model with waves in shear
- Testing a simple model with waves in shear
- Application: preferred propagation direction of convectively coupled waves
- Application: formation of new convective cells in front of convective system

# Interesting properties of the 2MSWE

- Non-conservative

$$\mathbf{u}_t + A(\mathbf{u})\mathbf{u}_x = 0, \quad A(\mathbf{u}) \neq \frac{\partial \mathbf{f}}{\partial \mathbf{u}}, \quad \mathbf{u} = (u_1, \theta_1, u_2, \theta_2)$$

- Eigenstructure is not analytically accessible
- Energy is conserved:  $(u_1^2 + u_2^2 + \theta_1^2 + 4\theta_2^2)/2$
- Conditionally hyperbolic
- Neither genuinely nonlinear nor linearly degenerate
- Background shear can affect propagating waves

Several similarities, some differences from properties of  
2-phase fluid equations and 2-layer shallow water equations

# Numerical Methods

Numerical methods are a challenge for non-conservative PDE

$$\frac{\partial \mathbf{u}}{\partial t} + A(\mathbf{u}) \frac{\partial \mathbf{u}}{\partial x} = 0$$

Our approach: split  $A$  into conservative and non-conservative parts:

$$\frac{\partial \mathbf{u}}{\partial t} + \frac{\partial}{\partial x} \mathbf{F}(\mathbf{u}) = -A_{nc}(\mathbf{u}) \frac{\partial \mathbf{u}}{\partial x}, \quad \text{where } \frac{\partial \mathbf{F}}{\partial \mathbf{u}} = A_c$$

Operator splitting:

1. Non-oscillatory central scheme of Nessyahu and Tadmor (1990) for

$$\frac{\partial \mathbf{u}}{\partial t} + \frac{\partial}{\partial x} \mathbf{F}(\mathbf{u}) = 0$$

2. Centered spatial differences with 2nd order Runge–Kutta for

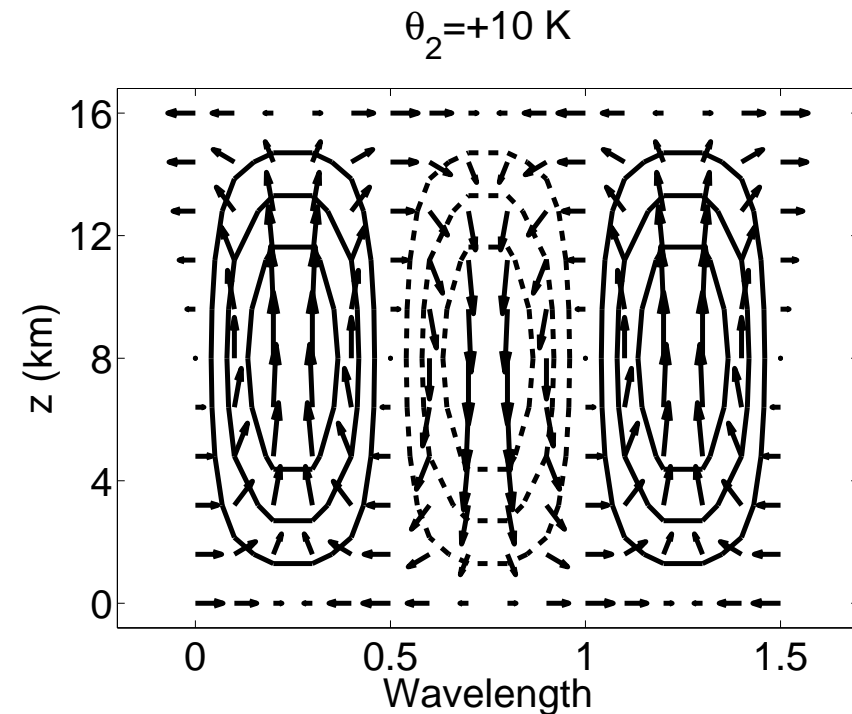
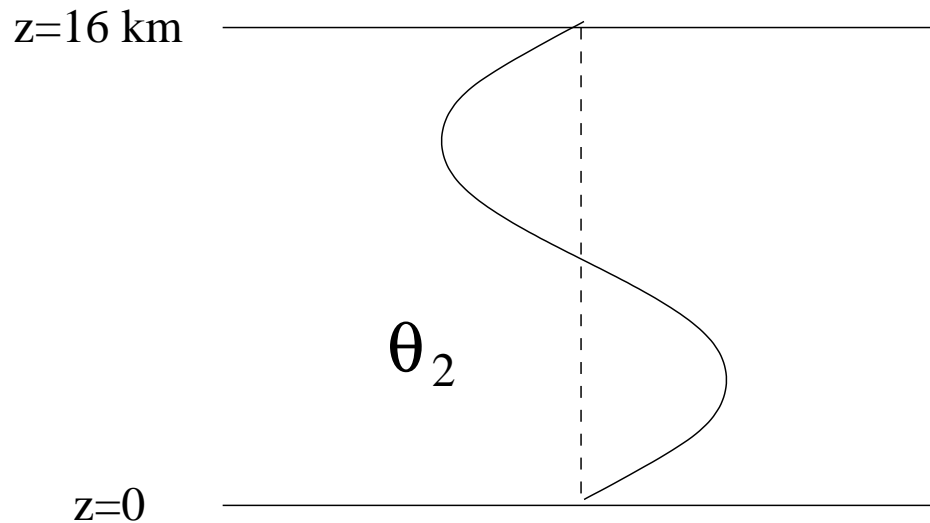
$$\frac{\partial \mathbf{u}}{\partial t} = -A_{nc}(\mathbf{u}) \frac{\partial \mathbf{u}}{\partial x}$$

(Note: eigenvalues of  $A_{nc}$  are all zero)



## 2MSWE are conditionally hyperbolic

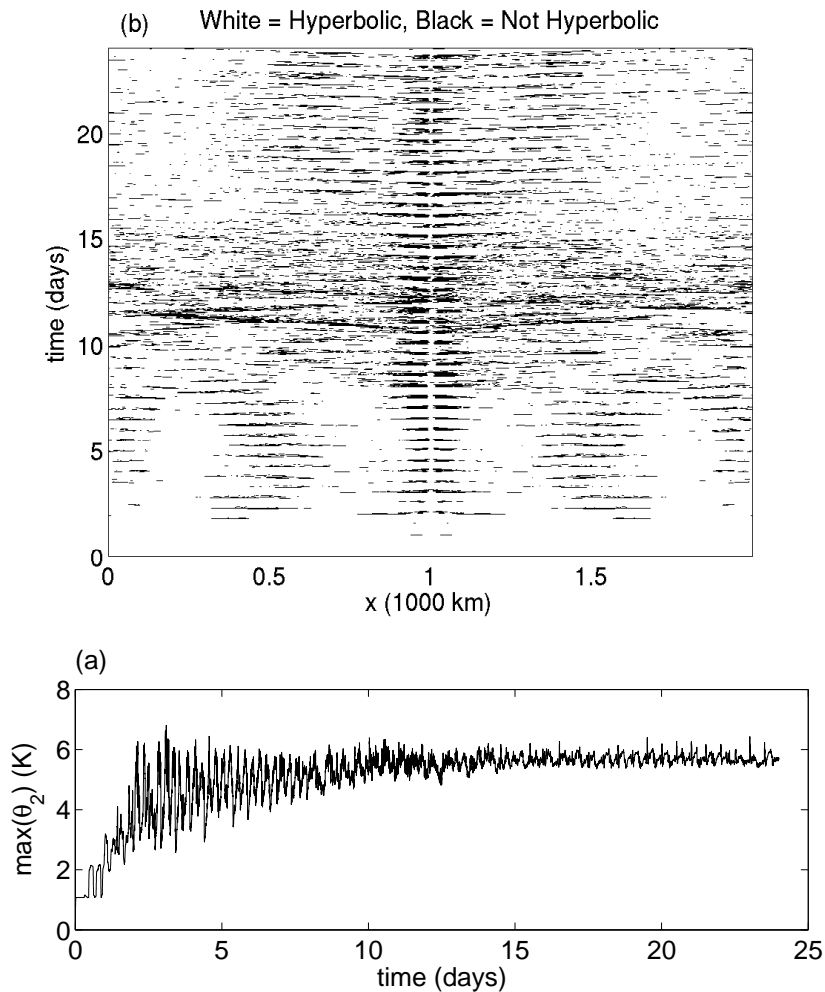
- Hyperbolic for lower values of  $u$  and  $\theta$
- Not hyperbolic for larger shears or temperatures
  - Richardson number-like criterion for instability
  - Unstable waves have overturning circulation to stabilize



# Numerical Test:

## Forcing the system into non-hyperbolic states

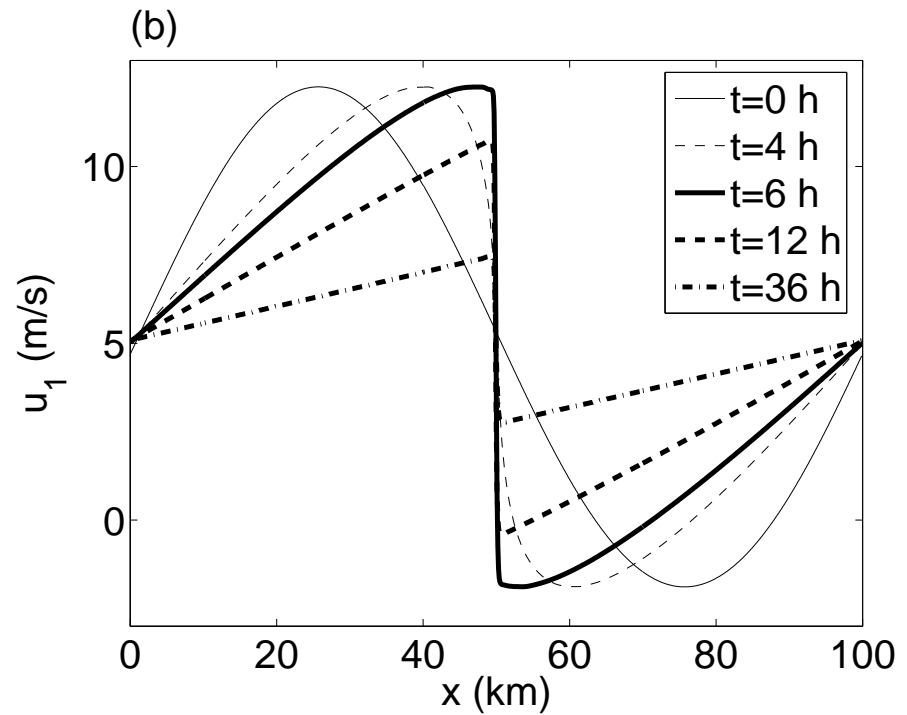
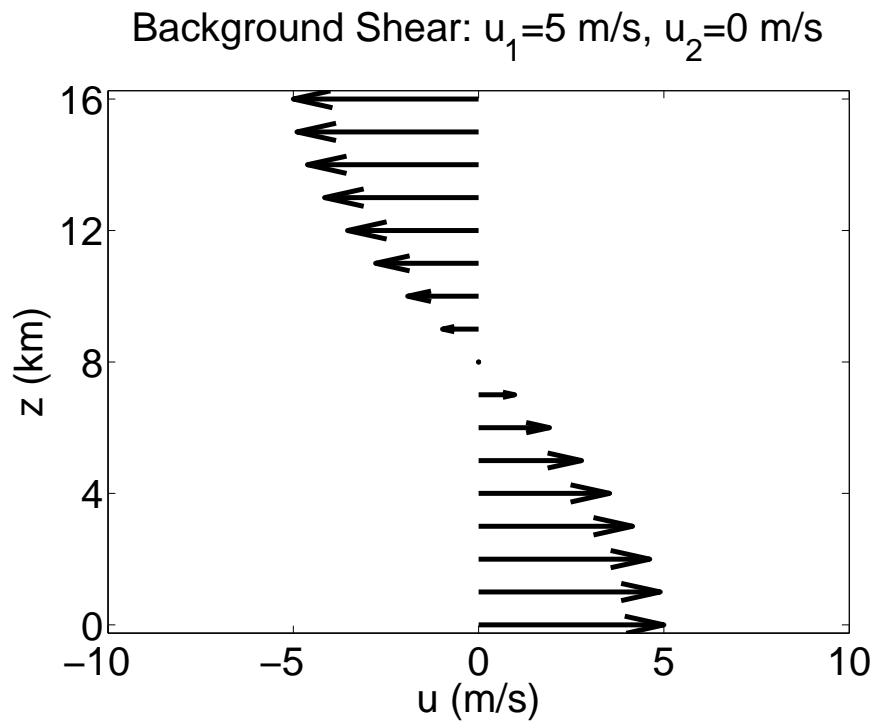
Add an imposed source term:  $\mathbf{u}_t + A(\mathbf{u})\mathbf{u}_x = \mathbf{S}(x, t)$



- Non-hyp. states accessed often
- But no catastrophic effects from non-hyperbolicity
- Energy also levels off with time

# Smooth waves can break sometimes (but not always)

- With  $u_1$  “background shear,” smooth waves break in finite time



# Summary of 2-mode shallow water equations

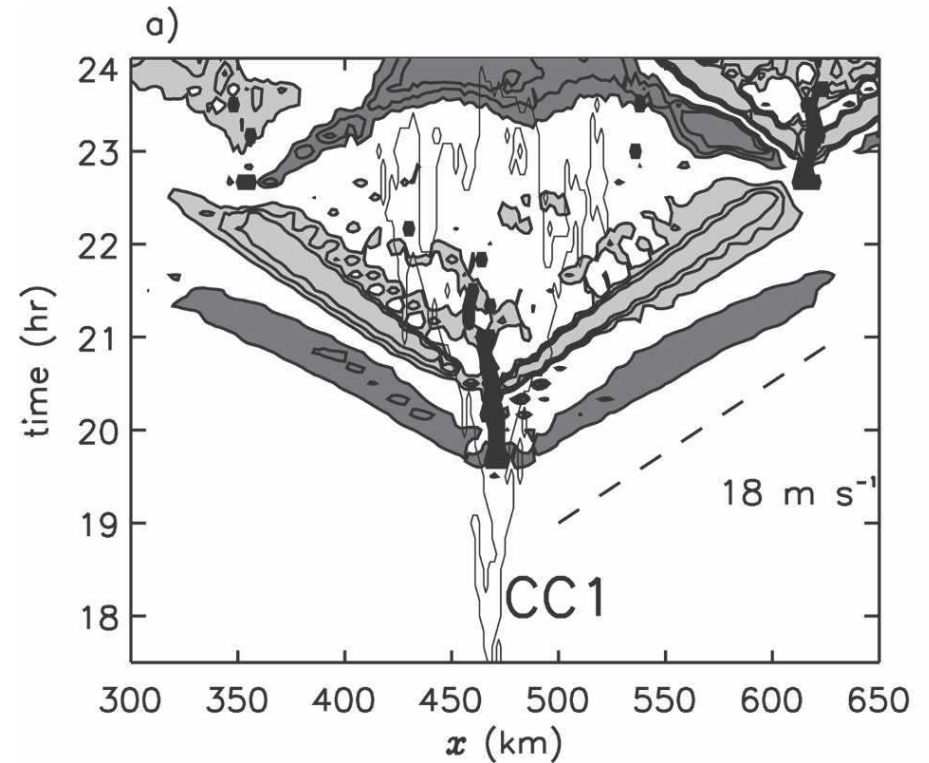
- Simple model for nonlinear interaction of waves and shear
- Several interesting mathematical properties
- Simple numerical method passes several difficult tests

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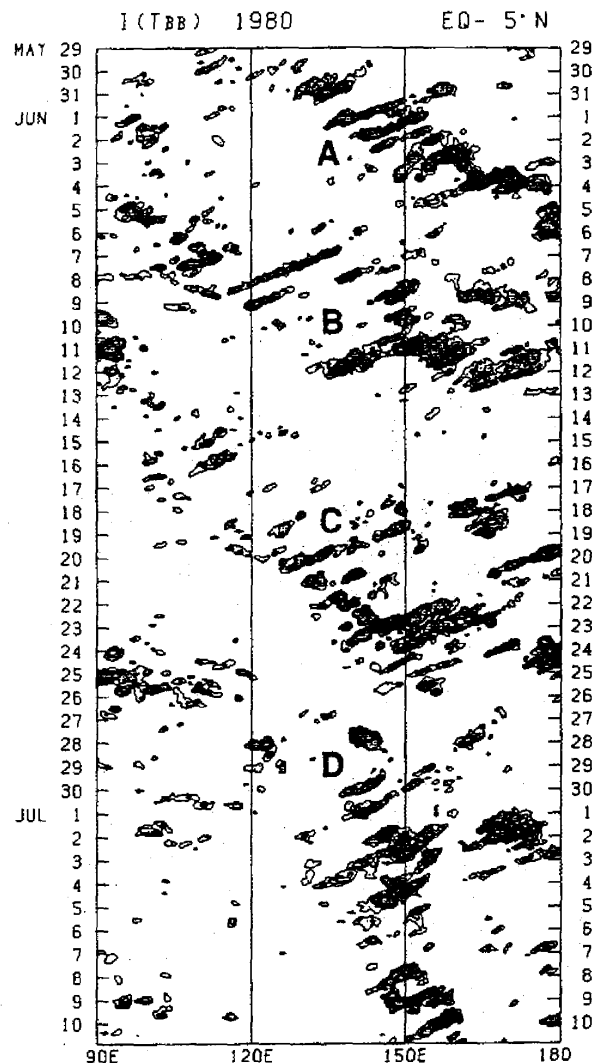
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from Tulich and Mapes (2008)

# Convectively coupled waves: Envelopes of mesoscale convective systems

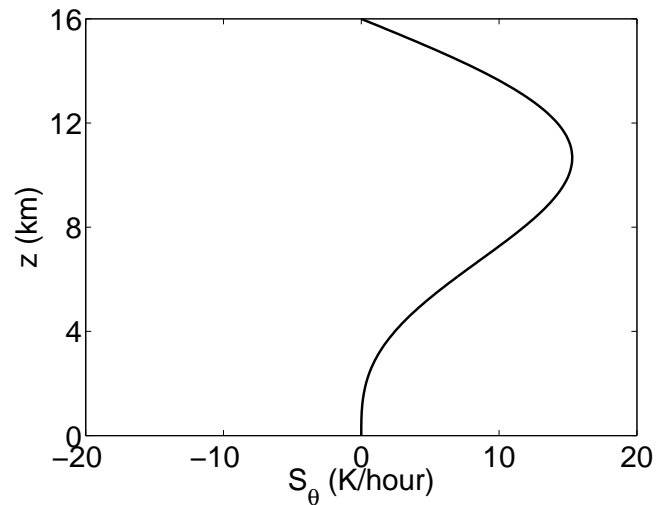
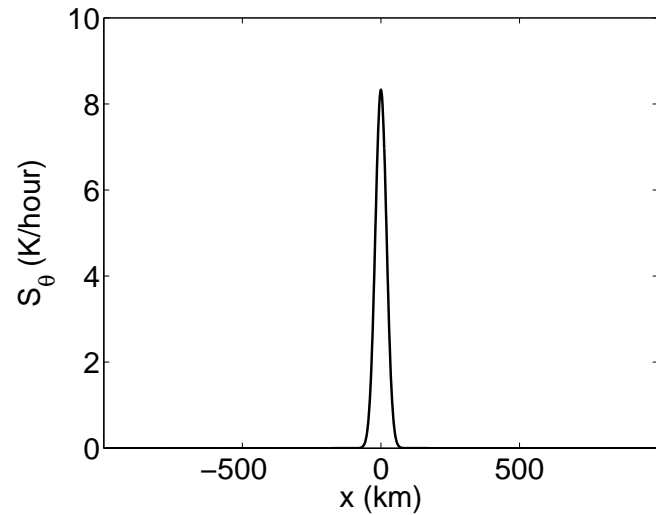


- What causes wave trains to form preferentially (rather than scattered convection)?
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- Hypothesis: interactions of gravity waves with wind shear

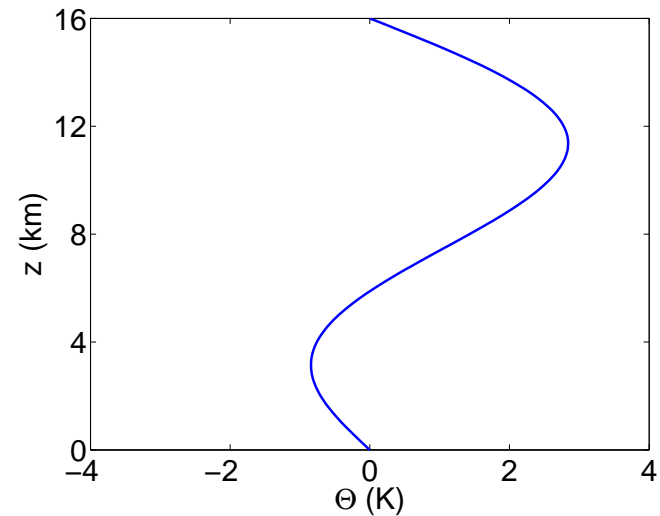
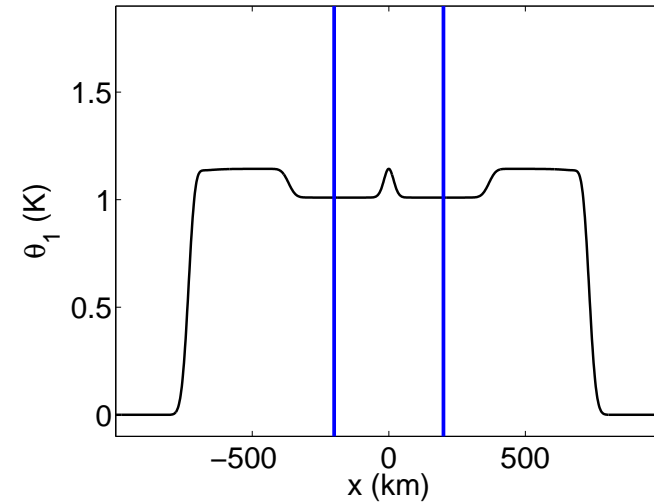
from Nakazawa (1988)

# Numerical experiment WITHOUT wind shear

Forcing



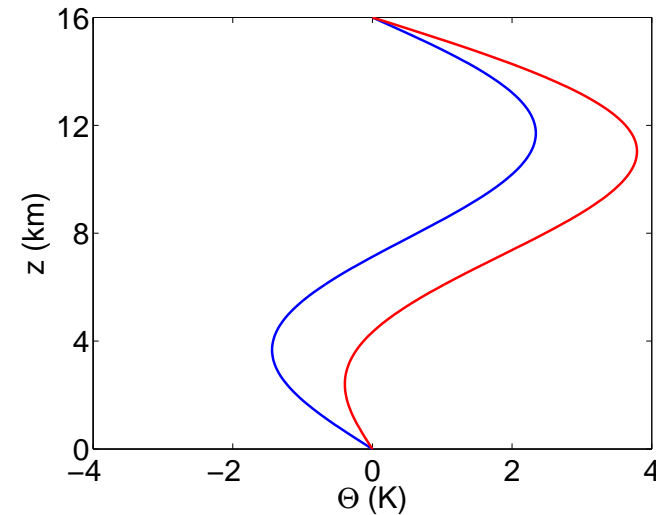
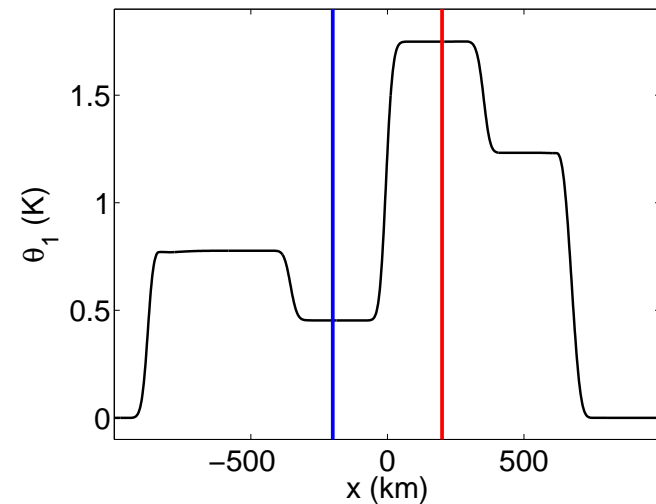
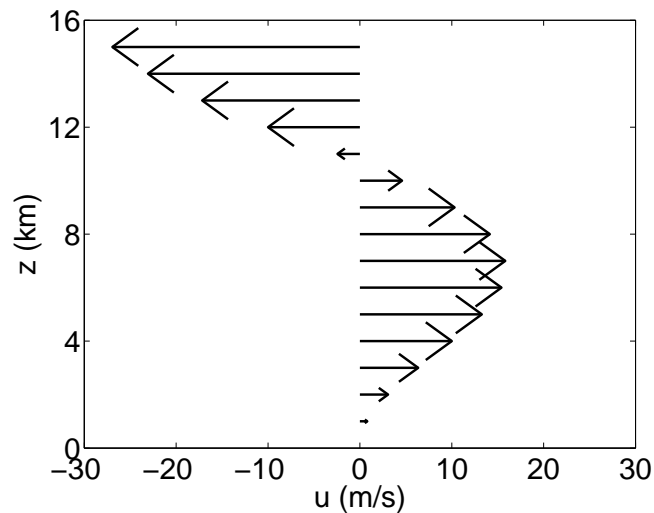
Potential temp. response



Results **symmetric** to east and west of forcing



# Numerical experiment WITH wind shear



- **West** of forcing is more favorable for new convection than **east**
- In agreement with observations for this wind shear (Wu and LeMone, 1999)
- Consistent with features of CCW envelope and embedded cloud systems

# Linear theory

A measure of the east–west asymmetry due to wind shear:

- the jump in  $\theta$  across the source,  $[\theta] = \theta^+ - \theta^-$

Linearized equations with singular source term:

$$\partial_t \mathbf{u} + A(\bar{\mathbf{u}}) \partial_x \mathbf{u} = \mathbf{S}^* \delta(x)$$

Rankine–Hugoniot jump conditions at location of source:

$$A(\bar{\mathbf{u}})[\mathbf{u}] = \mathbf{S}^*$$

Results: linear theory agrees with nonlinear simulations to within 10 %

# Optimal shears for east–west asymmetry

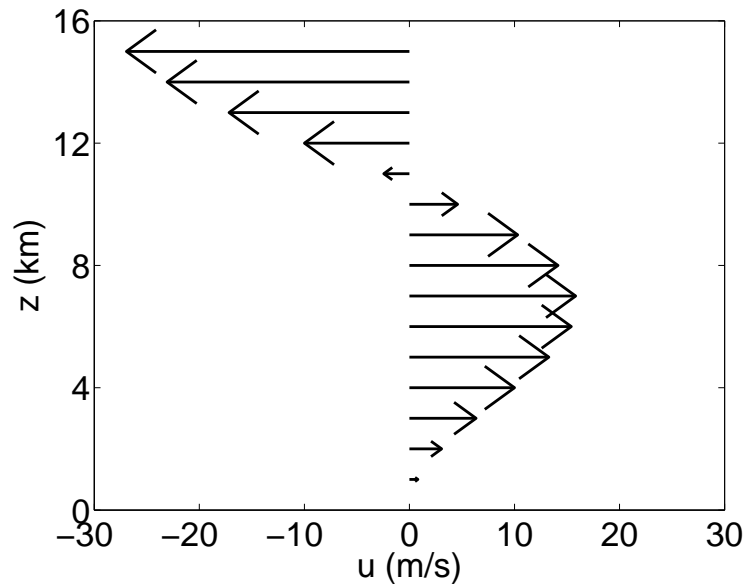
Which shear profiles  $\bar{U}(z)$  maximize  $[\theta_1]$ ?

Which shear profiles  $\bar{U}(z)$  lead to  $[\theta_1] = 0$

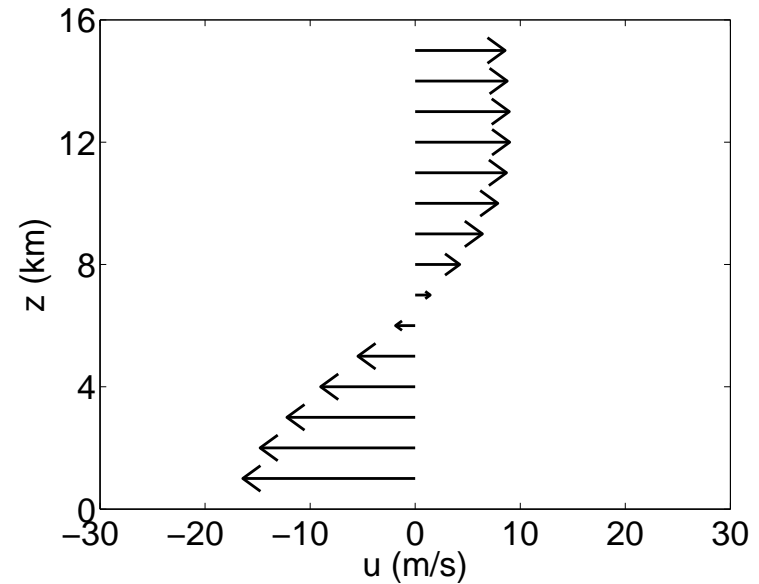
Use linear theory solutions:  $A[\mathbf{u}] = \mathbf{S}^*$

Results:

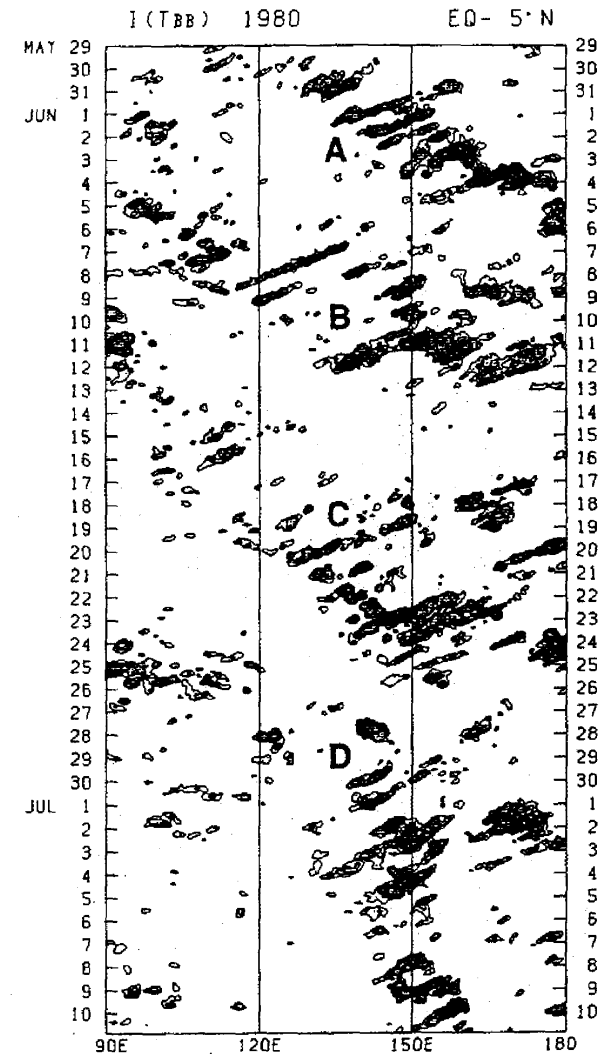
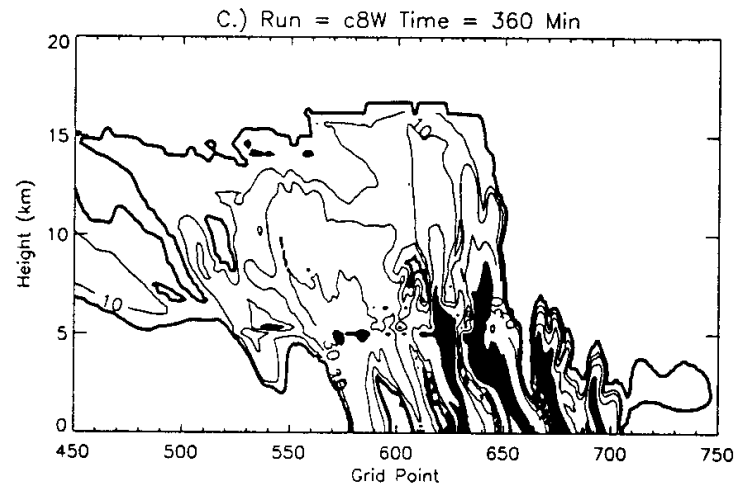
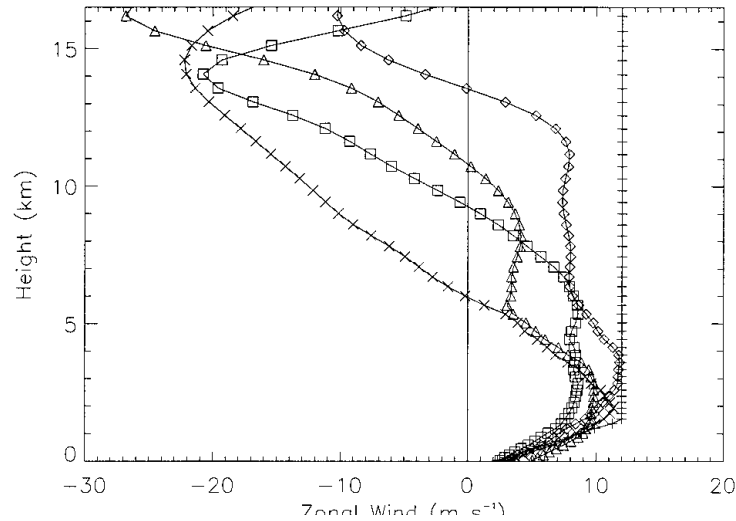
Jet shears maximize  $[\theta_1]$



Profiles with zero shear at upper levels lead to  $[\theta_1] = 0$



# Wave trains of cloud systems



- Individual cloud systems propagate **eastward**
- Convectively coupled wave propagates **westward**

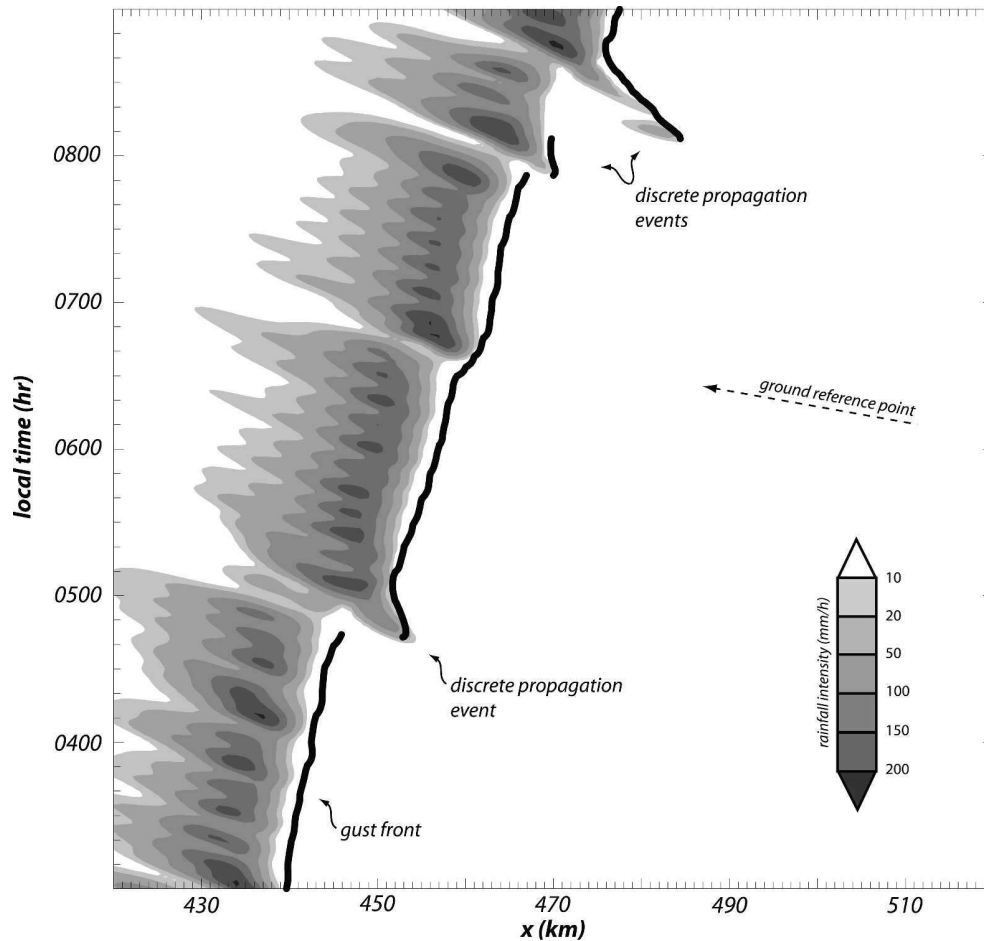
# Summary of Application #1

- Wind shear can lead to east–west asymmetry
  - jet shears lead to largest east–west asymmetry
  - linear theory is accurate to within 10 % (usually)
  - *predictions of preferred propagation direction for convectively coupled gravity waves in a background wind shear*

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# The role of gravity waves within an individual convective system

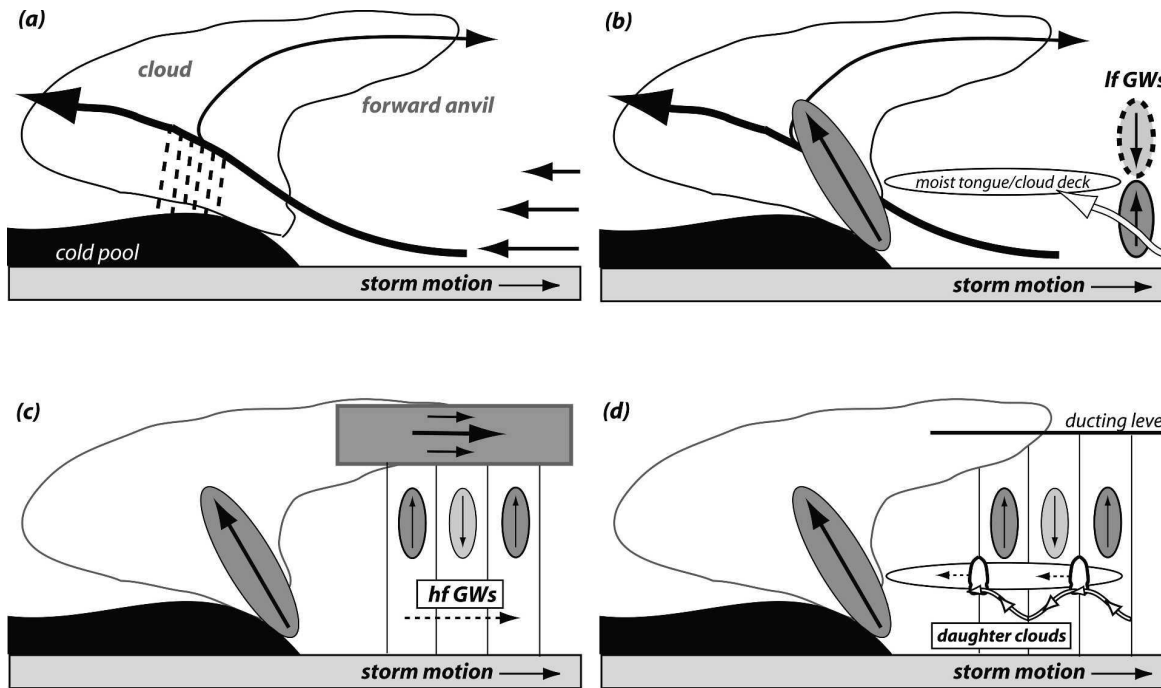


- New convective cells initiated ahead of existing squall line
- New cells merge with existing squall line

from “Discrete propagation in numerically simulated nocturnal squall lines”

by Fovell et al. (2006)

# The role of gravity waves within an individual convective system



- Gravity waves initiate new convective cells
- What are the physical mechanisms involved?

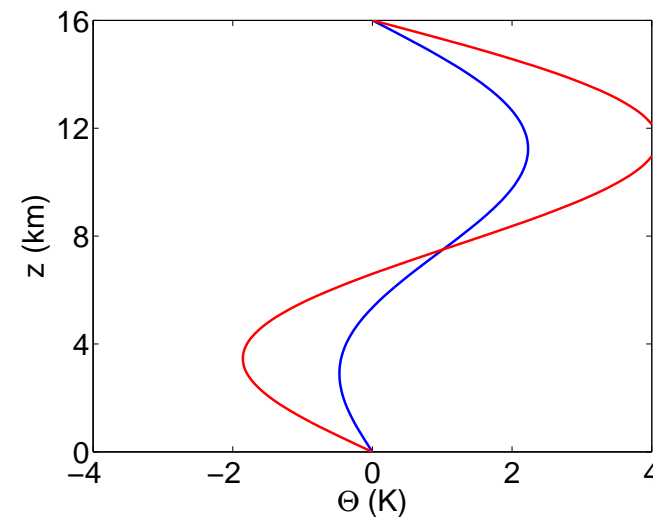
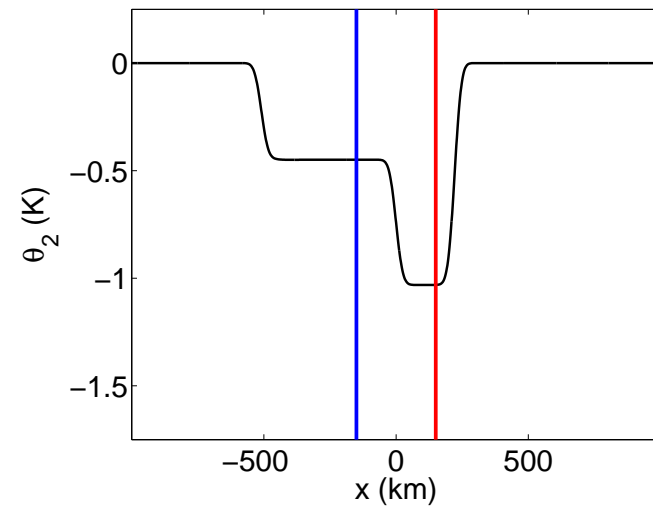
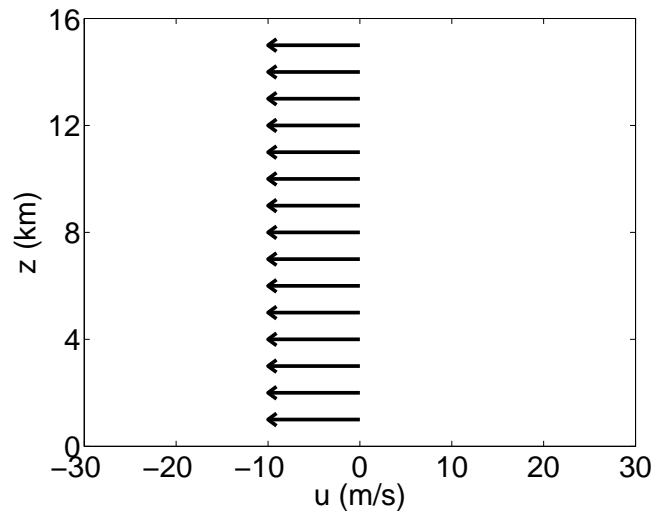
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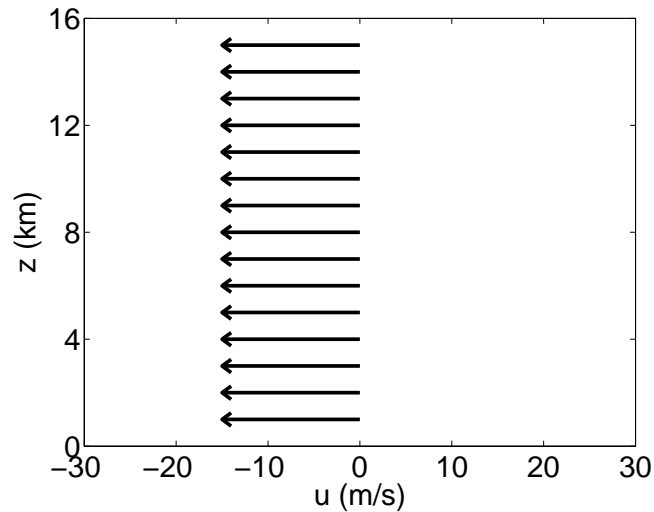
Results with  
simplified model for waves in shear

# Numerical experiment with headwind

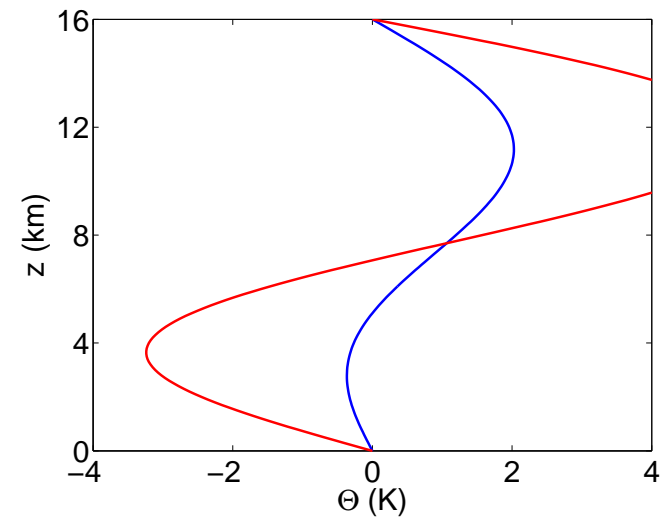
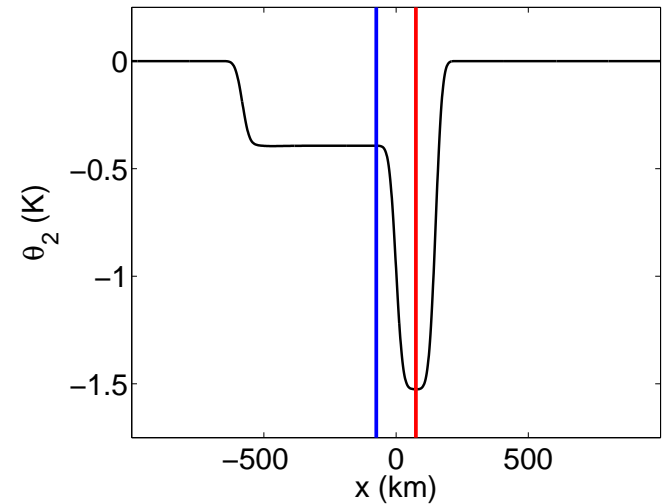


- Repeat earlier jet-shear experiment with headwind added
- Headwind is equivalent to propagating source (i.e., propagating squall line)
- Headwind confines upstream wave to vicinity of source
- **East** is more favorable for new convection than **west** *at low levels*

# Numerical experiment with stronger headwind



Source propagation speed = 15 m/s  
2nd baroclinic wave speed = 25 m/s  
 $\Rightarrow$  near resonant forcing



- Faster propagation leads to more favorable environment
- If squall line propagation speed  $\approx$  gravity wave speed, then *wave amplitude is large due to near-resonance*

# Summary

- 2-mode shallow water equations:
  - simplified nonlinear model for waves interacting with wind shear
  - interesting mathematical properties
  - numerical method passes several difficult tests
- Predictions of preferred propagation direction of convectively coupled waves in a background wind shear
  - wind shear can lead to east–west asymmetries
  - jet shears lead to largest east–west asymmetries
  - linear theory is accurate to within 10 % (usually)
- Initiation of new convective cells ahead of individual convective system
  - Propagation of source leads to near-resonant forcing and amplification of upstream waves

Stechmann and Majda (2009), *J. Atmos. Sci*

Stechmann, Majda, Khouider (2008), *Theoretical and Computational Fluid Dynamics*