

# Model Considerations for Behavior Estimation and Prediction

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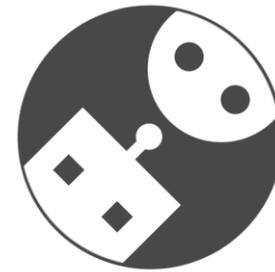
IPAM Tutorials: Human Factors II  
September 24, 2020



Kyle Brown



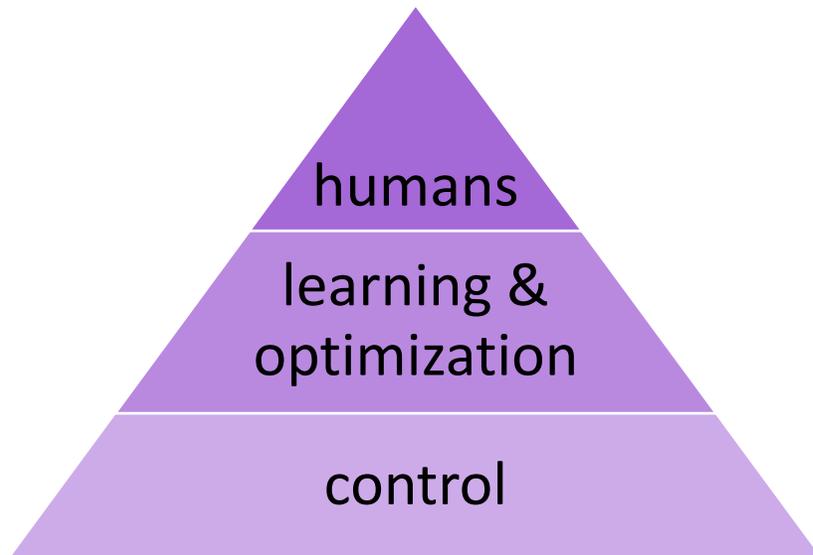
Zhe Huang



HUMAN-CENTERED  
AUTONOMY LAB

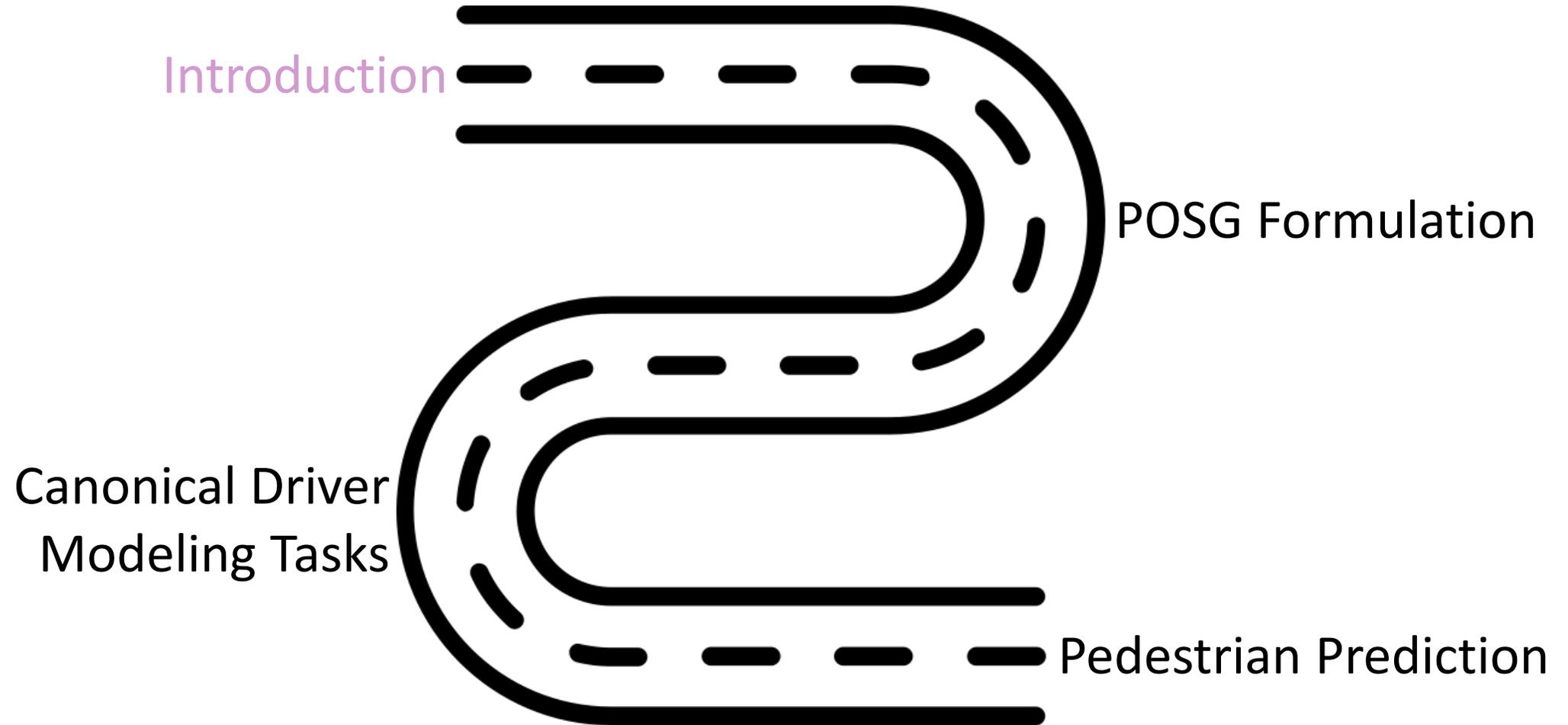


# How can we ensure safety in autonomous systems that operate with people in the real-world?





# Today's Roadmap



# Partially Observable Stochastic Game

$$\mathbf{x}_i^{(t)} \in \mathcal{X}_i$$

*kinematic state (of agent  $i$  at time  $t$ )*

$$\mathbf{b}_i^{(t)} \in \mathcal{B}_i$$

*internal state*

$$\mathbf{u}_i^{(t)} \in \mathcal{U}_i$$

*control action*

$$\mathbf{z}_i^{(t)} \in \mathcal{Z}_i$$

*observation*

$$\mathbf{x}_i^{(t+1)} \sim F_i(\mathbf{x}_i^{(t)}, \mathbf{u}_i^{(t)})$$

*state transition function*

$$\mathbf{z}_i^{(t)} \sim G_i(\mathbf{x}_1^{(t)}, \dots, \mathbf{x}_n^{(t)})$$

*observation function*

$$\mathbf{b}_i^{(t+1)} \sim H_i(\mathbf{b}_i^{(t)}, \mathbf{z}_i^{(t)})$$

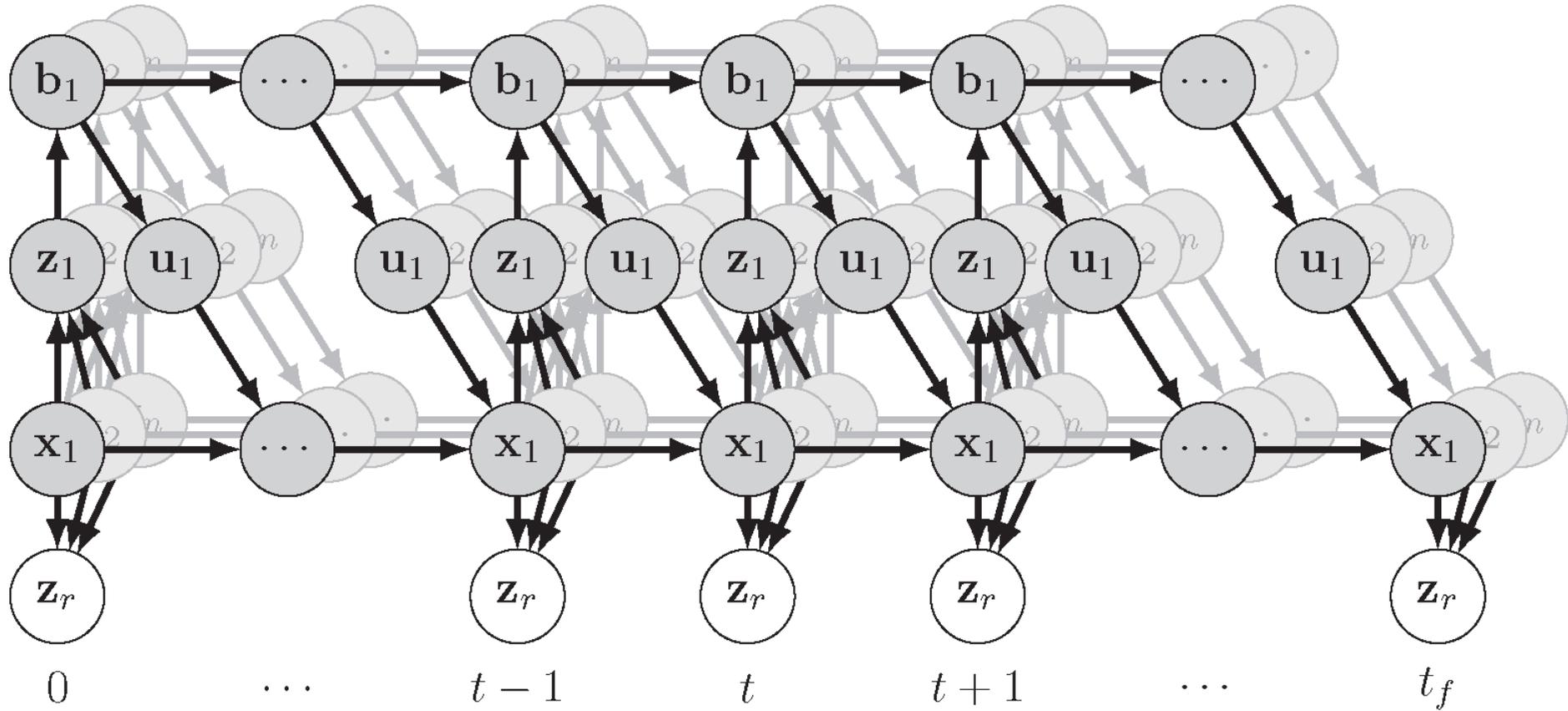
*internal state transition function*

$$\mathbf{u}_i^{(t)} \sim \pi_i(\mathbf{b}_i^{(t)})$$

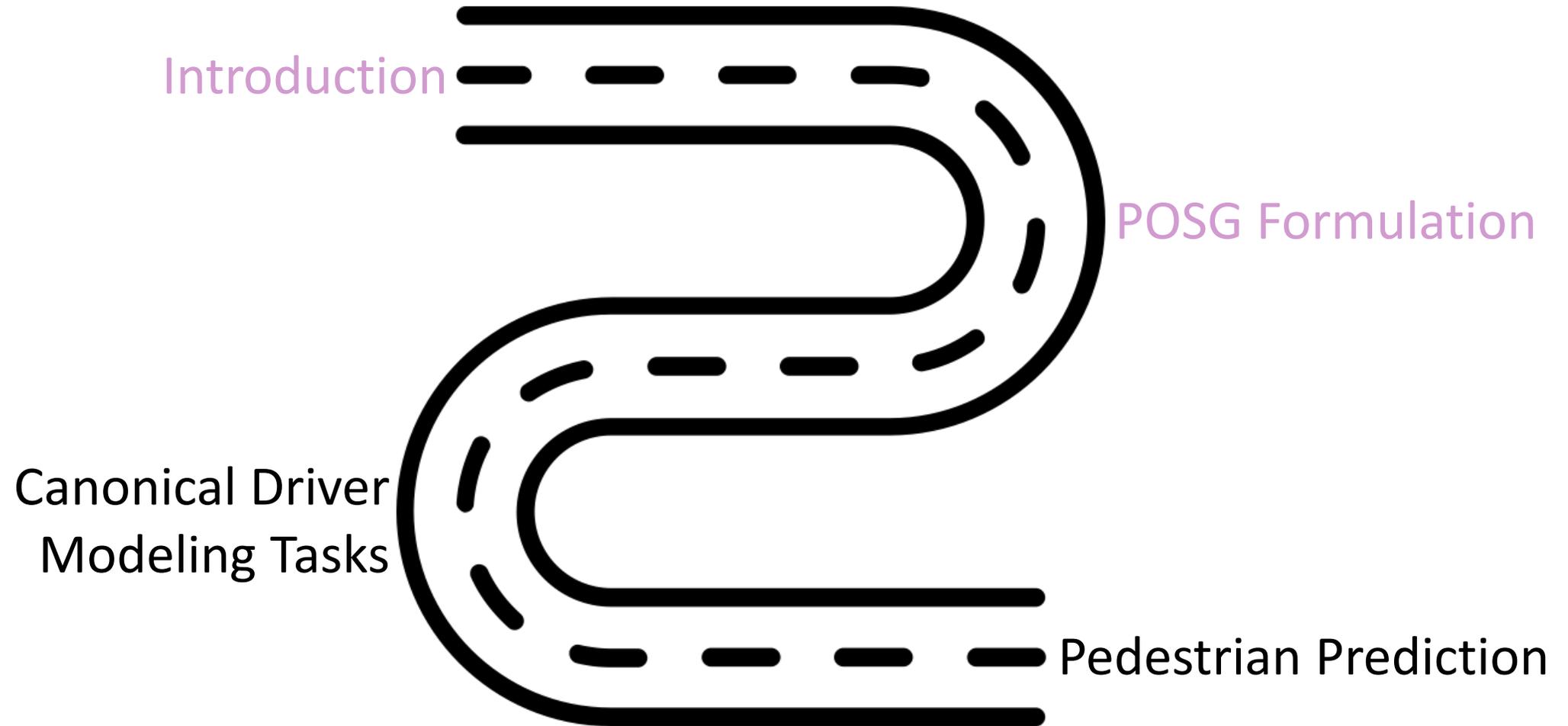
*policy function*



# POSG Graphical Model

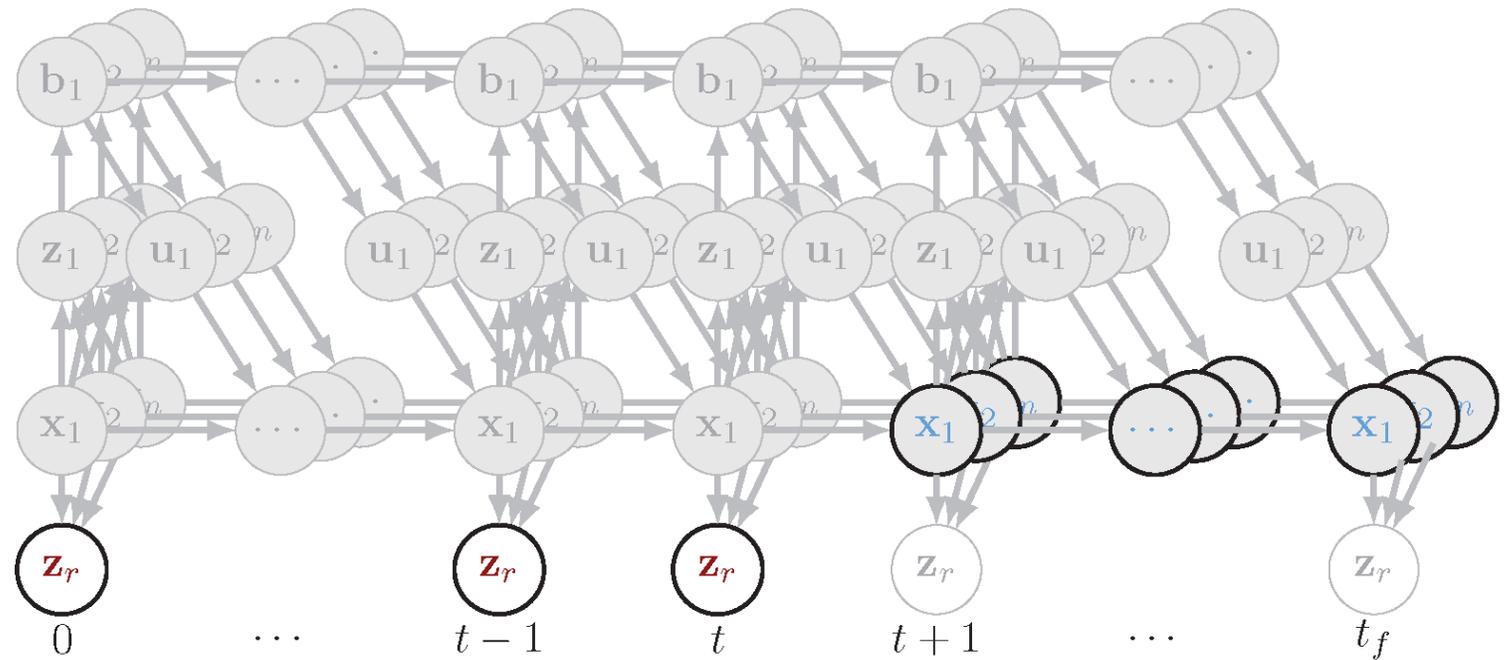


# Today's Roadmap



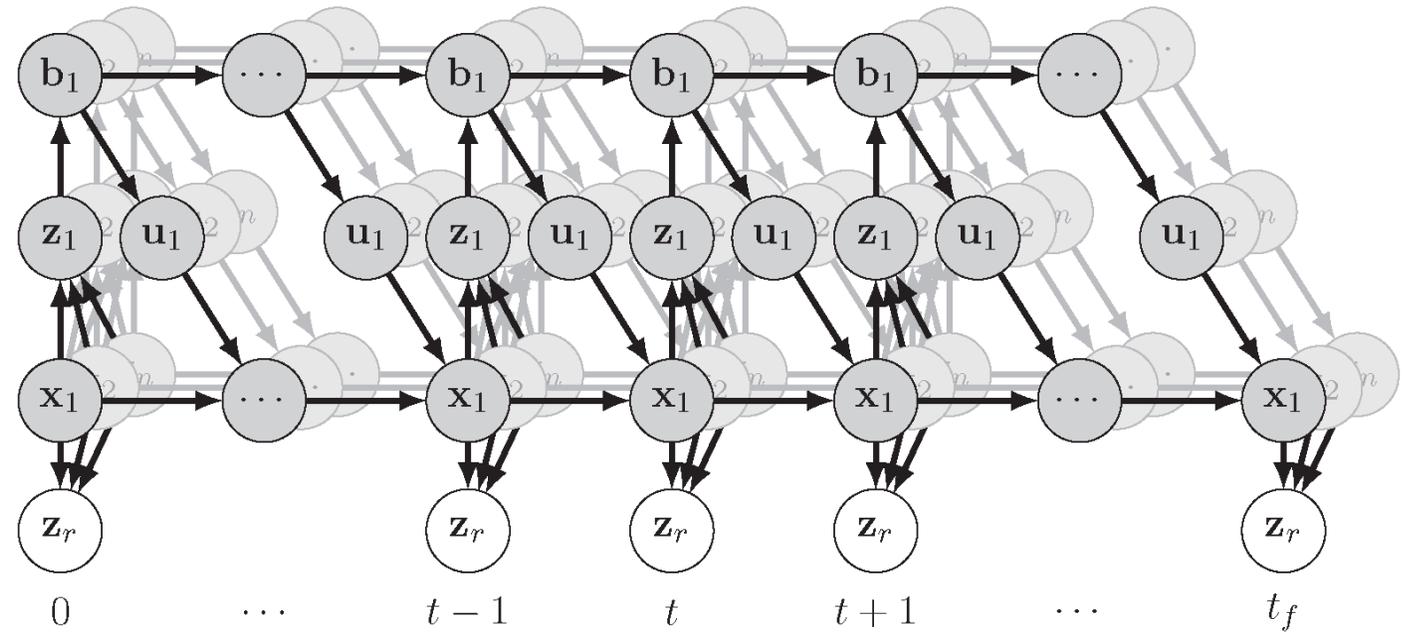
# Canonical Tasks of Driver Modeling

- State Estimation
- Intention Estimation
- Trait Estimation
- Motion Prediction



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- State Estimation
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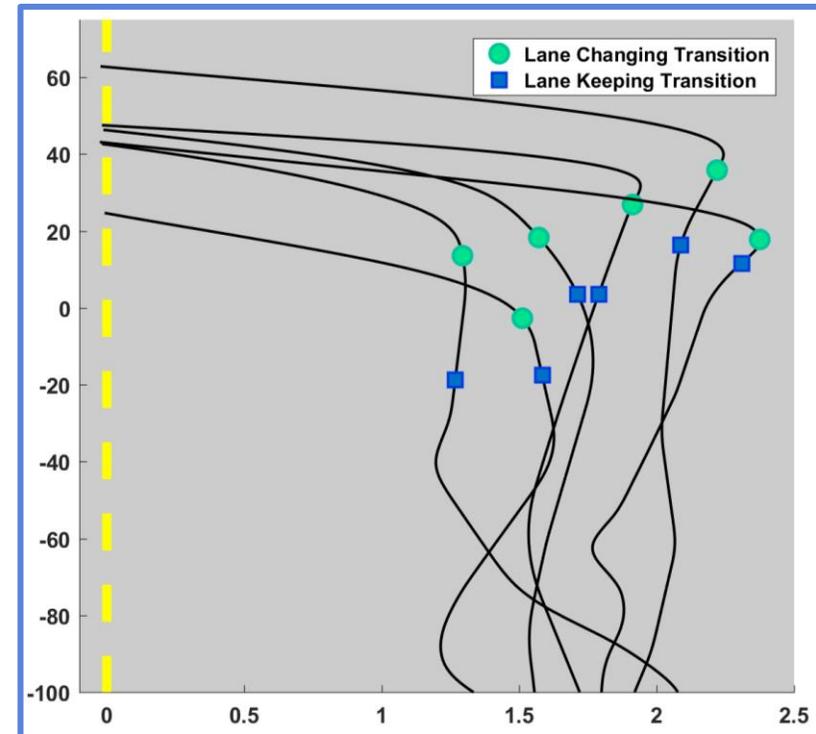


Other related tasks:

- Risk estimation, anomaly detection, generative models/simulation, behavior imitation

# Intent from Motion Cues

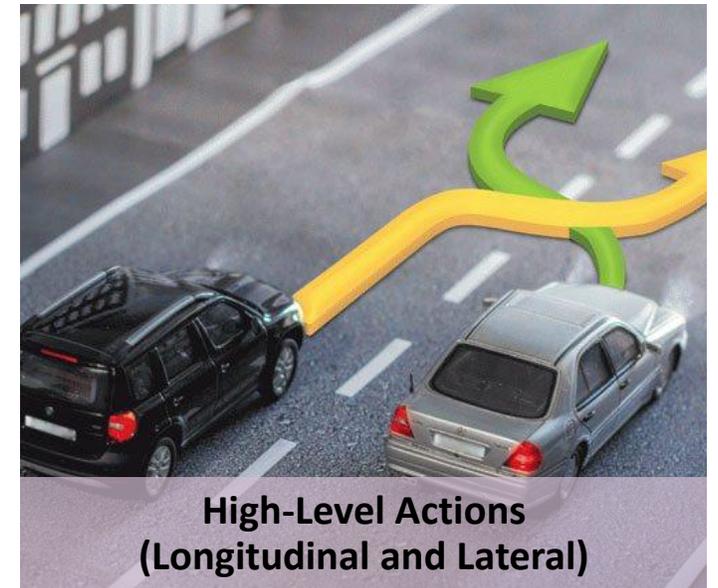
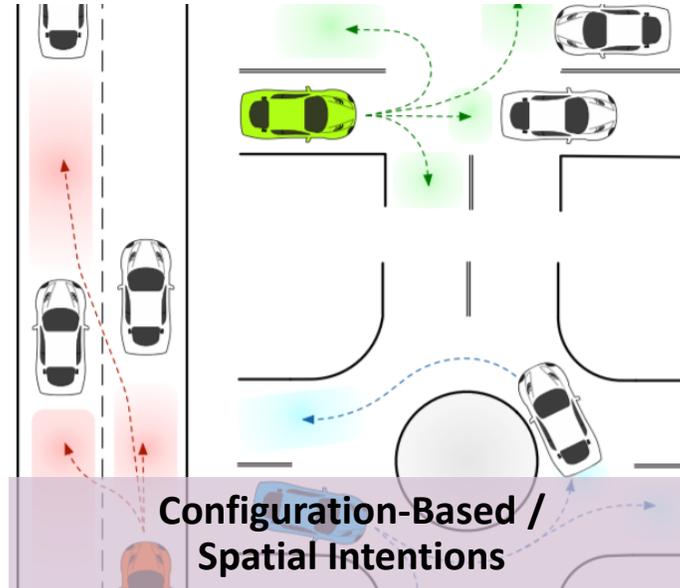
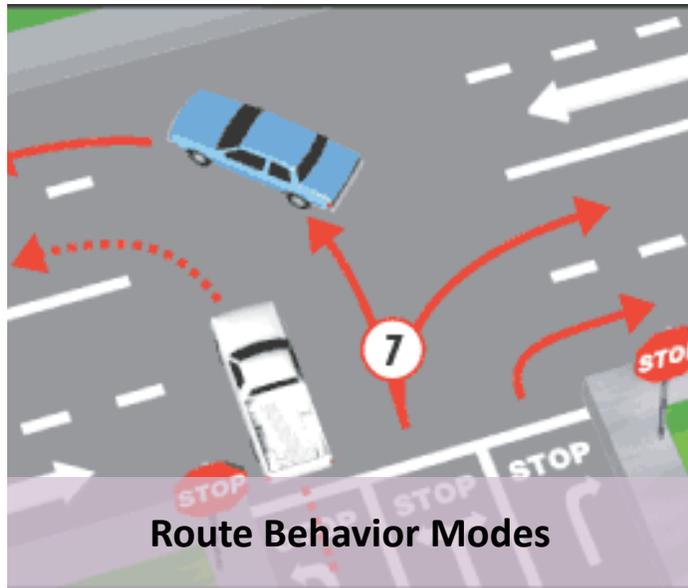
- When people observe motion, they usually care very little about the surface behaviors
  - *Intentions* determine how we understand, recall, react, and predict others around us
- When observing continuous motion, humans often agree where *boundaries separating distinct actions* lie, corresponding to intent
- How do people discern intention? Competing views:
  1. Intent arises from invariants within the structure of action
  2. Inferred through gained knowledge of human behavior and context





# Intent Estimation: Intention Space

The set of intentions are often defined explicitly, although it can also be learned in an unsupervised manner



May include modes of behavior be context dependent

Ex: is the lane change meant to reduce travel time or required to reach destination?

# Intent Estimation: Intention Hypothesis

- How to represent the uncertainty in the **intention hypothesis**

$$P\left(b_i^{(t)} \mid z_r^{(t_0:t)}\right)?$$

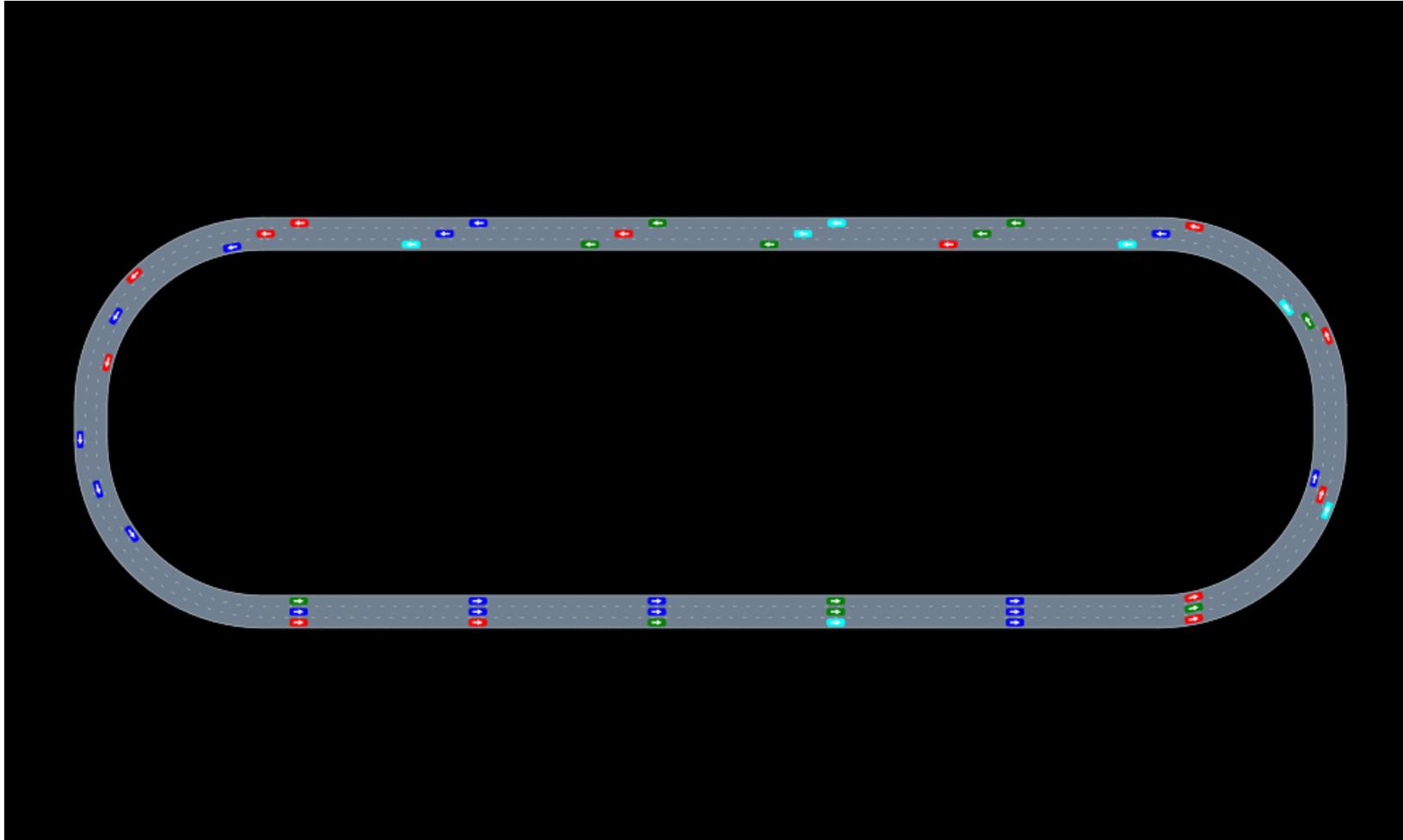
- Often represented as a **discrete probability distribution** over the intention set
- A **point estimate** hypothesis assigns a probability of **1** to a single mode
- Some models use particle distributions or a distribution over scenarios (jointly assigning intentions to all vehicles)

# Intent Estimation: Inference Paradigm

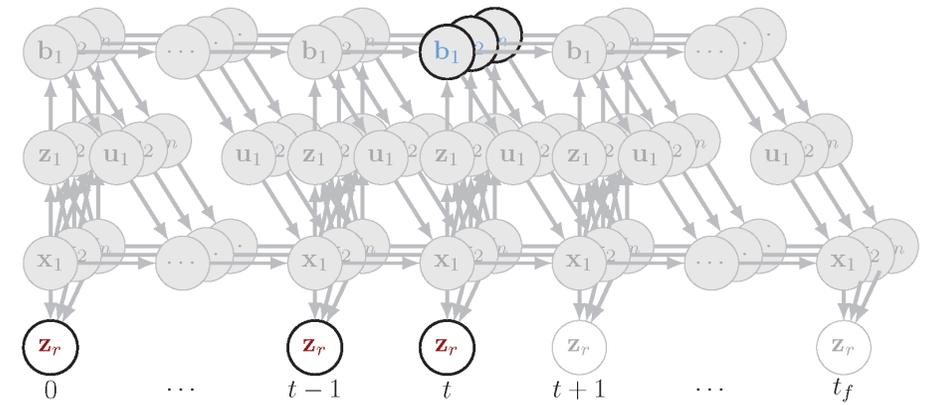
- What methods are used to perform **intent inference**?
- **Single-shot estimators** compute an estimate from scratch at each inference step
  - May operate over observation history but does not store information between successive iterations
  - Prototype trajectories or policies may be used to compare motion history to find closest match
- **Recursive estimation** algorithms repeatedly update the intention hypothesis at each time step based on the new information received
- **Bayesian models** are based on Bayes' rule and the laws of conditional probability
  - Most common method! Often based on probabilistic graphical models
- **Black-box models** have many non-interpretable parameters whose values are usually set by minimizing some loss function over a training dataset
- **Game-theoretic models** explicitly consider possible situational outcomes through interactions with other agents in order to compute or refine an intention hypothesis



# Driver Traits in Simulation



# Trait Estimation: Model Considerations



- What is the **trait space** (the set of trait parameters whose values each model selects)?
- How do models represent uncertainty in the **trait hypothesis**?
- What are common **inference paradigms** that perform trait estimation task?

# Trait Estimation: Trait Space

- **Tunable policy parameters** allow for tunable “style” or “preference” that represent intuitive behavioral traits of drivers
  - Ex: the Intelligent Driver Model has parameters that govern longitudinal acceleration as a function of the relative distance and velocity to the lead vehicle, and are associated behaviors including *aggressiveness*, *distraction*, and *politeness*
- Other parameters that may influence the policy include **attention measures** and **physiological traits** (e.g., reaction time)
- **Reward functions** encode driver preference, assuming that the driver is a utility maximizing agent
- **Non-interpretable parameters** of black-box policy or reward models can be considered an **implicit representation** of driver traits

# Trait Estimation: Trait Hypothesis

- How to represent the uncertainty in the **trait hypothesis**

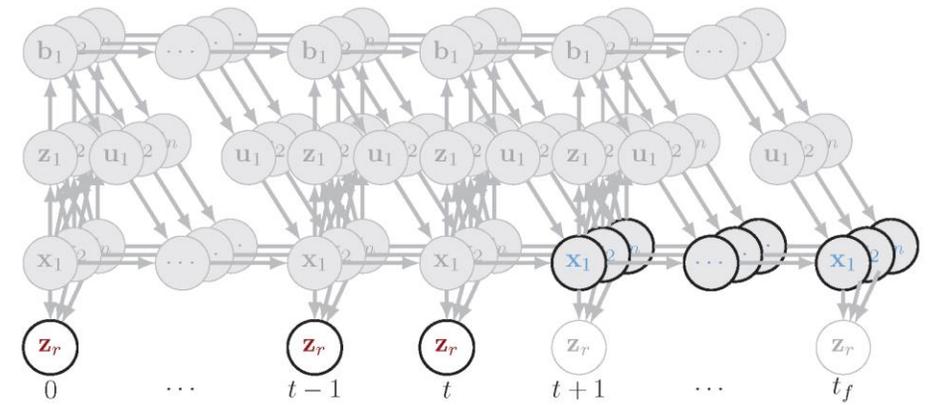
$$P\left(b_i^{(t)} \mid z_r^{(t_0:t)}\right)?$$

→ This is almost always represented as a **point estimate**:  $\hat{b}^{(t)} \in \mathcal{B}$

# Trait Estimation: Inference Paradigm

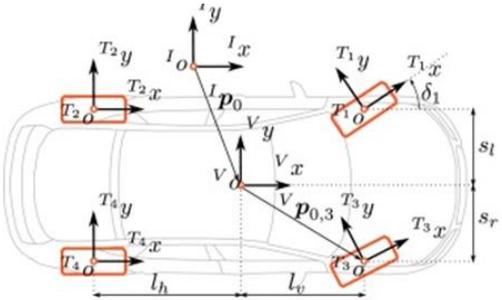
- Trait estimation can be performed **offline or online**:
  - **Offline**: trait parameters are computed prior to deploying the model, which remain fixed during operation
  - **Online**: reasons in real time about the traits of currently observed drivers
  - **Combination: Bayesian methods** are often used by computing a prior distribution offline, then tuning online
- Parameters are often tuned **heuristically**, using expert domain knowledge
- **Optimization techniques** are occasionally used to select appropriate driver trait parameters
- **Inverse reinforcement learning** (or **inverse optimal control**) allows you to learn the reward function parameters through observations
- Estimates may adapt, change, and/or be **contextually varying**

# Motion Prediction: Model Considerations

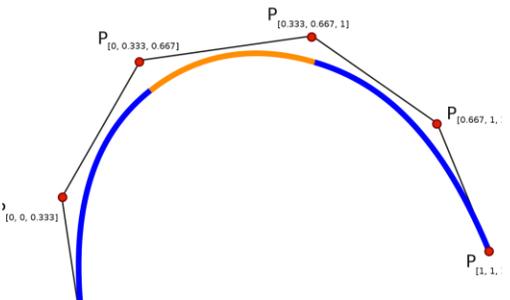


- How are the **vehicle dynamics models** represented?
- How is uncertainty modeled at the scene level and the **agent level**?
- What is the **prediction paradigm** used?

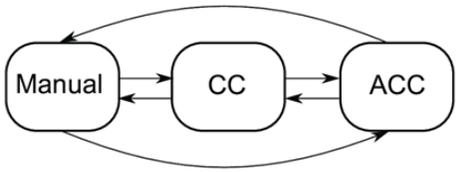
# Motion Prediction: Vehicle Dynamics Models



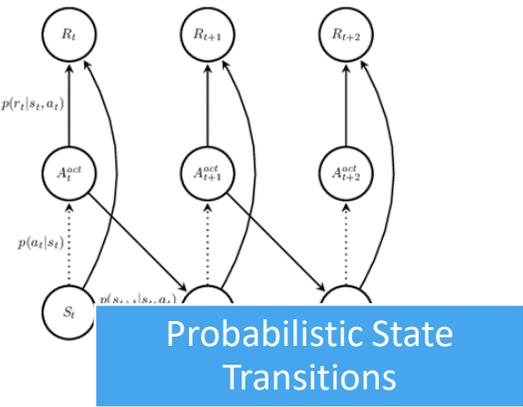
Physics-based and High-Fidelity Models



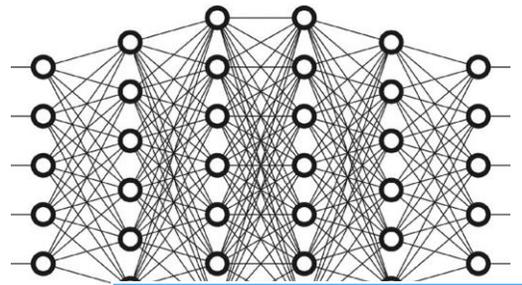
Parametric Splines



Discrete State Transitions



Probabilistic State Transitions

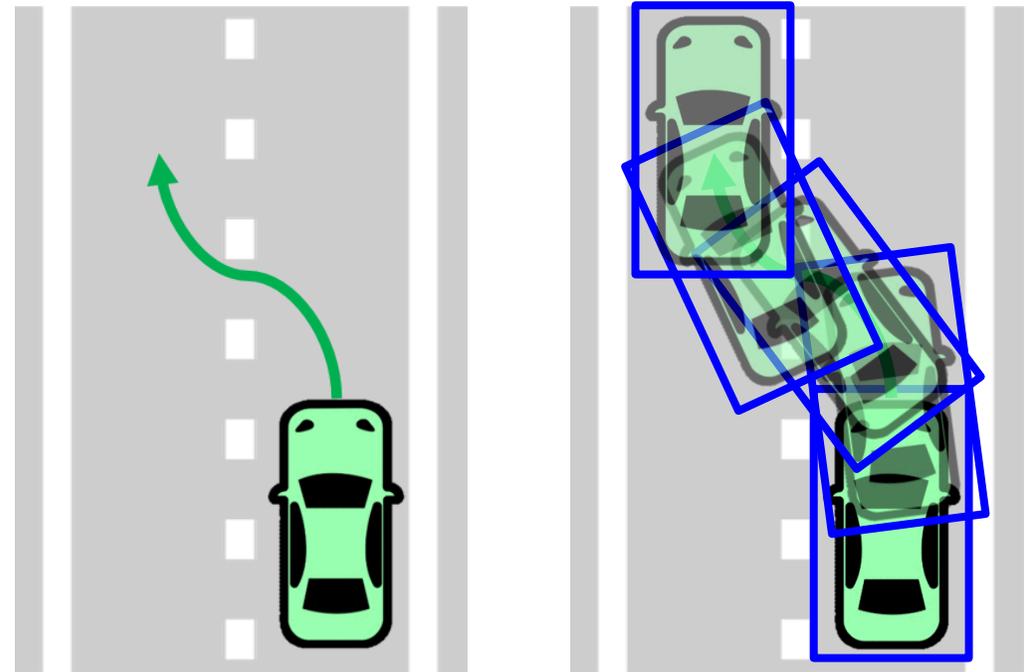


Learned or Black-box Models



# Motion Prediction: Agent-Level Representation

- Single trajectories
  - Dynamically feasible
  - Parametric Splines
  - Dilate by bounding boxes
- Distributions over trajectories
  - Unimodal distributions
  - Mixture models
  - Particle sets
- Set-based representations
  - Occupancy based distributions
  - Reachability-based techniques



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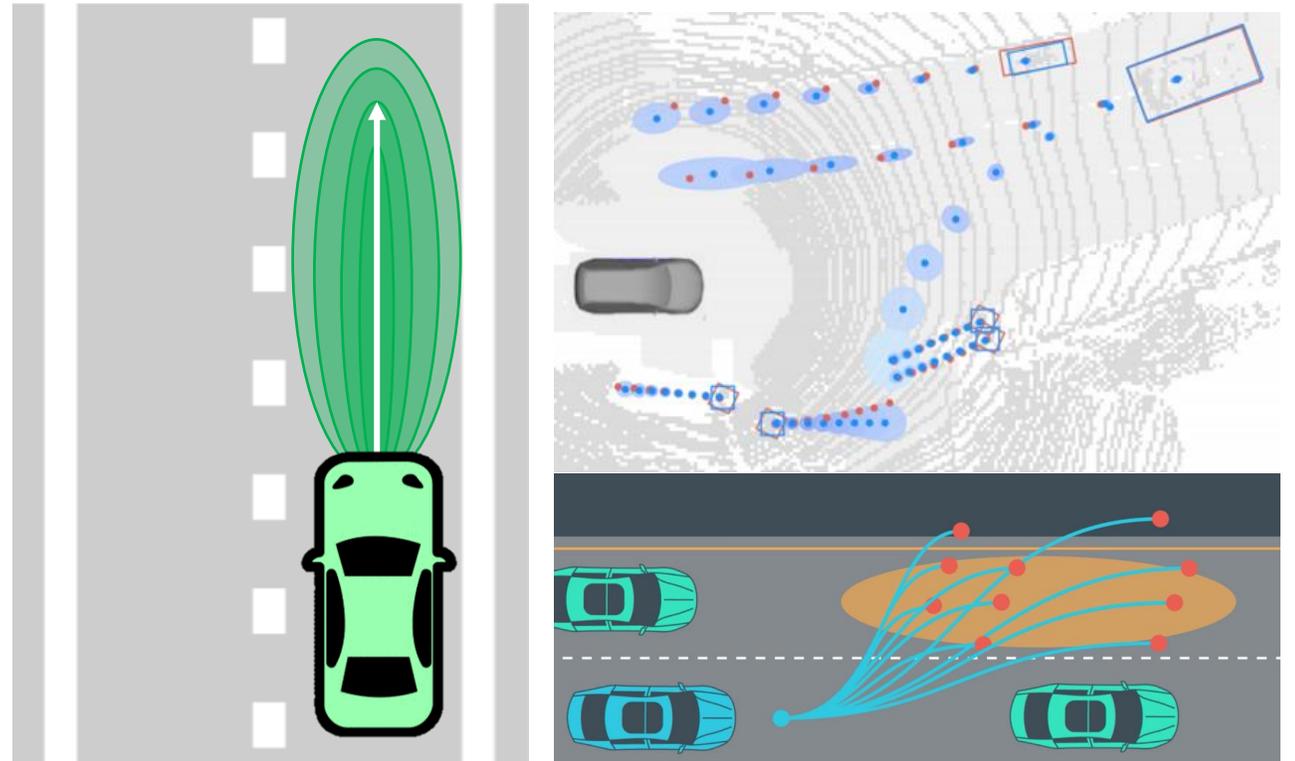
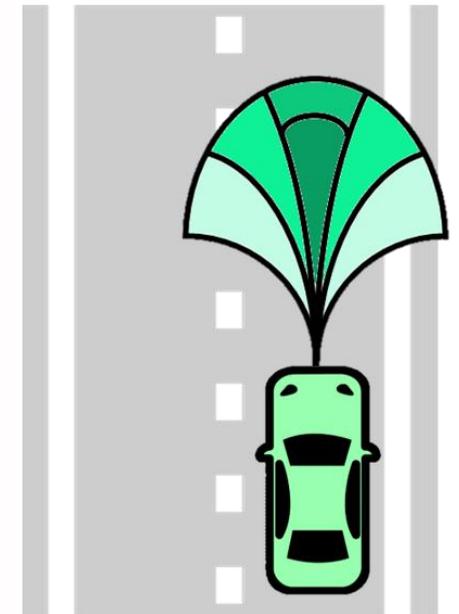
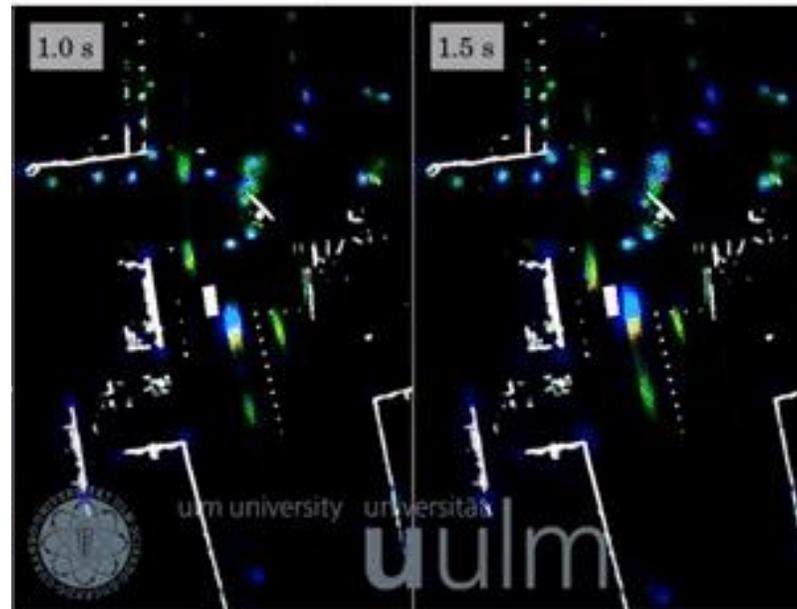


Image Credit: O. Rivlin

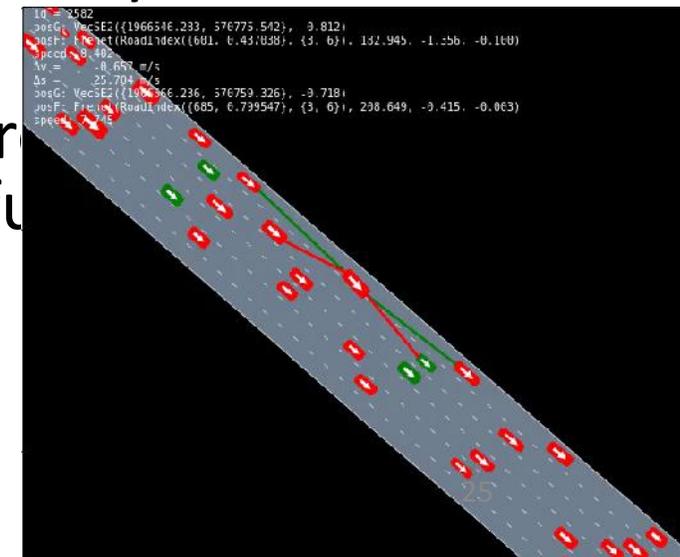
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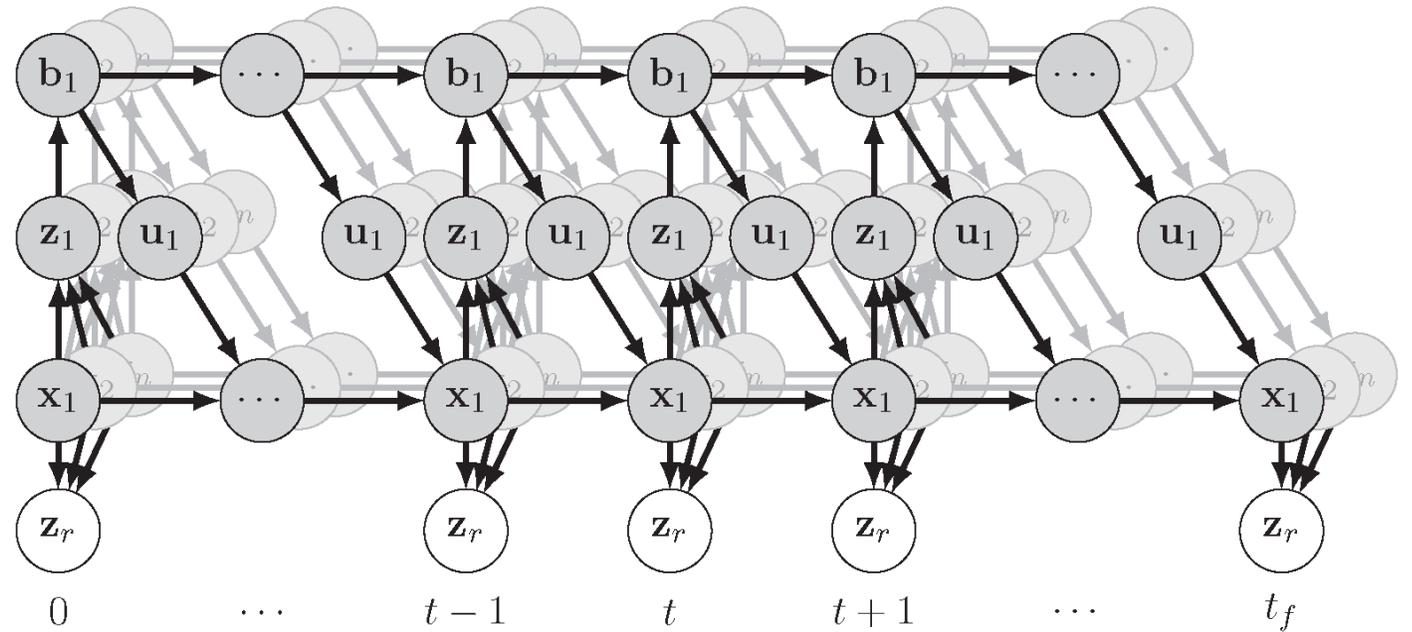
# Motion Prediction: Prediction Paradigm

- For some time horizon  $t_f$ , we typically assume that intentions or traits remain fixed through the prediction window
- **Independent prediction** produces a full trajectory independently for each agent
  - Prediction often degrades quickly over time
- **Forward simulation** “rolls out” a closed-loop control policy for each target agent
- **Game theoretic** approaches refer to “interaction-aware” which future motion is conditioned on the predicted future motion of other agents often with recursive reasoning

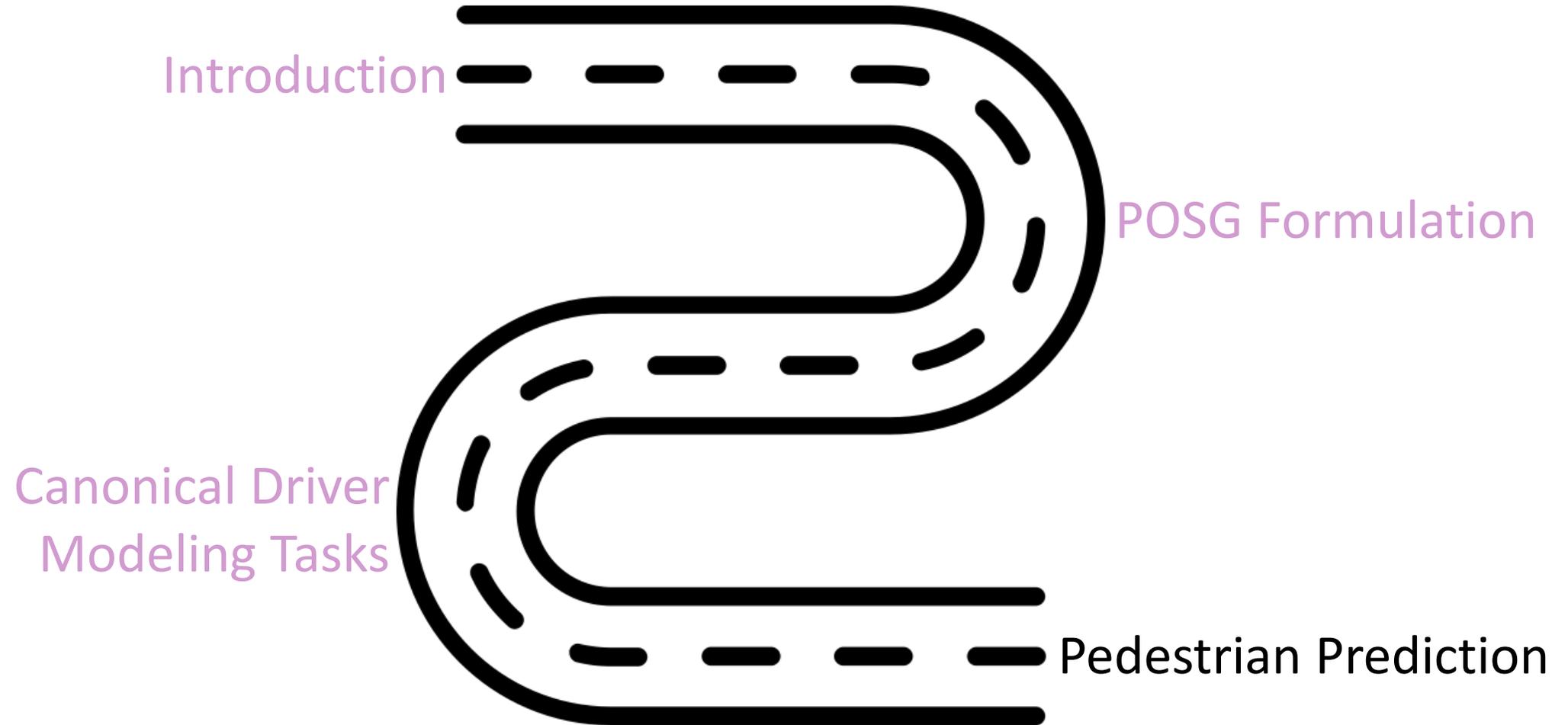


# Canonical Tasks of Driver Modeling

- State Estimation
- Intention Estimation
- Trait Estimation
- Motion Prediction

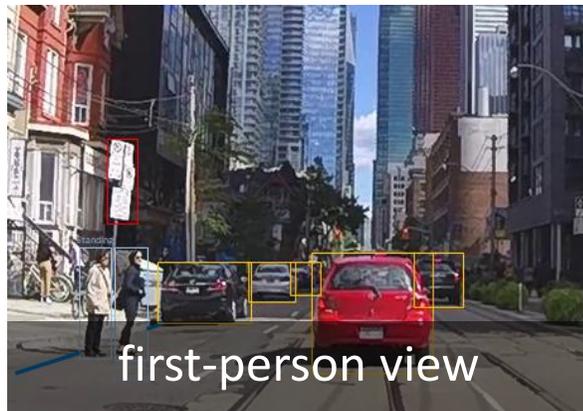


# Today's Roadmap



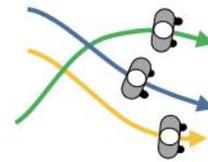
# Pedestrian Prediction

## Scenarios



## Input/Output

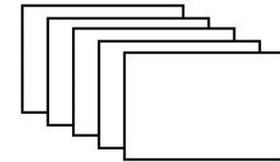
Stimuli



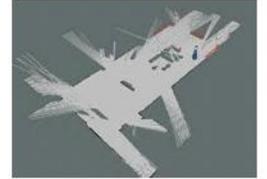
Trajectories



Articulation, attributes



Raw sensor data



Environment model

Modeling,  
learning,  
inference

Prediction method

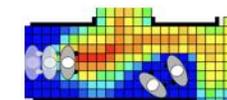
Prediction



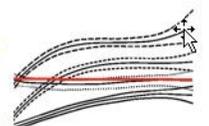
Single trajectory



Parametric distribution



Non-parametric distribution



B. Majecka. "Statistical models of pedestrian behaviour in the forum." *Master's thesis, School of Informatics, University of Edinburgh, 2009.*

A. Rasouli, et al. "PIE: A large-scale dataset and models for pedestrian intention estimation and trajectory prediction." *ICCV, 2019.*

A. Rudenko, et al. "Human motion trajectory prediction: A survey." *The International Journal of Robotics Research 39.8, 2020.*

# Physics-based Methods

$$\dot{x}_t = f(x_t, u_t, t) + w_t$$

$x_t$ : human state

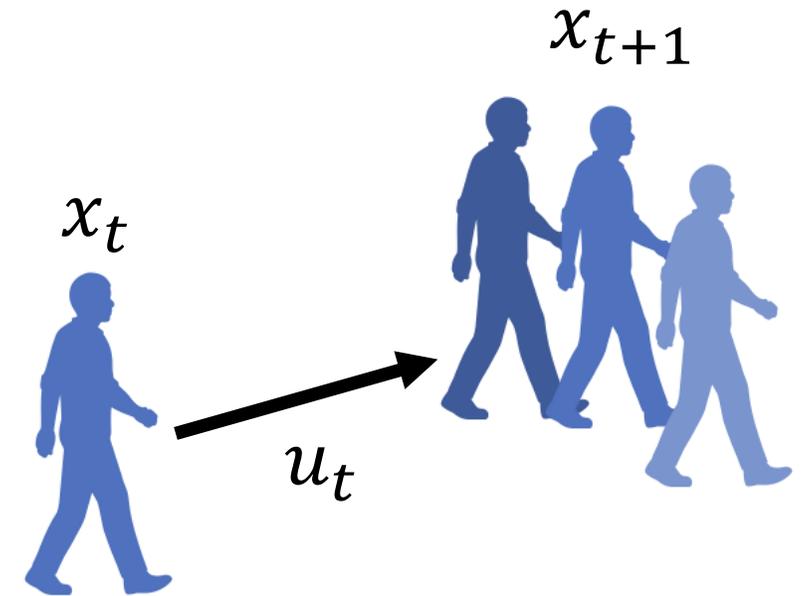
$u_t$ : control input

$w_t$ : process noise

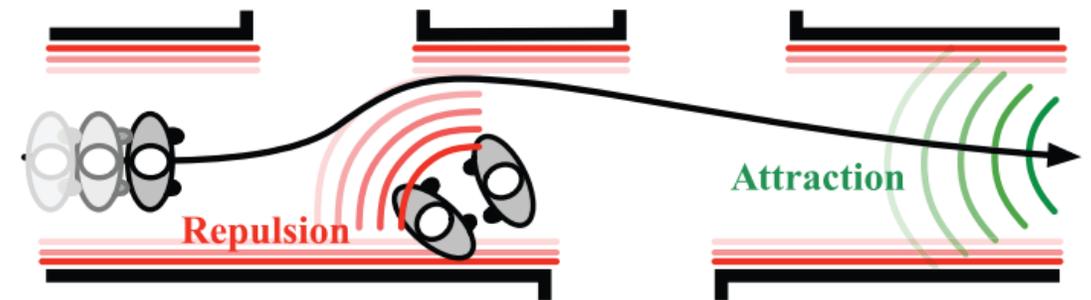
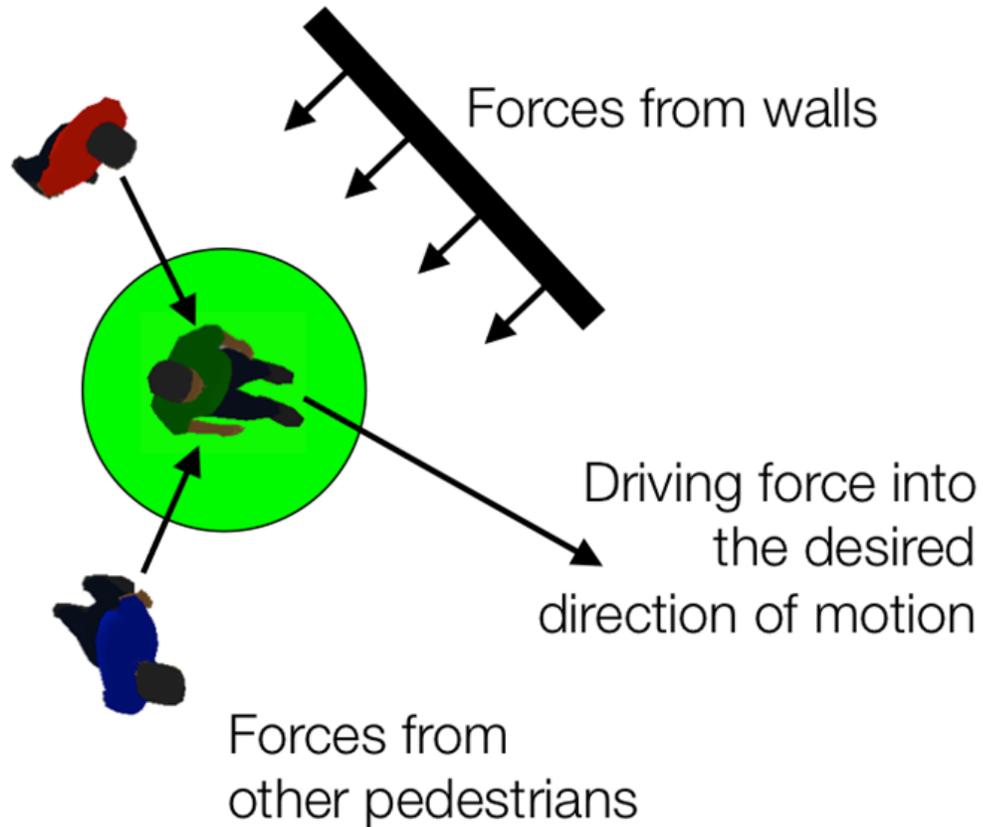
How to design the dynamical model  $f$  ?

How to determine the control input  $u_t$  ?

Ex: constant velocity model / constant acceleration model



# Social Force Model for Pedestrian Prediction



# Pattern-based Methods

## Sequential methods:

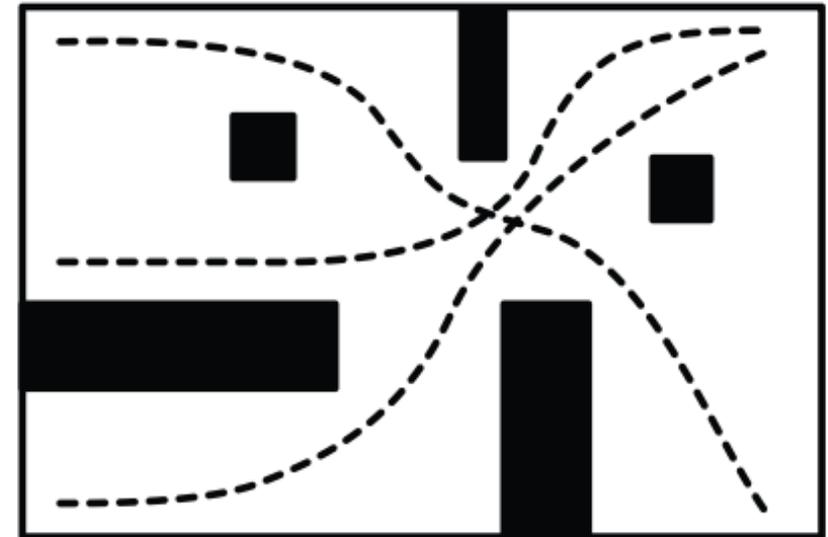
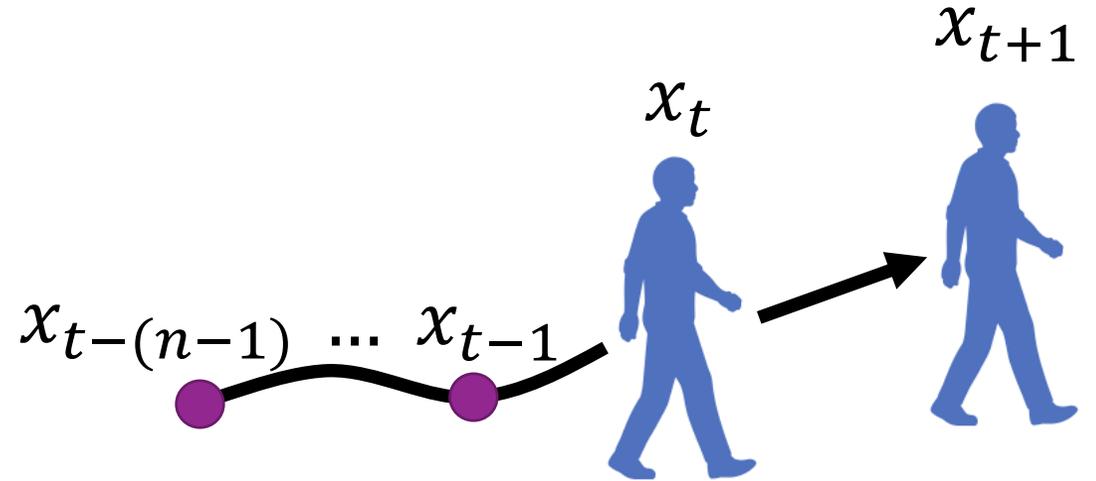
$n$ th order Markov model

$$P[x_{t+1} | x_{1:t}] = P[x_{t+1} | x_{t-(n-1):t}]$$

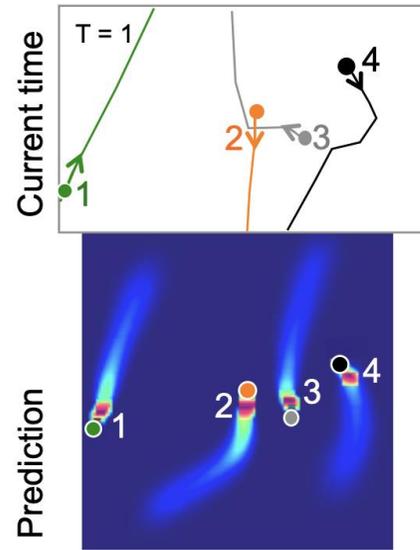
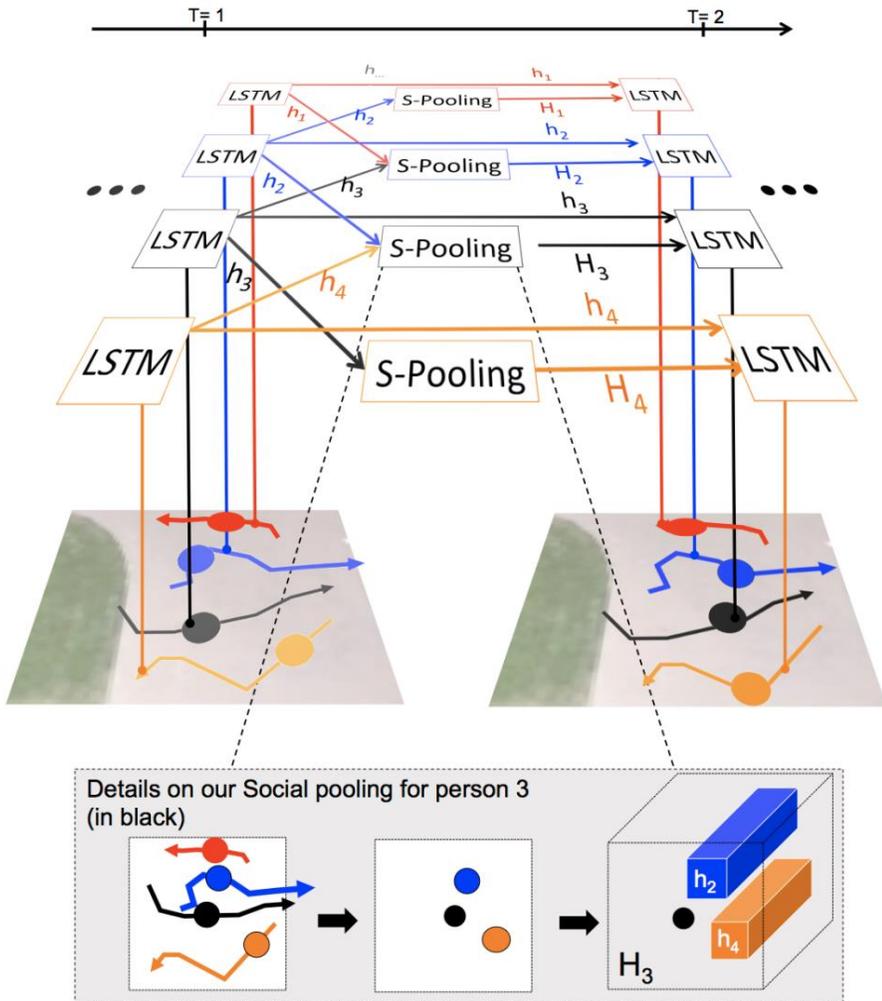
$$x_{t+1} = f(x_{t-(n-1):t})$$

## Non-sequential methods:

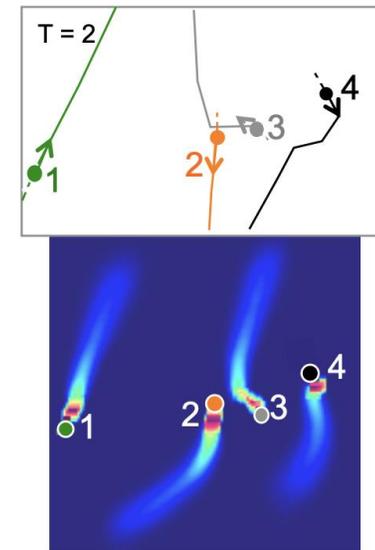
Model data distribution over full trajectories without any Markov assumptions



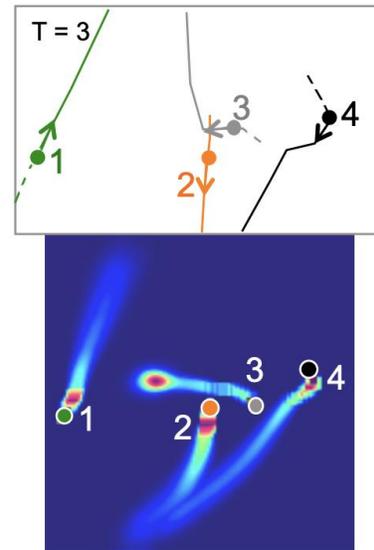
# Social LSTM: Social Pooling



- 1 will go straight, and  
- 2,3,4 will interact

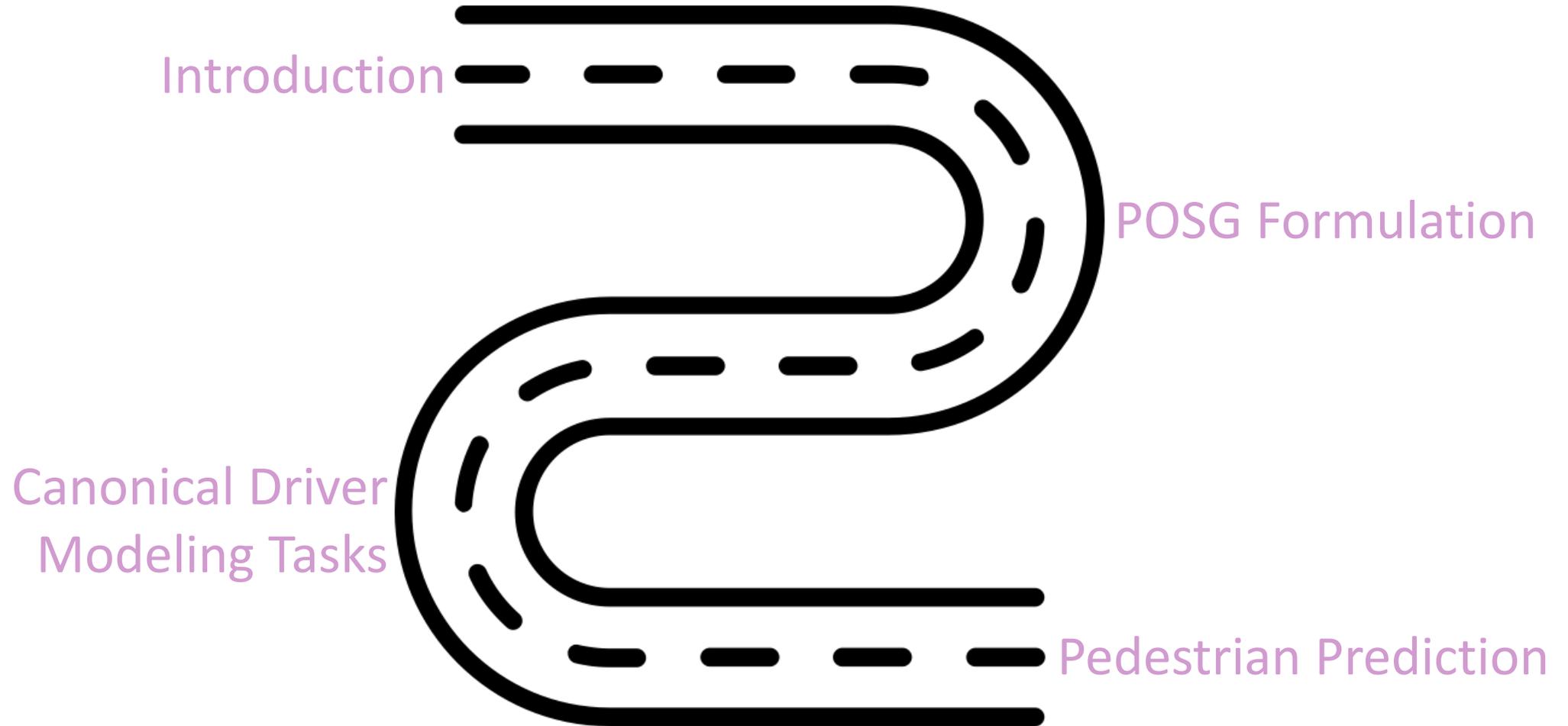


- 3 will turn to avoid 1,  
- 4 is turning around 3



- 3 will stop in front 1,  
- 4 updated the turn around 3

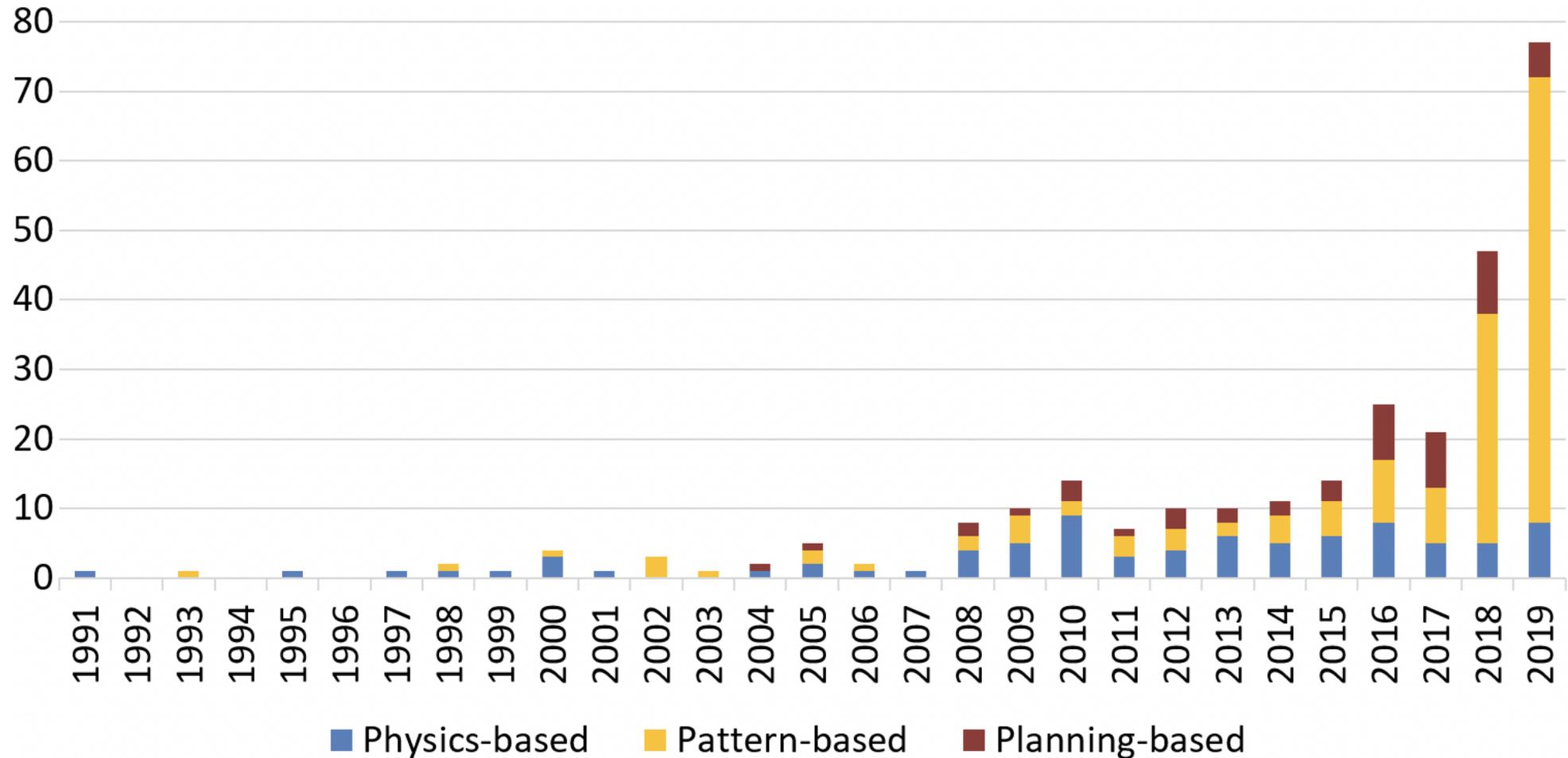
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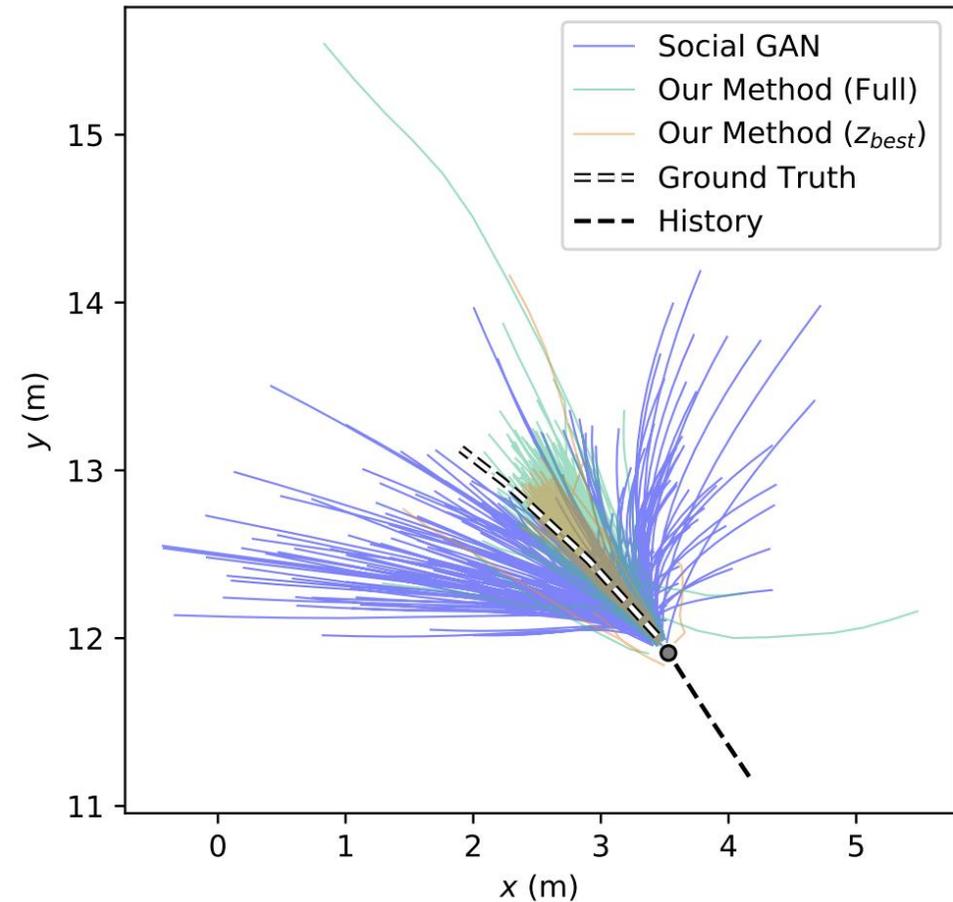
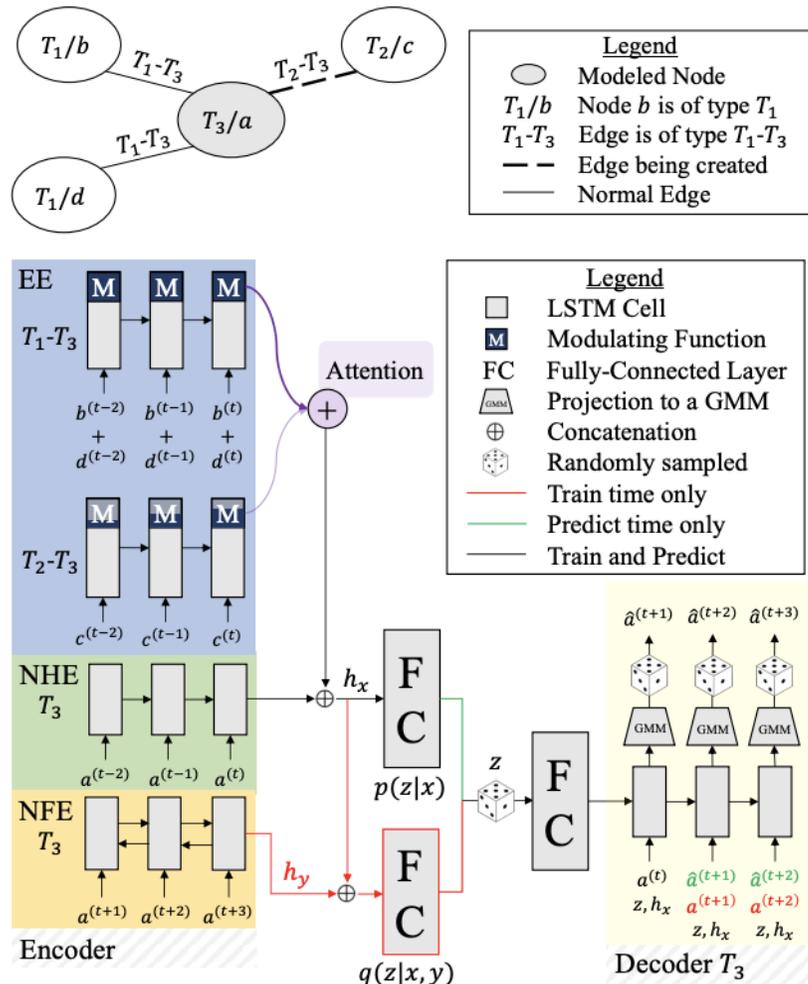
# References and Other Resources

- [Modeling and Prediction of Human Driver Behavior: A Survey](#)
- Other common tasks for Driver Modeling:
  - **Risk estimation:** S. Lefevre, D. Vasquez, and C. Laugier, “A survey on motion prediction and risk assessment for intelligent vehicles,” *Robomech*, vol. 1, 2014.
  - **Anomaly Detection:** M. Matousek, et al. “Detecting Anomalous Driving Behavior using Neural Networks,” *IEEE IV*, 2019.
  - **Generative models/ Microscopic simulation:** M. Treiber, A. Hennecke, and D. Helbing, “Congested traffic states in empirical observations and microscopic simulations,” *Physical Review E - Statistical Physics, Plasmas, Fluids, and Related Interdisciplinary Topics*, vol. 62, no. 2, pp. 1805–1824, 2000.
  - **Behavior Imitation:** M. Kelly, et al. “HG-Dagger: Interactive imitation learning with human experts.” *ICRA*, 2019.
  - **Simulation + Imitation:** R. Bhattacharyya, et al. “Simulating emergent properties of human driving behavior using multi-agent reward augmented imitation learning.” *ICRA*, 2019.
- **Operational Level Driver Modeling:** C. C. Macadam, “Understanding and Modeling the Human Driver,” *Vehicle System Dynamics*, vol. 4000, no. 40, 2003.
- **Driver Modeling for Vehicle Dynamics:** M. Plochl and J. Edelmann, “Driver models in automobile dynamics application,” *Vehicle System Dynamics*, vol. 45, 2007.

# Prediction Methods



# Trajectron: Conditional Variational Autoencoder (Ivanovic et al., 2019)



# Social-BiGAT: Bicycle-GAN + Graph Attention

(Kosaraju et al., 2019)

