



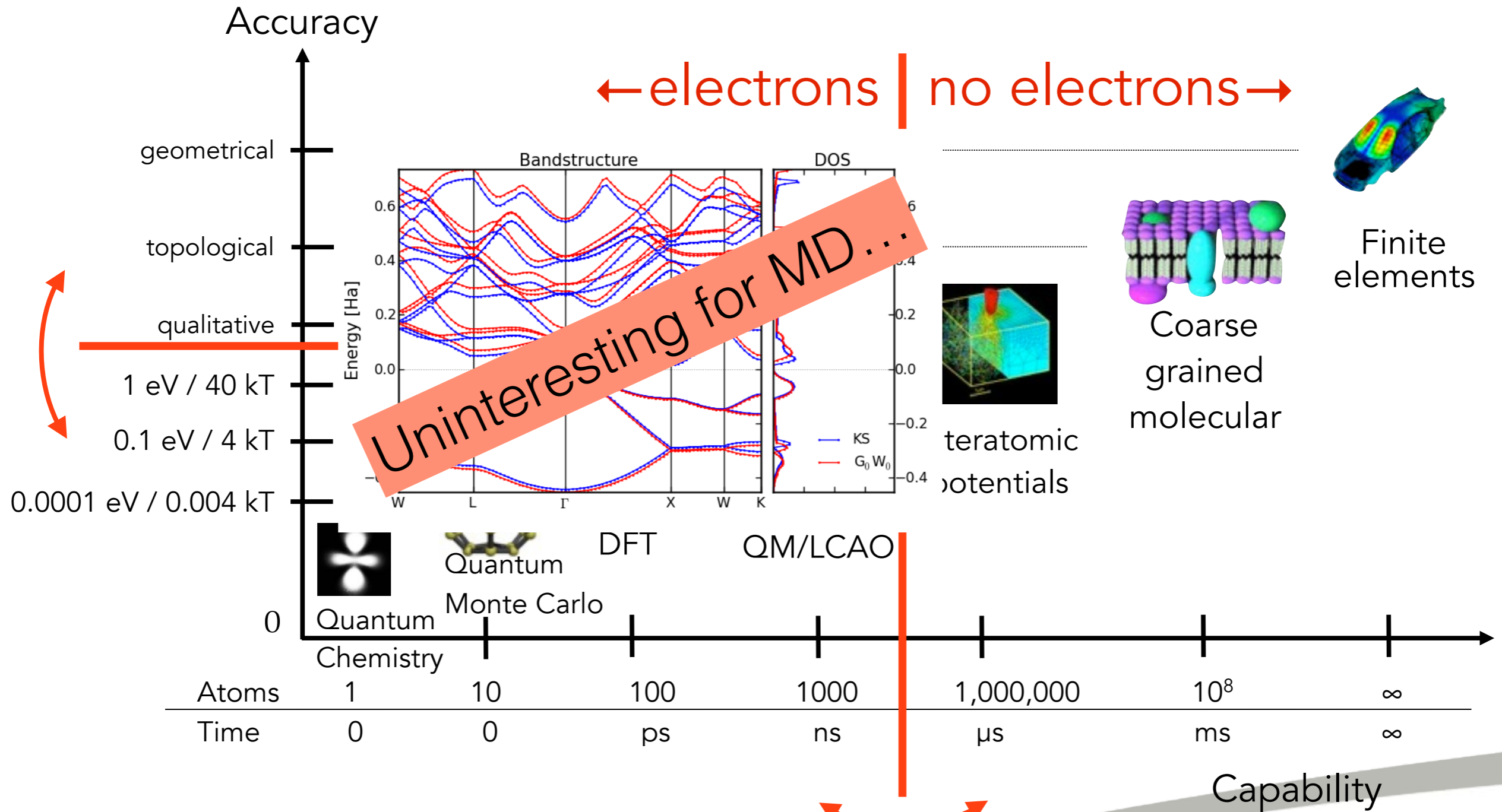
Learning Interactions from Microscopic Observables

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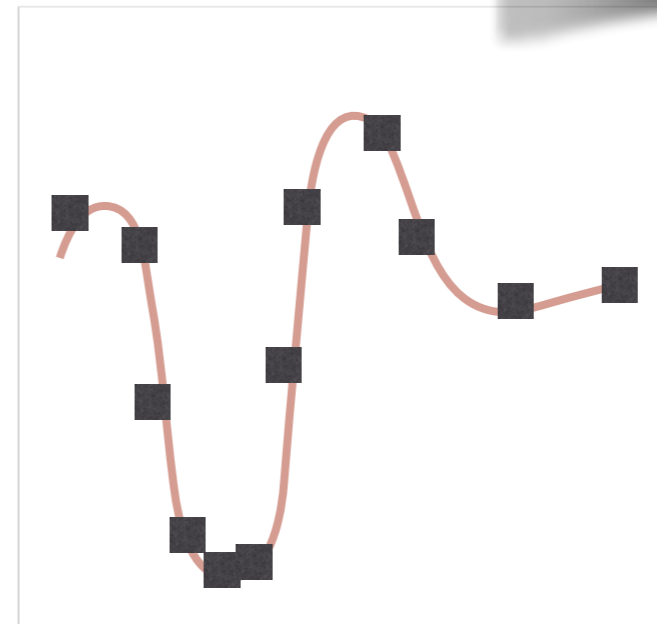
Modelling materials



Interatomic potentials

- Analytic potentials
 - fixed functional formula
 - based on physical understanding
 - few fixed parameters
 - fit to experimental and/or computational data
- Machine learning potentials
 - flexible functional form
 - no physical motivation
 - data driven - mostly computational

$$E = \varepsilon \left[\left(\frac{\sigma}{r} \right)^{12} - \left(\frac{\sigma}{r} \right)^6 \right]$$



PES fitting

$$E_{\text{total}} = \sum_i^{\text{atoms}} \epsilon(\text{neighbourhood}_i) + \text{long range}$$

$$\epsilon(\mathbf{x}) = \sum_n \alpha_n k(\mathbf{x}_n, \mathbf{x})$$

atomic environment

- Represent a local neighbourhood configuration: “descriptor”, “fingerprint”, “feature vector”, “symmetry function”
 - Rotational, reflectional, translational and permutational invariance
 - Faithfulness - no two different configuration give the same representation
 - Continuous, differentiable and smooth
- Fits are based on comparisons of configurations
 - Gaussian kernel
 - Dot-product kernel aka linear regression
 - Neural network kernel

$$k(\mathbf{x}, \mathbf{x}') = \exp(-|\mathbf{x} - \mathbf{x}'|^2 / 2\sigma^2)$$

$$k(\mathbf{x}, \mathbf{x}') = \mathbf{x} \cdot \mathbf{x}'$$

$$k(\mathbf{x}, \mathbf{x}') \approx V - |\mathbf{x} - \mathbf{x}'|^2$$



Gaussian Process fitting - weight space view

- target function modelled as a linear combination of basis functions $f_i = f(\mathbf{d}_i, \mathbf{w}) = \sum_h w_h \phi_h(\mathbf{d}_i)$
- put prior on weights $P(\mathbf{w}) = \text{Normal}(\mathbf{w}; \mathbf{0}, \sigma_w \mathbf{I})$
- compute the covariance of two observations $\langle f_i f_j \rangle = \left\langle \sum_{hh'} w_h w_{h'} \phi_h(\mathbf{d}_i) \phi_{h'}(\mathbf{d}_j) \right\rangle = \sigma_w^2 \sum_h \phi_h(\mathbf{d}_i) \phi_h(\mathbf{d}_j)$
- covariance is defined from the basis functions - but they are not actually needed! $C(\mathbf{d}, \mathbf{d}') \equiv \sigma_w^2 \sum_h \phi_h(\mathbf{d}) \phi_h(\mathbf{d}')$



Gaussian Process fitting - weight space view

- distribution of observed function values is also a Gaussian $P(\mathbf{f}) = \text{Normal}(\mathbf{f}; \mathbf{0}, \mathbf{C}) \propto \exp\left(-\frac{1}{2}\mathbf{f}^\top \mathbf{C}^{-1}\mathbf{f}\right)$

- prediction is the most likely value given the prior and data (see Bayes theorem)

$$P(f|\mathbf{f}) = \frac{P(\mathbf{f}, f)}{P(\mathbf{f})}$$

- result is a closed form approximation

$$f = \mathbf{k}^\top \mathbf{C}^{-1} \mathbf{f}$$

$$k_i = \langle f f_j \rangle$$



Gaussian Process to fit total energies

- model: total energy is a sum of atomic many-body energies

$$\varepsilon_i = \varepsilon(\mathbf{d}_i, \mathbf{w}) = \sum_h w_h \phi_h(\mathbf{d}_i)$$

- result: covariance of total energies is sum up atomic covariance functions

$$E = \sum_i \varepsilon_i + \text{long range}$$

- we have just defined a covariance function for total energies!

$$\begin{aligned} \langle E_N E_M \rangle &= \left\langle \sum_{i \in N} \varepsilon(\mathbf{d}_i) \sum_{j \in M} \varepsilon(\mathbf{d}_j) \right\rangle = \left\langle \sum_{i \in N} \sum_{j \in M} \sum_{hh'} w_h w_{h'} \phi_h(\mathbf{d}_i) \phi_{h'}(\mathbf{d}_j) \right\rangle = \\ &= \sum_{i \in N} \sum_{j \in M} \sum_{hh'} \langle w_h w_{h'} \rangle \phi_h(\mathbf{d}_i) \phi_{h'}(\mathbf{d}_j) = \sigma_w^2 \sum_{i \in N} \sum_{j \in M} \sum_h \phi_h(\mathbf{d}_i) \phi_h(\mathbf{d}_j) = \sigma_w^2 \sum_{i \in N} \sum_{j \in M} C(\mathbf{d}_i, \mathbf{d}_j) \end{aligned}$$



Gaussian Process to fit to derivatives

- compute the covariance between energies and forces/virials

$$\left\langle \frac{\partial E_N}{\partial \xi_k} E_M \right\rangle = \frac{\partial \langle E_N E_M \rangle}{\partial \xi_k} = \sigma_w^2 \sum_{i \in N} \sum_{j \in M} \nabla_{\mathbf{d}_i} C(\mathbf{d}_i, \mathbf{d}_j) \cdot \frac{\partial \mathbf{d}_i}{\partial \xi_k}$$

- or compute the covariances between forces/virials

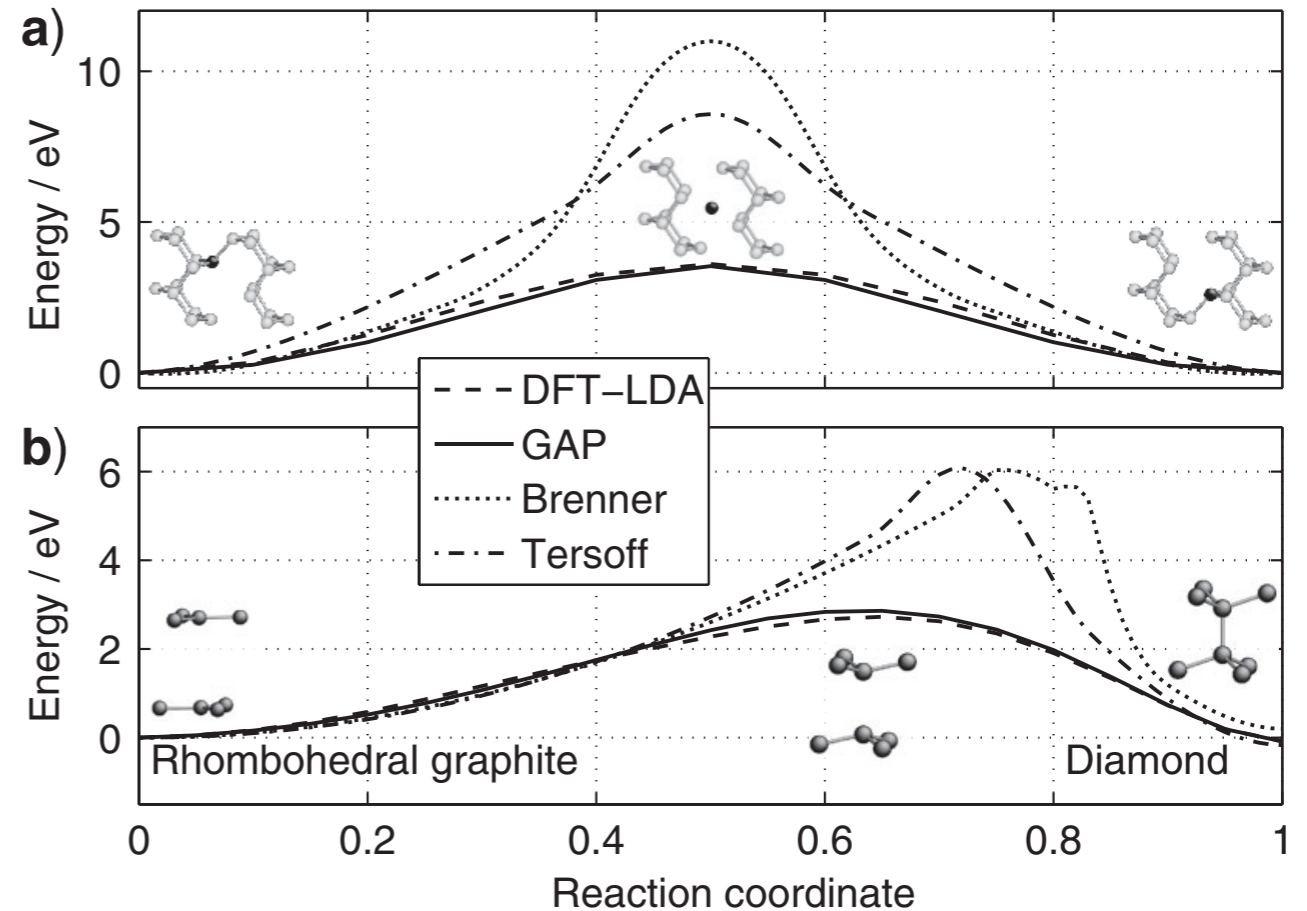
Cartesian coordinate or cell deformation

- new covariance functions of the same total energy model!

$$\left\langle \frac{\partial E_N}{\partial \xi_k} \frac{\partial E_M}{\partial \chi_l} \right\rangle = \frac{\partial^2 \langle E_N E_M \rangle}{\partial \xi_k \partial \chi_l} = \sigma_w^2 \sum_{i \in N} \sum_{j \in M} \frac{\partial \mathbf{d}_i^\top}{\partial \xi_k} (\nabla_{\mathbf{d}_i} C(\mathbf{d}_i, \mathbf{d}_j) \nabla_{\mathbf{d}_j}^\top) \frac{\partial \mathbf{d}_j}{\partial \chi_l}$$

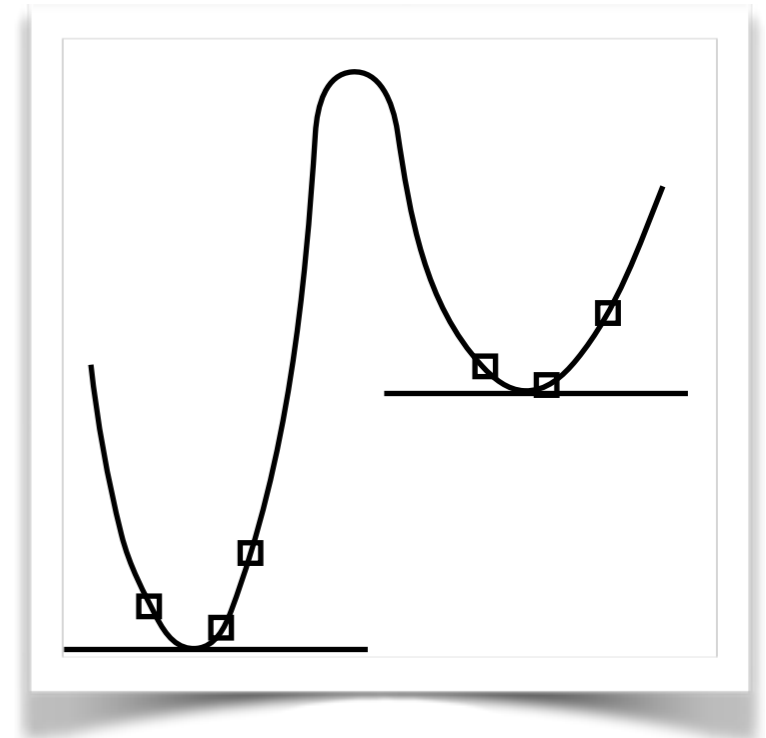
GP to fit energies *and* forces

- Database: graphite and diamond forces
- Phonons great, but energetics *not*

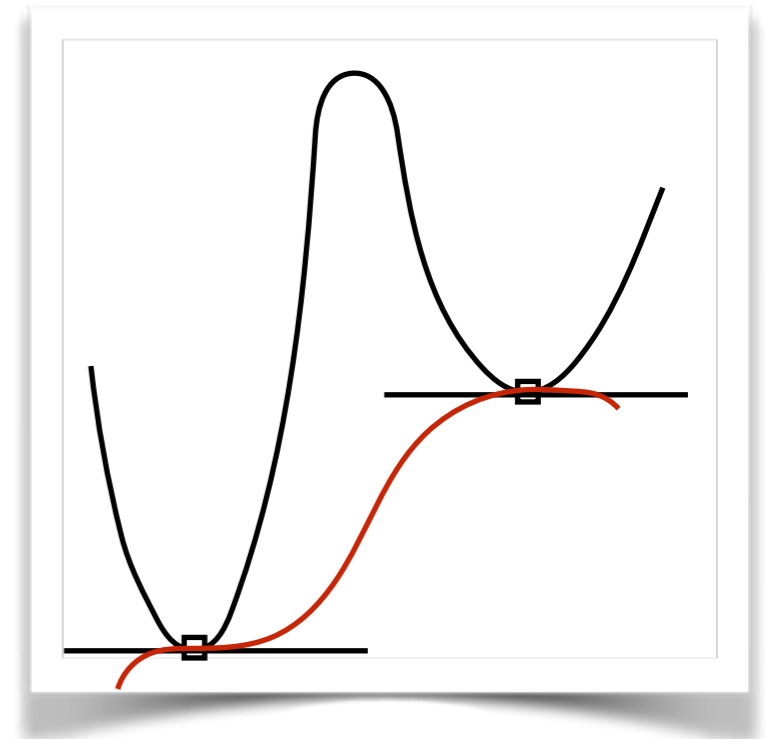


GP to fit energies *and* forces

- forces provide rich information on PES
- energies set scale *and* connect minima correctly
- viral stresses capture the really soft response to deformation



GP to fit to second derivatives?



- if learning from minima - forces not very helpful
- second derivatives provide even richer information than first derivatives
- covariances must be a nightmare to derive!



GP to fit the Hessian

$$E = E_0 + \sum_{i\alpha} \frac{\partial E}{\partial r_{i\alpha}} u_{i\alpha} + \frac{1}{2} \sum_{i\alpha j\beta} \frac{\partial^2 E}{\partial r_{i\alpha} \partial r_{j\beta}} u_{i\alpha} u_{j\beta}$$

- harmonic approximation to the total energy

$$E = E_0 - \mathbf{f}_0 \cdot \mathbf{u} + \frac{1}{2} \mathbf{u}^T \mathbf{H}_0 \mathbf{u}$$

- diagonalise the Hessian

$$\mathbf{H}_0 = \mathbf{E} \mathbf{\Lambda} \mathbf{E}^T = \sum_k \lambda_k \mathbf{e}_k \mathbf{e}_k^T$$

- obtain forces in the harmonic approximation when displacements are along an eigenvector

$$\mathbf{f}_i^\pm = \mathbf{f}_0 \mp \Delta \mathbf{H}_0 \mathbf{e}_i = \mathbf{f}_0 \mp \Delta \lambda_i \mathbf{e}_i$$



GP to fit the Hessian

- equilibrium forces cancel if done in both directions

$$\mathbf{f}_i^\pm = \mathbf{f}_0 \mp \Delta \mathbf{H}_0 \mathbf{e}_i = \mathbf{f}_0 \mp \Delta \lambda_i \mathbf{e}_i$$

- formula for the Hessian eigenvalues

$$\lambda_i = \frac{\mathbf{e}_i^T (\mathbf{f}_i^- - \mathbf{f}_i^+)}{2\Delta}$$

- covariance of Hessian eigenvalue with local energy terms - simple, and no need for new formula!

$$\langle \lambda_i \varepsilon \rangle = \frac{\mathbf{e}_i^T}{2\Delta} (\langle \mathbf{f}_i^- \varepsilon \rangle - \langle \mathbf{f}_i^+ \varepsilon \rangle)$$

- essentially an optimal (in some way) numerical differentiation



Putting it together

- how to use all these kernel functions?
- put it all in a large covariance matrix - everything!
- this provides a unified model fitting all the data
- a bit too large? Use sparsification!

Quiñonero-Candela and Rasmussen JMLR **6**, 1939 (2005)



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Sparsification

- Training involves matrix “inversion”, $O(N^3)$
- Prediction is $O(N)$
- but atomic configurations are *very* correlated
- possible to approximate \mathbf{C} by a low-rank expression
- choose M representative data points
- Training complexity: $O(NM^2)$
- Prediction: $O(M)$

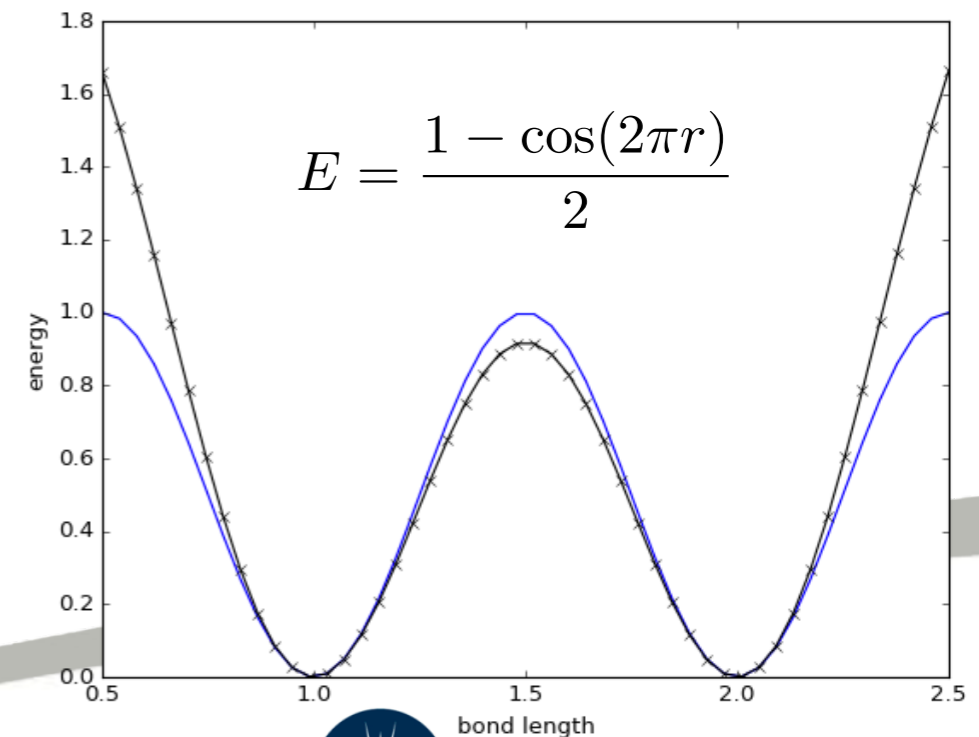
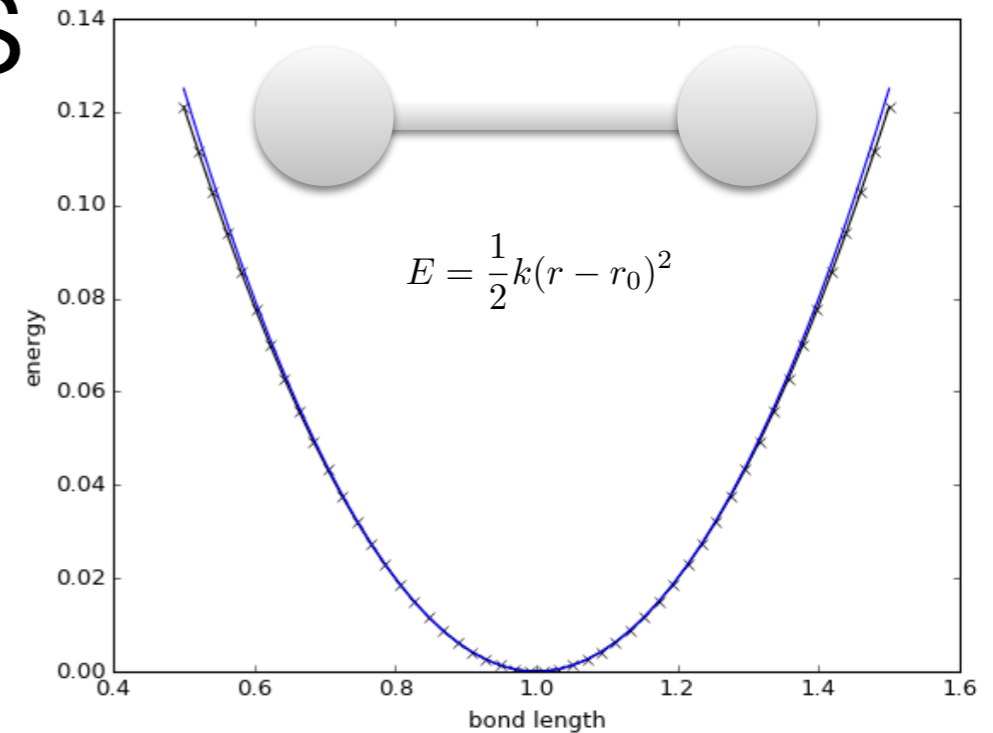
$$f = \mathbf{k}^\top \mathbf{C}^{-1} \mathbf{f}$$

$$\mathbf{C}_{NN} = \mathbf{C}_{NM} \mathbf{C}_{MM}^{-1} \mathbf{C}_{MN}$$



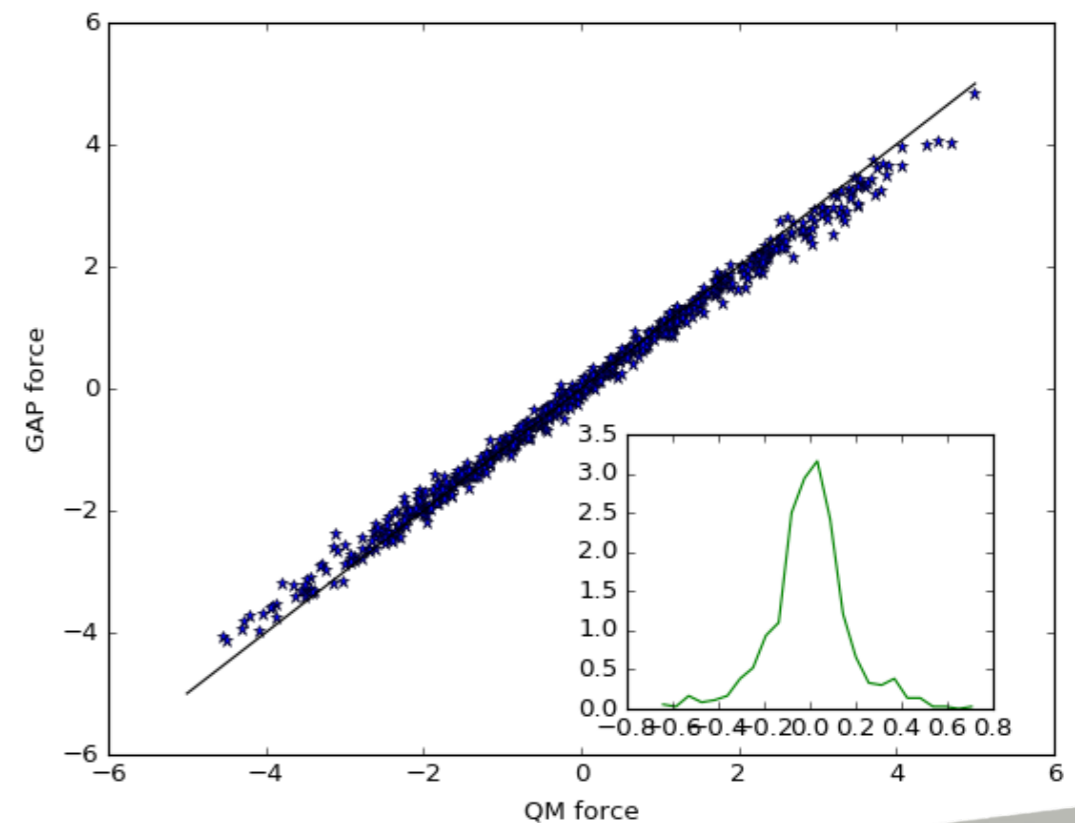
GP to fit the Hessian: Results

- toy model:
 - harmonic spring between two atoms
 - one teaching point at the minimum
- toy model II:
 - sinusoidal spring with two minima
 - two teaching points



GP to fit the Hessian: Results

- 8-atom cubic silicon cell with 21 Hessian eigenvalues
- test forces on 216-atom cell, randomised positions



GP fit to charges

- fitting charges
- training data: electrostatic potential around the molecule

$$q(\mathbf{d}) = \sum_h w_h \phi_h(\mathbf{d})$$

$$\Phi(\mathbf{r}) = \sum_i \frac{q(\mathbf{d}_i)}{|\mathbf{r} - \mathbf{r}_i|}$$

- environment-dependent ESP charge fitting

$$\langle \Phi(\mathbf{r}) \Phi(\mathbf{r}') \rangle = \sum_{ij} \frac{C(\mathbf{d}_i, \mathbf{d}_j)}{|\mathbf{r} - \mathbf{r}_i| \cdot |\mathbf{r}' - \mathbf{r}_j|}$$

- essentially a regularisation!

GP fit to charges

	methanol	ethanol	acetone
O	-0.69	-0.70	-0.58
H _o	0.43	0.40 (0.41)	
C _o	0.29	0.49 (0.46)	0.80
C _β		-0.34 (-0.29)	-0.51



What is the kernel?

- pair-based kernel: distance
- three-body kernel: symmetrised distances
- many-body kernel: SOAP



Fitting multiple models

- Example: total energy is sum of pair and triplets
- each term is a GP itself
- covariance is a sum pair and triplet covariances

$$E = \sum_{p \in \text{pairs}} \varepsilon_p^{(2)} + \sum_{t \in \text{triplets}} \varepsilon_t^{(3)}$$

$$\varepsilon^{(2)}(\cdot\cdot) = \sum_h w_h^{(2)} \phi_h^{(2)}(\cdot\cdot)$$

$$\varepsilon^{(3)}(\cdot\cdot\cdot) = \sum_h w_h^{(3)} \phi_h^{(3)}(\cdot\cdot\cdot)$$

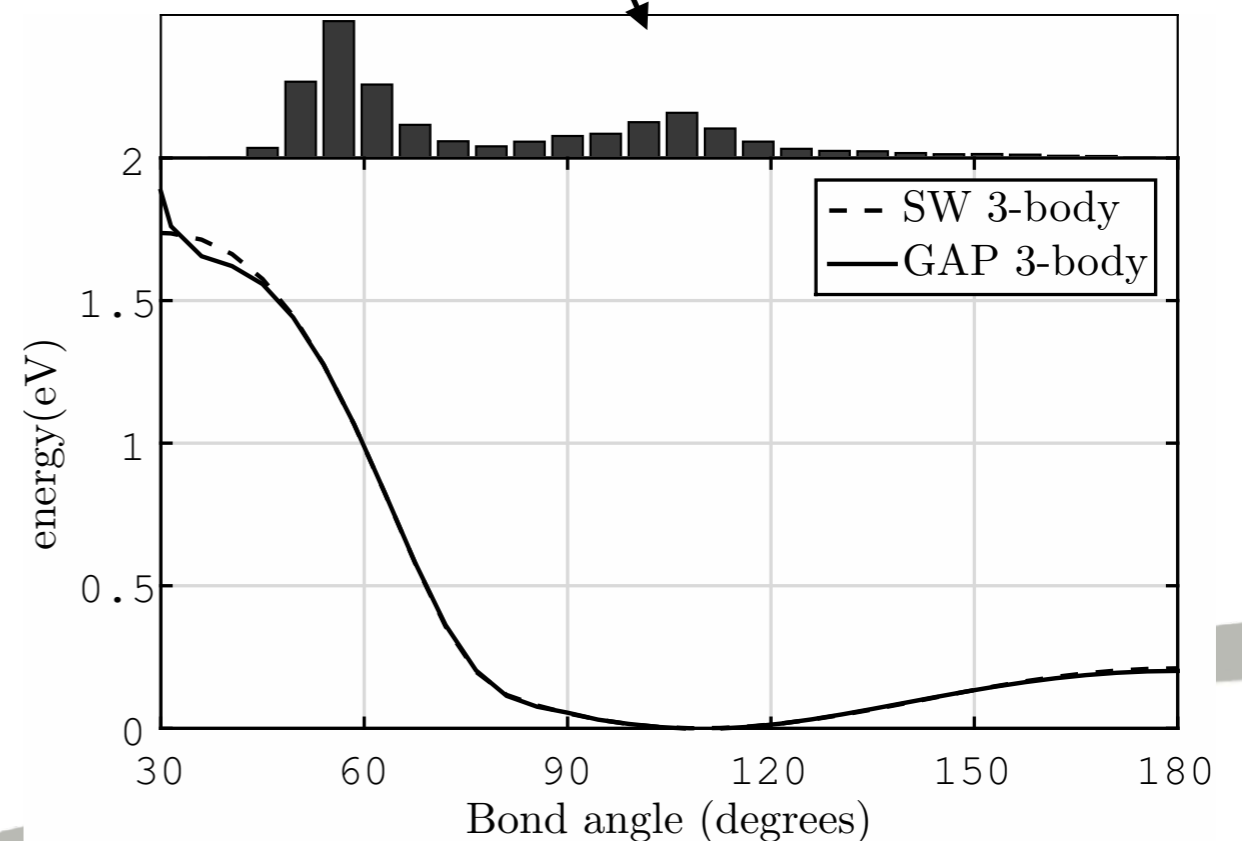
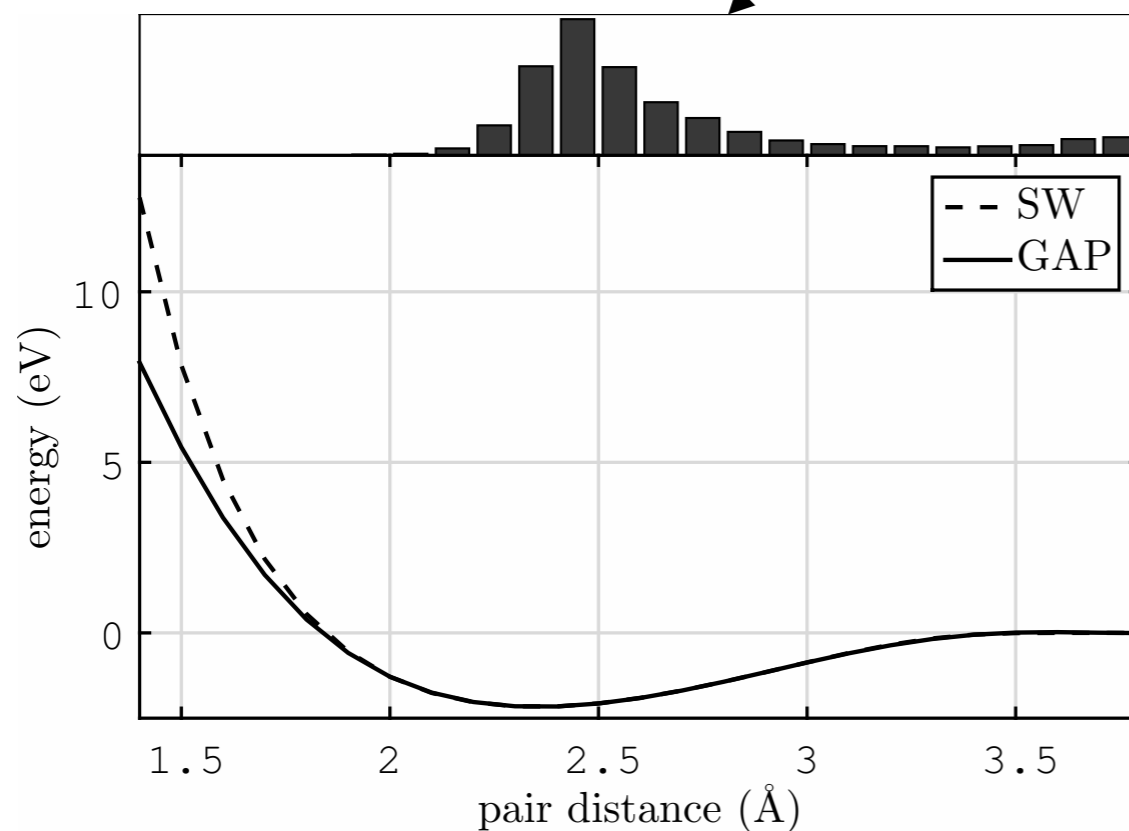
$$\langle E_N E_M \rangle = \sigma_{w^{(2)}}^2 \sum_{p \in \text{pairs}_N} \sum_{q \in \text{pairs}_M} C^{(2)}(p, q) + \sigma_{w^{(3)}}^2 \sum_{t \in \text{triplets}_N} \sum_{u \in \text{triplets}_M} C^{(3)}(t, u)$$



GAP fitting of multiple models

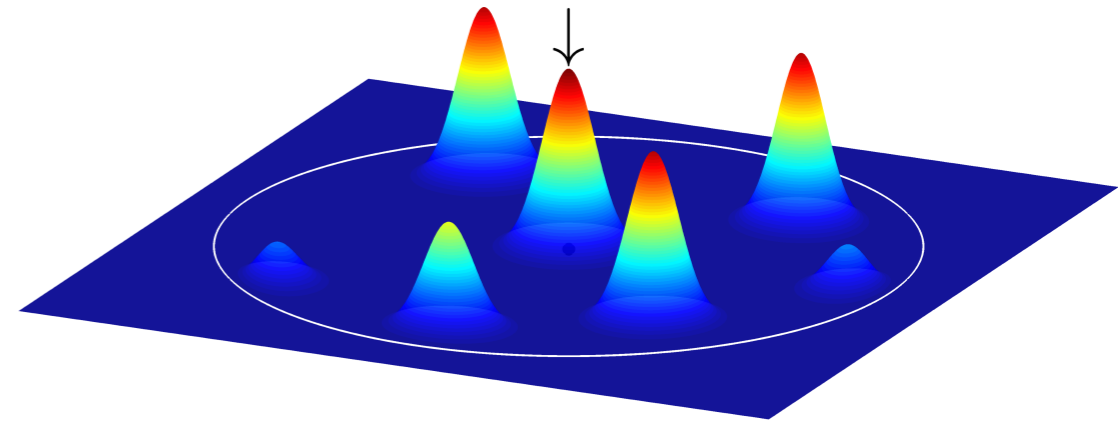
Fit of a two and three-body model on Si SW-data

$$\langle E_N E_M \rangle = \sigma_{w(2)}^2 \sum_{p \in \text{pairs}_N} \sum_{q \in \text{pairs}_M} C^{(2)}(p, q) + \sigma_{w(3)}^2 \sum_{t \in \text{triplets}_N} \sum_{u \in \text{triplets}_M} C^{(3)}(t, u)$$



Many-body kernel

- represent atomic neighbourhood environment by atomic density
- atomic energy is a linear functional of density
- distribution of weights is Gaussian
- kernel becomes the overlap



$$\rho_i(\mathbf{r}) \equiv \sum_j^{\text{neigh.}} \exp\left(-\frac{|\mathbf{r} - \mathbf{r}_{ij}|^2}{2\sigma_{\text{atom}}^2}\right)$$

$$\varepsilon_i = \varepsilon[\rho_i] = \int w(\mathbf{r})\rho_i(\mathbf{r})d\mathbf{r}$$

$$\langle w(\mathbf{r})w(\mathbf{r}') \rangle = \delta(\mathbf{r} - \mathbf{r}')\sigma_w^2$$

$$C(\rho_i, \rho_j) = \langle \varepsilon_i \varepsilon_j \rangle = \left\langle \int w(\mathbf{r})\rho_i(\mathbf{r})w(\mathbf{r}')\rho_j(\mathbf{r}')d\mathbf{r}d\mathbf{r}' \right\rangle = \sigma_w^2 \int \rho_i(\mathbf{r})\rho_j(\mathbf{r})d\mathbf{r}$$



Many-body kernel

- kernel needs to be rotationally invariant
- integrate over all possible rotations
- normalise
- it can be done analytically

$$C'(\rho_i, \rho_j) = \int |C(\rho_i, \hat{R}\rho_j)|^p d\hat{R}$$

$$C''(\rho_i, \rho_j) = \frac{C'(\rho_i, \rho_j)}{\sqrt{C'(\rho_i, \rho_i)}\sqrt{C'(\rho_j, \rho_j)}}$$

$$\rho_i(\mathbf{r}) = \sum_{nlm} c_{nlm}^{(i)} g_n(r) Y_{lm}(\hat{\mathbf{r}})$$

$$p_{nn'l}^{(i)} \equiv \frac{1}{\sqrt{2l+1}} \sum_m c_{nlm}^{(i)} (c_{n'l m}^{(i)})^*$$

$$C'(\rho_i, \rho_j) = \sum_{n,n',l} p_{nn'l}^{(i)} p_{nn'l}^{(j)}$$



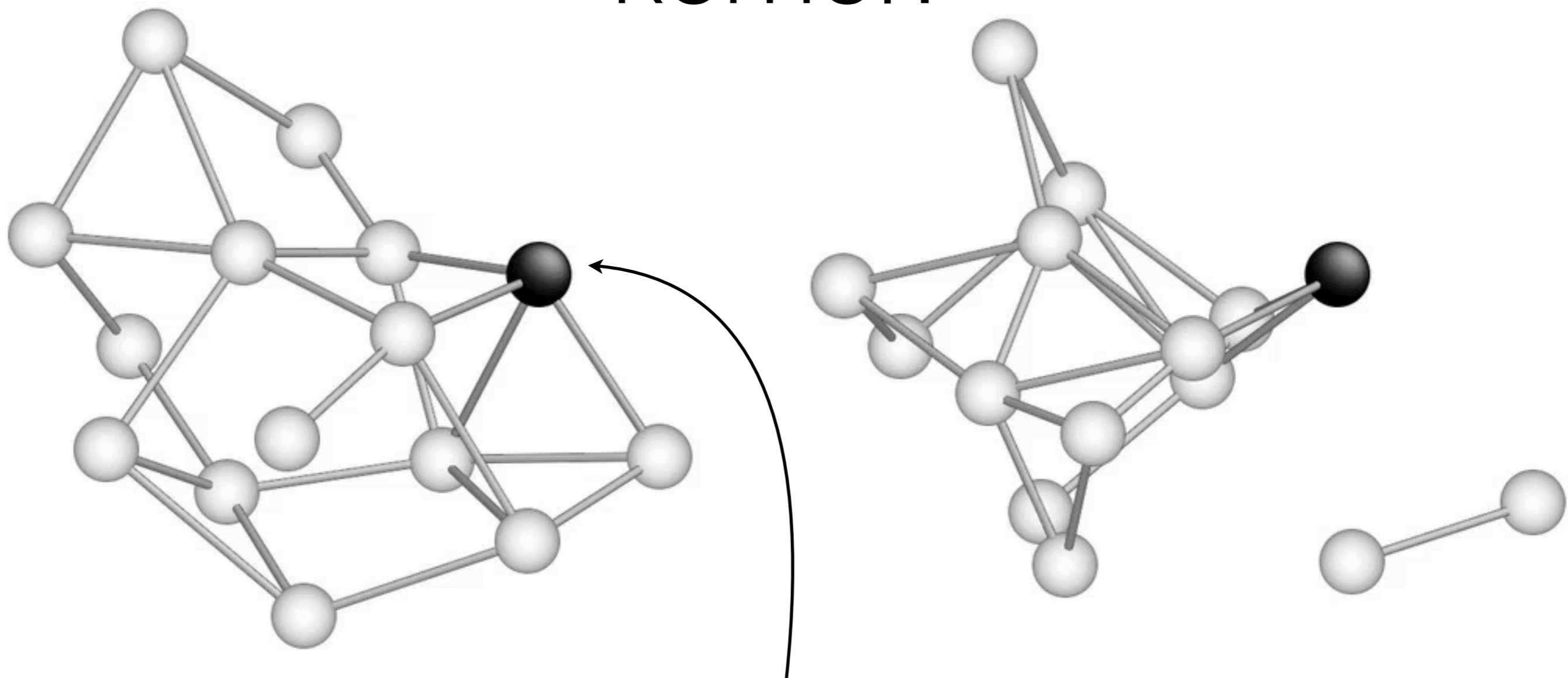
Is SOAP a good similarity kernel?

- can we find two genuinely different configurations which are yet deemed equivalent? $\exists i, j$ where $\rho_i \neq \rho_j$ such that $C''(\rho_i, \rho_j) = 1$?
- no analytic proof
- numerical experiments: match an atomic density to a reference density

$$\operatorname{argmax}_{\rho} C''(\rho, \rho')$$



Is SOAP a good similarity kernel?



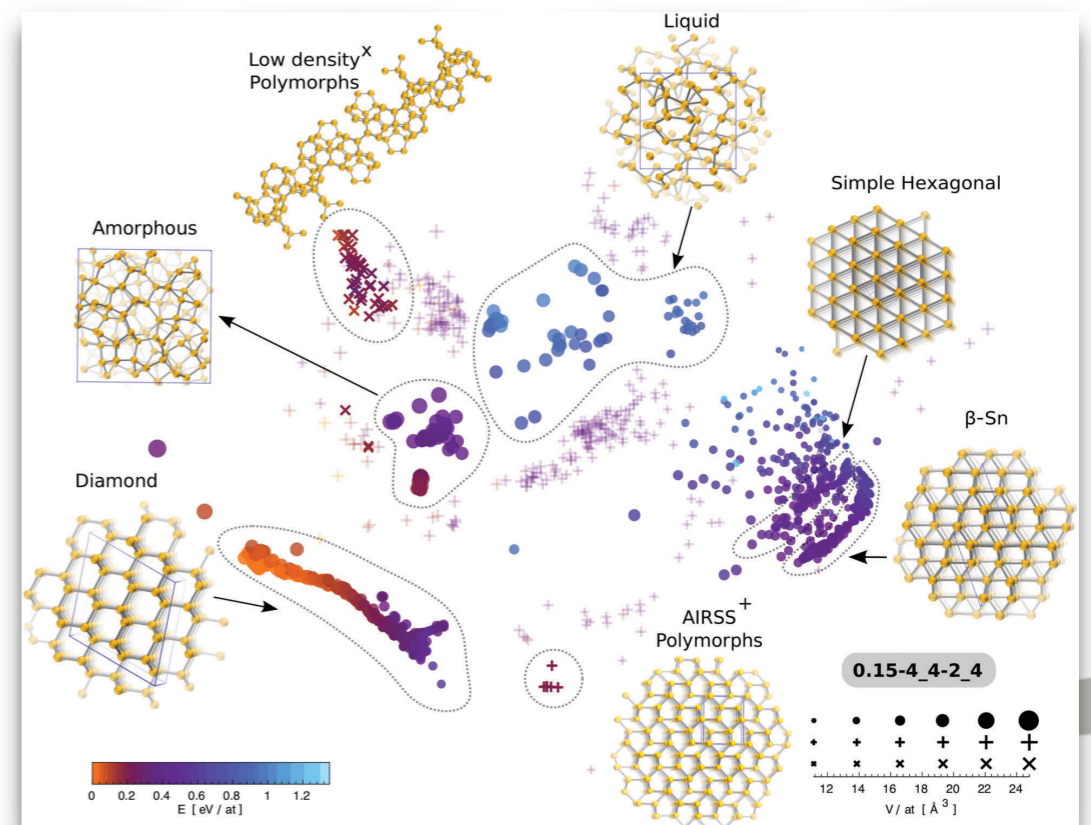
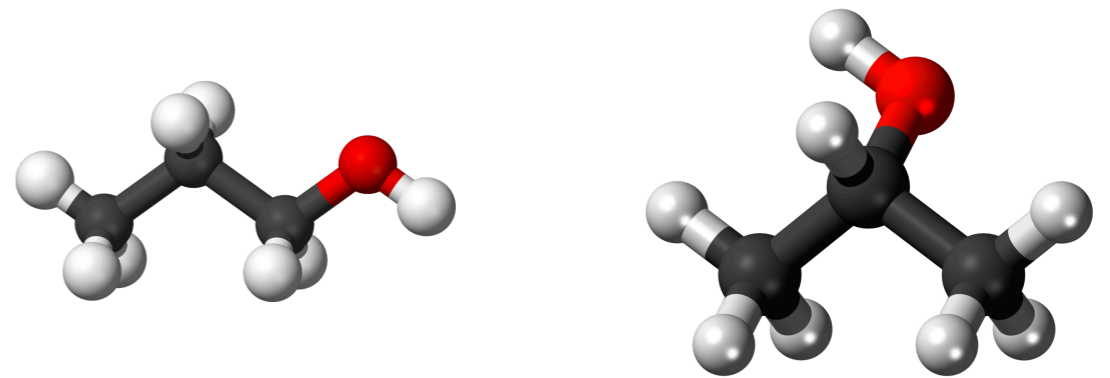
“central atom”



SOAP for structure comparison

work with Sandip De and Michele Ceriotti

- SOAP provides a similarity measure between atoms
- use it to compare two molecules or structures
- build a matrix from all pairwise SOAP kernels
- average the elements
- find the best match



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