



# Data-Driven Models for Efficient Cancer Treatment Delivery

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# The impact of cancer

One person is diagnosed with cancer every 3 minutes in Canada, 20 seconds in USA.

One person dies from cancer every 7 minutes in Canada, 1 minute in USA.

First cause of mortality in Canada (30%):  
45% of Canadian will develop cancer  
5 year survivability 66%

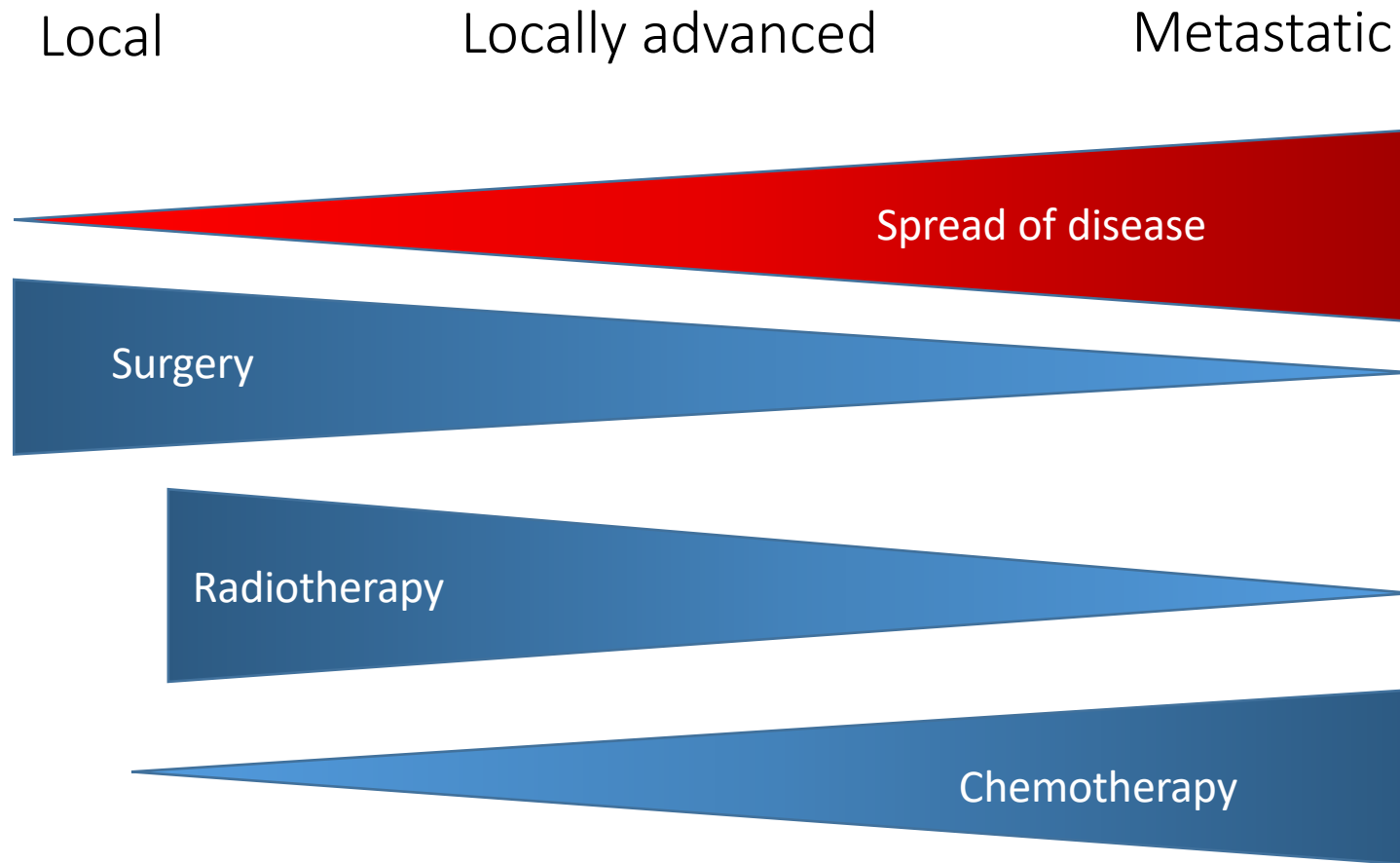
Ever increasing of new cancer cases:  
12% within 4 years  
Aging of population;  
Demographic growth.



How to treat all these patients while keeping excellent care ?

# What are your treatment options ?

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About 50% of cancer patients will receive radiotherapy

# Radiation Therapy

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Vickers 6 Prototype Newcastle-on-Tyne 1960

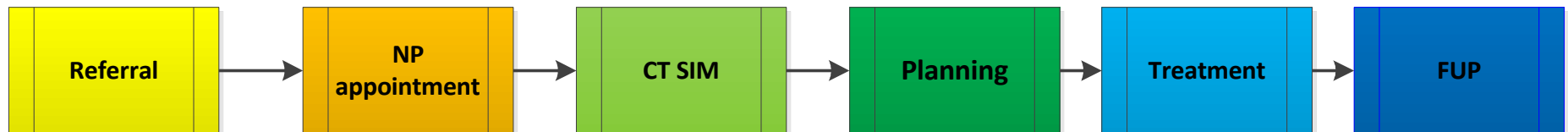




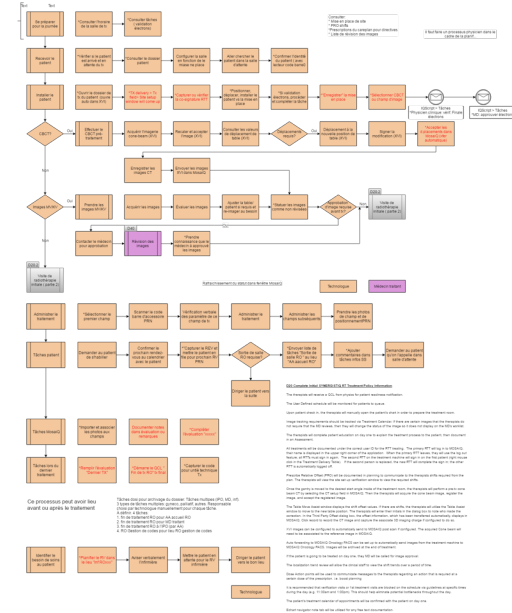
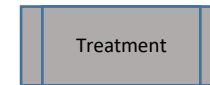
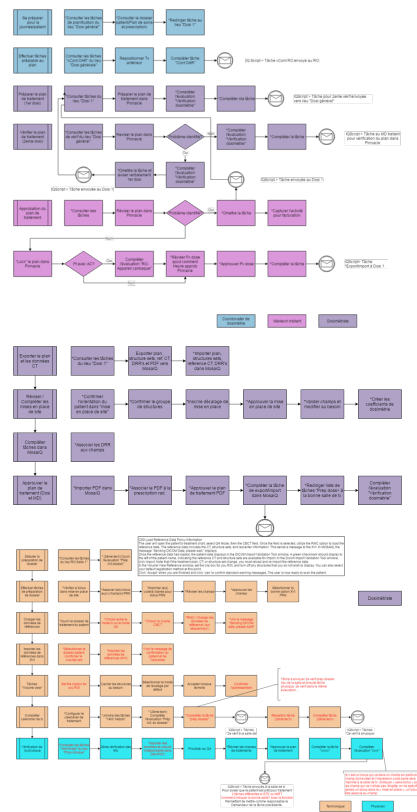
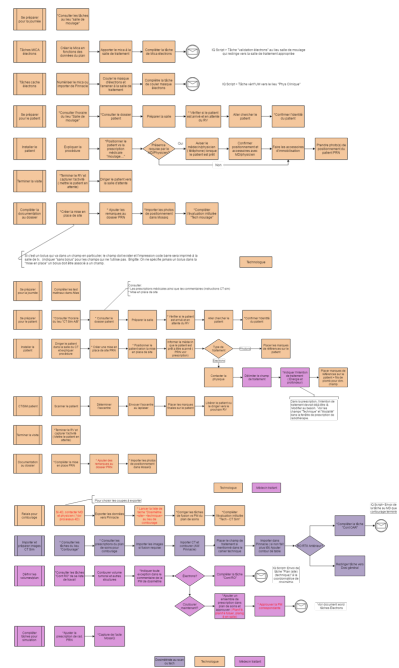
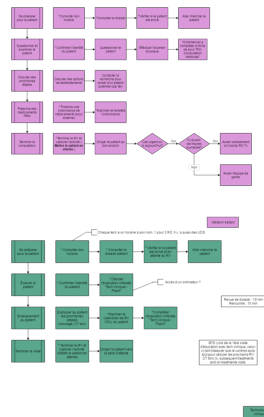
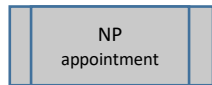
# Teams

	Chemotherapy	Radiotherapy
Prescribes	Oncologist	Radiation Oncologist
Prepares	Pharmacist	Physicist
Delivers	Nurse	Therapist

## Care Trajectory



## Care Trajectory in details



# Important steps

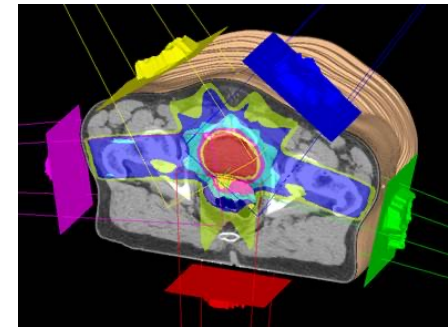
## Simulation:

- Uses: CT, MRI, PET-CT
- Used for treatment planning purposes
- 3D model of the human body

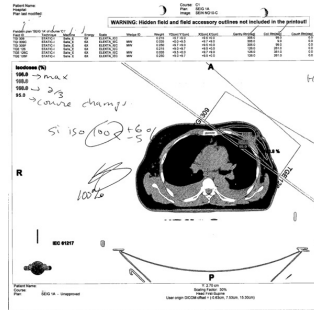


## Treatment Planning

- Calculates radiation deposition in the human body
- Multi-criteria optimization solver
- Server farm, GPU calculations, etc.



## Plan approval



## Linear accelerator

- mm accuracy
- 100x more powerful than a radiology X-ray



# Outline

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- Dynamic Radiation Therapy Patient Booking
  - Online stochastic combinatorial optimization
  - Prediction-based scheduling
- Radiation Therapy Treatment Planning
  - Unsupervised learning to reduce problem size
  - Trajectory optimization for Cyberknife

# When to book a patient ?

Patient diagnosed



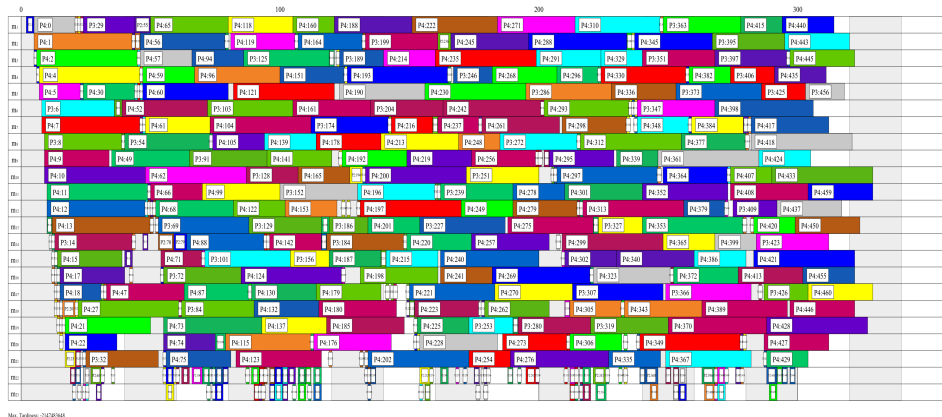
how much time ?



Patient is treated



Considering existing calendar...



... and patient priorities

Palliative (P2)	Curative 1 (P3)	Curative 2 (P4)
< 3 days	< 14 days	< 28 days



# Different possible approaches

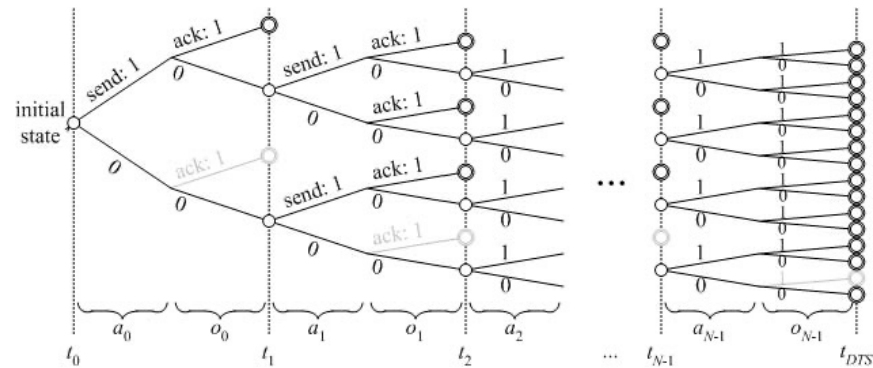
## Stochastic Optimization

$$\begin{aligned} \min_{x \in \mathbb{R}^n} \quad & g(x) = c^T x + E[Q(x, \xi)] \\ \text{subject to} \quad & Ax = b \\ & x \geq 0 \end{aligned}$$



$$\begin{aligned} \min_{y \in \mathbb{R}^m} \quad & q(\xi)^T y \\ \text{subject to} \quad & T(\xi)x + W(\xi)y = h(\xi) \\ & y \geq 0 \end{aligned}$$

## Markov Decision Process



## Online Optimization



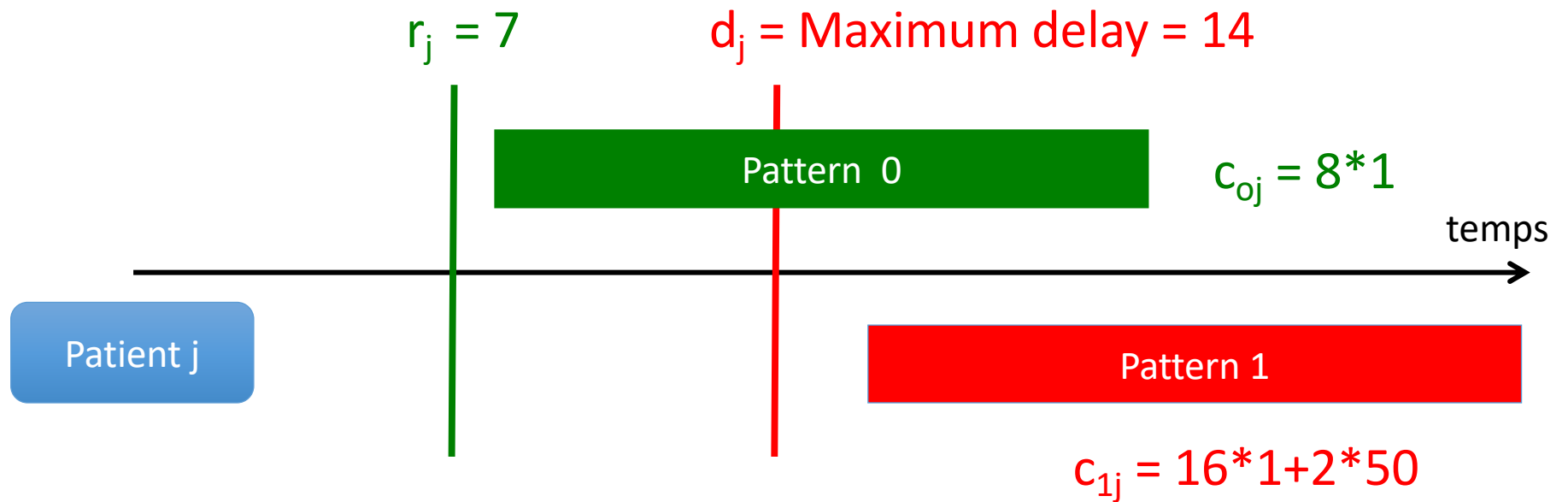
# RT cancer patient booking

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- Online stochastic combinatorial optimization:
  1. For each solution, we compute :
    1. A utilization cost (by day and by linac) for a time slot;
    2. We choose the appointment of minimum cost:
      1. Waiting time cost (depending of the priority) ;
      2. Expected utilization cost.
- Booking model -> Dantzig-Wolfe decomposition;
- Uncertainties -> Benders decomposition.



# Structure of the model



Treatment planning fix to 7 days

# Stochastic Programming Model

$$\min \sum_{i \in S_j} c_{ij} x_{ij} + \mathbb{E}_{\omega \in \Omega_j} \left[ \sum_{l \in \mathcal{P}^\omega} \sum_{i \in S_l} c_{il} y_{il}^\omega + \sum_{k \in H} \sum_{m \in M} c^o z_{mk}^\omega \right]$$

subject to:

$$\sum_{i \in S_j} x_{ij} = 1$$

$$\sum_{i \in S_l} y_{il}^\omega = 1, \quad \forall \omega \in \Omega_j, \forall l \in \mathcal{P}^\omega$$

Approximated utilization cost of  
a given initial treatment time slot

Choose greedily  
the pattern  
with the best  
reduced cost

$$\sum_{i \in S_j} a_{ijk}^m x_{ij} + \sum_{l \in \mathcal{P}^\omega} \sum_{i \in S_l} a_{ilk}^m y_{il}^\omega \leq F_k^m + z_{mk}^\omega, \quad \forall m \in M, \forall k \in H, \forall \omega \in \Omega_j$$

= dual variable of this constraint

$$\mathbb{1}_{\mathcal{P}_p}(j) \sum_{i \in S_j} a_{ijk}^m x_{ij} + \sum_{l \in \mathcal{P}_p^\omega} \sum_{i \in S_l} a_{ilk}^m y_{il}^\omega \geq z_{mk}^\omega, \quad \forall m \in M, \forall k \in H, \forall \omega \in \Omega_j$$

$$\sum_{k=b}^{b+4} z_{mk}^\omega \leq O_{week}, \quad \forall m \in M, \forall b \in \mathcal{B}, \forall \omega \in \Omega_j$$

$$z_{mk}^\omega \in [0, O_{day}], \quad \forall m \in M, \forall k \in H, \forall \omega \in \Omega_j$$

$$x_{ij} \in \{0, 1\}, \quad \forall i \in S_j$$

$$y_{il}^\omega \in \{0, 1\}, \quad \forall l \in \mathcal{P}^\omega, \forall i \in S_l, \forall \omega \in \Omega_j$$

# Initial Results – Regional Hospital

	Due date violations			Average waiting time			Utilization	Overtime
	>3	>14	>28	Palliative	Curative 1	Curative 2		
CICL	14	16	0	2,07	14,38	12,98	88,3%	44
OSCO - 1	9	6	0	1,05	10,57	15,98	88,0%	6

CICL real data:

- 170 patients ;
- 120 days;
- 2 linacs with 23 slots.

Very small and simple  
with homogenous  
appointment times

Legrain A, Fortin MA, Lahrichi N, Rousseau L-M (2015) “Online Stochastic Optimization of Radiotherapy Patient Scheduling”, *Healthcare Management Science*, 18, 110-123.



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  - Prediction-based scheduling
- Radiation Therapy Treatment Planning
  - Unsupervised learning to reduce problem size
  - Trajectory optimization for Cyberknife

# UNIVERSITY OF MONTRÉAL HOSPITAL CENTER (CHUM)

## Prediction-based Scheduling for Online RT Scheduling

**10 LINACS**

5 generics  
4 specialized  
1 cyberknife

**4400** consultations

**3500** new patients

**40.000** fractions

**25 to 50** minutes apt.

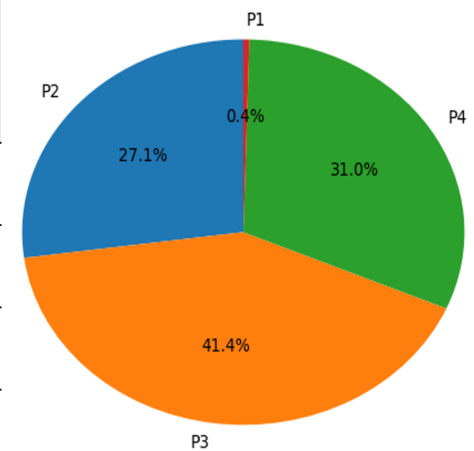
Pham T-S, Legrain A, De Causmaecker P, Rousseau L-M, (2023), A prediction-based approach for online dynamic appointment scheduling: a case study in radiotherapy treatment. *Informatics Journal of Computing*.

# CHUM - 2019

Palliative

Curative

Category	Treatment deadline (days)	Percentage of overdue treatment (%)	Average waiting time (days)
P1	1	14.29	1.09
P2	3	79.89	6.91
P3	14	74.55	18.11
P4	28	29.89	22.59

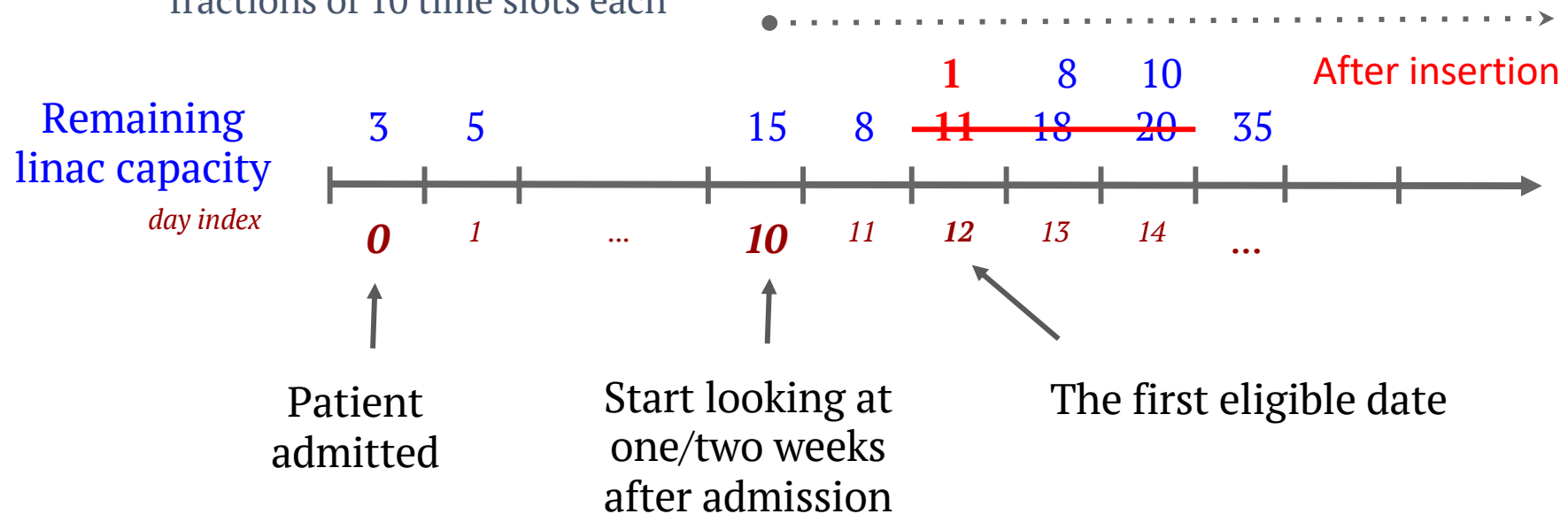


Objective: minimizing overdue treatment and waiting time

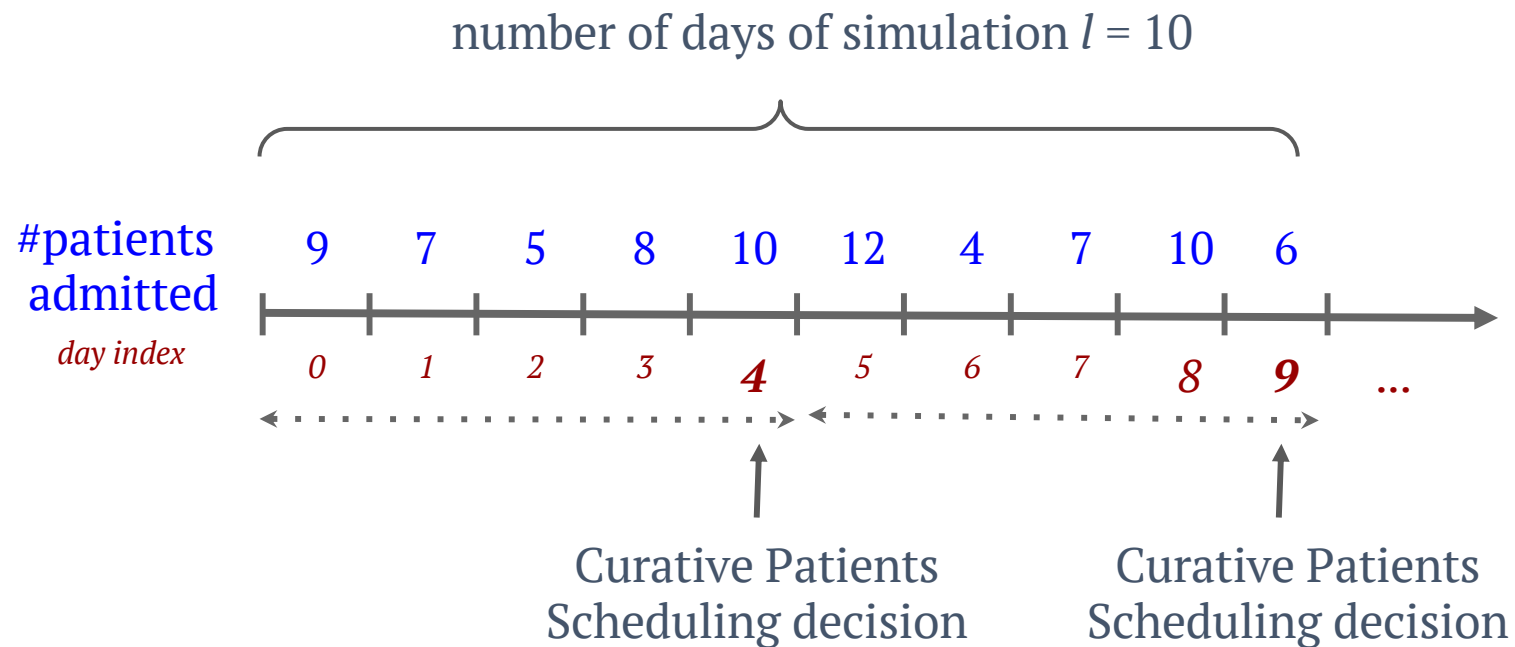
# Online Scheduling with a Greedy Heuristic

- 1 linac, capacity 120 time slots
- a curative patient (P4) with 3 fractions of 10 time slots each

Looking for the first eligible date that can accommodate the whole treatment



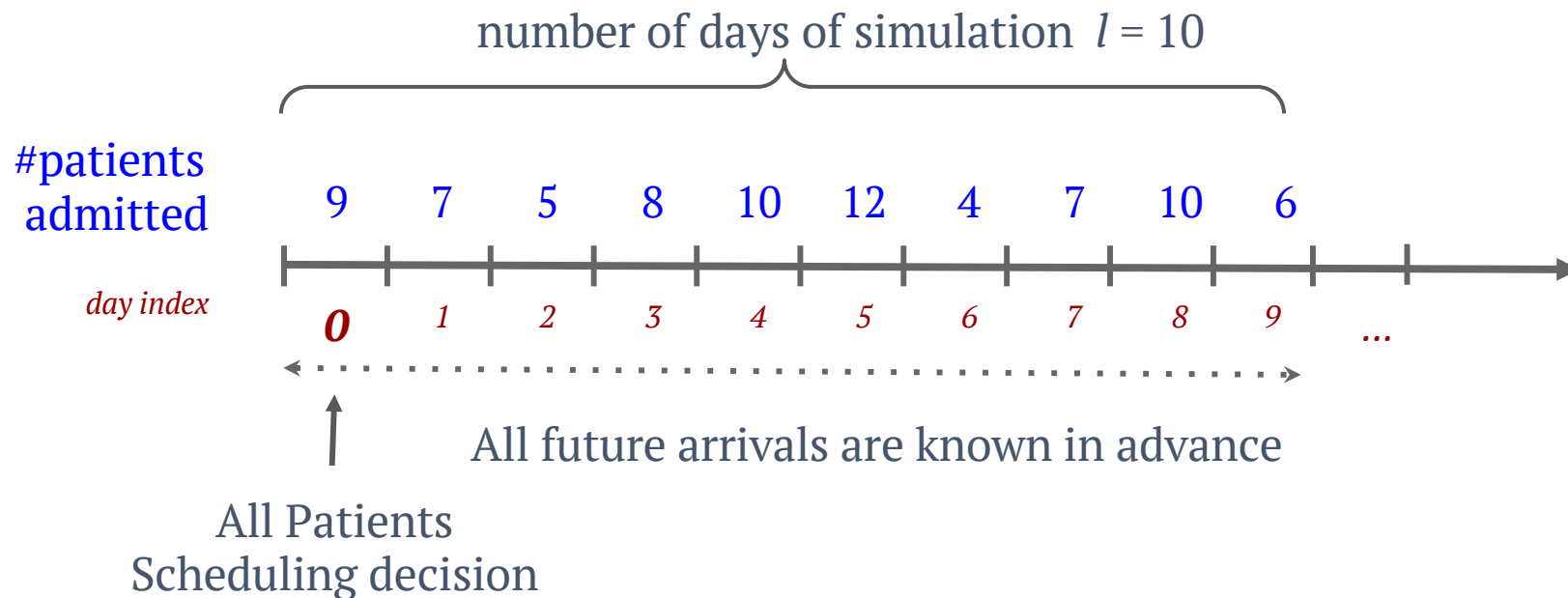
# Batch Scheduling



Palliative patients: schedule at arrival



# Offline Scheduling – with Perfect Information



# A MIP Model for Batch\Offline Scheduling

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$$x_{tl}^i = \begin{cases} 1 & \text{if patient } i \text{ receives their treatment on day } t, \text{ linac } l \\ 0 & \text{otherwise} \end{cases}$$

$$\begin{aligned} \text{minimize } & \sum_{i \in \hat{\mathcal{P}}} \sum_{t \in \mathcal{T}, t > a_i} \sum_{l \in \mathcal{L}} \omega_1 (t - a_i) \log(t - a_i + 1) x_{tl}^i && \text{waiting time} \\ & + \sum_{i \in \hat{\mathcal{P}}} \sum_{t \in \mathcal{T}, t > d_i} \sum_{l \in \mathcal{L}} \omega_2 (t - d_i) \log(t - d_i + 1) x_{tl}^i && \text{overdue time} \end{aligned}$$

# A MIP Model for Batch\Offline Scheduling

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$$\sum_{t \in \mathcal{T}} \sum_{l \in \mathcal{L}} x_{tl}^i = 1 \quad \text{assignment constraint} \quad \forall i \in \hat{\mathcal{P}}$$

$$x_{tl}^i = 0 \quad \text{ready date} \quad \forall i \in \hat{\mathcal{P}}, l \in \mathcal{L}, t \in \{0, \dots, r_i - 1\}$$

$$\sum_{i \in \hat{\mathcal{P}}} \sum_{t' = \max\{0, t - I_i + 1\}}^t p_i x_{t'l}^i \leq \hat{C}_l^t \quad \text{capacity constraints} \quad \forall t \in \mathcal{T}, l \in \mathcal{L}$$

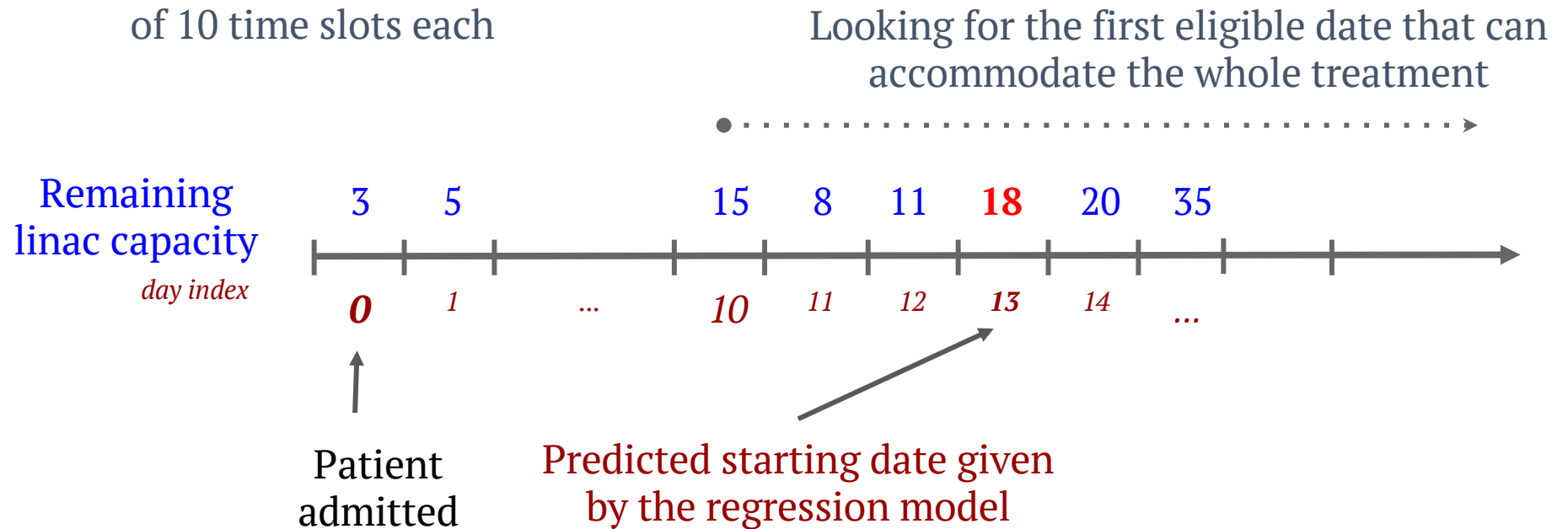
$$\sum_{i \in \mathcal{P}^c} \sum_{t' \in \{t - I_i + 1, \dots, t\}} p_i x_{t'l}^i \leq \max\{0, \hat{C}_l^t - \gamma C_l^t\} \quad \text{reserved capacity} \quad \forall t \in \mathcal{T}, l \in \mathcal{L}$$

$$x_{tl}^i \in \{0, 1\} \quad \forall i \in \hat{\mathcal{P}}, t \in \mathcal{T}, l \in \mathcal{L}$$

WARNING: CURRENTLY IGNORES INTRA-DAY SCHEDULING

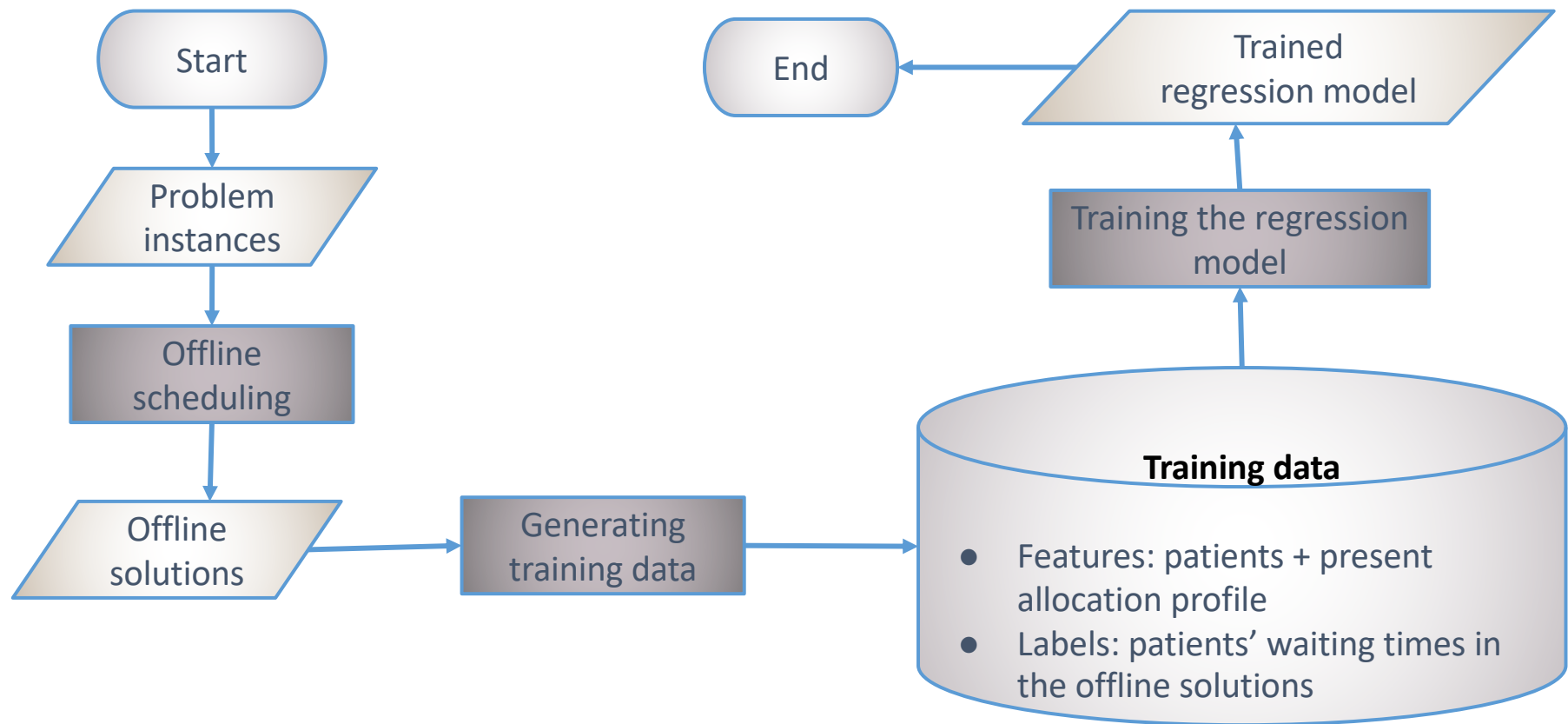
# Prediction-based Scheduling

- 1 linac, capacity 120 time slots
- a curative patient with 3 fractions of 10 time slots each



How do we predict a “good” starting date for a patient?

# Training the Regression Model for Scheduling





# Constructing Training data from an Offline Solution

Notation	Explanation
----------	-------------

$\mathcal{P}$	set of patients
$C$	total linac capacity
$\alpha_i$	admission time point of patient $i$
$d_i$	due date of patient $i$ ( $d_i \leq r_i$ )
$I_i$	number of fractions of patient $i$
$p_i$	fraction's length of patient $i$
$\hat{c}_d^\phi$	available capacity of all linac on day $d$ , measured at time point $\phi$
$\hat{C}^\phi$	set of <i>present capacity</i> of all days in the <i>sample horizon</i> $\mathcal{D}^\phi$
$w_i^*$	waiting time of patient $i$ in the offline solution $s_i^*$

- Datapoints:  $X_i = \{r_i, I_i, d_i, p_i, \hat{C}^{\phi, \phi=\alpha_i}\} \Rightarrow \mathcal{X}$

- Labels:  $y_i = w_i^* \Rightarrow \mathcal{Y}$
- Estimate:  $\xi : \mathcal{X} \rightarrow \mathcal{Y}$

MIP as a  
? Labelling  
Machine

- Objective:  $\mathcal{L}(\phi) = \sum_i l(\hat{y}_i, y_i) + \sum_k \Omega(f_k)$

Loss function

Regularization

# Constructing Training data from an Offline Solution

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---

**MIP as a Episode Builder ?**

**STATE ?**

- Datapoints:  $X_i = \{r_i, I_i, d_i, p_i, \hat{C}^\phi, \phi=\alpha_i\} \Rightarrow \mathcal{X}$

- Labels:  $y_i = w_i^* \Rightarrow \mathcal{Y}$  **ACTION ?**

- Estimate:  $\xi : \mathcal{X} \rightarrow \mathcal{Y}$

- Objective:  $\mathcal{L}(\phi) = \sum_i l(\hat{y}_i, y_i) + \sum_k \Omega(f_k)$   

Loss function

↙

↘

Regularization

# Data Generation

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Patient arrivals: Poisson distribution

Treatment plans: based on historical data

## Instance setting

- Number of linacs
- Arrival rate (average daily number of patients)

For each instance setting: 500 instances

- 400 for training the regression model
- 100 for testing

# PREDICTIVE MODELS

	Training time	Training		Testing	
		MSE	MAE	MSE	MAE
MLP	116.19	3.45	1.32	3.33	1.29
SGD	0.35	6.06	1.84	5.61	1.77
Lasso	0.44	5.97	1.81	5.52	1.74
ElasticNet	0.25	6.26	1.85	5.83	1.8
SVR	43.16	3.19	1.07	3.12	1.07
Decision Tree	0.84	2.41	0.48	6.59	1.4
Random forest	51	<b>0.38</b>	<b>0.39</b>	2.64	1.03
XGBoost	7.71	0.96	0.66	<b>2.44</b>	<b>0.97</b>

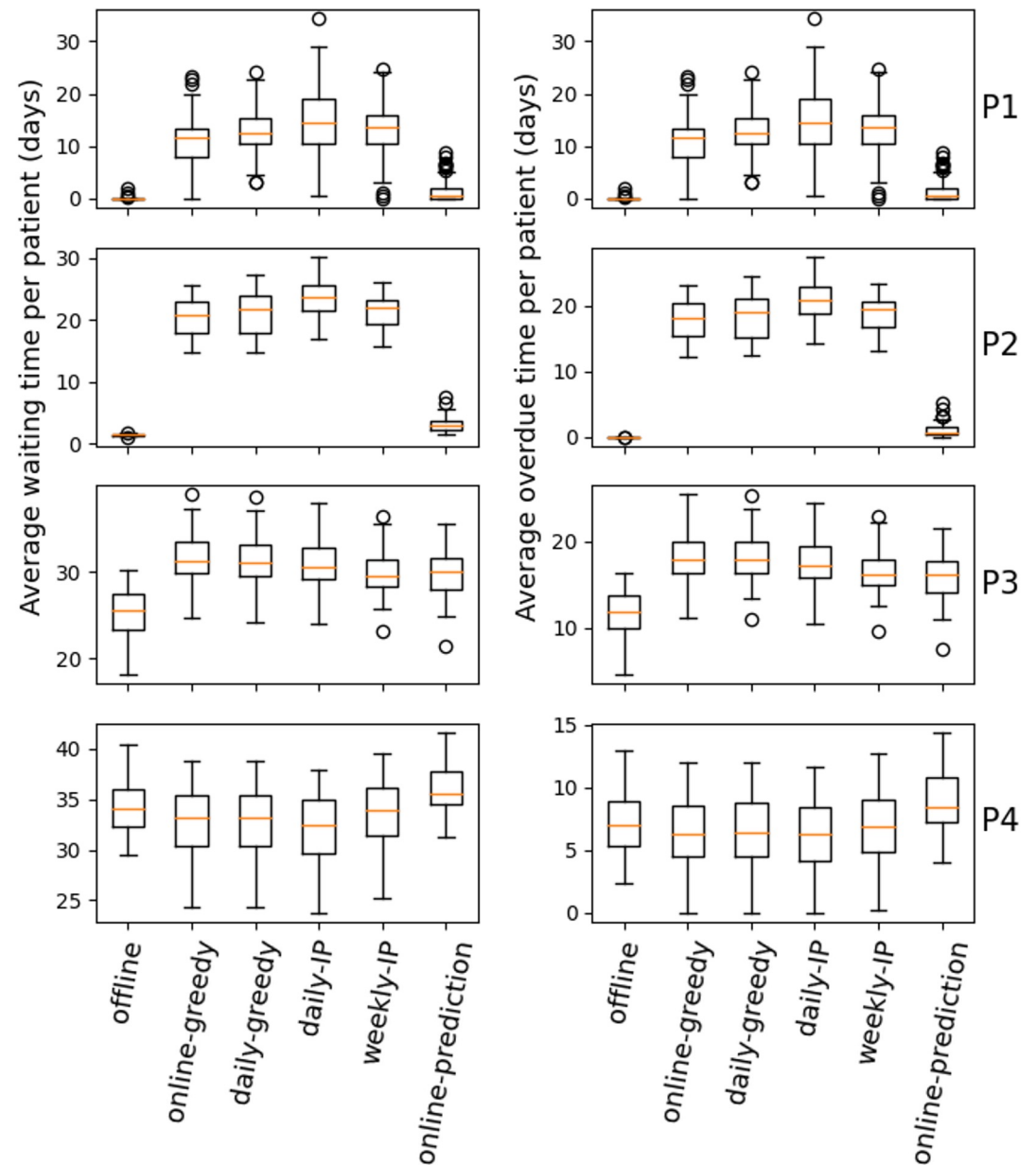
# DYNAMIC SCHEDULING STRATEGIES

Scheduling strategy		Scheduling palliative patients	Scheduling curative patients
Batch scheduling	Offline	Scheduling once with all future arrivals known in advance	
	Daily	Every day	Every day
	Weekly	Every day	Every Friday
	Daily greedy	Every day	Every day
Online scheduling	Greedy	At admission	At admission
	Prediction-based	At admission	At admission

# 8 LINACS

Arrival rate of 12.0

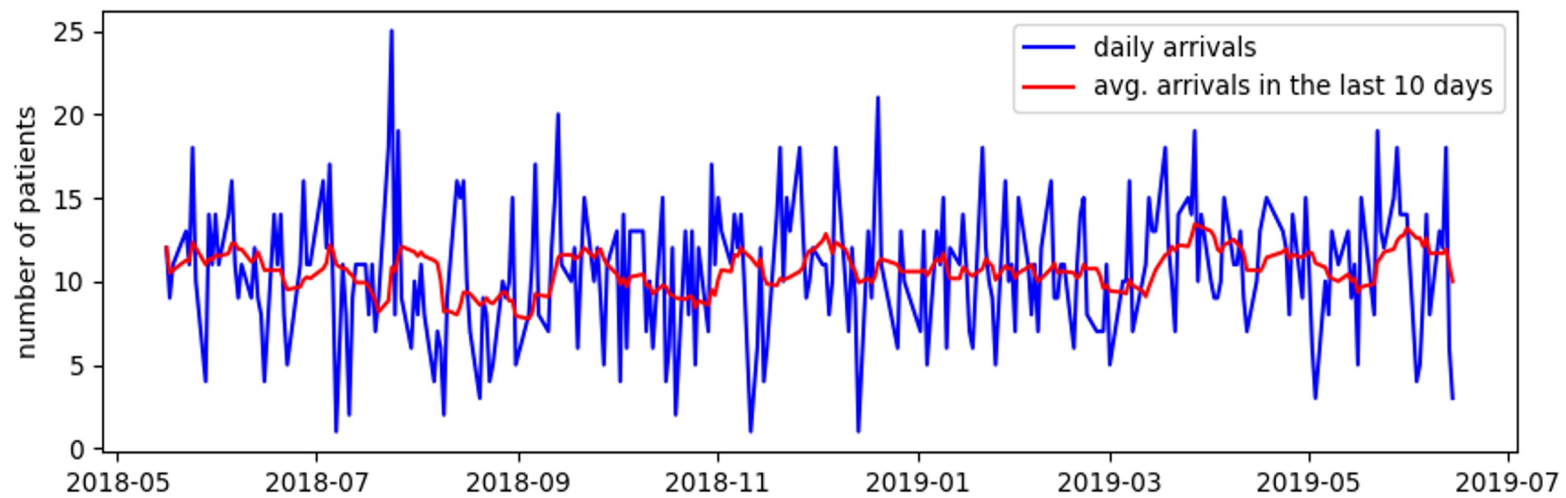
P4 patients are slightly delayed to create enough room for P1 & P2



# Experiment on a real CHUM data

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- 7 linacs operating 8 hours/day
- High fluctuation in arrival rate
  - Instance setting for training: arrival rate of 10.1 patients/day

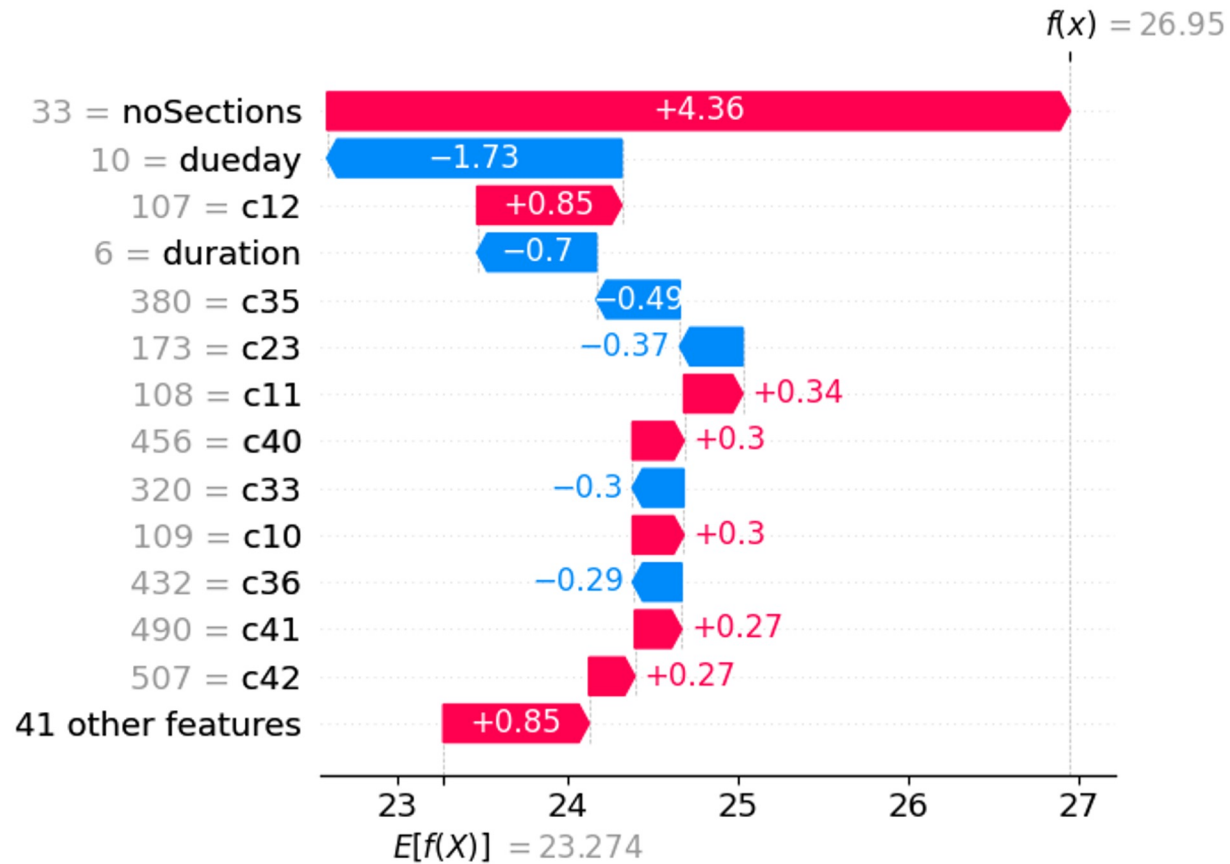


# RESULTS ON THE REAL INSTANCE

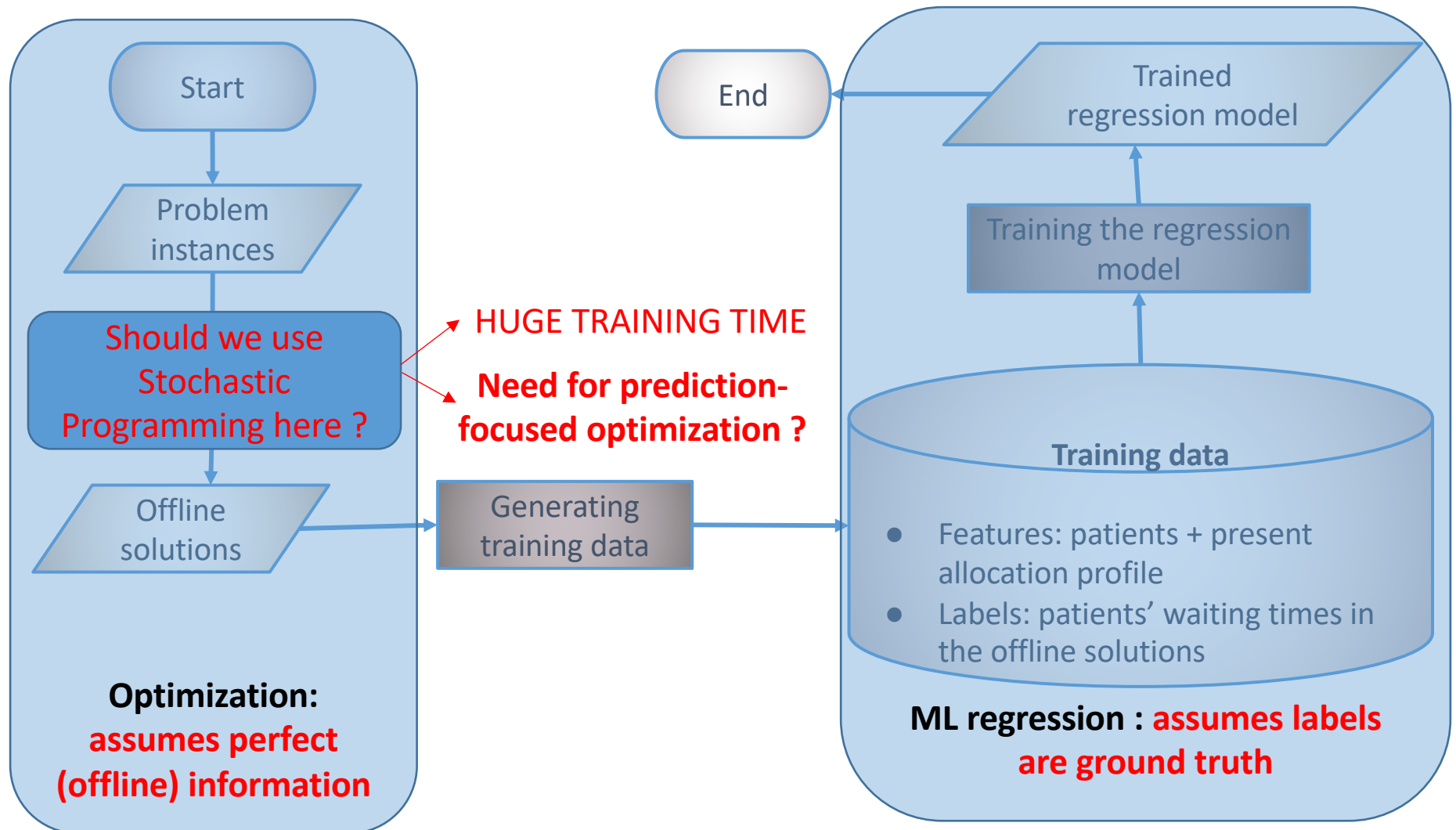
Scheduling strategy	Avg. occupancy (%)	Waiting time (days)					Overdue time (days)				
		overall	P1	P2	P3	P4	overall	P1	P2	P3	P4
online-greedy	97.45	33.02	5.14	6.13	43.67	44.02	44.02	5.14	3.91	29.74	16.18
daily-greedy	97.51	32.91	6.00	6.23	43.48	43.80	17.71	6.00	3.99	29.58	16.00
daily	97.72	33.53	9.79	9.63	42.87	<b>43.44</b>	18.25	9.79	7.15	28.93	<b>15.65</b>
weekly	97.61	33.04	7.86	7.72	<b>42.42</b>	44.10	17.76	7.86	5.37	<b>28.51</b>	16.19
prediction-based	97.14	32.93	<b>3.29</b>	<b>4.05</b>	44.21	44.94	17.69	<b>3.29</b>	<b>1.99</b>	30.22	16.96



# Explainability



# Training the Regression Model for Scheduling

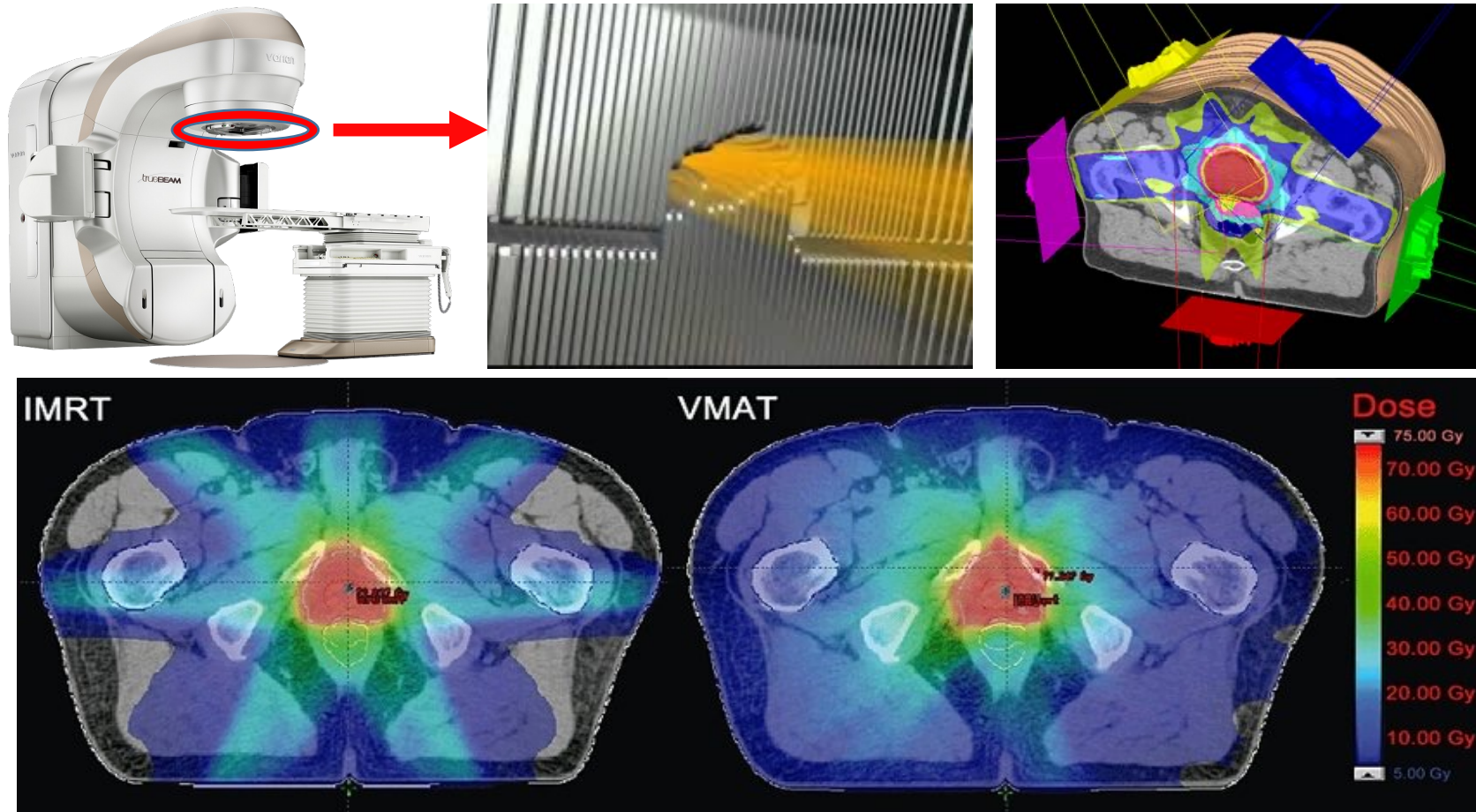


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# Planning Treatment for Radiation Therapy



Mahnam M, Gendreau M, Lahrichi N, Rousseau L-M, (2017), "Simultaneous delivery time and aperture shape optimization for the volumetric-modulated arc therapy (VMAT) treatment planning problem", *Physics in Medicine and Biology*, 62,

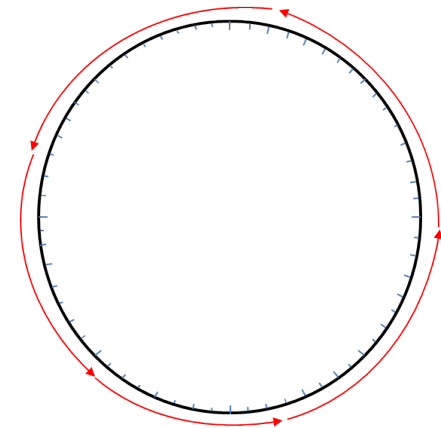
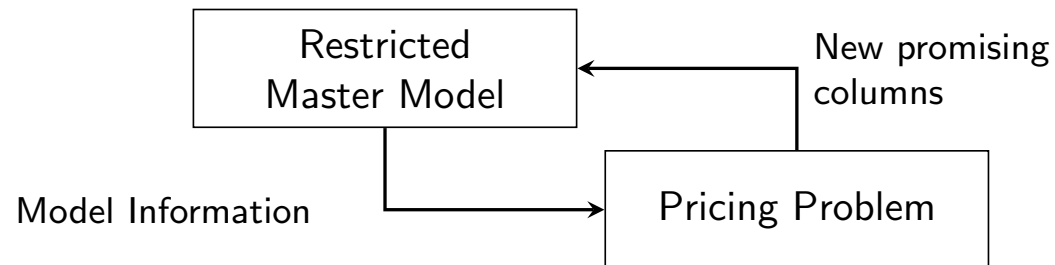
# VMAT: Delivery time & aperture shape optimization

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- Vocabulary:
  - **Voxel**: a cube in the body ( a 3D pixel)
  - **Sector**: is a position (angle) around the body.
  - **Aperture**: a configuration of the tungsten leafs
  - **Beamlet**: the smallest possible beam
  - **Dose**: the amount of energy deposited in a voxel (in Gray)
- Decisions:
  - Selecting a **sequence** (each 2°) of apertures.
  - Determining the beam energy & rotation speed.
- Objectives:
  - Maximize plan quality (deposited dose match prescribed dose)
  - Minimize **treatment time**

# VMAT: Delivery time & aperture shape optimization

- Highly combinatorial problem:
  - In a small case with  $(5 \times 10)$  beams and 100 sectors,
  - there are  $7.1 \times 10^{251}$  apertures shapes.
  - Real problem is  $(80 \times 80) \times 180$  sectors
- Using Column Generation (CG): a Mathematical Optimization technique for solving large-scale problems
  - Exploits decomposable structures
  - Handles large number of variables



# Master Model: arc and intensity selection

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## Objective function

- quadratic voxel-based penalty function + delivery time

## Constraints

1. Calculating the dose deviation from prescribed thresholds
2. Each sector should be covered by at most one arc
3. Restricting the change of dose rate between adjacent sectors
4. Restricting the dose rate to the max R
5. The gantry speed at each sector should be enough for leaf motions of the assigned arc
6. Restricting the change of sector time between adjacent sectors
7. Restricting the sector time to lower and upper bounds
8. Restricting the maximum total treatment time.

# Master Model: arc and intensity selection

**GP** : min  $\mathbf{F}(z) + w T_{max}$  Weighted quality and time objective

$$z_j = \sum_{k \in K} \sum_{h \in H_k} D_{jh}(A_h^k) y^k \rho_h t_h \quad \forall j \in \mathcal{V}$$

$$\sum_{k \in K} a_h^k y^k \leq 1 \quad \forall h \in H$$

$$| \rho_{h+1} - \rho_h | \leq \Delta_\rho \quad \forall h = 1, 2, \dots, |H| - 1$$

$$0 \leq \rho_h \leq R \quad \forall h \in H$$

$$\sum_{k \in K} \tau_{h,h+1}^k y^k \leq t_h \quad \forall h \in H$$

$$| t_{h+1} - t_h | \leq \Delta_t \quad \forall h = 1, 2, \dots, |H| - 1$$

$$\underline{T} \leq t_h \leq \bar{T} \quad \forall h \in H$$

$$\sum_{h \in H} t_h \leq T_{max}$$

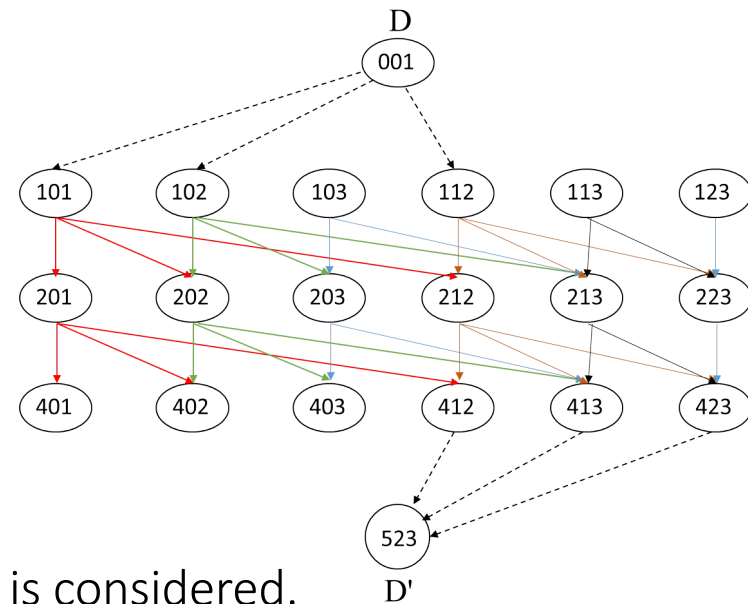
$$y^k \in \{0, 1\} \quad \forall k \in K$$



# Subproblem: building new arcs

The situation of each row in each sector is indicated as a node  $(h, l, r)$ ;

- e.g. node  $(90, 0, 4)_5$  is the position of leaves of row 5 in sector 90:



Constraints include:

1. Maximum leaf motion constraint is considered.
2. Conflicting trailing and leading leaves are avoided, i.e.  $t + 1 \leq r$
3. Cost of nodes and arcs based on the Master Model (dual values)

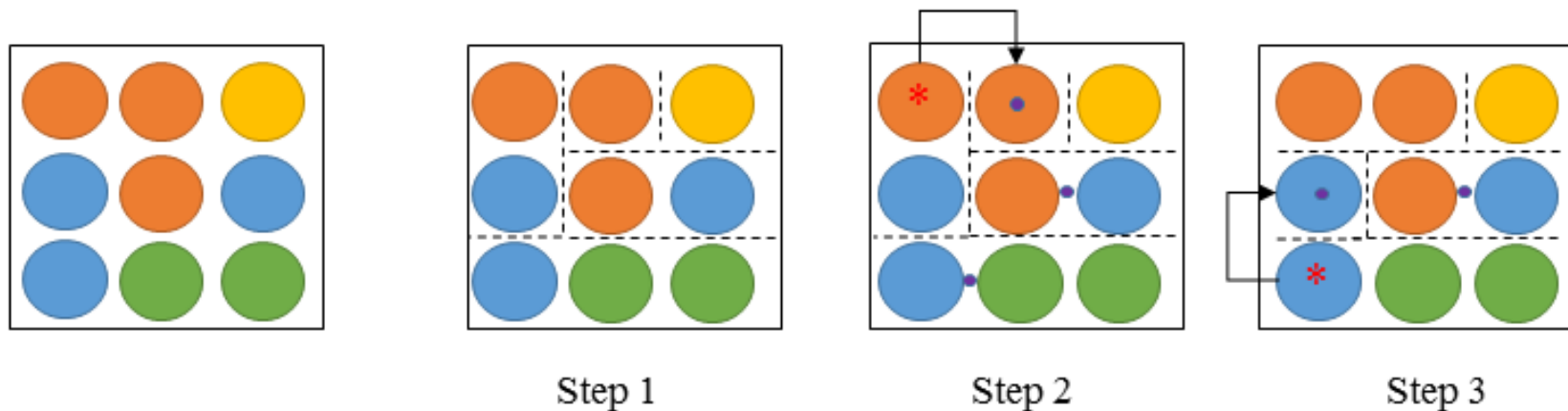
- Polynomial shortest path algorithm easily obtain the best solution.

# Data-drive size reduction

Random down-sampling is a usual approach (Kufer et al. 2003)

We propose an unsupervised learning method:

- Observation : similar voxels would be considered in a cluster.
- Each voxel is associated with feature tensor based the dose received from each beamlet, assuming fully opened aperture in all sectors.
- We then apply a variant of the K-Means algorithm.



# Reducing the problem size

Set # clusters to 5% of initial number of voxels for normal tissue voxels, 15% for tumor voxels

Voxel aggregation computational results.

Iter	# Transfer	Iter	Time (Sec.)	Avg.Dist
0				54.27054
1	19265		0.952947	11.57258
2	2160		0.74742	10.51782
3	301		0.733188	10.44499
4	40		0.729702	10.44053
5	13		0.738105	10.43969

Navigation icons: back, forward, search, etc.

# Experimental evaluation

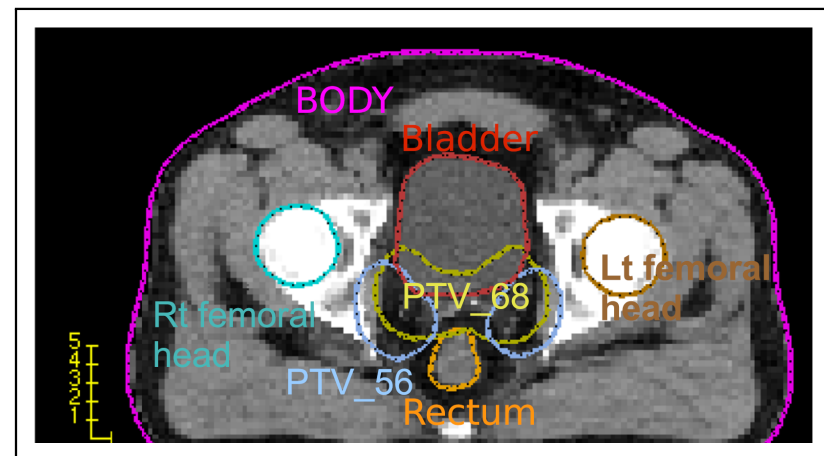
## Case Characteristics

Total # beamlets	25,404
Beamlet size (mm)	$1 \times 1$
Voxel resolution (mm)	3, 3, 3
# Target voxels	9491
# Body voxels	690,373

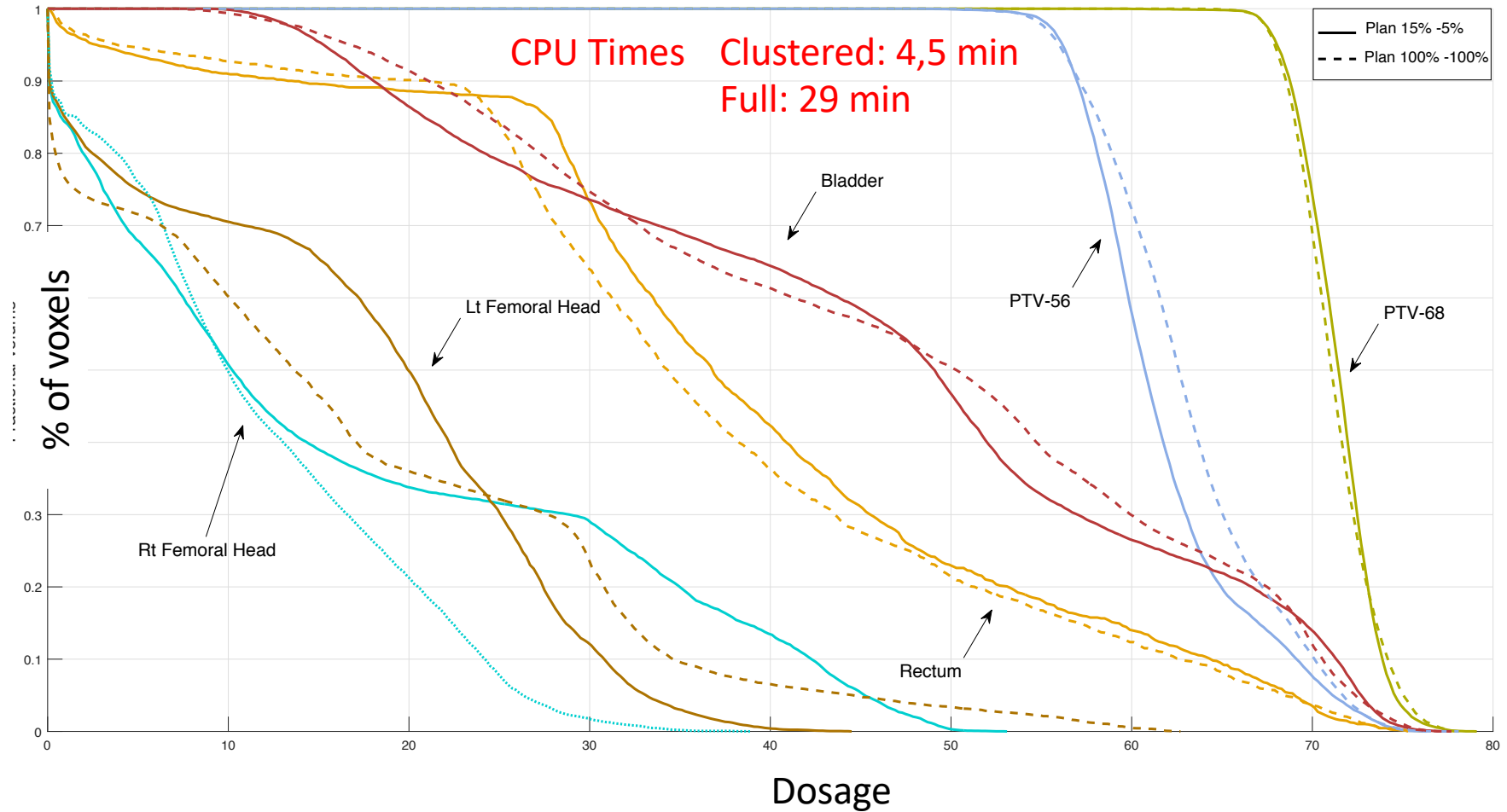
## Algorithm Parameters

Max dose rate	600 MU/min
Max leaf speed	3 cm/sec
Max fluence change	2 MU/s
Max time change	2 s
Gantry speed	$[1 \ 6]^\circ/\text{sec}$

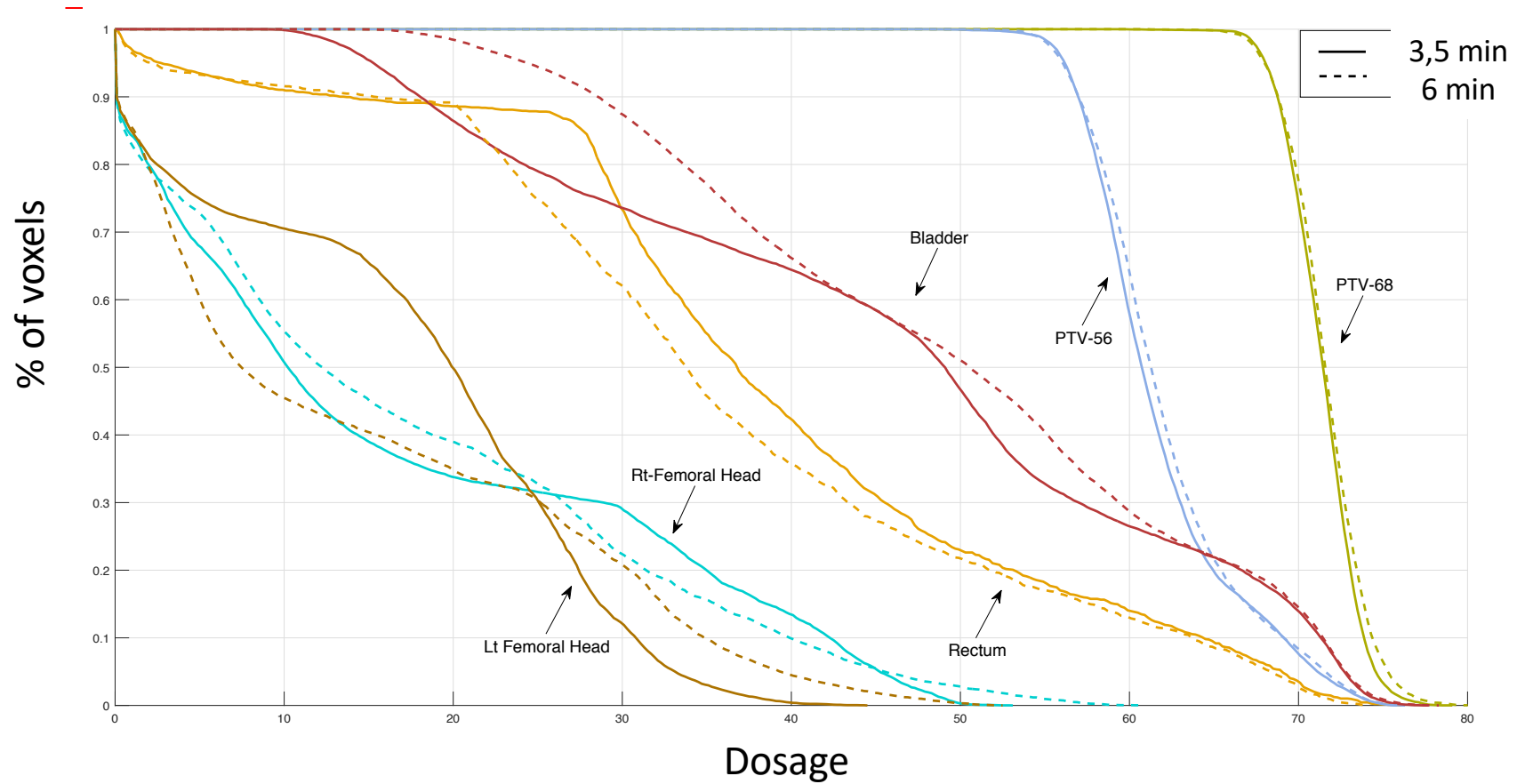
- CORT dataset (Craft et al, 2014)
- 180 equispaced sectors
- Algorithm is implemented in C++/CPLEX



# Effect of ML-based aggregation



# Effect of delivery time

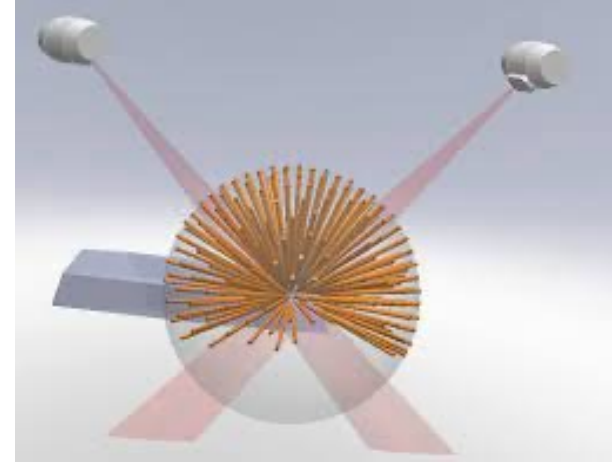


# Outline

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- Dynamic Radiation Therapy Patient Booking
  - Online stochastic combinatorial optimization
  - Prediction-based scheduling
- Radiation Therapy Treatment Planning
  - Unsupervised learning to reduce problem size
  - Trajectory optimization for Cyberknife

# Cyberknife (SBRT)



- High quality beam in terms of dose conformity
- **BUT Long treatment time (1 hour)**
- Up to 70% of treatment time corresponds to the robotic arm movement between nodes

## Objectives

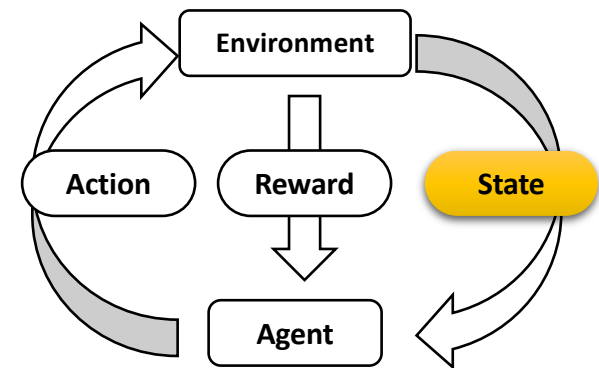
1. Minimize the distance covered by the robotic arm
2. Maximize the conformity of delivered dose to the prescribed dose
3. Scatter the beams around the patient to avoid clusters

Kafaei, P., Cappart, Q., Renaud, M. A., Chapados, N., & Rousseau, L. M. (2021). Graph neural networks and deep reinforcement learning for simultaneous beam orientation and trajectory optimization of Cyberknife. *Physics in Medicine & Biology*, 66(21), 215002.



# Reinforcement Learning Framework

A complete acyclic graph between each shooting position (nodes)

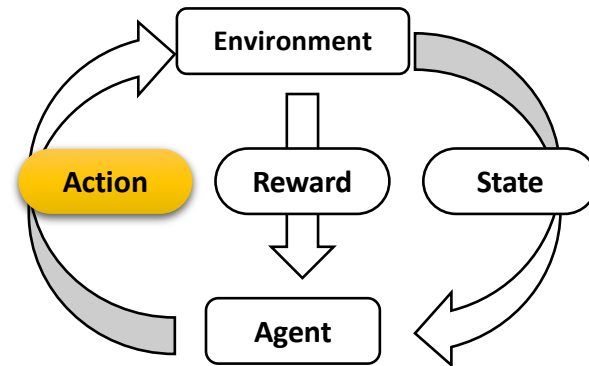
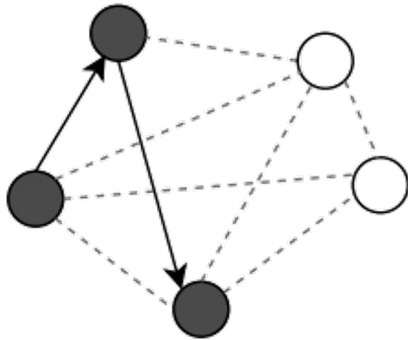


## Features

- $(x, y, z)$ : coordinates of the nodes
- $d_{tar}$ : dose deposited in the tumor at unit intensity
- $d_{oar}$ : dose deposited in other tissues at unit intensity
- A set of the neighbors of each node

# Reinforcement Learning Framework

Next node to be selected



# Reinforcement Learning Framework

## Non-terminal State

$$R(s_t, a_t) = -(r_1 + r_2), \quad s_t \neq s_\emptyset$$

## Terminal State

$$R(s_t, a_t) = -(r_1 + r_2 + r_3), \quad s_t = s_\emptyset$$

$r_1 \rightarrow$  the Euclidean distance between  $m$  and  $n$

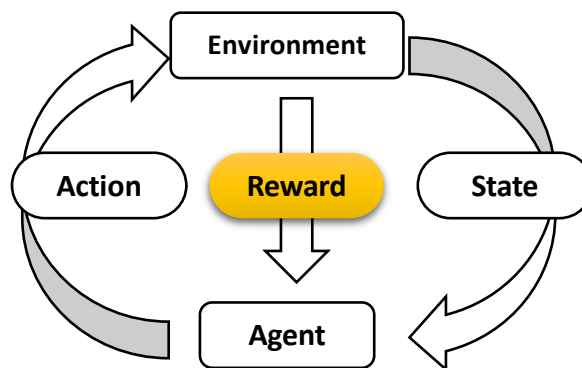
$r_2 \rightarrow \frac{d_{oar}}{d_{tar}}$  for beam  $n$  (doses are pre-computed with a MC simulation engine)

$r_3 \rightarrow$  maximum separation between selected nodes, defined as:

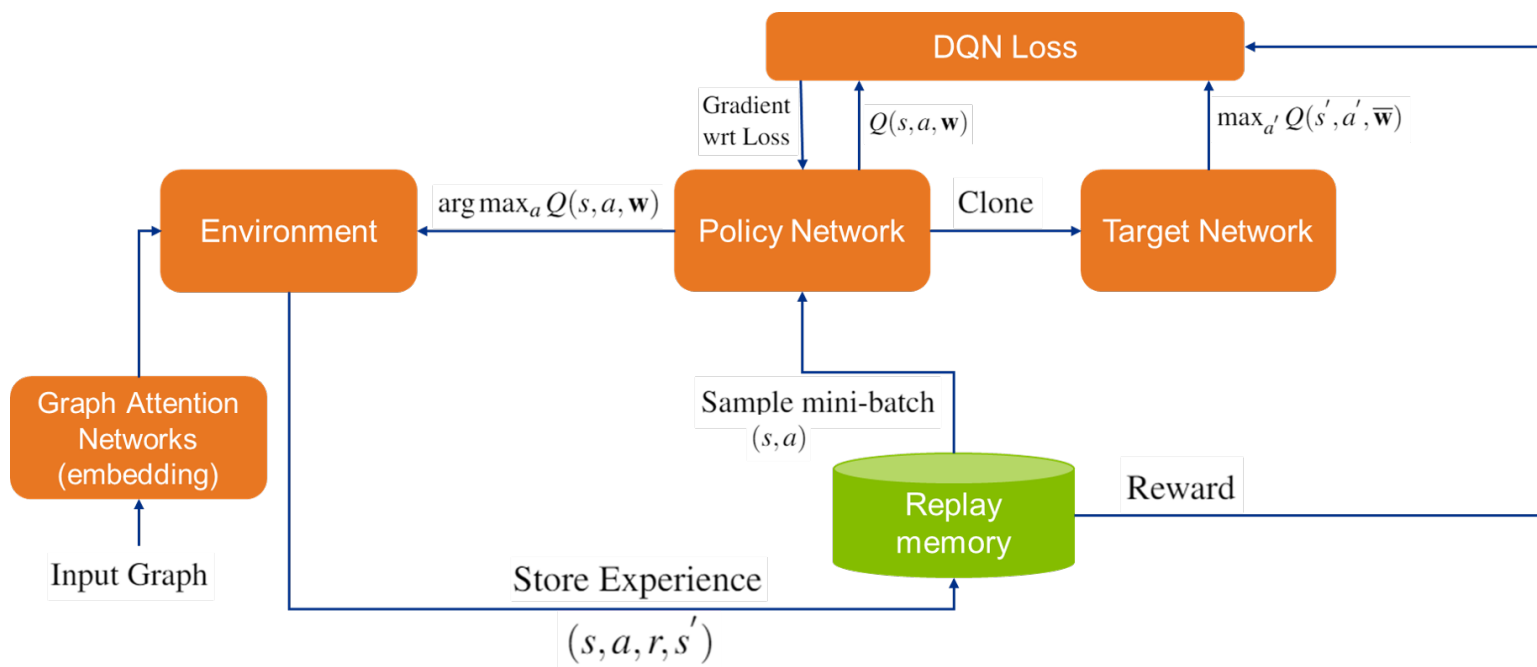
$$\sum_{i,j \in E_s} K(1 - \cos \alpha_{ij})^{-1}$$

$m$ : the last node added to the trajectory in state  $s_t$

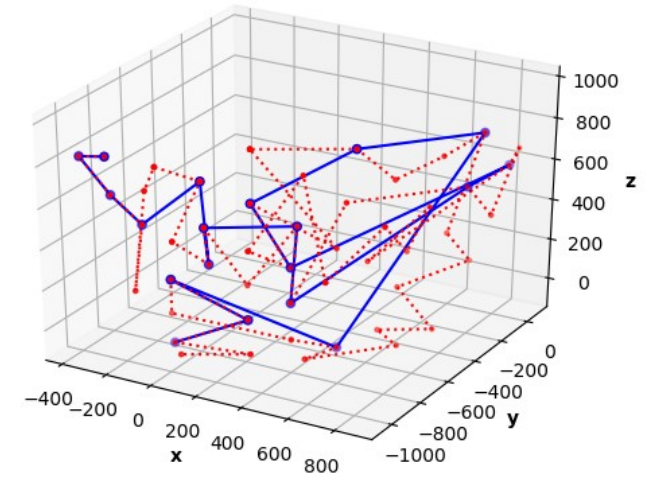
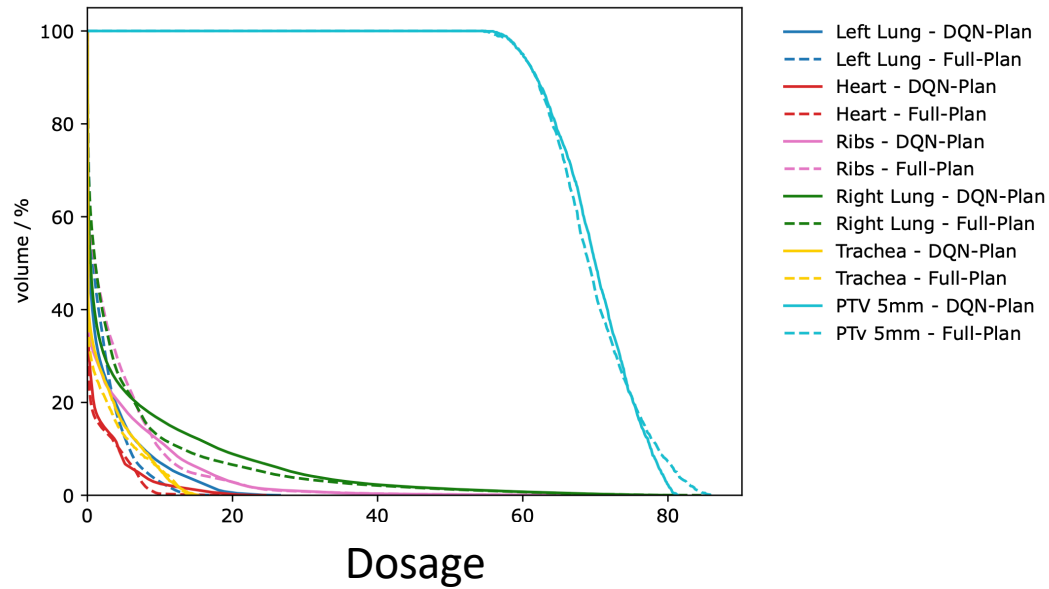
$n$ : next node selected ( $a_t = n$ )



# Reinforcement Learning Framework



# Experimental Results (Patient #1)



Method	Obj.	Execution time (s)	Total arm Distance	Time (min)
DQN	3.53	1.09	5,529	35
Gurobi	3.27	3600.00	6,350	37
Heuristic	4.50	2.47	5,494	35
Random	4.65	0.15	2,696	31
Clinical	NA <sup>a</sup>	NA <sup>a</sup>	17,726	54

## In conclusion

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*Pictures generated by DAL-E*

- Long waiting times make patients (very) anxious
- Making the system more efficient is thus not only important from a cost perspective