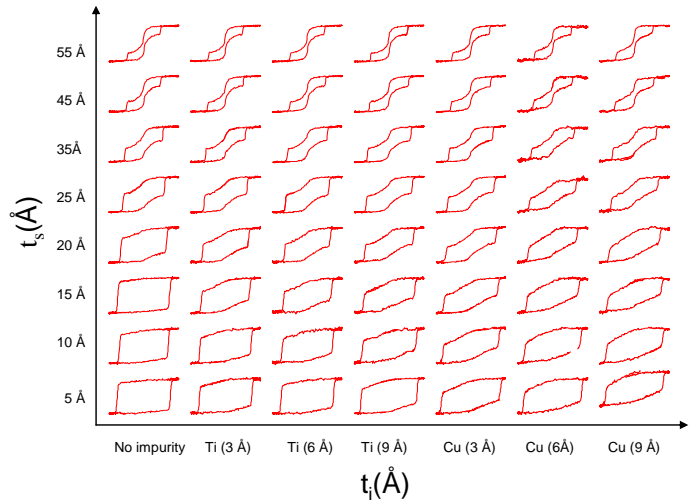
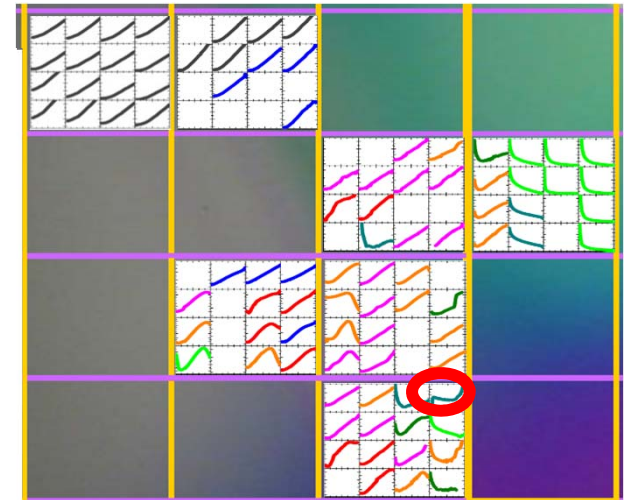
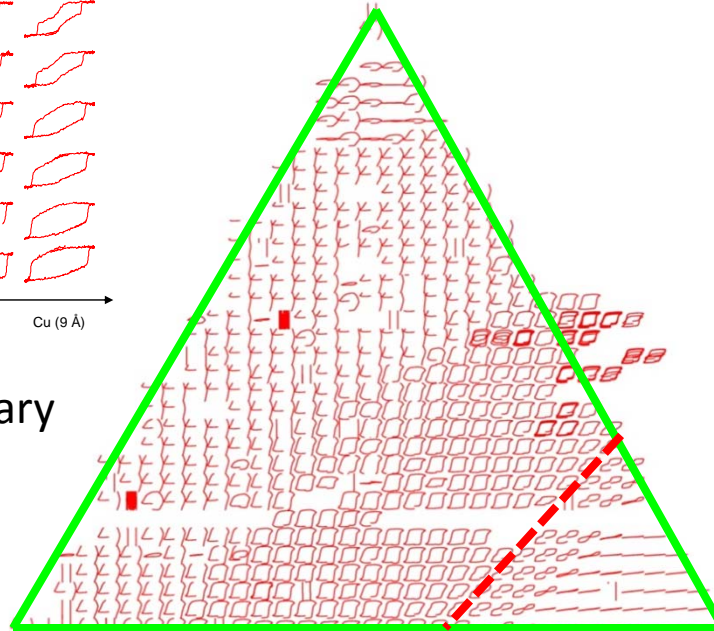


# High-throughput Experimentation and Machine Learning for Materials Discovery



Permanent magnet library

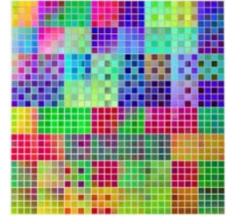
Ferroelectric library



Superconductor library



**Ichiro Takeuchi**  
**University of Maryland**

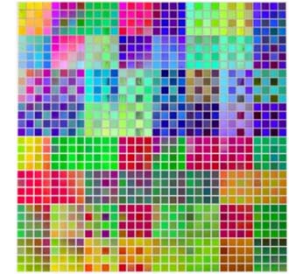


# Outline

- **Combinatorial approach to materials discovery: mapping of complex energy and property landscapes**
- **Search for new superconductors and supervised machine learning of experimental database**
- **Structural phase distribution mapping: unsupervised machine learning of diffraction data**
- **Active learning is the future of high-throughput experimentation**



# Acknowledgement



## **Univ. of Maryland**

V. Stanev

T. Gao

Y. Iwasaki

R. Greene

## **NIST**

A. G. Kusne

## **SLAC**

A. Mehta

## **Duke University**

S. Curtarolo

## **Support**

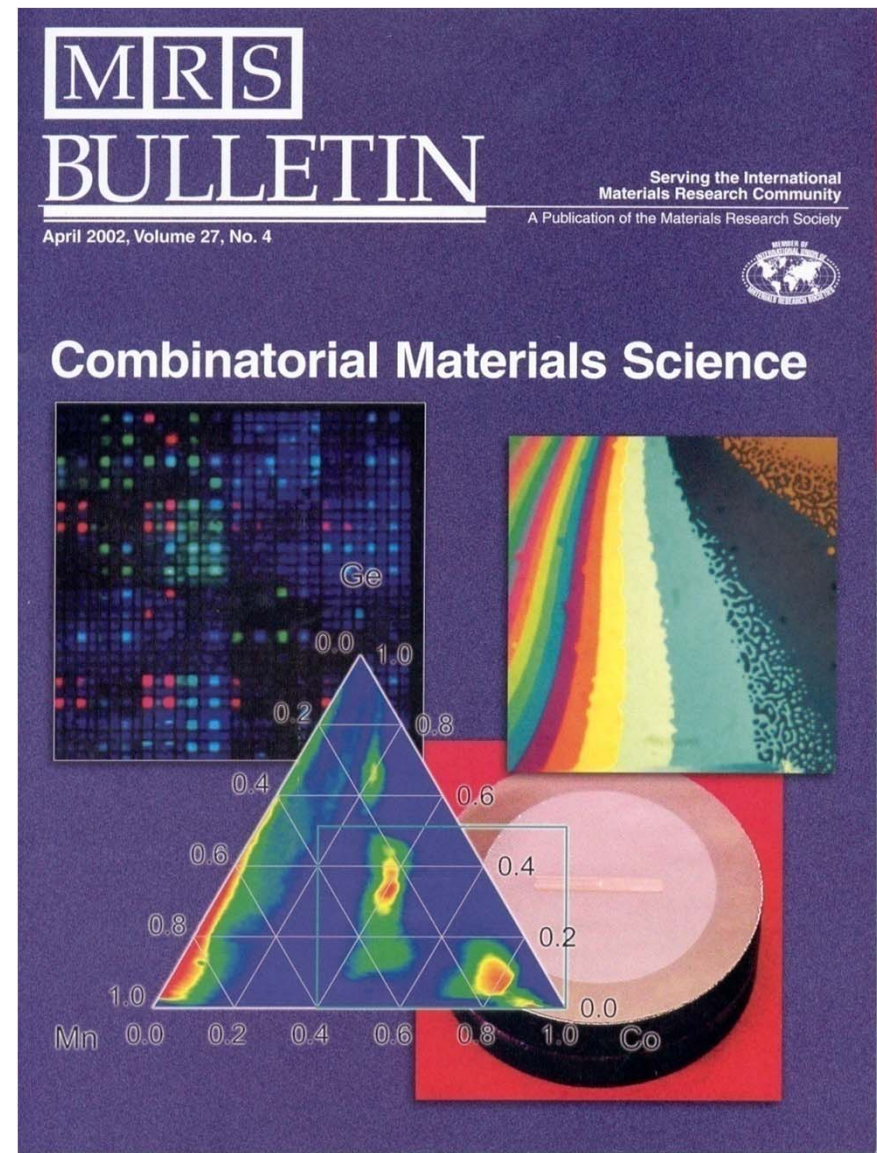
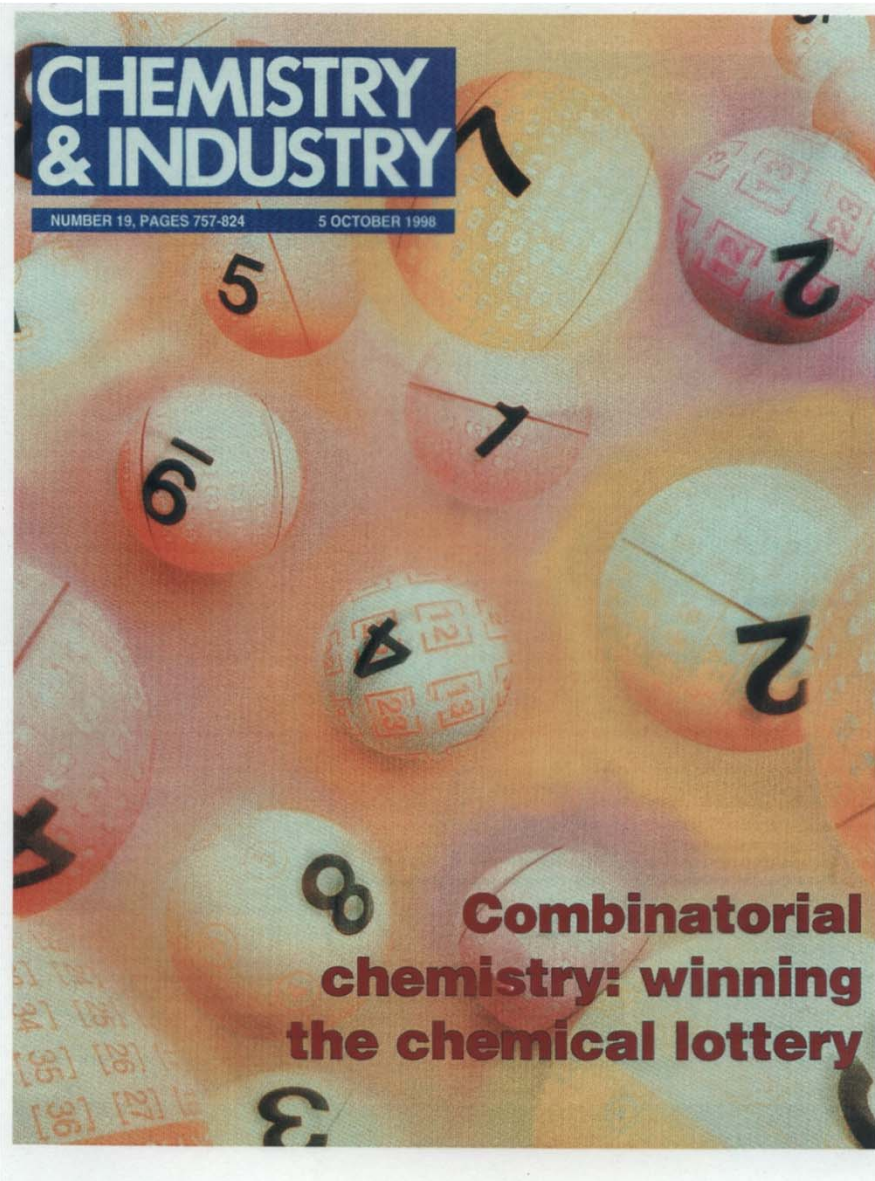
ONR, AFOSR, DOE



**MANY AT A TIME** Program head Andreas Marzinik (front to back) and lab specialists Raphael Gattlen and Urs Rindisbacher of Novartis Pharma AG, Basel, Switzerland, pipette coupling reagent into 96-well reaction blocks.

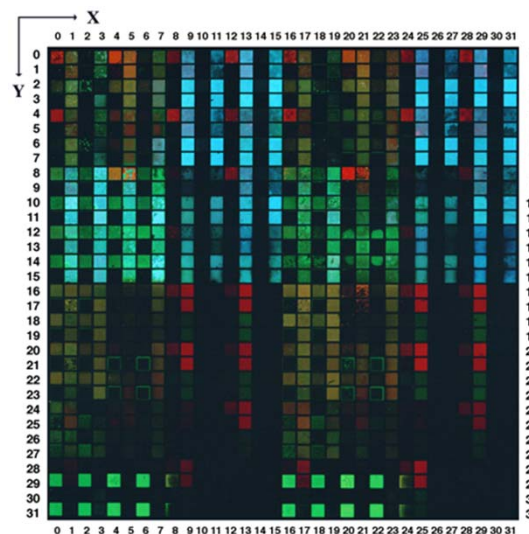
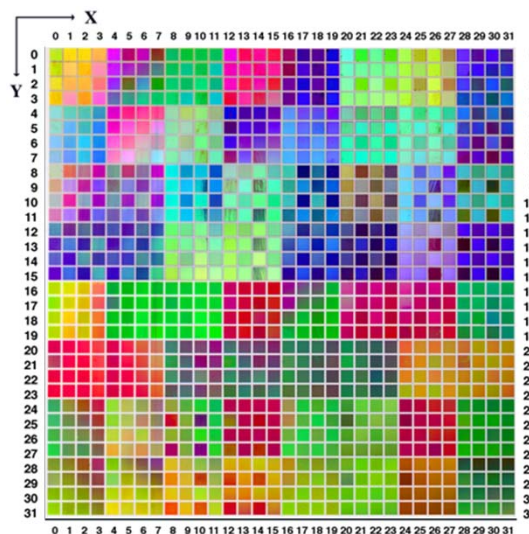
# COMBINATORIAL CHEMISTRY

Chemical &  
Engineering  
News,  
August 2001

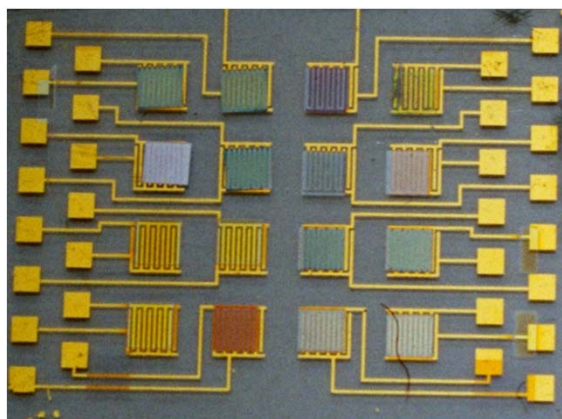




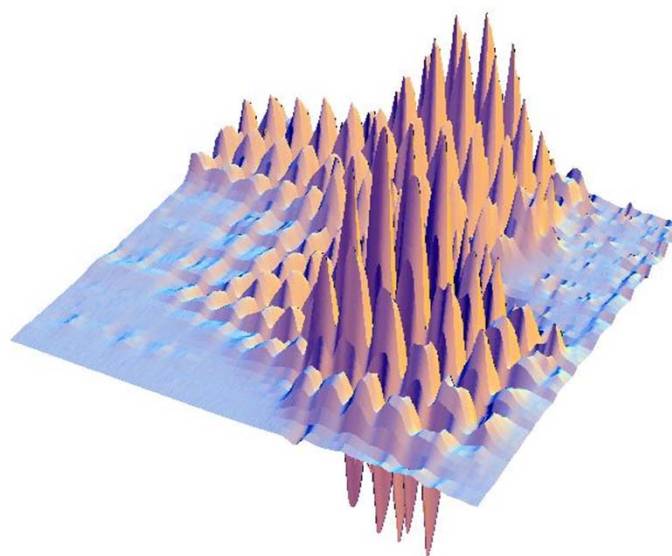
# Combinatorial Libraries of Inorganic Materials



Luminescent materials libraries, *Science* **279**, 1712 (1998)



Semiconductor gas sensor library, “electronic nose”, *Appl. Phys. Lett.* **83**, 1255 (2003)



Magnetic shape memory alloy library, *Nature Materials* **2**, 180 (2003)

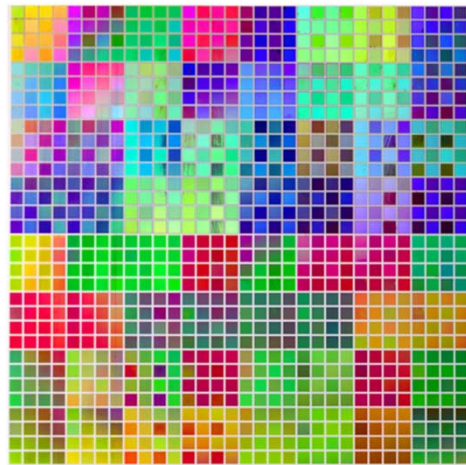


# Various combinatorial experimental designs:

**discrete libraries**

**vs**

**composition spreads**



**Composition A**

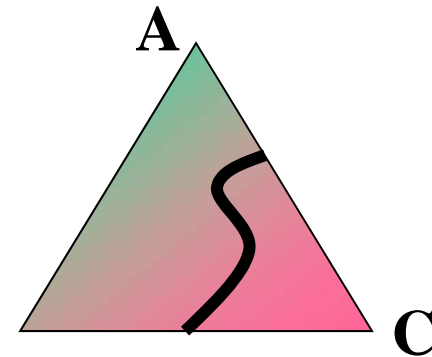
**B**



**A**

**B**

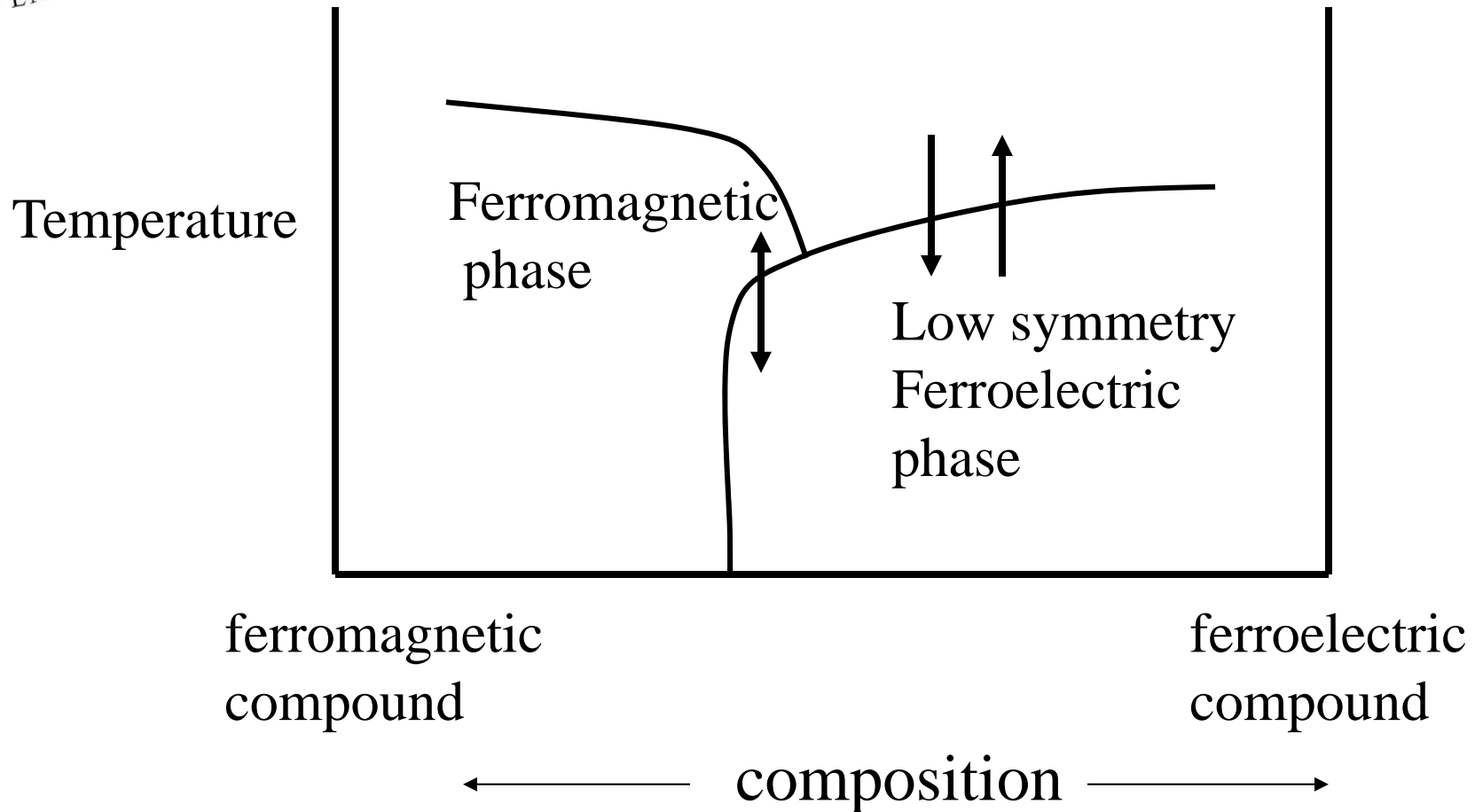
**C**



- **Composition spreads allow continuous mapping of physical properties and phase boundaries**
- **Run to run variation in ordinary experiments is removed**



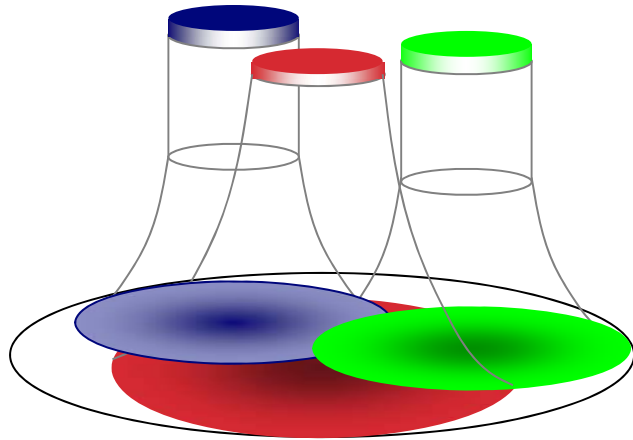
# Exploration of Interesting Phase Boundaries



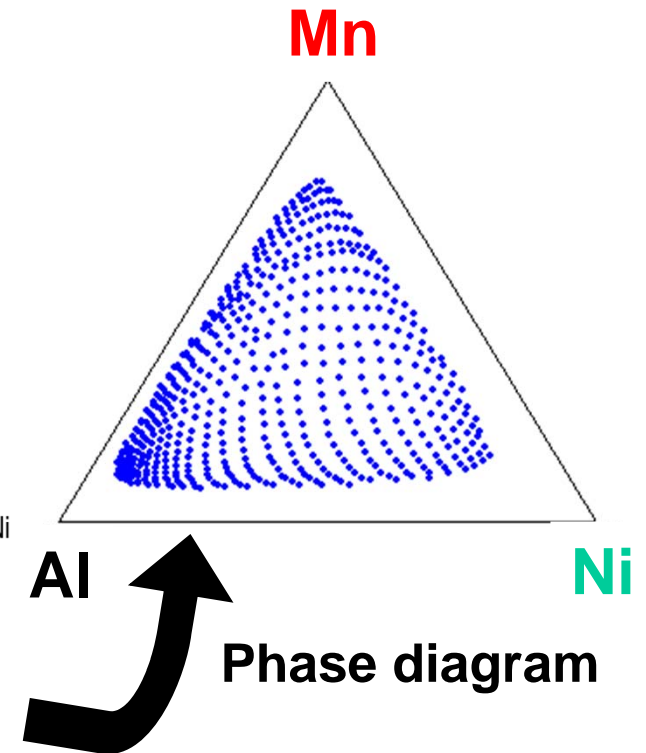
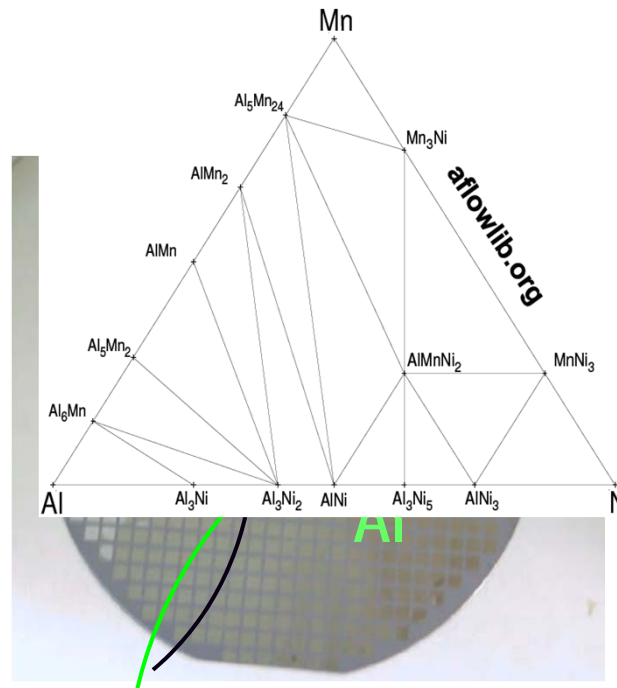
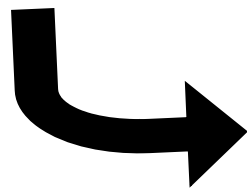
Shape memory alloys, piezoelectric materials, multiferroic materials, magnetostrictive materials, etc.



# Composition Spreads of Ternary Metallic Alloy Systems



Co-sputtering scheme



Composition is mapped using an electron probe (WDS)

Review article: Green *et al.*, JAP **113**, 231101 (2013)



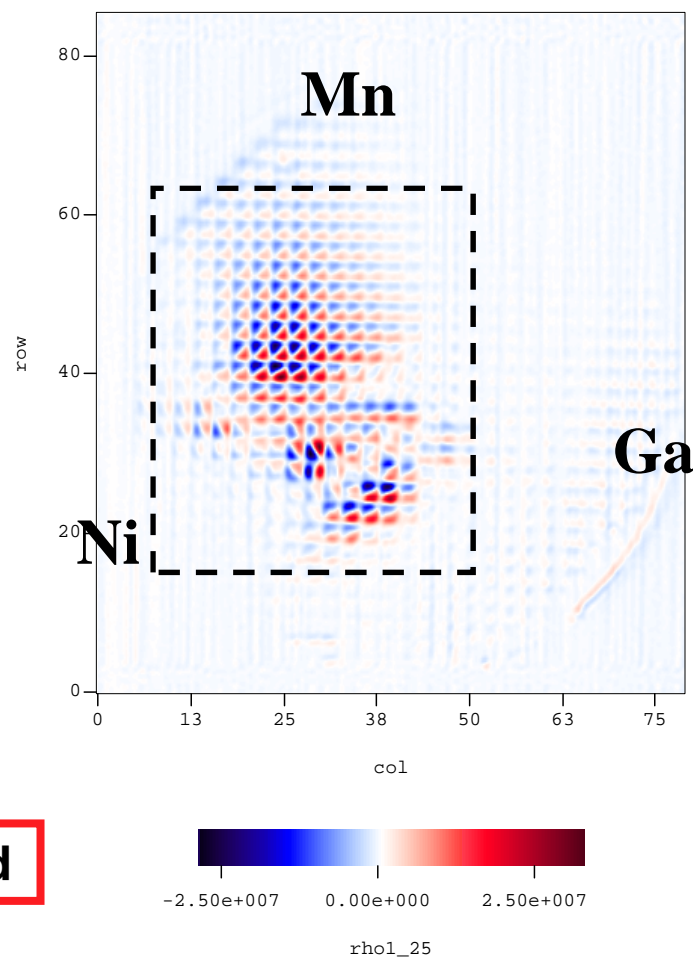
# Rapid mapping of magnetic properties: scanning SQUID



SQUID assembly  
inside vacuum

**Room temperature samples are measured**

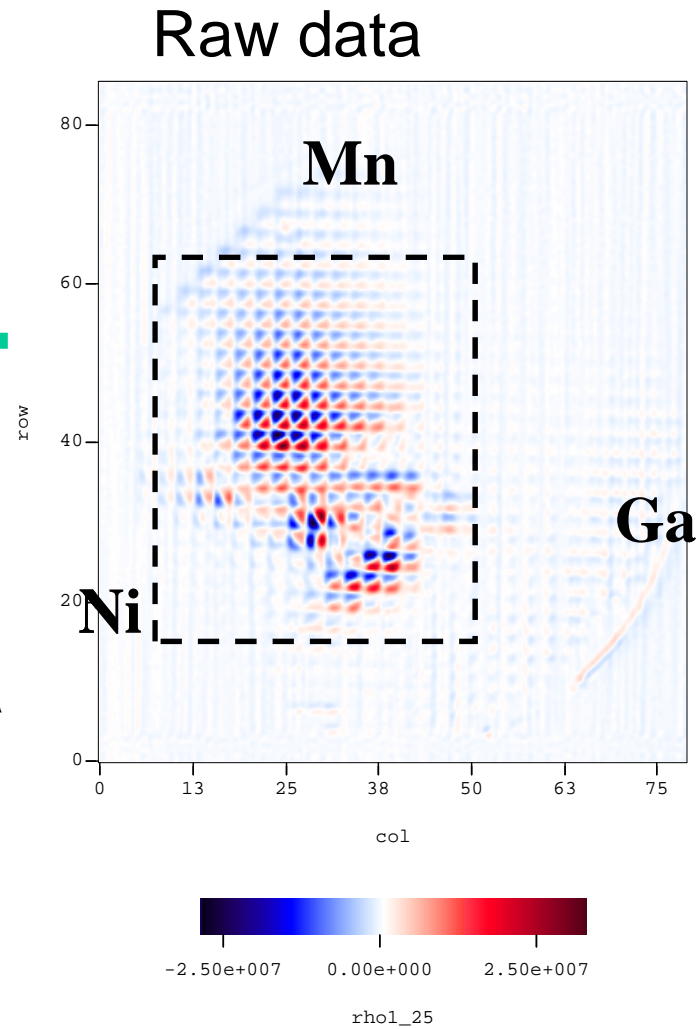
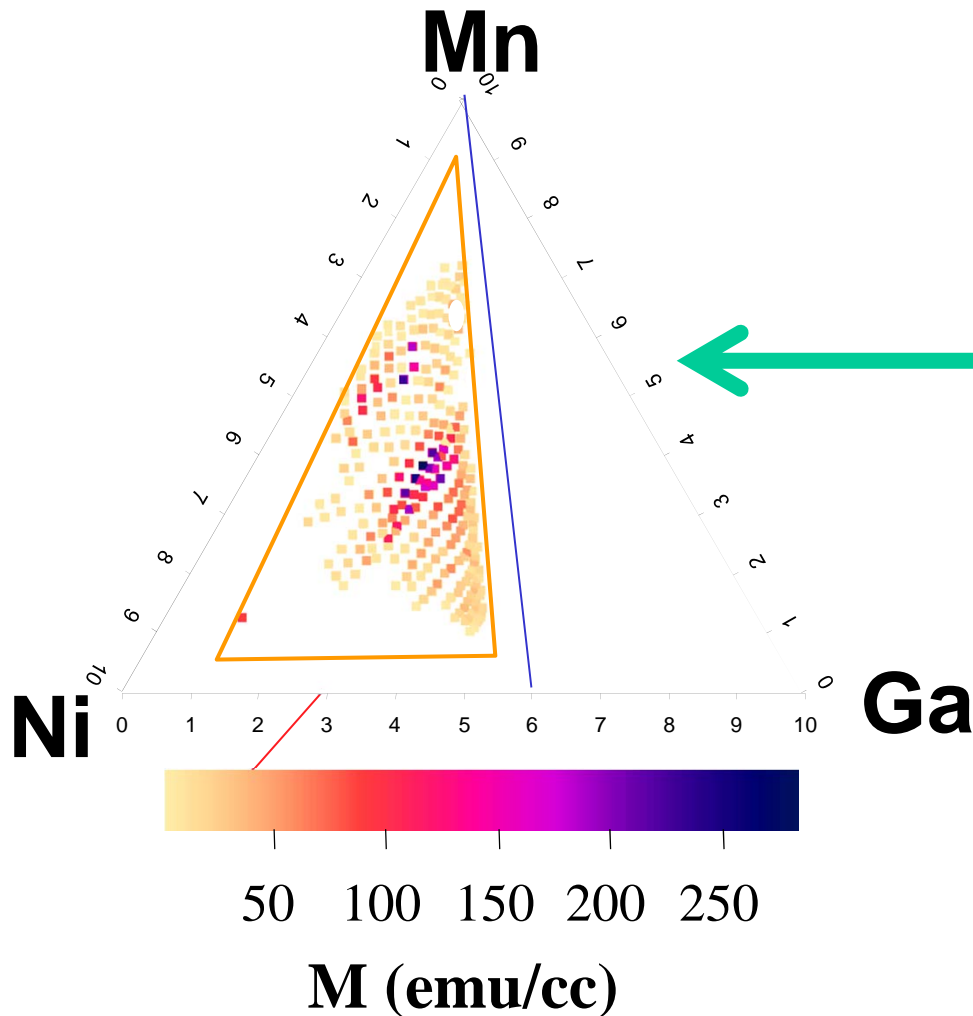
Raw data



Nature Materials **2**, 180 (2003)



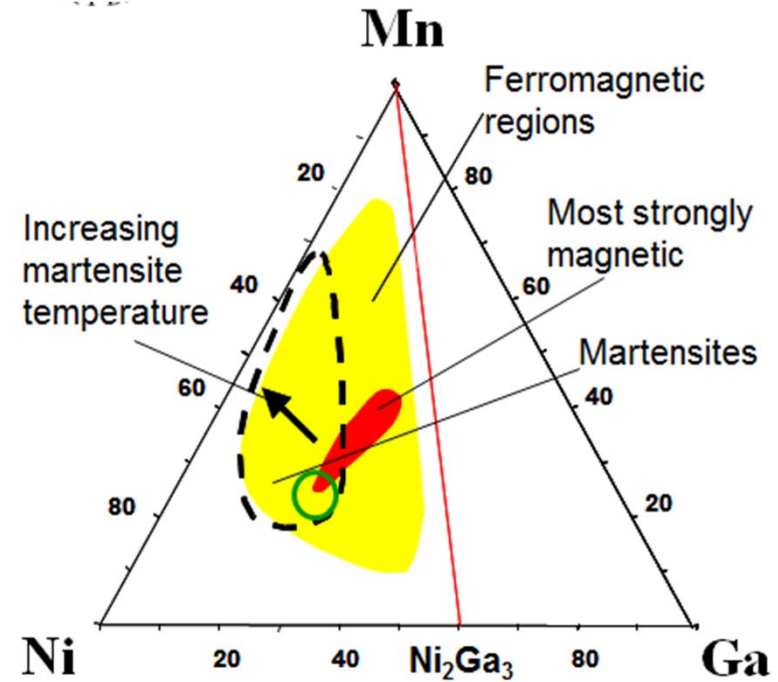
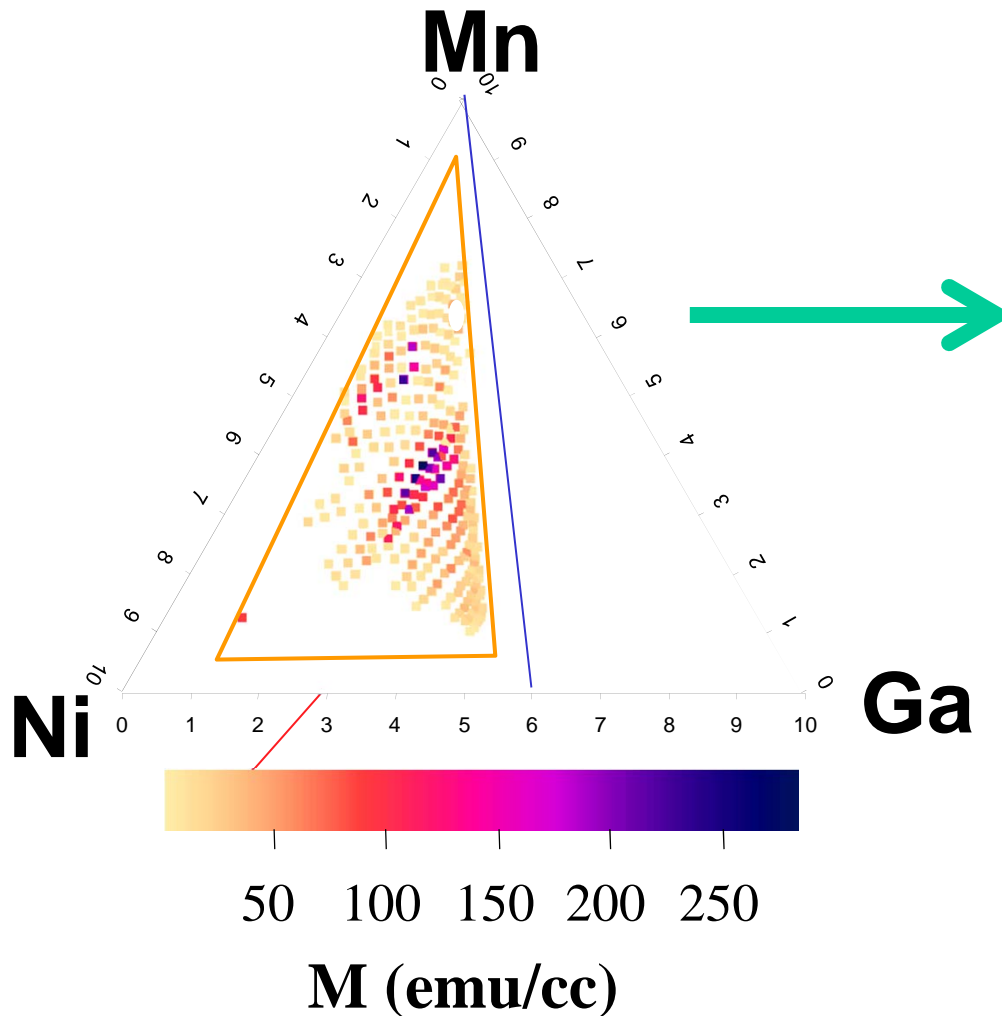
# Rapid mapping of magnetic properties: scanning SQUID



Nature Materials **2**, 180 (2003)



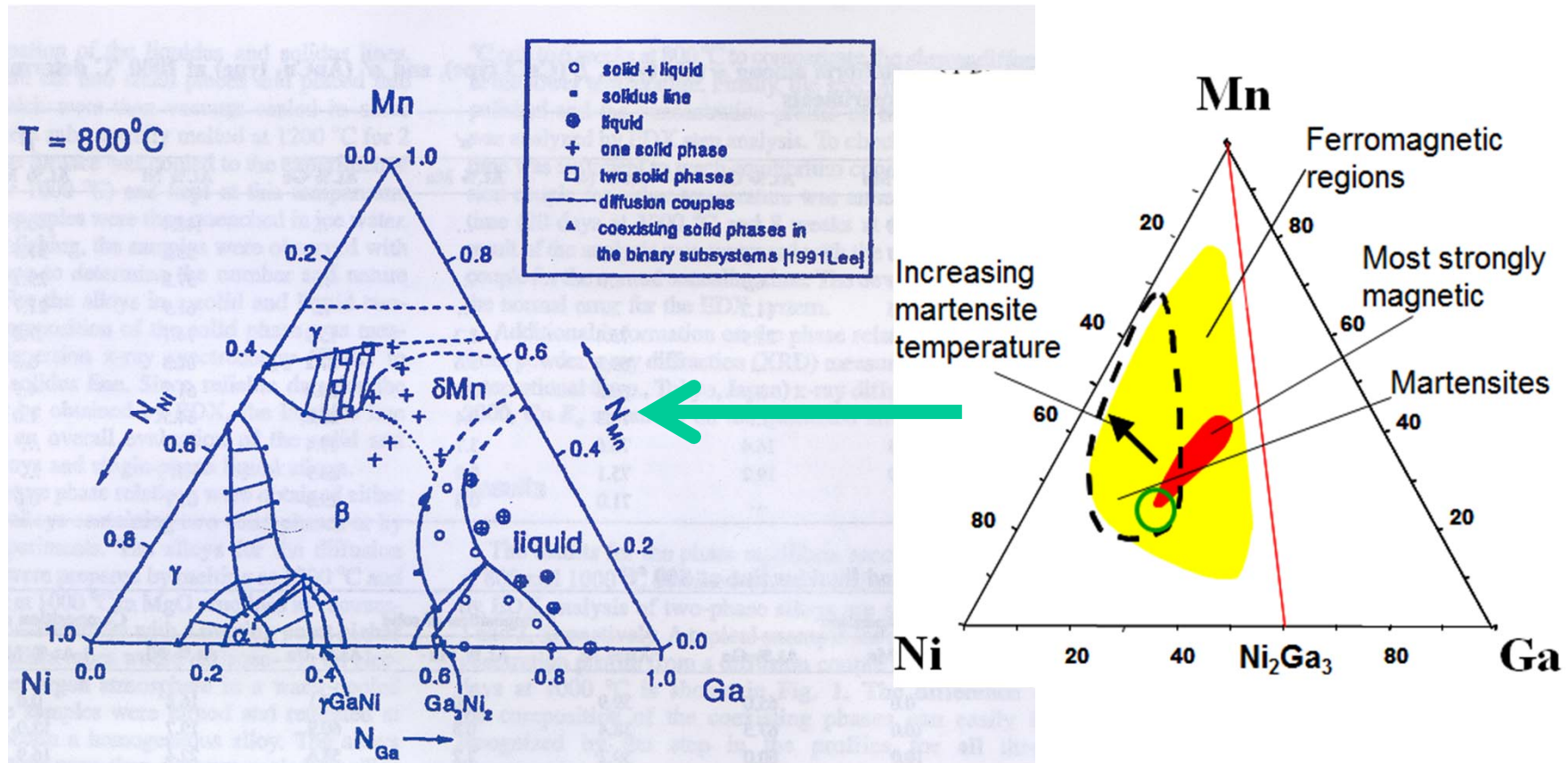
# Rapid mapping of magnetic properties: constructing functional phase diagram



Nature Materials **2**, 180 (2003)



# Rapid mapping of magnetic properties: comparison with phase diagram



C. Wedel and K. Itagaki,  
Journal of Phase Equilibria 22, 324 (2001)

Nature Materials 2, 180 (2003)

# Targeting superconductors predicted by theory

Possible high-temperature superconductors predicted from electronic structure and data-filtering algorithms

M. Klintonberg, O. Eriksson \*

Department of Physics and Astronomy, Uppsala University, Box 516, SE-75120 Uppsala, Sweden

Computational Materials Science 67 (2013) 282–286

PRL 105, 217003 (2010)

PHYSICAL REVIEW LETTERS

week ending  
19 NOVEMBER 2010

Prediction:  
 $\text{FeB}_4$  is a  
superconductor  
with  $T_c \sim 15\text{-}20\text{ K}$

## New Superconducting and Semiconducting Fe-B Compounds Predicted with an *Ab Initio* Evolutionary Search

A. N. Kolmogorov,<sup>1</sup> S. Shah,<sup>1</sup> E. R. Margine,<sup>1</sup> A. F. Bialon,<sup>2</sup> T. Hammerschmidt,<sup>2</sup> and R. Drautz<sup>2</sup>

<sup>1</sup>Department of Materials, University of Oxford, Parks Road, Oxford OX1 3PH, United Kingdom

<sup>2</sup>Atomistic Modelling and Simulation, ICAMS, Ruhr-Universität Bochum, D-44801 Bochum, Germany

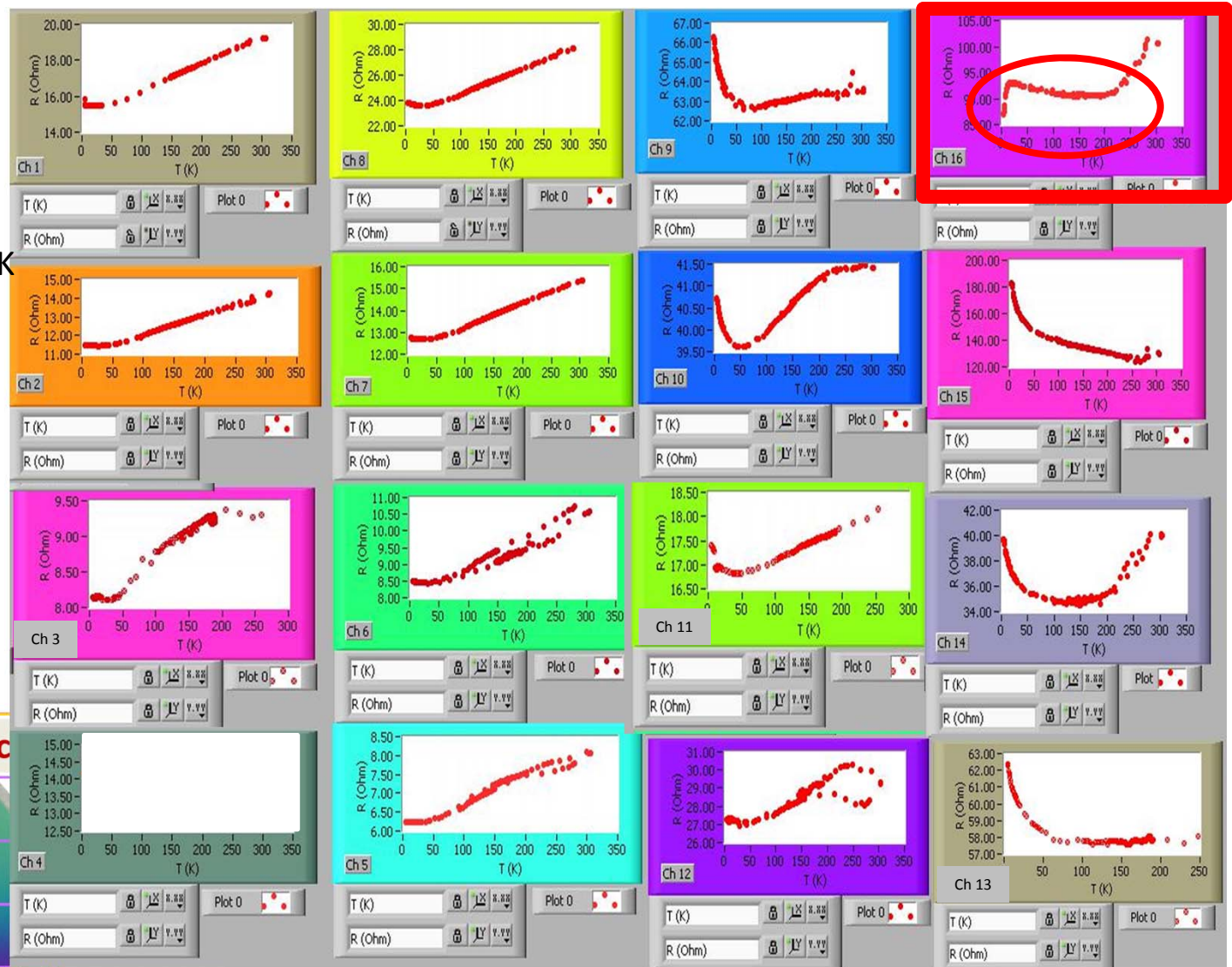
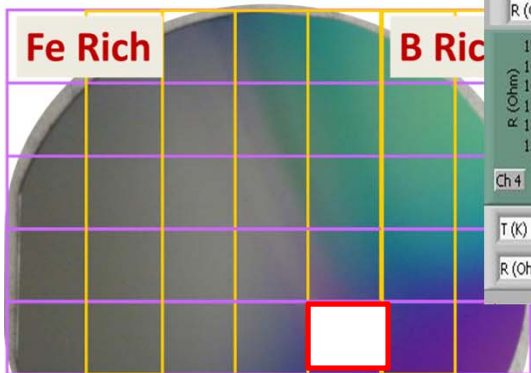
(Received 20 April 2010; published 19 November 2010)

New candidate ground states at 1:4, 1:2, and 1:1 compositions are identified in the well-known Fe-B system via a combination of *ab initio* high-throughput and evolutionary searches. We show that the proposed *oP12*-FeB<sub>2</sub> stabilizes by a break up of 2D boron layers into 1D chains while *oP10*-FeB<sub>4</sub> stabilizes by a distortion of a 3D boron network. The uniqueness of these configurations gives rise to a set of remarkable properties: *oP12*-FeB<sub>2</sub> is expected to be the first semiconducting metal diboride and *oP10*-FeB<sub>4</sub> is shown to have the potential for phonon-mediated superconductivity with a  $T_c$  of 15–20 K.

# Fe-B composition spread: $\text{FeB}_x$ ( $x = 2-4$ ), 16 spots on one 1 cm<sup>2</sup> chip

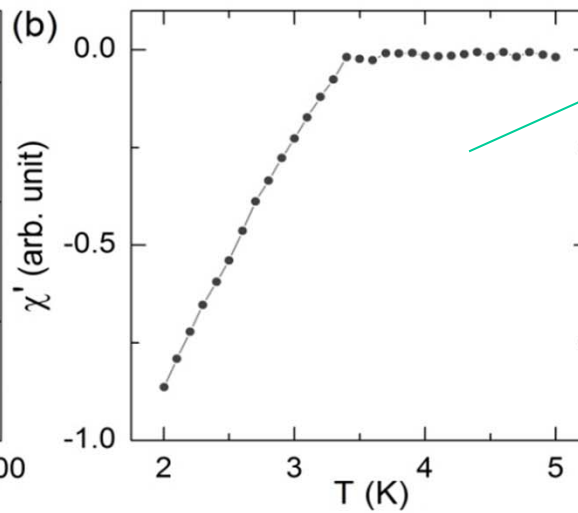
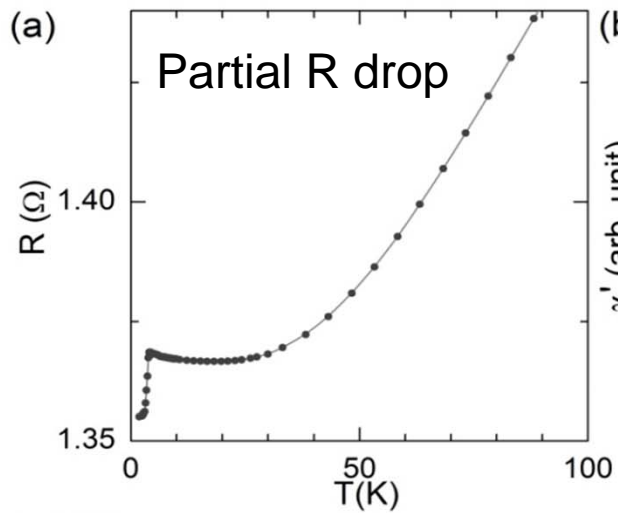
resistance  
temperature  
4.2 K      300 K

Middle region:  
 $\text{FeB}_2 - \text{FeB}_4$

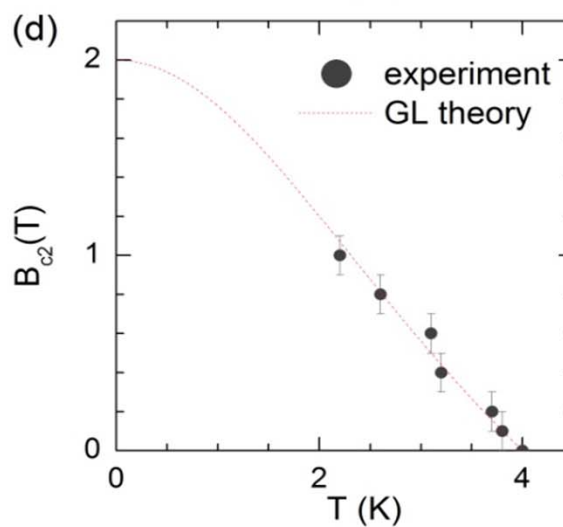
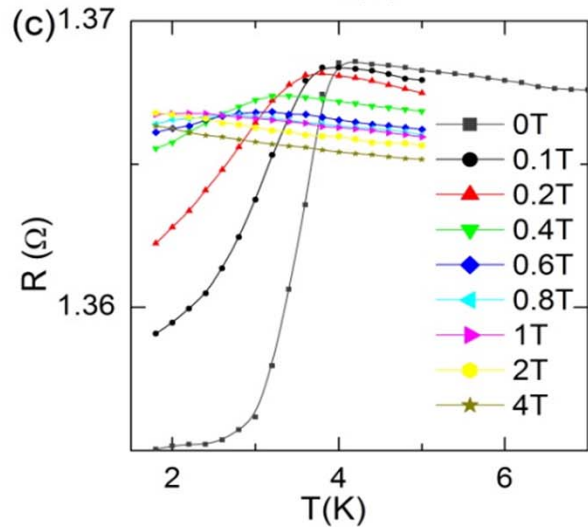
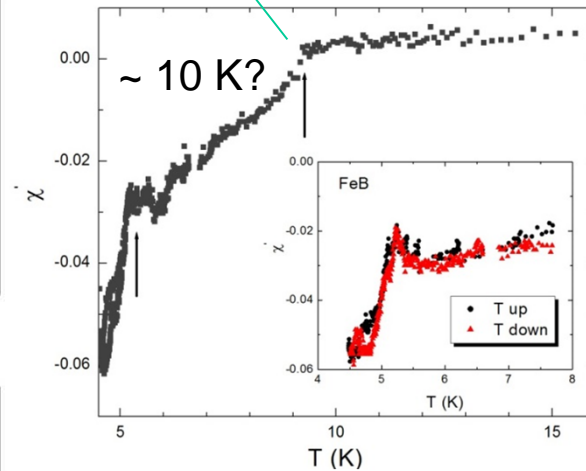


more Fe ←      → more B

# FeBx: it looks like a real superconductor



Susceptibility shows diamagnetism



$$B_{c2}(T) = B_{c2}(0) \frac{1 - (T/T_c)^2}{1 + (T/T_c)^2}$$

gives  $B_{c2}(0) = 2 \text{ T}$

-> Type II BCS superconductor

Superconducting phase was detected in 2 spread wafers

*APL Materials* 1, 042101 (2013)

# Mining superconducting databases

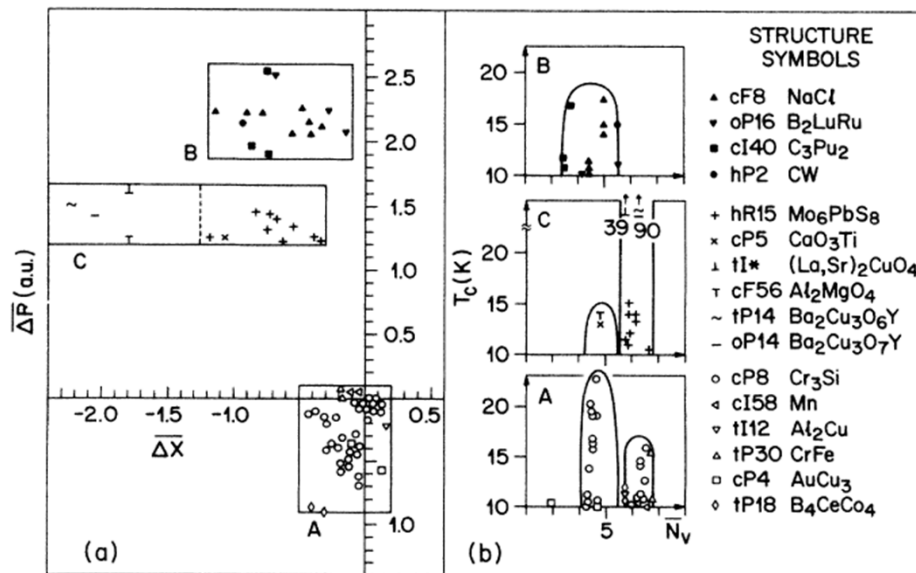
PHYSICAL REVIEW B

VOLUME 37, NUMBER 4

1 FEBRUARY 1988

## Quantum structural diagrams and high- $T_c$ superconductivity

P. Villars\* and J. C. Phillips



Li		Cl F	Cl N	F Cl	F Cl	O Cl	O F	Cl	S Br	N O	N O		
Na		I Cl	I Cl	F I	F Se	O Se	O Te	Cl Se	S Br	N Te	N Te	Se	Se
K				F	F	O	O	Cl	S Br	N	N		
Rb	I			F	F	O	O	Cl	S Br	N	N		
Cs				F I	F	O	O	Cl	S Br	N	N		
Be	F	F	O	F	O	O	N	N	N	N	N	N	N
Mg	F Br	Cl	Cl	F Br	O Cl	O Br	N	S	S	N	N		
Ca	I Br	I Cl	I	F Cl	O I	O	N			N	N		
Sr				F	O	O	N		S	N	N		
Ba				F Br	O	O	N		S	N	N		
	Cr*	Mn*	Rh*	Fe	Co	Ni	Cu	Ag	Au	Zn	Cd	Hg	

Superconductors grouped by 3 golden coordinates

“Predictions of new compounds”

Based on ~ 600 superconductors (1988)

# Visualization and mining of NIMS SuperCon database

	A	B
28988	153347	Al <sub>20</sub> .3V <sub>2</sub>
28989	153348	Ga <sub>0.2</sub> V <sub>2</sub> Al <sub>20</sub>
28990	153349	Y <sub>1</sub> V <sub>2</sub> Al <sub>20</sub>
28991	153350	La <sub>1</sub> V <sub>2</sub> Al <sub>20</sub>
28992	153351	C <sub>14</sub> H <sub>10</sub> Sr <sub>1.5</sub>
28993	153352	C <sub>14</sub> H <sub>10</sub> Ba <sub>1.5</sub>
28994	153353	C <sub>22</sub> H <sub>14</sub> K <sub>2.9</sub>
28995	153354	C <sub>22</sub> H <sub>14</sub> K <sub>3.3</sub>
28996	153355	C <sub>22</sub> H <sub>14</sub> Rb <sub>3.1</sub>
28997	153358	Sr <sub>0.8</sub> Eu <sub>0.2</sub> Fe <sub>1.78</sub> Co <sub>0.22</sub> As <sub>2</sub>
28998	153359	Sr <sub>0.54</sub> Eu <sub>0.46</sub> Fe <sub>1.78</sub> Co <sub>0.22</sub> As <sub>2</sub>
28999	153360	Tl <sub>0.58</sub> Rb <sub>0.42</sub> Fe <sub>1.72</sub> Se <sub>2</sub>
29000	153361	Fe <sub>1</sub> Se <sub>0.98</sub>
29001	153362	Fe <sub>1</sub> Se <sub>0.94</sub>
29002	153363	La <sub>1</sub> Fe <sub>1</sub> As <sub>1</sub> O <sub>0.89</sub>
29003	153364	Pr <sub>1</sub> Fe <sub>1</sub> As <sub>1</sub> O <sub>0.896</sub>
29004	153365	Nd <sub>1</sub> Fe <sub>1</sub> As <sub>1</sub> O <sub>0.9</sub>
29005	153366	Tb <sub>1</sub> Fe <sub>1</sub> As <sub>1</sub> O <sub>0.8</sub>
29006	153689	U <sub>1</sub> Ni <sub>2.05</sub> Al <sub>2.98</sub>
29007	154544	Mo <sub>1</sub> Sn <sub>0.03</sub> Sr <sub>2</sub> Y <sub>1</sub> Cu <sub>2</sub> O <sub>8-z</sub>
29008	159192	Tm <sub>0.84</sub> Lu <sub>0.16</sub> Fe <sub>3</sub> Si <sub>5</sub>
29009	160000	Tl <sub>1</sub> Ba <sub>2</sub> Ca <sub>3</sub> Cu <sub>4</sub> O <sub>11</sub>
29010	181284	Nb <sub>0.8</sub> Pd <sub>0.2</sub>
29011	181287	Nb <sub>0.69</sub> Pd <sub>0.31</sub>
29012		



29000 entries  
(Cuprates: more than 10000)



Removing misentries, duplicates,  
etc. results in 14000 entries

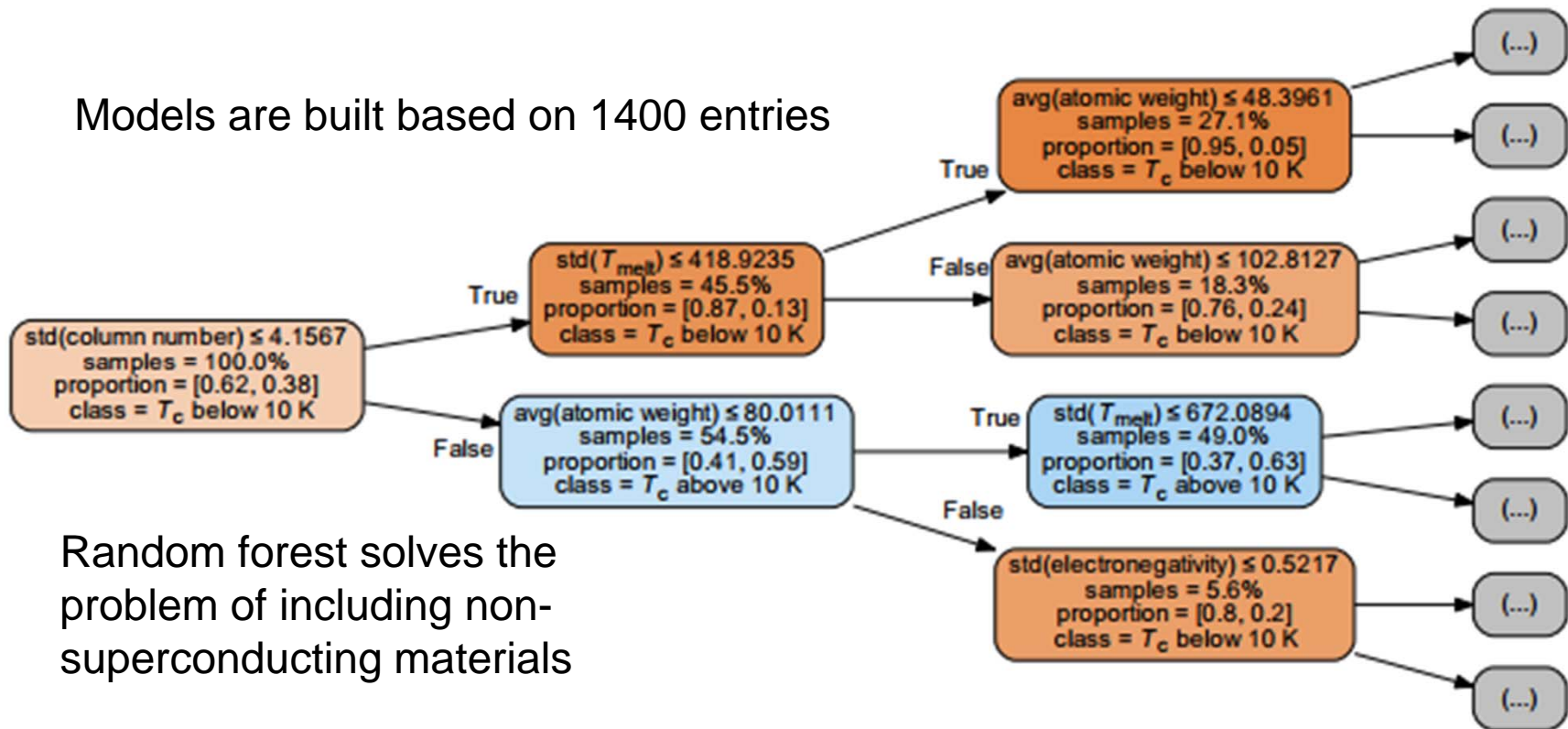


Data mining via supervised machine  
learning using Magpie descriptors

**29000 entries in MatNavi (2014)**

# Machine learning modeling of superconducting critical temperature (random forest)

Models are built based on 1400 entries

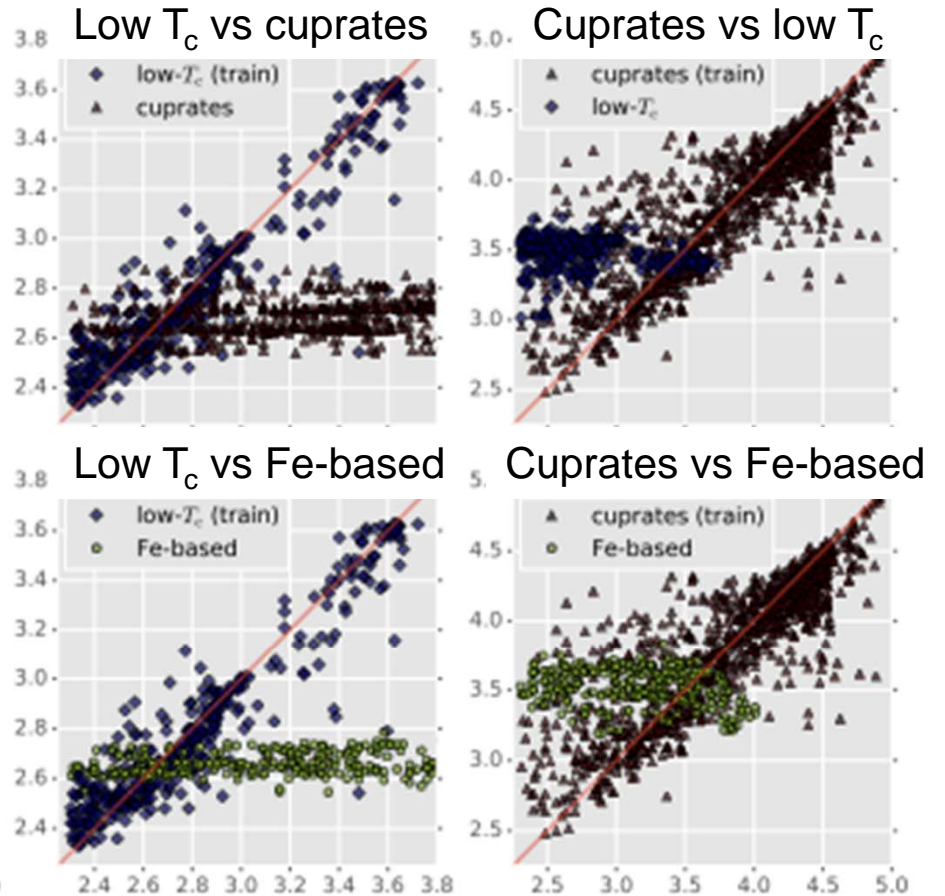
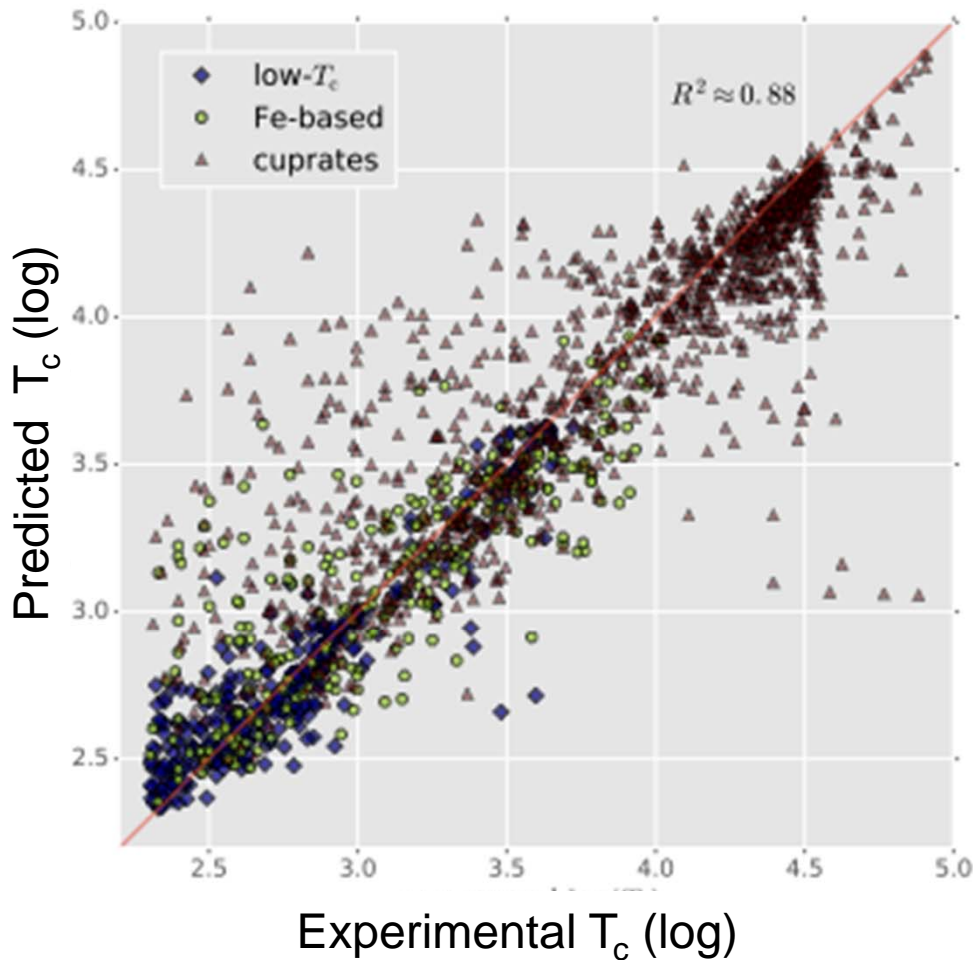


Random forest solves the problem of including non-superconducting materials

Stanev, et al.  
arXiv preprint arXiv:1709.02727

# Machine learning modeling of superconducting critical temperature

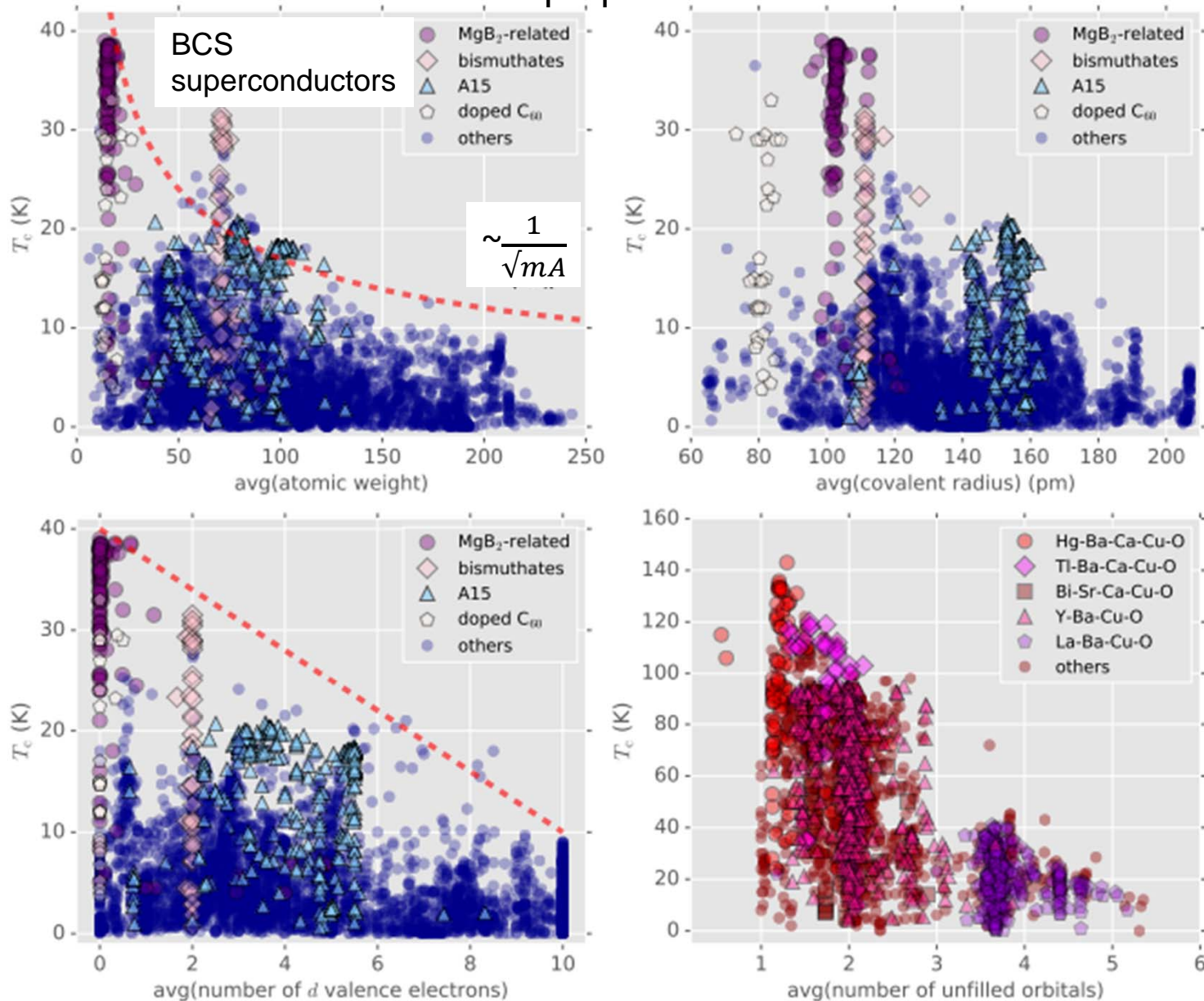
Overall prediction accuracy is pretty good



Different classes of superconductors can be distinguished

# Machine learning modeling of superconducting critical temperature

Different chemical properties/trends are revealed

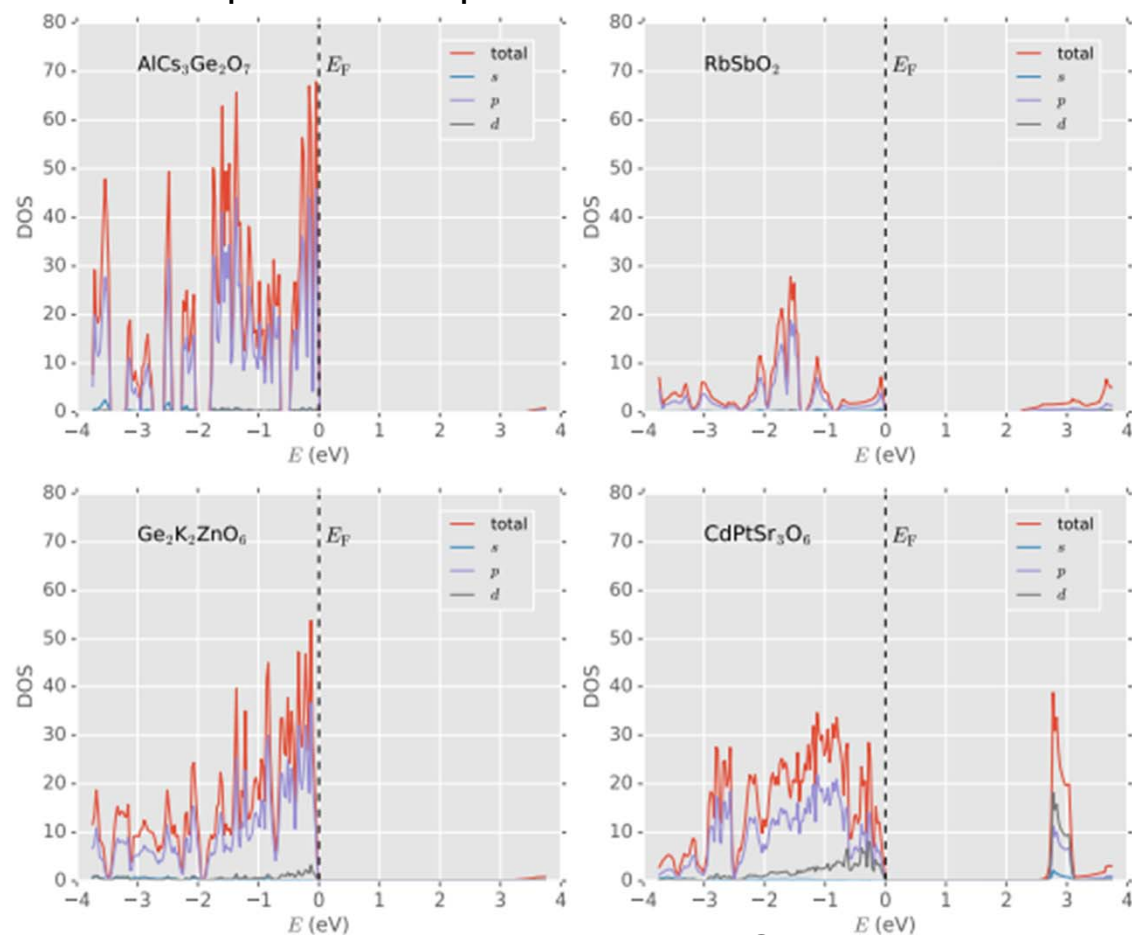


# Machine learning modeling of superconducting critical temperature

compound	Predicted $T_c$ range
CdK <sub>2</sub> SiO <sub>4</sub>	30 K - 40 K
Cd <sub>2</sub> IrNa <sub>3</sub> O <sub>6</sub>	20 K - 30 K
CdPtSr <sub>3</sub> O <sub>6</sub>	30 K - 40 K
CdO <sub>4</sub> Rb <sub>2</sub> Si	30 K - 40 K
Ge <sub>2</sub> K <sub>16</sub> Sr <sub>4</sub> O <sub>36</sub>	30 K - 40 K
GeK <sub>2</sub> ZnO <sub>4</sub>	30 K - 40 K
Ge <sub>2</sub> K <sub>2</sub> O <sub>6</sub> Zn	20 K - 30 K
GeK <sub>0.6</sub> Na <sub>0.4</sub> ZnO <sub>4</sub>	20 K - 30 K
PtSr <sub>3</sub> ZnO <sub>6</sub>	30 K - 40 K
KSbO <sub>2</sub>	30 K - 40 K
RbSbO <sub>2</sub>	30 K - 40 K
AlCs <sub>3</sub> Ge <sub>2</sub> O <sub>7</sub>	30 K - 40 K
AsRbO <sub>2</sub>	30 K - 40 K
AgAuBa <sub>4</sub> O <sub>6</sub>	30 K - 40 K
Au <sub>2</sub> Sr <sub>5</sub> O <sub>8</sub>	20 K - 30 K
AsBeCsO <sub>4</sub>	20 K - 30 K
K <sub>2</sub> SiZnO <sub>4</sub>	20 K - 30 K
FRbSeO <sub>2</sub>	20 K - 30 K
CsFSeO <sub>2</sub>	20 K - 30 K
K <sub>2</sub> Si <sub>2</sub> ZnO <sub>6</sub>	20 K - 30 K
Ca <sub>3</sub> Ge <sub>6</sub> Na <sub>6</sub> O <sub>8</sub>	20 K - 30 K
CsSbO <sub>2</sub>	20 K - 30 K
AgCrO <sub>2</sub>	20 K - 30 K
K <sub>4</sub> Na <sub>2</sub> Tl <sub>2</sub> O <sub>6</sub>	20 K - 30 K
BCdCsO <sub>3</sub>	30 K - 40 K
CsF <sub>3</sub> MoZnO <sub>3</sub>	20 K - 30 K
FKTeO <sub>2</sub>	20 K - 30 K
HEu <sub>0.5</sub> Ge <sub>1.5</sub> K <sub>1.5</sub> O <sub>5</sub>	20 K - 30 K
K <sub>0.8</sub> Li <sub>0.2</sub> Sn <sub>0.76</sub> O <sub>2</sub>	30 K - 40 K
BiNa <sub>3</sub> Ni <sub>2</sub> O <sub>6</sub>	20 K - 30 K
BiCa <sub>2</sub> Na <sub>3</sub> O <sub>6</sub>	20 K - 30 K
Ba <sub>5</sub> Br <sub>2</sub> Ru <sub>2</sub> O <sub>9</sub>	30 K - 40 K
H <sub>2</sub> Ge <sub>3</sub> K <sub>3</sub> TbO <sub>10</sub>	20 K - 30 K
BaGe <sub>3</sub> K <sub>4</sub> O <sub>9</sub>	30 K - 40 K
Ba <sub>6</sub> Ga <sub>7</sub> KZn <sub>4</sub> O <sub>21</sub>	20 K - 30 K

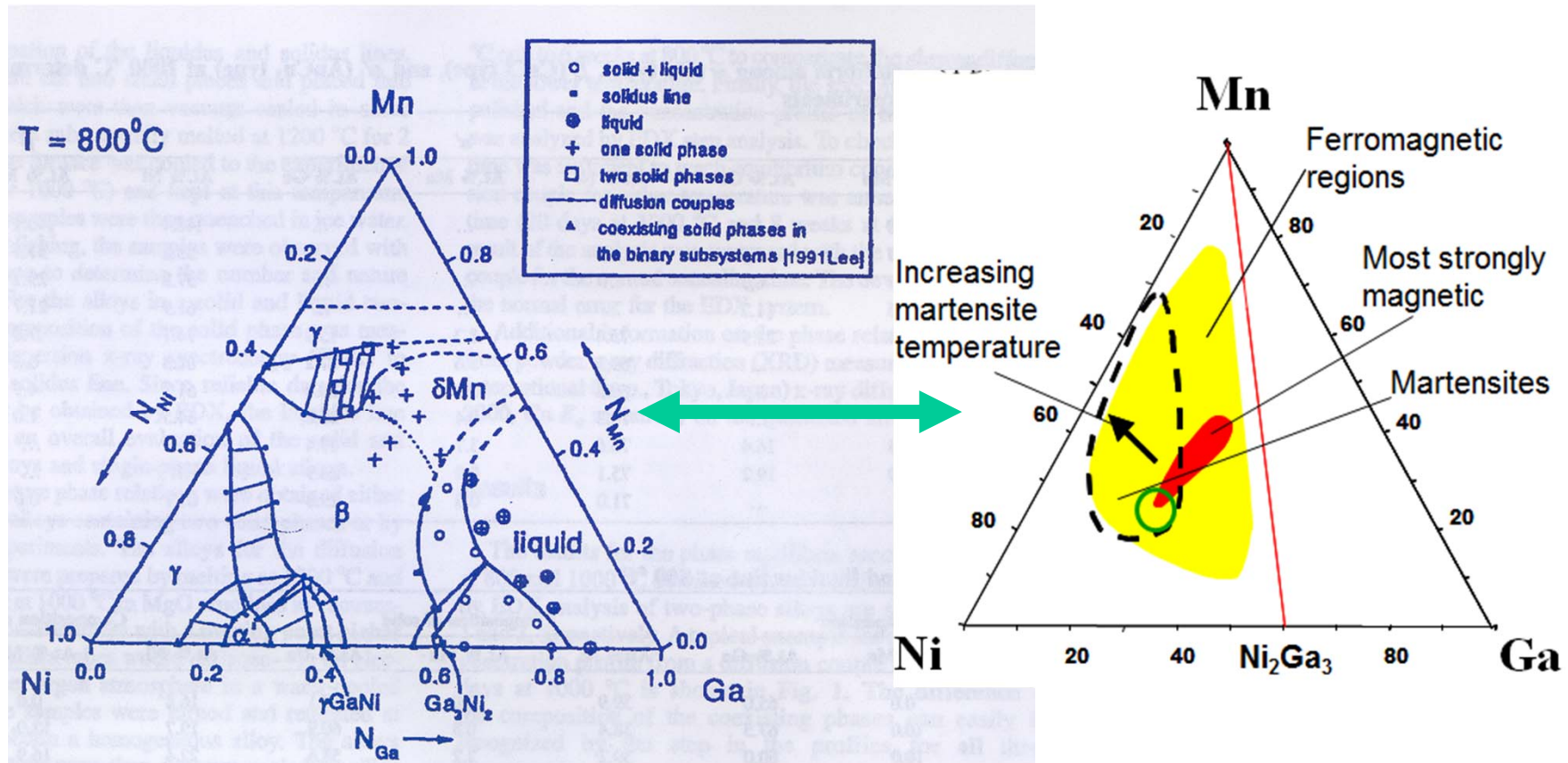
Combining SuperCon (experimental database), machine learning, ICSD, and AFLOW, we predict possible new superconductors

DOS of predicted superconductors show unusual structure





# Rapid mapping of structural phase diagram



C. Wedel and K. Itagaki,  
Journal of Phase Equilibria 22, 324 (2001)

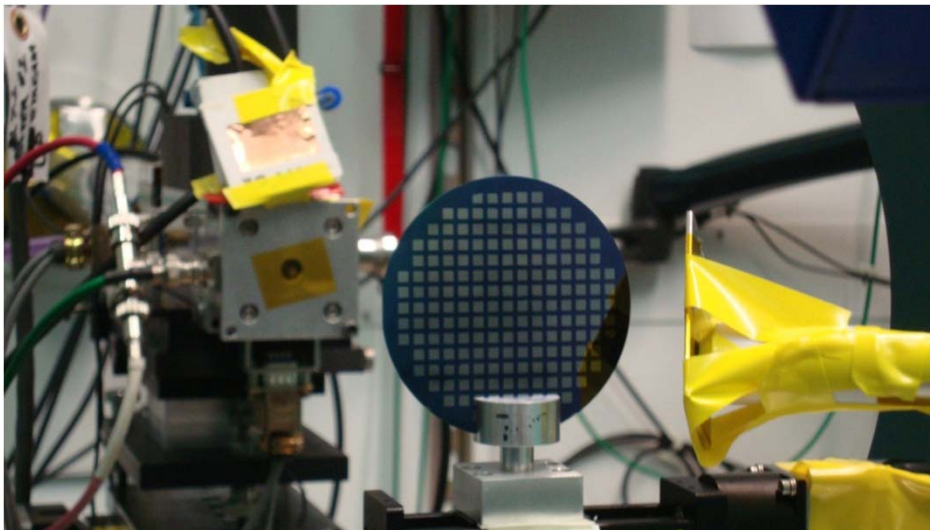
Nature Materials 2, 180 (2003)

# Structural property mapping of combinatorial wafers

Synchrotron diffraction set up at SSRL

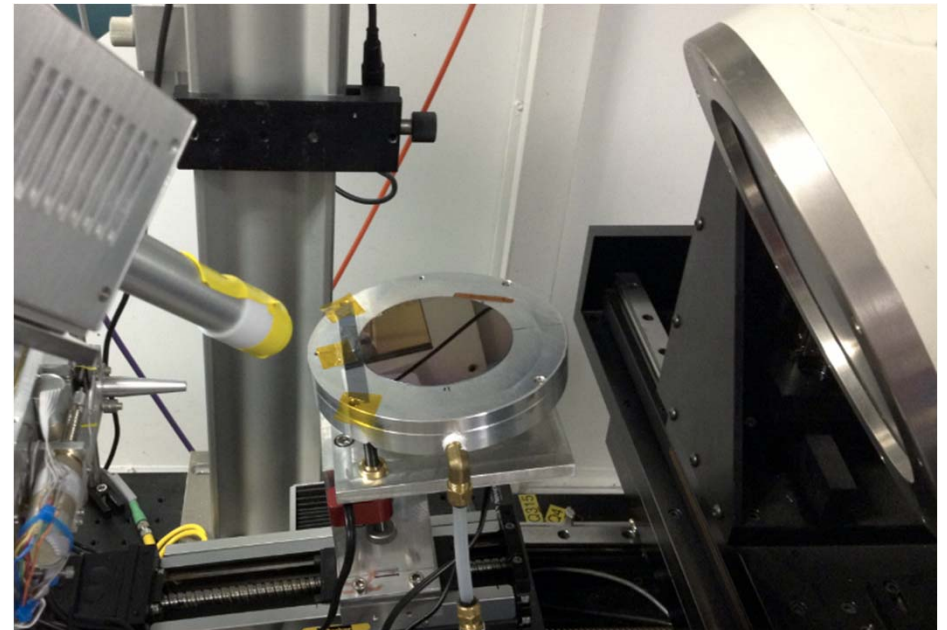
The entire 3" wafer (300 spots) can now be measured in 2 hrs

Transmission set up



XRF carried out simultaneously

Reflection set up



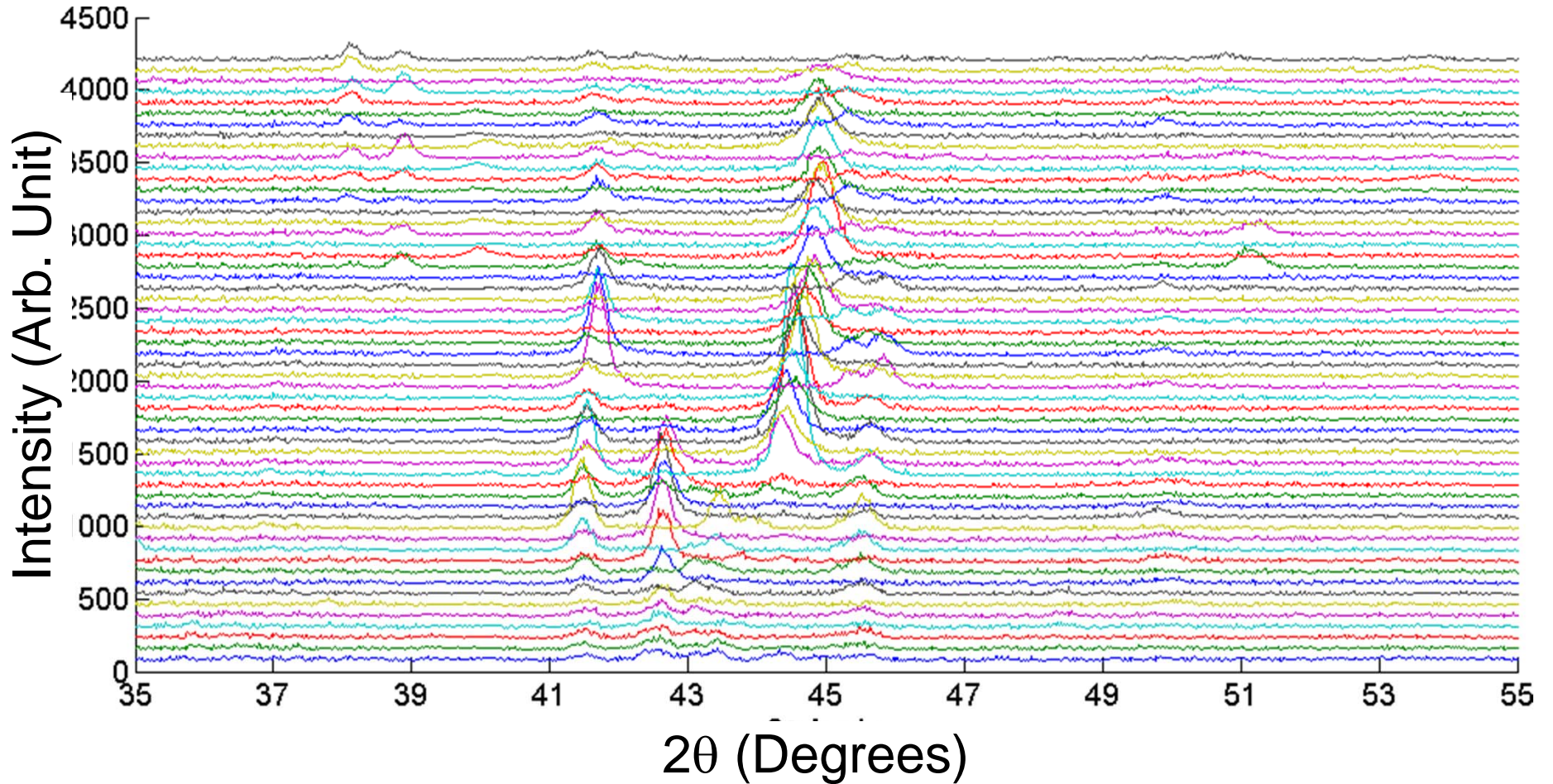
Each wafer produces: 300 MB to 2 GB of image data



w/ A. Mehta

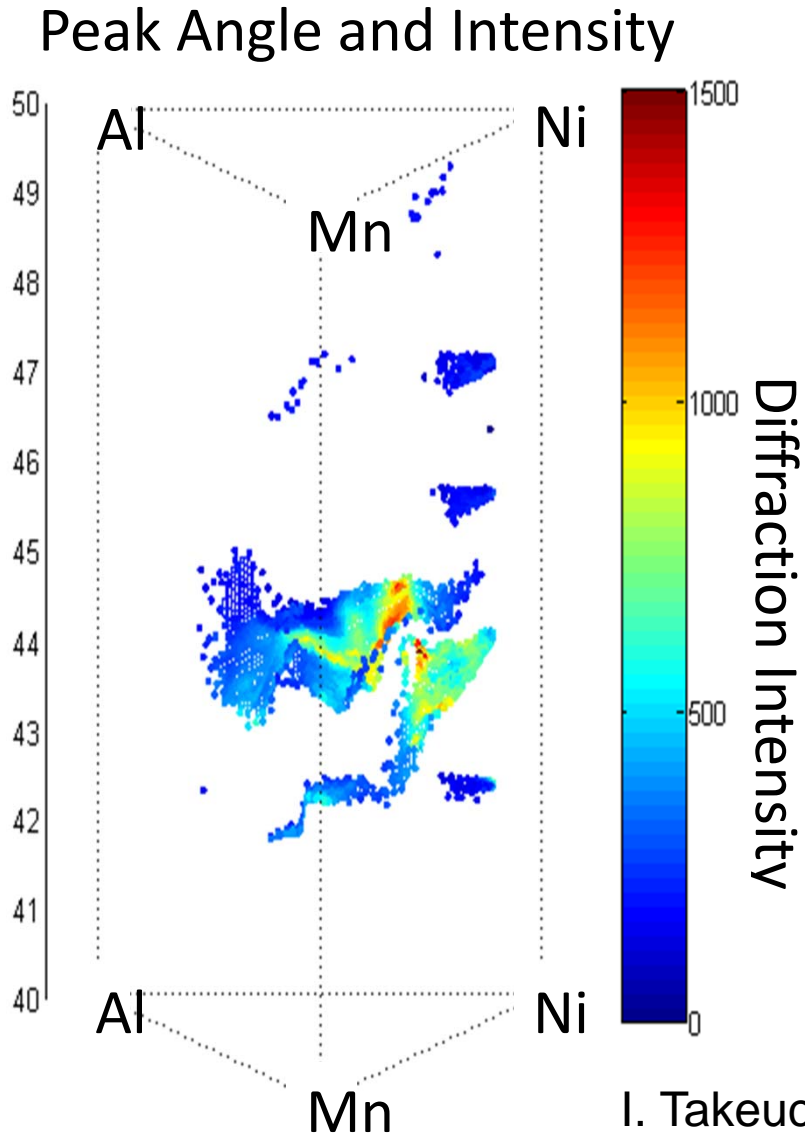


# Hundreds of XRD Patterns are difficult to analyze by hand

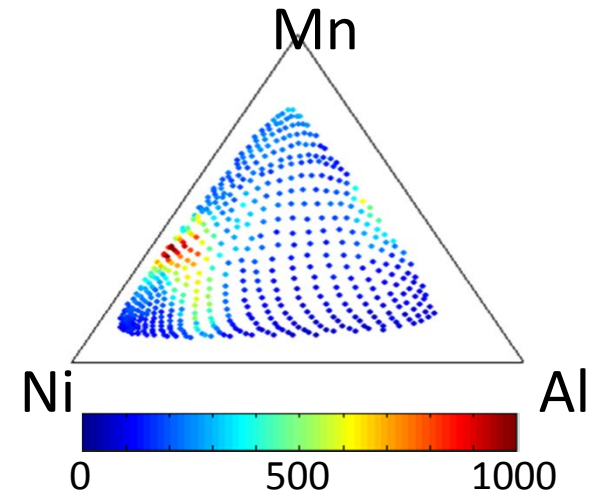


**The same is true for any spectral data (Raman, FTIR, etc.)**

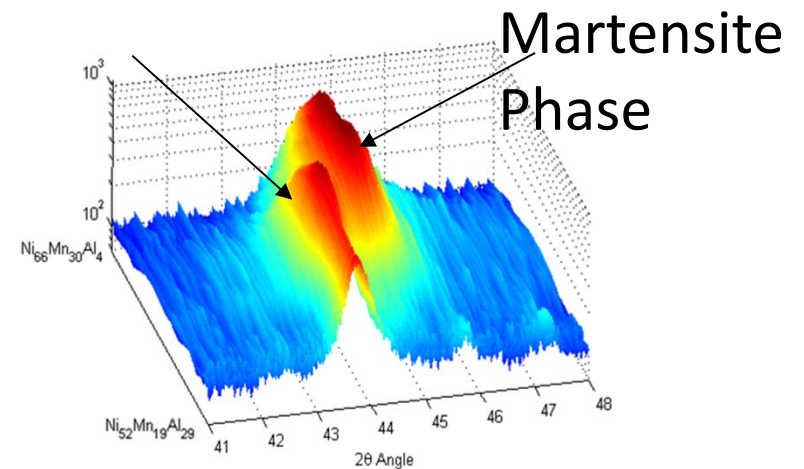
# First step is visualization



Intensity Cross Section

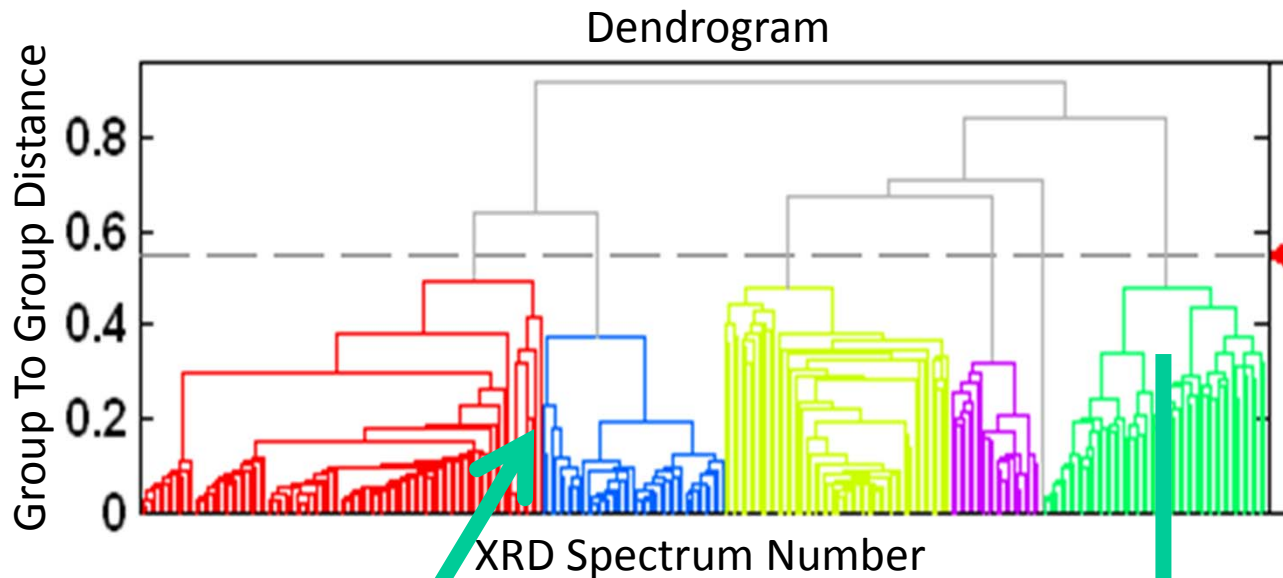


Austenite Phase

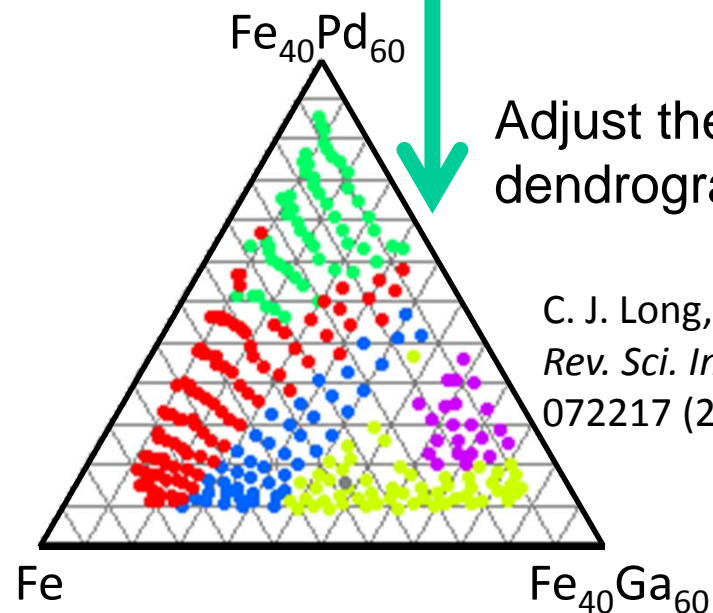
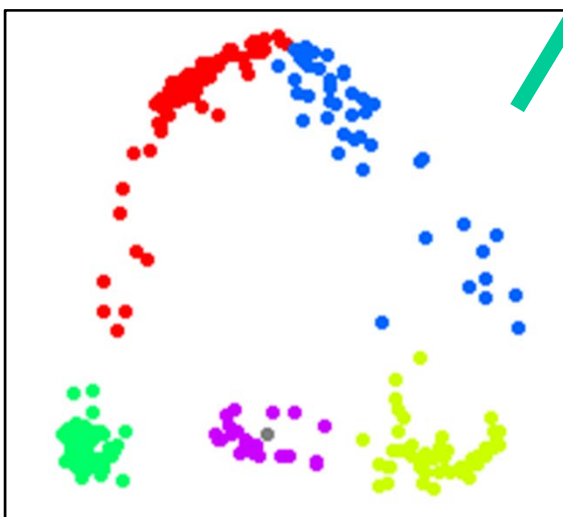


I. Takeuchi *et al.*, Rev. Sci. Instrum. 76, 062223 (2005)

Analyze all spectra together using cluster analysis:  
Look for similarities between spectra



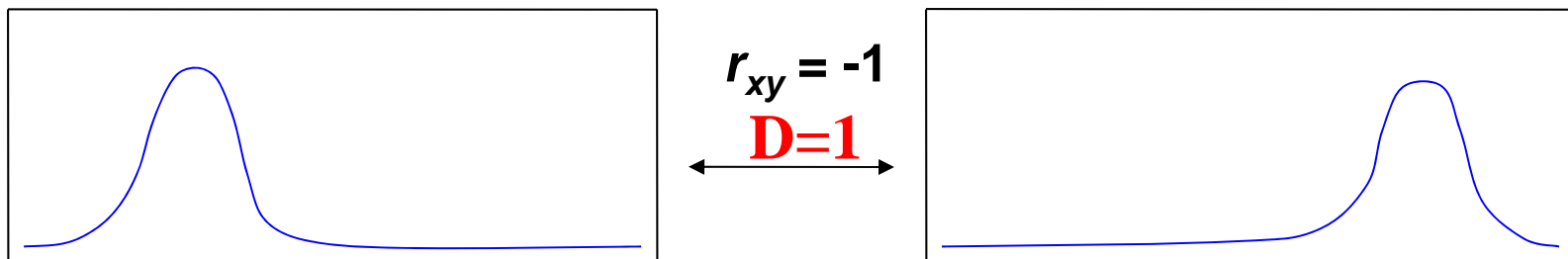
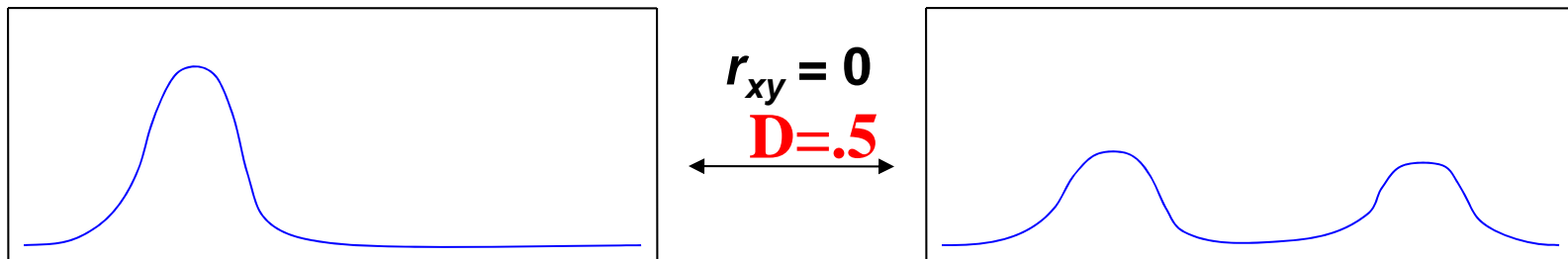
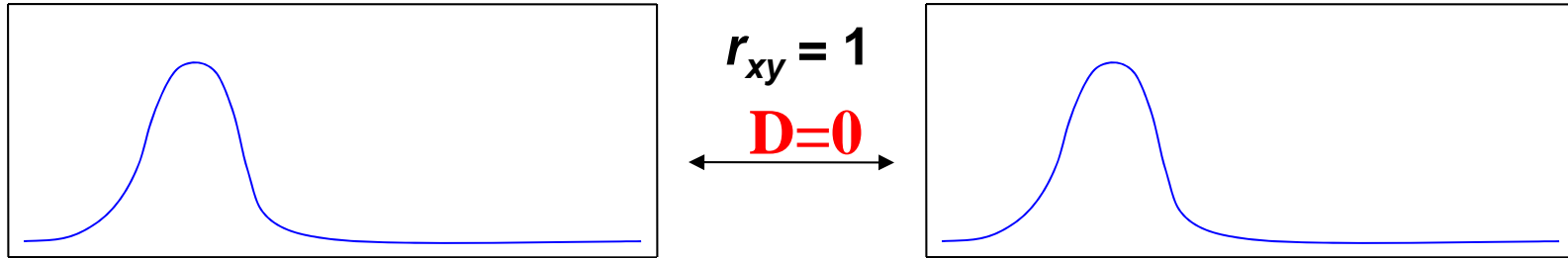
Multi-Dimensional Data Scaling



C. J. Long, et al.  
*Rev. Sci. Instrum.* 78,  
072217 (2007)

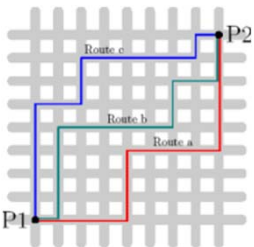
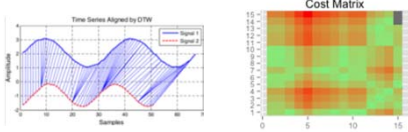
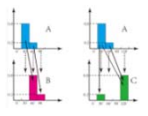
Initial construction of structural phase diagram

# Comparing XRD patterns

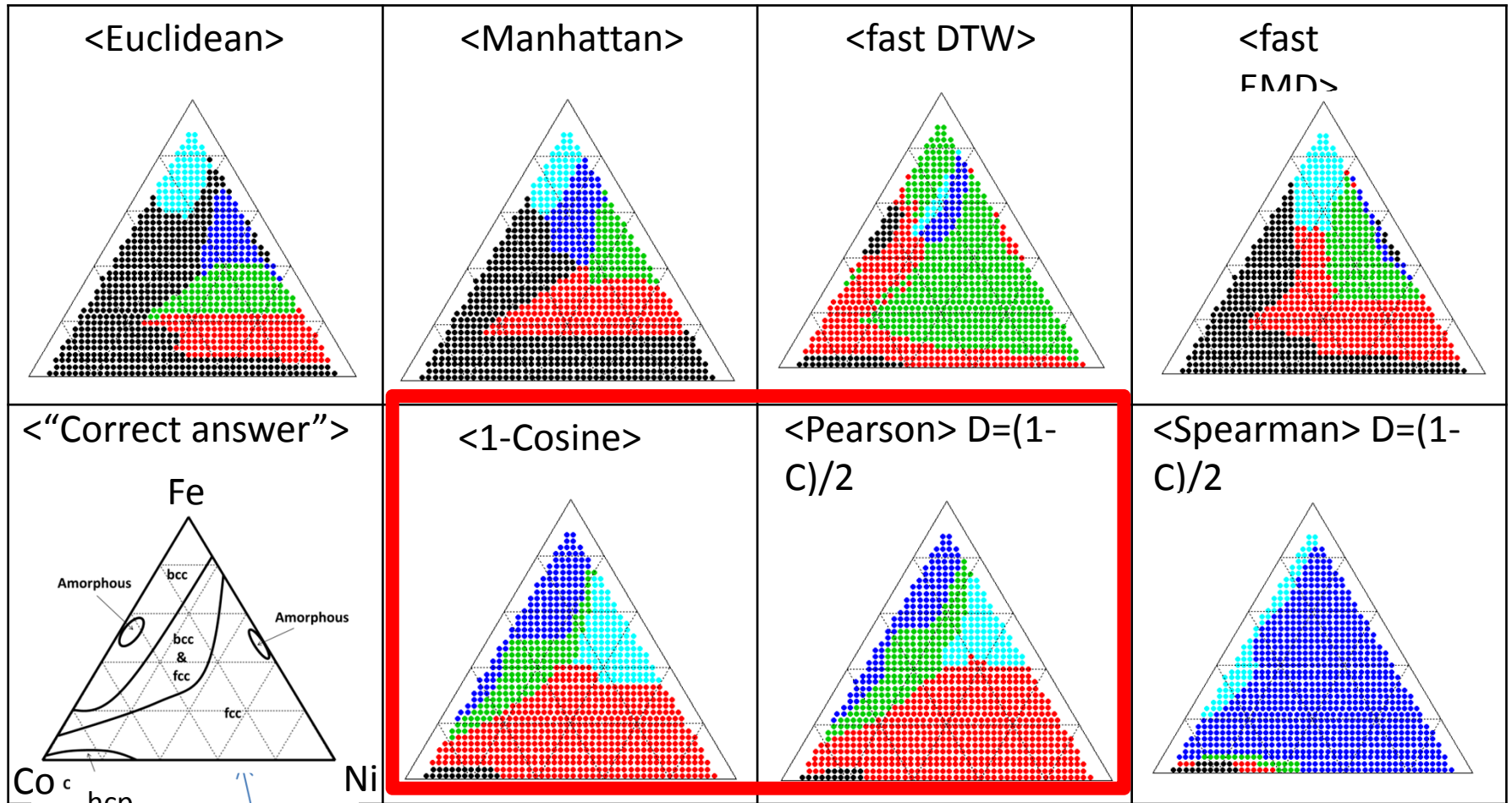


D = "distance"

# Different metrics (“distance” between data points (vectors))

<p><b>&lt;Euclidean&gt;</b></p> $d = \sqrt{\sum_k^n (x_k - y_k)^2}$	<p><b>&lt;Manhattan&gt;</b></p> $d_1 = \sum_k^n  x_k - y_k $ 	<p><b>&lt;fast DTW&gt;</b></p> <p>To find a minimum pass in the cost matrix</p>  <p>This is often used in <b>speech recognition field</b></p>	<p><b>&lt;fast EMD&gt;</b></p> <p>This is just transportation problem between two data series</p> $EMD(P, Q) = \frac{\sum_{i=1}^m \sum_{j=1}^n d_{ij} f_{ij}^{\min}}{\sum_{i=1}^m \sum_{j=1}^n f_{ij}^{\min}}$  <p>f is minimum transportation cost</p> <p>This is often used in <b>image recognition field</b></p>
	<p><b>&lt; 1 - Cos&gt; D= 1-C</b></p> $C_{\sin} = \frac{\sum x_i y_i}{\sum x_i^2 \sum y_i^2}$ <p>This is often used in <b>text mining field</b></p>	<p><b>&lt;Pearson&gt; D=(1-C)/2</b></p> <p>Known as normal correlation coefficient (<b>parametric</b>)</p> $C_{cor} = \frac{\sum (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum (x_i - \bar{x})^2 \sum (y_i - \bar{y})^2}}$	<p><b>&lt;Spearman&gt; D=(1-C)/2</b></p> <p>This is <b>non-parametric</b> index.</p> <p><math>d_i</math> is difference between ranking of data</p> $C_{spearman} = 1 - \frac{6 \sum d_i^2}{N^3 - N}$

# Different metrics calculated and clustered using hierarchical clustering: XRD patterns from Fe-Co-Ni composition spread

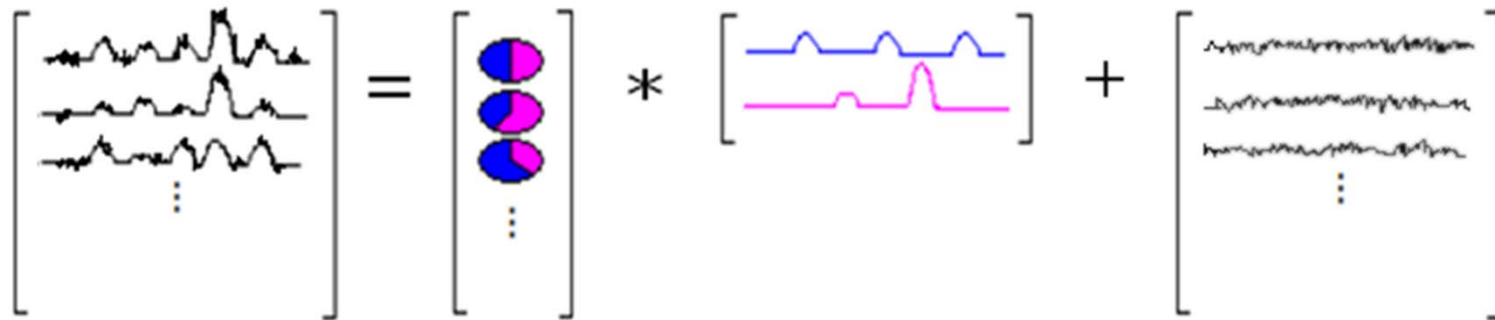


Y.K. Yoo et al. / Intermetallics 14 (2006) 241–247

Iwasaki et al., npj Computational Materials 3 (1), 4

Another analysis method:

# Non-Negative Matrix Factorization (NMF): (The Basic Idea)

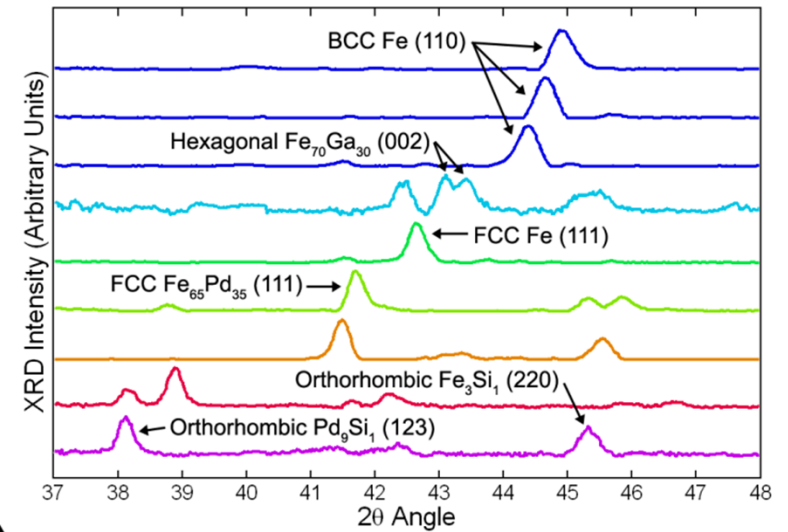
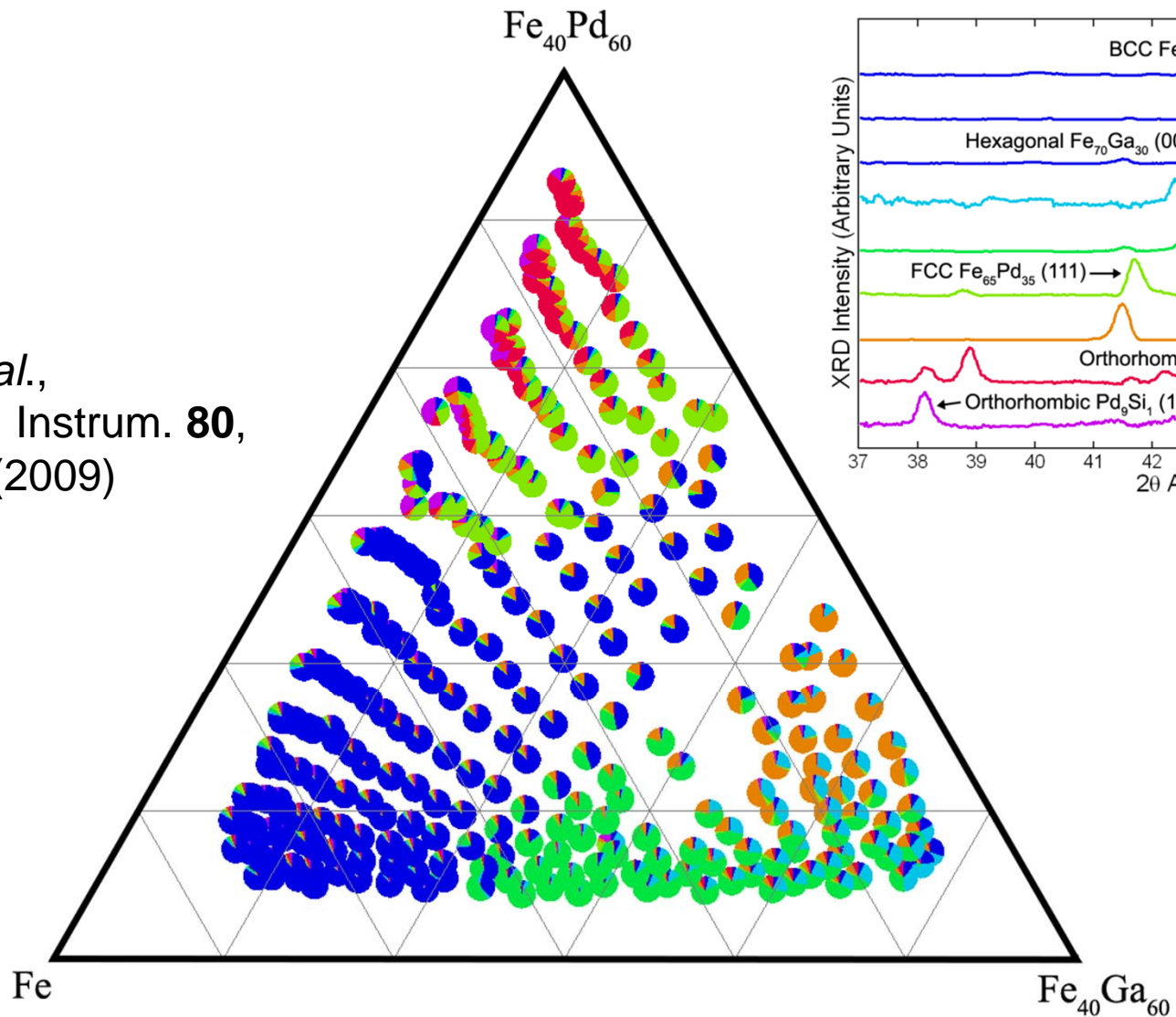


**$S$**       =       **$W$**       \*       **$B$**       +       **$E$**   
Experimental      Weights      Basis Spectra      Residual Error  
Spectra

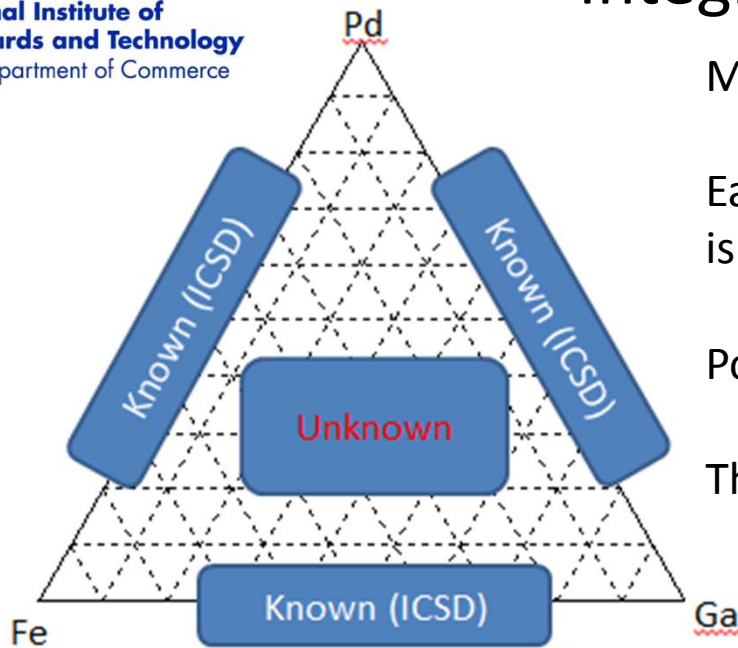
Experimental Spectra are Deconvolved

# Working toward a structural phase diagram using NMF

Long *et al.*,  
Rev. Sci. Instrum. **80**,  
103902 (2009)



# Integrating ICSD with combi XRD data



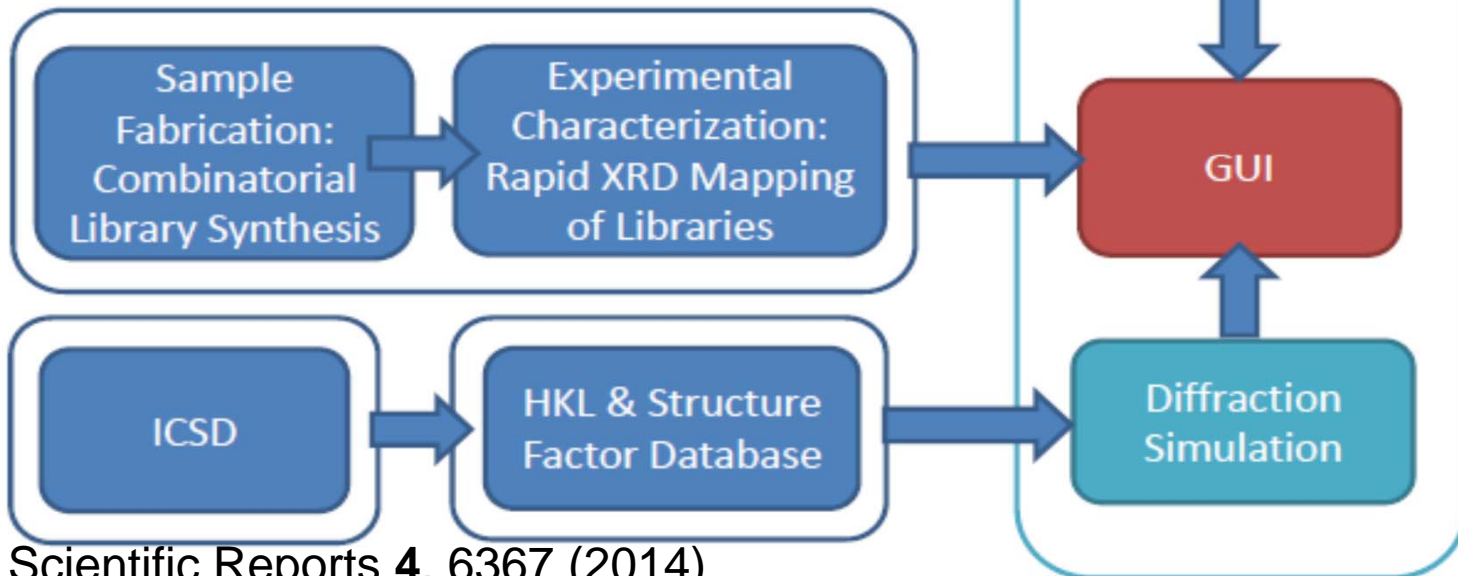
Most ternary phase diagrams are not known

Each point on the ternary phase diagram is one X-ray spectrum (expt)

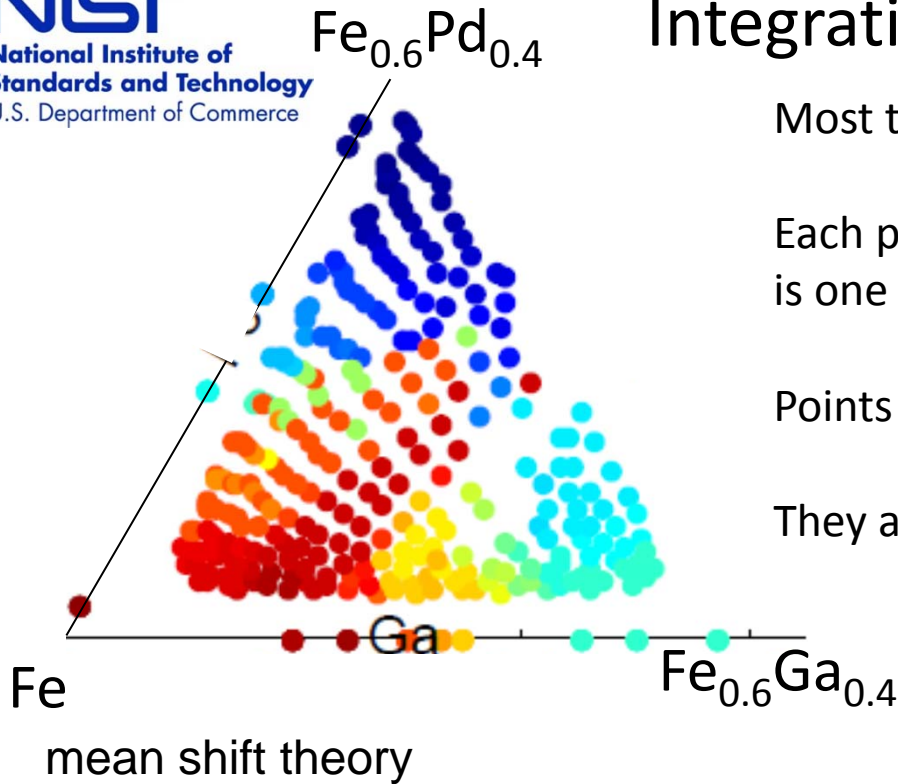
Points on binary lines are simulated spectra from ICSD

They are rapidly mined/analyzed together

mean shift theory



# Integrating ICSD with combi XRD data

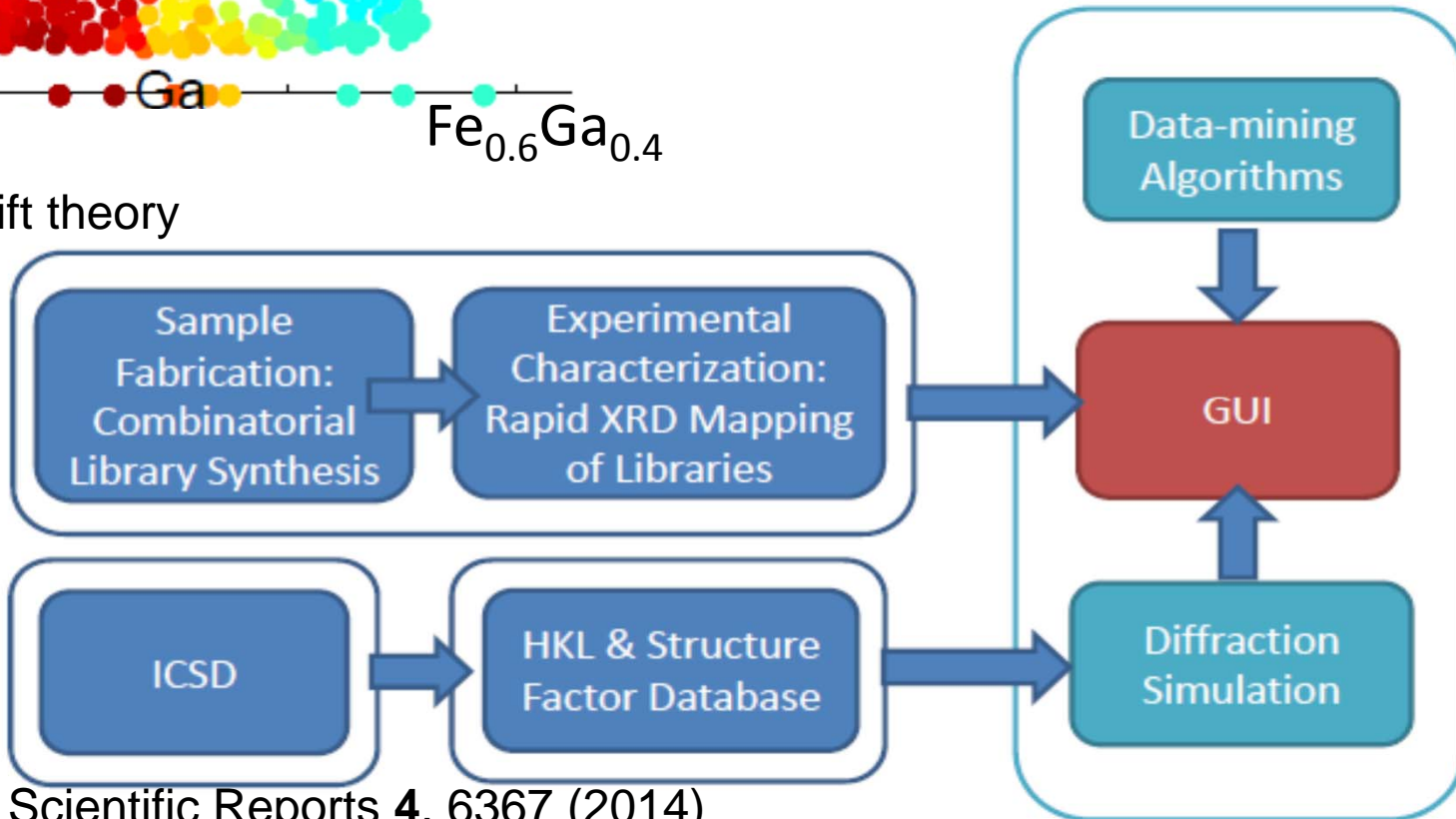


Most ternary phase diagrams are not known

Each point on the ternary phase diagram is one X-ray spectrum (expt)

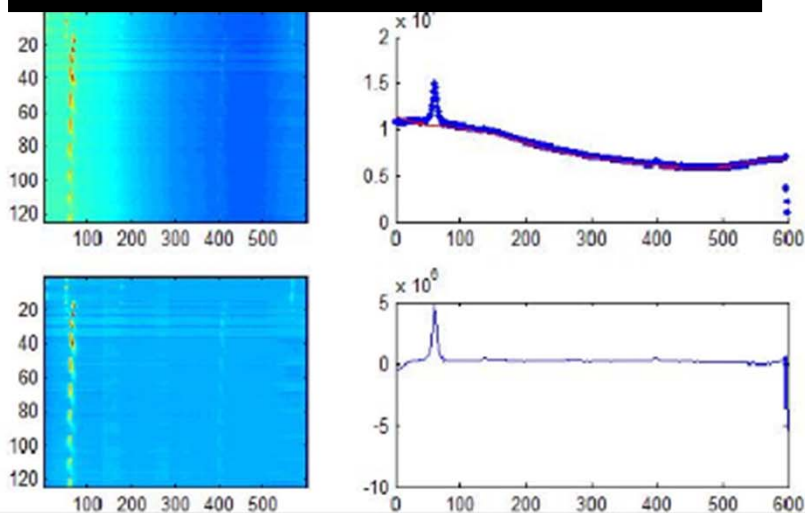
Points on binary lines are simulated spectra from ICSD

They are rapidly mined/analyzed together

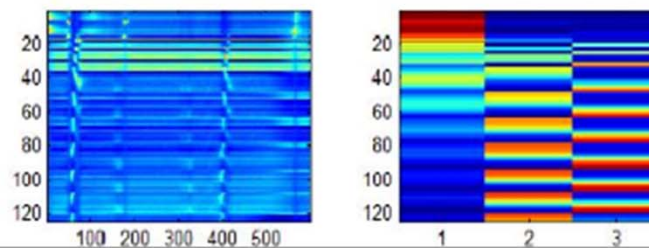


# Real time analysis of combinatorial library data (synchrotron XRD); Integration with ICSD

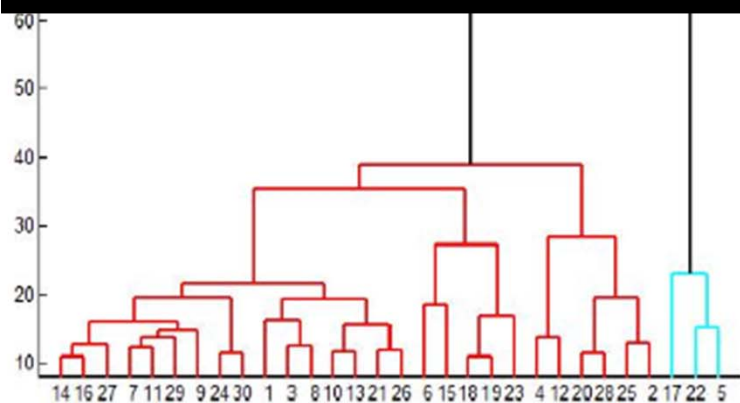
Diffraction data (integrated Pilatus images) plotted for different compositions as they are taken



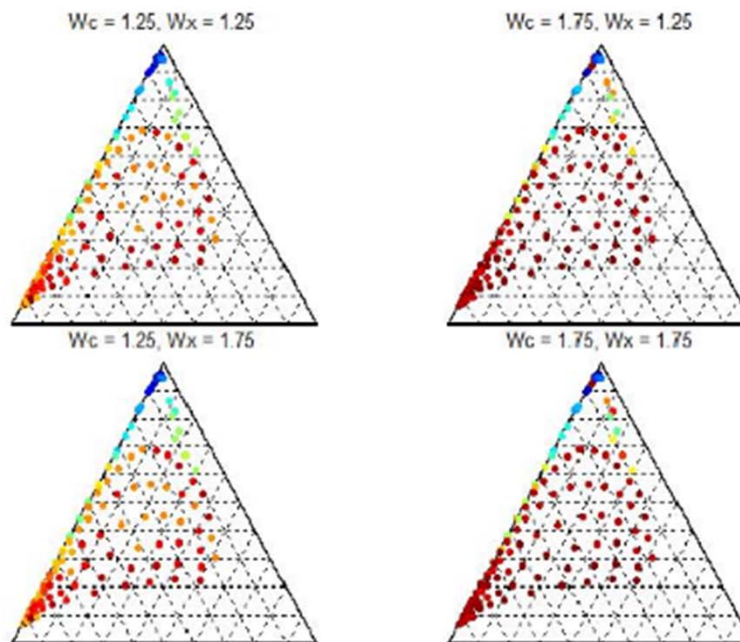
X-ray fluorescence data are also processed real time



Hierarchical clustering is used to group similar spectra together



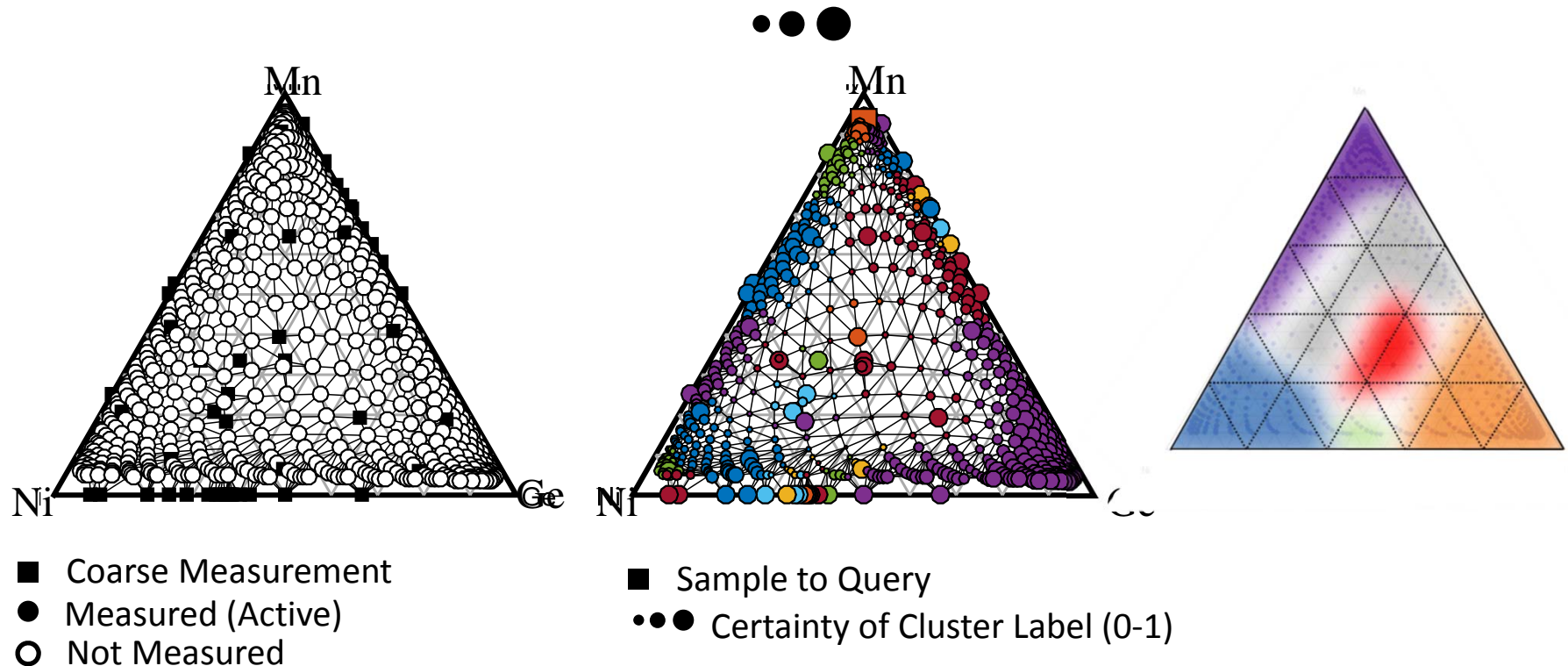
MST Parameters:  XRF  WDS Wc: 1.25 1.75 Wpp: 1.25 1.75



Spectra are mapped on ternary phase diagram real time

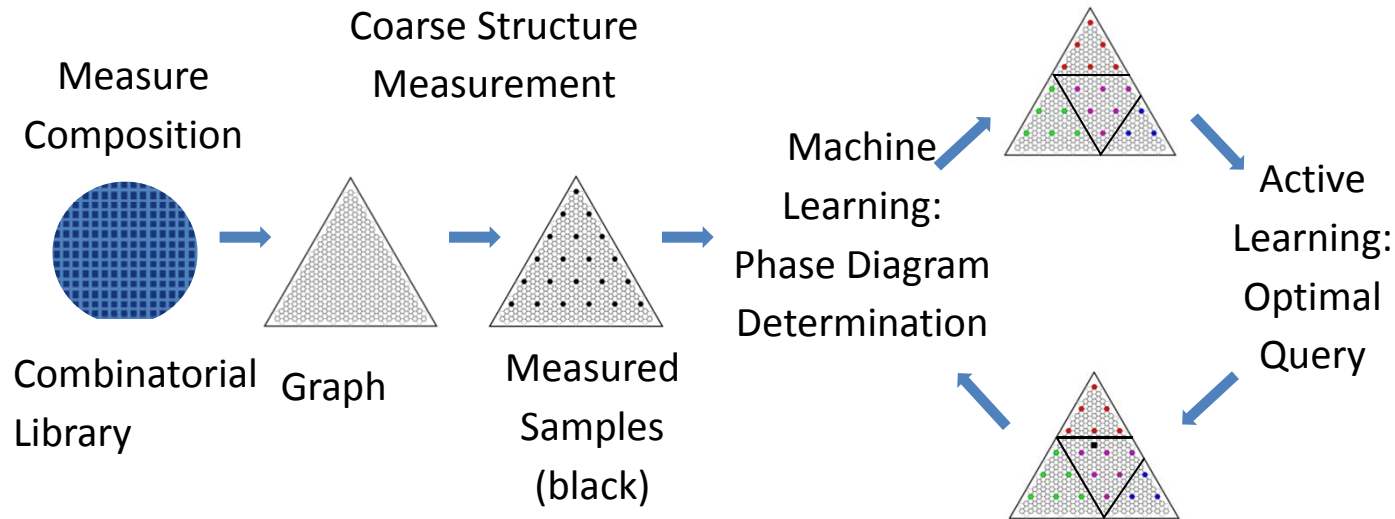
# Phase mapping based on active learning

- Semi-Supervised Learning: Propagate knowledge from measured/known samples to unmeasured samples
- Quantify Certainty of Prediction
- Graph-based phase separation algorithm used



Runs Live at SLAC X-Ray Beamline

# Active Learning: Flow Chart

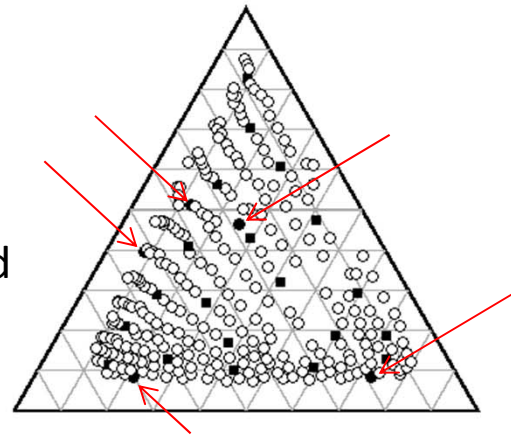


## Active Learning Implementation:

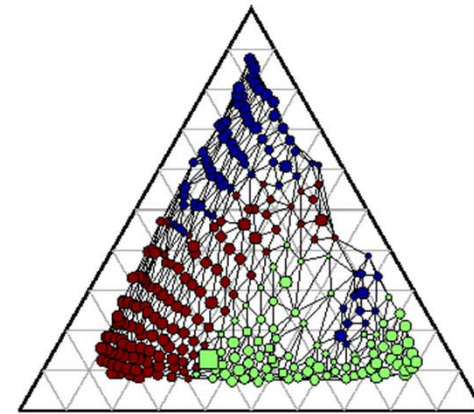
- Select query that minimizes 'risk'
- Risk : estimated expected classification error

# Active Learning: Example

Ternary Spread  
Demonstration : Fe-Ga-Pd

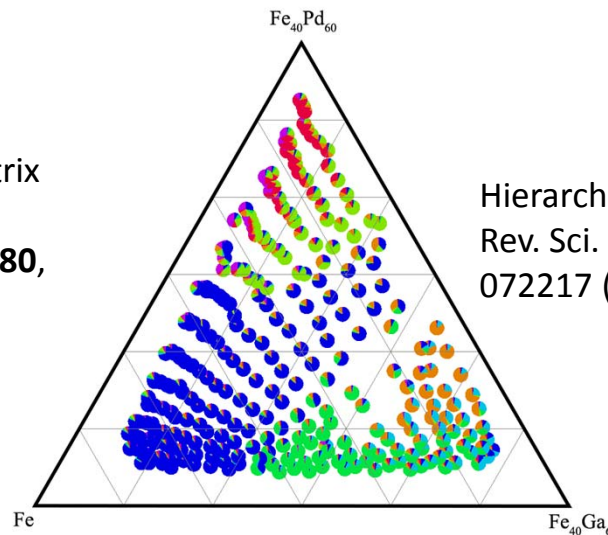


- Coarse Measurement
- Measured (Active)
- Not Measured

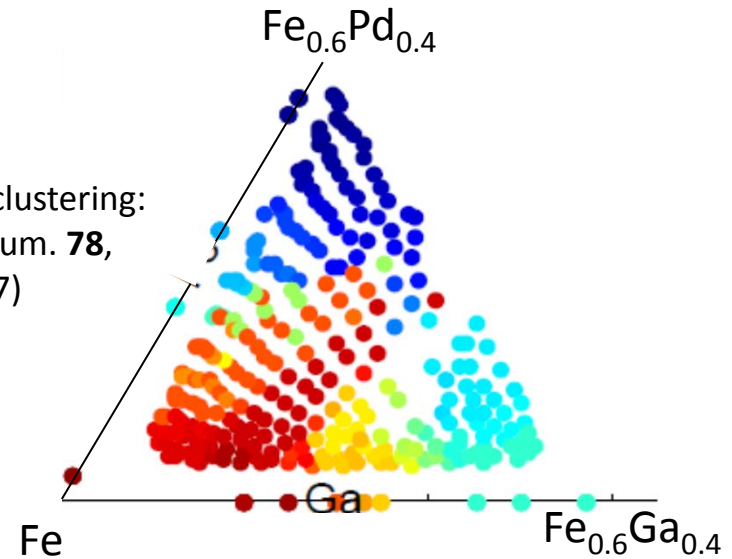


- Sample to Query
- Certainty of Cluster Label (0-1)

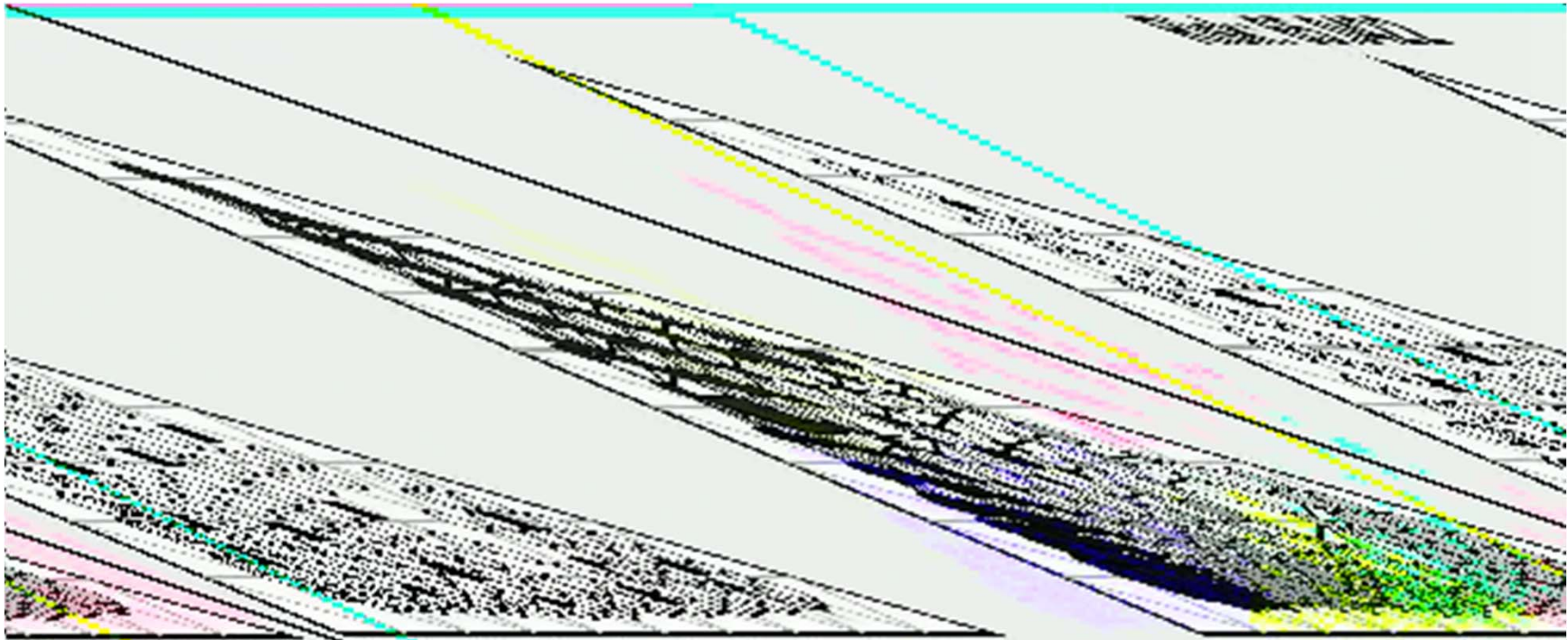
Previous results:  
non-negative matrix  
factorization:  
Rev. Sci. Instrum. **80**,  
103902 (2009)



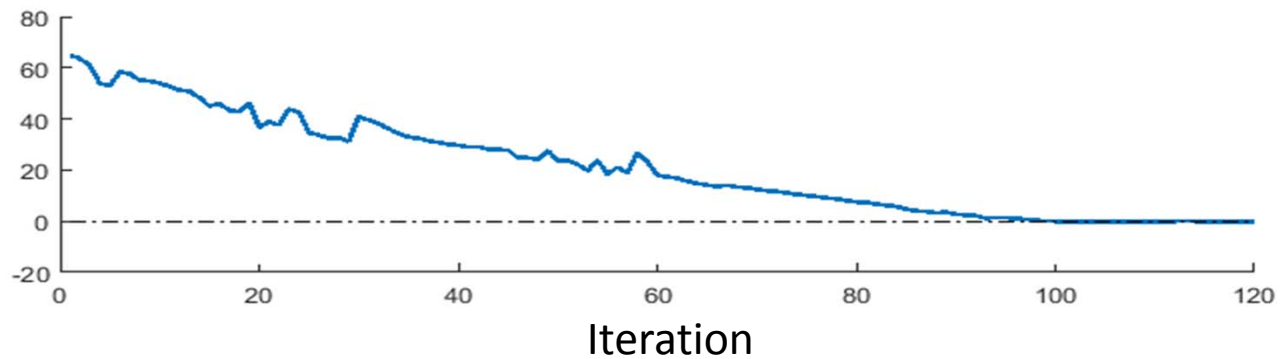
Hierarchical clustering:  
Rev. Sci. Instrum. **78**,  
072217 (2007)



# Active learning: algorithm finds the boundaries for you

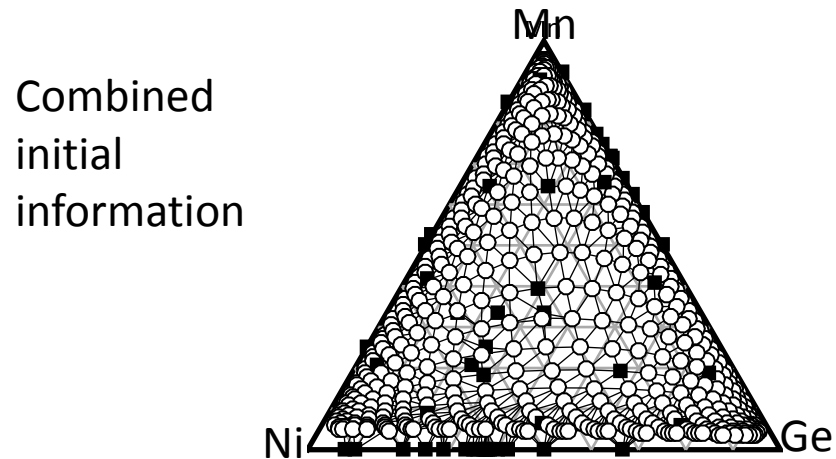
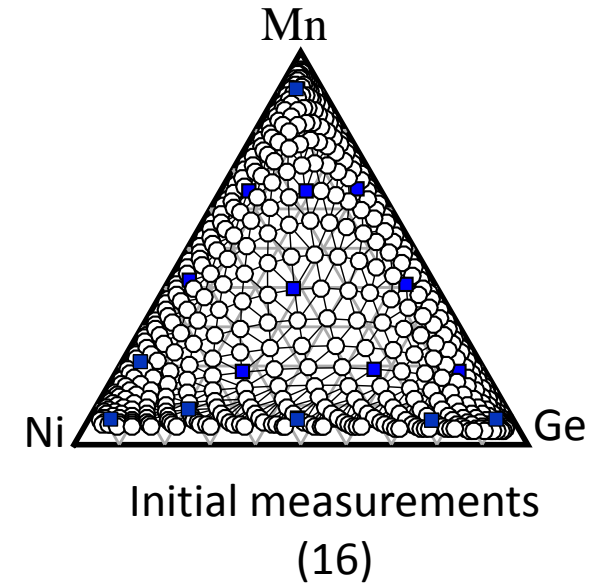
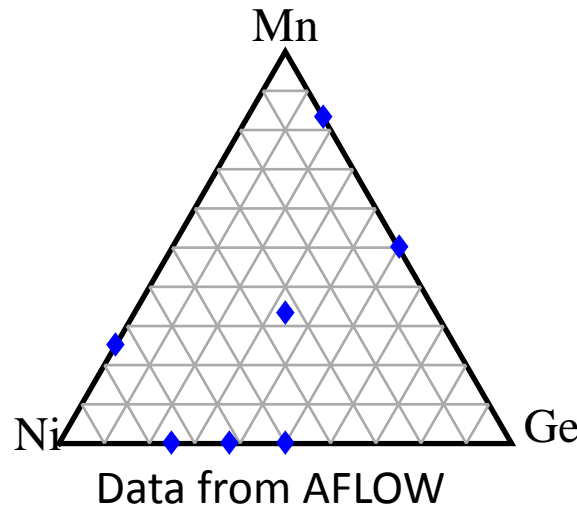
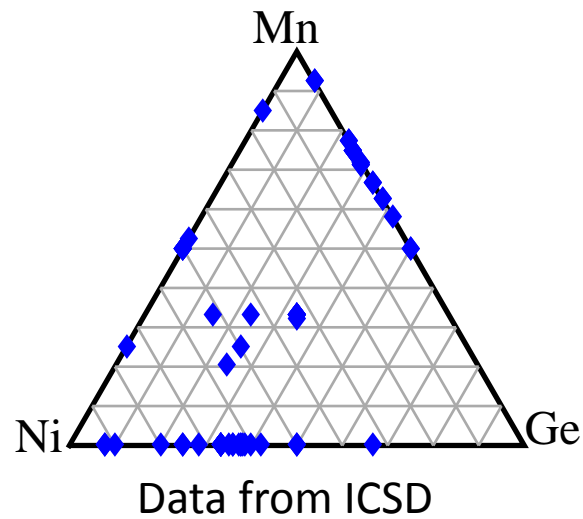


Risk: Estimated expected classification error



# Active Learning Phase Mapping

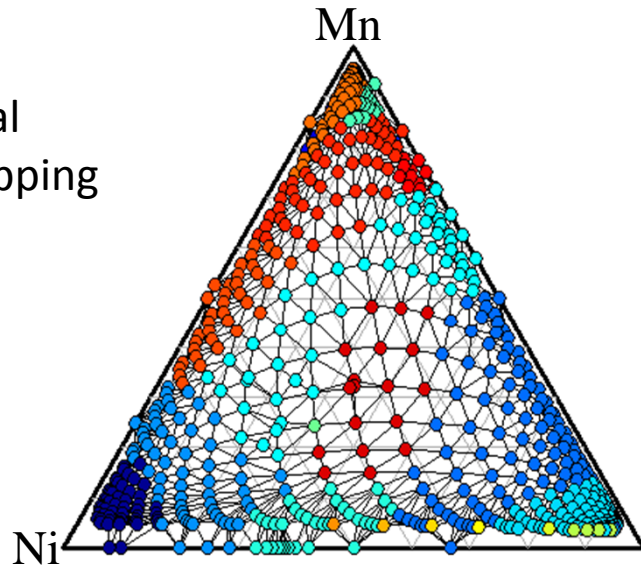
## Ni-Mn-Ge



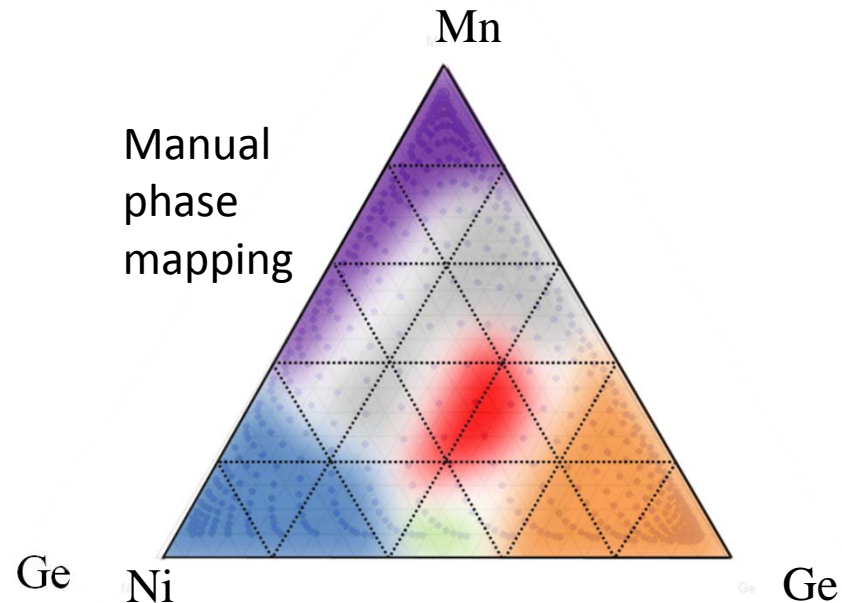
# Active Learning Phase Mapping

## Ni-Mn-Ge

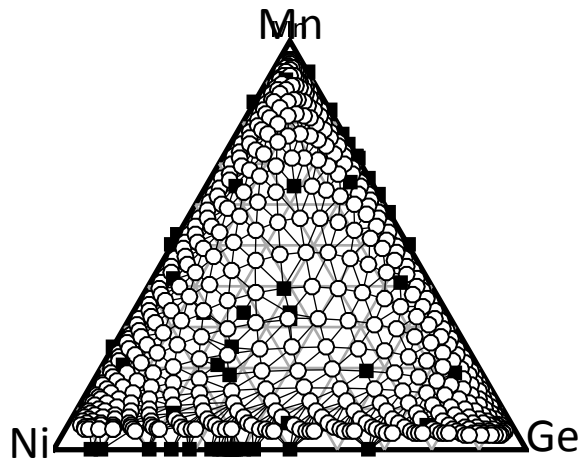
Final mapping



Manual phase mapping



Combined initial information



- F-measure used for accuracy

- 10% of samples required for measurements to get 80% accuracy for the whole

# Evolution of the combinatorial strategy (and a future forecast)

Circa 1990

2000

2010

2017 and beyond

## Challenges:

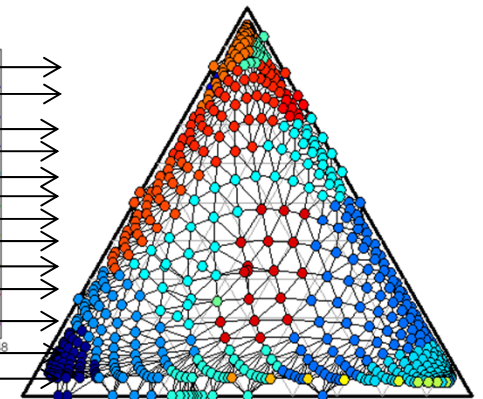
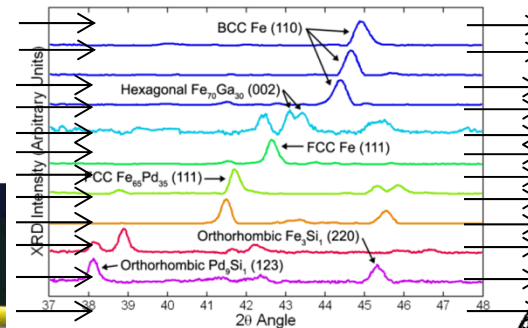
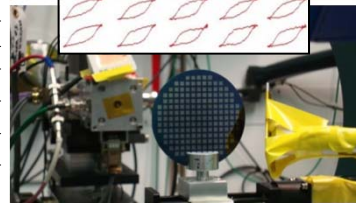
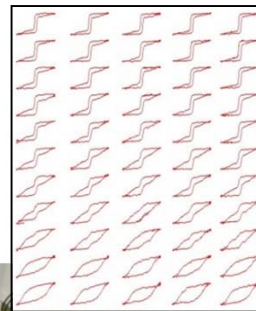
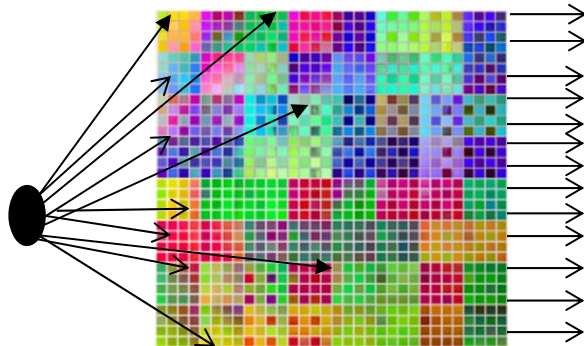
How to make hundreds of samples fast

How to measure large number of samples: speed, number and quantitative accuracy

How to quickly analyze large amount of data

Machine learning to control and reduce number of samples

# of samples:  
x 100-1000



# of samples: x 0.1 – 0.2

# of samples: x 0.1 – 0.2 Reducing the number of data points

# Summary

Combinatorial (high-throughput) experimentation + theory can speed up discovery of materials

Machine learning can greatly enhance combinatorial experimentation

Annual Machine Learning for Materials Research Workshop and Summer Camp, Univ. of Maryland  
Sponsored by UMD and NIST

<https://www.nanocenter.umd.edu/events/mlmr/>



June, 2017

