

# Modeling thin-film free surface flow of nematic liquid crystal (NLC)

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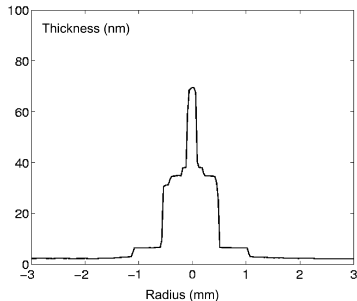
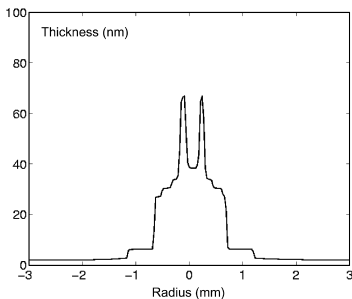
IPAM, UCLA, January 2016





# Thin NLC films exhibit intriguing behavior on many scales

Ellipsometric profiles of very thin nematic droplets on silicon



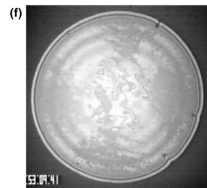
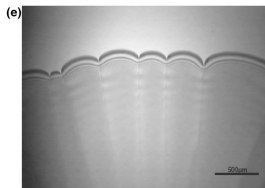
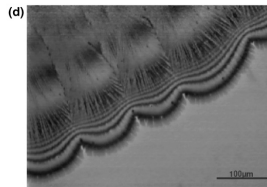
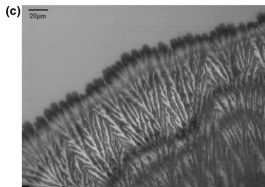
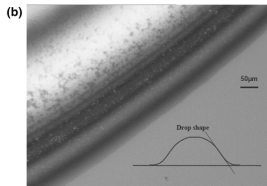
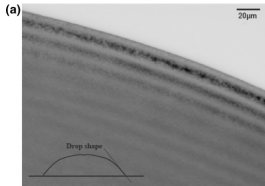
Bénichou *et al.*, *Adv. Coll. Int. Sci.*, 2003



# Many external factors influence outcome

6272 *Langmuir*, Vol. 21, No. 14, 2005

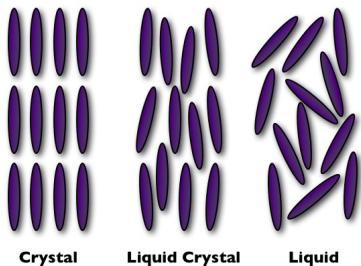
*Poulard and Cazabat*





# Nematic liquid crystals: complex fluids

- Liquid crystals: “**orientationally ordered soft matter**” – substances with orientational, but not positional, order.



- **Nematics** typically composed of long, rod-like molecules. Due to electrostatic interactions they like to align with their neighbors – though they **flow**, they also have **elastic** character.

# Dynamic modeling of nematic liquid crystals (NLCs)

- Use **Ericksen-Leslie** formulation.
- Rod-like molecules that align locally – modeled by **director field**  $\mathbf{n}(\mathbf{x}, t)$  (unit vector; local **average direction** of molecules).
- $\mathbf{n} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$ ,  $\theta(\mathbf{x}, t)$ ,  $\phi(\mathbf{x}, t)$ .
- As for fluids, **velocity field**  $\mathbf{v}(\mathbf{x}, t)$ .
- Evolution of  $\mathbf{n}$  determined by **elastic stresses** within NLC, by local flow, and by any external fields.
- **Neglect inertia** from the outset.
- **Surface effects** very important – molecules have a preferred orientation at an interface (**anchoring**) – can be modeled by a **surface energy** that is minimized at the appropriate director orientation.

# Governing equations: Flow (no inertia)

$$-\frac{\partial \pi}{\partial x_i} + G_k \frac{\partial n_k}{\partial x_i} + \frac{\partial \sigma_{ij}^V}{\partial x_j} = 0 \quad \text{Momentum}$$

$$\nabla \cdot \mathbf{v} = 0 \quad \text{Incompressibility}$$

where  $\pi = p + W + \rho gz$ ;  $G_k = -\gamma_1(\dot{n}_k - \omega_{kj}n_j) - \gamma_2 e_{kj}n_j$ ,

$$2W = K_s(\nabla \cdot \mathbf{n})^2 + K_t(\mathbf{n} \cdot \nabla \wedge \mathbf{n})^2 + K_b((\mathbf{n} \cdot \nabla)\mathbf{n}) \cdot ((\mathbf{n} \cdot \nabla)\mathbf{n})$$

viscous stress  $\sigma_{ij}^V$  is defined by

$$\sigma_{ij}^V = \alpha_1 n_k n_p e_{kp} n_i n_j + \alpha_2 N_i n_j + \alpha_3 N_j n_i + \alpha_4 e_{ij} + \alpha_5 e_{ik} n_k n_j + \alpha_6 e_{jk} n_k n_i$$

$$N_i = \dot{n}_i - \omega_{ik} n_k, \quad e_{ij} = \frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right), \quad \omega_{ij} = \frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} - \frac{\partial v_j}{\partial x_i} \right)$$

and  $\alpha_i$  and  $\gamma_i$  are viscosities.

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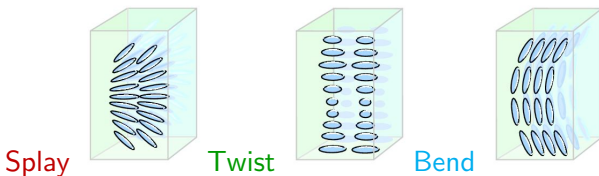
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$$N_i = \dot{n}_i - \omega_{ik} n_k, \quad e_{ij} = \frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right), \quad \omega_{ij} = \frac{1}{2} \left( \frac{\partial v_i}{\partial x_j} - \frac{\partial v_j}{\partial x_i} \right)$$

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# Elastic energy: Splay, twist and bend

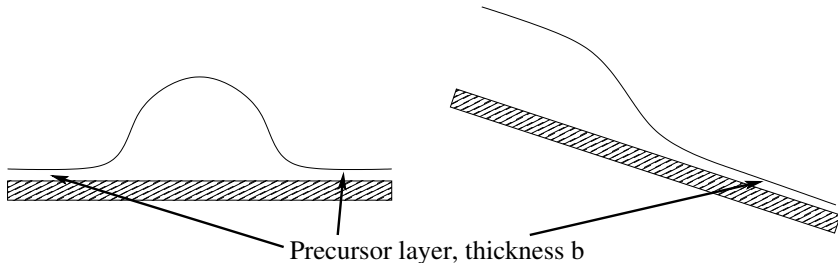
$$2W = K_s(\nabla \cdot \mathbf{n})^2 + K_t(\mathbf{n} \cdot \nabla \wedge \mathbf{n})^2 + K_b((\mathbf{n} \cdot \nabla)\mathbf{n}) \cdot ((\mathbf{n} \cdot \nabla)\mathbf{n})$$



- Positive definite; minimized globally when distortion-free.
- Simplifies if elastic constants equal:  $K_s = K_t = K_b = K$

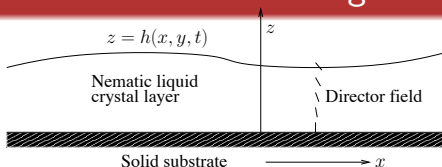
$$2W = K[(\nabla \cdot \mathbf{n})^2 + (\mathbf{n} \cdot \nabla \wedge \mathbf{n})^2 + ((\mathbf{n} \cdot \nabla)\mathbf{n}) \cdot ((\mathbf{n} \cdot \nabla)\mathbf{n})]$$

# Physical set-up



We consider a **3D droplet or film**, spreading on (and down) a **substrate**. To avoid issues with the well-known contact line singularity we assume the presence of a very thin **precursor layer** at the spreading edge.

# Horizontal substrate: Thin film scalings



- **Thin film** (aspect ratio  $\delta \ll 1$ ) so use “lubrication” scalings:

$$(x, y, z) = L(\tilde{x}, \tilde{y}, \delta\tilde{z}), \quad (u, v, w) = U(\tilde{u}, \tilde{v}, \delta\tilde{w}), \quad t = \frac{L}{U}\tilde{t}, \quad p = \frac{\mu U}{\delta^2 L}\tilde{p}$$

- Viscosity scale here is  $\mu = \alpha_4/2$ .
- Elastic energy scales as  $W = \frac{K}{\delta^2 L^2}\tilde{W}$ .
- Provided  $\frac{\delta\mu UL}{K} \ll 1$ , energy equation **decouples**, reducing to standard Euler-Lagrange minimization (w.r.t. variations in director angles  $\theta, \phi$ ) – regime in which fluid flows on a **slow** timescale and director “instantaneously” adjusts to geometry as drop spreads.

# Energy minimization & anchoring

- In thin film regime ( $\delta \ll 1$ ,  $\frac{\delta^2 \rho UL}{\mu} \ll 1$ ,  $\frac{\delta \mu UL}{K} \ll 1$ )

$$2W = \theta_z^2 + \phi_z^2 \sin^2 \theta + O(\delta).$$

- Euler-Lagrange gives bulk equations

$$\begin{aligned}\theta_{zz} &= \frac{\phi_z^2}{2} \sin 2\theta, \\ (\phi_z \sin^2 \theta)_z &= 0.\end{aligned}$$

- On substrate  $z = 0$  impose strong planar anchoring  $\theta = \pi/2$ ,  $\phi = \phi_0(x, y)$ . (Fixed in practice by surface treatment.)
- At free surface  $z = h$  impose **conical anchoring on  $\phi$**  ( $\phi_z = 0$ ) and **weak homeotropic anchoring on  $\theta$** .

# Energy minimization & anchoring: Free surface

- Anchoring energy at free surface independent of azimuthal angle  $\phi$  (conical anchoring):

$$\phi_z = 0 \quad \text{on } z = h \quad \Rightarrow \quad \phi \equiv \phi_0(x, y).$$



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- Director can bend through angle  $\pi/2$  for a **thick** film,

$$ah = -\frac{\pi}{2}$$



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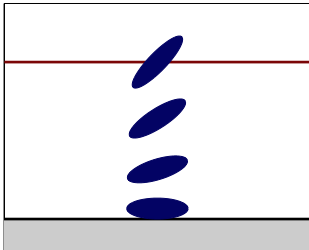
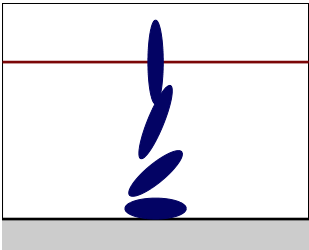
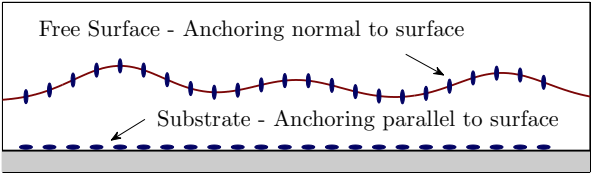
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- $\theta$  then linear in  $z$ :  $\theta = a(x, y, t)z + \pi/2$ .
- Director can bend through angle  $\pi/2$  for a **thick** film, **but not** for very thin films.

$$ah \neq -\frac{\pi}{2}$$



# Film height & anchoring: schematic



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- Director can bend through angle  $\pi/2$  for a **thick** film, **but not** for very thin films. Must have

$$ah = -\frac{\pi}{2}m(h)$$

for some  $m(h)$  monotone increasing,  $m(\infty) = 1$ , and  $m(h) \rightarrow 0$  as we approach **precursor**.



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for some  $m(h)$  monotone increasing,  $m(\infty) = 1$ , and  $m(h) \rightarrow 0$  as we approach **precursor**. E.g.

$$m(h) = \frac{(h - b)^2}{\beta^2 + (h - b)^2}$$

- $0 < b \ll 1$  is precursor thickness;  $\beta > 0$  is **anchoring relaxation length**.

## Relation to surface energy

More conventional approach is to specify a **surface energy**  $\mathcal{G}$ , dependent on the director angle at the free surface  $\hat{\theta} = \theta|_{z=h(x,y,t)}$ , with minimum at  $\hat{\theta} = 0$ . A common form is  $\mathcal{G}(\hat{\theta}) = A \sin^2 \hat{\theta}$  (Rapini-Papoular).

- Our approach is **equivalent**: can infer surface energy  $\mathcal{G}$  in terms of  $m(h)$ .
- Can show that the choice

$$m(h) = \frac{(h-b)^2}{\beta^2 + (h-b)^2}$$

corresponds to a surface energy  $\mathcal{G}(\hat{\theta})$  in good agreement to Rapini-Papoular.

- Easier to work with  $m(h)$  though since it gives director angle explicitly in terms of film height:  $\theta(h(x, y, t), z)$ .

# Now deal with momentum equations

- Leading order momentum balance:  $\frac{\partial}{\partial x}(\rho + \tilde{N}W) \sim \frac{\partial t_{13}}{\partial z}$ ,  
 $\frac{\partial}{\partial y}(\rho + \tilde{N}W) \sim \frac{\partial t_{23}}{\partial z}$ ,  $\frac{\partial \rho}{\partial z} = -\mathcal{B}$ , where  $\tilde{N} = \frac{K}{\mu UL}$ ,  $\mathcal{B} = \frac{\delta^3 \rho g L^2}{\mu U}$ ,  
and only the leading-order terms in  $t_{13}$ ,  $t_{23}$  are understood.
- Zero velocity conditions on substrate  $z = 0$ .
- Impose normal stress balance at free surface.
- Surface energy **gradients** due to anisotropic contribution (weak anchoring) balance tangential stress.

# Derivation of governing equation

Also have **kinematic** condition which yields flux conservation

$$\frac{\partial h}{\partial t} + \frac{\partial}{\partial x} \left( \int_0^{h(x,y,t)} u \, dz \right) + \frac{\partial}{\partial y} \left( \int_0^{h(x,y,t)} v \, dz \right) = 0$$

## Strategy:

- Solve for  $p$  (using normal stress b.c.), substitute into  $x$ - and  $y$ -momentum eqns.
- Solve for  $u$ ,  $v$  as **nonlinear functions** of director angles  $\theta(h, z)$ ,  $\phi_0(x, y)$  using tangential stress b.c.s.
- Substitute in above and **simplify** to obtain ...

# 4th order nonlinear PDE for free surface evolution

$$\frac{\partial h}{\partial t} + \nabla \cdot [h^3 \tilde{\nabla} \cdot (\mathcal{C} \nabla^2 h - \mathcal{B}h) + \mathcal{N}(hmm' - m^2) \tilde{\nabla} h] = 0$$

with

$$\mathcal{B} = \frac{\delta^3 \rho g L^2}{\mu U}, \quad \mathcal{C} = \frac{\delta^3 \gamma_h}{\mu U}, \quad \mathcal{N} = \frac{\pi^2 K}{4 \mu U L}, \quad m(h) = \frac{(h-b)^2}{\beta^2 + (h-b)^2}$$

$$\tilde{\nabla} = \left( \lambda I + \nu \begin{bmatrix} \cos 2\phi & \sin 2\phi \\ \sin 2\phi & -\cos 2\phi \end{bmatrix} \right) \cdot \nabla$$

- $\mathcal{C}$ ,  $\mathcal{B}$  are usual inverse capillary and Bond numbers (assigning values fixes length and time scales;  $\mathcal{C} = \mathcal{B} = 1$  throughout).
- $\mathcal{N}$  is an inverse Ericksen number,  $\beta$  is dimensionless **anchoring relaxation lengthscale**;  $\lambda > \nu > 0$  (related to viscosities).
- $\tilde{\nabla}$  operator captures effect of substrate anchoring patterns.

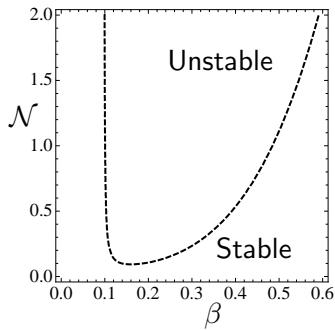
# Curiously, weak anchoring needed for instability

$$\frac{\partial h}{\partial t} + \nabla \cdot [h^3 \tilde{\nabla} \cdot (C \nabla^2 h - \mathcal{B}h) + \mathcal{N}(hmm' - m^2) \tilde{\nabla} h] = 0$$

$$\mathcal{N} = \frac{\pi^2}{4} \frac{K}{\mu UL}, \quad m(h) = \frac{(h-b)^2}{\beta^2 + (h-b)^2}$$

- Strong anchoring:  $m(h) \equiv 1$ , or  $\beta \rightarrow 0$  in  $m(h)$ . This leads to a purely **diffusive** contribution (always stable).
- Analysis complicated in general, but linear stability of **flat film**  $h = h_0$  leads to prediction of unstable regions of parameter space and fastest-growing wavelength.

# LSA of flat film $h = h_0 = 0.25$ : $\mathcal{C} = \mathcal{B} = 1$ , $b = 0.1$

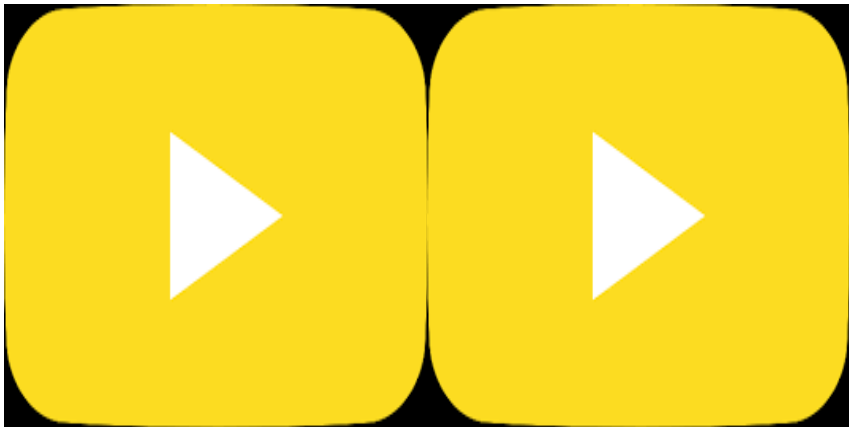


Perturbations  $\propto \exp(ikx + \omega t)$  to  $h = h_0$  have dispersion relation

$$\omega = -h_0^3 [Ck^4 + (\mathcal{B} - \mathcal{N}M(h_0))k^2], \quad M(h) = \frac{m(h)}{h^3} (hm'(h) - m(h))$$

hence **instability** where  $M(h_0) > \mathcal{B}/\mathcal{N}$ . This analysis provides a good guide for stability of initially-flat 'droplets'.

Unstable 2D droplet:  $b = \beta = 0.1, \mathcal{N} = 1$



$h_0 = 0.24$

$h_0 = 0.2$

## 3D simulations: Simple test case: $\phi$ constant

To investigate influence of variable substrate anchoring  $\phi(x, y)$ , compare governing equation for different unidirectional anchoring patterns (with flow only in  $y$ -direction).

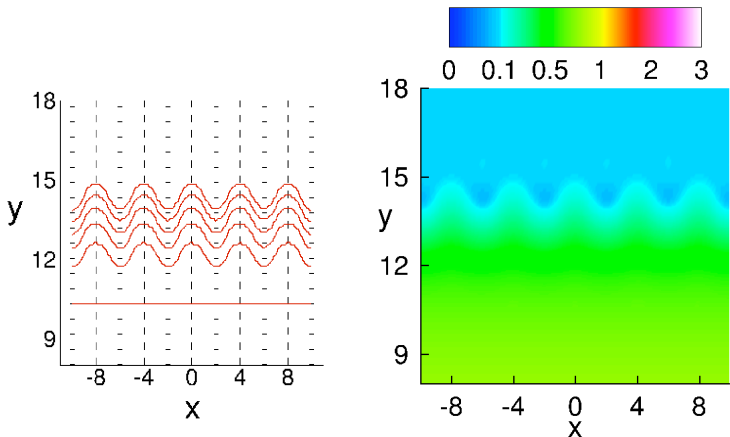
$$\phi \equiv \pi/2 : h_t + (\lambda + \nu) \frac{\partial}{\partial y} [h^3 (h_{yyy} - h_y) + \mathcal{N} (hmm' - m^2) h_y] = 0$$

$$\phi \equiv 0 : h_t + (\lambda - \nu) \frac{\partial}{\partial y} [h^3 (h_{yyy} - h_y) + \mathcal{N} (hmm' - m^2) h_y] = 0$$

Difference here appears only in the **timescale** – **spreading is faster** in first case with flow **parallel** to director orientation.

3D test case:  $\phi$  piecewise constant.

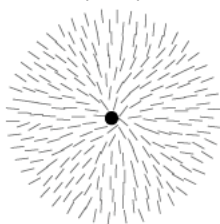
# Simple substrate anchoring pattern: Stripes



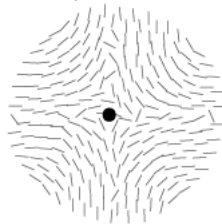
Another possible mechanism for flow patterns to emerge?  
Note the absence of any capillary ridge – not unstable.

# 3D “defect” patterns

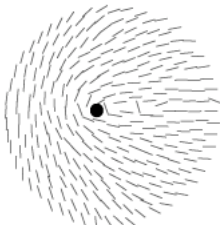
Study evolution with various anchoring patterns **imposed** on the substrate (**specify**  $\phi_0(x, y)$  appropriately)



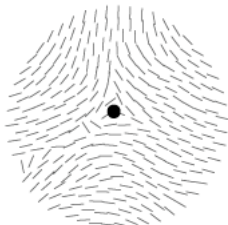
$s = 1$



$s = -1$



$s = 1/2$



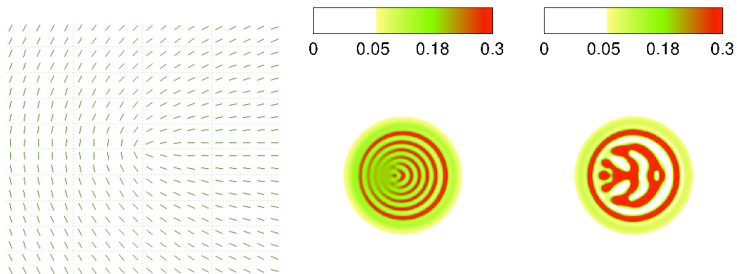
$s = -1/2$







# Unstable simulation



$$\mathcal{N} = 10, \beta = 0.1, h_0 = 0.2.$$

# Horizontal spreading: key points

- **Sufficiently thin** spreading films/drops of NLC can be unstable when there is a difference in preferred anchoring angles at surfaces, and anchoring is **weak**.
  - Predicted **wavelength of maximum growth** is a few **tens of  $\mu\text{m}$**  for experimentally-appropriate parameters (Poulard & Cazabat) – qualitatively correct.
  - Model suggests that **increasing  $\mathcal{N} \propto K/\gamma^{3/2}$**  makes a drop **more unstable** – in line with experimental evidence, which suggests that  $\mathcal{N}$  increases with humidity.
- What about flow on an incline, where fingering is also anticipated?

# Inclined substrate

Governing equation modified for substrate inclined at angle  $\chi$ :

$$\frac{\partial h}{\partial t} + \nabla \cdot [h^3 \tilde{\nabla} \cdot (C \nabla^2 h - B_c h) + \mathcal{N}(h m m' - m^2) \tilde{\nabla} h] + B_s \mathcal{L}(h^3) = 0$$

where

$$\mathcal{N} = \frac{\pi^2}{4} \frac{K}{\mu U L}, \quad B_c = B \cos \chi, \quad B_s = B \sin \chi, \quad m(h) = \frac{(h - b)^2}{\beta^2 + (h - b)^2}$$

$$\tilde{\nabla} = \left( \lambda I + \nu \begin{bmatrix} \cos 2\phi & \sin 2\phi \\ \sin 2\phi & -\cos 2\phi \end{bmatrix} \right) \cdot \nabla$$

$$\mathcal{L} = [\lambda + \nu \cos 2\phi] \partial_x + \nu \sin 2\phi \partial_y + 2\nu [\phi_y \cos 2\phi - \phi_x \sin 2\phi]$$



# Streamwise stability of traveling fronts

- Can analyze stability of the traveling wave behind the front by linearizing about the simplest traveling wave: the flat film  $h = h_0$  in steady shear: **unstable** whenever

$$\mathcal{N}(h_0 m(h_0) m'(h_0) - m(h_0)^2) > h_0^3 \mathcal{B}_c$$

- But can say more. The linear PDE satisfied by small perturbations may be transformed to the linearized symmetric **Kuramoto-Sivashinsky** equation, about which much is known.
- In particular, the fate of an initially-localized wave packet or disturbance on the traveling film is known: in terms of our problem parameters the boundaries  $x_{\pm}$  of such a wave packet move in time  $t$  according to **[Chang et al., PRL 1995]**

$$\left(\frac{x}{t}\right)_{\pm} = 3\mathcal{B}_s h^2 \pm 1.622 \sqrt{\frac{\mathcal{N}(h m m' - m^2) - \mathcal{B}_c h^3}{C h^3}}$$

# Streamwise stability of traveling fronts

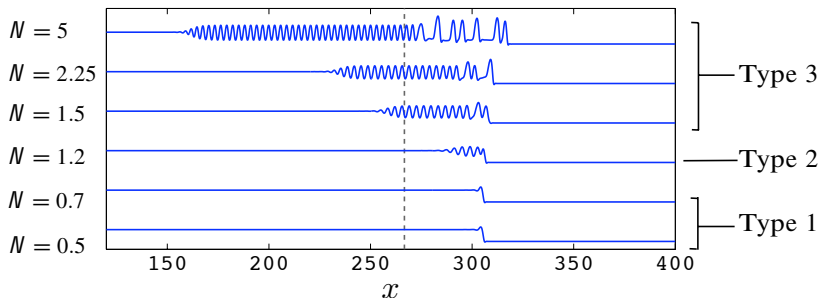
The right hand boundary of the wave-packet always moves **faster than the traveling wave**  $V = \mathcal{B}_s(h_0^2 + h_0 b + b^2)$ , but depending on parameter values, three cases may be identified for the left hand boundary:

$$\left(\frac{x}{t}\right)_- \in \begin{cases} (V, \infty) & (1) \text{ Stable} \\ (0, V) & (2) \text{ Convectively Unstable} \\ (-\infty, 0) & (3) \text{ Absolutely Unstable} \end{cases}$$

- 1 The entire wave packet travels **faster** than the front and disappears into the (stable) precursor.
- 2 The left-hand boundary of the packet propagates **forwards**, but **more slowly** than the wavefront, so that perturbations are always confined to the right of initial position.
- 3 The left-hand boundary of the packet propagates **backwards**, so that the **entire wave** is ultimately destabilized.

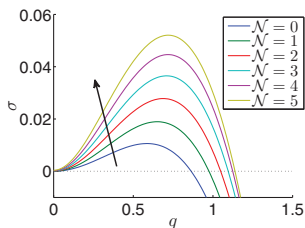
# Simulations of streamwise instabilities

With other parameters fixed, as  $\mathcal{N}$  increases we move from a stable 2D traveling front, to one that is convectively unstable, and finally absolutely unstable. The values of  $\mathcal{N}$  at which the transitions between these instabilities occur are well-predicted by the linear analysis of the flat translating film.



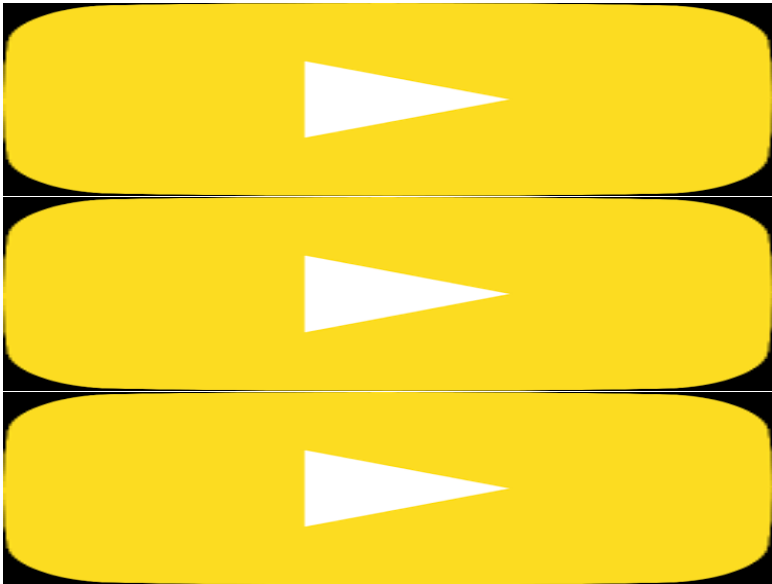
## 3D traveling fronts: transverse instability

- As with Newtonian fluids, in a real 3D situation a traveling front is **unstable to transverse perturbations** of sufficiently long wavelength.
- Dependence on model parameters is complicated (linear stability requires evaluation of integrals involving the 2D traveling-wave “base state”, known only numerically).



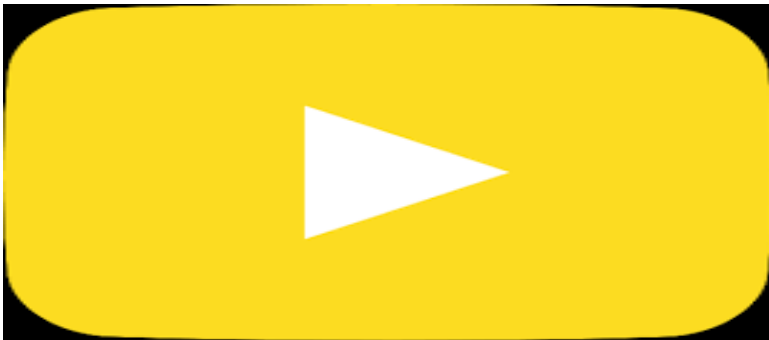
- Can predict accurately coexistence of streamwise and transverse instabilities.

# Transverse and streamwise instabilities can coexist



## Conclusions & Future work

- Downslope flow of thin NLC films could exhibit interesting instability combinations (experiments?) if elasticity sufficiently strong.
- Increasing  $\mathcal{N}$  amplifies the transverse instability.
- Substrate anchoring patterns in the downslope problem?
- Incorporating van der Waals' disjoining forces.







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