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# Sparse Matrix Methods for Large-Scale Closed-Loop Adaptive Optics

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# Large-Scale Adaptive Optics

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- ▶ Extreme Adaptive Optics (ExAO), e.g. coronagraphs, XAOPI (4K actuators),...
- ▶ Multi-Conjugate Adaptive Optics (MCAO), e.g. 32m TMT (CfAO, NOAO), 50m Euro50 (consortium), 100m OWL (ESO),...



# Basic Model Assumptions

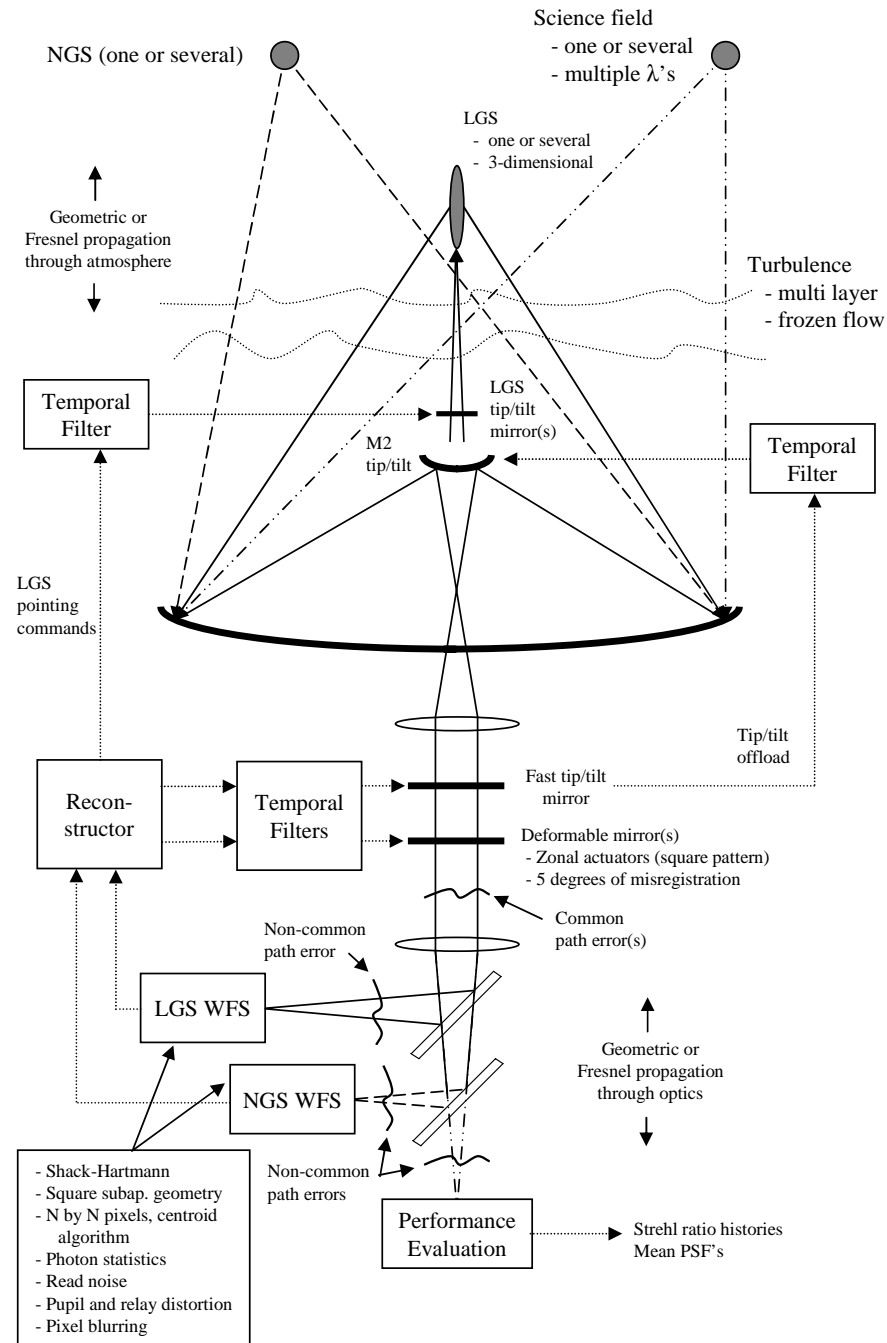
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- ▶ Geometrical optics propagation through atmos-AO
- ▶ Taylor Frozen flow hypothesis
- ▶ WFS measurements  $\vec{s}(n)$  are linear in the input turbulent OPD profiles  $\vec{x}(n)$  and DM OPD profiles  $\hat{\vec{a}}(n)$
- ▶ DM residual profile  $\hat{\vec{e}}_a(n)$  is linear in measurements  $\vec{s}(n)$
- ▶ Standard type-1 discrete integrator with 2-cycle lag

Note:

- Work on wave optics propagation in progress...
- Formalism valid for both ExAO and MCAO
- MCAO: **block structured matrices, concatenated vecs**

# Generic System Layout



# Discrete-Space-Time Servo-Loop

## ▶ Standard Control Algorithm:

$$n = 0; \hat{\vec{a}}(0) = \vec{0};$$

begin cycles

$$[\text{PSF}(n), \text{OTF}(n), \sigma^2(n)] = \text{perfevl}[\vec{x}(n), \hat{\vec{a}}(n)];$$

$$\vec{s}(n) = \vec{s}^{\vec{o}}(n) - G_a \hat{\vec{a}}(n);$$

$$\hat{\vec{e}}_a(n) = R \vec{s}(n);$$

$$\hat{\vec{a}}(n+1) = \alpha \hat{\vec{a}}(n) + \beta \hat{\vec{a}}(n-1) + \gamma \hat{\vec{e}}_a(n) + \delta \hat{\vec{e}}_a(n-1);$$

$$n = n + 1;$$

end cycles

- ▶  $\vec{s}^{\vec{o}}(n)$  : open-loop measurements
- ▶  $G_a$  : interaction (poke, registration) matrix
- ▶  $R$  : DM residual reconstruction matrix



# Simulation-Sensor-Reconstruction Grids

## Simulation Grids

- Turbulence profile,  $\vec{x}(n)$
- Aperture-plane simulation OPD's for *performance evaluation* :  $\vec{\epsilon}(n) = H_x^{\text{SCFoV},\text{sim}} \vec{x}(n) - H_a^{\text{SCFoV},\text{sim}} \hat{\vec{a}}(n)$

Note: typically  $8\times$  finer resolution than sensor grids

## Sensor Grids

- for MCAO,  $\vec{s}(n)$  resolution typically  $D/16$ ,  $D/32$ , or  $D/64$
- for ExAO,  $\vec{s}(n)$  resolution up to  $D/128$  or  $D/256$

### Reconstruction Grids

- DM grids. Sampling typically matches sensor sampling.
- for FE-type reconstructors, i.e. when  $R = FE$ :
  - (1) reconstructed turbulence profile  $\hat{\vec{x}}(n) = E \vec{s}(n)$
  - (2) reconstructed aperture-plane OPD  $H_x^{\text{SCFoV}} \hat{\vec{x}}(n)$

**2×** finer resolution than DM grids



# Aperture-plane transfer matrices

- ▶ Based on bilinear influence functions:

$$\begin{aligned} \left[ H^{\text{SCFoV}} \left\{ \text{iobs, ips} \right\} \frac{dr}{dr'} \right]_{kl} &= h^{(l)}(\vec{r}^{(k)}) \\ &= h \left[ (\vec{r}^{(k)} - \vec{r}'^{(l)}(z)) / dr' \right] \end{aligned}$$

where  $\vec{r}'^{(l)}(z) = \vec{r}^{(l)} + z\vec{\theta}\{\text{iobs}\}$  is the coordinate of grid point  $l$  in the transverse plane at range  $z$  and  $\vec{r}^{(l)}$  is the corresponding aperture-plane coordinate.

Bilinear splines:

$$h(\vec{r}) = \begin{cases} (1 - |x|)(1 - |y|) & \text{if } |x| < 1 \text{ and } |y| < 1 \\ 0 & \text{else} \end{cases}$$





# Aperture-plane transfer matrices (cont.)

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Notation:

- ▶  $H_x^{\text{SCFoV},\text{sim}}$  maps simulated profile  $\vec{x}(n)$  to aperture-plane simulation grid (perf eval)
- ▶  $H_a^{\text{SCFoV},\text{sim}}$  maps DM OPD  $\hat{a}(n)$  to aperture-plane simulation grid (perf eval)
- ▶ For FE-type reconstructors:
  - $H_x^{\text{SCFoV}}$  maps  $\hat{\vec{x}}(n) = E \vec{s}(n)$  to aperture-plane reconstruction grid
  - $H_a^{\text{SCFoV}\dagger}$  maps  $H_x^{\text{SCFoV}} \hat{\vec{x}}(n)$  to DM grids



# Control Algorithm: Performance Eval

$$n = 0; \hat{\vec{a}}(0) = \vec{0};$$

begin cycles

$$\Rightarrow [\text{PSF}(n), \text{OTF}(n), \sigma^2(n)] = \text{perfevl}[\vec{x}(n), \hat{\vec{a}}(n)];$$

$$\vec{s}(n) = \vec{s}^o(n) - G_a \hat{\vec{a}}(n);$$

$$\hat{\vec{e}}_a(n) = R \vec{s}(n);$$

$$\hat{\vec{a}}(n+1) = \alpha \hat{\vec{a}}(n) + \beta \hat{\vec{a}}(n-1) + \gamma \hat{\vec{e}}_a(n) + \delta \hat{\vec{e}}_a(n-1);$$

$$n = n + 1;$$

end cycles



# Performance Evaluation

- ▶  $\vec{\epsilon}(n) = H_x^{\text{SCFoV}, \text{sim}} \vec{x}(n) - H_a^{\text{SCFoV}, \text{sim}} \hat{\vec{a}}(n)$
- ▶  $\sigma_{\text{OI}}^2(n) = \|H_x^{\text{SCFoV}, \text{sim}} \vec{x}(n)\|_{\mathcal{W}^{\text{sim}}}^2$  ,  $\sigma_{\text{Cl}}^2(n) = \|\vec{\epsilon}(n)\|_{\mathcal{W}^{\text{sim}}}^2$
- ▶  $\text{PSF}(n) = |\mathcal{F}\{e^{i\vec{\epsilon}(n)} W_A\}|^2$  ,  $\text{OTF}(n) = \mathcal{F}\{\text{PSF}(n)\}$
- ▶  $\text{SR}(n)$  ,  $\text{FWHM}(n)$  , ...

$\mathcal{W}$  is the FoV averaging matrix (SPSD). Based on bilinear splines, it provides accurate modeling of aperture-plane OPD and edge effects. Includes piston removal.

$$\mathcal{W} = \begin{cases} W = W' - \vec{v}\vec{v}^T & \text{if zero SCFoV} \\ \text{Diag}(\omega^{(1)}W, \dots, \omega^{(N_{\text{obs}})}W) & \text{else} \end{cases}$$



# Control Algorithm: Sensor Measurements

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$$n = 0; \hat{\vec{a}}(0) = \vec{0};$$

begin cycles

$$[\text{PSF}(n), \text{OTF}(n), \sigma^2(n)] = \text{perfevl}[\vec{x}(n), \hat{\vec{a}}(n)];$$

$$\Rightarrow \vec{s}(n) = \vec{s}^o(n) - G_a \hat{\vec{a}}(n);$$

$$\hat{\vec{e}}_a(n) = R \vec{s}(n);$$

$$\hat{\vec{a}}(n+1) = \alpha \hat{\vec{a}}(n) + \beta \hat{\vec{a}}(n-1) + \gamma \hat{\vec{e}}_a(n) + \delta \hat{\vec{e}}_a(n-1);$$

$$n = n + 1;$$

end cycles



# Sensor Measurements

$$\begin{aligned}\vec{s}(n) &= \vec{s}^{\circ}(n) - G_a \hat{\vec{a}}(n) \\ \vec{s}^{\circ}(n) &= G_x^{\text{sim}} \vec{x}(n) + \vec{\eta}(n) \\ \vec{s}\{\text{iwfs}\}(n) &= \sum_{\text{ips}} G_x^{\text{sim}} \{\text{iwfs, ips}\} \vec{x}\{\text{ips}\}(n) + \vec{\eta}\{\text{iwfs}\} \\ &\quad - \sum_{\text{idm}} G_a \{\text{iwfs, idm}\} \hat{\vec{a}}\{\text{idm}\}(n)\end{aligned}$$

Note:  $G_x^{\text{sim}} = \Gamma_x^{\text{sim}} H_x^{\text{GSFoV}}$  and  $G_a = \Gamma_a H_a^{\text{GSFoV}}$ , where the  $\Gamma_x^{\text{sim}}$  and  $\Gamma_a$  map aperture-plane OPD's to WFS measurements



# Turbulence-to-WFS Influence Matrix

$$\begin{aligned} [G_x^{\text{sim}} \{\text{iwfs, ips}\}]_{kl} &= \int d^2 \vec{r} W_{\text{SA}}^{(k)} \{\text{iwfs}\}(\vec{r}) \vec{\alpha} \cdot \vec{\nabla} h^{(l)}(\vec{r}) \\ &= \oint_{\xi_1}^{\xi_2} h^{(l)}(\vec{r}(\xi)) \vec{\alpha} \cdot \vec{n}(\xi) d\xi \end{aligned}$$

- $h^{(l)}(\vec{r}) = h \left[ (\vec{r} - \vec{r}'^{(l)}(z)) / dr' \right], \quad dr' = \text{sim grids resolution}$
- $\vec{r}'^{(l)}(z) = \gamma \{\text{iwfs}\} \vec{r}^{(l)} + z^{(l)} \vec{\theta} \{\text{iwfs}\}, \quad \gamma \{\text{iwfs}\} = (1 - z^{(l)} / z_{\text{GS}} \{\text{iwfs}\})$
- $\vec{\alpha} = (\alpha_x, \alpha_y)$  is the measurement direction
- $\vec{n}(\xi) = (dy(\xi)/d\xi, -dx(\xi)/d\xi)$
- ▶ Same eqs for  $G_a \{\text{iwfs, idm}\}$  but with  $dr' = \text{DM resolutions}$



# Control Algorithm: Reconstructor

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$$n = 0; \hat{\vec{a}}(0) = \vec{0};$$

begin cycles

$$[\text{PSF}(n), \text{OTF}(n), \sigma^2(n)] = \text{perfevl}[\vec{x}(n), \hat{\vec{a}}(n)];$$

$$\vec{s}(n) = \vec{s}^o(n) - G_a \hat{\vec{a}}(n);$$

$$\Rightarrow \hat{\vec{e}}_a(n) = R \vec{s}(n);$$

$$\hat{\vec{a}}(n+1) = \alpha \hat{\vec{a}}(n) + \beta \hat{\vec{a}}(n-1) + \gamma \hat{\vec{e}}_a(n) + \delta \hat{\vec{e}}_a(n-1);$$

$$n = n + 1;$$

end cycles



# Filtered Least-Squares Reconstructor

$$\begin{aligned}
 \hat{\vec{e}}_a(n) &= R \vec{s}(n) \\
 &= \arg \min_{\vec{e}_a(n)} \left\{ \|\vec{s}(n) - G_a \vec{e}_a(n)\|_{C_\eta}^2 + \|\vec{e}_a(n)\|_{P_a^{\text{GSFoV}}}^2 \right\} \\
 &= (G_a^T C_\eta^{-1} G_a + P_a^{\text{GSFoV}})^{-1} G_a^T C_\eta^{-1} \vec{s}(n)
 \end{aligned}$$

- ▶  $P_a^{\text{GSFoV}} = V_a V_a^T$ , where  $V_a = [\vec{v}_a^{(1)}, \dots, \vec{v}_a^{(p)}]$ . Range( $V_a$ ) spans constrained modes, e.g. waffle for SCAO, cancelling tip/tilt for MCAO NGS, cancelling tip/tilt, astigmatism and defocus for MCAO LGS, i.e.

$$\sum_{\text{idm}} H_a^{\text{GSFoV}} \{\text{iobs, idm}\} \vec{v}_a \{\text{idm}\} = \vec{z}_1 \{\text{iobs}\} \text{ or } \vec{f} \{\text{iobs}\} \in \text{Null}(\Gamma_a)$$

Note:  $\|\vec{e}_a(n)\|_{P_a^{\text{GSFoV}}}^2 = \|V_a \vec{e}_a(n)\|^2$





# Minimum Variance Reconstructor

► Open-loop reconstructor:

- Estimation step:

$$\begin{aligned}\hat{\vec{e}}_x(n) &= E \vec{s}(n) = \arg \min_{\vec{e}_x(n)} \left\{ \|\vec{s}(n) - G_x \vec{e}_x(n)\|_{C_\eta^{-1}}^2 + \|\vec{e}_x(n)\|_{C_x^{-1}}^2 + \|\vec{e}_x(n)\|_{P_x^{\text{GSFoV}}}^2 \right\} \\ &= \left( G_x^T C_\eta^{-1} G_x + C_x^{-1} + P_x^{\text{GSFoV}} \right)^{-1} G_x^T C_\eta^{-1} \vec{s}(n)\end{aligned}$$

- Fitting step:

$$\begin{aligned}\hat{\vec{e}}_a(n) &= F \hat{\vec{e}}_x(n) = \arg \min_{\vec{e}_a(n)} \left\{ \|H_x^{\text{SCFoV}} \hat{\vec{e}}_x(n) - H_a^{\text{SCFoV}} \vec{e}_a(n)\|_{\mathcal{W}}^2 + \|\vec{e}_a(n)\|_{P_a^{\text{SCFoV}}}^2 \right\} \\ &= \left( H_a^{\text{SCFoV}T} \mathcal{W} H_a^{\text{SCFoV}} + P_a^{\text{SCFoV}} \right)^{-1} H_a^{\text{SCFoV}T} \mathcal{W} H_x^{\text{SCFoV}} \hat{\vec{e}}_x(n)\end{aligned}$$

# Minimum Variance Reconstructor (cont.)

- ▶ E-step GSFoV-projector:

$$P_x^{\text{GSFoV}} = V_x V_x^T, \quad V_x = [\vec{v}_x^{(1)}, \dots, \vec{v}_x^{(q)}]$$

$$\sum_{\text{ips}} H_x^{\text{GSFoV}} \{\text{iwfs, ips}\} \vec{v}_x \{\text{ips}\} = \vec{Z}_1 \{\text{iwfs}\} \text{ or } \vec{f} \{\text{iwfs}\} \in \text{Null}(\Gamma_x)$$

- ▶ F-step SCFoV-projector:

$$P_a^{\text{SCFoV}} = V_a V_a^T, \quad V_a = [\vec{v}_a^{(1)}, \dots, \vec{v}_a^{(r)}]$$

$$\sum_{\text{idm}} H_a^{\text{SCFoV}} \{\text{iobs, idm}\} \vec{v}_a \{\text{idm}\} = \vec{Z}_1 \{\text{iobs}\} \text{ or } \vec{f} \{\text{iobs}\} \in \text{Null}(\Gamma_a)$$

- MVR's  $P_a^{\text{SCFoV}}$  is identical to LSR's  $P_a^{\text{SCFoV}}$  if  $H_a^{\text{SCFoV}} = H_a^{\text{GSFoV}}$
- $q \gg r$ , i.e.  $\dim \text{Null}(\Gamma_x) \gg \dim \text{Null}(\Gamma_a)$
- In practice, projectors  $P_a^{\text{SCFoV}}$ ,  $P_x^{\text{GSFoV}}$  not known exactly



# Approximate Closed-Loop Reconstructor

- Estimation step:

$$\begin{aligned}\hat{\vec{e}}_x(n) &= E \vec{s}(n) = \arg \min_{\vec{e}_x(n)} \left\{ \|\vec{s}(n) - G_x \vec{e}_x(n)\|_{C_\eta^{-1}}^2 + \|\vec{e}_x(n)\|_{C_{x_d}^{-1}}^2 + \|\vec{e}_x(n)\|_{P_x^{\text{GSFoV}}}^2 \right\} \\ &= (G_x^T C_\eta^{-1} G_x + C_{x_d}^{-1} + P_x^{\text{GSFoV}})^{-1} G_x^T C_\eta^{-1} \vec{s}(n)\end{aligned}$$

where  $x_d(n) = x(n) - x(n - n_\tau)$  models an  $n_\tau$ -cycle lag, and

$$C_{x_d} = \mathcal{F}^{-1} \text{diag}(\langle (2\pi n_\tau \vec{v} \cdot \vec{\kappa})^2 \rangle \|\vec{\kappa}\|^{-11/3}) \mathcal{F}$$

If wind speed direction  $\vec{v}$  is uniformly distributed in  $[0, 2\pi]$ ,

$$\langle (2\pi n_\tau \vec{v} \cdot \vec{\kappa})^2 \rangle = (1/2)(2\pi n_\tau \|\vec{v}\|)^2 \|\vec{\kappa}\|^2$$

- Fitting step as for MVR



# Control Algorithm: Loop Filtering

$$n = 0; \hat{\vec{a}}(0) = \vec{0};$$

begin cycles

$$[\text{PSF}(n), \text{OTF}(n), \sigma^2(n)] = \text{perfevl}[\vec{x}(n), \hat{\vec{a}}(n)];$$

$$\vec{s}(n) = \vec{s}^o(n) - G_a \hat{\vec{a}}(n);$$

$$\hat{\vec{e}}_a(n) = R \vec{s}(n);$$

$$\Rightarrow \hat{\vec{a}}(n+1) = \alpha \hat{\vec{a}}(n) + \beta \hat{\vec{a}}(n-1) + \gamma \hat{\vec{e}}_a(n) + \delta \hat{\vec{e}}_a(n-1);$$

$$n = n + 1;$$

end cycles

- ▶ Loop filtering can be rewritten  $\hat{\vec{a}}(n+1) = g(n) \star \hat{\vec{e}}_a(n)$  with  $g(z) = (\gamma z + \delta)/(z^2 - \alpha z - \beta)$ . Integrator:  $\alpha + \beta = 1$
- ▶ For FE-recons, filtering can be applied on  $\hat{\vec{e}}_x$  or  $\hat{\vec{e}}_a$  since gain coeffs are scalar multiples of the identity matrix



# Pseudo Open-Loop Reconstructor

Inspired by open-loop MVR with loop filtering applied on  $\hat{\vec{e}}_x$ :

$$\vec{s}^{\hat{o}}(n) = \vec{s}(n) + G_a \hat{\vec{a}}(n)$$

$$\begin{aligned} \hat{\vec{e}}_x(n) &= \arg \min_{\vec{e}_x(n)} \left\{ \|\vec{s}^{\hat{o}}(n) - G_x(\hat{\vec{x}}(n) + \vec{e}_x(n))\|_{C_\eta^{-1}}^2 + \|\hat{\vec{x}}(n) + \vec{e}_x(n)\|_{C_x^{-1}}^2 \right. \\ &\quad \left. + \|\hat{\vec{x}}(n) + \vec{e}_x(n)\|_{P_x^{\text{GSFoV}}}^2 \right\} \\ &= A^{-1} G_x^T C_\eta^{-1} (\vec{s}(n) + \vec{s}'(n)) - A^{-1} (C_x^{-1} + P_x^{\text{GSFoV}}) \hat{\vec{x}}(n) \end{aligned}$$

where

$$\vec{s}'(n) = (G_a F - G_x) \hat{\vec{x}}(n), \quad A = G_x^T C_\eta^{-1} G_x + C_x^{-1} + P_x^{\text{GSFoV}}$$

Note:  $A^{-1} G_x^T C_\eta^{-1} = E = \text{MVR E-matrix}$



## POLR (cont.)

Control Algorithm: *Version 1*

$$n = 0; \hat{\vec{a}}(0) = \vec{0}; \hat{\vec{x}}(0) = \vec{0};$$

begin cycles

$$[\text{PSF}(n), \text{OTF}(n), \sigma^2(n)] = \text{perfevl}[\vec{x}(n), \hat{\vec{a}}(n)];$$

$$\vec{s}(n) = \vec{s}^{\vec{o}}(n) - G_a \hat{\vec{a}}(n);$$

$$\vec{s}'(n) = (G_a F - G_x) \hat{\vec{x}}(n);$$

$$\hat{\vec{e}}_x(n) = E(\vec{s}(n) + \vec{s}'(n)) - A^{-1}(C_x^{-1} + P_x^{\text{GSFoV}}) \hat{\vec{x}}(n);$$

$$\hat{\vec{x}}(n+1) = \alpha \hat{\vec{x}}(n) + \beta \hat{\vec{x}}(n-1) + \gamma \hat{\vec{e}}_x(n) + \delta \hat{\vec{e}}_x(n-1);$$

$$\hat{\vec{a}}(n+1) = F \hat{\vec{x}}(n+1);$$

$$n = n + 1;$$

end cycles



## POLR (cont.)

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Control Algorithm: *Version 2*

$$n = 0; \hat{\vec{a}}(0) = \vec{0}; \hat{\vec{x}}(0) = \vec{0};$$

begin cycles

$$[\text{PSF}(n), \text{OTF}(n), \sigma^2(n)] = \text{perfevl}[\vec{x}(n), \hat{\vec{a}}(n)];$$

$$\vec{s}(n) = \vec{s}^{\circ}(n) - G_a \hat{\vec{a}}(n);$$

$$\hat{\vec{e}}_x(n) = E \vec{s}(n);$$

$$\hat{\vec{x}}(n+1) = [\alpha I + \gamma \mathbf{M}] \hat{\vec{x}}(n) + [\alpha I + \delta \mathbf{M}] \hat{\vec{x}}(n-1) + \gamma \hat{\vec{e}}_x(n) + \delta \hat{\vec{e}}_x(n-1);$$

$$\text{where } \mathbf{M} = E(G_a F - G_x) - A^{-1}(C_x^{-1} + P_x^{\text{GSFoV}})$$

$$\hat{\vec{a}}(n+1) = F \hat{\vec{x}}(n+1);$$

$$n = n + 1;$$

end cycles



## POLR (cont.)

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- ▶ POLR is not a conventional matrix multiply reconstructor: requires real-time knowledge of  $\hat{\vec{x}}(n)$
- ▶ POLR requires knowledge of interaction matrix  $G_a$
- ▶  $E = (G_x^T C_\eta^{-1} G_x + C_x^{-1} + P_x^{\text{GSFoV}})^{-1} G_x^T C_\eta^{-1} = A^{-1} G_x^T C_\eta^{-1} =$   
open-loop MVR E-matrix
- ▶ Computational complexity identical to MVR
- ▶  $G_a F - G_x = \Gamma_a H_a^{\text{GSFoV}} H_a^{\text{SCFoV}\dagger} H_x^{\text{SCFoV}} - \Gamma_x H_x^{\text{GSFoV}} \neq 0$





# Transfer Matrix and Loop Stability

► LSR:  $\hat{a}^{\vec{o}}(z) = R\vec{s}^{\vec{o}}(z)$

$$\hat{\vec{a}}(z) = T_a(z)\hat{a}^{\vec{o}}(z)$$

$$T_a(z) = g(z)(I + g(z)RG_a)^{-1}$$

$$g(z) = (\gamma z + \delta)/(z^2 - \alpha z - \beta)$$

$$RG_a = I - P_a^{\text{GSFoV}} = I - V_a V_a^T$$

- Given  $RG_a = U \text{diag}(\lambda_i) U^{-1}$ ,

$$T_a(z) = U \text{diag} [g(z)/(1 + g(z)\lambda_i)] U^{-1}$$

$$U^{-1}\hat{\vec{a}}(z) = \text{diag} [g(z)/(1 + g(z)\lambda_i)] U^{-1}\hat{a}^{\vec{o}}(z)$$

- Representative filter:  $\gamma = 0, \delta = \beta = \alpha = 1/2$ , yielding

$$g(z) = 1/[2(z - 1)(z + 1/2)]$$



## Loop Stability (cont.)

- Poles  $\{z_\star \mid 1 + g(z_\star)\lambda_i = 0\}$  are  $z_\star = (1 \pm \sqrt{9 - 8\lambda_i})/4$
  - System is stable if and only if all poles are located inside unit circle, i.e.  $|z_\star| \leq 1$
  - LSR is stable since  $\lambda_i = 0, 1$  and thus  $z_\star = 1, \pm 1/2$
- ▶ MVR - ACLR:
- E-step:

$$\hat{x}^o(z) = Es^o(z)$$

$$\hat{x}(z) = T_x(z)\hat{x}^o(z)$$

$$T_x(z) = g(z)(I + g(z)EG_aF)^{-1}$$



## Loop Stability (cont.)

- F-step:

$$\hat{\vec{a}}(z) = F \hat{\vec{x}}(z) = F T_x(z) \hat{\vec{x}}^o(z)$$

$$F T_x(z) = g(z) F (I + g(z) E G_a F)^{-1} = g(z) (I + g(z) R G_a)^{-1} F$$

$$\implies \hat{\vec{a}}(z) = T_a(z) \hat{\vec{a}}^o(z) \quad (\text{as for LSR})$$

$$T_a(z) = g(z) (I + g(z) F E G_a)^{-1}, \quad \hat{\vec{a}}^o(z) = F \hat{\vec{x}}^o(z)$$

- Since  $F E G_a$  has complex eigenvalues  $\lambda_i$  that in general won't satisfy the stability criterion  $|(1 \pm \sqrt{9 - 8\lambda_i})/4| < 1$ , MVR-ACLR will be unstable.
- Stability recovered for SCAO by slaving edge actuators and keeping only subaps at least 50% illuminated.  
Demonstrated in simulations and on the sky @ PALAO.



## Loop Stability (cont.)

► POLR:

$$M = EG_a F - EG_x - A^{-1}(C_x^{-1} + P_x^{\text{GSFoV}})$$

$$T_x(z) = g(z) [I + g(z)(EG_a F - M)]^{-1}$$

$$\hat{\vec{a}}(z) = F \hat{\vec{x}}(z) = F T_x(z) \hat{\vec{x}}^o(z)$$

-  $M = 0$  yields MVR. Given  $EG_a F - M = U \text{diag}(\lambda_i) U^{-1}$ ,

$$T_x(z) = U \text{diag} [g(z)/(1 + g(z)\lambda_i)] U^{-1}$$

- POLR doesn't have simple  $T_a(z)$  s.t.  $F T_x(z) = T_a(z) F$
- Simulations demonstrate that POLR is efficient but becomes unstable with little uncertainty in  $G_a$
- Real-time implementation worth considering



# Sparse MVR solver

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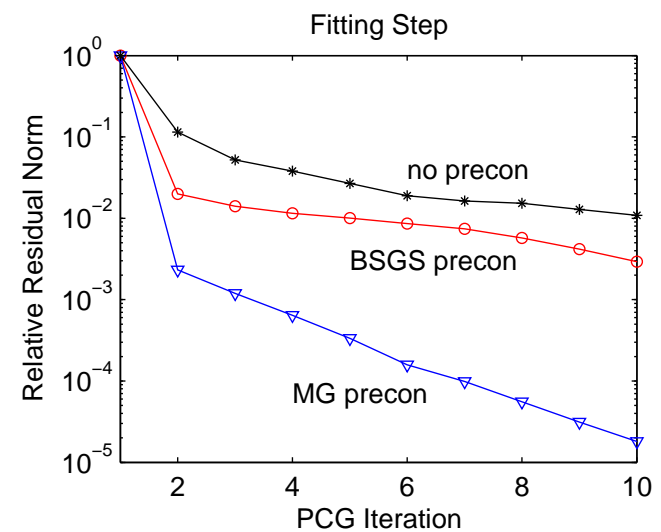
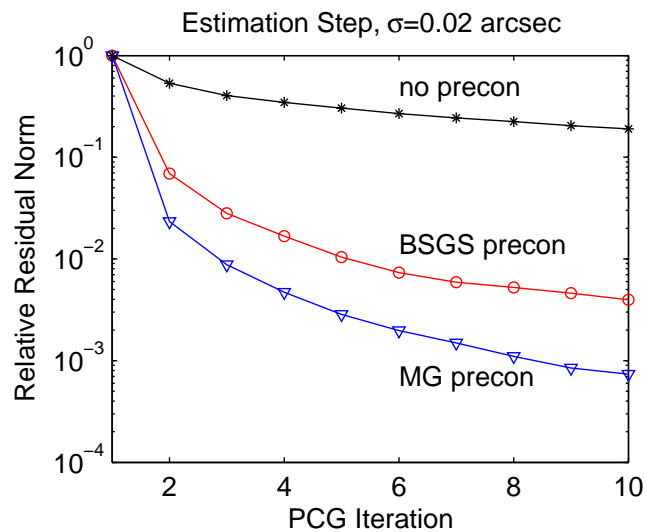
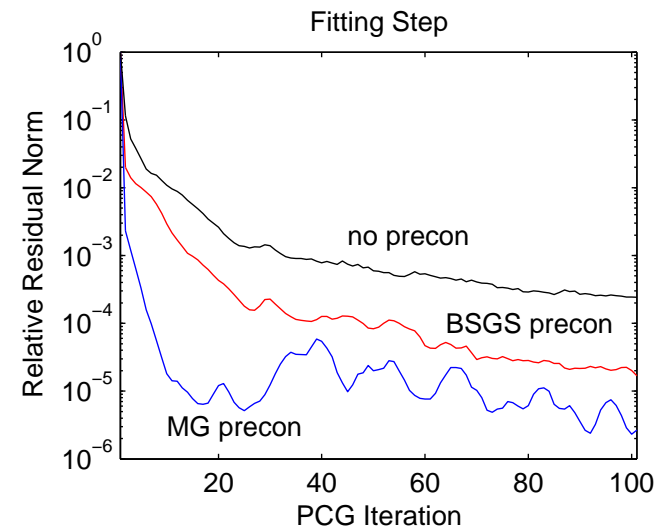
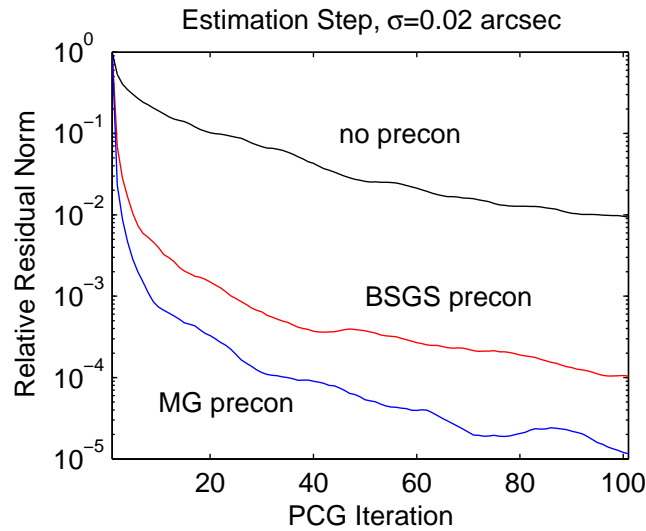
- ▶ Sparse layer-oriented multigrid-preconditioned conjugate gradient (MGCG) solver for MVR's E- and F-steps:
  - Layer-by-layer OPD estimation
  - 1 BSGS smoothing iteration for layer decoupling
  - LGS tip/tilt uncertainty leads to spatially colored measurement noise handled by rank-1 projector
  - LGS focus uncertainty can be handled similarly with an additional rank-1 projector
  - E- and F-systems solved using sparse plus low-rank inversion lemma (Sherman-Morrison)



## Sample 32m problem description

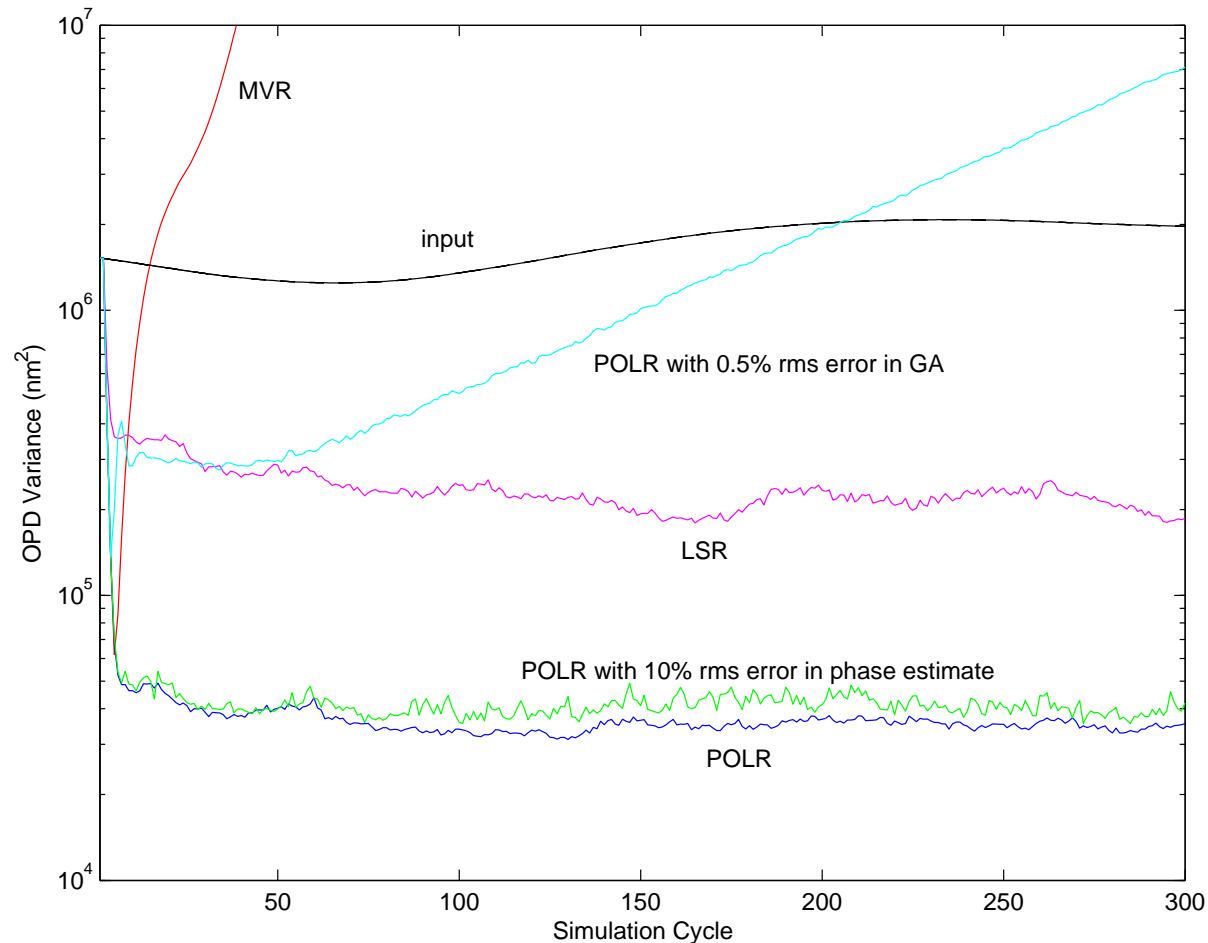
- Cerro Pachon 6 layer median turbulence profile ranging from 0m to 15,460m scaled to yield  $r_0 = 16\text{cm}$  @  $0.5\mu\text{m}$ .  $\theta_0 = 2.65''$ . 64m phase screens. Reconstructed PS1-PS4 oversample  $2\times$  subaps; recons PS5-PS6 match subaps resolution. **70,942 grid points reconstructed**
- 5 LGS's at the four edges and center of a 1" GSFoV;  $64 \times 64$  subapertures each; **33,320 measurements**
- 4 NGS's at mid distance between the four LGS's delimiting the GSFoV (**8 tip/tilt measurements**)
- $3 \times 3$  1" SCFoV; Simpson weights
- 3 DM's. DM's 1-2 in Fried config, DM3 (10,309m) undersamples  $2\times$  subaps. Total of **8,449 actu**

# 32m open-loop convergence results



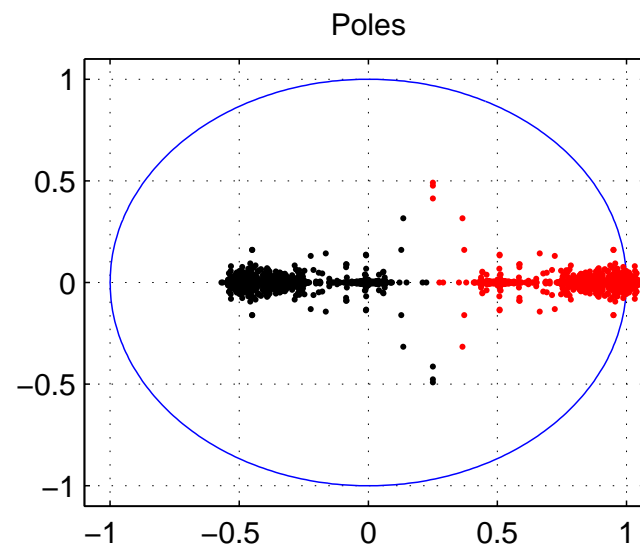
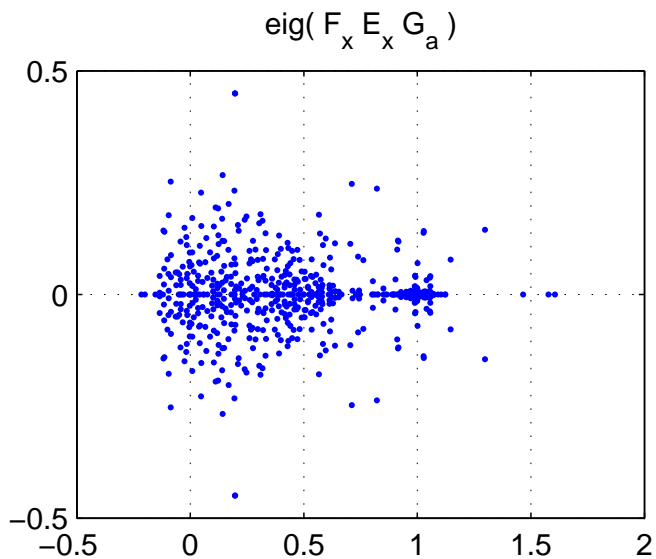
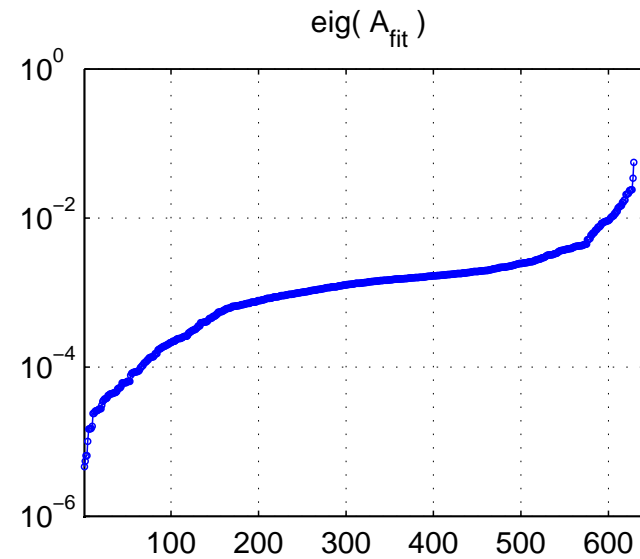
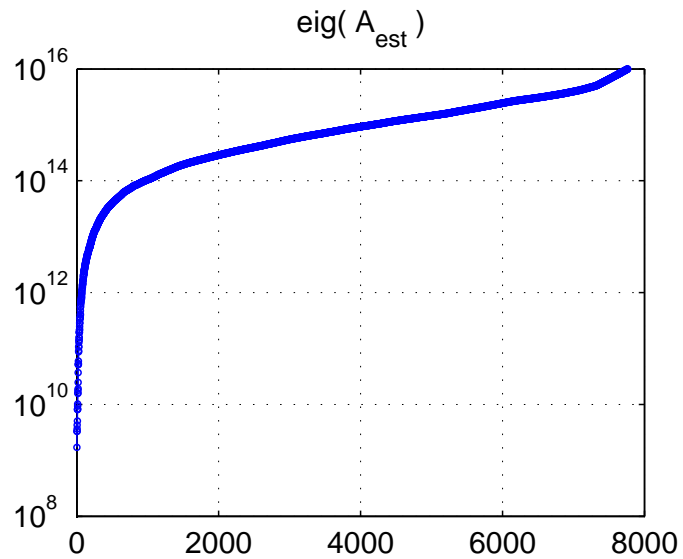
# 8m closed-loop simulation results

- ▶ Same geometry and fields as for 32m but with  $16 \times 16$  LGS WFS subaps. 7,826 reconstructed phase screen grid points; 629 actu and 2,248 measurements.

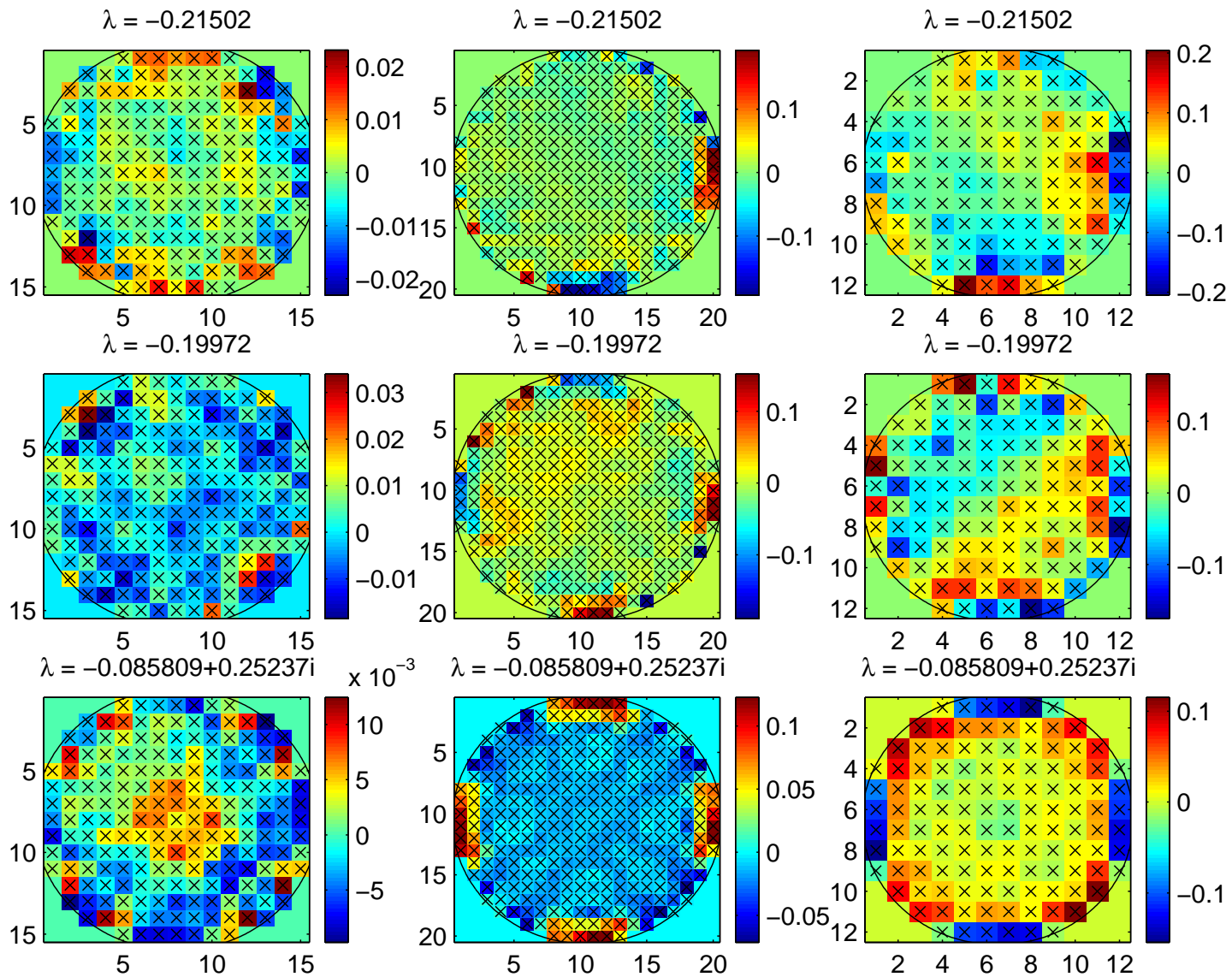




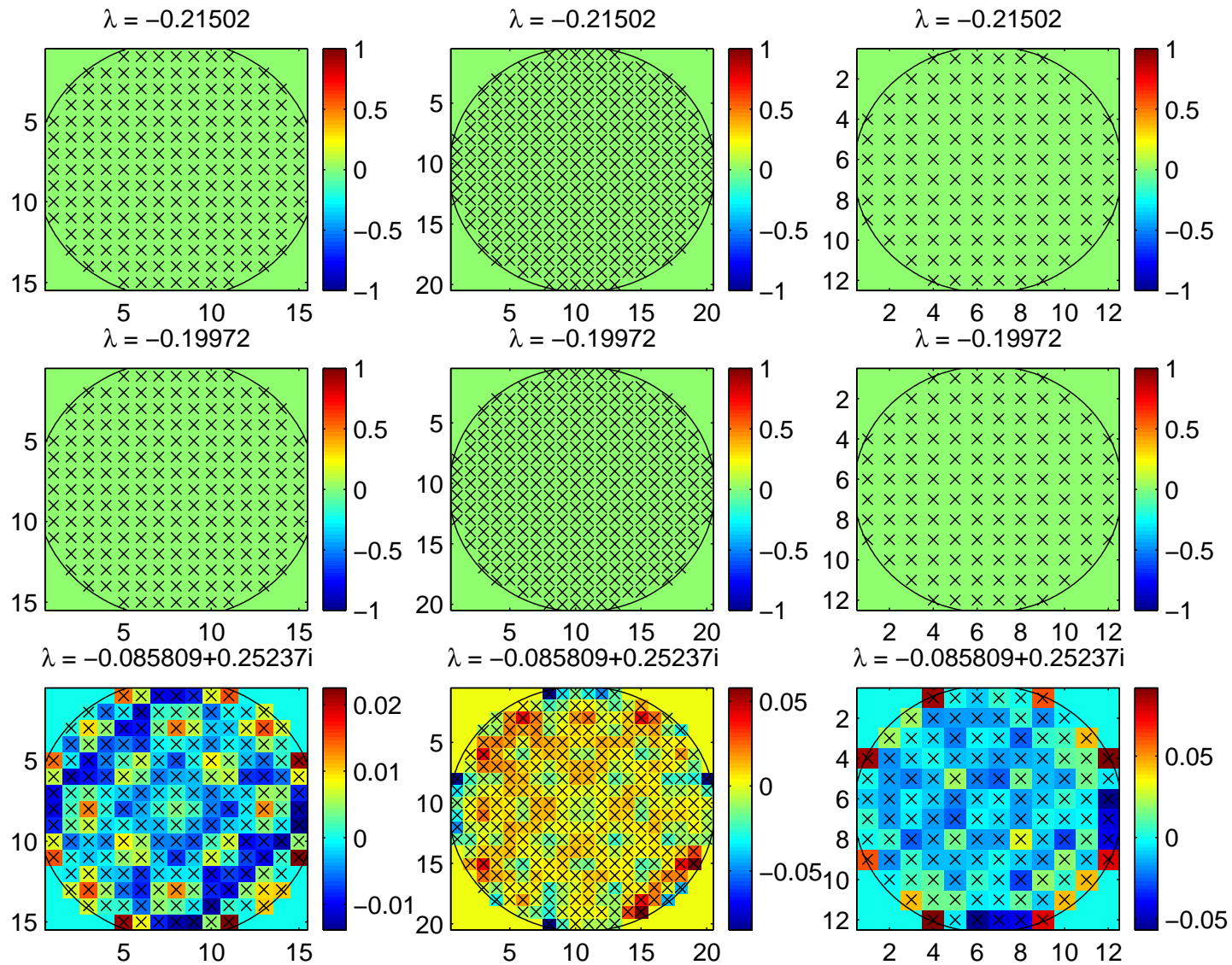
# 8m closed-loop poles



# Real part of sample offending modes



# Imag part of sample offending modes



# Constrained MVR

▶ Minimizes  $\langle \sigma^2(n) \rangle = \langle \|\vec{\epsilon}(n)\|_{\mathcal{W}}^2 \rangle$  subject to 2 linear constraints:

- $\boxed{RG_a = P_a}$  where  $P_a^2 = P_a$  and  $P_a^T = MP_aM^{-1}$  with  
 $M = H_a^{\text{SCFoV}T} \mathcal{W} H_a^{\text{SCFoV}}$

- $\boxed{(I - P_a)R = 0}$

$$\implies R = R_1 + R_2 \quad \text{with}$$

$$R_1 = FE_f$$

$$E_f = \left( G_x^T C_{\eta_f}^{-1} G_x + C_{x_f}^{-1} \right)^{-1} G_x^T C_{\eta_f}^{-1}$$

$$\vec{\eta}_f(z) = \vec{\eta}(z) g(z), \quad \vec{x}_f(z) = \vec{x} g(z)$$

$$R_2 = (I - R_1 G_a) G_a^\dagger$$

$$G_a^\dagger = \left( G_a^T C_{s_f}^{-1} G_a \right)^{-1} G_a^T C_{s_f}^{-1}$$

$$C_{s_f} = G_x C_{x_f} G_x^T + C_{\eta_f}$$



## Constrained MVR (cont.)

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- ▶ Obviously stable since  $\lambda_i = 0, 1$
- ▶ Is there a sparse efficient way to compute it ?
  - $C_{x_f}^{-1} \simeq \mathcal{F}^{-1} \text{diag}(\|\vec{k}\|^{11/3} \sqrt{1 + \|\vec{v}\|^2 \|\vec{k}\|^2 / \nu_c}) \mathcal{F}$
  - $C_{\eta_f} \simeq C_{\eta}$
  - $G_a^\dagger$  in  $R_2$  most troublesome
- ▶ Optimized range of projector  $P_a$  also troublesome