



Workshop I: Machine Learning Meets Many-Particle Problems

Part of the Long Program [Understanding Many-Particle Systems with Machine Learning](#)

SEPTEMBER 26 - 30, 2016

Real and virtual screening for materials discovery through first principles calculations



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² Elements Strategy Initiative for Structural Materials, Kyoto University, JAPAN

³ Center for Materials Research by Information Integration, NIMS, JAPAN

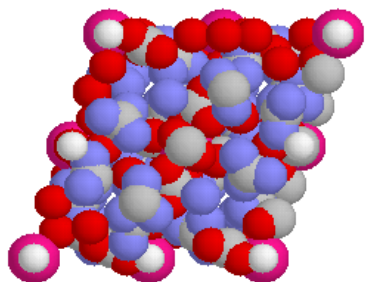
⁴ Nanostructure Research Laboratory, Japan Fine Ceramics Center, JAPAN

Enormous number of chemical combinations

Periodic Table

Number of chemical elements	Number of chemical combinations (only for simple composition ratio)
1	~100
2	~100,000
3	~10,000,000
4	~1,000,000,000

Inorganic Crystal Structure Database (ICSD)



FIZ/NIST
Inorganic
Crystal
Structure
Database

Version 1.9.6

Database 2015-1

Fachinformationszentrum,
Karlsruhe
National Institute of Standards
and Technology, Gaithersburg

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Getting Structure Types

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World largest database
for **known inorganic crystals**.

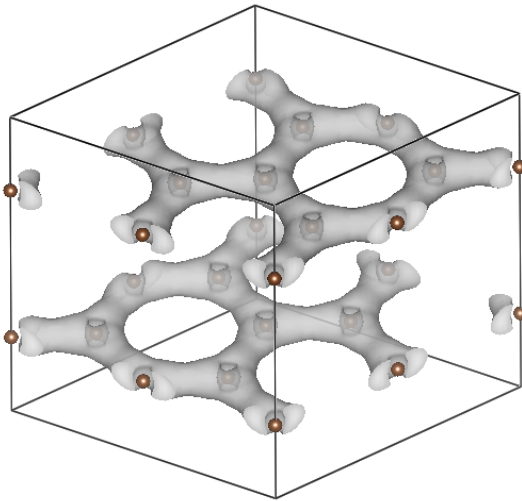
177,000 crystal structures
→ 40,000 structures
excluding duplicates,
incompletes, etc.

Many systems are yet-unexplored !

First Principles Calculations

Useful to fill the gap between enormous number of chemical combinations and experimental database.

Electronic structure calculations entirely based on quantum theory without using empirical parameters.



Total energy

Force/Stress

Electronic/Magnetic structures

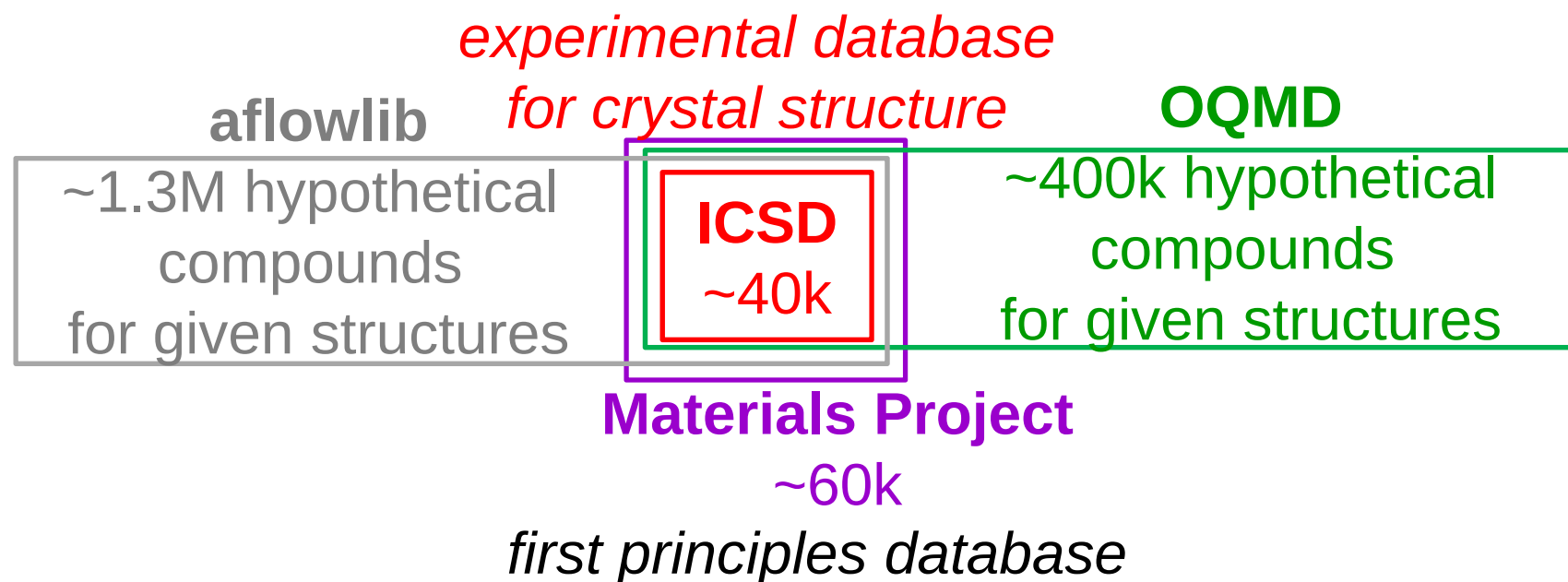
Physical properties

....

But this is not a magic box !!

Vast chemistry space to explore

Simple chemical combinations AaBbyCcDd (a,b,c,d <10)
~1B



IMPORTANT!

First principles calculation requires an initial “guess” of structure,
otherwise it becomes too expensive to explore the vast space.

Vast chemistry space to explore

Simple chemical combinations AaBbyCcDd (a,b,c,d <10)
~1B

*experimental database
for crystal structure*

ICSD
~40k

OQMD

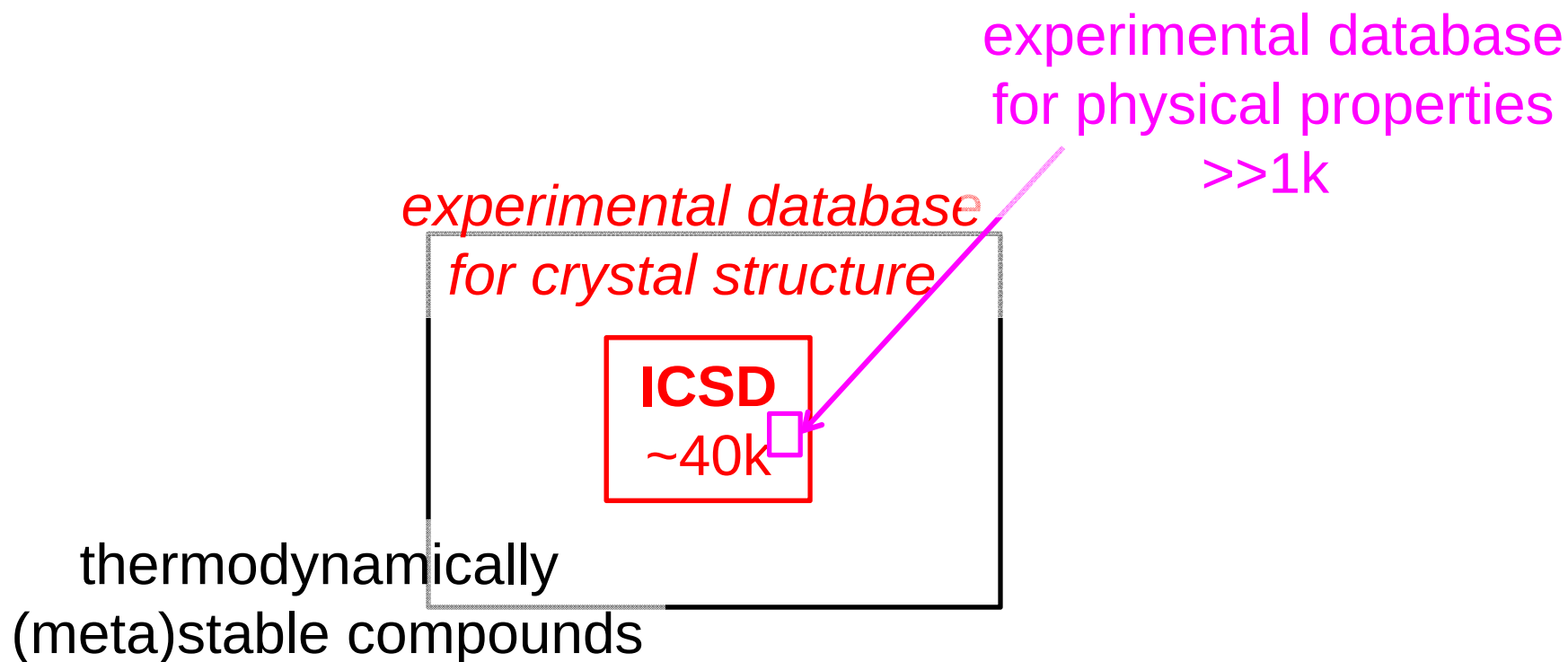
~4.5k

← candidates for
thermodynamically
stable ternary compounds
(Chris Wolverton's talk)

thermodynamically
(meta)stable compounds

thermodynamically unstable compounds

Vast chemistry space to explore

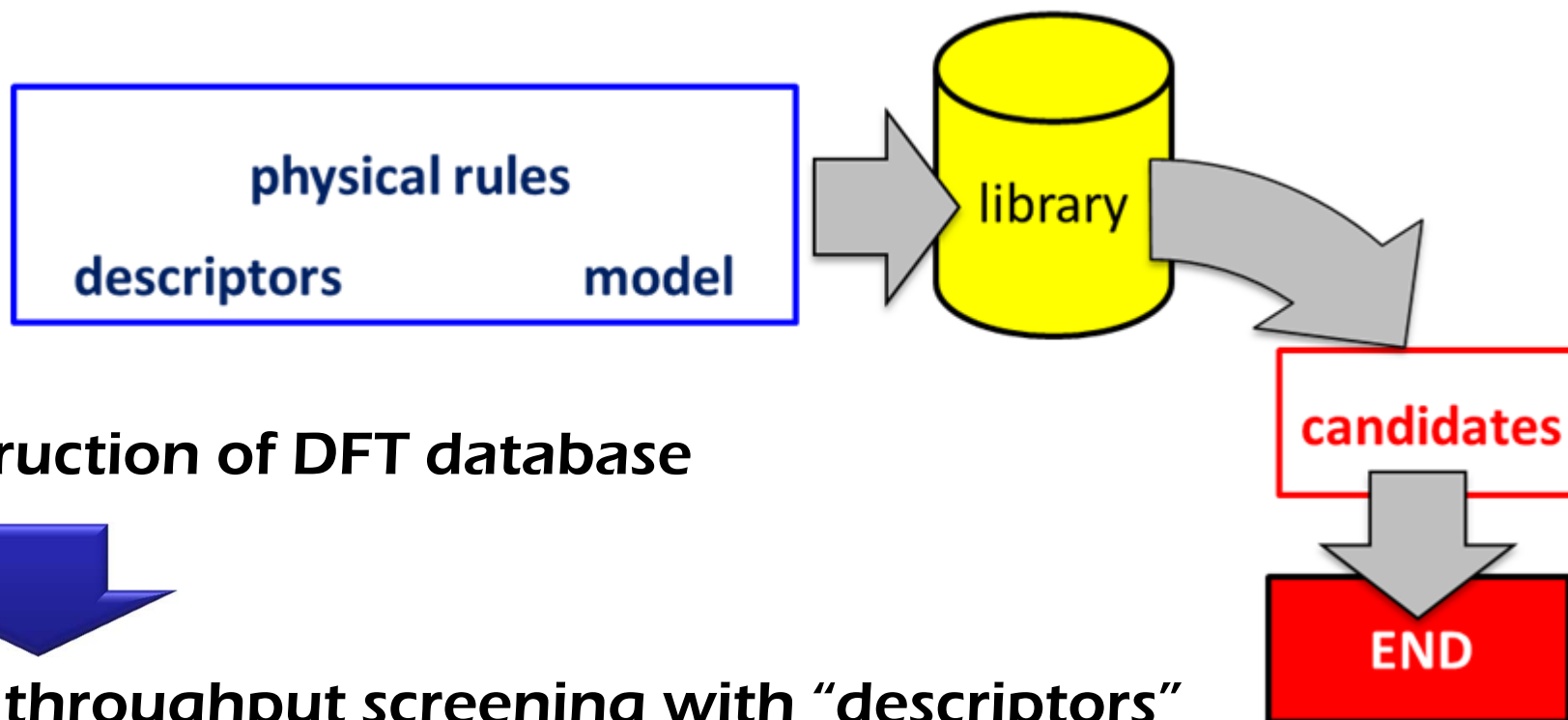


- ✓ **Systematic method to add as-yet-unknown & stable compounds to the inorganics database is desired.**
- ✓ **It is still worthy to explore the space of known compounds.**

High throughput screening 1

“Real Screening”

DFT database



Construction of DFT database



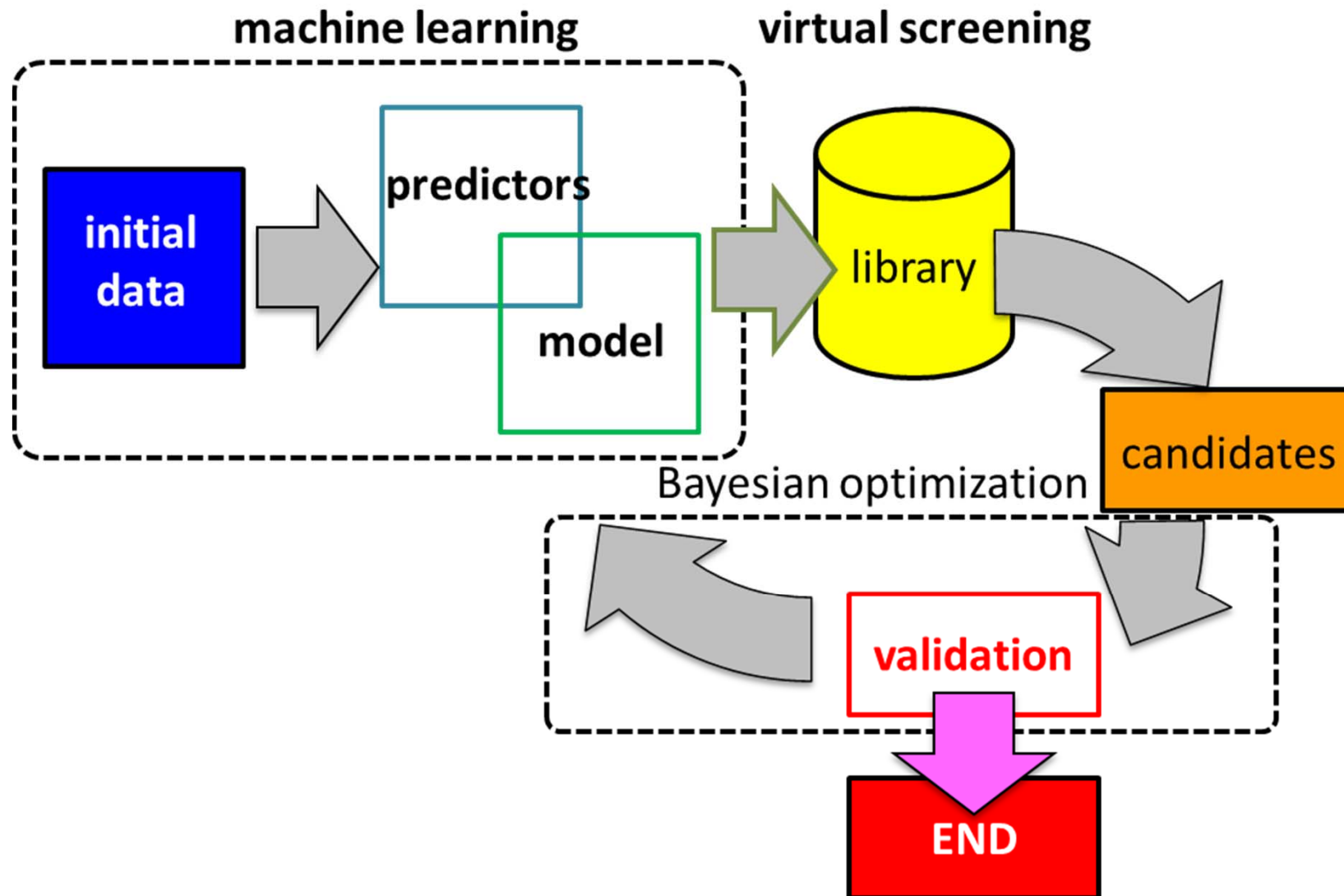
High throughput screening with “descriptors”

**Experts’
knowledge**

**Physical
Rule**

High throughput screening 2

“Virtual Screening”



Contents

Materials discovery through first principles calculations

- ✓ **Discovery of a new Sn(II)-based oxide
for daylight-driven photocatalyst**

REAL SCREENING

- ✓ **Discovery of new low thermal conductivity materials
from Mat. Proj. database (55,000 crystals)**

VIRTUAL SCREENING

Accelerated discovery of a novel Sn(II)-based oxide for daylight-driven photocatalyst

Hiroyuki Hayashi, Shota Katayama, Takahiro Komura, Yoyo Hinuma,
Tomoyasu Yokoyama, Kou Mibu, Fumiyasu Oba and IT

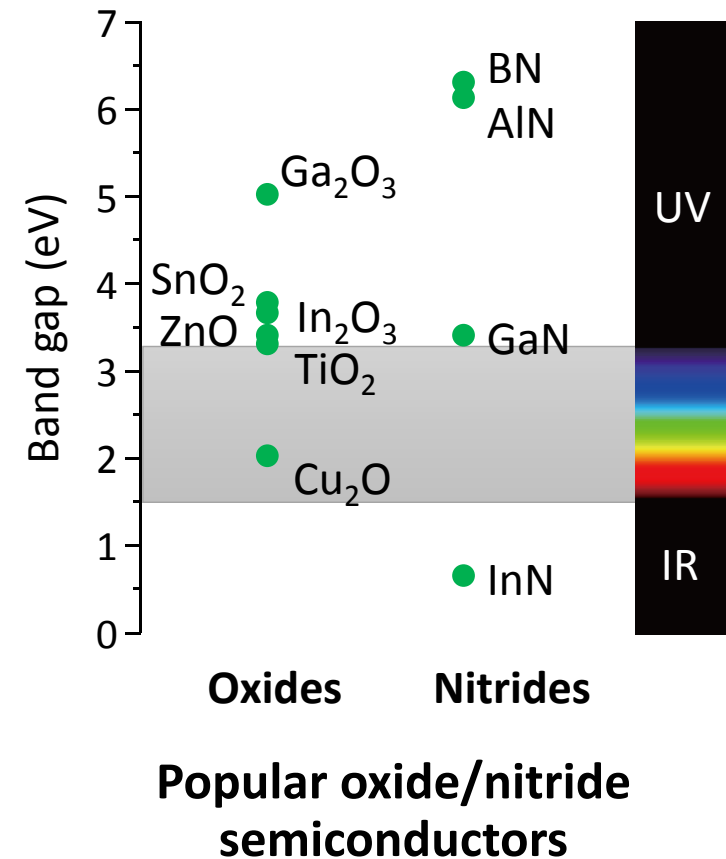
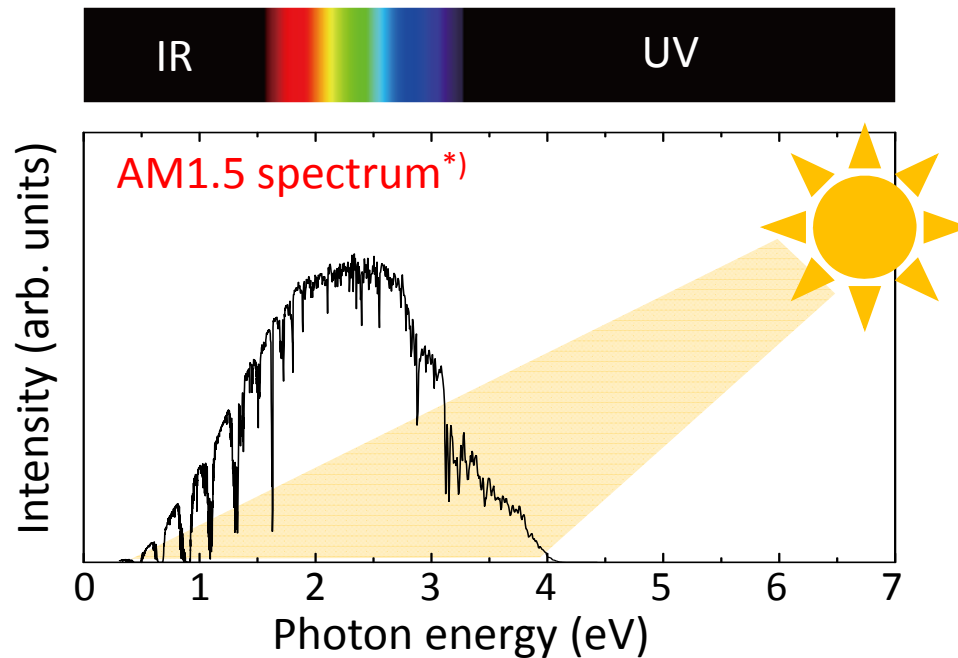


Hiroyuki Hayashi

Advanced Science 9, (2016). 1600246

Sustainable energy: Sunlight

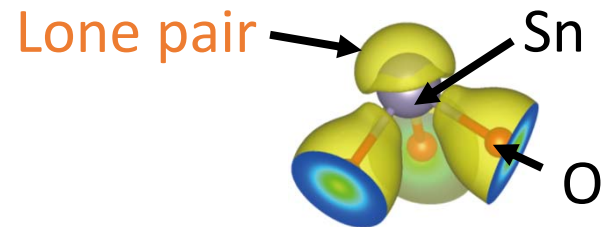
- Solar cells & daylight-driven photocatalysts
 - Target band-gap range
 Solar cell absorber: 1.2 – 2.0 eV
 Photocatalyst: 1.5 – 3.0 eV



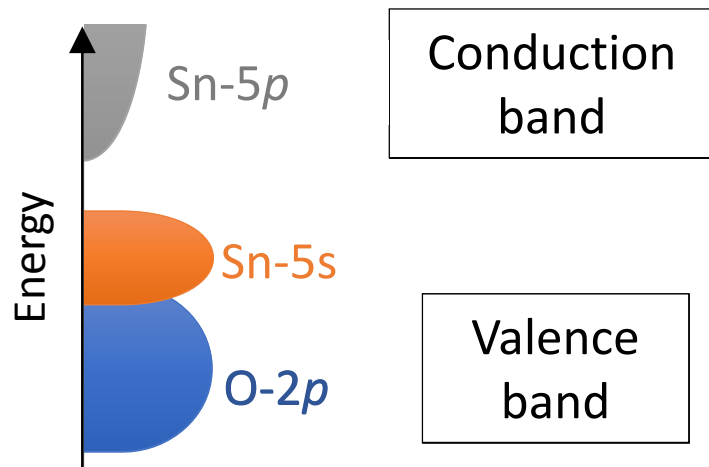
*) <http://rredc.nrel.gov/solar/spectra/>

~~Main group oxides: Sn(II), Pb(II) & Bi(III)~~

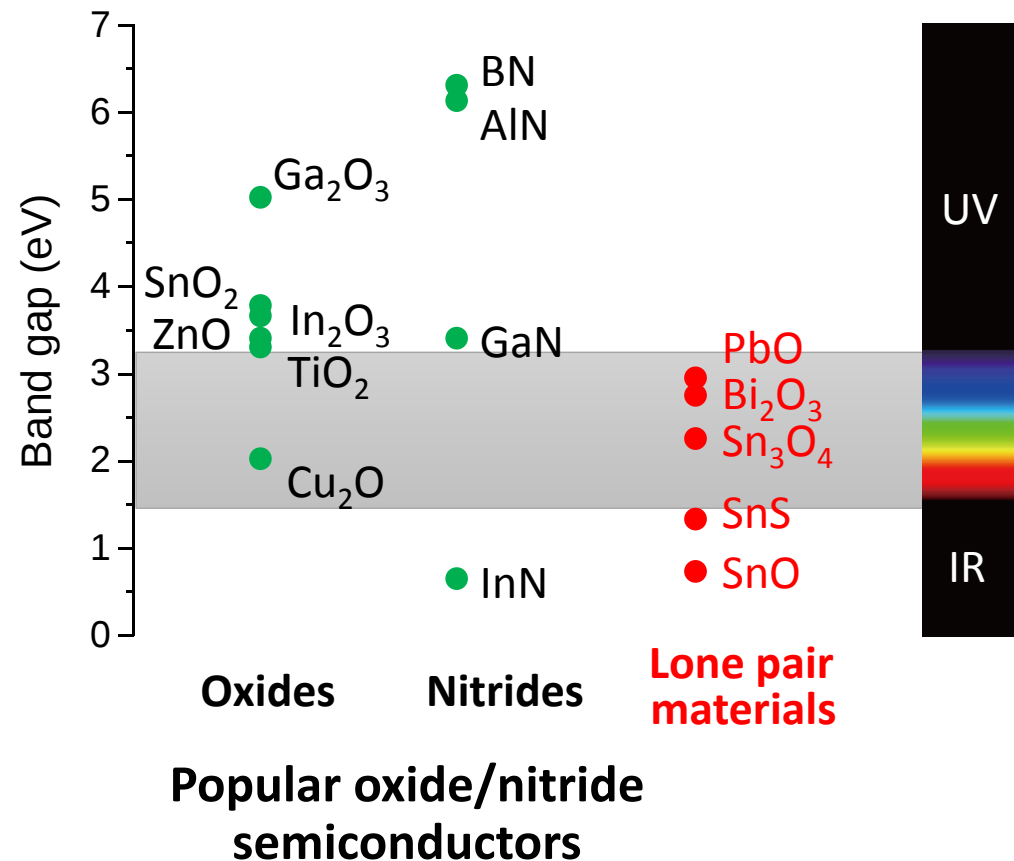
- Characteristic electronic structures



- Narrower band gap
- Wider valence band



Sn(II) oxides



Known oxides: Sn(II), Pb(II) & Bi(III)

Sn(II)-*M*-O

Pb(II)-*M*-O

Bi(III)-*M*-O

System	Compounds registered in ICSD
Sn(II)- <i>M</i> -O	47
Pb(II)- <i>M</i> -O	210
Bi(III)- <i>M</i> -O	213

Sn(II) oxides are yet-unexplored !

Chemical composition of interest

Sn(II)-*M*-O

Periodic table showing elements relevant to Sn(II)-*M*-O systems. Elements highlighted in red include H, Li, Na, K, Rb, Cs, Fr, Be, Mg, Ca, Sr, Ba, Ra, Sc, Y, La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu, Ac, Th, U, Np, Pu, Am, Cm, Bk, Cf, Es, Fm, Md, No, Lr, B, C, N, O, F, Ne, Al, Si, P, S, Cl, Ar, Ga, Ge, As, Se, Br, Kr, In, Sn, Sb, Te, I, Xe, Rn, Hf, Zr, Nb, Mo, Tc, Ru, Rh, Pd, Ag, Cd, Hg, Pt, Au, Hg, Tl, Pb, Bi, Po, At, Rn, and the transition metals Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ga, Ge, As, Se, Br, Kr, In, Sn, Sb, Te, I, Xe, Rn, Hf, Zr, Nb, Mo, Tc, Ru, Rh, Pd, Ag, Cd, Hg, Pt, Au, Hg, Tl, Pb, Bi, Po, At, Rn.

4A – 6A transition metal compounds

- Commonly used for photocatalysts
ex. TiO_2 , WO_3 , NaTaO_3 , TaON , ...
- Wide band gaps



Sn(II) oxides

- Relatively narrow band gaps

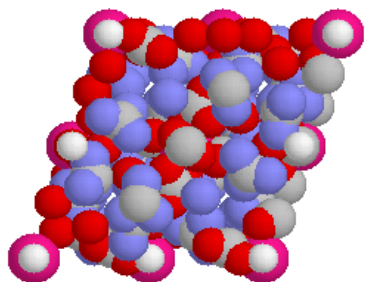
SnO-*MO*_{*q/2*} pseudo binary systems

<i>q</i>	<i>M</i>	Known Compounds
4	Ti, Zr, Hf	SnTiO_3 , Sn_2TiO_4
5	V, Nb, Ta	SnNb_2O_6 , $\text{Sn}_2\text{Nb}_2\text{O}_7$, SnTa_2O_6 , $\text{Sn}_2\text{Ta}_2\text{O}_7$, $\text{SnTa}_4\text{O}_{11}$
6	Cr, Mo, W	SnWO_4 , Sn_2WO_5 , Sn_3WO_6

Only 10 oxides are known.

Reported as good photocatalyst.

Inorganic Crystal Structure Database (ICSD)



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Inorganic
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Structure
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Version 1.9.6

Database 2015-1

Fachinformationszentrum,
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Getting Structure Types

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rights reserved.

World largest database
for **known inorganic crystals**.

177,000 crystal structures
→ 40,000 structures
excluding duplicates,
incompletes, etc.

9,100 structure prototypes
(e.g. rock-salt, perovskite, ...)

Number of chemical elements	Number of structure prototypes in ICSD
1	120
2	1,700
3	4,700
4	4,300

Crystal structures

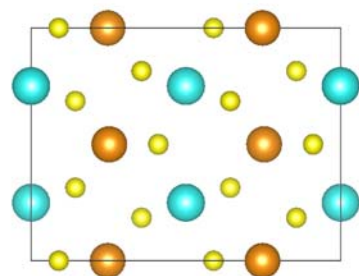
Prototypes of A(II)- $M(q+)$ -oxides

q	Number of prototype structures in ICSD	Examples
4	586	Pb_3O_4 , AlFeO_3 , NdYbS_3 , ...
5	207	K_2ZrF_6 , Bi_2WO_6 , ...
6	368	CrUO_4 , $\beta\text{-SnWO}_4$, ...

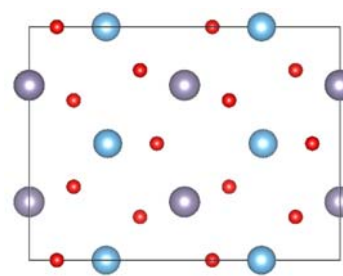
Total : 1,161 crystal structures

$\text{SnO-MO}_{q/2}$ pseudo binary systems

NdYbS_3 type NdYbS_3



NdYbS_3 type SnTiO_3



Total: $1,163 \times 3 = 3,483$ candidate oxides

Screening procedure

1. Candidates generation : DFT calculations

- 3,483 candidates

2. Phase stability

- Formation energy vs. SnO and $MO_{q/2}$

$$E_{form} = E_{Sn_xM_yO_{x+yq/2}} - (xE_{SnO} + yE_{MO_{q/2}})$$

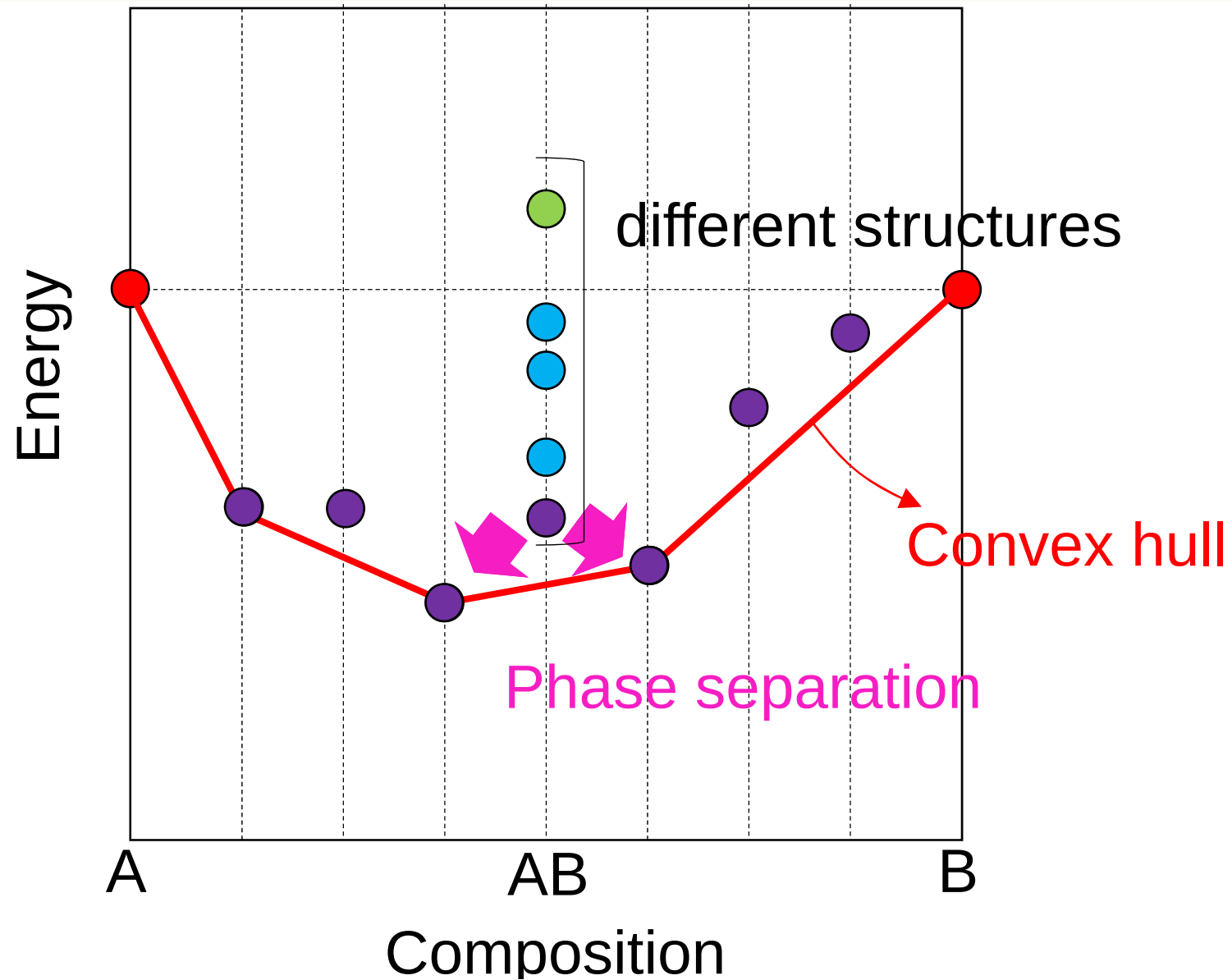
3. Band gap (E_g) by GGA(PBE)

- Criterion: $E_g > 2 \text{ eV}$

4. Band edge position (ϵ_{CBM} and ϵ_{VBM}) by GGA(PBE)

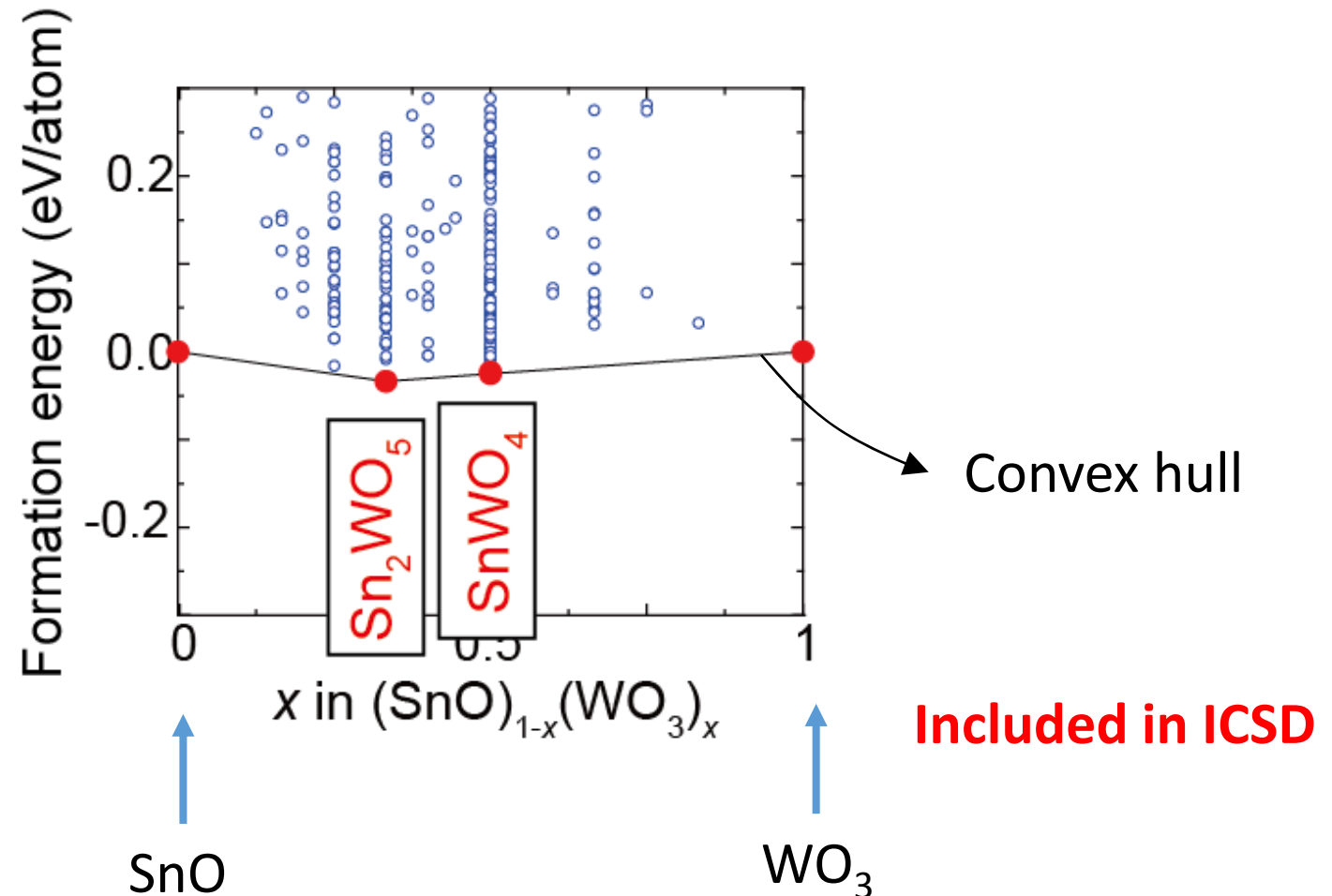
- Criterion: $\epsilon_{CBM} > H^+/H_2 \text{ level}$ and $\epsilon_{VBM} < O_2/H_2O \text{ level}$

Phase stability



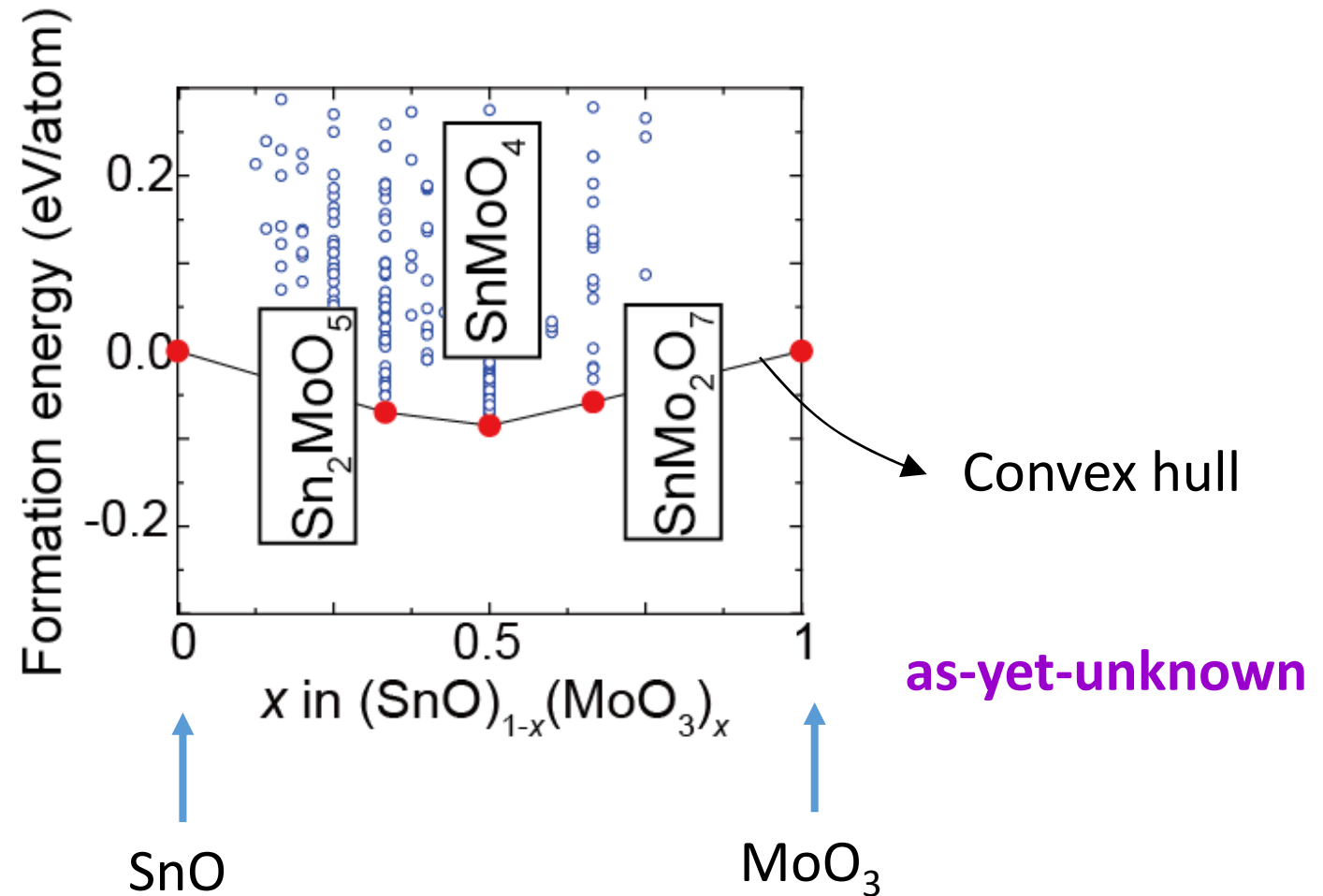
Formation energy

- SnO-WO₃ pseudo binary system



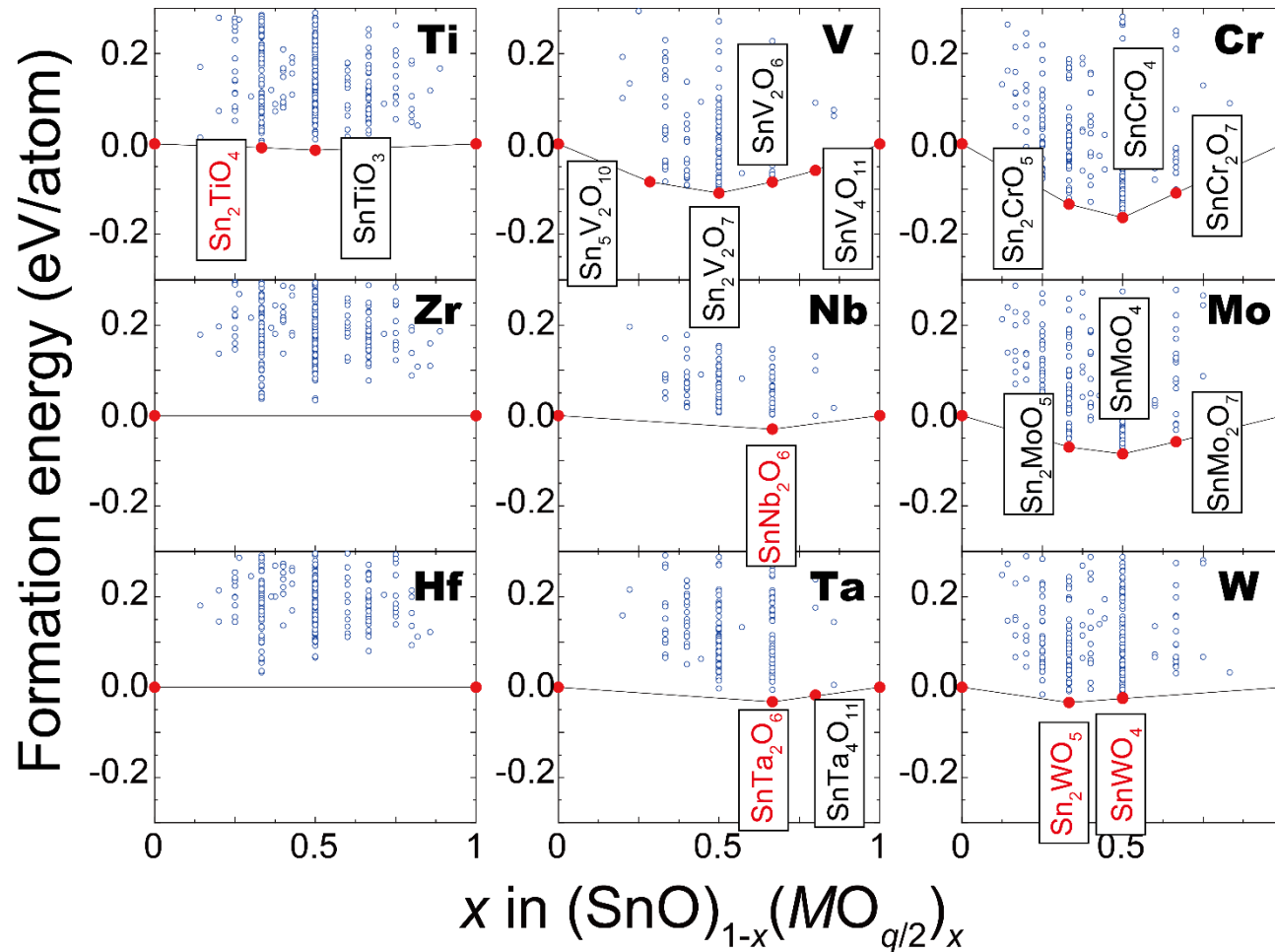
Formation energy

- SnO-MoO₃ pseudo binary system



Formation energy

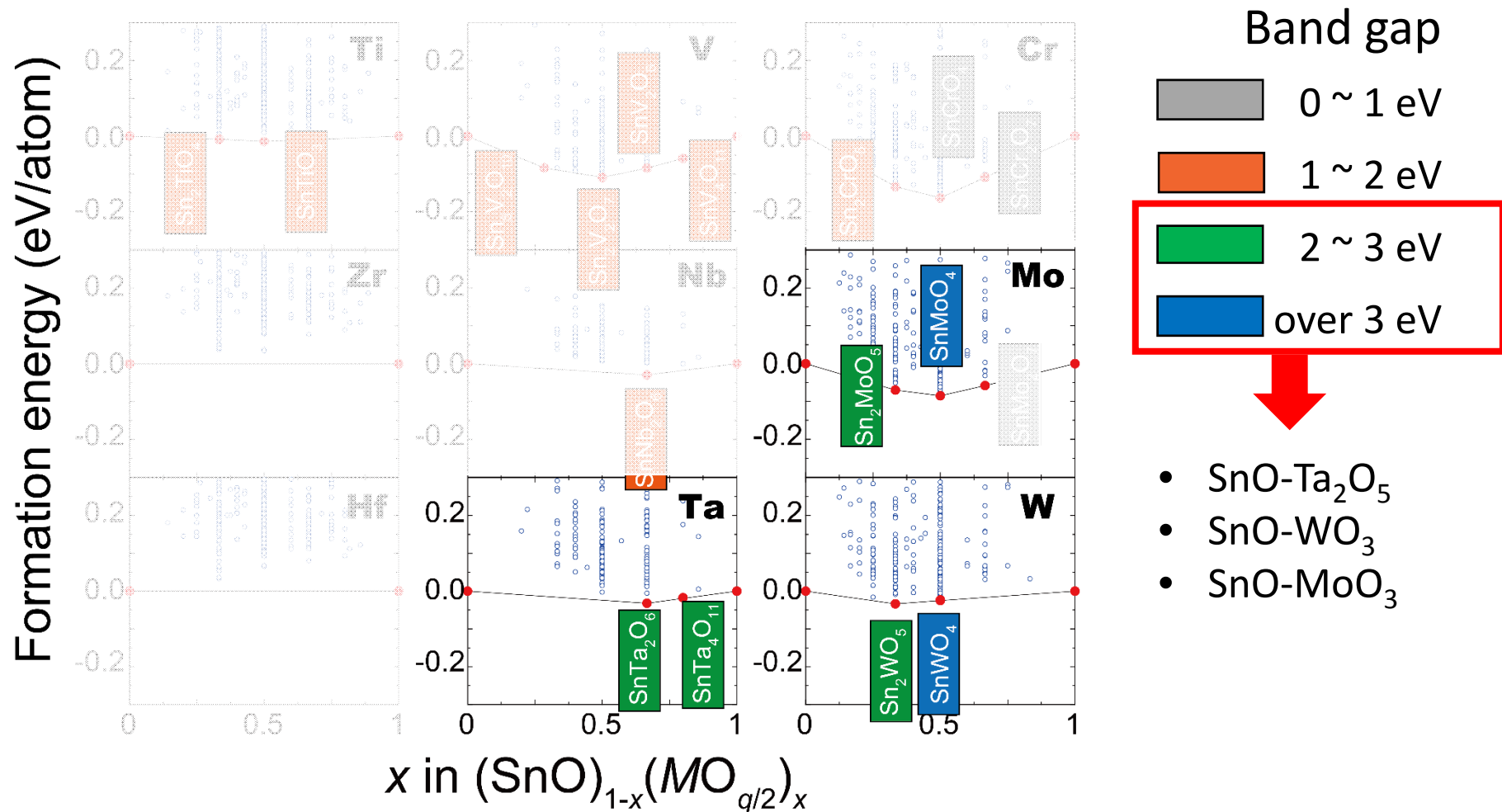
- Convex hull of $\text{SnO}-\text{MO}_{q/2}$ pseudo binary systems



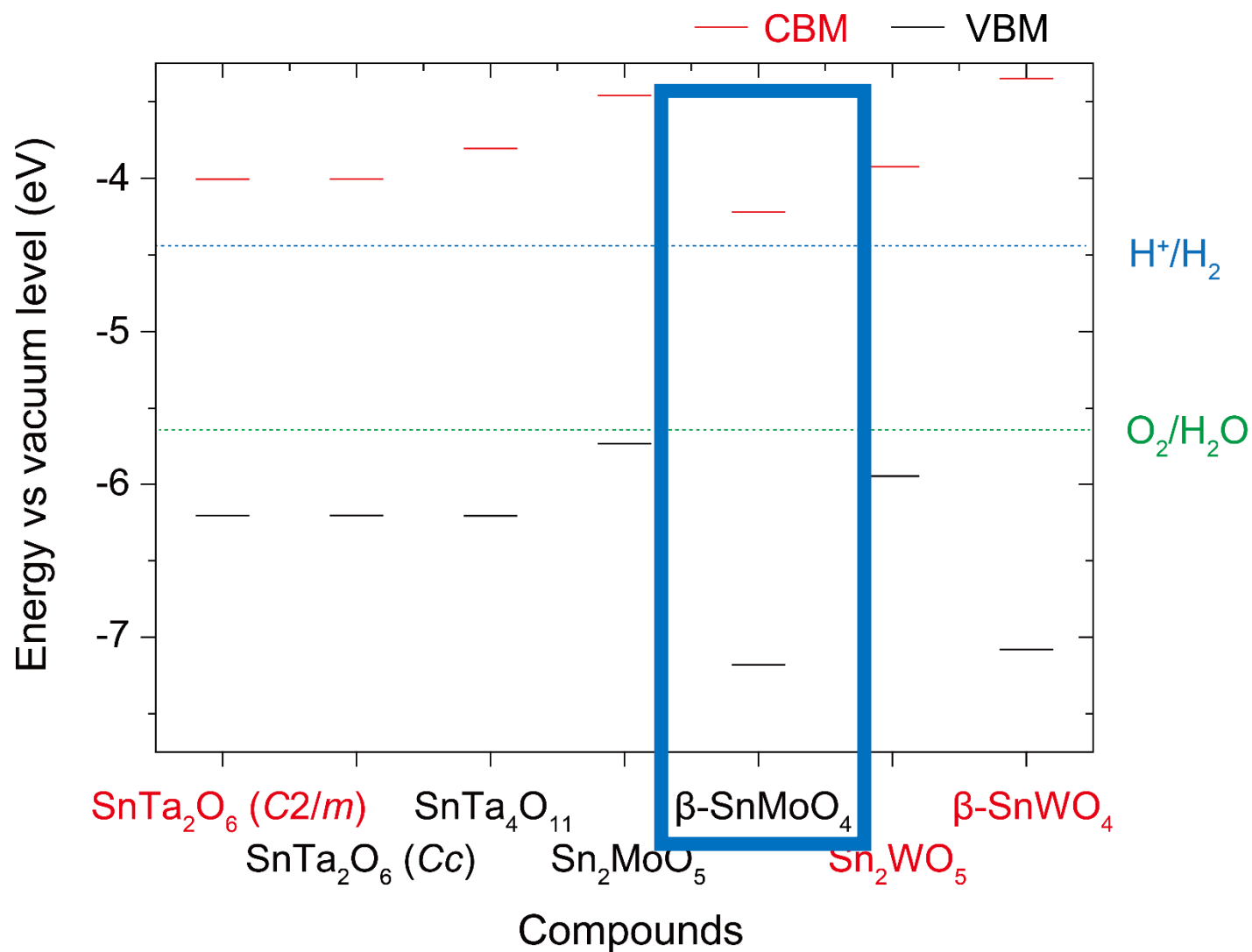
Reported oxides in ICSD
(Red characters)
are located on
the convex hull.

Band gap

- Band gap of actual photocatalysts ≥ 2 eV (GGA)



Band edge position



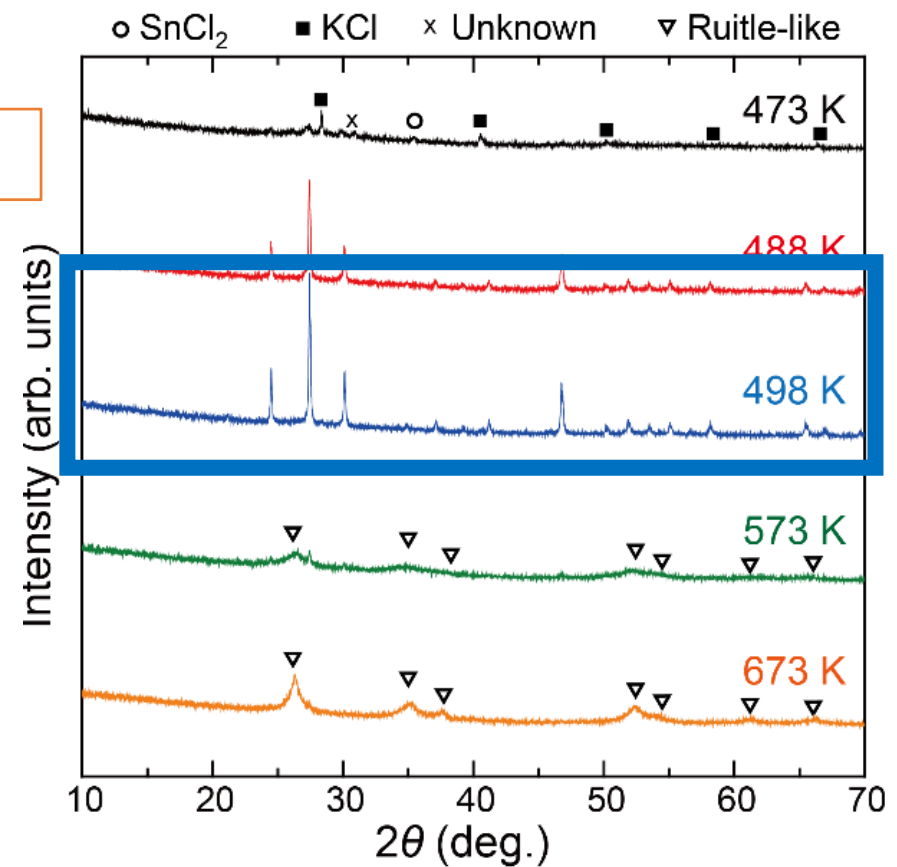
Experimental results

- Synthesis of β -SnMoO₄

Mixture of SnCl₂ and K₂MoO₄ powders

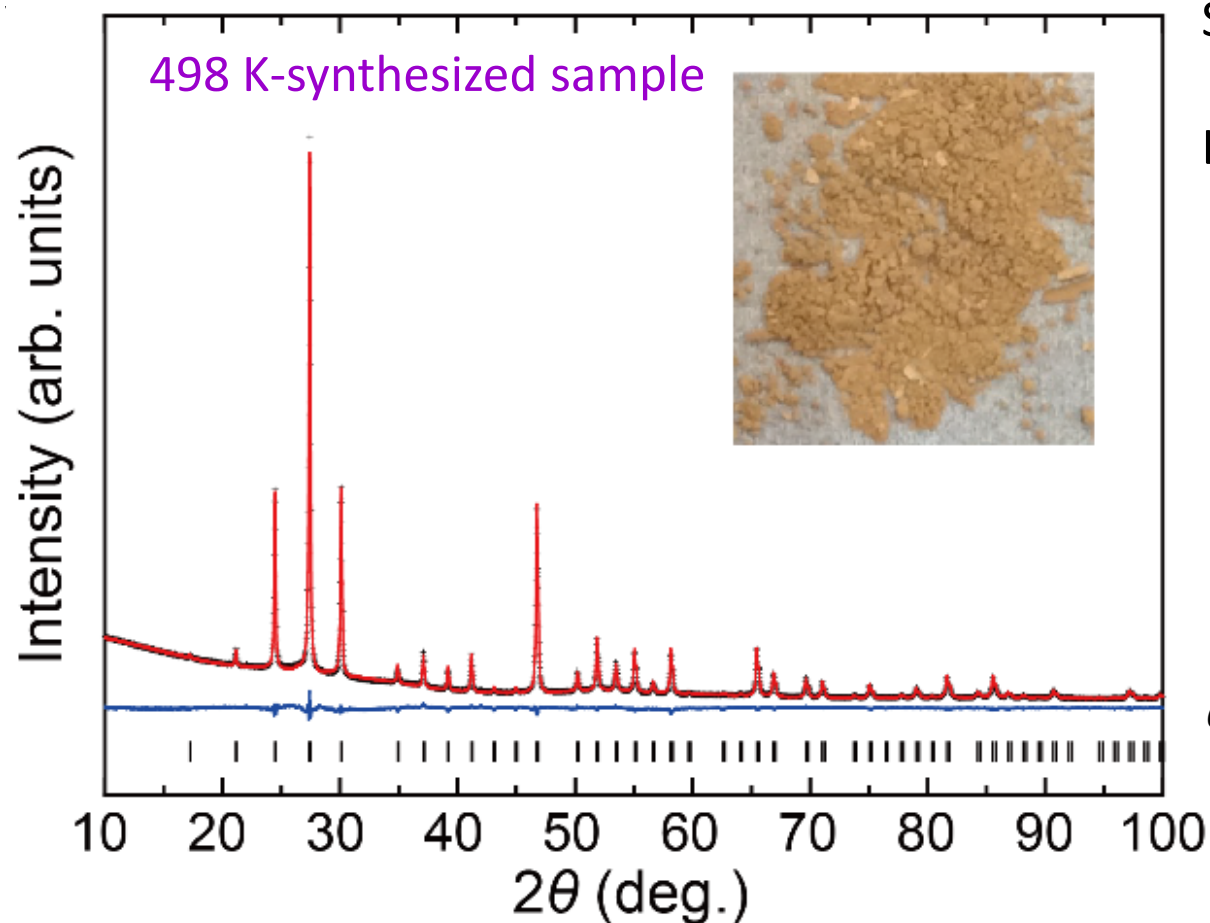
1 hour annealing in Ar gas

Washed and dried



Crystal structure of SnMoO_4

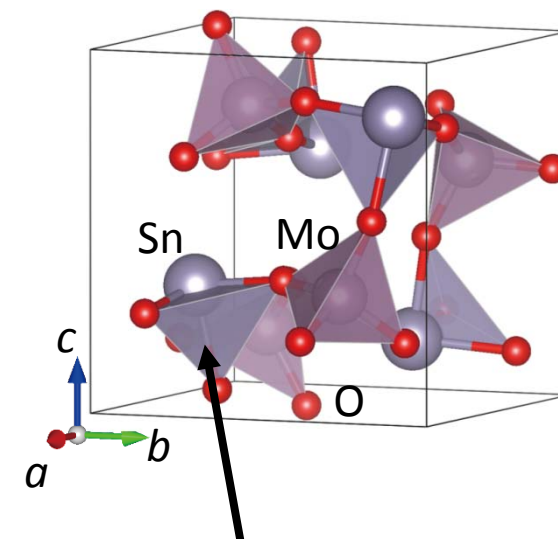
- Newly discovered compound



Space group type: $P2_13$

(Cubic)

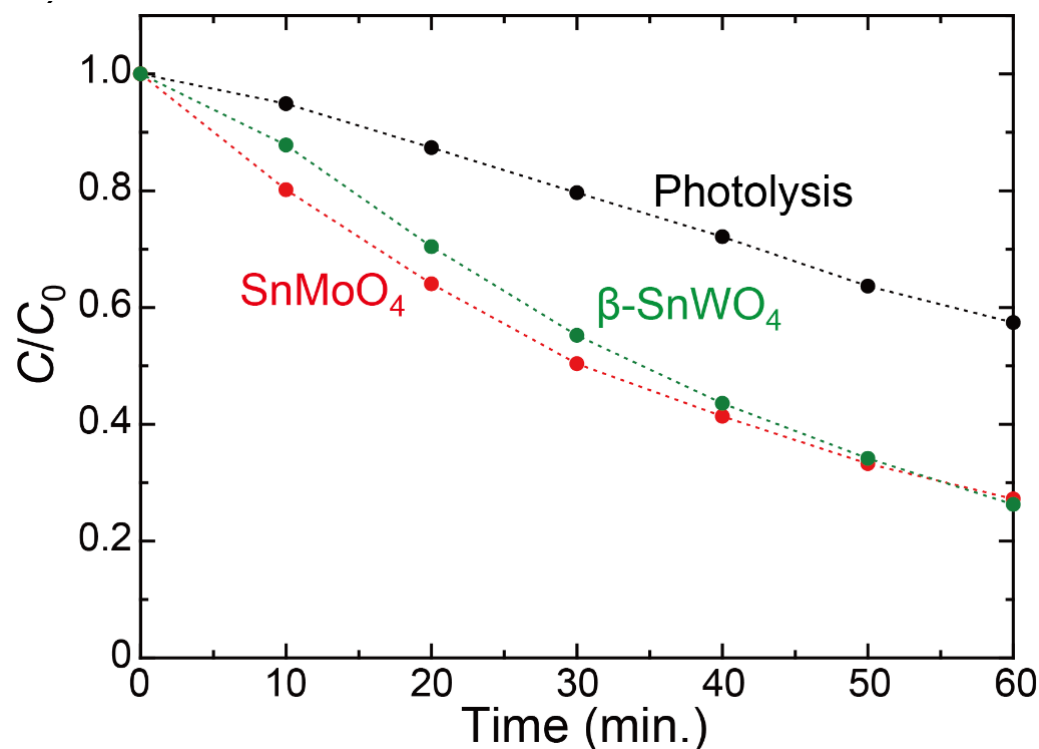
Lattice constant: $a = 7.26 \text{ \AA}$



Trigonal prism which is characteristic of Sn(II)

Photocatalytic activity of SnMoO_4

- Degradation of methylene blue under simulated daylight



Newly-discovered SnMoO_4 powder exhibits clear photocatalytic activity.

Discovery of new low thermal conductivity materials

First-Principles Anharmonic Lattice-Dynamics Calculations and Bayesian Optimization



Atsuto Seko, Atsushi Togo, Hiroyuki Hayashi,



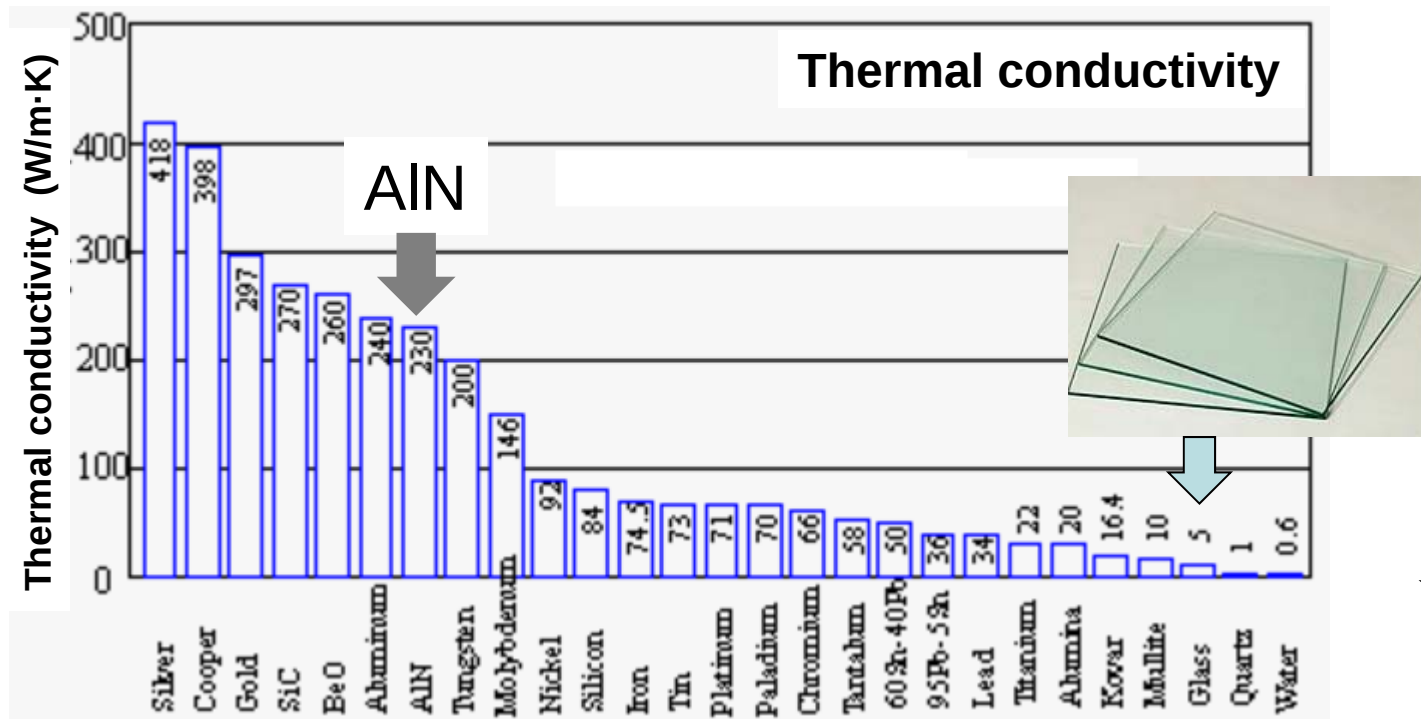
Koji Tsuda, Laurent Chaput, and IT

Find ultra-low thermal conductivity materials of $0.1 \text{ W/m}\cdot\text{K}$ level in Mat. Proj. database (55,000 crystals)



diamond

>2000



Background

Thermal Conductivity (TC)

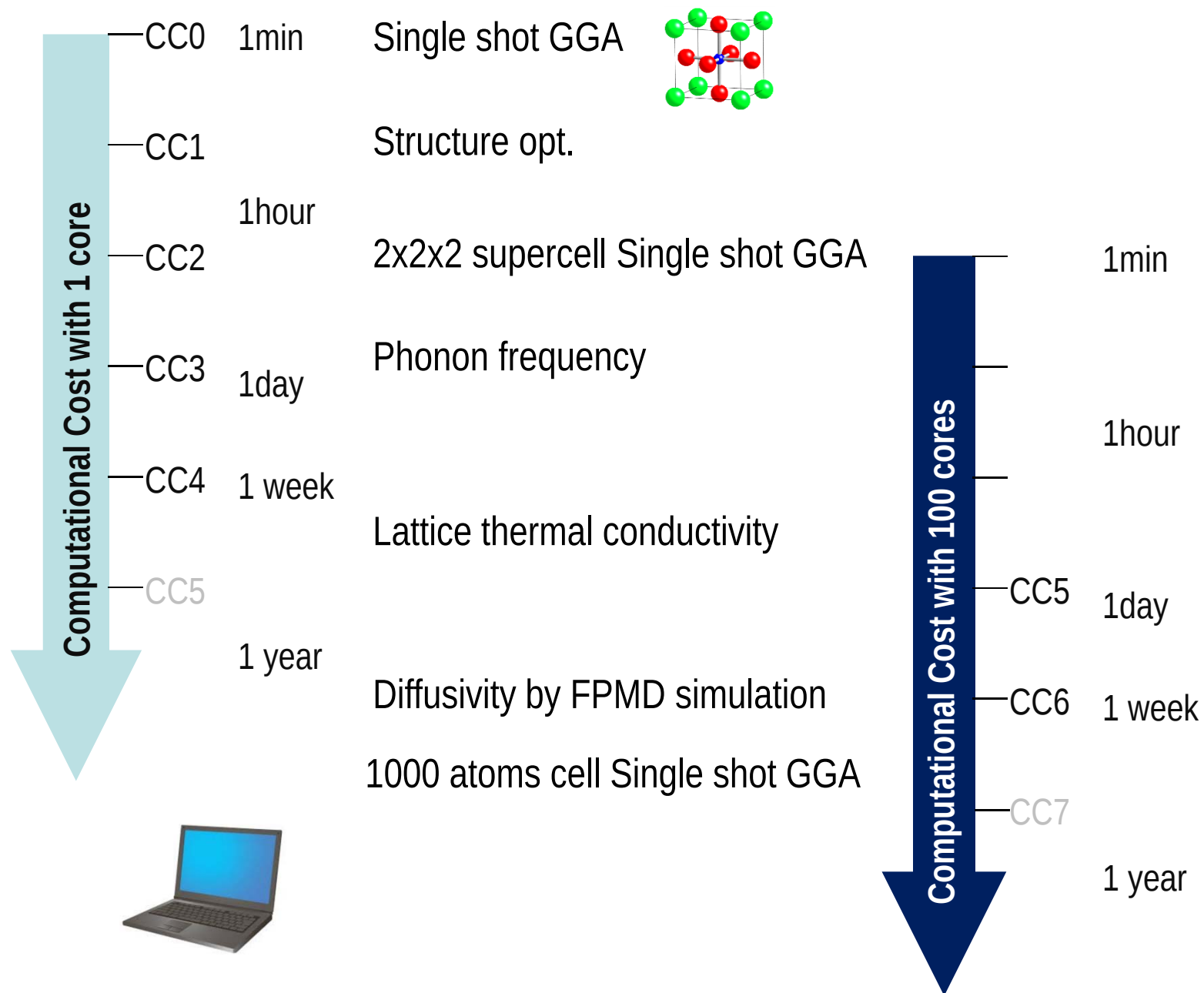
$$\kappa = \kappa_{\text{electronic}} + \kappa_{\text{lattice}}$$

Lattice Thermal Conductivity (LTC)

- ✓ Reliable experimental dataset is limited (< 100 crystals).
- ✓ Reliable first principles calculations are **very expensive**.
(**CC5 class** : 1 day/100 cores for 1 simple crystal.)
- ✓ Little knowledge to predict LTC deductively.
(Simple rule to determine LTC is not clear.)

Materials search has been made through “modification” of known compounds showing high/low LTC.

Cost for First Principles Calculations



10 nodes

Thermoelectric materials

Essential for utilizing otherwise waste heat.

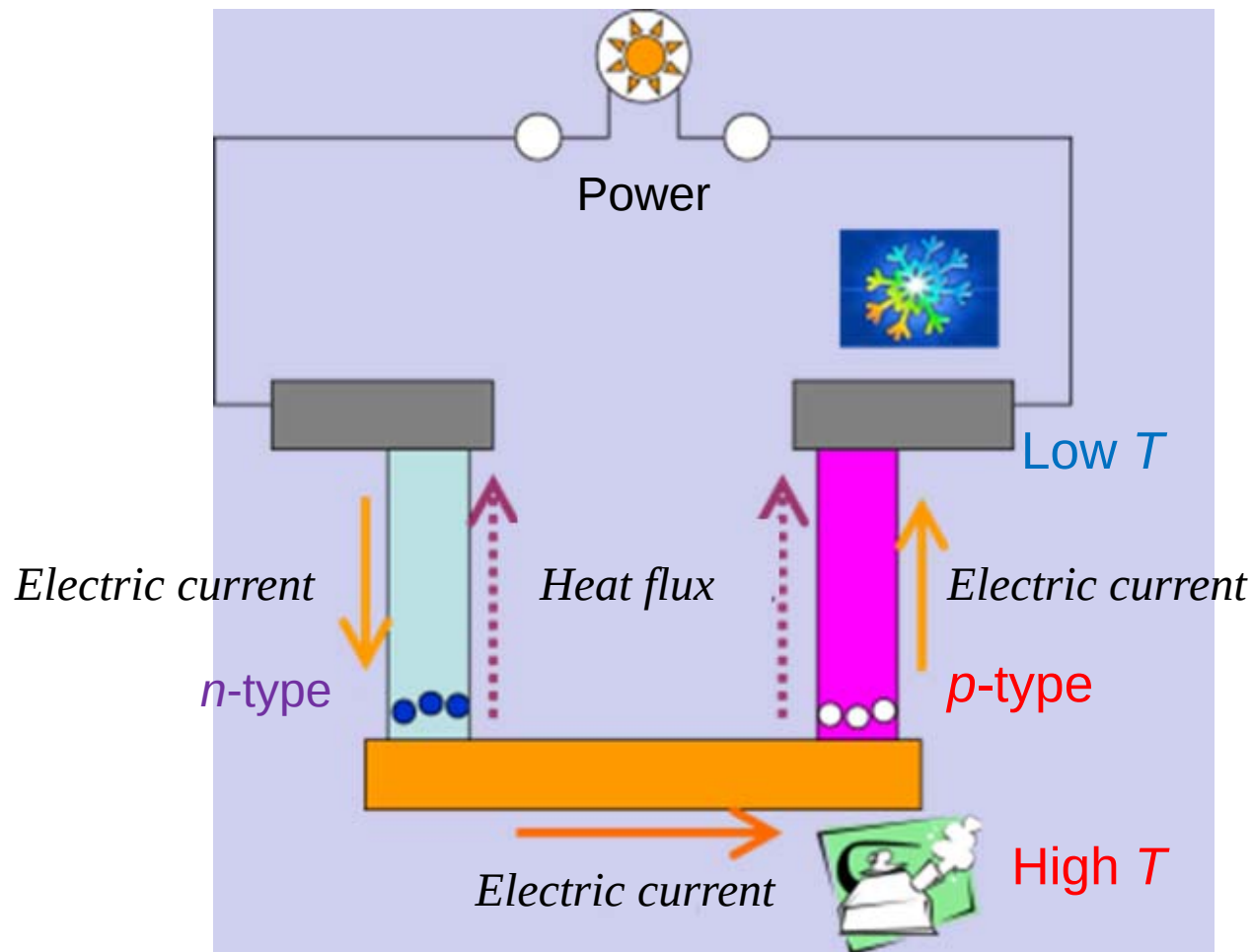


Figure of
Merit

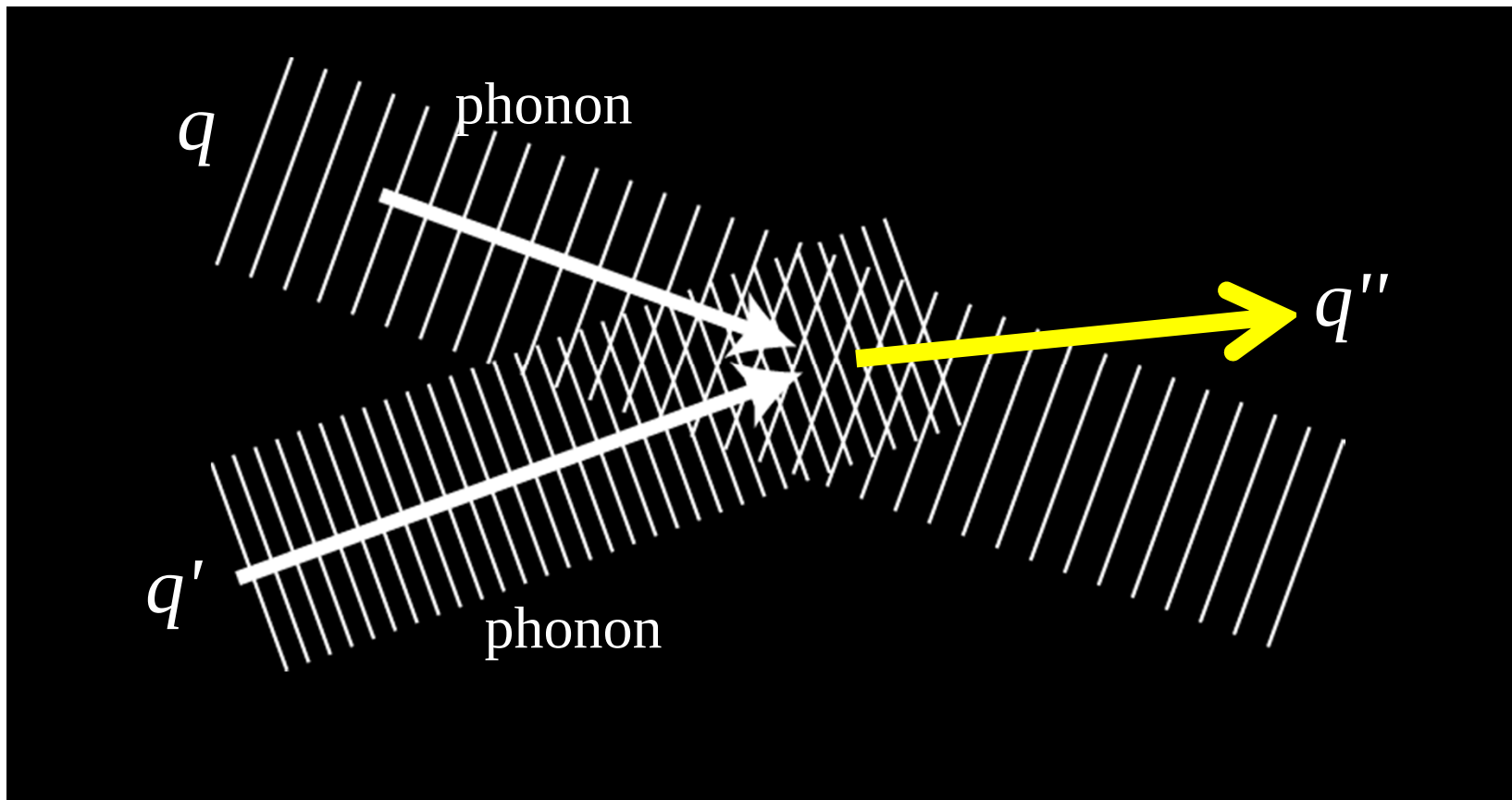
$$ZT = \frac{S^2 \sigma}{\kappa} T$$

S : Seebeck coefficient
 σ : electrical conductivity
 κ : **thermal conductivity**

Physical origin of thermal resistivity

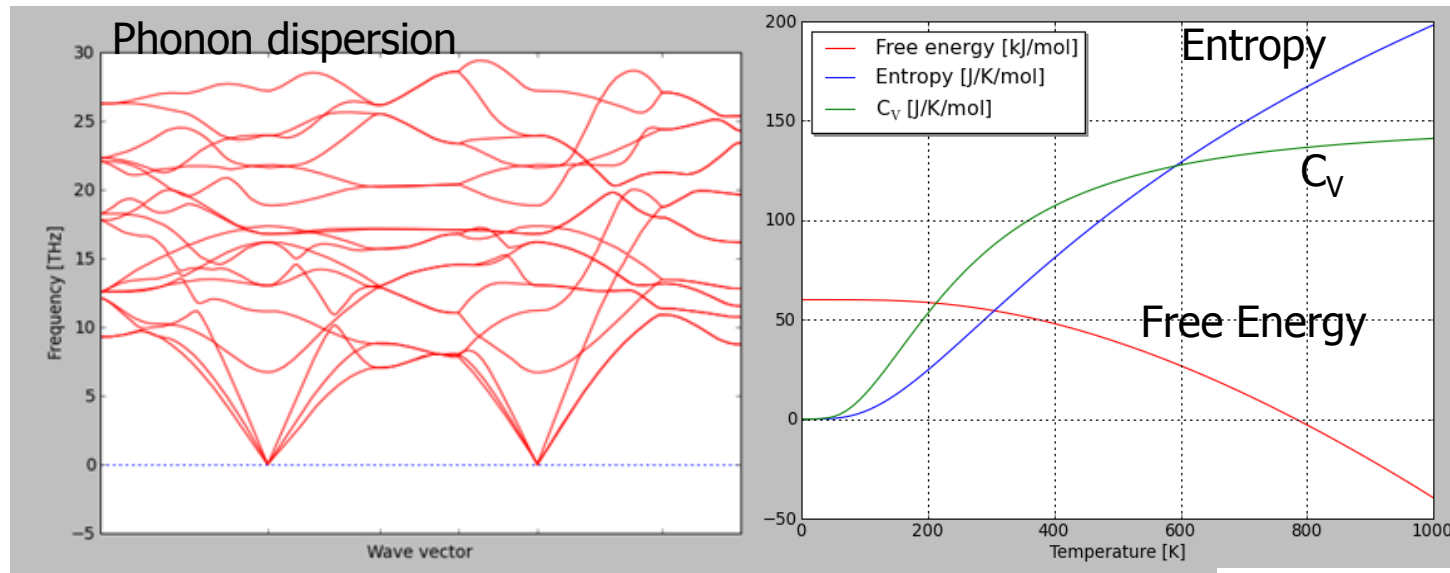
Phonon-phonon scattering (phonon anharmonicity)

Harmonic phonons do not interact.

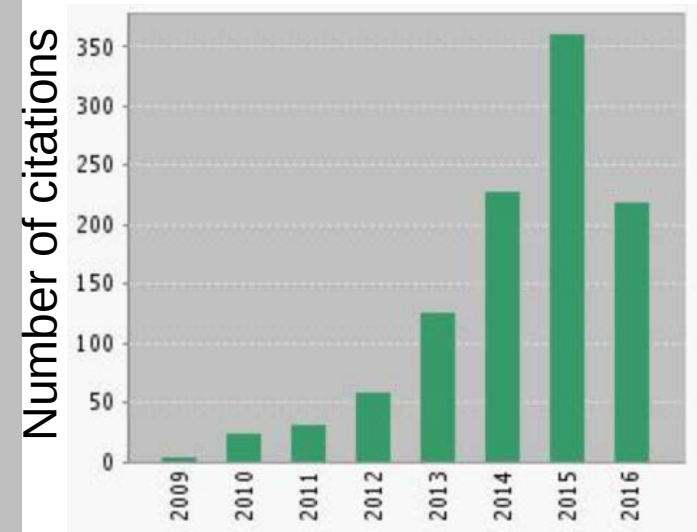
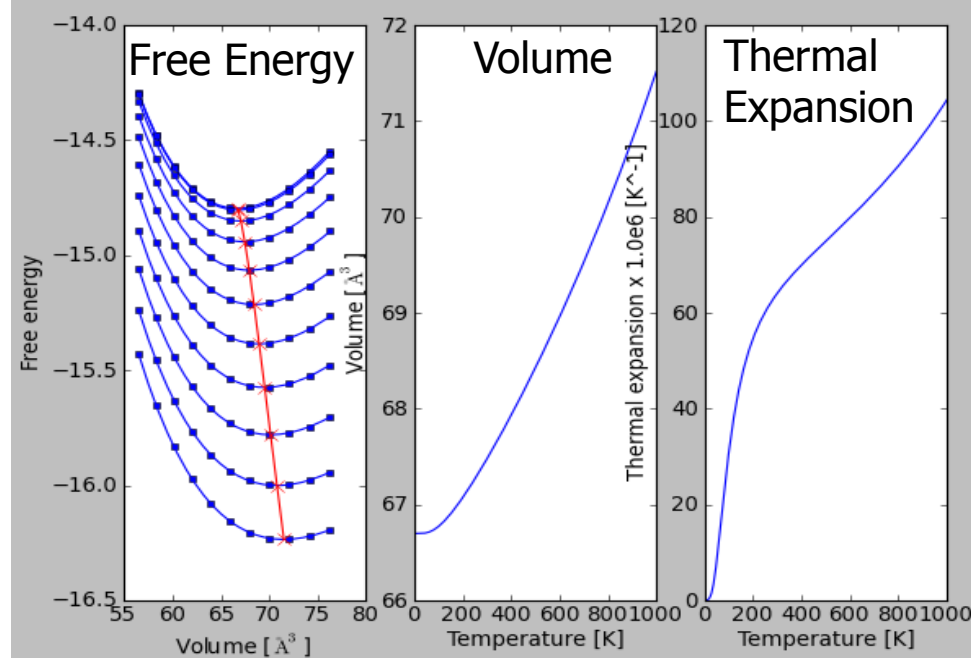


phonopy: open source for ab-initio phonon calcs

<http://phonopy.sf.net/>

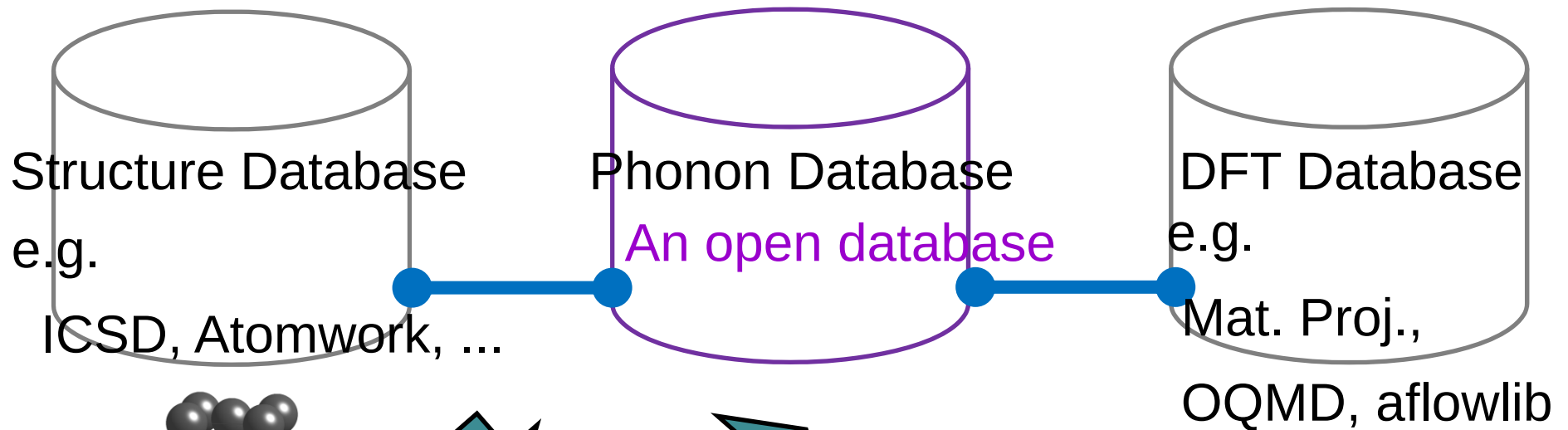


Atsushi TOGO
Kyoto Univ.



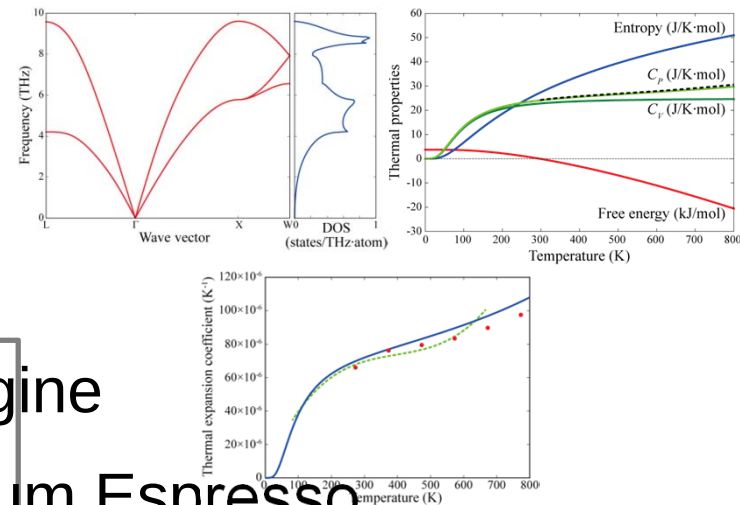
Web of Science 2016 August 34

First principles phonon database



phonopy

First principles engine
e.g. VASP, Quantum Espresso
Abinit, etc...



Phonon Database @ Kyoto University

Phonon database at Kyoto university

- [About this web site](#)

Available data

- [Data associated with Materials Project IDs](#)

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Contact

- [Atsushi Togo : atz.togo@gmail.com](mailto:atz.togo@gmail.com)

<http://phonondb.mtl.kyoto-u.ac.jp/>

List of data

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The data are generated by automated first-principles phonon calculations. Basically these data in every entry are not well examined, therefore some are considered wrong.

The characters “dtgq” besides the compound names show available plots of phonon-DOS (d), thermal properties at constant volume (t), mode-Grüneisen parameters (g), and physical properties at 0GPa (q), respectively.

- [Materials id 0000 - 0999](#)
 - [Materials id 25 / N2 / d.g.](#)
 - [Materials id 111 / Ne / d.g.](#)
 - [Materials id 149 / Si / dtgq](#)
 - [Materials id 154 / N2 / d.g.](#)
 - [Materials id 223 / GeO2 / d.g.](#)
 - [Materials id 239 / BaS3 / dtgq](#)
 - [Materials id 252 / BeTe / dtgq](#)
 - [Materials id 286 / YbSe / dtg.](#)
 - [Materials id 341 / PbF4 / dtg.](#)
 - [Materials id 375 / UO3 / d.g.](#)
 - [Materials id 380 / ZnSe / dtgq](#)
 - [Materials id 406 / CdTe / dtgq](#)
 - [Materials id 422 / BeS / dtgq](#)
 - [Materials id 463 / KF / dtgq](#)
 - [Materials id 470 / GeO2 / dtg.](#)
 - [Materials id 617 / PtO2 / dtg.](#)
 - [Materials id 661 / AlN / dtg.](#)
 - [Materials id 665 / SnSe2 / dtg.](#)
 - [Materials id 672 / CdS / dtg.](#)
 - [Materials id 682 / NaF / dtgq](#)
 - [Materials id 720 / TlF / d.g.](#)
 - [Materials id 733 / GeO2 / d.g.](#)
 - [Materials id 736 / Li3P / dtg.](#)
 - [Materials id 757 / Li3As / dtg.](#)

d: phonon-DOS

t: thermal properties at
constant volume

g: mode-Grüneisen
parameters

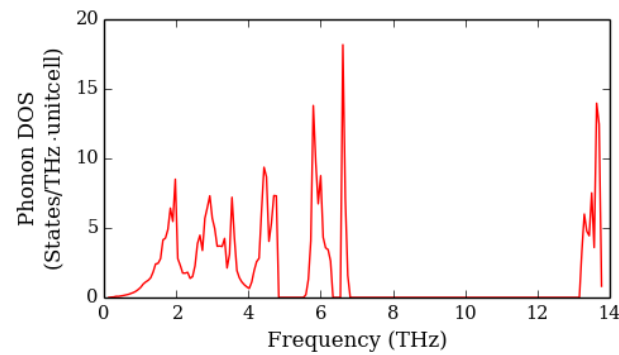
q: physical properties at 0GPa

Phonon Database @ Kyoto University

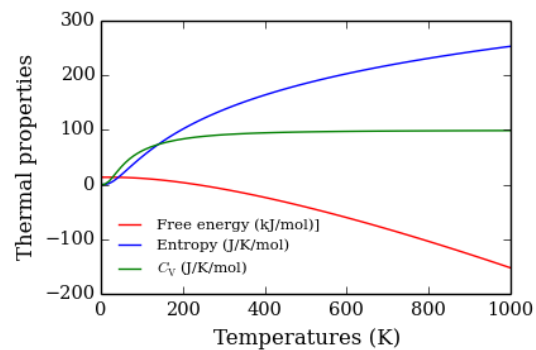
Materials id 239 / BaS3 / dtgg

- Date page updated: 2015-11-24
- Space group type: P-42₁m (113) / P-4 2ab
- Number of formula units (Z): 2
- Phonon raw data: [mp-239.tar.gz](#)
- Link to Materials Project: <https://www.materialsproject.org/materials/mp-239/>

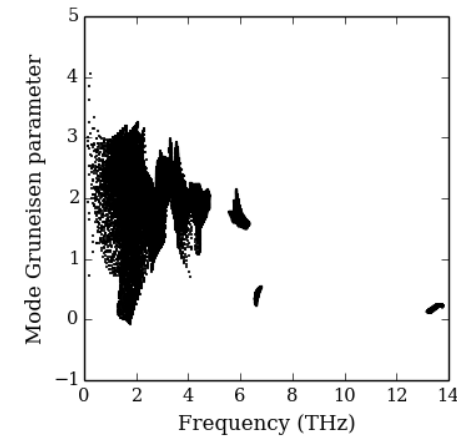
Phonon DOS



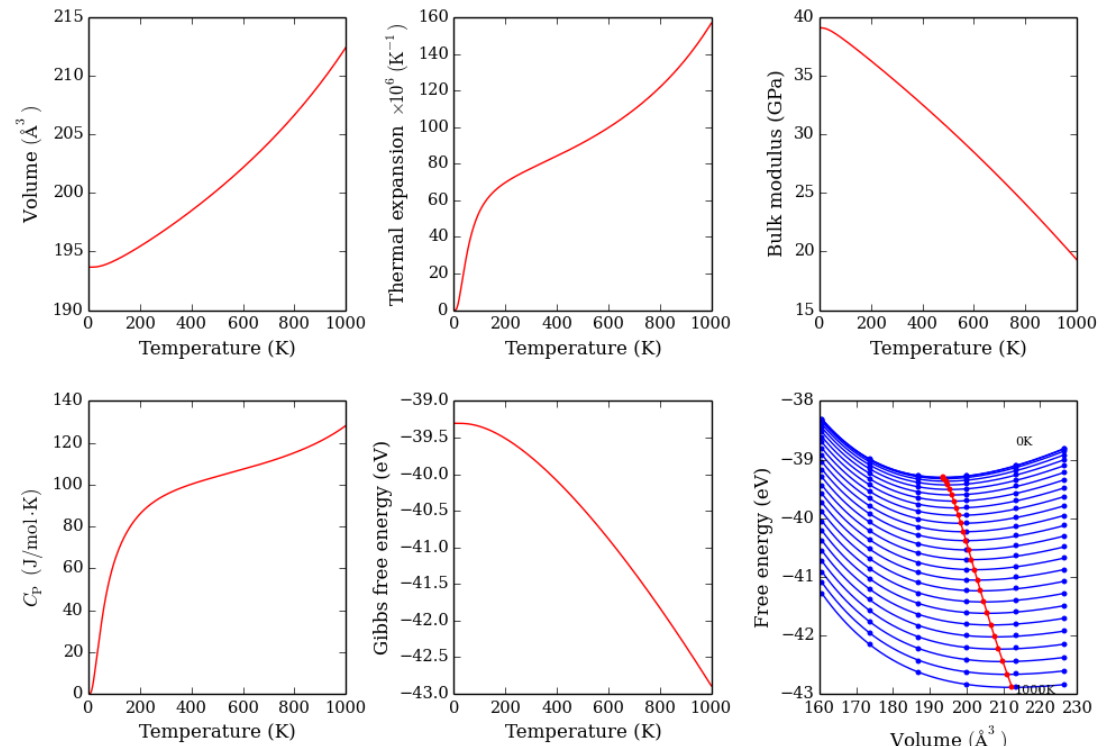
Thermal properties at constant volume



Mode Gruneisen parameter



Properties at 0GPa under quasi-harmonic approximation



Ab-initio Lattice Thermal Conductivity (LTC)

Phono3py 1.10.5 Site ▾ Page ▾ Work flow »

Welcome to phono3py

This software calculates phonon-phonon interaction related properties:

- Lattice thermal conductivity
- Phonon lifetime/linewidth
- Imaginary part of self energy at the lowest order
- Joint density of states (JDOS) and weighted-JDOS

The theoretical background is summarized in the paper found at

<http://dx.doi.org/10.1103/PhysRevB.91.094306> or the draft in arxiv at <http://arxiv.org/abs/1501.00691>.

Examples are found in `example-phono3py` directory.

Documentation

- [Work flow](#)
- [Installation](#)
- [Output files](#)
- [Command options](#)
- [Interfaces to calculators](#)
- [Auxiliary tools](#)
- [How to cite phono3py](#)
- [Change Log](#)

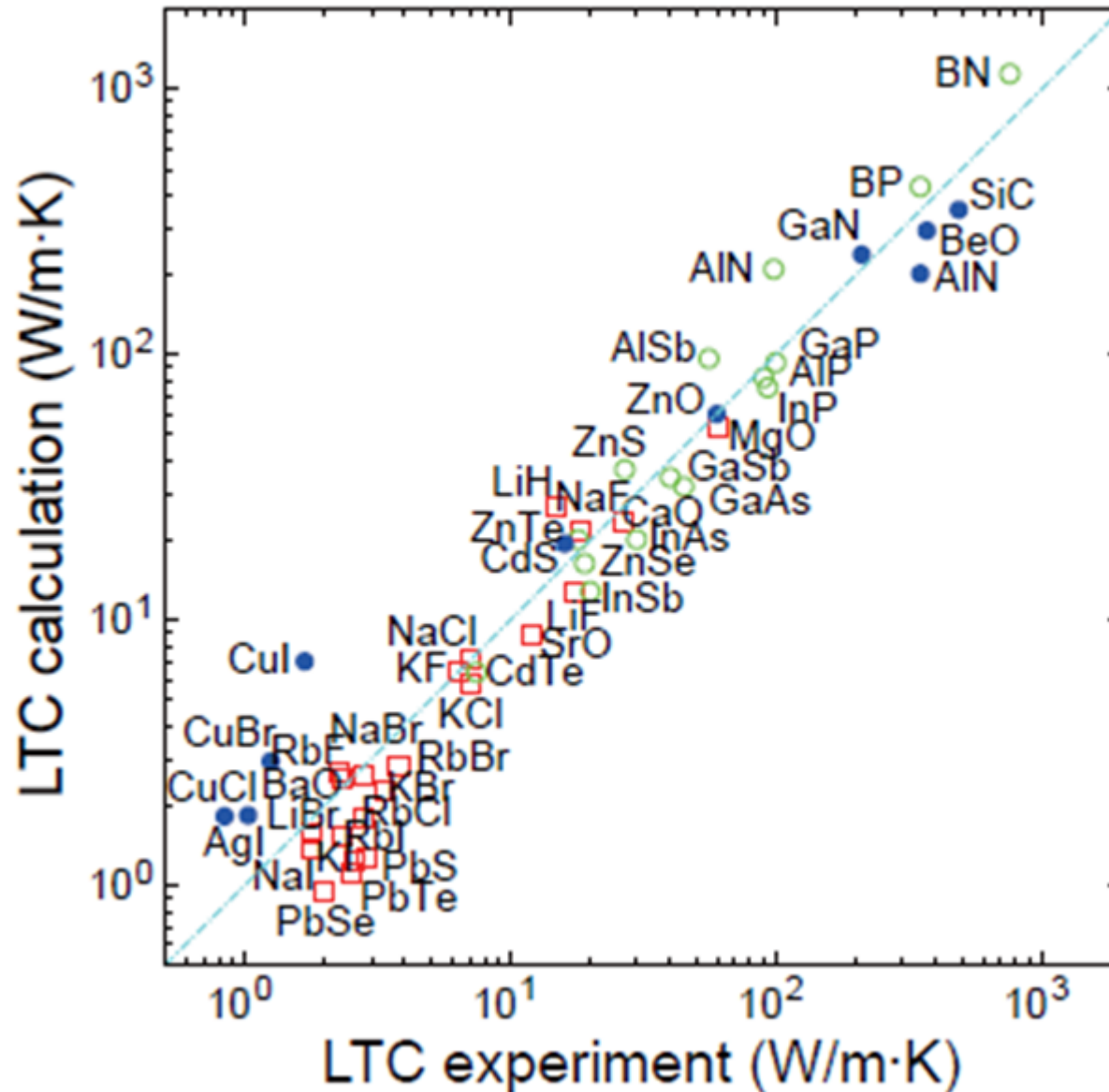


Atsushi TOGO

Lattice Thermal Conductivity (LTC)@300K

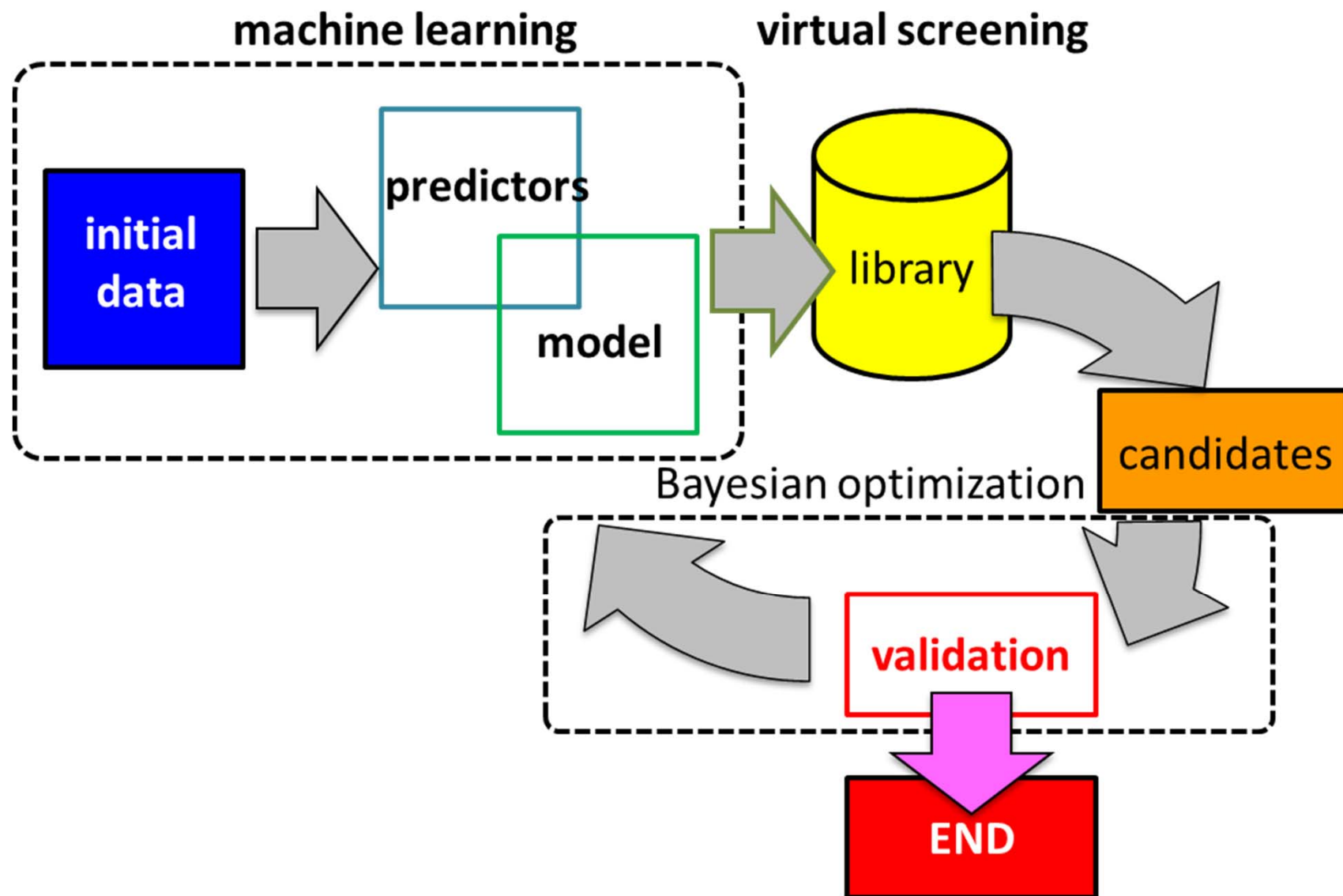
phono3py

First principles calculation vs. Experimental data



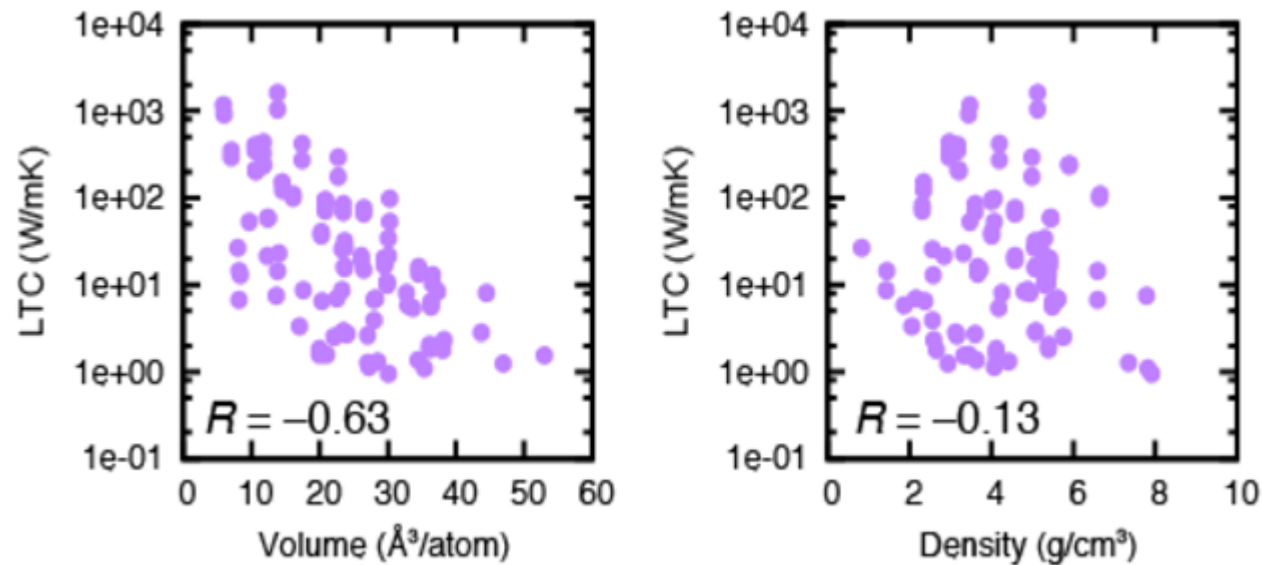
**Reliable calculations
whose accuracy are
comparable to
experiments!**

Virtual Screening



Candidates of descriptors for LTC

Model 1: Volume V and Density ρ



Model 2: Model 1 + primitive elemental descriptors

	H	Li	Be	B	C	N	O	F	...
LiH	1	1	0	0	0	0	0	0	
LiF	0	1	0	0	0	0	0	1	
BeO	0	0	1	0	0	0	1	0	
BN	0	0	0	1	0	1	0	0	
...									

Ranking of LTC for 54,779 compounds in MPD library

Z-score

$$Z(x^*) = [f(x^*) - f_{\text{best}}] / \sqrt{v(x^*)}$$

$$f = -\log \kappa_L$$

The higher Z-score, the lower predicted LTC !

“Virtual screening”

	Materials Id	Formula	Z-score
1	mp-23517	RbPbI3	1.90
2	mp-571465	PbI2	1.76
3	mp-28564	Rb4PbBr6	1.56
4	mp-23053	PbI2	1.56
5	mp-22997	PbBrCl	1.56
6	mp-23043	RbPb2Br5	1.45
7	mp-22883	PbI2	1.44
8	mp-567503	PbI2	1.43
9	mp-540839	CsPbI3	1.40
10	mp-569595	PbI2	1.34
11	mp-567246	PbI2	1.34
12	mp-23436	Cs4PbBr6	1.34
13	mp-505148	ThPbI6	1.34
14	mp-22893	PbI2	1.34
15	mp-567199	PbI2	1.32
16	mp-580202	PbI2	1.32
17	mp-600089	CsPbBr3	1.31
18	mp-640058	PbI2	1.31
19	mp-574189	PbI2	1.30
20	mp-567542	PbI2	1.30
21	mp-540789	PbI2	1.30
22	mp-567178	PbI2	1.29
23	mp-567629	CsPbBr3	1.29
24	mp-672671	PbI2	1.28
25	mp-561320	PbS	1.27
26	mp-680205	PbI2	1.25
27	mp-29883	Rb3PbCl5	1.25
28	mp-567681	CsPbBr3	1.24
29	mp-608081	Rb3Pb4Au	1.18
30	mp-27552	TlPbI3	1.17
31	mp-674972	Rb6Pb5Cl16	1.15
32	mp-31508	KPb2Br5	1.15
33	mp-771814	CaPbI6	1.14

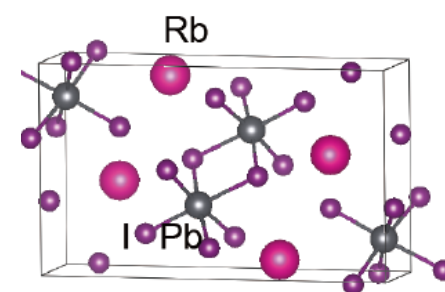
	Materials Id	Formula	Z-score
34	mp-23037	CsPbCl3	1.14
35	mp-675524	CsPbCl3	1.14
36	mp-675022	CsPbCl3	1.14
37	mp-23475	Rb2PbCl6	1.13
38	mp-29212	Tl4PbI6	1.12
39	mp-581775	Cs5(KPb6)3	1.09
40	mp-23380	Tl3PbI5	1.08
41	mp-630851	Cs3NaPb4	1.07
42	mp-574070	Cs4Pb9	1.05
43	mp-674339	Tl6PbI10	1.04
44	mp-23425	Cs2PbCl6	1.03
45	mp-771691	CaPbI6	1.01
46	mp-756313	CaPbI4	0.99
47	mp-673703	Rb3Bi7Pb3(IO)10	0.99
48	mp-680463	Rb4Pb9	0.97
49	mp-755943	CaPbI4	0.96
50	mp-622294	Hg2Pb(SBr)2	0.96
51	mp-21525	RbPb	0.96
52	mp-571638	Rb2Cu(BrCl)2	0.90
53	mp-756136	CaPbI4	0.90
54	mp-31317	La3PbI3	0.88
55	mp-756451	CaPbI4	0.88
56	mp-753670	CaPbI4	0.87
57	mp-569879	Cs2Ta6PbCl18	0.86
58	mp-570753	Tl3PbBr5	0.86
59	mp-27451	Tl3PbBr5	0.85
60	mp-569238	Cs3LiI4	0.85
61	mp-755977	CaPbI4	0.84
62	mp-680159	CsTa6PbCl18	0.84
63	mp-557719	PbS	0.82
64	mp-755056	CaPbI4	0.82
65	mp-771827	Ca3Pb3I14	0.81
66	mp-754540	CaPbI4	0.81

	Materials Id	Formula	Z-score
67	mp-622106	Ba5Pb3	0.81
68	mp-771877	Ca3Pb3I14	0.80
69	mp-621612	AgPb2Br5	0.80
70	mp-21246	Ba2Pb	0.78
71	mp-37163	Ag2HgI4	0.78
72	mp-569465	Cs2Nb6PbCl18	0.77
73	mp-554116	BaPb2BrF5	0.76
74	mp-674993	KPb2Cl5	0.73
75	mp-607267	KPb2Cl5	0.72
76	mp-3	Cs	0.71
77	mp-27662	CsI2Br	0.71
78	mp-20136	BaPb	0.70
79	mp-573579	Cs	0.70
80	mp-672241	Cs	0.70
81	mp-11832	Cs	0.70
82	mp-1	Cs	0.69
83	mp-639727	Cs	0.69
84	mp-569225	Mo6PbI14	0.67
85	mp-541112	ZrI4	0.66
86	mp-28077	PbBr2	0.66
87	mp-569866	Cs6K7	0.64
88	mp-31288	La5Pb3I	0.64
89	mp-554245	BaPb2IF5	0.64
90	mp-613652	PbClF	0.63
91	mp-5811	CsPbF3	0.61
92	mp-570355	PbCl4	0.61
93	mp-20282	CsPbF3	0.60
94	mp-579536	CsCu2ICl2	0.60
95	mp-22969	PbIF	0.59
96	mp-559470	AgPbBrO	0.58
97	mp-674359	Tl3PbCl5	0.58
98	mp-30519	Tl3PbCl5	0.58
99	mp-23066	Pb5(SI3)2	0.56

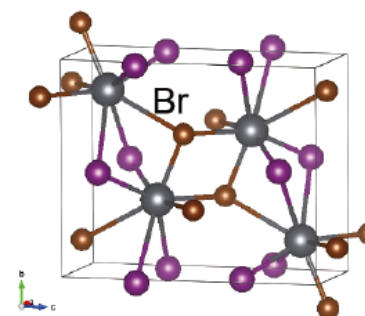
Top 10 lowest LTC compounds among 54,779

Virtual screening of 54,779 compounds in MPD library

ranking	Z-score	compound	
		formula	space group
1	1.90	PbRbI ₃	<i>Pnma</i>
2	1.76	PbIBr	<i>Pnma</i>
3	1.56	PbRb ₄ Br ₆	<i>R-3c</i>
4	1.56	PbICl	<i>Pnma</i>
5	1.56	PbClBr	<i>Pnma</i>
7	1.44	PbI ₂	<i>R-3m</i>
8	1.43	PbI ₂	<i>P63mc</i>

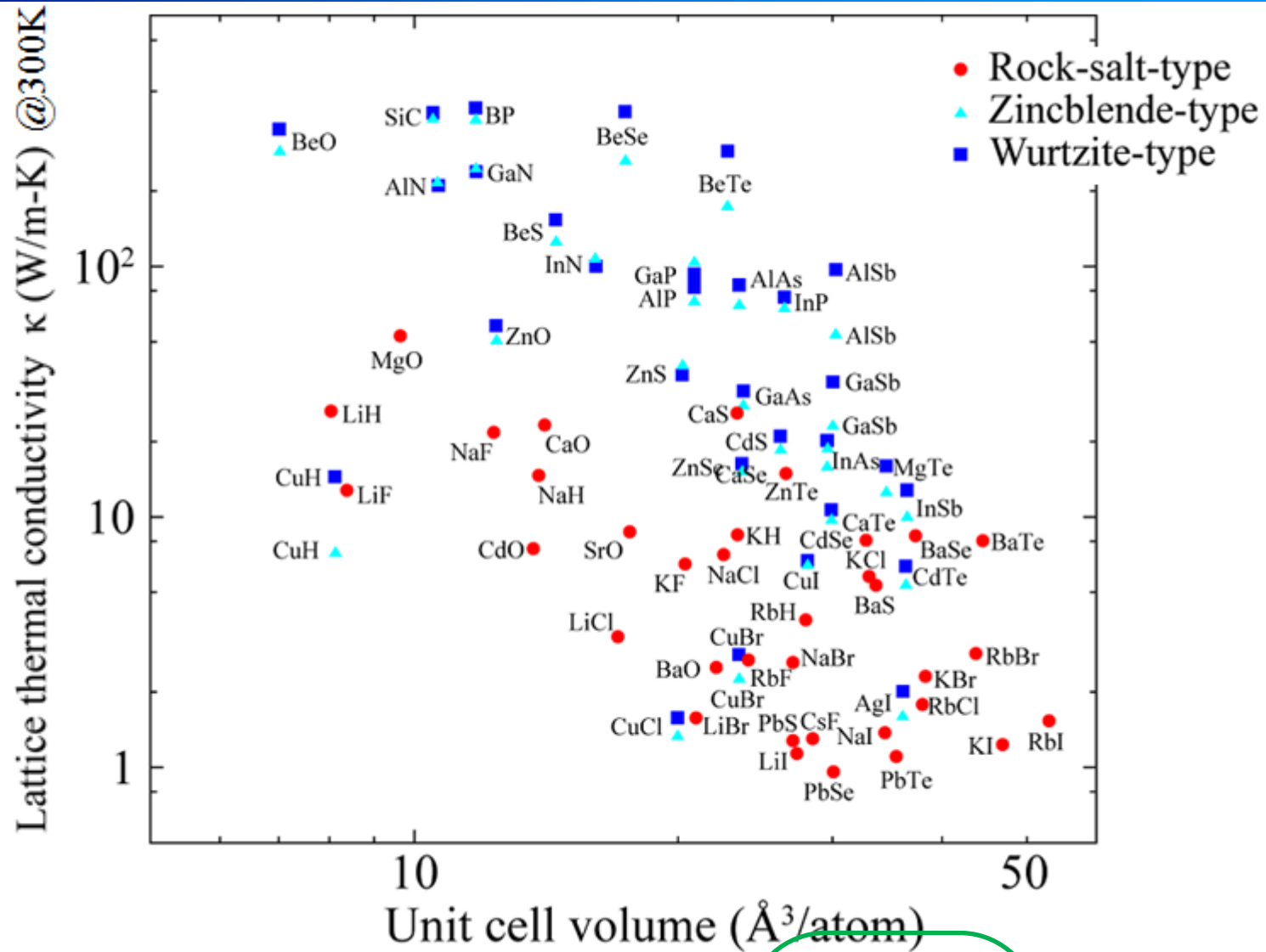


PbRbI₃

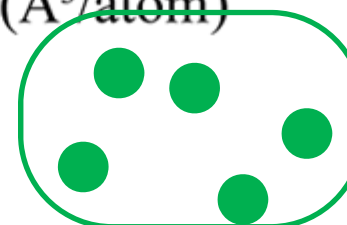


PbIBr

Lattice Thermal Conductivity (LTC)



Newly discovered !!



Thermoelectric materials

Essential for utilizing otherwise waste heat.

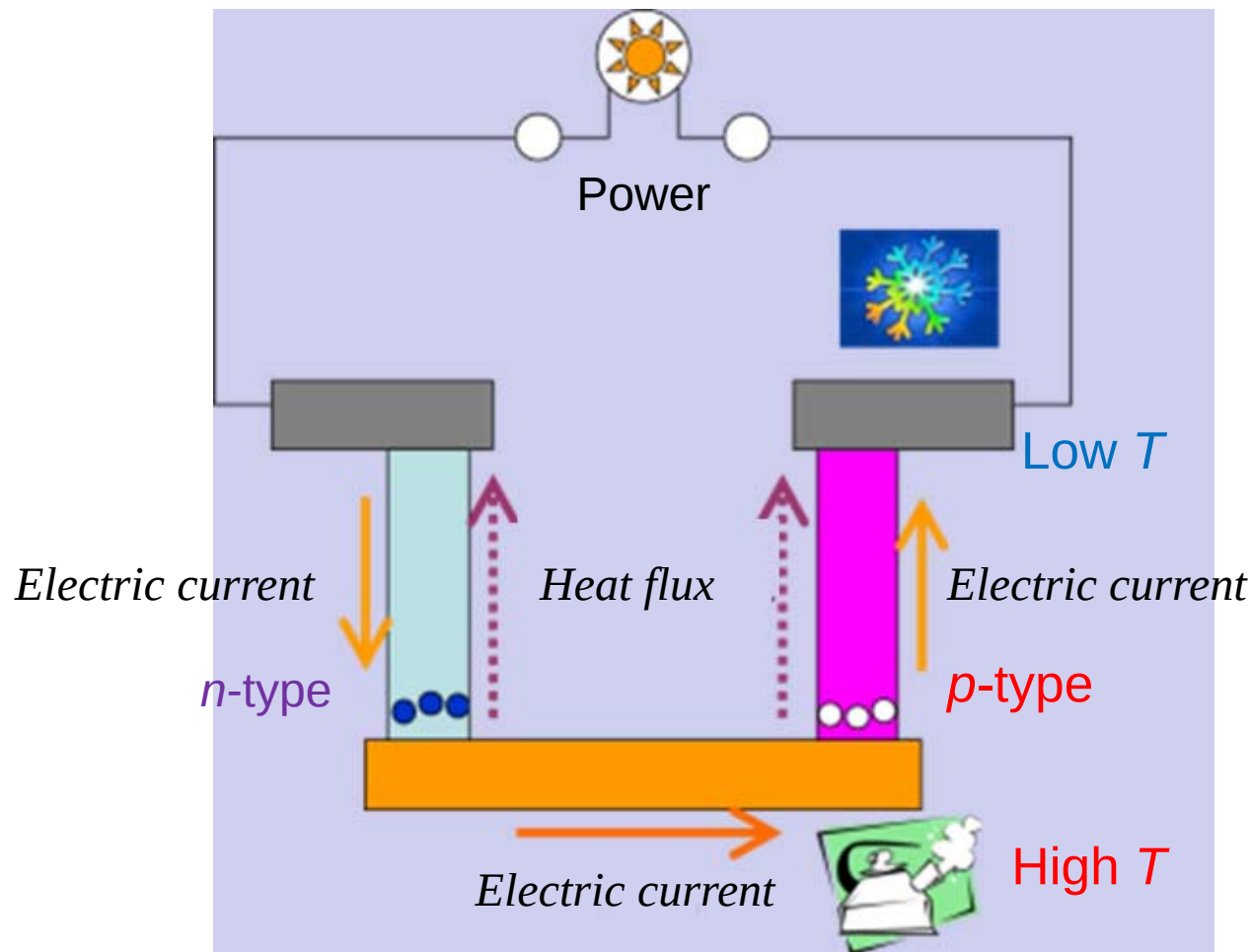


Figure of
Merit

$$ZT = \frac{S^2 \sigma}{\kappa} T$$

S : Seebeck coefficient
 σ : electrical conductivity
 κ : **thermal conductivity**

Top 10 lowest LTC compounds among 54,779

Virtual screening of 54,779 compounds in MPD library

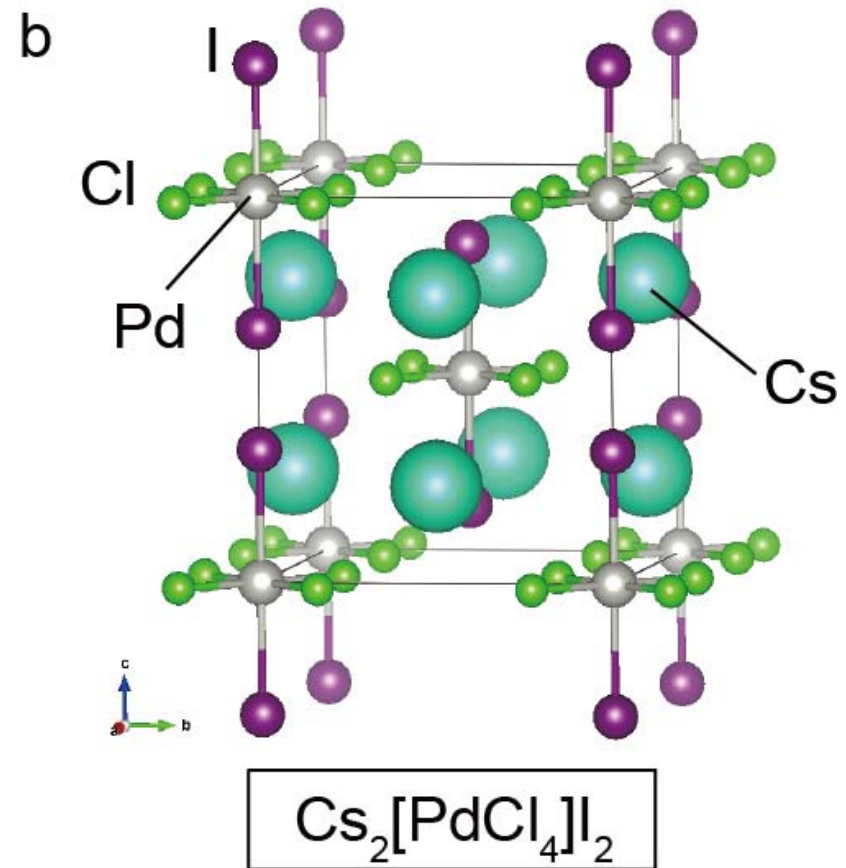
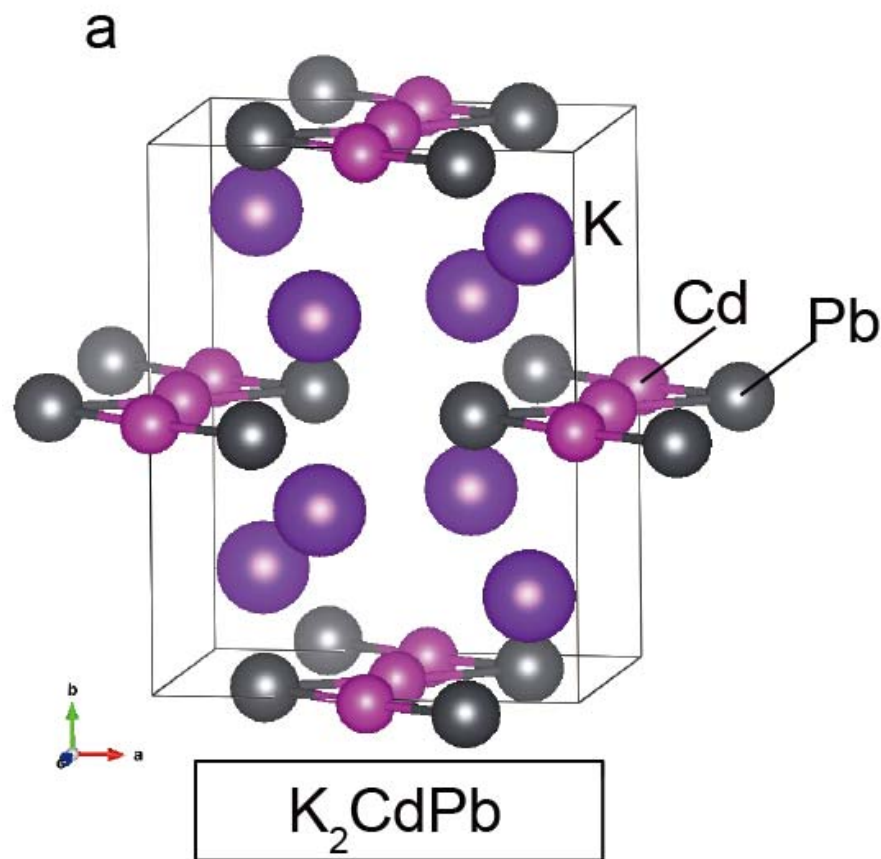
ranking	Z-score	compound		<i>ab initio</i> LTC (W/m·K)	Band Gap (eV)
		formula	space group		
1	1.90	PbRbI ₃	<i>Pnma</i>	0.10	2.46
2	1.76	PbIBr	<i>Pnma</i>	0.13	2.56
3	1.56	PbRb ₄ Br ₆	<i>R-3c</i>	0.08	3.90
4	1.56	PbICl	<i>Pnma</i>	0.18	2.72
5	1.56	PbClBr	<i>Pnma</i>	0.09	3.44
7	1.44	PbI ₂	<i>R-3m</i>	0.29	2.42
8	1.43	PbI ₂	<i>P63mc</i>	0.29	2.45

121	0.39	K ₂ CdPb	<i>Ama2</i>	0.45	0.18
144	0.29	Cs ₂ [PdCl ₄]I ₂	<i>I4/mmm</i>	0.31	0.88

Newly discovered candidates for thermoelectrics

with low LTC of < 0.5 W/mK (@300 K)

and narrow band gap of < 1 eV.



Summary

Materials discovery through first principles calculations

- ✓ **Discovery of a new Sn(II)-based oxide
for daylight-driven photocatalyst**

REAL SCREENING

- ✓ **Discovery of new low thermal conductivity materials
from Mat. Proj. database (55,000 crystals)**

VIRTUAL SCREENING



Fin